## Contents

Acknowledgements					
Re	esum	en - Re	sum - Abstract	vii	
Lis	st of 1	tables		xvii	
Lis	st of t	figures		xix	
Lis	st of a	acrony	ms	xxv	
1	Intro	Introduction			
	1.1	Silicor	n-based integrated photonics	1	
		1.1.1	Silicon technology	2	
		1.1.2	Applications	3	
		1.1.3	Beyond silicon: Hybrid material integration	12	
	1.2	Metal	oxides for hybrid integrated photonics	15	
		1.2.1	Transparent conducting oxides (TCOs)	15	
		1.2.2	Vanadium dioxide (VO <sub>2</sub> )	21	
	1.3	Objec	tives, methodology, and outline of the thesis	26	
2	Tow	ard no	n-volatile switching in silicon photonic devices	31	
	2.1	Review	v of non-volatile technologies for silicon photonics	32	
		2.1.1	Introduction	32	
		2.1.2	Optical bistability on silicon	35	

		2.1.3	Non-volatile switching enabled by device engineering	37
		2.1.4	Non-volatile switching enabled by materials integration	47
		2.1.5	Conclusions and perspectives	58
	2.2	Non-v	olatile epsilon-near-zero readout memory	62
		2.2.1	Memory architecture and working principle	62
		2.2.2	Selection of the tunneling and blocking oxides	63
		2.2.3	Electrical modeling and retention time	64
		2.2.4	Writing/erasing power consumption and speed	66
		2.2.5	Optical performance	68
	2.3 Ultra-compact non-volatile Mach-Zehnder switch enabled			
		high-r	nobility TCO	71
		2.3.1	Non-volatile Mach-Zehnder switch concept	71
		2.3.2	CdO as an alternative to ITO for low-loss phase-based ap-	
			plications	73
		2.3.3	Optimal carrier concentration of CdO floating gate	73
		2.3.4	Electro-optic performance	78
	2.4	Discus	ssion	80
3	Pow	er-effic	ient thermo-optic phase shifters using TCO-based trans	5-
3	Pow pare	er-effic ent hea	ient thermo-optic phase shifters using TCO-based trans	s- 83
3	Pow pare 3.1	v <mark>er-effic</mark> ent hea Reviev	<b>tient thermo-optic phase shifters using TCO-based trans</b> I <b>ters</b> w of thermo-optic phase shifters in silicon	<b>83</b> 84
3	Pow pare 3.1	er-effic ent hea Reviev 3.1.1	tient thermo-optic phase shifters using TCO-based trans Iters Intermo-optic phase shifters in silicon Introduction	8- 83 84 84
3	Pow pare 3.1	ver-effic ent hea Review 3.1.1 3.1.2	<b>tient thermo-optic phase shifters using TCO-based trans</b> <b>ters</b> w of thermo-optic phase shifters in silicon Introduction Thermo-optic phase shifters: fundamentals and configura-	<b>83</b> 84 84
3	Pow pare 3.1	ver-effic ent hea Review 3.1.1 3.1.2	tient thermo-optic phase shifters using TCO-based trans ters v of thermo-optic phase shifters in silicon Introduction Thermo-optic phase shifters: fundamentals and configura- tions	83 84 84 90
3	Pow pare 3.1	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3	tient thermo-optic phase shifters using TCO-based trans of thermo-optic phase shifters in silicon Introduction	83 84 84 90 107
3	Pow pare 3.1	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra-	tient thermo-optic phase shifters using TCO-based trans of thermo-optic phase shifters in silicon	83 84 84 90 107
3	Pow pare 3.1	ver-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized	ters v of thermo-optic phase shifters in silicon	83 84 84 90 107 112
3	Pow pare 3.1 3.2	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1	tient thermo-optic phase shifters using TCO-based trans         ters         v of thermo-optic phase shifters in silicon         Introduction         Thermo-optic phase shifters: fundamentals and configura- tions         All-optical phase shifters         Iow loss hybrid ITO/Si thermo-optic phase shifter with opti- power consumption         Design methodology	83 84 84 90 107 112 112
3	Pow pare 3.1 3.2	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1 3.2.2	tient thermo-optic phase shifters using TCO-based trans of thermo-optic phase shifters in silicon	83 84 84 90 107 112 112
3	Pow pare 3.1 3.2	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1 3.2.2	ters v of thermo-optic phase shifters in silicon Introduction Thermo-optic phase shifters: fundamentals and configura- tions All-optical phase shifters low loss hybrid ITO/Si thermo-optic phase shifter with opti- power consumption Design methodology Influence of the spacer on the power consumption and switching speed	83 84 84 90 107 112 112 114
3	Pow pare 3.1	ver-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1 3.2.2 3.2.3	ters w of thermo-optic phase shifters in silicon	83 84 84 90 107 112 112 114 118
3	Pow pare 3.1 3.2 3.2	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1 3.2.2 3.2.3 All-op	ient thermo-optic phase shifters using TCO-based trans ters v of thermo-optic phase shifters in silicon	83 84 84 90 107 112 112 114 118
3	Pow pare 3.1 3.2 3.3	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1 3.2.2 3.2.3 All-op epsilo	tient thermo-optic phase shifters using TCO-based trans ters w of thermo-optic phase shifters in silicon	83 84 84 90 107 112 112 114 118 123
3	Pow pare 3.1 3.2 3.3	rer-effic ent hea Review 3.1.1 3.1.2 3.1.3 Ultra- mized 3.2.1 3.2.2 3.2.3 All-op epsilo 3.3.1	tient thermo-optic phase shifters using TCO-based trans of thermo-optic phase shifters in silicon	83 84 84 90 107 112 112 114 118 123 124

	3.4	<ul> <li>3.3.3 Coupling loss and excitation of higher-order modes</li> <li>3.3.4 Thermo-optic performance</li></ul>	125 127 129 131			
4	Ultr 4.1 4.2	<ul> <li>a-compact and broadband nonlinear optical devices using VO<sub>2</sub></li> <li>Review of nonlinear optical integrated devices</li></ul>	<b>135</b> 135 136 138 144 147			
		4.2.1 $VO_2/SI$ waveguide and its transmission response	147			
	4.2	4.2.2 Experimental all-optical switching performance	150			
5	4.3 4.4 Con	convertineshold power and tunable integrated optical limiter based         on an ultra-compact VO <sub>2</sub> /Si waveguide         4.3.1         In-plane photothermal response         4.3.2       Optical limiting condition         4.3.3       Experimental results         Discussion       Optical limiting condition	156 156 158 159 164 <b>167</b>			
-			207			
Au	ithor'	's merits	175			
Α	<b>Арр</b> А.1	endices         Simulations methods         A.1.1         Materials' properties         A.1.2         Optical simulations         A.1.3	<ul> <li>181</li> <li>181</li> <li>181</li> <li>182</li> <li>182</li> </ul>			
	Α 2	Fabrication processes	188			
	A.3	Characterization setups	190			
Bibliography 193						