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Additional Information

1 **Detailed analysis of particulate emissions of a multi-cylinder dual-mode dual-fuel**  
2 **engine operating with diesel and gasoline**

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5  
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14  
15 **Abstract**

16 Internal combustion engines are still within the future of highway transport. Low-  
17 temperature combustion modes are prone to substitute conventional diesel combustion  
18 engines, seeking better performance and improved emissions control. The dual-mode  
19 dual-fuel combustion approach has been confirmed as a feasible combustion mode for  
20 achieving ultra-low NO<sub>x</sub> and soot emissions simultaneously. The aim of this work is to  
21 experimentally measure the particle emissions of the dual-mode dual-fuel concept while  
22 maintaining good thermal efficiency and EURO VI engine-out NO<sub>x</sub> levels. A detailed  
23 analysis of the most relevant characteristics of the particle size distribution is carried out

24 to depict the requirements of a potential filtering system considering the effects of an  
25 upstream diesel oxidation catalyst. The results show that total particle numbering is  
26 within the typical values of the current most popular technologies: around  $2 \cdot 10^{13}$  #/kWh  
27 for RCCI and around  $10^{14}$  #/kWh for DMDF. RCCI combustion tends to produce more  
28 particles sized below 35 nm in diameter, while DMDF shows a clear dominance of sizes  
29 above 40 nm. In terms of total particulate matter, RCCI results in total mass  
30 concentrations around 4 mg/kWh thanks to the highly premixed combustion, but  
31 diffusive combustion mode results in total mass emissions over 50 mg/kWh.

32

### 33 **Keywords**

34 Reactivity controlled compression ignition; after treatment system; Particle

35 Numbering; Particulate Matter; EURO VI

36

## 37 **1. Introduction**

38 In recent years, growing concern about air pollution and global warming has resulted in  
39 dramatic judgments on emissions limits across the board. This has impacted the  
40 transportation sector in particular, as it is estimated that it is responsible for around 21%  
41 of the global CO<sub>2</sub> emissions. However, the most stringent measures are being applied to  
42 the road transport sector for two main reasons: it has the highest share of total  
43 emissions in the transport sector (around 15% of global emissions) and is the one closest  
44 to the population, thus posing the most significant risk to people's health [1].

45 The permissible limits of various pollutants particular to internal combustion engines  
46 (ICEs) have undergone a substantial drop in recent years, among the many limitations  
47 put on fuel-based vehicles. As evidence, in the previous ten years, changes to the  
48 permissible limits have resulted in reductions of more than 75 percent in nitrogen oxides  
49 (NO<sub>x</sub>) emissions in Europe and China and more than 90 percent in the United States.

50 Due to the high conversion efficiency of modern after-treatment systems (ATS), the limit  
51 for partially oxidized hydrocarbons (HC) has been reduced by roughly 65 percent, but  
52 the limit for carbon monoxide (CO) has remained relatively unchanged. Finally,  
53 particulate matter emissions have been limited to less than half of what they were  
54 previously [2]. To meet these goals, the present ATS is significantly more complex and  
55 costly to incorporate specialized devices to remove the individual species targeted.

56 The constringent effect of these limitations has made other technologies rise as  
57 potential substitutes for current conventional engines based on fossil fuels. Battery  
58 electric vehicles have become a competitor for light-duty applications, with clear  
59 benefits for decarbonization of vehicles [3], but their application for heavy-duty

60 applications still suffers critical drawbacks in terms of autonomy and payload capacity  
61 [4]. Alternative fuels with no carbon content like hydrogen are also a rising star  
62 conceptualized explicitly for the transport sector, but this new technology implies other  
63 concerns regarding logistics and infrastructure, so research and development time prior  
64 to its final product application is still needed [5]. For these reasons, the development of  
65 more efficient combustion strategies for conventional engines is necessary as a  
66 temporal solution during the transition to other new alternatives [6,7].

67 With what is known as low-temperature combustion (LTC) modes, several writers in the  
68 literature have investigated the prospect of bringing together a solution for both  
69 difficulties, lowering controlled species emissions while increasing engine efficiency.  
70 This approach of decreasing combustion temperatures helps to significantly minimize  
71 NO<sub>x</sub> and soot generation while also reducing heat losses and increasing the engine's  
72 overall efficiency. Early fuel injections are used in most of the concepts in the literature  
73 to promote a more homogeneous fuel-to-air mixture while controlling combustion  
74 phasing and peak temperatures with high rates of exhaust gas recirculation (EGR), but a  
75 more conceptual definition of the strategy is required to ensure a certain level of  
76 performance. The homogeneous charge compression ignition (HCCI), partially premixed  
77 combustion (PPC), premixed charge compression ignition (PCCI), gasoline compression  
78 ignition (GCI), and reactivity-controlled compression ignition (RCCI) are among the most  
79 successful LTC concepts developed in the last decade for compression ignition (CI)  
80 engines [8].

81 All these approaches have proven effective in reporting ultra-low levels of NO<sub>x</sub> and soot  
82 emissions while maintaining or improving fuel efficiency when compared to

83 conventional diesel engines. The use of strongly premixed combustion has the  
84 disadvantage of not being suited for high engine loads, which is one of the fundamental  
85 limits of traditional LTC modes, which cannot cover the whole operating range of the  
86 map. Benajes et al. [9] employed dual-fuel RCCI combustion with a high reactivity fuel  
87 (HRF) and a low reactivity fuel (LRF) as a starting point and adjusted the combustion  
88 strategy to get more stratified mixtures at medium engine loads and more diffusive  
89 diesel-like combustion at full engine load. The reactivity of the mixture is controlled by  
90 a near-homogeneous load of an LRF introduced through the port fuel injectors (PFI) and  
91 a stratified charge of HRF introduced through the direct injector (DI) in an LTC concept  
92 called dual-mode dual-fuel (DMDF). It uses a more homogeneous-like or partly stratified  
93 injection technique at low and medium loads and a more diesel-like diffusive  
94 combustion strategy at greater loads, where it performs better and delivers higher  
95 power rates [10]. The DMDF combustion concept has been tested and assessed, and the  
96 findings reveal that utilizing gasoline as the LRF and diesel as the HRF can achieve EURO  
97 VI engine-out NO<sub>x</sub> and soot levels. In this method, the SCR in charge of NO<sub>x</sub> reduction  
98 [11] can be removed from the ATS, lowering manufacturing costs and lowering the effect  
99 of its installation [12] as well as concerns about ammonia slip [11].

100 One of the critics of the RCCI combustion concept is that, despite showing ultra-low  
101 particulate matter (PM) emissions verified by smoke numbering and opacity, this does  
102 not mean that no particles are being emitted since depending on the principle of the  
103 measuring device [13] the correlation of conventional particle matter devices is no  
104 longer valid for ultra-low particle emissions of low-temperature advance combustion  
105 modes [14]. The treatment of particle number (PN) and PM on the EURO regulation has  
106 varied significantly over time, and its latest version includes a limit for both parameters.

107 Therefore the need to consider not only total mass but also the number of particles  
108 emitted [15,16]. Some authors have shown that RCCI combustion can produce relatively  
109 high particle emissions in terms of particle PN compared to fully premixed combustion  
110 modes like HCCI [17]. Nonetheless, dedicated studies to evaluate the DMDF combustion  
111 mode while targeting NO<sub>x</sub> and soot levels below EU VI limits are scarce. Bermudez et al.  
112 [18] investigated the effect of injection settings on particle emissions in a dual-fuel  
113 single-cylinder engine, and Benajes et al. [19] showed the impact of reaching ultra-low  
114 NO<sub>x</sub> over the particle size distribution in a single-cylinder engine. Additionally, the usage  
115 of alternative fuels in blends [20] or dual fuel systems [21] has demonstrated a huge  
116 potential to improve the performance of the engine [22] as well as emissions, reaching  
117 ultra-low particle emissions for certain fuels like polyoxymethylene dimethyl ethers [23].

118 The current paper aims to carry out a dedicated study on the particle size distribution  
119 (PSD) and particle numbering emitted by a medium-duty 7.7L multi-cylinder engine  
120 characteristic of heavy-duty transport applications modified to operate under DMDF  
121 combustion mode capable of maintaining EURO VI NO<sub>x</sub> levels and ultra-low smoke  
122 numbering when operating in RCCI mode, moving from single-cylinder studies to a  
123 complete system evaluation. Detailed analysis of the effect of the combustion mode  
124 over total PN and the characteristics of the PSD is produced. Considering the high  
125 concentration of condensates that can appear due to high emissions of HC and CO  
126 coming from the high levels of EGR and premixed ratios employed, the effect of a  
127 commercial DOC [24] is also accounted for so that the inlet conditions of a potential DPF  
128 can also be defined.

