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Additional Information

1 *Research paper*

2 **Physiological and biochemical performance of almond trees**
3 **under deficit irrigation**

4

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20 Running title: *Almond tree ecophysiology under deficit irrigation*

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27 350-800

28 **ABSTRACT**

29 The almond tree is generally recognized as drought-tolerant, though it depends on
30 water resources to achieve high yields. During the summer months of two consecutive
31 years, several physiological and biochemical parameters were observed, to understand
32 the almond tree's seasonal sensitivity and behavior under different irrigation strategies
33 based on crop evapotranspiration (ET_c): T100 optimal water requirement regime
34 (applying 100% ET_c); T70 and T35 sustained deficit irrigation regimes (applying 70%
35 and 35% ET_c); T100-35 regulated deficit irrigation regime (reducing the application to
36 35% ET_c during fruit filling stage); and T0 (rainfed). The total leaf chlorophyll and
37 carotenoid reduction in T0 and T35 treatments was significant compared to T100-35.
38 Leaf soluble proteins and total soluble sugar contents were significantly higher in non-
39 irrigated trees compared to other treatments, while the starch content showed the
40 opposite trend. Rainfed trees were under obvious water stress, displaying the lowest
41 values for relative water content (RWC), stomatal conductance (g_s), photosynthetic rate
42 (A), and transpiration rate (E), and the highest for intrinsic water use efficiency (A/g_s).
43 Plant hormones (ABA and IAA) generally accumulated more in non-irrigated trees. The
44 almond tree has been confirmed as a drought-tolerant species, and when water is scarce,
45 reducing the water application to 35% ET_c during fruit filling stage, results in no yield
46 losses, and the plant status remains unstressed. However, to get the maximum crop
47 potential, in years and areas where water is not restricted, full irrigation requirements
48 have been shown to boost almond tree performance.

49 **Keywords:** Gas exchange; Photosynthetic pigments; Sugars; Starch; Proteins;
50 Plant hormones

51 **1. Introduction**

52 Water scarcity and drought phenomena are rapidly spreading worldwide. In the
53 Mediterranean basin, the situation is still not critical, but signs of water shortage and
54 drought are present, with frequent prolonged periods without rain. Furthermore, due to
55 the enormous growth in the human population, potential future scarcities of water
56 resources are on the rise (WWAP, 2015).

57 Plant response to drought depends on the nature of the water shortage, and
58 includes different physiological and biochemical responses, acclimatization and finally
59 adaptation to drought (Yadollahi et al., 2011). The use of water-saving tools such as
60 deficit irrigation strategies has been encouraged by the European Water Framework
61 Directive 2000/60 (The European Parliament and the Council of the European Union
62 2000).

63 The almond (*Prunus dulcis* (Mill) D.A. Webb) is grown in semiarid areas exposed
64 to long periods of drought and high temperatures. It is a species generally recognized as
65 able to grow in conditions of water shortage, without requiring irrigation. However,
66 yield is directly related to tree performance, and greatly depends on water availability at
67 critical seasonal stages (Egea et al., 2010). To promote the sustainable growth of
68 almond trees, an array of irrigation management practices has been proposed to improve
69 water usage and productivity, such as managing deficit irrigation based on crop
70 evapotranspiration. Deficit irrigation is probably one of the most used strategies, where
71 the applied water is reduced to under the optimal level for trees' full requirements
72 (English, 1990). Among deficit irrigation strategies, the regulated deficit irrigation is
73 based on reducing the irrigation just during some periods when the crop is less sensitive
74 to water stress (Girona et al., 2005). Almond trees are reported to be relatively tolerant
75 to stress during kernel filling stage (Goldhamer and Viveros, 2000). With the aim to

76 identify the most efficient irrigation method for almond trees, some studies have been
77 carried out so far (Egea et al., 2009, 2010 and 2013; Goldhamer et al., 2006; Girona et
78 al., 2005; Zhu et al., 2015). Deficit irrigation in almond trees led to water savings,
79 despite not showing increase in yield (Egea et al., 2010; 2013; Girona et al., 2005;
80 Romero et al., 2004). Furthermore, farmers' decision of using the deficit irrigation lies
81 also in the fact whether the potential decreased yield may be compensated by the
82 decreased costs of applying less irrigation water. Data on the tree's physiological and
83 biochemical status under different water conditions may lead to better understanding of
84 processes that are happening and to help produce a stable crop yield and influence the
85 quality of the final product.

