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Additional Information

Artificial Intelligence on FDG PET Images Identifies Mild Cognitive Impairment Patients with Neurodegenerative Disease

Joan Prats-Climent¹ · Maria Teresa Gandia-Ferrero² Irene Torres-Espallardo^{2,3} · Lourdes Álvarez-Sanchez⁴ · Begoña Martínez-Sanchis³ · Consuelo Cháfer-Pericás⁴ · Ignacio Gómez-Rico² · Leonor Cerdá-Alberich² · Fernando Aparici-Robles⁵ · Miquel Baquero-Toledo⁴ · María José Rodríguez-Álvarez¹ · Luis Martí-Bonmatí^{2,5}

Abstract

The purpose of this project is to develop and validate a Deep Learning (DL) FDG PET imaging algorithm able to identify patients with any neurodegenerative diseases (Alzheimer's Disease (AD), Frontotemporal Degeneration (FTD) or Dementia with Lewy Bodies (DLB)) among patients with Mild Cognitive Impairment (MCI). A 3D Convolutional neural network was trained using images from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database. The ADNI dataset used for the model training and testing consisted of 822 subjects (472 AD and 350 MCI). The validation was performed on an independent dataset from La Fe University and Polytechnic Hospital. This dataset contained 90 subjects with MCI, 71 of them developed a neurodegenerative disease (64 AD, 4 FTD and 3 DLB) while 19 did not associate any neurodegenerative disease. The model had 79% accuracy, 88% sensitivity and 71% specificity in the identification of patients with neurodegenerative diseases tested on the 10% ADNI dataset, achieving an area under the receiver operating characteristic curve (AUC) of 0.90. On the external validation, the model preserved 80% balanced accuracy, 75% sensitivity, 84% specificity and 0.86 AUC. This binary classifier model based on FDG PET images allows the early prediction of neurodegenerative diseases in MCI patients in standard clinical settings with an overall 80% classification balanced accuracy.

 $\textbf{Keywords} \ \text{PET-Artificial intelligence-Deep learning-Alzheimer-Mild cognitive impairment-Neurodegenerative diseases}$

Joan Prats-Climent and Maria Teresa Gandia-Ferrero equal contributor.

- * Maria Teresa Gandia-Ferrero mteresa_gandia@iislafe.es
- Instituto de Instrumentación Para Imagen Molecular (I3M), Universitat Politècnica de València (UPV), Camí de Vera, s/n, 46022 Valencia, Spain
- Biomedical Imaging Research Group (GIBI230), La Fe Health Research Institute (IIS La Fe), Avenida Fernando Abril Martorell, 46026 Valencia, Spain
- Nuclear Medicine Service, La Fe University and Polytechnic Hospital, Avenida Fernando Abril Martorell, 46026 Valencia, Spain

Introduction

Dementia represents a true worldwide epidemic due to the progressive aging of the population. Dementia is a clinical syndrome defined by a persistent deterioration of higher brain functions, such as memory, orientation, calculation, language, and spatial perception. This deterioration entails a

- ⁴ Neurology Service, La Fe University and Polytechnic Hospital, Avenida Fernando Abril Martorell, 46026 Valencia, Spain
- ⁵ Radiology Service, La Fe University and Polytechnic Hospital, Avenida Fernando Abril Martorell, 46026 Valencia, Spain

loss of the patient's autonomy, and a detriment to their social, work and leisure activity. Dementia cases increase exponentially with age and is the main cause of dependency and disability in the elderly, and entails a very significant morbidity, which involves a major economic, social and health cost, that principally falls on family members. Nowadays, it is estimated that around 50 million people suffer from dementia across the world and about 75% of these cases correspond to Alzheimer's disease (AD). The following most common causes of dementia, after AD, are Dementia with Lewy Bodies (DLB) and Frontotemporal Degeneration (FTD). This fi e is constantly increasing, and according to the 2016 report by Alzheimer's Disease International, it is expected to reach 131 million people in 2050 if there is no effective treatment [1]. Thus, it is extremely important to provide an early diagnosis in order to ensure the optimal treatment.

Mild cognitive impairment (MCI) is conceptualized as a boundary or transitional state between aging and dementia. Memory deficit is both the usual complaint in MCI and the cardinal feature of AD. The major focus of MCI research has been to distinguish individuals who will progress to AD from those who will not. Interest in MCI has been stimulated by the hope that pharmacologic intervention at this stage may delay or prevent progression to AD. Patients with MCI may stay stable or progress to dementia. The diagnosis of MCI is established by evidence of memory impairment, preservation of general cognitive and functional abilities, and absence of diagnosed dementia. The Clinical Dementia Rating (CDR) a global rating test, was found to distinguish unambiguously among older subjects with a wide range of cognitive function, from healthy to severely impaired. MCI is staged clinically at the 0.5 level on the CDR scale [2-4].