## 129 **2. Materials and Methods**

130 **2.1. Engine characteristics**

131 Experimental work was carried out on a 7.7L multi-cylinder production engine modified  
132 to include a new fuel supply circuit for 6 PFI required to inject LRF into the intake  
133 manifold and a low-pressure EGR circuit for increased control flexibility. A new piston  
134 with optimized geometry for better control over the combustion process and emissions  
135 with a reduced compression ratio from 17.5:1 to 12.75:1 ensures proper operation and  
136 stability at high loads. Particulate matter is present in the EGR that passes through the  
137 low-pressure EGR circuit, so a DPF must be installed to protect the turbocharger.

138 Table 1. Engine characteristics.

Engine Characteristics	
Engine Type	4 stroke, 4 valves, direct injection
Number of cylinders [-]	6
Displaced volume [cm <sup>3</sup> ]	7700
Stroke [mm]	135
Bore [mm]	110
Piston bowl geometry [-]	Bathtub
Compression ratio [-]	12.75:1
Rated power [kW]	235 @ 2100 rpm
Rated torque [Nm]	1200 @ 1050-1600 rpm

139

140 **2.2. Test cell description**



141 The engine is installed on an AVL active dynamometer for safe and proper operation,  
142 and the engine speed is controlled using its own software platform, AVL PUMA Open.  
143 The same platform is used to record averaged pressures and temperatures at various  
144 system locations, fresh air mass flow using an airflow meter at the intake, and average  
145 fuel consumption using two gravimetric fuel balances, one for LRF and the other for HRF.

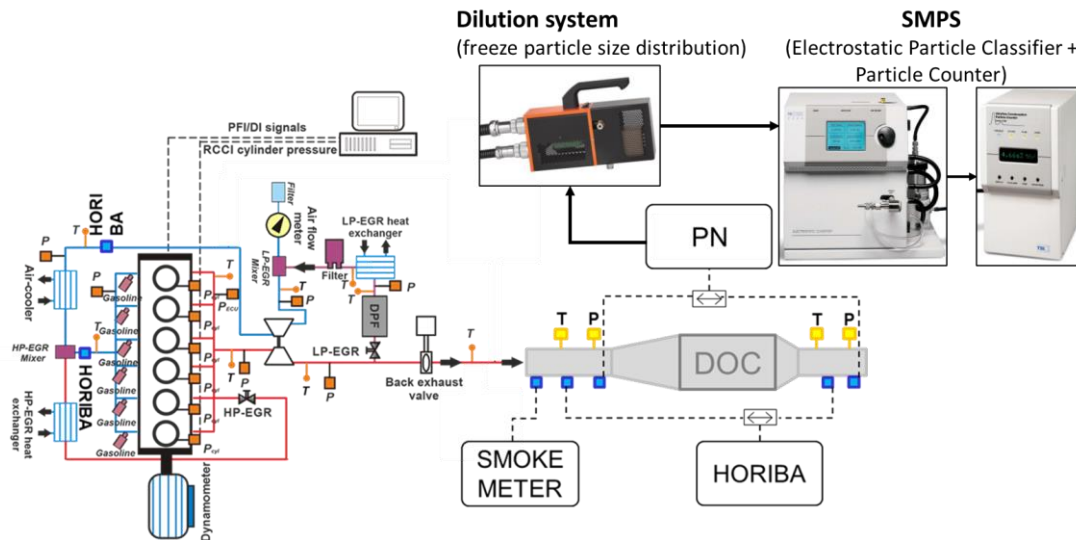
146 Because of the system's complexity, which includes two EGR circuits and two injection  
147 systems, an external graphic interface was created in LabView to command the DI and  
148 PFI systems separately, as well as different control parameters such as injection  
149 pressure, valve positioning (EGR and back pressure valves), and VGT rack position. The  
150 LabView interface is coupled to an encoder with a precision of 0.2 crank angle degrees  
151 for reference injection time (CAD).

152 Readings and records of various instantaneous magnitudes, such as the chamber  
153 pressure of all six cylinders, as well as data from external equipment devoted to  
154 emissions measurements, are also stored on the external control platform. For the  
155 gaseous emissions measuring, the test cell counts with a five-gas Horiba MEXA-7100  
156 DEGR analyzer [25] for NO<sub>x</sub>, CO, HC, O<sub>2</sub>, and CO<sub>2</sub>. An AVL 415 smoke meter [26] allows  
157 quantifying the smoke content as normally measured. Lastly, a dedicated setup for PSD  
158 characterization composed of a dilution system based on a rotating disc MD19-3E from  
159 Testo [27] that allows to freeze the particle distribution sample and protects the other  
160 devices from excessive particle concentration, and a Scanning Mobility Particle Sizer  
161 (SMPS) from TSI [28] to characterize the size distribution of the sample. The installation  
162 also includes a DOC in the exhaust line, so, in order to characterize the effect that this  
163 catalyst has on the particulate matter, a set of line selectors allow to change the

164 measuring location of the Horiba gas analyzer and the SMPS so that engine-out  
165 emissions and after-DOC composition can be measured.

166 An in-cylinder thermodynamic analysis is done in two phases, using all of the  
167 observations of instantaneous and averaged magnitudes. The first is an online study  
168 based on apparent heat release that is applied in the LabView control system to enable  
169 a more detailed examination of combustion during engine operation. The second phase  
170 is a more in-depth thermodynamic study based on the recorded data. This post-  
171 processing is carried out with CMT's CALMEC software, which consists of a collection of  
172 pre-calibrated models for doing a complete OD combustion study [29].

173 Figure 1 shows a thorough schematic distribution of all of the equipment in the test cell,  
174 and Table 2 lists the specific models and critical accuracies of the measurement  
175 instruments. This information has been used to carry out an uncertainty analysis  
176 following the procedure stated in the work from [30] to ensure that the reported values  
177 have accuracy and repetitiveness within acceptable values. This information has not  
178 been included in the manuscript for the brevity of the document, but all error values can  
179 be reproduced using the referenced methodology.



180

181

Figure 1. Test cell diagram.

182

Table 2. Accuracy of the instrumentation used in this work.

Variable measured	Device	Manufacturer / model	Accuracy
In-cylinder pressure	Piezoelectric transducer	Kistler / 6125C	$\pm 1.25$ bar
Intake/exhaust pressure	Piezoresistive transducers	Kistler / 4045A	$\pm 25$ mbar
Temperature in settling chambers and manifolds	Thermocouple	TC direct / type K	$\pm 2.5$ °C
Crank angle, engine speed	Encoder	AVL / 364	$\pm 0.02$ CAD
NO <sub>x</sub> , CO, HC, O <sub>2</sub> , CO <sub>2</sub>	Gas analyser	HORIBA / MEXA 7100 DEGR	4%
FSN	Smoke meter	AVL / 415	$\pm 0.025$ FSN
PN	Particle Counter	TSI/SMPS 3936	$\pm 1$ #/cm <sup>3</sup> (bin)
Gasoline/diesel fuel mass flow	Fuel balances	AVL / 733S	$\pm 0.2\%$
Air mass flow	Air flow meter	Elster / RVG G100	$\pm 0.1\%$

183

184 **2.3. Fuels and injection systems characteristics**

185 Diesel fuel is utilized as the HRF, and gasoline is used as the LRF in this study. Table 3  
186 summarizes the basic physicochemical features of these fuels.

187 Table 3. Physical and chemical properties of gasoline and the different high reactivity fuels evaluated.

	EN 590 diesel	EN 228 gasoline
Density [kg/m <sup>3</sup> ] (15 °C)	842	720
Viscosity [mm <sup>2</sup> /s] (40 °C)	2.93	0.545
Cetane number [-]	55.7	-
Carbon content [% m/m]	86.2	84.4
Hydrogen content [% m/m]	13.8	15.6
Oxygen content [% m/m]	0	0
RON [-]	-	95.6
MON [-]	-	85.7
Lower heating value [MJ/kg]	42.44	42.4
Vapor pressure [hPa] (T=40 °C)	1-10	450-650

188

189 During the intake phase, the dual-fuel concept allows controlling the in-cylinder charge  
190 creation and stratification by injecting the LRF through the PFI placed at each cylinder  
191 intake pipe to establish a homogenous base air-to-fuel mixture and then injecting the  
192 HRF through the DI system during the compression stroke. It is feasible to achieve the  
193 required load reactivity distribution by adjusting the amount of each fuel, the HRF start  
194 of injection (SOI), and the common rail injection pressure to have some control over the

195 mixing rate. The features of both injector types are presented in Table 4 for a simple  
 196 characterization of both injection systems.