86 Abiotic stresses caused by drought, among other factors, directly impact
87 photosynthesis by disrupting photosynthetic components, including carbon fixation,
88 stomatal conductance, and photosynthetic pigments (Ashraf and Harris, 2013). Under
89 drought stress, the synthesis of photosynthetic pigments is usually negatively affected,
90 and degradation often occurs (Farooq et al., 2009). The most immediate response by
91 plants to stress is stomatal closure, which reduces the transpiration rate and CO₂
92 assimilation, and decreases the availability of CO₂ for further photosynthetic processes
93 (Farooq et al., 2009), affecting the carbohydrate status of the plants and finally the yield.
94 Furthermore, as intrinsic water use efficiency is directly related to stomatal
95 conductance, it typically increases under drought conditions. All these responses occur
96 under the action of abscisic acid (ABA), a phytohormone triggered by drought stress,
97 and known as the 'plant stress hormone'. It plays an important role in inducing stomatal
98 closure due to its part in the signal transduction network in guard cells (Tuteja, 2007).
99 Abscisic acid may also play an important role in the regulation of starch behavior
100 during osmotic stress in plants, by controlling the activity of enzymes responsible for

101 starch degradation (Thalmann et al., 2016). On the other hand, many species accumulate
102 soluble sugars in response to stressful environmental conditions, thus contributing to
103 osmotic adjustment in the cells of stressed plants (Mohammadkhani and Heidari, 2008).
104 However, variations in environmental conditions may also lead to a major decrease in
105 photosynthetic efficiency, reducing the supply of soluble sugars to sink tissues (Rosa et
106 al., 2009). Stress may induce the synthesis of a variety of proteins, leading to an
107 increase in the total content of soluble proteins, while can also have a negative effect on
108 protein synthesis (Dubey, 1999).

109 Almond is a drought-tolerant species that can grow in conditions of water
110 shortage, however, to achieve the crop's best performance, water is indispensable need.
111 Therefore, the main aim of this study was to get to know how different deficit irrigation
112 strategies influenced the summer physiological and biochemical status of almond trees
113 grown in Northern Portugal.

114

115 **2. Materials and methods**

116 *2.1. Experimental trial*

117 The experiment was carried out in a 15 year-old commercial almond orchard
118 located in *Alfândega da Fé*, Portugal (41°21'N; 6°56'W; 576 m above sea level), during
119 2015 and 2016. Studies were conducted on the late blooming French variety
120 'Ferragnès'. It is a water-sensitive almond variety (Karimi et al., 2013), well adapted to
121 Portuguese agro-climatic conditions and often grown there for the nutritional and
122 sensorial properties of its kernel and high yield. The almond trees were all grafted on
123 GF-677 rootstock and spaced 6 x 4 m apart. The experiment followed a randomized
124 block design, with four (n=4) replications, each experimental unit consisting of three
125 trees (in total n=12 trees treatment⁻¹). The trees had been irrigated following local

126 practices since planting. Trees were drip irrigated when planted and during the 1st and
127 2nd year, with 2 irrigation events per day in summer months, particularly when the
128 conditions were more severe. Afterwards, the local practice is to irrigate trees depending
129 on the weather conditions, irrigating, on average, once per month.

130 Different irrigation treatments were assayed based on crop evapotranspiration
131 (ET_c): T100 optimal water requirement regime (applying 100% of ET_c); T70 and T35
132 sustained deficit irrigation regimes (applying 70% and 35% of ET_c); T100-35 regulated
133 deficit irrigation regime (100% ET_c during fruit development, reducing the application
134 to 35% ET_c during fruit filling); and T0 (rainfed). Irrigation season began when the
135 predawn leaf water (ψ_{pd}) potential reached values in range -0.4 to -0.5 MPa (mean value
136 for well-watered almond trees in stage IV-kernel-filling (Egea et al., 2010)). Irrigation
137 season started earlier in 2015, given the important rainfalls registered in spring 2016.
138 ET_c was estimated using the FAO Penman-Monteith equation for reference
139 evapotranspiration (ET_o) and a crop coefficient (K_c) of 0.9 (for the mid-season stage,
140 Allen et al., 1998). An evaporation reduction coefficient (K_r = 0.65) was calculated
141 according to the equation of Ferreres et al. (1981) ($K_r = (3.05 + 2.558 \times C - 0.016 \times C^2)$
142 / 100), with C as the ground cover in this experiment corresponding to 29.5%. The
143 ground cover was obtained by measuring the shaded area (A) outlined from the
144 horizontal projection of the tree canopy. The average diameter of the tree canopy (D)
145 was measured in one hundred and thirty trees. The percentage of ground cover was
146 estimated dividing the shaded area ($A = \pi D^2 / 4$) by tree spacing ($C = A / \text{tree spacing} \times 100$).
147 Values for ET_o were obtained from the automatic weather station (AWS) located near
148 the orchard (Table 1). The AWS is equipped with sensors for measuring air temperature
149 and relative humidity (Vaisala HMP155A-L, Campbell Sci), solar radiation (Skye
150 SP1110, Apogee Instruments), wind speed and direction (03002 Wind Sentry,

151 R.M.Young), and precipitation (Rain-O-Matic Small, Pronamic). Data is stored on a
152 CR800 datalogger (Campbell Sci).

153 Irrigation water was applied through a drip irrigation system with pressure-
154 compensated emitters of 3.6 Lh⁻¹ with 1 meter line spacing (4 emitters per plant). The
155 frequency of water applications was the same for all treatments and varied from 2 to 3
156 days per week applied continuously until harvest. Emitters flow and water uniformity
157 distribution were evaluated during the experiment.

