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Additional Information

Synthesis of gold nanoparticles and facile incorporation to a porous nickel electrode to improve its catalytic performance towards the Hydrogen Evolution Reaction

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Key Words:

Porous Ni electrodes, Au nanoparticles, Chemical synthesis, Hydrogen Evolution Reaction (HER), Catalysis

Abstract

Gold nanoparticles (AuNPs) were successfully synthesized by a facile chemical reduction method in the presence of the stabilizer polyvinylpyrrolidone, and characterized by UV-vis spectroscopy and transmission electron microscopy. The gold nanoparticles were then incorporated onto the surface of a porous Ni electrode by simple addition of the nanoparticles suspension, followed by heat treatment at 350 °C for 1 h under nitrogen atmosphere. The modified electrode was morphologically characterized by field emission scanning electron microscopy. Then, the effect of the modification with Au nanoparticles was studied in the hydrogen evolution reaction (HER) by pseudo-steady-state polarization curves and electrochemical impedance spectroscopy (EIS), at different temperatures and compared with a pure porous Ni electrode. The modified electrode showed a clear improvement in its catalytic performance mainly due to the intrinsic catalytic activity of the Au nanoparticles.

From the Tafel representations and the EIS, it was estimated that the HER on the electrode modified with AuNPs takes place by the Volmer-Heyrovsky mechanism.

1. Introduction

Metal nanoparticles have received much attention in different fields due to the special properties provided by their high surface-to-volume ratio, in comparison to their bulk counterparts [1–4]. A variety of synthetic approaches have been developed for the production of these materials, among them the electrochemical synthesis [5–10] and the chemical reduction methods [11–16]. By both methods, the nanoparticles size and shape depend on a variety of parameters such as the nature and concentration of the precursors, the reducing reagent and the stabilizing agent [4], being sodium borohydride (NaBH₄) and polyvinylpyrrolidone (PVP) very frequently used as reducing and stabilizing agents, respectively.

Metal nanoparticles have shown an efficient catalytic performance in some reactions [4,8,9,11,12,16,17], including the hydrogen production by alkaline water electrolysis [18–22]. This process is a promising method to produce hydrogen, as a clean and renewable energy carrier. However, it still requires a special effort to reduce the energetic investment for a large scale production [23–26]. This can be done through the improvement of the intrinsic catalytic activity of the electrodes or by enlarging their active surface area.

One of the most studied cathode materials for the hydrogen evolution reaction (HER) is metallic nickel, due to its high catalytic activity and low cost [27,28]. The catalytic performance of Ni can be easily improved by modification with some materials, by means of alloying or co-deposition [29–34]. The addition of metallic nanoparticles results of great interest as it allows the increase of the active surface area of the electrocatalyst by using a small amount of material. Likewise, the nanoparticles could possess strong catalytic activities themselves.

In this work, gold nanoparticles (AuNPs) have been chemically synthesized by a simple chemical reduction method using polyvinylpyrrolidone (PVP) as stabilizer, formation of them was confirmed by UV-vis spectroscopy, and the particles size and morphology were characterized by transmission electron microscopy. The PVP-covered particles were used to modify a nickel microporous electrode, in order to improve its catalytic performance in the HER, and were deposited and heat-treated in a way leading to isolated, well-dispersed

nanoparticles. Afterwards, the morphology of the nanoparticles after the heat treatment and the structure of the deposits were observed by means of field emission scanning electron microscopy (FESEM). The chemical composition of the modified electrode and the gold presence were confirmed by energy-dispersive X-ray spectroscopy (EDS). Finally, the electrodes were electrochemically assessed and compared by Tafel pseudosteady-state polarization curves and electrochemical impedance spectroscopy (EIS), at different temperatures. Gold is considered by researchers as a less efficient HER catalyst, when compared to Pt, because the adsorption strength of hydrogen atoms on gold surfaces is weaker; nevertheless, some authors have found that Au nanoparticles are able to synergistically enhance the activity of non-metallic HER catalysts [35–38].

2. Experimental

2.1 Synthesis and characterization of Au nanoparticles

The synthesis of Au nanoparticles was based on the chemical reduction in aqueous media from a solution containing 0.05 M sodium tetrachloroaurate(III) (SIGMA-ALDRICH) and 20 g L⁻¹ polyvinylpyrrolidone (PVP, MW 40,000 g mol⁻¹) (SIGMA-ALDRICH) as stabilizing agent, in deionized water. The reduction process was performed by rapid addition of a 0.0125 M sodium borohydride solution, containing 20 g L⁻¹ PVP and 0.1 M sodium hydroxide, under sonication. The volume ratio was 1:1. The as-prepared nanoparticles were optically characterized using an UV/VIS Spectrometer Lambda 35 (Perkin Elmer Inc.) in absorbance mode, in the range of 200-800 nm, and morphologically by means of a FEI TECNAI F30 FEG-Transmission Electron Microscope (300 kV) in scanning mode (STEM) with a HAADF detector (Z-contrast).

2.2 Modification of the porous nickel electrode

A porous nickel electrode was used as the base electrode for the modification with AuNPs. This electrode was fabricated by electrodeposition at a high current density on a stainless steel AISI 304 substrate, as reported in previous works [34,39]. The modification was carried out by diluting 500 µL of the AuNPs dispersion in 3 mL

of deionized water and dropping 40 μL of the resulting dilution onto the electrode's surface. Then, the electrode was subjected to heat treatment at 350 °C for 1 h under a nitrogen atmosphere, and images using both backscattering and secondary electron detectors were obtained using field emission scanning electron microscopy (FESEM) with a FIB DUALBEAM FEI HELIOS 600 NANOLAB scanning electron microscope (the Netherlands), located at the IPICyT (San Luis Potosí). The modified electrodes before and after the electrochemical characterization were also observed with a FEI QUANTA 250 scanning electron microscope.

2.3 Electrochemical characterization

The influence of the AuNPs on the hydrogen evolution reaction was assessed by means of Tafel representations obtained from pseudo-steady-state polarization curves and electrochemical impedance spectroscopy (EIS). The electrochemical characterization was carried out using a Potenciostat Galvanostat PAR Ametek, model VersaStat 3F. These procedures were carried out in an oxygen free 30 wt% KOH solution, prepared by bubbling with N₂ for 15 min. The polarization curves were recorded from -1.9 V vs Hg₂SO₄/Hg (-1.4 V vs SHE) to the equilibrium potential at a scan rate of 1 mV/s. Before the tests, the working electrode was held at -1.45 V in the same solution, in order to reduce the oxide film existing on the porous surface electrode layer, for 30 min to establish reproducible polarization diagrams.