197 Table 4. Characteristics of the different injectors.

DI Injector		PFI Injector	
Actuation Type [-]	Solenoid	Injector Style [-]	Saturated
Steady flow rate @ 100 bar [cm <sup>3</sup> /min]	1300	Steady flow rate @ 3 bar [cm <sup>3</sup> /min]	980
Included spray angle [°]	150	Included Spray Angle [°]	30
Number of holes [-]	7	Injection Strategy [-]	single
Hole diameter [μm]	177	Start of Injection [CAD ATDC]	340
Maximum injection pressure [bar]	2500	Maximum injection pressure [bar]	5.5

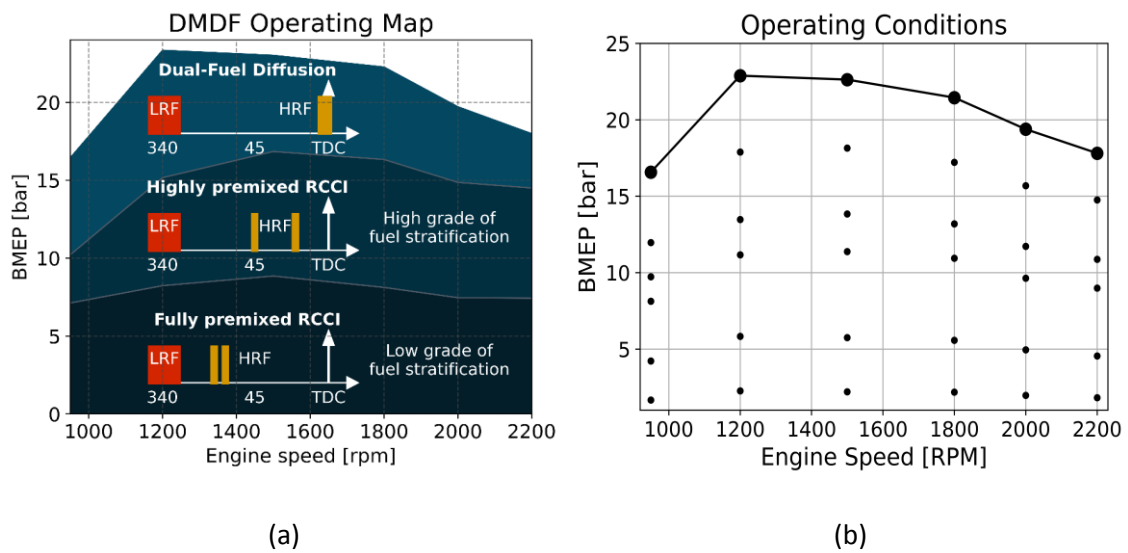
198

#### 199 **2.4. Methodology for experimental evaluation**

200 A systematic calibration technique is used on a total of 36 operation conditions spread  
 201 over the engine's whole range of operation. The engine speed ranged from 950 to 2200  
 202 rpm, and the load increase was divided into 10%, 25%, 50%, 60%, 75%, and 100% relative  
 203 to the highest nominal power production at each engine speed as depicted in Figure 2b.

204 The combustion mode employed along the engine map is the DMDF concept devised at  
 205 CMT [31], in which dual-fuel RCCI transitions towards dual-fuel diffusive combustion as  
 206 the engine load increases, according to the conceptual sketch shown in Figure 2a. The  
 207 engine settings employed in this study are based on an EU VI calibration that targets  
 208 NO<sub>x</sub> and soot emissions from FSN readings that were previously produced. The primary  
 209 target to maintain NO<sub>x</sub> emissions under EURO VI was ensured via online measurement  
 210 of NO<sub>x</sub> emissions and different mass flows, pressures, and temperatures during the

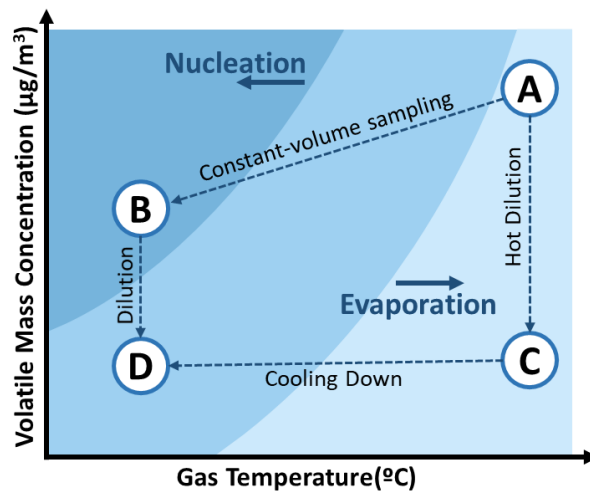
211 tests, which were then used to correct the specific NOx emissions considering ambient  
 212 humidity in accordance with the standard procedure dictated by the EURO norm. The  
 213 final specific emissions had to be below 0.4 g/kWh to accept them as fulfilling the  
 214 stationary limit of EURO VI. The limits for avoiding mechanical issues in the engine were  
 215 the same as for the EU VI calibration (in-cylinder  $P_{max}$  180 bar, PRR 17.5 bar/CAD). More  
 216 details about the injection settings and other aspects of the calibration can be consulted  
 217 in the work from Sari R. et al. [31].



218 Figure 2. Conceptual injection strategy of the dual-mode dual-fuel combustion concept (a) and operating conditions  
 219 included in this evaluation (b).

220 Measuring conditions and gas thermodynamic state can highly affect the total particle  
 221 number measured since the mechanisms of nucleation, accumulation, and aggregation  
 222 that are part of the formation path of solid particles can be accelerated or halted  
 223 depending on temperature, concentration, and other thermodynamic and  
 224 compositional parameters [32]. According to classical nucleation theory [33,34], the  
 225 processes of forming new particles through nucleation and evaporation of existing  
 226 nuclei show a certain hysteresis, as represented in the phase diagram of Figure 3. This

227 allows freezing the particle sample as long as the sample is extracted following a rapid  
228 path that allows it to go from point A to point D avoiding the formation or evaporation  
229 of particles during the measuring time. The rotating disk dilution technique allows  
230 following a path that resembles that defined by A-C-D.



231

232 Figure 3. Conceptual phase diagram of volatile substances (adapted from [27]).

233 The efficacy of this technique is defined mainly by the temperature of the diluting gas,  
234 the dilution ratio, and the sampling rate. In the literature, several rules of thumb can be  
235 found to define these parameters. In this study, the methodology developed by Fuentes  
236 E. [35] and later corroborated by Soto L. [36] on the same equipment model employed  
237 in this study has been applied to fix the settings of the SMPS and find the appropriate  
238 dilution ratio necessary at each operating condition to ensure a static sample and a safe  
239 operation of the measuring equipment. The final setup allows measuring particles in the  
240 range of 5-240 nm to focus on the fine particles in the exhaust line that usually are  
241 harder to treat by the ATS and always provide a particle concentration lower than  $10^8$   
242 particles/cm<sup>3</sup> not to saturate the sample above the maximum limit of the device [28].

243 During the post-processing, the final PSD is computed by correcting the reported  
244 measurement of particle concentration with the dilution ratio [37].

### 245 **3. Results and discussion**

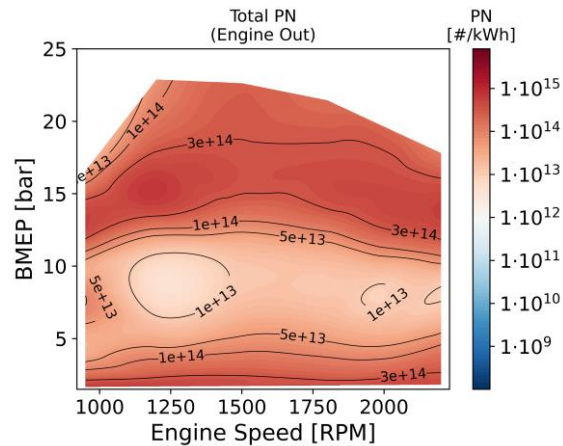
246 This section includes different subsections covering the study of particulate emissions  
247 and how the DOC affects the distribution of particle number and total particulate mass  
248 in order to evaluate the performance of the RCCI and DMDF combustion modes  
249 compared to other technologies as well as provide some guidelines and boundary  
250 conditions to define which are the requirements of an appropriate DPF. In addition to  
251 this information, other measurements that might be relevant for the comprehension of  
252 the results are included in the Appendix, including engine performance parameters,  
253 other relevant pollutants, and boundary conditions of the DOC. These results have been  
254 previously reported in other publications, but in order for the manuscript to be self-  
255 contained, these parameters have been properly referenced and briefly explained in the  
256 Appendix.