158 The climate at the production site is classified as Csb by Köppen and Geiger;
159 Mediterranean with hot, dry summers. Average annual rainfall is 557 mm, with
160 minimum rainfall during the summer months (average rainfalls in July and August are 8
161 and 11 mm, respectively). The warmest months are July and August, with average daily
162 temperatures of 22°C, while the coldest month is January, with an average daily
163 temperature of 5°C. The climate data for average values for 1980-2016 were obtained
164 from the E-OBS gridded dataset (Haylock et al., 2008). The main climate data for the
165 2015 and 2016 seasons were taken from an automatic weather station in the orchard and
166 are presented in Figure 1. In 2015, the average daily temperature was 25°C in July and
167 22°C in August, while precipitation was 12 and 8 mm in July and August, respectively.
168 In 2016, the average temperature was 25°C in both July and August, and rainfall was
169 7.8 and 22 mm in July and August, respectively. In addition, 2016 was a year with
170 greater than average rainfall in spring and low temperature extremes in late spring
171 (Figure 1).

172 2.2. *Plant water status*

173 To determine the leaf water status, two leaves per tree were placed in Falcon tubes
174 and closed on site. In the laboratory several parameters were examined: fresh weight
175 (fw), turgid weight at full turgor (tw) (measured after immersing the leaf petioles for 24

176 hours in deionized water at 4°C and in the dark) and dry weight (dw) (measured after
177 drying at 70°C to a constant weight). Relative water content (RWC) was calculated as
178 followed: $RWC (\%) = (fw-dw)/(tw-dw) \times 100$. The RWC measurements were taken on
179 three dates of each season (22 July, 12 August and 14 September in 2015, and 28 July,
180 18 August and 12 September in 2016).

181 Tree water status was also assessed through the determination of predawn leaf
182 water potential (2015) and stem leaf water potential (2016). Leaf water potential was
183 measured in fully expanded leaves (12 per treatment of six representative plants) with a
184 pressure chamber (Model 1000, PMS Instrument Company, Albany, USA) according to
185 the method of Scholander et al. (1965). For stem leaf water potential determination,
186 selected mature leaves near the trunk were wrapped in small bags covered with
187 aluminium foil at least 1 hour prior to measurement. In 2015 the measurements were
188 taken on three dates (27 July, 10 and 31 August) and in 2016 were taken on four dates
189 (20 June, 18 July, 8 and 25 August).

190

191 2.3. *Photosynthetic pigment determination*

192 For the chlorophyll and carotenoid determination, leaf discs were ground with a
193 pestle and mortar using acetone/distilled-water (80/20, v/v) as an extraction solvent.
194 Analysis was performed under a dim light to avoid chlorophyll degradation.
195 Determining chlorophyll a and b (Chl *a* and Chl *b*), total chlorophyll (Chl *a+b*), and Chl
196 *a*/Chl *b* ratio was performed according to Šesták et al. (1971), while total carotenoids
197 (Car_{total}) were determined according to Lichtenthaler (1987). The results were expressed
198 as mg g⁻¹ dw. Fully expanded adult leaves were collected for determination of
199 photosynthetic pigments in July and September from all trees (n=12 trees per
200 treatment), frozen immediately in liquid nitrogen, and stored at -80°C until analysis.

201

202 2.4. *Total soluble sugars and starch determination*

203 The total soluble sugars and starch concentrations in the leaves were determined
204 using the anthrone method (Irigoyen et al., 1992), with minor modifications. In brief,
205 for total soluble sugars extraction, the leaf discs were heated in 10 mL of
206 ethanol/distilled-water (80/20, v/v) for one hour. Next, 3 mL of fresh anthrone was
207 added to 200 μ L of each alcoholic extract, placed in a bath of boiling water for 10
208 minutes and left to cool. Afterwards, the absorbance values were recorded at 625 nm.
209 The solid fraction from the above extraction was used to determine the starch
210 concentration. Starch extraction was performed with 30% perchloric acid (Osaki et al.,
211 1991). The starch concentration was determined by the anthrone method described
212 above, but with a volume of 300 μ L of each extract. The results were expressed as mg g⁻¹
213 dw. Fully expanded adult leaves were collected for determination of total soluble
214 sugars and starch in July and September from all trees (n=12 trees per treatment), frozen
215 immediately in liquid nitrogen, and stored at -80°C until analysis.

216

217 2.5. *Soluble proteins determination*

218 The method of Bradford et al. (1976) was used to quantify the amount of soluble
219 proteins. A grinding medium containing 50 mM phosphate buffer (pH 7.8), 0.1 mM
220 ethylenediaminetetraacetic acid (EDTA), 100 μ M phenylmethylsulfonyl fluoride
221 (PMSF), and 2% polyvinylpyrrolidone (PVP) (w/v) was the basis for the
222 homogenization of leaf discs and proportion of solvent versus sample. Bovine serum
223 albumin (BSA) (Sigma-aldrich, Tauferkirchen, Germany) was used as the standard. The
224 results were expressed as mg g⁻¹ dw. Fully expanded adult leaves were collected for

225 determination of soluble proteins in July and September from all trees (n=12 trees per
226 treatment), frozen immediately in liquid nitrogen, and stored at -80°C until analysis.