An early recognition of patients with dementia presenting with MCI is clinically challenging, specifi diagnosis might be delay before deterioration is evident. Due to the high number of patients with MCI, the ability to diagnose and classify early stage dementia will have an impact on reducing the cost of long-time care by making more knowledgeable decisions regarding clinical interventions and treatment planning [5].

In the last years, developments of diagnostic tests improved the detection of dementia patients at MCI stage. Cerebrospinal fluid (CSF) amyloid-beta 42 (A_iJ_{42}), A_iJ_{40} , $A/)_{42}$ / $A/)_{40}$ ratio, threonine-181-phosphorylated-tau (p-tau), and total-tau (t-tau) are reliable biomarkers for amyloidosis, tauopathy, and neurodegeneration. These biomarkers allow to distinguish AD at MCI stages. CSF, obtained by lumbar puncture, is an invasive procedure that should not be used in subjects with MCI but a low probability to have a neurodegenerative dementia.

Positron emission tomography (PET) provides a non-invasive quantification of brain metabolism. It has been in the detection and diagnosis of neurodegenerative diseases

even in the initial stages when the patient only presents MCI. Although Amyloid PET is recognised as the tracer of choice, its availability and price might be a limiting factor. The most common and easily accessed PET radiopharmaceutical is [18F]FDG [6]. The support of the clinical use of FDG PET in diagnosing prodromal and dementia stages of most neurodegenerative disorders was based on its ability to detect neurodegenerative processes and to inform about the location and extent of neuronal dysfunction at early stages [7]. Although magnetic resonance can also be used in the diagnosis of dementia, this imaging modality is limited in many cases because the brain changes may be too subtle to detect, especially early in the course of the disease [8]. For its overall availability, reduced costs, and its utility in distinguishing distinct patterns of cortical hypometabolism in the initial stages of the neurological disease the FDG PET imaging modality has been the focus of this study.

FDG PET has demonstrated diagnostic and prognostic utility in the evaluation of patients with cognitive impairment and in the distinction between primary neurodegenerative disorders and other cognitive impairment [9–11]. However, sometimes it can be challenging to subjectively differentiate between MCI and neurodegenerative pathologies. To improve the precision and predictivity of FDG PET in this setting, a Deep Neural Network model was trained and externally validate to identify patients with an associated neurodegenerative disease among a group of MCI patients.

Materials and methods

Participants

ADNI dataset

Data for this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (http://adni.loni.usc.edu). The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. ADNI is a global research study that actively supports the investigation and development of treatments that slow or stop the progression of Alzheimer's disease (AD). In this multisite longitudinal study, researchers at 63 sites in the US and Canada track the progression of mild cognitive impairment (MCI) and early Alzheimer's disease (AD) in the human brain with clinical, imaging, genetic and biospecimen biomarkers. For up-to-date information, see www.adni-info.org.

FDG PET brain images from 822 patients (472 AD, 350 MCI) acquired at the baseline visit of each subject were downloaded from the ADNI open database. Final ADNI diagnosis at follow-up period for each patient was recorded and used as ground truth, which was determined

from neuropsychological testing, imaging, and fluid sample. This provided the dataset for training and internal validation of the proposed model. Demographical and neuropsychological characteristics of the ADNI dataset including gender, age, education years, Clinical Dementia Rating (CDR), Mini-Mental State Examination (MMSE) score and Functional Activities Questionnaire (FAQ) score are depicted in Table 1. CSF values are not considered since it was not collected from everyone in ADNI study, just from those that volunteered and consented to have a lumbar puncture.

La Fe dataset

For the external dataset from our hospital, patients with MCI in the neuropsychological evaluation in absence of functional impairment in daily living activities were recruited. MCI was defined by a regulated neuropsychological evaluation with a global CDR scale equal to 0.5 (questionable dementia). All cases were retrospectively recruited from the Cognitive Disorders Consultation of the Neurology Service from 2013 through 2020. Patient's data were obtained from the hospital electronic clinical records and images from the Picture Archiving and Communication System (PACS). Patients who presented cognitive functions deterioration. mainly memory, in the absence of functional impairment for activities of daily living, were initially included in the database. Those patients without CDR = 0.5 (MCI condition), without final diagnosis or without an FDG PET imaging were excluded. Figure 1 shows inclusion and exclusion criteria. Approval from the La Fe University and Polytechnic Hospital Ethics Committee was obtained for the study and the patients information consent was waived due to the retrospective non-interventional observational research on data design of the study.