#### 257 **3.1. Engine out emissions**

258 The most direct indicator of the particles emitted by the engine is the total amount of  
259 particles or the PN, which for heavy-duty applications is reported as a specific emission  
260 in terms of #/kWh. Figure 4 shows the total PN throughout all the engine map covered  
261 by the 36 points measured for this analysis, following the requirements for EURO VI  
262 regulation, in which only particles above 23 nm are considered. The reported values  
263 show emissions levels that vary from  $10^{12}$  to  $4 \cdot 10^{14}$  #/kWh depending on the region of  
264 the map. These values clearly surpass the  $8 \cdot 10^{11}$  #/kWh limit imposed by the current



265 regulation, evidencing the need for dedicated analysis of this specific emission and how  
266 to control it.



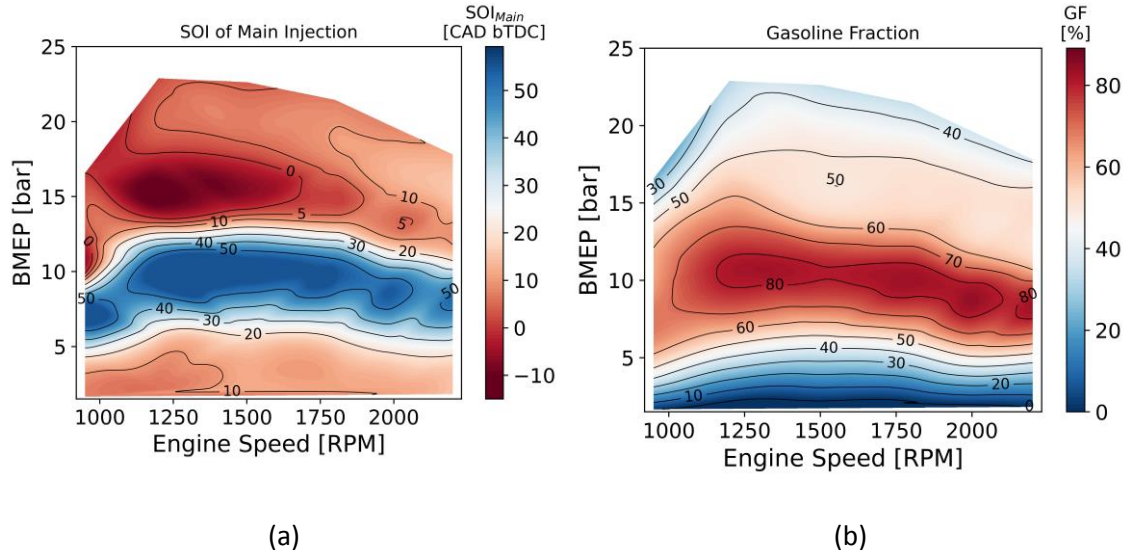
267

268 Figure 4. Engine out Particle Number emissions of the DMDF combustion mode.

269 The tendencies observed on the map also show how the total particles are affected as  
270 the engine load is increased, having a significant reduction at moderate loads. This can  
271 be related to two main parameters of the DMDF combustion mode: injection timing and  
272 gasoline fraction. Comparing the tendencies on PN emissions from Figure 4 with the  
273 combustion settings evolution represented in Figure 5, it can be concluded that both  
274 very early injections and high premixing rates allow for reducing particle formation. This  
275 combination provides a highly premixed load within the cylinder that permits the  
276 formation of excessively rich regions generally attributed to the formation of large  
277 particles as well as advancing the combustion, giving more time for oxidizing particles  
278 during the closed cycle of the cylinder.

279 Above 50% load, where premixing is no longer possible due to significant pressure  
280 gradients that reach the mechanical limit of the hardware, combustion mode is  
281 transitioned towards more diffusive combustion, lowering the utilization of LRF and  
282 delaying the injection timing of HRF, which is also accompanied with the formation of a

283 load that is getting globally richer with the load. From these modifications, particle  
284 formation is significantly enhanced [38], and total emissions of particles reach their  
285 maximum values within the operating region of the map tested in this evaluation.



286 Figure 5. Evolution of main injection timing (a) and gasoline fraction (b) along the engine map for the DMDF  
287 combustion mode.

288 Considering the observed relation between injection settings and fuel blend with  
289 particle formation, a possible conclusion from this qualitative analysis could be that,  
290 modifying the engine so that premixed combustion can be extended to higher loads  
291 (increasing mechanical resistance, for example), the utilization of higher gasoline  
292 fractions and early injections with higher power output could lead to PN levels that could  
293 go below currently allowed limits on an engine-out basis.

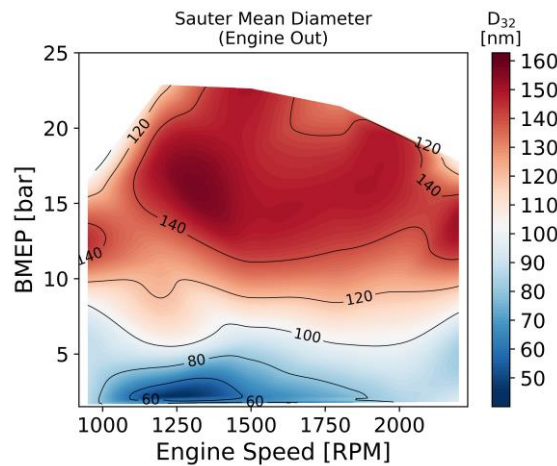
294 Although a reduction in particle formation is observed with higher premixing, the levels  
295 measured on the RCCI / DMDF combustion are significantly higher than other  
296 combustion modes based on premixed combustion like HCCI. This is a complex matter  
297 to explain, and a more specific comparison is performed in one of the subsequent  
298 sections, but the most relevant aspect to justify the higher particle formation resides in

299 the utilization of a low compression ratio with high EGR rates that significantly increase  
300 the concentration of species that can condensate coming from partially oxidized  
301 hydrocarbons and also lower the temperature during the exhaust phase. The high  
302 concentration of condensates and the low temperatures act as drivers of the nucleation  
303 process, giving room for this increase in particle formation compared to other  
304 combustion concepts.

305 From this analysis, it is possible to create a distinction between two clearly differentiated  
306 regions along the engine map: a region where particle formation is due to the utilization  
307 of high EGR levels that promote condensation of saturated species and, therefore,  
308 nucleation processes, and a second region where particles are more related to black  
309 carbon classically associated to diffusive combustion. These two particle formation  
310 regimes have been classically differentiated by a series of properties, but one of the  
311 most common and direct observations would be the size of the particles. Recently  
312 nucleated particles coming from the condensation of saturated species are typically  
313 characterized by smaller particle sizes, while particles formed of black carbon during  
314 diffusive combustion tend to enlarge rapidly. If a characteristic diameter of the particles  
315 emitted is computed, a clear trend can be observed. In this case, the Sauter Mean  
316 Diameter ( $D_{32}$ ) was computed according to Eq. 1 since it is a widely accepted parameter  
317 to characterize scattered phases where the surface to volume ratio is relevant for mass  
318 and energy transfer, like could be the case of a catalyzed DPF. Figure 6 shows how the  
319 evolution of the  $D_{32}$  has a clear dependency on the combustion mode, resulting in  
320 significantly smaller sizes on the RCCI combustion mode used at lower loads. Shorter  
321 and leaner combustion processes do not provide the conditions necessary for a  
322 significant surface growth of the particles. As the engine load increases and the

323 combustion changes towards a more diffusive strategy, the global particle size increases,  
 324 showing this change in the relevance of black carbon or soot. In diffusive combustion  
 325 processes, long residence time within rich pockets of the fuel spray and large  
 326 concentration of hydrocarbons during the combustion process are favorable conditions  
 327 for particle growth and aggregation, allowing the formation of significantly larger  
 328 particles compared to the previous burning conditions.

$$SMD = D_{32} = \frac{\sum N_i \cdot d_i^3}{\sum N_i \cdot d_i^2} \quad \text{Eq. 1}$$