227

228 2.6. *Plant endogenous hormones determination*

229 The content of ABA and indole-3-acetic acid (IAA) hormones was determined
230 according to Ergün et al. (2002), with minor modifications. One hundred mg (dw) of
231 plant material was combined with 5 mL of methanol/chloroform/2N ammonium
232 hydroxide (12/5/3, v/v/v). Each extract was then kept at -20° C until analysis. The
233 combined extract was then treated with 4 mL of distilled water and the chloroform
234 phase discarded, while the water-methanol phase evaporated. The water phase was
235 adjusted to pH 2.5 for ABA and IAA extractions with 1N HCl, and 3 mL of ethyl
236 acetate was added and extracted three times to obtain free-form ABA and IAA.
237 Spectroscopic analysis was performed using wavelengths of 254 nm for IAA and 263
238 nm for ABA. Results were expressed as average values as $\mu\text{g g}^{-1}$ dw, using standard
239 synthetic IAA and ABA as equivalents. Adult leaves were collected to determine IAA
240 and ABA hormones on three dates of each season (22 July, 12 August and 14
241 September in 2015, and 28 July, 18 August and 12 September in 2016), frozen
242 immediately in liquid nitrogen, and stored at -80°C until analysis.

243

244 2.7. *Gas exchange measurements*

245 Leaf gas exchange measurements were performed using a portable Infrared Gas
246 Analyzer System (IRGA) (LCpro+, Hoddesdon, England), with a 2.5 cm² leaf chamber
247 (ADC-PLC), operating in the open mode, at midday (13:00-14:30) on all three dates in
248 both years, on two blocks per treatment (n trees=6). IRGA is based on the fact that CO₂
249 and H₂O strongly absorb infrared radiation. Using the equations developed by von

250 Caemmerer and Farquhar (1981) from gas exchange measurements, the net CO₂
251 assimilation rate (A), transpiration rate (E), stomatal conductance (gs), and intercellular
252 CO₂ concentration (C_i) were estimated. In addition, intrinsic water use efficiency
253 (iWUE = A/gs) was calculated.

254

255 2.8. Yield determination

256 The almonds were harvested at maturity, the hulls were immediately removed,
257 and the yield per tree was recorded.

258

259 2.9. Statistical analysis

260 To examine behavior between treatments, the data were analyzed with a one-way
261 analysis of variance (ANOVA), and to confirm the effect of different studied parameters
262 (year, treatment and date), with a multifactor ANOVA (presented as Supplementary
263 Material). For the relationship between parameters, Pearson's correlation was
264 performed. All analyses were done using the Statgraphics 5.1 plus statistical program
265 (Statgraphics Plus for Windows 5.1, 2005; Statistical Graphics Corporation, Rockville,
266 Maryland, USA). Differences between the means were compared using a LSD test at
267 $p < 0.05$.

268

269 3. Results

270 3.1. Irrigation and plant water status

271 In relation to the different weather conditions during the two seasons of the study, the
272 amounts of water applied for treatments T100, T100-35, T70 and T35 were 3259, 1931,
273 2173, 1086 m³ ha⁻¹ in 2015, and 2943, 1534, 1962, and 981 m³ ha⁻¹ in 2016 (Table 1).

274 Relative water content was during summer months of both years always significantly
275 lower in non-irrigated trees compared to all other treatments (Table 2), while regarding
276 the predawn leaf water potential, all deficit irrigation treatments showed values lower
277 than T100 throughout the growing season (data not shown). More specifically,
278 throughout the experiment in 2015, T100 had predawn leaf water potential values that
279 varied in range -0,47 to -0,70 MPa which were close to those found by Egea et al.
280 (2010) for well-watered almond trees, and in 2016, T100 had stem water potential
281 values that varied in range -0,95 to -1,30 MPa, which were also similar to those found
282 by Fuentes et al., (2013) and Egea et al. (2013) for well-watered almond trees. These
283 results indicated that T100 was a well-watered control treatment.

284 3.2. *Photosynthetic pigment behavior under deficit irrigation strategies in almond* 285 *leaves*

286 The behavior of photosynthetic pigment parameters influenced by different
287 treatments, years and dates are presented in Figure 2. According to these results,
288 statistically significant differences between treatments were observed for total
289 chlorophyll and carotenoid contents in September 2015, with T100-35 displaying the
290 highest values for both parameters (3.39 and 0.62 mg g⁻¹ dw, respectively), while the
291 non-irrigated trees (2.76 and 0.52 mg g⁻¹ dw, respectively) and T35 (2.02 and 0.44 mg
292 g⁻¹ dw, respectively) showed the lowest (Figure 2). The ratio Chl *a*/Chl *b* was
293 significantly affected by the irrigation treatment in July 2015 and September 2016,
294 T100 displaying the highest values (2.87 and 2.90, respectively), while T0 the lowest
295 (2.64 and 2.58, respectively). Similar trend was observed for the Chl_{total}/Car_{total} ratio
296 throughout the seasons.