After the polarization curves, EIS measurements were recorded at four different overpotentials from 0 to 150 mV in a frequency range from 10 kHz to 5 mHz at 10 frequencies per decade applying a sinusoidal signal of 10 mV peak-to-peak. The impedance response was fitted by an equivalent circuit using the complex non-linear least square (CNLS) method with the ZView 3.0 software. All these experiments were performed at 40, 60, and 80 °C. The real and imaginary parts of the EIS were normalized with respect to the geometric surface of the electrodes.

3. Results and discussion

3.1 Synthesis and characterization of Au nanoparticles

In order to reduce the aggregation of the nanoparticles during the synthesis, PVP was added to both precursor solutions, expecting the polymer could act as an efficient coating agent for the growing gold nanoparticles, to enhance the stability of the nanoparticle concentrated colloid, and hoping it would contribute to avoid agglomeration of the particles during the electrode modification, by steric effects. After the synthesis, the dispersion containing AuNPs was optically followed for several days by UV-vis spectroscopy in a wavelength range from 300 to 800 nm. Fig. 1 shows the UV-vis spectra of the AuNPs the first three days after the preparation. A clear surface plasmon can be observed at 544 nm after the synthesis, which agrees with other UV-vis spectra for gold colloids found in the literature [17,18,40]. However, the reaction and growth process continued until certain stabilization is reached, as observed in the spectra of the next two days, where the surface plasmons show a slight blueshift (536 nm) and an increase in the absorbance, the latter probably because the existent gold clusters, composed of only few atoms, start to form more nanoparticles. The dependence of the UV/vis absorption maximum on the size of metal spherical particles was already reported, where the absorption peak shifts toward longer wavelengths as particles become bigger [41]. In this case, the formation of new and smaller particles may lead to a shift to shorter wavelengths as the mean size of the particles is different to that at the first day of the synthesis. The suspensions were stable even for several months after preparation.

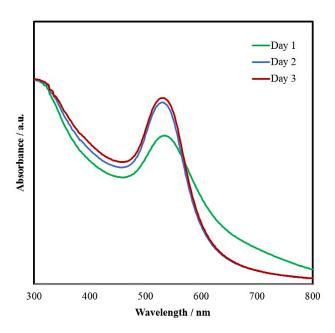


Fig. 1: UV-vis spectra of AuNPs dispersion in the first three days from the synthesis

The TEM micrographs of the AuNPs are displayed in Fig. 2. A size distribution was observed ranging from single nanoparticles of 5 to 45 nm with an irregular, potato-like morphology (Fig. 2a and 2d). Fig. 2b and 2e show the particles from Figure 2a and 2d, where the PVP-coverage is evident. Fig. 2c show the histogram of the synthesized gold nanoparticles and Fig. 2f the PVP-shell width. The stabilizing power of the polymer PVP is clearly appreciated, as the TEM images capture the polymer shells, not uniform, surrounding the AuNPs. PVP, a bulky, non-toxic and non-ionic polymeric reducing agent, acts as an effective surface stabilizer, growth modifier, and nanoparticle dispersant, depending its role on the kind of synthesis and the parameters used [42]. PVP possess multiple >C=O and C-N groups, included in a strong hydrophilic part (the pyrrolidone moiety, the head group), and CH2 groups (the polyvinyl backbone, the hydrophobic part tuning the distances among adsorbed head groups). The polymer acts preventing aggregation of the colloids, where the repulsive forces arise from the hydrophobic chains that extend into the solvent, interacting with each other (steric hindrance effect) [43]. Both the >N- and >C=O are able to anchor to the particles surface via coordinative interactions. Some authors reported that PVP binds to Ag nanoparticles using the highly polar carbonyl group, where the polymer donates a lone electron pair from the donor atom to the orbital of the ionic Ag, others propose that the coordination of the polymer to the metal depends on the size of the particles [44–46].

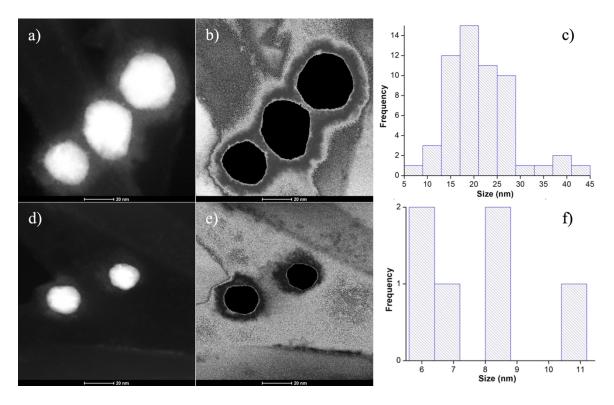


Fig. 2: TEM micrographs of AuNPs showing a) and d) irregular potato-like nanoparticles showing the presence of PVP on the surface; b) and e) irregular nanoparticles showing the core-shell structure promoted by the PVP on the surface, c) size distributions of irregular potato-like AuNPs, and f) PVP-shell width.

In the case of submicron sized colloids, it was stated that the coordination of the PVP to the metal takes place through the nitrogen-containing heterocyclic ring of the PVP, whereas the carbonyl group binds more strongly to nanometrical particles [47]. According to some authors, when dissolved, the polymer PVP adopts a pseudorandom coil structure with spherical shape [48,49], and, after dissolving the metal precursor in the PVP solution, the metallic species are adsorbed on the surface of the polymeric random-coils, and they slowly diffuse towards the sphere's center. The borohydride ions, also located inside the polymeric structure, should then lead to the reduction of the metal ions. As both diffusion and reduction take time to occur, the authors state that the presence of metal atoms neighboring the random-coil surface is more important than in the center, so that the nucleation starts at the point of the random-coil surface, where supersaturation is higher. Phase separation and clusters growth then should take place by absorption of the metallic atoms from the surroundings, lowering the local concentration of metal atoms. That means, once the cluster is produced it could act as diffusion center. The

clusters grow by diffusion-deposition of the metallic atoms. At higher PVP concentrations, with the polymer adsorbed at full coverage, the saturation coverage of the particles is larger than the monolayer coverage, and therefore the adsorbed polymer is not lying close to the surface, but extends away from the surface like a carpet of loops and tails [50].