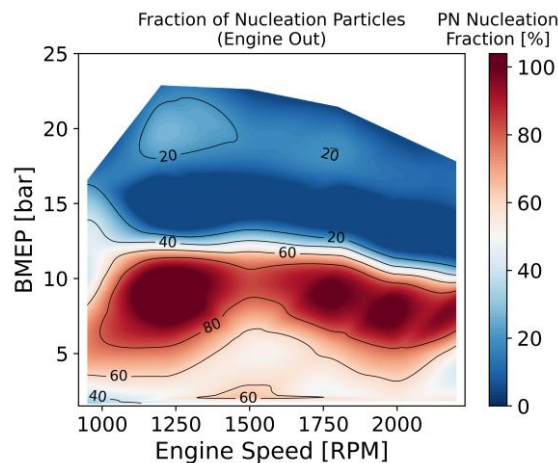


329

330 Figure 6. Distribution of global  $D_{32}$  of the particle size distribution emitted from the DMDF combustion mode.

331 An alternative approach to reach the same conclusion is to directly estimate how many  
 332 particles belong to the nucleation phase and the aggregation phase (enlarged particles,  
 333 commonly formed of solid black carbon). A well-established rule of thumb used to  
 334 differentiate between them is to consider a threshold diameter and consider that the  
 335 particles below that size are mainly formed by particles in the nucleating phase, and the  
 336 other side of the threshold is dominated by solid particles. The typical value for this  
 337 threshold is 30 nm, according to the literature [18], which serves the purpose of a rapid  
 338 comparison. Following this method, for which the complete particle size range from the

339 measurements was considered, the fraction of particles belonging to the nucleating  
340 phase is significantly more relevant at lower loads as per Figure 7, and an evident change  
341 in the trends is observed as soon as the combustion mode changed towards more  
342 diffusive settings. More specifically, a higher fraction of nucleating particles appears in  
343 the region where fewer particles were observed in the previous analysis, confirming the  
344 influence of premixing and high saturated species concentrations. The presence of  
345 potentially-nucleating particles coming from these saturated species drastically  
346 diminished for high load conditions where exhaust temperatures are high enough to  
347 avoid the condensation of most hydrocarbons.



348

349

Figure 7. Fraction of particles in the nucleation regime.

350 The trends highlighted in this section point towards a higher presence of hydrocarbon  
351 species that condensate and adhere to the particles or act as nucleating particles, but it  
352 is essential to consider that all these results belong to engine-out measurements, and  
353 these hydrocarbon species are subject to be oxidized after passing through the DOC. For  
354 this reason, a more detailed analysis of the impact of the catalyst on particle numbering  
355 is carried out in the following section.

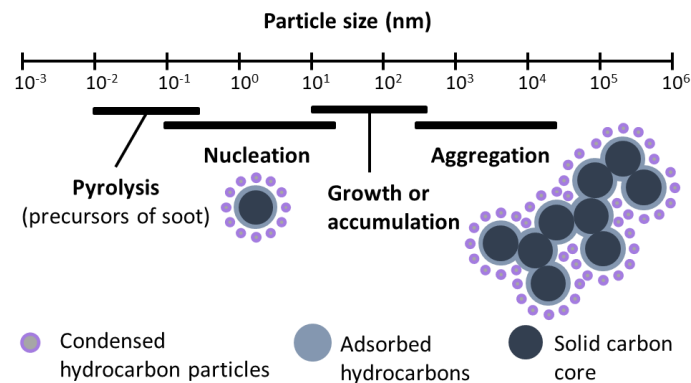
356

### 357 **3.2. Effect of the DOC**

358 Scientists have invested significant efforts to understand and characterize the formation  
359 process of particles from combustion processes, and the understanding of the driving  
360 mechanisms and how particles are formed and enlarged is well known [32,38,39]. The  
361 process can be summarized as follows: partially oxidized species produced during the  
362 combustion process, such as unsaturated hydrocarbons, polyacetylenes, and polycyclic  
363 aromatic hydrocarbons (PAHs), act as precursors of the soot formation. These  
364 precursors undergo a nucleation process in which these gaseous species end up forming  
365 polycyclic compounds, also referred to as solid nuclei or black carbon, since they have  
366 been dehydrated. Hydrocarbon species can also condensate into liquid particles that are  
367 prone to adhere to the solid nuclei in what is known as a growth phase since this does  
368 not modify the total number of particles but does enlarge their size. The last step in the  
369 particle formation process is referred to as the aggregation phase, in which these  
370 enlarged particles can combine and stack with each other leading to large chains of  
371 smaller nuclei. This process can go on to form huge particles that can become visible to  
372 the naked eye. This formation process with defined phases and characteristic particle  
373 shape and composition has been schematized in Figure 8, but more details can be found  
374 in the work of Khobragade R. et al. [32] and Mohankumar S et al. [40], from which the  
375 graphic has been adapted.

376 The different particle formation phases are highly dependent on a multitude of factors,  
377 like temperature, the composition of the different species involved, or the  
378 concentration of particles (liquid and solid). In particular, the number of particles within

379 the nucleation size range and the sizes attained during the growth phase highly depend  
380 on the concentration of liquid phase particles (mostly water and saturated hydrocarbons  
381 that can condensate at lower temperatures).



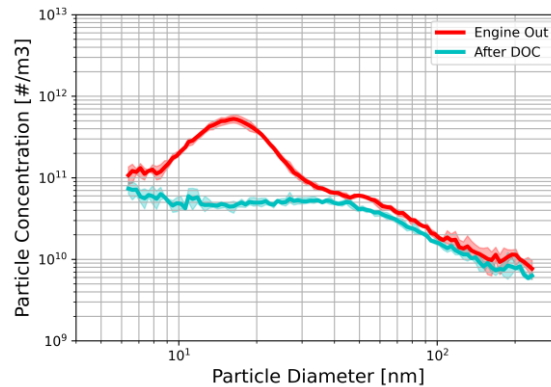
382

383 Figure 8. Phases in the particle formation process and characteristic composition (adapted from [32,40]).

384 This fraction of condensates, also known as the soluble organic fraction (SOF), can  
385 constitute a significant fraction not only of the total count of particles but also of the  
386 mass contained in these particles. In combustion modes where high EGR rates and low  
387 temperatures characterize the working conditions, the high concentrations of partially  
388 oxidized hydrocarbons can contribute significantly to increasing the SOF. These  
389 condensed species are still subject to be affected when going through a catalyst like the  
390 DOC, in which the oxidation of THC and CO is promoted through a series of reactions.  
391 Therefore, the effect of the DOC is necessary to define the boundary conditions to other  
392 ATS elements like could be a DPF.

393 Experimental measurements of particles were taken prior to and after the DOC, allowing  
394 us to see the reduction of PN due to the reactions that take place in it. Specifically, the  
395 reduction in PN was exceptionally high in the region of the map in which high nucleating  
396 particles were observed in Figure 7, showing that the measured particles in this regime

397 might probably consist of a high fraction of liquid particles from the SOF. A more detailed  
398 analysis of the evolution of PSD in a characteristic operating condition with moderately  
399 high exhaust temperatures shows a significant amount of particles in the range of 8 nm  
400 to 30 nm that are reduced by more than one order of magnitude after going through  
401 the DOC as shown in Figure 9.

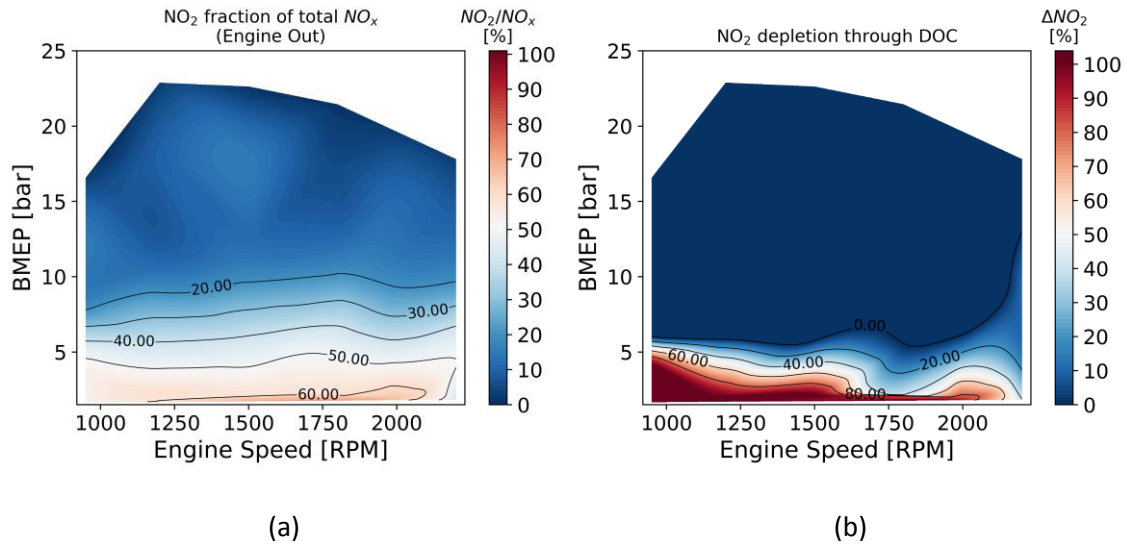


402

403 Figure 9. Particle size distribution before and after the DOC at a representative operating condition of the RCCI  
404 combustion mode (1200 rpm and 25% load).