297 Furthermore, when comparing dates, photosynthetic pigment contents (total
298 chlorophyll and carotenoids) were significantly higher ($p < 0.001$) in July (4.13 and 0.70

299 mg g⁻¹ dw, respectively) compared to September (3.29 and 0.59 mg g⁻¹ dw,
300 respectively), while the values observed in 2015 (2.98 and 0.54 mg g⁻¹ dw, respectively)
301 were significantly lower ($p<0.001$) than in 2016 (4.44 and 0.75 mg g⁻¹ dw, respectively),
302 by 33% on average (Supplementary Material 1).

303 3.3. *Influence of deficit irrigation strategies on total soluble sugars, starch and soluble* 304 *proteins in almond leaves*

305 Soluble protein content significantly differed among treatments in September
306 2016, where T0 displayed the highest content (25.89 mg g⁻¹ dw) compared to all the
307 other treatments (ranging from 13.03 to 17.48 mg g⁻¹ dw). The starch content was
308 significantly different between the treatments in September 2015 and July 2016 in the
309 present study; leaves from T70 trees have shown the highest starch content compared to
310 other treatments in September 2015, while the values recorded from the leaves of non-
311 irrigated trees (51.62 mg g⁻¹ dw) were statistically lower compared to the two sustained
312 deficit irrigation treatments (T35 and T70) and all the irrigated treatments in July 2016
313 (Figure 3). Significant differences among treatments were notified in September 2015,
314 and non-irrigated trees had the highest accumulated leaf total soluble sugars (76.67 mg
315 g⁻¹ dw) (Figure 3).

316 In general, in July, the trees displayed overall significantly higher totals of
317 soluble sugars ($p<0.01$) and soluble proteins ($p<0.001$) (63.07 and 27.76 mg g⁻¹ dw,
318 respectively) compared to September (59.49 and 16.01 mg g⁻¹ dw, respectively), while
319 for starch, the trend was the opposite, with significantly higher values in September
320 (93.60 mg g⁻¹ dw) than in July (69.47 mg g⁻¹ dw) ($p<0.001$) (Supplementary Material
321 2). Significant differences for soluble protein and starch contents were also observed
322 between the years, when the values recorded in 2015 (16.17 and 73.02 mg g⁻¹ dw,
323 respectively) were significantly lower than in 2016 (27.59 and 90.06 mg g⁻¹ dw,

324 respectively. Regarding total soluble sugars, there was better performance in 2015
325 (65.81 mg g⁻¹ dw) compared to 2016 (54.76 mg g⁻¹ dw) ($p < 0.001$) (Supplementary
326 Material 2).

327 3.4. *Plant hormone responses to deficit irrigation strategies in almond leaves*

328 The results revealed that IAA was more synthesized in 2015 (0.74 μg g⁻¹ dw) than
329 in 2016 (0.70 μg g⁻¹ dw), while the behavior of ABA was just the opposite (2.23 and
330 2.43 μg g⁻¹ dw in 2015 and 2016, respectively) ($p < 0.001$) (Supplementary Material 3).
331 Simple main effects analysis showed that in September plants accumulated more IAA
332 compared to August followed by July, while for the ABA the significant difference was
333 observed for August and September, displaying higher values compared with July.
334 Plants without irrigation showed significantly higher accumulation of both hormones
335 compared to the irrigated ones, in specific to the T100 in 2015, while in 2016 the
336 tendency of hormone accumulation depended on the month (Figure 4). T35 and T0
337 displayed statistically higher values compared to T100 and T100-35 for both IAA and
338 ABA (Supplementary Material 3).

339 3.5. *Gas exchange in almond trees under deficit irrigation strategies*

340 Non-irrigated trees showed significantly lower values of g_s (0.027-0.034 mol H₂O
341 m⁻²s⁻¹, on average) compared to the other four treatments (ranging from 1.05-1.30 mol
342 H₂O m⁻²s⁻¹, on average), with closed stomata leading to lower values in photosynthetic
343 (A) and transpiration rates (E) in T0 (2.40-2.57 μmol m⁻² s⁻¹ and 1.29-1.76 mmol m⁻² s⁻¹
344 on average, respectively), compared to other treatments (ranging 8.27-9.14 μmol m⁻² s⁻¹
345 and 4.15-4.62 mmol m⁻² s⁻¹, on average, respectively) (Figure 6). The intercellular CO₂
346 concentration (C_i) in September displayed lower overall values (214.97 μmol mol⁻¹, on
347 average) compared to the first two months studied (on average 234.81 and 236.54 μmol
348 mol⁻¹ in July and August, respectively), in specific for T0 significantly decreasing in the

349 Septembers of both seasons (Figure 6). Intrinsic water use efficiency (iWUE) increased
350 significantly as the water supplied to plants decreased, so that the T0 treatment showed
351 the highest values ($82.41 \mu\text{mol mol}^{-1}$, on average).