Finally, 90 subjects who met the eligibility criteria were included. The final diagnosis was established after considering physical and neuropsychological examination, FDG

 $\textbf{Table 1} \ \ Demographical \ and \ neuropsychological \ characteristics \ of the \ ADNI \ dataset$

N of subjects 350 472 Female/Male 144/206 190/282 Age* 73±7 74±8 Education*(years) 16±3 16±3 CDR* 0.5 0.66±0.24			
Female/Male 144/206 190/282 Age* 73±7 74±8 Education* (years) 16±3 16±3 CDR* 0.5 0.66±0.24 MMSE* 28±2 25±3	Groups	MCI	AD
Age* 73±7 74±8 Education*(years) 16±3 16±3 CDR* 0.5 0.66±0.24 MMSE* 28±2 25±3	N of subjects	350	472
Education* (years) 16±3 16±3 CDR* 0.5 0.66±0.24 MMSE* 28±2 25±3	Female/Male	144/206	190/282
CDR* 0.5 0.66 ± 0.24 MMSE* 28 ± 2 25 ± 3	Age*	73±7	74±8
MMSE* 28±2 25±3	Education* (years)	16±3	16±3
	CDR*	0.5	0.66 ± 0.24
FAQ* 2.03±3.08 9.49±7.29	MMSE*	28±2	25±3
	FAQ*	2.03±3.08	9.49±7.29

MCI Mild Cognitive Impairment, AD Alzheimer Disease, MMSE Mini-Mental State Examination, FAQ Functional Activities Questionnaire

PET imaging, structural MR imaging, and CSF molecular analysis from the lumbar punction. This final diagnosis that considers all the results of the mentioned examinations is taken within a maximum period of one year. Furthermore, once the patients were retrospectively chosen meeting the inclusion criteria, the database was revised for greater precision in the clinical diagnosis. Patients were stratified into two groups: 71 MCI cases with associated neurodegenerative disease (64 with AD, 4 FTD and 3 DLB patients), and 19 patients with MCI but without an associated neurodegenerative disease (Fig. 1). Overall, there were 52 women and 38 men, aged between 46 and 78 years, 14 having university studies, 21 secondary studies, 54 primary studies and 1 with no studies. The demographic and neuropsychological information is collected in Table 2.

FDG-PET

The FDG PET images were retrieved from the PACS. All patients were acquired in a Philips Gemini TF 64 PET/CT scanner. Acquisition protocol followed the European Association of Nuclear Medicine procedure guidelines [12]. All patients were positioned comfortably in a quiet, dimly lit room about 20–30 min before [F]FDG administration and during the uptake phase of 135–165 MBq [F]FDG. Images were acquired between 20 and 35 min post-injection, for a total scan length between 10 and 20 min. 3D images were obtained by Ordered Subset Expectation Maximization (OS-EM) image reconstruction algorithm using time offl All images were validated by a nuclear medicine physician for quality

Images were downloaded in the DICOM format with $128 \times 128 \times 90$ matrix resolution, and $2 \times 2 \times 2$ mm voxel size. Images were co-registered to a Dementia-Specific FDG PET template from the Montreal Neurological Institute [13] and smoothed with a 4 mm FWHM filter. The software Statistical Parametric Mapping 12 (SPM12) in MATLAB R2014b was used for this task [14]. All scans were resized to a $110 \times 92 \times 92$ resolution with $2 \times 2 \times 2$ mm voxel size as to match with the template resolution and voxel size, adding two extra voxels in the borders of the z-axis with zero value.

Neuralnetwork

A 3D CNN was implemented to separate MCI cases with from without associated neurodegenerative disease. Convolutional neural networks are a state-of-the-art algorithm in computer vision problems, since they have greatly benefi from the recent development and availability of high-performance computing systems together with large-scale data repositories [15] They are particularly useful in image classification, where they manage to learn

^{*}Values are presented as mean ± standard deviation (SD)

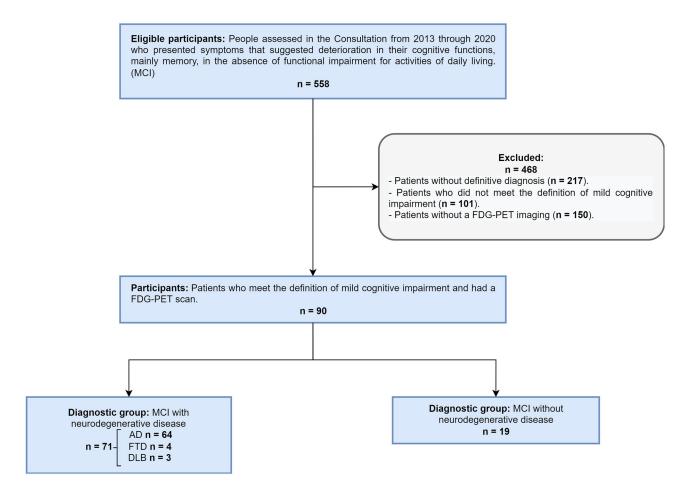


Fig. 1 Eligibility criteria for the participants. From the 558 people assessed in the Consultation 90 participants who met the eligibility criteria were included (71 MCI with neurodegenerative disease and 19 MCI without neurodegenerative disease). All of them met the defi-

nition of MCI (Clinical Dementia Rating = 0.5) and had an FDG-PET scan. MCI = Mild Cognitive Impairment, AD= Alzheimer Disease, FTD = Frontotemporal Degeneration, DLB = Dementia with Lewy Bodies

the most important patterns of an input image in the form of an activation map, which is subsequently used in the decision making.