Particularly interesting is the presence of few particles looking like nanoshrimps and nanoflowers (Fig. 3a and 3b), they are present in very small amounts in the sample, nevertheless, they possess a significant surface area. Dimensions of the nanoshrimps and nanoflowers are ~80 nm (bud size), and the single crystalline petal thickness varied from ~2.5-5 nm (Fig. 3c), with rough surfaces. They are unique nanostructures, self-assemblies of smaller particles, as a result of an aggregation mechanism. Due to the coarse surfaces and high surface-to-volume ratios, reported 3D hierarchical gold nanoflowers have shown outstanding performances in cell imaging, catalysis, surface-enhanced Raman scattering, and photothermal conversion [51]. In general, the borohydride ions act as reducing agent for the AuCl₄ ions according to the following reaction:

$$8AuCl_4 + 3BH_4 + 12H_2O = 8Au + 3B(OH)_4 + 32Cl_7 + 12H_2$$

BH₄⁻ is not only an efficient reducing agent, but at low or moderate PVP concentrations, it is expected that the excess borohydride ions could participate in the capping activity, competing with the PVP chains. In the synthesis used in this work, the BH₄⁻ concentration is lower than the PVP concentration but higher than the stoichiometric AuCl₄⁻ concentration. Therefore, it cannot be ruled out that, after formation, the nuclei could possibly be surrounded first by the excess BH₄⁻ ions, as they are small and diffuse faster than the bulkier PVP molecule. Tang et al. [45] propose that, for this reason, surface instability of the forming particles, resulting from the partial desorption of capping reagents, exposes bare Au surfaces, leading to a stacking growth of Au atoms on the particles surfaces, or to possible diffusion and fusion of the smaller primary particles, and finally, as in this work, to formation of the nanoshrimps and nanoflowers by aggregation mechanism.

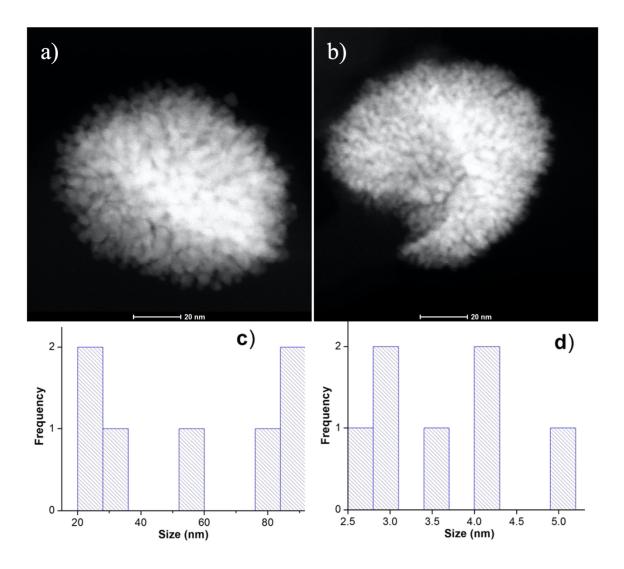


Fig. 3: a) Nanoflower, b) nanoshrimp made by smaller AuNPs, and size distribution of c) nanoshrimps and nanoflowers; d) petals of the nanoflowers

Once synthesized and characterized, the AuNPs were used to modify the surface of a porous Ni electrode by a heat treatment at 350 °C under N₂ atmosphere for 1 h. The nanoparticles were incorporated on the nickel surface, under oxygen-free conditions, using a 1:6 dilution of the as-prepared nanoparticles dispersion. Free PVP decomposes around 400 °C in air [50]. When bound to Ag nanoparticles, PVP decomposition temperatures between 200 and 400 °C have been reported for different experimental conditions. That means, it is highly probable that the PVP decomposes when the modified electrode is heat-treated.

The micrographs in Fig. 4 show the microstructure of the electrode (right side) at three different magnifications. The left side shows high resolution FESEM images taken using the backscattered electrons detector, useful for obtaining information about size, size distribution and aggregation degree of the AuNPs on the electrode. From the image at high magnifications, the adequate dispersion and low aggregation degree of the Au nanoparticles is evident, demonstrating the good capping ability of the polymeric stabilizer PVP.

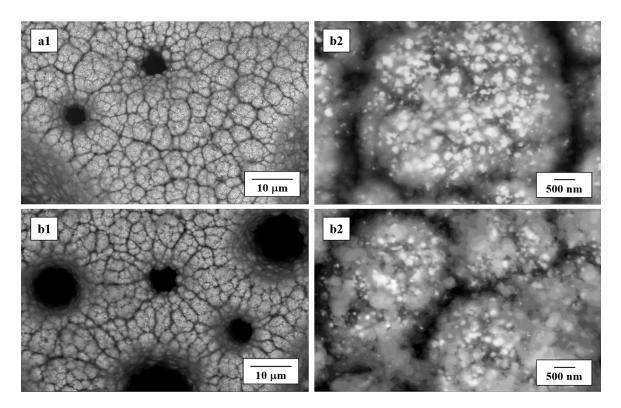


Fig. 4: SEM micrographs of the porous Ni electrode modified with AuNPs. a1, b1) Microstructure of the electrode (before and after the electrochemical characterization, respectively); a2, b2) Distribution and morphology of the AuNPs (before and after the electrochemical characterization, respectively)

The histogram of the AuNPs measuring 381 particles is shown in Fig. 5. The figure shows that the heat-treatment does not affect the final particles size, where most of the particles are in the range of 7 to 14 nm. The energy dispersive X-ray analysis (EDS) spectrum of Fig. 6 confirms the presence of the AuNPs on the electrode's surface.

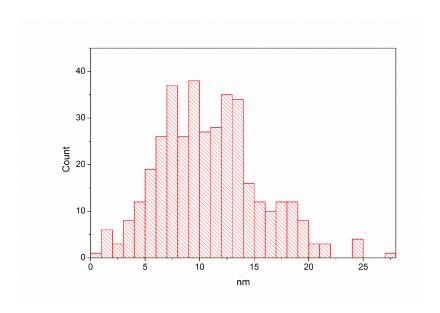


Fig. 5: Size distribution of AuNPs deposited on the modified Ni electrode after heat-treatment at 350 °C under nitrogen atmosphere

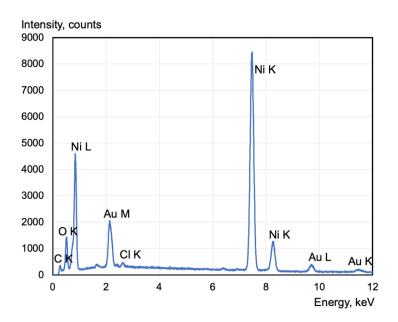


Fig. 6: Energy dispersive X-ray spectrum of the modified electrode surface

The heat-treatment seems adequate to fix the AuNPs to the electrode, as the nanoparticles remain adhered to the surface after the electrochemical measurements (Fig. S1, ESI file), the presence of the PVP coverage

during the heat-treatment helps prevent the agglomeration as a result of steric effects. Also, the electrodes show no change due to the presence of impurities from the electrolysis procedure.