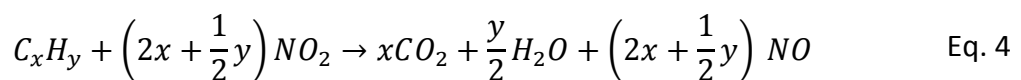
405 In addition to the direct oxidation of SOF due to the effect of the active participation of  
406 the DOC, an additional source of particle oxidation was observed at very low load  
407 conditions where exhaust temperature is not high enough to light off the DOC. The  
408 phenomenon referred to is the NO<sub>2</sub> depletion through the DOC at low temperatures. As  
409 Figure 10a shows, NO<sub>2</sub> forms most of the total NO<sub>x</sub> emissions for these low load  
410 conditions, justifying that the concentration of this specie is sufficient to participate in a  
411 noticeable rate in catalytic reactions. Figure 10b also represents the region where NO<sub>2</sub>  
412 depletion through the DOC is most relevant. This region overlaps with the region of the  
413 map where the DOC is still not active due to low temperatures. In this graph, the NO<sub>2</sub>  
414 generation coming from high-temperature oxidation of NO was masked to only highlight  
415 the relevant region.





416 Figure 10. Distribution of NO<sub>2</sub> fraction (a) and NO<sub>2</sub> depletion (b) along the DMDF engine map.

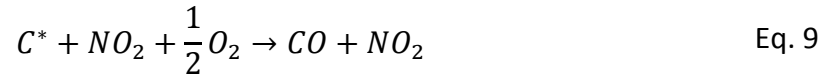
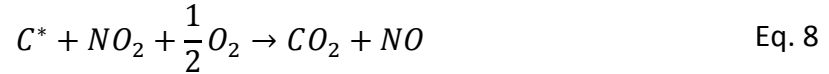
417 This characteristic NO<sub>2</sub> consumption at low temperatures can be attributed to two  
 418 different phenomena. The first one would be related to the normal catalytic reactions  
 419 of the DOC to oxidize organic compounds like CO and THC, which in this case could be  
 420 relevant to explaining the consumption of SOF. To better understand the reactions  
 421 involved in the balance of NO<sub>2</sub>, a reduced chemical reaction model developed by  
 422 Sampara et al. [41] can be used to evidence the main reactions involved. Eq. 2 considers  
 423 high-temperature oxidation of NO into NO<sub>2</sub>, but as already said previously, this reaction  
 424 is not of interest for the operating conditions of low load. Eq. 3 and Eq. 4 represent the  
 425 intervention of NO<sub>2</sub> in the oxidation process of CO and THC at moderate temperatures,  
 426 and Eq. 5 defines the catalytic dissociation of NO<sub>2</sub> into N<sub>2</sub>, which also takes place at  
 427 moderate temperatures.





428

429 The second source of NO<sub>2</sub> consumption can be attributed to the passive regeneration of  
 430 the carbon within the carbonaceous structure of the solid nuclei of particles. This passive  
 431 regeneration is usually designed to take place at the DPF, but since it is a catalytic  
 432 oxidation, it can take place at the DOC too. In this case, the reactions can be described  
 433 by the set of equations included below used by Jeguirim M. et al. [42], in which carbon  
 434 is oxidized through two simultaneous paths, the direct oxidation with oxygen (Eq. 8 and  
 435 Eq. 9) and the oxidation with nitrogen dioxide (Eq. 6 and Eq. 7).



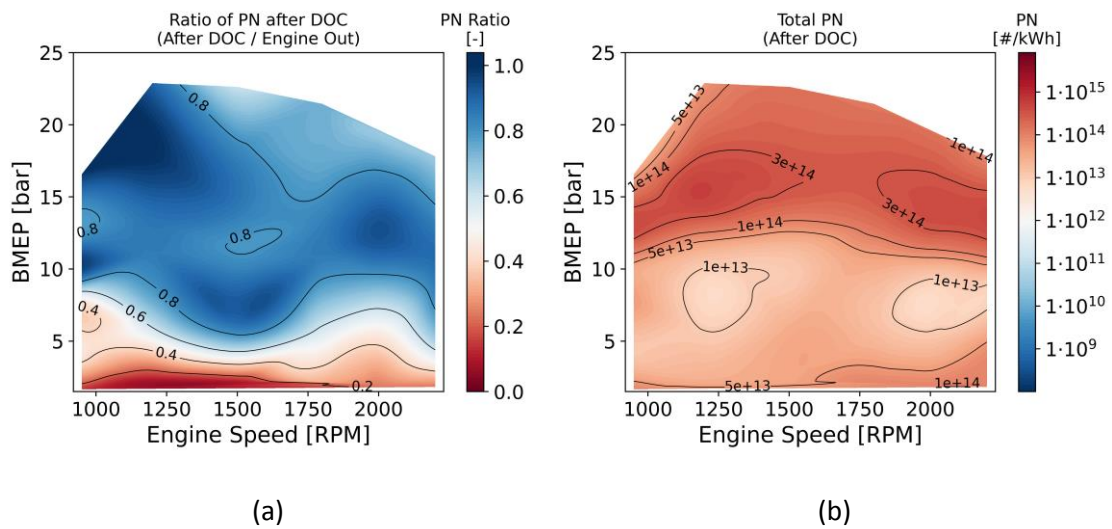
436

437 As a result of these potential ways for the DOC to have an impact on the particle  
 438 distribution, a certain level of emission reduction is observed. Figure 11 includes the  
 439 particle ratio  $PN_{ratio}$  between the outlet and the inlet of the DOC (Figure 11a), which is  
 440 computed according to Eq. 10, and the total particle count coming out from the DOC  
 441 (Figure 11b). It is possible to observe that at lower loads, the reduction of PN is most  
 442 effective, achieving a reduction of nearly an order of magnitude for some conditions,  
 443 and a good level of oxidation is maintained up to around 50% load, evidencing the  
 444 characteristic behavior of RCCI combustion with high THC emissions that facilitate the

445 formation of SOF in the particles that are later oxidized in the DOC. At higher loads  
 446 where the DMDF combustion tends towards the diffusive mode, the SOF fraction is  
 447 significantly reduced, but a certain level of PN reduction is maintained, implying that the  
 448 DOC is still acting even on the solid particles.

$$PN_{ratio} = \frac{PN_{out}}{PN_{in}} = \frac{PN_{after\ DOC}}{PN_{engine\ out}} \quad \text{Eq. 10}$$

449

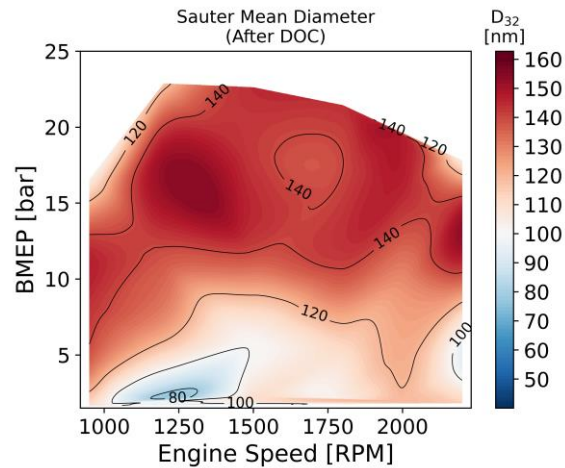


450 Figure 11. Evolution of the reduction of PN (a) and total PN after the DOC (b) along the DMDF engine map.

451 After accounting for DOC oxidation capabilities over the particle distribution, total  
 452 emissions levels are around an order of magnitude above the regulation for the RCCI  
 453 regime and significantly higher for DMDF combustion. These results make evident the  
 454 need to include a DPF. Both interaction paths of  $NO_2$  with SOF and carbon can take place  
 455 simultaneously, but it is true that both require a certain level of temperature, and a  
 456 specific residence time in the case of passive regeneration, so it is difficult to  
 457 differentiate which one has a more significant effect without requiring more  
 458 fundamental evaluations that fall out of the scope of this investigation. Nonetheless, a  
 459 clear trend can be observed from the previous figures in which a higher reduction is

460 attained at lower loads by means of oxidation of SOF that represent a higher fraction at  
461 lower temperatures due to condensation of THCs, and a certain amount of oxidation is  
462 maintained at higher loads where THC condensation cannot occur and most probably  
463 the PN reduction is the effect of passive regeneration, although at very low effectiveness  
464 ratio due to the short residence time at the DOC.