352 Regarding correlations, a close correlation was observed between A and g_s
353 ($r=0.903$, $p<0.001$) and A and E ($r=0.769$, $p<0.001$), and it was possible to observe a
354 strong negative correlation between C_i and A/g_s ($r=-0.973$, $p<0.001$).

355 *3.6. Effect of deficit irrigation strategies on almond tree yield*

356 Yield was significantly lower in 2016 ($2.63 \text{ kg tree}^{-1}$) compared to 2015 (9.19 kg
357 tree^{-1}). In 2015, trees under water deficit treatments and even non-irrigated ones showed
358 higher yields compared to optimally irrigated trees (T100). On the other hand, in 2016,
359 T100 showed significantly higher values compared to non-irrigated trees, where the
360 decrease of around 67% was more accentuated (Figure 5).

361

362 **4. Discussion**

363 *4.1. Photosynthetic pigment behavior under deficit irrigation strategies in almond* 364 *leaves*

365 The photosynthetic pigments in leaves under stress are reduced, and results in the
366 present study are partially in agreement with that, as non-irrigated trees, as well as trees
367 under the deficit irrigation treatment T35, displayed the lowest values. This probably
368 happens due to pigment photo-oxidation and chlorophyll degradation, or to stress-
369 induced impairment in pigment biosynthetic pathways (Ashraf and Harris, 2013). Any
370 decrease during drought implies a reduced capacity for light harvesting and depends on
371 the duration and intensity of the stress. However, it seems that the water stress does not
372 affect almond tree leaves on the pigment level, as the leaves from the fully irrigated
373 trees were not significantly different compared to that from deficit irrigation treatments

374 and non-irrigated trees. Higher values in the present study in July compared to
375 September were expected, as September marks the onset of leaf senescence, when
376 degradation of pigments already occurs (Bertrand and Schoefs, 1999). The Chl *a*
377 content in the present study varied, probably due to degradation or lower biosynthesis in
378 plants receiving less water, while the Chl *b* did not follow the same trend. Chl *a* has
379 been stated to be more sensitive to photo-oxidation than Chl *b* (Bertrand and Schoefs,
380 1999), consequently, the ratio Chl *a*/Chl *b* shows higher values for treatments with
381 higher Chl *a*. This ratio in the present study (Figure 2) was within the reported values
382 for leaves of different species, ranging from 2:1 to 4:1 (Filimon et al., 2016; Kitajima
383 and Hogan, 2003), and is a sign of functional pigment equipment and photosynthetic
384 apparatus light adaptation (Lichtenthaler and Buschmann, 2005). Although it has been
385 observed in different species that the Chl *a*/Chl *b* ratio normally increases with water
386 stress, as the enzymatic reduction of Chl *b* to Chl *a* is a crucial early step in Chl
387 breakdown (Hörtensteiner and Kräutler, 2011), this was not the case in this study. Fully
388 irrigated plants showed the highest ratio, this decreasing with less water applied to the
389 non-irrigated plants in general showing the lowest ratio, as reported by Karimi et al.
390 (2013) who obtained similar results when comparing different almond varieties and
391 found a higher Chl *a*/Chl *b* ratio in the leaves of drought tolerant varieties.

392 Even though the non-irrigated trees displayed lower values than all the irrigated
393 treatments regarding the ratio between total chlorophyll content and carotenoids (Figure
394 2), in this study presented values were not as low as 2.5 - 3.5, but ranged from 5.2 to
395 5.9, which may be a sign that stress was not severe not even for the non-irrigated trees,
396 or may confirm the almond tree as a drought tolerant species. This ratio is an indicator
397 of the greenness of the plants; lower values are a sign of stress and damage to the plant
398 and its photosynthetic apparatus. It is seen in the faster breakdown of chlorophylls

399 compared to carotenoids, since the latter display less sensitivity to water stress and
400 autumnal senescence compared to chlorophylls (Bertrand and Schoefs, 1999; Lisar et
401 al., 2012), or the capacity of higher carotenoid content to protect the photosynthetic
402 apparatus (Lichtenthaler and Buschmann, 2005).

403

404 4.2. *Influence of deficit irrigation strategies on total soluble sugars, starch and soluble* 405 *proteins in almond leaves*

406 The present experiment showed significant differences between treatments only
407 for September 2016, when non-irrigated trees (T0) displayed significantly higher values
408 of total soluble proteins compared to the other four treatments. This probably occurred
409 due to the greater synthesis of some stress-induced proteins in order to adjust osmotic
410 potential and ensure the normal functioning of plant physiological processes (Figure 3).
411 Stress affects protein metabolism differently, by synthesizing new stress-induced
412 proteins or degrading existing ones (Dubey, 1999). Most studies (for different species
413 under diverse types of stress) have reported a decline in total soluble protein levels in
414 leaves (Sorkheh et al., 2012), mostly due to the translocation of soluble N products from
415 the leaves and/or decreased synthesis. However, as in the present study, there are
416 references stating that soluble protein content in leaves under drought conditions
417 increases compared to normal water management treatments (Li et al., 2010).