3.2 Electrochemical measurements

The potential catalytic effect of the AuNPs on the porous Ni electrode in the HER was assessed by Tafel representations obtained from pseudo-steady-state polarization curves. The Tafel representations of the electrode modified with AuNPs at 40, 60 and 80 °C in 30 wt% KOH are compared in Fig. S2 (ESI file) with the representations of the non-modified porous Ni electrode. These curves were corrected from de pseudo-steady-state polarization curves with respect to the equilibrium potential and the ohmic drop as:

$$\eta = E - E_{eq} - j \cdot R_s \tag{1}$$

were η is the overpotential, E_{eq} is the equilibrium potential determined from the semilogarithmic plot of E vs log|j| and R_s (Ω cm²) is the electrolyte resistance between the working electrode and the reference electrode and was calculated as the intersection of the impedance spectra at high frequencies (or its prolongation) with the x-axis in the complex plane.

The linear behavior at high overpotentials can be described by the Tafel equation:

$$\eta = a + b \cdot log j \tag{2}$$

where b is the Tafel slope, j is the current density and a is the intercept and it is related to the exchange current density as in the equation (3):

$$a = \frac{2.3RT}{\alpha F} log j_0 \tag{3}$$

where R is the gas constant, T is the temperature in K, F is de Faraday constant, and α is the charge transfer coefficient, which is related to the Tafel slope by the equation (4):

$$b = -\frac{2.3RT}{\alpha F} \tag{4}$$

The kinetic parameters shown in the equations (2), (3) and (4), can be determined from the linear region of the Tafel representations. These parameters are reported in Table S1 (ESI) with η_{100} , which represents the overpotential required to reach a current density of 100 mA·cm⁻², related to the energy necessary to produce a

determined amount of hydrogen, a useful parameter in the comparison of the catalytic activity of the electrodes [22,32–34,39,52].

The porous Ni electrode shows Tafel slopes and charge transfer coefficients like those reported in the literature for a Volmer-Heyrovsky mechanism in the HER [27,53], whereas the electrode modified with AuNPs (Ni-AuNPs) shows an important increase in b, and a decrease in α . This can be explained by the increase in the active surface area provided by the AuNPs, since these values do not correspond to the other mechanisms for the HER [27,53–56]. Since the catalytic activity towards HER depends on both j_0 , and b, for sufficiently high overpotentials, the effect of the high Tafel slope prevails over j_0 , and the HER activity will be higher for the porous Ni electrode. However, in the range of current densities used in this work, and particularly in the range between 100 and 600 mA cm⁻², typical of industrial electrolyzers, the activity of Ni-AuNPs electrode is higher than for the porous Ni electrode.

On the other hand, it can be observed that the exchange current density values are higher for Ni-AuNPs, whereas η_{100} values are substantially lower. This is related to an increase in the catalytic activity of the electrode [34,39,57]. Also, for both electrodes, the catalytic activity increases with temperature.

Another parameter related to the catalytic activity is the apparent activation energy (E_a) . It can be related to the exchange current density and is calculated from an Arrhenius representation (equation 5):

$$log j_0 = A' - \frac{E_a}{2.303R} \cdot \frac{1}{T} \tag{5}$$

The representations of both electrodes are shown in Fig. S3 (ESI), with the corresponding Arrhenius expressions. The values of E_a are reported in Table 1 for both electrodes.

Table 1: Activation energies of the electrocatalysts obtained in 30 wt% KOH

Electrocatalyst	E _a (kJ·mol ⁻¹)
Porous Ni	48.0±0.5
Ni-AuNPs	38.0±0.3

Generally, the E_a at the equilibrium potential ($\eta = 0$) for any electrode reaction is considered as a feature of electrocatalysis when it is evaluated at various electrode materials in the same electrolyte. On this basis, the obtained values for E_a shown in Table 1, are in the same order of magnitude than that reported for other authors

on Ni catalysts, which ranged from 38 kJ mol⁻¹ to 60 kJ mol⁻¹ for different Ni-Co electrodes [34]. On Pt/Ni electrodes an apparent activation energy of 56 kJ mol⁻¹ was obtained by Correia and Machado [58], while Savadogo and Ndzebet [59] obtained a value of 45 kJ mol⁻¹ on Pt-Co alloy supported on carbon. On the other hand, González-Buch et al. found a value of 51.9 kJ mol⁻¹ for the E_a obtained for Au-modified microporous Ni electrodes [19]. The values found for the free activation energy are very close to those usually postulated for the HER occurring through the Volmer-Heyrovsky mechanism on Ni surfaces [60,61].

From these results, it can be concluded that the addition of AuNPs improves the catalytic activity of the electrode, since a lower activation energy represents a lower energy requirement for the hydrogen production. This improvement in the catalytic activity of the electrodes can be due to the increase of the active surface area or by the effect of the intrinsic catalytic activity of the additional material [57]. In order to determine which of these reasons is responsible for the improvement of the catalytic activity of the electrode, the effective surface area must be calculated. This can be done through EIS measurements. The EIS spectra of both electrodes collected at different overpotential values selected from Fig. S2 (ESI) are shown in Fig. 7.

Figs. 7a1 and 7b1 show the Nyquist representations of the porous Ni electrode and Ni-AuNPs, respectively, at 40 °C and different overpotentials. It can be observed for both electrodes that the total resistance decreases with the overpotential. The Nyquist representation of the porous Ni electrode is characterized by two superimposed semicircles (that is, two-time constants) [34,39,52,62], in the middle to low frequencies range, which can also be observed in the Bode representation of the phase angle as a function of frequency (Fig. 7a2). On the other hand, for Ni-AuNPs, there is only one semicircle in the Nyquist representation, which is clear by the sharp maximum observed in the Bode representation (Fig. 7b2). For both electrodes, as shown in the insets in Figs. 7a1 and 7b1, at high frequencies, a small semicircle, independent of both temperature and overpotential, which is related to the porosity is observed [62,63].