465 Considering the requirements for the potential DPF, the DOC provides a reasonable  
466 reduction of particles at lower loads, while for higher loads, the NO<sub>2</sub> production coming  
467 from the catalytic reactions facilitates the enhancement of the performance of the DPF,  
468 in which particles are trapped to increase residence time and allow a more significant  
469 passive regeneration [43]. Also, the reduction of smaller particles through the DOC  
470 reduces the demand on the DPF by increasing the global size of particle distribution, as  
471 seen in Figure 12. In general, the particle distributions at high load with diffusive  
472 strategies have not changed practically since large, and carbonous particles with low  
473 content of volatile species are not affected significantly by the effect of the DOC. On the  
474 contrary, in low load conditions where hydrocarbon species implied a significant fraction  
475 of the particle volume, after the oxidation of these species through the DOC, a significant  
476 amount of particles in the nucleating regime were reduced since they mostly had  
477 adsorbed condensed hydrocarbons that were easily oxidized through surface reactions.  
478 This implied that larger particles remain and the global size of the particle distribution is  
479 enlarger, facilitating a design of the DPF capable of capturing most of the particles on  
480 the larger side of the distribution [44]. Also, as an alternative to the dual elements, it is  
481 possible to use a simplified ATS with a single component that includes the effects of a  
482 DOC and a DPF in a single component, enhancing performance and reducing complexity  
483 and costs [45].



484

485

Figure 12. Distribution of D32 after the DOC along the DMDF engine map.

486

Combining the data from Figure 11 and Figure 12, the requirements for the necessary

487

DPF can be defined. The concentrations of particles that the DPF will consider can range

488

from slightly less than  $10^{13}$  up to  $10^{14}$  #/kWh depending on the operating conditions,

489

and the characteristic SMD of the particle size distribution can range from 80 up to 160

490

nm. The most demanding conditions for the DPF will be under RCCI combustion mode

491

since smaller particles may require smaller corridors in the matrix, but for a proper

492

assessment, a dedicated design procedure should be considered in future steps.

493

### 3.3. Particle size distribution and modal analysis

494

After analyzing the global properties of the particles emitted under DMDF combustion

495

and observing the impact of the DOC on the concentration of particles, a clear

496

differentiation in the trends is observed depending on the particle size. To better

497

observe these differences in composition and concentration along with the different

498

combustion modes, it is of interest to analyze the PSD and how they are modified

499

through the DOC. Figure 13 depicts the PSD of three dominant and characteristic

500

combustion modes of the current application. The PSDs shown in Figure 13 belong to a

501 single engine speed (1500 rpm), but the analyzed trends are maintained for the rest of  
502 the engine loads despite the effect of different engine speeds and operating  
503 temperatures that modify the global size tendency of the PSD slightly.

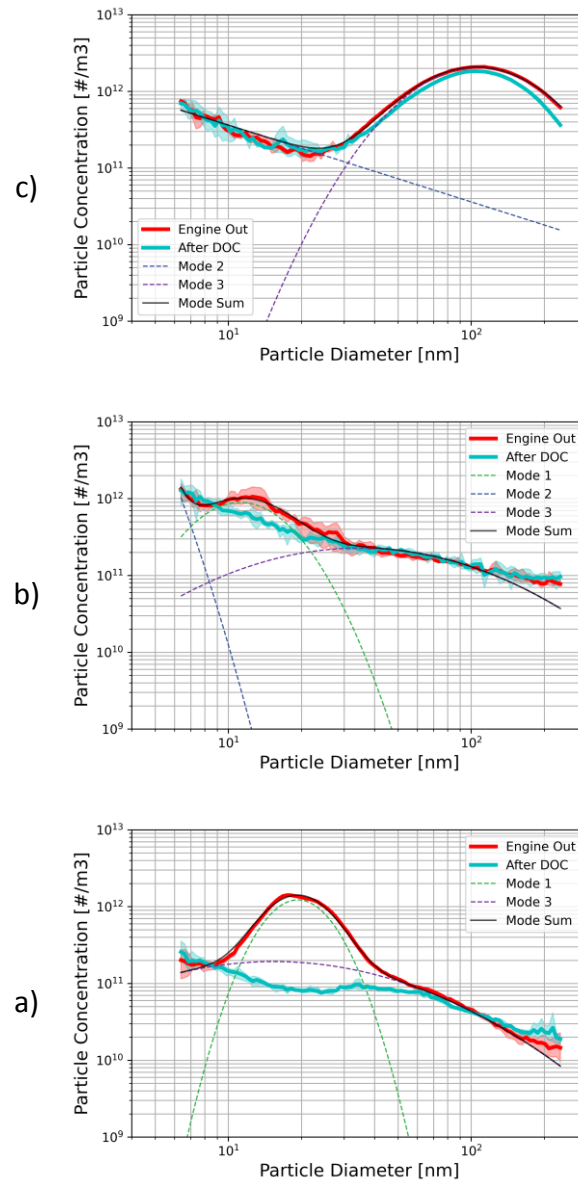
504 The first mode in Figure 13a would be the RCCI combustion at low loads (1 to 5 bar of  
505 BMEP), in which low-temperature combustion with high EGR rates dominates. A clear  
506 dominant group of particles falls within the smaller size, characterized by nucleating  
507 particles that are more volatile. The change of the PSD after going through the DOC  
508 clearly shows how this specific region is significantly reduced, implying the presence of  
509 volatile compounds that are oxidized by the DOC, achieving a reduction of more than an  
510 order of magnitude. At larger particle sizes, the presence of volatile particles is almost  
511 negligible, and no significant reduction is observed as a consequence of the difficulty of  
512 reducing solid particles (soot). Nonetheless, these larger particles represent a relatively  
513 small fraction of the total particle count.

514 As load is increased (up to 12 to 14 bars of BMEP) and high premixing accompanied by  
515 higher utilization of gasoline is employed, a significant modification of the PSD  
516 characteristics can be observed in Figure 13b. The global concentrations are slightly  
517 increased, with very similar trends at the aggregation regime, but in this region, the  
518 relevance of the nucleating particles is significantly smaller. Also, the reduction capacity  
519 of these smaller particles has been significantly limited since the high temperatures at  
520 these loads impede the condensation of THCs. Small nuclei are still being formed, but  
521 the dominating mechanism is related to solid carbonaceous nuclei instead of particles  
522 that have grown with adsorbed liquid hydrocarbons. A consequence of this limited  
523 growth is that the average size of the nucleating particles is even smaller than in the

524 previous combustion mode. Despite this reduced relevance of the nucleating regime, it  
525 still is the most relevant regime through the measured particle size range.

526 Higher engine loads (up to 25 bar BMEP), represented by the PSD shown in Figure 13c,  
527 are dominated by the dual-fuel diffusive combustion, characterized by latter HRF  
528 injections and richer mixture formation. Due to these combustion conditions, the trends  
529 in the PSD are entirely different. Global particle concentrations are significantly greater  
530 than in the previous combustion modes, mainly driven by the shorter oxidation time  
531 within the cylinder and the rich burning ambient, which promotes great particle  
532 formation. The aggregated fractal particles take the leading role, enlarging the global  
533 size of the particles emitted while the nucleating regime maintains similar  
534 concentrations to the previous premixed combustion mode. In general, temperatures  
535 are sufficiently high to avoid any condensation, and practically no particle reduction is  
536 observed clearly for this combustion strategy. Nonetheless, as observed in Figure 9,  
537 some reduction is still taking place within the DOC, most probably driven by passive  
538 regeneration of carbonaceous matter with  $\text{NO}_2$  since it is highly reactive at the given  
539 operating temperatures. Regardless, the effectiveness of these reduction mechanisms  
540 is limited by low residence times and other potentially dominant reactions over  $\text{NO}_2$   
541 concentration.