The CNN consists of 3 convolutional and pooling blocks attached to a fully connected layer with rectified linear units as activation function. Convolutional filter size of $3 \times 3x3$, Adam optimizer [16] with $1.5 \cdot 10^{-3}$ learning rate and binary cross-entropy loss function where chosen, with a batch size set to 8. Dropout layers were attached after the convolution blocks and the fully connected layer [17]. This choice of fi parameters of the network and batch size was done after some testing starting from a VGG-like convolutional network (Visual Geometry Group Network) [15]which was simplified and adapted for our problem and dataset. A reasonable trade-off between accuracy and computation time was taken into consideration when choosing the architecture and parameter values. The size of the batch was also chosen to avoid computational memory problems. These reduced number of convolutional blocks and filters, together with

the addition of dropout layers, were ensured to control overfi in the training process. A depiction of the network architecture and parameters is shown in Table 3, and the architecture of the neural network is illustrated in Fig. 2.

Python's framework for DL Keras [18] was used for building the model. The 3D CNN was launched on a Linux operating system (Ubuntu 18.04) with 2 Nvidia GeForce RTX 2080 GPUs and Intel i7-7800 × CPU. The 3D CNN was trained and validated on a dataset downloaded from the Alzheimer's Disease Neuroimaging Initiative (ADNI) open database [19]. This dataset consists of 822 subjects (472 AD, 350 MCI). FDG PET brain images acquired at the baseline visit of each subject were used as input for the network. The ADNI diagnosis (baseline diagnosis) considered neuropsychological testing, imaging, and fl sample. 90% of ADNI dataset was used for training and internal validation of the algorithm and the remaining 10% was used for testing the eff eness of the algorithm. ADNI image pre-processing included dynamic frame

Table 2 Demographical neuropsychological and cerebrospinal fluid characteristics of the independent sample

Groups	MCI with neurodegenerative disease	MCI without neurodegenerative disease
N of subjects	71	19
Female/Male	41/30	11/8
Age^*	69±5	66±7
US/SS/PS/NS	12/16/42/1	2/5/12/0
MMSE*	24±5	25±2
FAQ^*	6.94±6.38	5.68±6.16
RBANs*	338±61	357±65
Aβ42 CSF [*]	559 ± 267	1003 ± 384
p-tau CSF*	110±65	43±17
t-tau CSF [*]	735±506	286 ± 113

MCI Mild Cognitive Impairment, US university studies, SS secondary studies, PS primary studies, NS no studies, MMSE Mini-Mental State Examination, FAQ Functional Activities Questionnaire, RBANs Repeatable Battery for the Assessment of Neuropsychological Status, CSF cerebrospinal fluid

co-registration and averaging. Further pre-processing steps were carried out including co-registration to a Dementia-Specifi FDG PET template [13] and smoothing with a 4 mm FWHM fi . The software SPM12 [14], was used for this task. With this setup and without taking into consideration the image pre-processing tasks which had to be revised manually, the computation time for our network to load the images, train and test was up to a few hours. Once the network was trained and tested, the model was applied to the hospital independent set of images to perform an external validation of the CNN performance.

Table 3 3D-CNN architecture and hyperparameters

Layer ID	Layer	Kernel number	Kernel size
0	input		
1	Conv3D-1	2	(3,3,3)
2	Max Pooling3D-1		(2,2,2)
3	Dropout (0.4)		
4	Conv3D-2	8	(3,3,3)
5	Max Pooling3D-2		(2,2,2)
6	Dropout (0.4)		
7	Conv3D-3	16	(3,3,3)
8	Max Pooling3D-3		(2,2,2)
9	Dropout (0.4)		
10	Fully Connected	128	
11	Dropout (0.5)		
12	Sigmoid	2	

Results

To evaluate the performance of the developed deep learning model based on ADNI data, several classification metrics such as Sensitivity, Specifi , Balanced Accuracy, and area under the receiver operating characteristic curve (AUC) were considered. The CNN tested on the 10% ADNI dataset (41 MCI and 41 AD subjects) yielded a sensitivity and specifi of 88% (36 out of 41 AD subjects correctly identifi and 71% (29 out of 41 MCI) respectively, with an accuracy of 79% in classifying AD from MCI patients. An AUC of 0.897 was obtained.

This same model was applied to the institution dataset of 90 patients, 19 MCI without an associated neurodegenerative disease and 71 MCI with an associated neurodegenerative disease. The sensitivity and specifi of 75% (53 out of 71 MCI with an associated neurodegenerative disease identifi and 84% (16 out of 19 MCI without an associated neurodegenerative disease identified) values were respectively obtained, with a balanced accuracy of 80% and an AUC of 0.860. In detail, in the MCI with an associated neurodegenerative disease group 48 out of 64 AD, 4 out of 4 FTD and 1 out of 3 DLB were correctly classifi by the model. The following table and fi es provide an overview of this information: Table 4 includes the comparison between ADNI and La Fe classification metrics. For the ADNI test dataset confusion matrix and receiver operating characteristic curve are depicted, respectively, in Figs. 3 and 4, and for the hospital dataset, in Figs. 5 and 6.