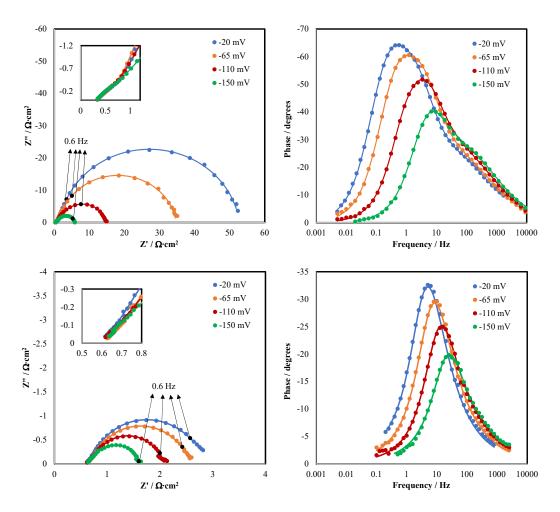


Fig. 7: EIS representations of the investigated electrocatalysts obtained in 30 wt% KOH at 40 C: a1 (porous Ni), b1 (Ni-AuNPs), Nyquist representations, a2 (porous Ni), b2 (Ni-AuNPs), Bode representations of the phase angle as a function of frequency.

In order to describe the impedance data, several models were tested, and the appropriate model was selected. First of all, the Levie's finite length porous model [64] was rejected as it did not produce a good fit at high frequencies. Then, the experimental impedance data were fitted to the models presented in Fig. 8. The 2CPE serial model (Fig. 8A) introduced by Chen and Lasia [65], produces two semicircles; the first one, potential independent, is related to the surface porosity and the second, potential dependent, is related to the HER. This model was used to fit the impedance data obtained with the Ni-AuNPs electrode. For the porous Ni electrode, in which two semicircles dependents on both overpotential and temperature were obtained in the middle to low frequencies range and a small semicircle independent of overpotential at high frequencies, the EIS spectra were

modelled by using the two-time constant parallel equivalent circuit proposed by Armstrong and Henderson [66] in series with a CPE (CPE₁ in Fig. 8B). In this model, CPE₁ is related to the electrode porosity and the other two time constants are related to the charge transfer kinetics and to the hydrogen adsorption [31,62].

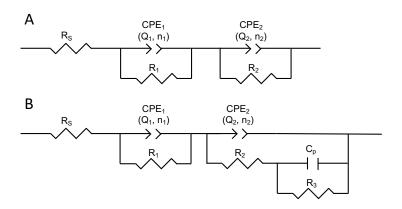


Fig. 8: Equivalent circuit models used to adjust the impedance response of the developed electrocatalysts: A) 2CPE serial model for Ni-AuNPs, B) Two-time constant parallel model with a serial CPE for porous Ni.

Fig. 7 shows that the EEC used properly models the alternating current response of the investigated materials, manifesting an excellent agreement between the experimental (symbols) and CNLS fitting (lines) data. Table 2 reports the EEC parameters values obtained from the experimental impedance data fitting on the investigated electrodes at 40 and 80 °C. The values of C_{dl} for both electrodes were calculated using the equation proposed by Brug et al. [67] modified as:

$$C_{dl} = Q_2^{1/n_2} \left[\frac{1}{R_s + R_1} + \frac{1}{R_2} \right]^{1 - \frac{1}{n_2}}$$
 (6)

where Q_2 is the CPE constant and n_2 is the CPE exponent, R_s is the solution resistance, R_1 is the resistance associated to the electrode porosity and R_2 is the charge transfer resistance.

According to the circuit values collected in Table 2, for the porous Ni electrode, the high frequency semicircle is almost constant with the overpotential and is related to the surface porosity [62, 63]. On the other hand, the diameter of the two overlapped semicircles observed in the range of medium to low frequencies, decrease both with the overpotential and with the temperature. This fact indicates that both semicircles are connected to HER kinetics [62]. Both the C_{dl} and the R₂ decrease with cathodic overpotential, hence, the time constant associated

with this semicircle is related to the HER charge-transfer kinetics, namely to the response of double layer capacitance characterized by C_{dl}, and the HER charge transfer resistance, R₂. On the other hand, C_p remains almost constant with the cathodic overvoltage, while the value of R₃ decreases. This is a typical behavior related to the response of hydrogen adsorbed on an electrode surface, namely to the hydrogen adsorption capacitance, C_p, and resistance R₃ [31,62,68,69].

As in the case of the porous Ni electrode, for the Ni-AuNPs, the high frequency semicircle is almost constant with the overpotential and is related to the surface porosity [62, 63], while the low frequency semicircle decreases with increasing the overpotential and the temperature and is related to the kinetics of the HER. For the Ni-AuNPs electrode, the semicircle related to the adsorption relaxation apparently completely disappears. This is because the adsorption process is facilitated by the AuNPs and the charge-transfer process dominates the impedance response as the potential increases. In fact, Watkins and Borensztein [70], have shown that although hydrogen cannot chemisorb at room temperature on extended gold surfaces, the dissociative adsorption of H₂ on AuNPs deposited on different oxides has been experimentally shown by different techniques.

For the two electrodes, the C_{dl} values decrease with the HER overpotential. This effect is attributed to an increasing formation of gas bubbles at higher overpotentials, which block the electrodes and, hence, not electrochemically accessed by the electrolyte [71–74]. Furthermore, the C_{dl} decreases slowly with temperature. This effect can be explained due to the fact, that at a same overpotential, the higher the temperature the higher the apparent activity of the electrodes and, therefore, the current density. As a result, the hydrogen production increases, showing the same effect as that reported for the increase in the overpotential.

The value of C_{dl} was used to obtain the roughness factor (R_f) , related to the effective surface area by a comparison with the value of for a smooth nickel electrode [68]. As can be observed in Fig. S4 (ESI) and in Table 2, for both electrodes, the roughness factor decreases with the increase of overpotential and temperature. This decrease can be attributed to a blockage of a fraction of the inner surface of the electrode during HER due to gas bubbles shielding, and hence not electrochemically accessed by the electrolyte [71,73,74]. The values of C_{dl} and R_f are slightly higher for Ni-AuNPs than for the porous Ni electrode, which indicates an increase in the active surface area. On the other hand, R_2 is considerably lower for Ni-AuNPs, indicating an improvement in the catalytic activity due to AuNPs. As for the Ni-AuNPs electrode the real surface area is increased by

approximately 20%, the lower resistance obtained with the Ni-AuNPs electrode can be attributed to an increase of the intrinsic catalytic activity due to AuNPs. In this way it has been shown that the presence of AuNPs may improve the charge transport [75].