418 On the other side, high ABA concentration accumulating around guard cells is
419 known to result in stomatal closure and water conservation (Lisar et al., 2012), and also
420 induces starch degradation upon osmotic stress (Thalmann et al., 2016). Results
421 recorded herein are in agreement with it, as the values from the leaves of non-irrigated
422 trees on starch content were in general lower compared to irrigated treatments. Starch
423 degradation usually happens daily, accumulating gradually during the day and

424 degrading during the night for energy production, when photosynthesis does not occur
425 (Thalman et al., 2016). Furthermore, under challenging conditions, such as drought
426 and extreme temperatures, plants generally remobilize starch to supply energy and
427 carbon in moments when the photosynthesis might be limited (Thalman and Santelia,
428 2017). Here, under conditions of water shortage, starch degradation was more
429 accentuated compared to irrigated plants, where the degradation of starch in response to
430 abiotic stress has been correlated with improved plant tolerance to stress (Thalman and
431 Santelia, 2017).

432 In contrast to starch synthesis, in the present study non-irrigated trees accumulated
433 more leaf total soluble sugars, as plants under abiotic stress accumulate soluble sugars
434 as an osmotic adjustment mechanism, regulating cells to improve water absorption and
435 maintain cell turgor (Mohammadkhani and Heidari, 2008). The role of sugars lies not
436 only in producing energy and synthesizing other compounds, but also in stabilizing
437 cellular membranes (Mohammadkhani and Heidari, 2008), which is a critical factor in
438 overall tree performance.

439 Furthermore, regarding monthly variations from July to September observed in
440 the present study in soluble sugars and starch, generally in July the starch content was
441 lower and soluble sugars content was higher compared to that obtained in September.
442 During the active growing season (June-August) the photosynthate produced by leaves
443 is not stored but is mobilized and transported as sucrose to various sinks for growth and
444 metabolism, while from the mid-August to September the reduction in sink strength and
445 allocation to the storage is more present (Wong et al., 2003).

446

447 *4.3. Plant hormone responses to deficit irrigation strategies in almond leaves*

448 Plant hormones play an important role in many development processes. The key
449 function of ABA seems to be related to regulating water equilibrium in plants and
450 osmotic stress tolerance (Tuteja, 2007). Non-irrigated trees in the present study in
451 general produced significantly more ABA stress hormone compared to all other
452 treatments, and interestingly, a similar pattern was observed in the synthesis of IAA
453 (Figure 4). The tendency was for both hormones to increase throughout the summer. In
454 September, non-irrigated trees (T0) displayed a significantly higher accumulation of
455 ABA compared to the T100, of around 97% and 21% more in 2015 and 2016,
456 respectively (Figure 5). Indeed, abscisic acid content increases in plants with water
457 deprivation (Vishwakarma et al., 2017). In contrast to ABA, the role of IAA under
458 abiotic stresses is still not completely understood. In 2015, an increase of around 75%
459 was noticed, while in 2016, a decrease of around 30% was observed in T0 compared to
460 T100 (Figure 5). In this regard, there have been reports on its accumulation in stressed
461 plants (De Diego et al., 2013) and on the involvement of defense responses during plant
462 adaptation to drought (Rauf and Sadaqat, 2007). This may be directly related to RWC,
463 as in September 2015, there was significant difference between treatments, with a
464 decrease compared to T100 of around 17% for non-irrigated treatment (Figure 5), while
465 in September 2016, it was around 6%.

466

467 4.4. Gas exchange in almond trees under deficit irrigation strategies

468 Stomatal conductance (gs), as the earliest response to stress, is a principal
469 limitation in mild and moderate drought (Flexas and Medrano, 2002). Values of gs
470 below $0.05 \text{ mol H}_2\text{O m}^{-2}\text{s}^{-1}$ (as in the present study for non-irrigated trees during both
471 years and throughout the summer months) are clear evidence that these trees were under
472 severe stress (Flexas et al., 2012), and RWC values were always significantly lower in