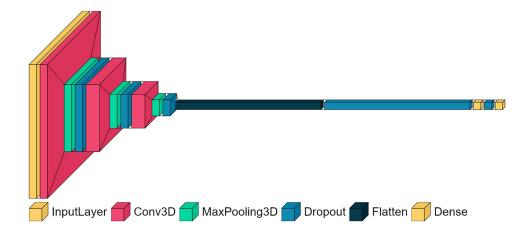
In order to check for the interpretability of the developed model, several visualization tools for the generation of the network attention maps have been investigated, highlighting relevant features of the input image which the learned model uses in the fi prediction. Saliency maps [20], SmoothGrad [21] and Grad-CAM [22] visualization algorithms were computed and averaged to both ADNI validation and the institution dataset. Results from the validation set are depicted in Figs. 7, 8, and 9.

Discussion

In this study, a Deep Learning algorithm that classifi patients who present MCI into two diagnostic groups by using FDG PET imaging modality was proposed. The classification allows us to distinguish between those who present a neurodegenerative disease and those who doesn't. Cognitively unimpaired participants were not included because they can be more easily classifi by other examinations, and therefore an imaging scan is not

^{*}Values are presented as mean ± standard deviation (SD)

Fig. 2 Neural network architecture. The CNN consists of 3 convolutional and pooling blocks (Conv3D+Max-Pooling3D+Dropout) attached to a fully connected layer after a flatten layer



ordered. Likewise, patients with a higher clinical dementia rating were not included either, which present moderate and severe dementia. Although other studies include them, it was considered that the most challenging classifition in the clinical routine is to distinguish those patients who have a neurodegenerative disease due to their MCI among a group of patients in their initial stage of cognitive impairment.

The DL neural network was trained and validated fi st on the ADNI dataset. Then, in order to compare results, the network was validated on a dataset composed of 90 patients whose images were acquired in the hospital La Fe. 71 out of 90 patients were diagnosed as MCI without an associated neurodegenerative disease. The obtained results show that the model trained on the ADNI dataset can be applied on the hospital images preserving 80% of balanced accuracy in the diagnosis classification. The sensitivity and specificity of the validation were 75% and 84% respectively. This validation has demonstrated the reproducibility of the ADNI based trained network in an external dataset. The network exhibits acceptable performance when evaluated on both datasets, enabling to learn the non-complex features in the brain image when performing classification.

A possible source of error can be, on the one hand, the selection bias, because all ADNI subjects had not a CDR = 0.5, which means that the cognitive impairment might not be in the beginnings, while all patients from the validation dataset had CDR = 0.5. Furthermore, the ADNI dataset also included only AD neurodegenerative disease, while in the validation dataset DLB and FTD patients were

Table 4 3D-CNN classification metrics

TestSet	Balanced Accuracy	Sensitivity	Specificity	AUC
ADNI 10%	0.79	0.88	0.71	0.897
La Fe	0.80	0.75	0.84	0.860

included in the MCI with an associated neurodegenerative disease group (nevertheless, 100% FTD patients were correctly distinguished from those MCI without an associated neurodegenerative disease, but DLB patients were not as good identifi as FTD patients). Even though an algorithm that it has not been trained with those images might not work properly with those cases, this study wanted to bring the results closer to the real hospital situation, where DLB and DFT patients are together with AD patients in the MCI group. On the other hand, unlike magnetic resonance images, there is not a standardized method to process or prepare the FDG PET images, so the considerations may have not be the optimal. Finally, an appropriate partial volume correction (PVC) on FDG PET images might have enhanced the brain network structure analysis and improved classification performance [23]. No PVC was considered due to the lack of MR in part of the 90 patients.

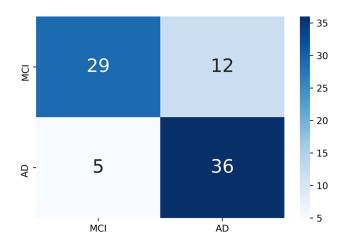


Fig. 3 Confusion matrix for ADNI test dataset. The model performance can be evaluated from this matrix. ADNI test dataset achieved a sensitivity of 88% (36 out of 41 AD subjects correctly identified) and s specificity of 71% (29 out of 41 MCI) with an accuracy of 79% in classifying AD from MCI patients. MCI=Mild Cognitive Impairment, AD=Alzheimer Disease

Fig. 4 ROC curves for ADNI test dataset. The model performance can be evaluated from the area under the receiver operating characteristic curve (AUC). For ADNI test dataset the AUC obtained is 0.897