Table 2: Parameters determined by fitting EIS experimental spectra in 30 wt% KOH

	Temperatur 40°C	re			80°C			
Porous Ni								
η (mV)	-20 mV	-65 mV	-110 mV	-150 mV	-20 mV	-65 mV	-110 mV	-150 mV
R _s (Ω·cm ²)	$0.32 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.07$	$0.32 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.07$	$0.32 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.08$	$0.32 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.07$	$0.22 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.04$	0.22 ± 0.05	0.22 ± 0.04	0.22 ± 0.03
R ₁ (Ω·cm ²)	0.79 ± 0.07	0.70 ± 0.06	0.69 ± 0.06	$0.97 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.08$	0.37 ± 0.05	$0.38\pm\!0.04$	$0.39 \pm\! 0.06$	0.46 ± 0.06
R ₂ (Ω·cm ²)	22.3 ± 0.9	$18.7 \pm \hspace{-0.07cm} \pm \hspace{-0.07cm} 0.8$	10.1 ± 0.6	4.55 ± 0.56	5.33 ± 0.68	5.12 ± 0.45	$3.50\pm\!0.46$	1.88 ± 0.32
R ₃ (Ω·cm ²)	29.4 ± 1.1	15.1 ± 0.9	3.61 ± 0.45		2.29 ± 0.57	2.13 ± 0.52	$0.70 \pm\! 0.06$	
$Q_1 (m\Omega^{-1} \cdot cm^{-2} \cdot s^n)$	57.6 ± 1.2	52.0 ± 0.7	45.2 ± 1.1	$38.4 \pm \! 0.8$	77.7 ± 1.6	66.8 ± 1.2	44.5 ± 1.1	37.4 ± 1.3
n ₁	0.56 ± 0.05	0.58 ± 0.04	$0.58\pm\!0.05$	0.57 ± 0.07	$0.58\pm\!0.05$	0.58 ± 0.04	$0.60\pm\!0.06$	0.60 ± 0.04
Q ₂ (mΩ ⁻¹ ·cm ⁻² ·s ⁿ)	35.9 ± 0.8	26.3 ± 1.0	$21.9\pm\!0.8$	19.2 ± 0.9	$37.6\pm\!0.9$	$29.0 \pm \! 0.8$	23.2 ± 0.9	20.3 ± 0.7
n ₂	0.91 ± 0.02	0.90 ± 0.04	$0.89 \pm\! 0.02$	0.91 ± 0.06	$0.89 \pm\! 0.03$	0.89 ± 0.12	$0.87 \pm\! 0.04$	0.88 ± 0.03
C _p (mF·cm ⁻²)	13.7 ± 1.5	16.3 ± 2.3	15.8 ± 3.1		22.7 ± 2.2	24.7 ± 1.3	$26.6\pm\!3.0$	
C _{dl} (mF·cm ⁻²)	26.4 ± 3.0	$17.9\pm\!1.6$	$13.5\pm\!1.3$	12.7 ± 1.4	23.7 ± 2.1	17.4 ± 1.4	$12.0\pm\!1.3$	10.9 ± 0.46
$R_{\rm f}$	1318	896	677	637	1186	872	599	547
Ni-AuNPs								
η (mV)	-20 mV	-65 mV	-110 mV	-150 mV	-20 mV	-65 mV	-110 mV	-150 mV
R _s (Ω·cm ²)	0.59 ± 0.10	0.61 ± 0.08	0.59 ± 0.12	$0.60\pm\!0.09$	$0.46 \pm\! 0.02$	$0.47 \pm \hspace{-0.07cm} \pm \hspace{-0.07cm} 0.01$	$0.47 \pm\! 0.02$	0.48 ± 0.03
R ₁ (Ω·cm ²)	0.17 ± 0.09	0.18 ± 0.08	0.22 ± 0.06	$0.19\pm\!0.05$	0.09 ± 0.02	0.09 ± 0.04	$0.11 \pm \hspace{-0.02cm} 0.02$	0.12 ± 0.03
R ₂ (Ω·cm ²)	2.06 ± 0.10	1.77 ± 0.12	1.23 ± 0.08	$0.82 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.09$	$0.52 \pm \hspace{-0.05cm} \pm \hspace{-0.05cm} 0.06$	$0.50\pm\!0.07$	$0.46 \pm\! 0.06$	0.40 ± 0.05
Q ₁ (mΩ ⁻¹ ·cm ⁻² ·s ⁿ)	151 ±6	110 ± 7	90.7 ± 4.6	90.7 ± 6.5	$165 \pm\! 6.8$	$103~{\pm}5.2$	$95.0\pm\!5.6$	67.3 ± 4.8
n ₁	0.58 ± 0.02	0.57 ± 0.02	0.57 ± 0.02	0.58 ± 0.02	0.63 ± 0.04	0.63 ± 0.04	0.64 ± 0.04	0.66 ± 0.05
$Q_2 (m\Omega^{-1}\cdot cm^{-2}\cdot s^n)$	40.9 ± 2.1	$28.3 \pm \! 1.6$	20.1 ± 1.4	$18.6\pm\!1.6$	42.3 ± 2.4	33.1 ± 1.8	28.4 ± 1.1	26.7 ± 1.2
n ₂	0.91 ± 0.05	0.91 ± 0.03	0.93 ± 0.03	0.91 ± 0.06	0.91 ± 0.08	0.90 ± 0.06	0.91 ± 0.09	0.91 ± 0.04
C _{dl} (mF·cm ⁻²)	28.3±2.1	18.5±1.6	14.8±1.4	11.7±1.6	26.6±2.4	19.6 ± 1.8	17.1 ± 1.1	16.0±1.2
R_{f}	1417	926	740	584	1327	980	856	799

In order to remove the effect of the surface area, the Tafel representations were corrected with the values of R_f . This provides information about the intrinsic catalytic activity of the electrodes. As observed in Fig. 9, the catalytic effect of the AuNPs continues after removing the effect of the surface area. However, there exists an

influence of the surface area, which can be observed by comparing the values of R_f and the distance between the curves in Figs. S2 (ESI) and 9.