473 this treatment (Table 2), while differences among other treatments were mostly
474 depended on the date and year. In addition, stomatal closure decreased the local
475 intercellular CO₂ concentration and probably inhibited photosynthesis (Yu et al., 2009).
476 As the photosynthetic rate is considered a potential physiological criterion for stress
477 tolerance (Ashraf, 2004) it is genetically dependent and strongly correlated with E and
478 g_s, what was noticed also in the present study. In addition to the correlation A and E in
479 this study, the closest relationship was observed between A and g_s, indicating that
480 stomatal closure may be the main limitation to photosynthesis in almond trees. Several
481 authors have reported a similar pattern for this fruit species, that is, decrease of A and g_s
482 with increasing water stress (Romero and Botía, 2006; Rouhi et al., 2007), as a common
483 feature of drought-adapted species. This correlation in the present study was probably a
484 result of variations in A, which are strongly dependent on variations of g_s caused by
485 stomatal adjustment (Romero et al., 2004). In this study, C_i for non-irrigated trees
486 decreased significantly in the Septembers of both seasons compared to the first two
487 months studied. Rouhi et al. (2007) observed similar behavior in almond trees, where A
488 and g_s decreased substantially in response to increased drought stress, while C_i
489 decreased only slightly. Parameter A in the present study was higher in all plants that
490 received water compared to non-irrigated ones, while the tendency was the same
491 regarding E. Full irrigation treatment caused an increase in A of about 280% at midday,
492 compared to rainfed treatment, similarly to Gomes-Laranjo et al. (2006), who observed
493 an increase of about 300% in the photosynthetic rate for the same variety in similar
494 conditions (Northern Portugal). Karimi et al. (2015) reported a reduced photosynthetic
495 rate in the 'Ferragnès' almond variety under drought conditions. Therefore, the first
496 stage of water stress was probably due to decreased g_s, and subsequently it possibly
497 leads to heat accumulation and cell damage. At the end of the summer, non-irrigated

498 trees herein started to lose leaves much earlier compared to other treatments, most likely
499 as an avoidance strategy to reduce canopy size and consequently the transpiration.

500 Values of iWUE increased in the present study as the summer progressed.
501 Romero and Botía (2006) reported a similar range of values as herein, where almond
502 trees under severe drought stress displayed values between 80 – 96 $\mu\text{mol CO}_2 \text{ mol H}_2\text{O}^{-1}$.
503 ¹. This curved assimilation behavior makes the A/g_s ratio increase as stomata close
504 (Spinelli et al., 2016). As expected, a strong negative relationship was observed
505 between C_i and iWUE, as the A/g_s ratio depends on intercellular leaf and partial
506 ambient CO_2 pressure (C_i/C_a) (Barbour et al., 2011), the latter representing an
507 integrative parameter of iWUE.

508

509 4.5. *Effect of deficit irrigation strategies on almond tree yield*

510 The differences regarding yield among seasons in this study may mostly relate to
511 the huge reduction in yield that occurred in 2016, due to high precipitation in the first
512 five months of the year, influencing overall flower pollination, and consequently, yield.
513 In 2016, this led to an almond average yield reduction in Portugal of 17.3% compared to
514 2015, while northern Portugal in particular experienced a decrease of 27.8% (Instituto
515 Nacional de Estatística, 2017). Fruit yield is a function of the number of fruits on a tree,
516 and fruit weight and fruit bearing greatly depend on various factors, such as climate
517 conditions, available water, pruning, and tree size, among others (Jiménez and Díaz,
518 2003). It could be assumed that in 2015, where trees under water deficit treatments and
519 even non-irrigated ones had higher yields compared to the T100, the partitioning of
520 assimilated carbon in almond trees favored fruit growth rather than vegetative growth.
521 This may be a problem in young trees, where vegetative growth is most important,
522 however, in mature almond trees (as in the present study), the results underline the

523 suitability of this crop in terms of deficit irrigation strategies. On the other hand, in
524 2016, when trees were subjected to additional stress (low pollination and flower fall due
525 to heavy rains and low temperatures during first five months of the year), irrigation
526 proved to be critical, leading to a yield increase of around 180% compared to non-
527 irrigated trees.

528 Finally, as the optimum irrigation condition in this study could be highlighted the
529 regulated deficit irrigation regime T100-35, in areas and years when water is limited, as
530 it does not lead to yield losses, while the plant status remains unstressed and the water
531 saving compared to the T100 was of about 44% average in two studied years. However,
532 as with most Mediterranean fruit trees, in order to obtain the maximum crop potential,
533 in years and areas where water is not restricted, full irrigation has demonstrated to boost
534 the best performance.

535

536 **5. Conclusions**

537 Stomatal conductance, photosynthetic and transpiration rates, total photosynthetic
538 pigments, total soluble sugars, starch and soluble proteins, and hormone synthesis
539 showed that the physiology of almond trees was affected by water stress created by the
540 application of several deficit irrigation treatments in two consecutive years, ultimately
541 affecting yield. However, even when under stress, almond tree performance was not
542 affected by severe stress, though this was accentuated in terms of gas exchange
543 parameters, and stomatal closure was probably the main limitation to photosynthesis.
544 The almond tree has been confirmed to be a drought tolerant species, since when water
545 is scarce, reducing the water application to 35% ETC during fruit filling stage, does not
546 lead to yield losses, while the plant status remains unstressed and water saving is
547 substantial compared to the full irrigation. However, as with most Mediterranean fruit

548 trees, in order to obtain the maximum crop potential in years and areas where water is
549 not restricted, full irrigation has been demonstration to boost best performance.

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560

561 **Declaration of interest**

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563

564 **References**

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