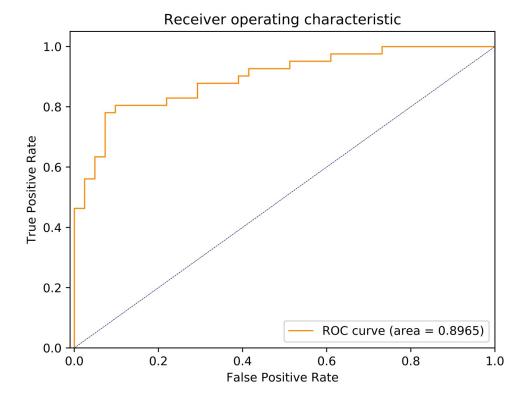
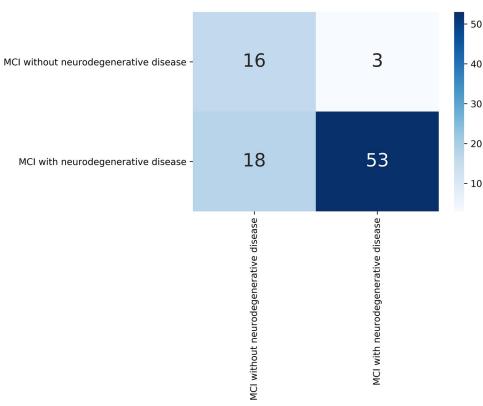


Fig. 5 Confusion matrix for La Fe dataset. The model performance can be evaluated from this matrix. La Fe dataset achieved a sensitivity of 75% (53 out of 71 MCI with an associated neurodegenerative disease identified) and specificity of 84% (16 out of 19 MCI without an associated neurodegenerative disease identified), with a balanced accuracy of 80%. MCI = Mild Cognitive Impairment



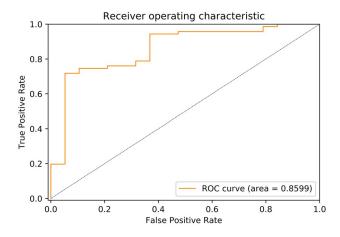


Fig. 6 ROC curves for La Fe dataset. The model performance can be evaluated from the area under the receiver operating characteristic curve (AUC). For La Fe dataset the AUC obtained is 0.897

Another limitation of the study is thought to be the small size of the dataset, compared with other studies [24] which are of the order of thousands of images in the training process. The size of the datasets were restricted by the criteria of our experiment and the availability of data, since only the fit scan of each patient at baseline visit was considered. Despite this, a reasonable performance was achieved.

Apart from that, as shown in the network attention maps, Saliency maps and SmoothGrad appear to be highlighting posterior cingulate and superior parietal areas. These brain sections agree with the FDG endophenotype of AD [25]. However, Grad-CAM method show right prefrontal brain area being focused, which is not typical of AD. This leads us to speculate that SmoothGrad is the most reliable method for this study because it is consistent with the FDG endophenotype of AD. Still, these visualization methods for debugging the neural network need to be treated carefully, since they

Fig. 7 Saliency maps visualization algorithm computed and averaged on La Fe dataset. Saliency maps are a type of neural network attention maps which lead us to check for the model interpretability. This map appears to be highlighting posterior cingulate and superior parietal areas. These brain sections agree with the FDG endophenotype of Alzheimer Disease

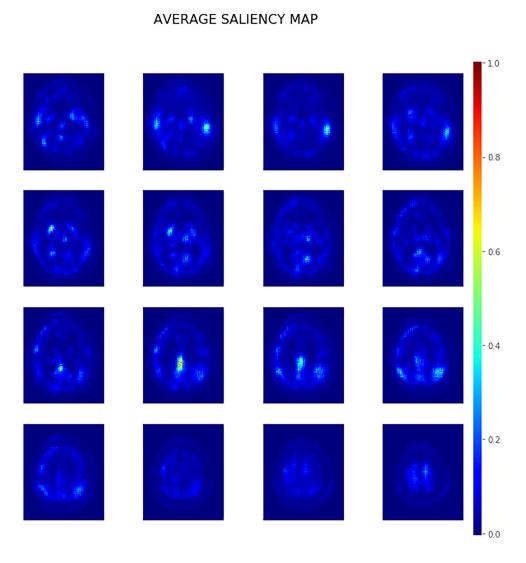
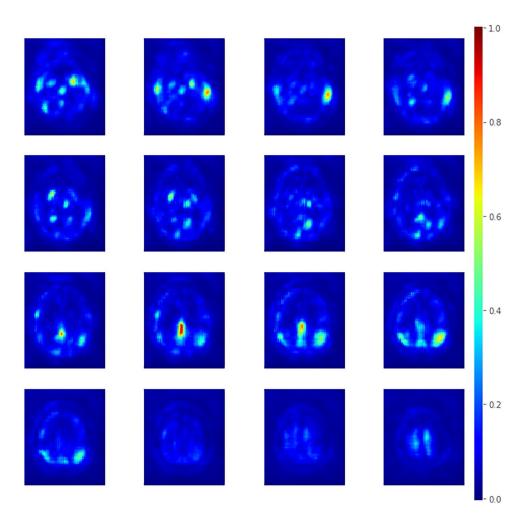