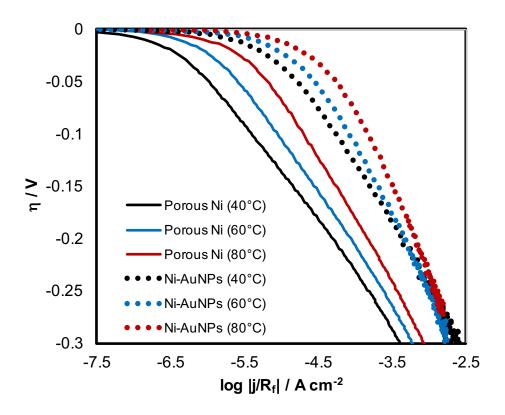


Fig. 9: Tafel representations of the investigated electrocatalysts corrected with R_f, recorded in 30 wt% KOH at different electrolyte temperatures.

The corrected kinetic parameters obtained from these representations are reported in Table 3. As can be observed, the corrected Tafel slopes (b') and charge transfer coefficients (α ') of Ni-AuNPs are now closer to the values reported in the literature for a Volmer-Heyrovsky mechanism in the HER [27,53]. Likewise, the corrected values of the exchange current density (j_0/R_f) were represented logarithmically in Fig. 10 vs the inverse of temperature to obtain the new Arrhenius representations and, therefore, normalized values of the activation energies (E_a '), which are listed in Table 4. After removing the effect of the surface area, the activation energy remains almost constant.

Table 3: Kinetic parameters in the HER obtained from the correction of the Tafel representations with respect to the roughness factor, at different temperatures in 30 wt% KOH

Electrocatalyst	Temperature (°C)		
	40	60	80
Porous Ni			
b' (mV·dec-1)	89	101	118
j ₀ /R _f (μA·cm ⁻²)	0.29	0.90	2.92
α'	0.69	0.65	0.59
Ni-AuNPs			
b' (mV·dec-1)	109	135	157
j ₀ /R _f (μA·cm ⁻²)	6.59	15.4	35.2
α'	0.57	0.49	0.44

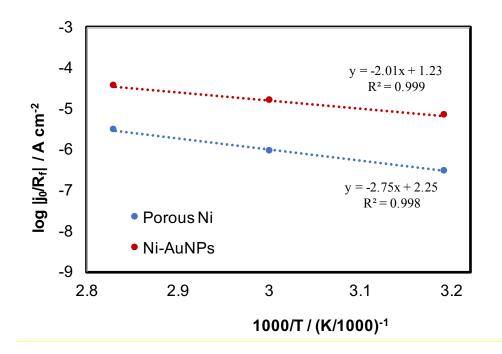


Fig. 10: Normalized Arrhenius representations of the investigated electrocatalysts in 30 wt% KOH.

From the results obtained from the Tafel representations and the EIS study it is derived that, for the Ni porous electrode, the semicircle associated to the response of hydrogen adsorbed on the electrode surface (C_p and R₃),

decreases faster with the overpotential than the semicircle associated to the charge transfer process (CPE₂ and R₂), and for the Ni-AuNPs electrode the semicircle associated to the response of hydrogen adsorbed disappears. This is due to the fact that the adsorption process is facilitated, and the charge-transfer process dominates the impedance response as the potential increases. On the other hand, the values found for the free activation energy are very close to those usually postulated for the HER occurring through the Volmer-Heyrovsky mechanism on Ni surfaces [60,61].

Table 4: Corrected activation energies of the electrocatalysts obtained in 30 wt% KOH

Electrocatalyst	Ea' (kJ·mol ⁻¹)		
Porous Ni	52.7±0.1		
Ni-AuNPs	38.5±0.1		

According to Lasia [75], and considering no mass transfer limitations and equal symmetry factor for the Volmer and Heyrovsky reactions, the current density can be expressed as:

$$j = \frac{2Fk_1k_2e^{-\frac{\beta F\eta}{RT}}\left(1 - e^{\frac{2F\eta}{RT}}\right)}{(k_1 + k_2) + (k_{-1} + k_{-2})e^{\frac{F\eta}{RT}}}$$
(7)

where k_1 and k_{-1} are the Volmer rate constants, k_2 and k_{-2} are the Heyrovsky rate constants, β is the symmetry factor and equals the charge transfer coefficient. Eq. (7) may produce two Tafel slopes [75]. This equation can be linearized as:

$$\frac{e^{-\frac{\beta F\eta}{RT}} \left(1 - e^{\frac{2F\eta}{RT}}\right)}{j} = \frac{1}{j_h^0} + \frac{1}{j_l^0} e^{\frac{F\eta}{RT}}$$
 (8)

Where j_l^0 is the exchange current density extrapolated from the Tafel representations of the corrected current density $j/1 - e^{\frac{2F\eta}{RT}}$ at low overpotentials and j_h^0 is the exchange current density extrapolated from the Tafel representations of the corrected current density at high overpotentials, and they are related to the rate constants as:

$$j_h^0 = \frac{2F}{\frac{1}{k_1} + \frac{1}{k_2}} \tag{9}$$

$$j_l^0 = \frac{2F}{\frac{1}{k_{-1}} + \frac{1}{k_{-2}}} \tag{10}$$

If the HER takes place by the Volmer-Heyrovsky mechanism and only one Tafel slope is observed, θ_H is

constant, the rates of the Volmer and Heyrovsky steps are identical and a representation of
$$\frac{e^{-\frac{\beta F\eta}{RT}\left(1-e^{\frac{2F\eta}{RT}}\right)}}{j}$$
 vs

 $e^{\frac{F\eta}{RT}}$ should result in a straight line with similar values of slope and intercept. This is shown in Fig.11 at 40, 60 and 80 °C, where a good linearity can be observed. This representation has been obtained assuming that the symmetry factor equals the charge transfer coefficient shown in Table 3. In this case, and considering equation (9), equation (7) can be simplified to equation (11).

$$j = j_h^0 e^{-\frac{\beta F \eta}{RT}} \tag{11}$$

The values of j_h^0 are similar to the values obtained from the Tafel representations (Table 5). This confirms the assumption about the mechanism of the HER.

Table 5: Exchange current densities for the electrode modified with AuNPs in 30 wt% KOH

Temperature (°C)	$j_h^0 (\mu \text{A} \cdot \text{cm}^{-2})$	<i>j₀/R_f</i> (μA·cm ⁻²)
40°C	7.90	<mark>6.59</mark>
60°C	15.1	15.4
80°C	32.2	35.2

From these results, it is evident that the AuNPs significantly improve the catalytic performance of the electrode mainly due to their intrinsic activity, and there is only a slight influence of the increase in the surface area. AuNPs incorporated on a porous Ni electrode by heat-treatment were previously reported with very good results in the HER [19], however, in this work, the modification of the Ni electrode with the gold nanoparticles was easier and effective, the particles remain in the electrode after the electrochemical characterization.

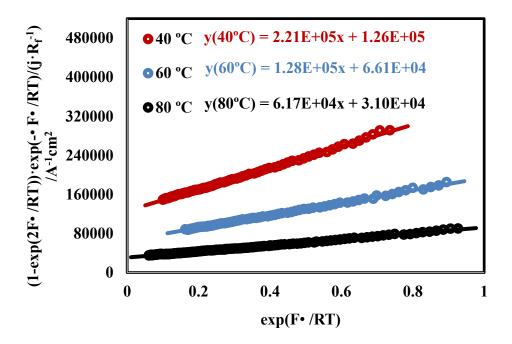


Fig. 11: Testing of the Volmer Heyrovsky mechanism for the HER on the electrode modified with AuNPs at 40, 60 and 80 °C in 30 wt% KOH

4. Conclusions

Well-dispersed Au nanoparticles were successfully synthesized by chemical reduction using NaBH4 as reducing agent and PVP as stabilizing agent. The nanoparticles were easily incorporated by heat-treatment, onto the surface of a porous Ni electrode fabricated by electrodeposition at a high current density. The size of the nanoparticles remains nearly the same after the heat-treatment, and agglomeration was largely avoided thanks to the PVP coverage of the nanoparticles. The effect of the nanoparticles in the HER was studied by pseudo-steady-state polarization curves and EIS and compared with a pure porous Ni electrode at different temperatures.

The addition of the AuNPs clearly improved the catalytic performance of the electrode mainly due to their intrinsic catalytic activity and, at some extent, by the increase of the active surface area, which was concluded by the study of the real surface area provided by the EIS. The HER takes place by the Volmer-Heyrovsky mechanism.

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Synthesis of gold nanoparticles and incorporation to a porous nickel electrode to improve its catalytic performance towards the Hydrogen Evolution Reaction

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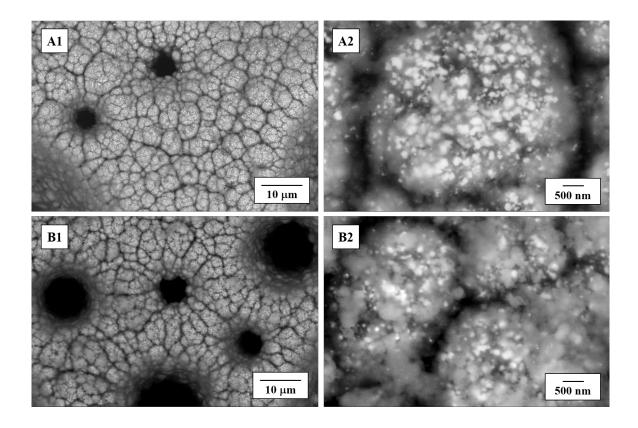


Fig. S1. SEM micrographs of the porous Ni electrode modified with AuNPs. Microstructure of the electrode before (A1) and after (B1) the electrochemical characterization.; and distribution and morphology of the AuNPs before (A2) and after (B2) the electrochemical characterization

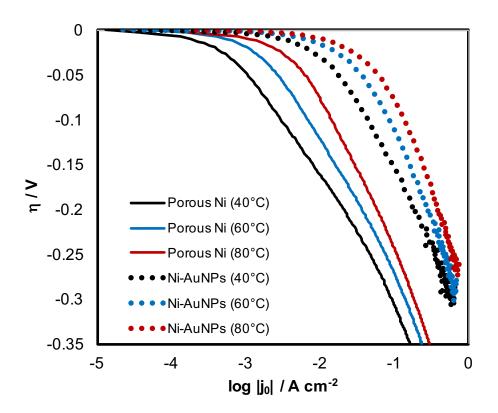


Fig. S2. Tafel representations obtained from the pseudo-steady-state polarization curves recorded on the investigated electrocatalysts in 30 wt% KOH at different electrolyte temperatures

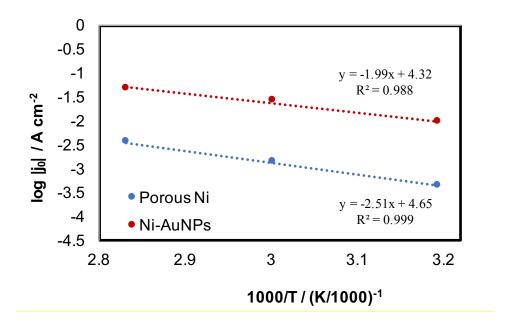


Fig. S3. Arrhenius representations of the investigated electrocatalysts in 30 wt% KOH

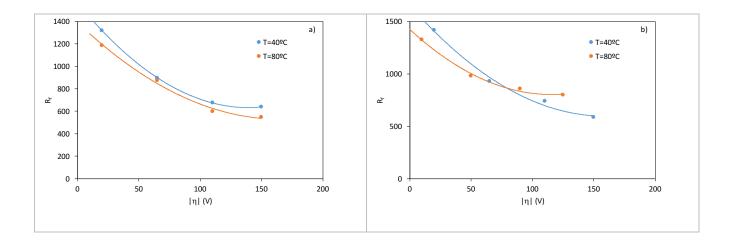


Fig. S4. Variation of the roughness factor with overpotential for the porous Ni electrode, a) and for the Ni-AuNPs electrode, b).

Table S1: Kinetic parameters in the HER obtained from the Tafel representations at different temperatures in 30 wt% KOH

	Temperature (°C)			
Electrocatalyst	40	60	80	
Porous Ni				
b (mV·dec ⁻¹)	119	140	164	
j ₀ (mA · cm ⁻²)	0.43	1.34	3.50	
α	0.52	0.47	0.43	
η ₁₀₀ (mV)	305	272	244	
Ni-AuNPs				
b (mV·dec ⁻¹)	148	189	214	
j ₀ (mA ⋅ cm - ²)	9.09	25.5	47.3	
α	0.42	0.35	0.32	
η ₁₀₀ (mV)	153	112	79	