Fig. 8 SmoothGrad visualization algorithm computed and averaged on La Fe dataset. SmoothGrad maps are a type of neural network attention maps which lead us to check for the model interpretability. This map appears to be highlighting posterior cingulate and superior parietal areas. These brain sections agree with the FDG endophenotype of Alzheimer Disease

AVERAGE SMOOTHGRAD



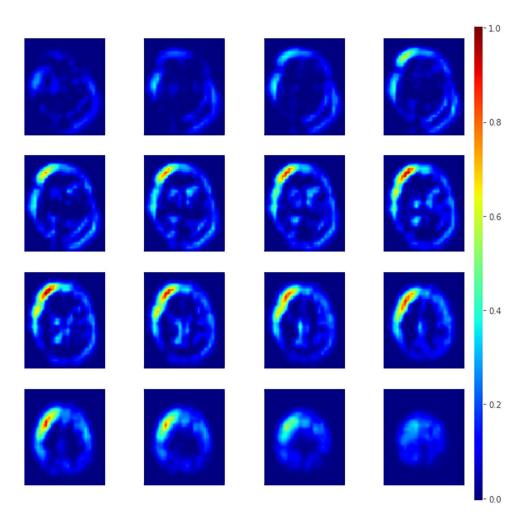
are very fragile to small perturbations in the input image [26]. Other studies have also reported this fact. Ding et al. [24] found that the Saliency map suggested that their model was considering the whole brain when making a prediction. Further research in this topic is needed in order to achieve more robustness in the interpretation of neural networks.

There are several AI studies which their aim is to classify neurodegenerative diseases [5, 24, 27–31]. A brief summary is depicted in Table5. Although some of them achieve better results for the accuracy, specifi , or sensitivity metrics, there are few studies which only used PET imaging for the classification, most of them also use MR and/or other biomarkers. Additionally, most of the studies do not focus on classifying patients who have CDR = 0.5 (MCI condition), which is the difficult clinical goal. For example, Manhua et al. [31] obtained better balanced accuracy than in this study using FDG PET images for diff entiating AD or MCI vs HC, but in their study these subjects can be perfectly classifi (accuracy = 1, sensibility = 1 and

specificity = 1) by their CDR, because all HC subjects had CDR = 0, AD patients had CDR = 0.8 ± 0.25 and MCI subjects, CDR = 0.5 ± 0.04 , while in the validation dataset of the present study all subjects had the MCI condition (CDR = 0.5). Moreover, few studies have done an external validation to verify its reproducibility. Ding et al. [24] also developed a deep learning algorithm using ADNI FDG PET images and validated the model on an independent dataset. Unlike the present study, they also included cognitively unimpaired participants, so they did a 3-class classification. Another difference between both studies is that Ding et al. did not exclude patients with a high clinical dementia rating in their validation, that may not be in the initial stage of cognitive impairment. Although 3-class classification results are not easy to compare with the results of a binary classifier, if you analyse the balanced accuracy Ding et al. obtained 59% on their model validation in the independent dataset while in this study 80% balanced accuracy was achieved. They also compared the algorithm performance

Fig. 9 Grad-CAM visualization algorithm computed and averaged on La Fe dataset. Grad-CAM maps are a type of neural networkattention maps which lead us to check for the model interpretability. This map shows right prefrontal brain area being focused, which is not typical of Alzheimer Disease

AVERAGE GRADCAM



to that of radiologic readers and they showed that the model outperformed three radiology readers in predicting the final diagnosis of AD. Their result reinforces the evidence that deep learning models applied to the classification of neurodegenerative diseases are a great support for nuclear medicine physicians. However, this study has gone beyond the comparison with radiology readers. In the present study

the performance of the Deep Learning algorithm has been tested against the final diagnosis of the patient, which was determined not only by the reports of the radiologists but the neuropsychological examinations and CSF molecular analysis. It has been considered that the comparison against the diagnosis as the ground truth follows a stricter criterion and therefore, it is a strongest goal.

Table 5 State of the art studies where Artificial Intelligence has been applied to classify neurodegenerative diseases

Reference	Data source	Datasetmodalities	N subjects	Dataset split ratio	Classification groups	Sensibility	Specifitid y	Balanced accuracy	AUC	External validation
[3]	ADNI	FDG PET+sMRI+CSF+APOE	N=158 (38 AD, 36 MCIs, 46 MCIc, 38 HC)	train 75% test 25%	AD vs HC MCIs vs MCIc AD vs MCIs AD vs MCIc HC vs MCIc HC vs MCIc	1 1 1 0.92 0.95	0.96 0.89 0.92 0.93 1 0.89	0.98 0.95 0.96 0.92 0.97 0.95	0.983 0.936 0.968 0.946 0.964 0.952	No
		FDG PET			AD vs HC MCIs vs MCIc AD vs MCIs AD vs MCIc HC vs MCIc HC vs MCIc	0.90 1 0.90 0.66 0.88 0.92	0.90 0.85 0.92 0.88 1	0.90 0.93 0.91 0.77 0.94 0.86	0.925 0.904 0.892 0.840 0.911 0.897	
[22]	ADNI	FDG PET	N=1002 (236 AD, 406 MCI, 360 Non-AD/MCI)	train 90% test 10%	AD vs MCI vs Non-AD/MCI	0.81 0.54 0.59	0.94 0.68 0.75	0.65	0.920 0.630 0.730	Yes
	Author's institution		N=40 (7 AD, 7 MCI, 26 Non-AD/MCI)	test 100%	AD MCI Non-AD/MCI	1 0.43 0.35	0.82 0.58 0.93	0.59	0.980 0.340 0.840	
[25]	ADNI	MRI+FDG PET	N=397 (93 AD, 76 pMCI, 128 sMCI, 100 HC)	tenfold cross-validation	AD vs HC pMCI vs HC sMCI vs HC	0.98 0.83 0.71	0.92 0.89 0.60	0.95 0.86 0.65	0.968 0.911 0.692	No
[26]	ADNI + European DLB Consortium	FDG PET	N=757 (200 AD, 200 MCI, 157 DLB, 200 HC)	train 90% test 10%	AD vs MCI vs DLB vs HC	0.91 0.17 0.86 0.88	0.92 0.94 1 0.90	0.70	0.964 0.714 0.962 0.947	No
[27]	ADNI	MRI+FDG PET	N=397 (93 AD, 76 pMCI, 128 sMCI, 100 HC)	-	AD vs HC pMCI vs HC sMCI vs HC	0.93 0.81 0.63	0.94 0.84 0.67	0.93 0.83 0.65	0.967 0.884 0.670	No
		FDG PET			AD vs HC pMCI vs HC sMCI vs HC	0.91 0.78 0.64	0.86 0.79 0.66	0.88 0.78 0.64	0.945 0.860 0.666	
[28]	Chosun University, NRCD	MMSE + rs-fMRI	N=331 (133 AD, 198 HC)	tenfold cross-validation	AD vs HC	0.98	0.67	0.85	-	No
[29]	ADNI	FDG PET	N=339 (93 AD, 146 MCI, 100 HC)	tenfold cross-validation	AD vs HC MCI vs HC	0.91 0.78	0.91 0.80	0.91 0.79	0.953 0.839	No

AUCArea Under the receiver operating characteristic Curve, ADNI Alzheimer's Disease Neuroimaging Initiative, FDGPET Fluorodeoxyglucose Positron Emission Tomography, sMRI structural Magnetic Resonance Image, CSF Cerebrospinal Fluid, APOE Apolipoprotein E genotype, AD Alzheimer Disease, MCIs Mild Cognitive Impairment stable, MCIc Mild Cognitive Impairment converter, HC Healthy Controls, MCIp progresive Mild Cognitive Impairment, DLB Dementia with Lewy Bodies, rs-fMRI resting-state functional Magnetic Resonance Image, NRCD National Research Center for Dementia

Conclusions

A binary classifier model based on a 3D Convolutional neural network using the FDG PET patient baseline image was successfully trained and validated. This algorithm allows the early prediction of neurodegenerative diseases in MCI patients in standard clinical settings with an overall 80% classification balanced accuracy.

The model can help for the early non-invasive prediction of neurodegenerative diseases in patients with MCI. This Deep Learning model is still not being used in the hospital, but it can be integrated as an experimentation decision support tool for the nuclear medicine physicians in day-to-day care. In order to improve the model for future applications, a new neural network architectures should be further developed to try to improve results by applying transfer learning from the DL neural network trained on the ADNI images to the hospital dataset. Also including other non-image variables as in biometric values which are important in the neurodegenerative disease diagnosis to fi y get better classification results. Further routines of the code could be investigated to improve performance, as in a more exhaustive search of the optimal parameters of the network and of the pre-processing image steps.

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Data availability The training and test datasets analysed during the current study are part of the ADNI repository, http://www.loni.ucla.edu/ADNI. The validation dataset analysed during the current study is available from the corresponding author upon reasonable request. The deep learning network algorithm developed during the current study is available from the first author upon reasonable request.

Declarations

Ethics approval Approval was granted by the La Fe University and Polytechnic Hospital Ethics Committee for the study with registration number: 2019–084-1 and the patients information consent was waived due to the retrospective non-interventional observational research on data design of the study.

 $\begin{tabular}{ll} \textbf{Competing interests} & \textbf{The authors declare that they have no competing interests.} \end{tabular}$

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