542



543 Figure 13. Particle Size Distribution before and after DOC at low (a), medium (b), and high (c) engine loads.

544 In addition to the measured PSD from the engine exhaust and the DOC outlet, a multi-  
 545 log-normal fitting was applied to these particle distributions (only to engine out  
 546 distributions) to identify additional particle modes [46]. Particle formation during  
 547 combustion can occur through different physicochemical paths, and nuclei can be  
 548 formed from different sources, so it is possible to observe primary particles (smaller  
 549 nuclei) that form different modes or distributions [47]. Conventional combustion modes



550 are typically characterized by two main modes, related to volatile nucleating particles  
551 and solid aggregated particles [32,38,40,48].

552 The low load RCCI combustion showed this apparent trend of two differentiated modes  
553 associated with classical particle modes: mode 1 for volatile nuclei and mode 3 for solid  
554 aggregated particles. Similarly, diffusive combustion also shows this bimodal behavior,  
555 but volatile nuclei (mode 1) are substituted by solid primary particles since they are not  
556 easily oxidized or vaporized (mode 2), meaning that no SOF is present in these particles.

557 An interesting behavior appears in the highly premixed combustion mode for moderate  
558 loads, in which a tri-modal behavior can be observed. Volatile particles from mode 1 and  
559 solid smaller nuclei from mode 2 coexist with similar relevance for the total particle  
560 distribution while maintaining the presence of aggregated particles from mode 3. These  
561 small non-volatile primary particles fall way below the range considered for the current  
562 EURO VI regulation or the prospective range for the future EURO VII [2,49], so the impact  
563 on the total count of particles is minimum, but this differentiated behavior in the  
564 formation of particles can result of interest when analyzing the relevance of other  
565 sources of particle formation apart from fuel combustion, like oil pyrolysis or metal  
566 depositions [50,51] for future technological improvements.

#### 567 **3.4. Estimation of Particulate Mass**

568 In addition to total particle numbering, the current normative also considers total  
569 particulate mass emitted by the engine [2]. Therefore, to adequately characterize  
570 particle emissions from the DMDF combustion, it is necessary to cover this aspect.  
571 Although there exists specific measurement equipment for directly quantifying the  
572 particle mass in the exhaust line, a common practice when not available is to define a

573 density of particle and compute volume and mass based on the particle diameter. For  
574 smaller and spherical particles that have not gone through the aggregation process, the  
575 density of the particle based on its mobility diameter is equal to the density of the  
576 material of the particle, but particles that have aggregated and branched into fractal  
577 structures tend to have a mobility diameter greater than the associated to the volume  
578 of the material, so it is necessary to account for this fractal structure with void gaps. This  
579 relationship between effective density and mobility diameter can adequately be  
580 described by a power law with the expression of Eq. 11, in which  $d_m$  is the particle  
581 mobility diameter,  $D_m$  is the mass-mobility exponent accounting for fractal structures,  
582 and  $C$  is a fitting constant to match the reference density.

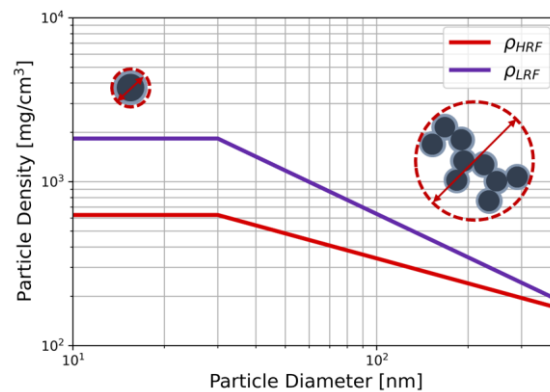
583 Other studies show a clear dependency between particle density and fuel composition.  
584 In the work of Ouf et al., a wide variety of fuels were tested to evaluate the effective  
585 density of the particles they produced [52]. Works like the one from Momenimovahed  
586 et al. [53] provide correlations for the effective particle density as a function of its  
587 diameter and the substitution rate in a diesel-natural gas engine. Considering these  
588 works, it is adequate to consider that in the current work, the blend of gasoline and  
589 diesel is changed for all operating conditions. An effective particle density can be defined  
590 by considering two different particle density correlations for both fuels and weighting  
591 them based on the mass fraction distribution of the fuel input as in Eq. 12.

$$\rho_p = C \cdot d_m^{D_m-3} \quad \text{Eq. 11}$$

$$\rho_{eff} = GF \cdot \rho_{LRF} + (1 - GF) \cdot \rho_{HRF} \quad \text{Eq. 12}$$

592

593 The correlations for particles emitted by the LRF and the HRF are obtained from the  
594 literature. A bibliographic review was carried out to find recent studies for diesel and  
595 gasoline under working conditions similar to the ones used for this study. Fuel  
596 composition advancements, low-temperature combustion modes, low compression  
597 ratio, and high premixing ratios are factors that have been introduced in recent years,  
598 and all of them have their impact on particle structure and composition. In the case of  
599 HRF, the work from A. Momenimovahed et al. [53,54] studied particle emissions for  
600 diesel and diesel-CNG blends under low-temperature combustion modes with  
601 significant premixing ratios, and the work from A. Zelenyuk et al. [55] investigated  
602 particulate mass and numbering on a GCI engine, providing a density correlation used  
603 for the gasoline part in this study. Both density evolutions with particle diameter are  
604 represented in Figure 14.



605

606

Figure 14. Density correlations used for HRF and LRF.

607 The total particulate mass emitted is computed by considering the particle volume  
608 based on its mobility diameter and the effective density previously described using the  
609 cumulative expression from Eq. 13.

$$PM = \int \rho_{eff} \frac{\pi}{6} d_m^3 \frac{dN}{d \log d_m} d \log d_m = \sum \rho_{eff,i} \frac{\pi}{6} d_i^3 N_i \quad \text{Eq. 13}$$

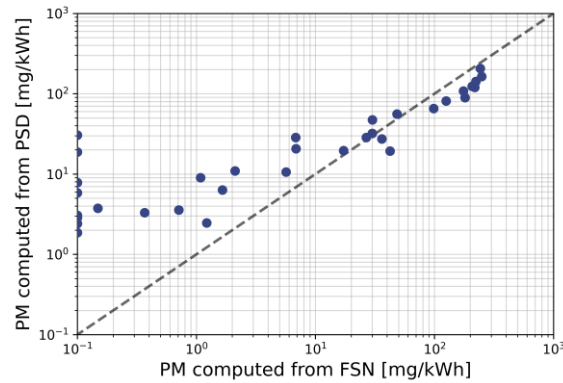
610

611 For soot mass emissions, the installations also included a smoke meter that measures  
 612 gas opacity according to the blackening of a calibrated filter, which is then converted to  
 613 solid mass using a correlation provided by the manufacturer. This is a widely used  
 614 technique to measure soot mass and was also included in the study. The differences in  
 615 both methods for obtaining particle mass may lead to differences in the reported value.  
 616 FSN measurements do not deal appropriately with particles in the ultrafine regime since  
 617 they do not produce sufficient darkening of the filter. Although the total mass  
 618 represented by these fine particles is minimal, it is evident that FSN measurements  
 619 always underpredict total mass under conditions with very low emissions of soot. On  
 620 the other hand, FSN measurements include all the range of possible particles in the  
 621 exhaust, so the larger particles that actually have a significant impact on total mass are  
 622 considered. The pass estimation using the PSD is limited to only the measured range of  
 623 particle size, so any particles that may fall out of this range, especially the large particles  
 624 over the upper measured limit, are not considered. For this reason, the PSD method  
 625 tends to underestimate total mass when large particles are present slightly.

626 Despite these potential discrepancies, the comparison between both estimations leads  
 627 to a good alignment of the reported values for values above 10 mg/kWh. Figure 15  
 628 shows how both approaches do align in the final values of PM, having the most  
 629 considerable misalignment on the small values, as explained before. The fact that the  
 630 under prediction of total mass by the PSD approach on the cases with high soot mass is  
 631 relatively small implies that the number of particles missed by the measuring range is

632 small and that the values reported are sufficiently trustable as an estimation. Also, it can  
633 be inferred that the analysis of particle properties and distributions accounted for most  
634 of the relevant particle modes.

635



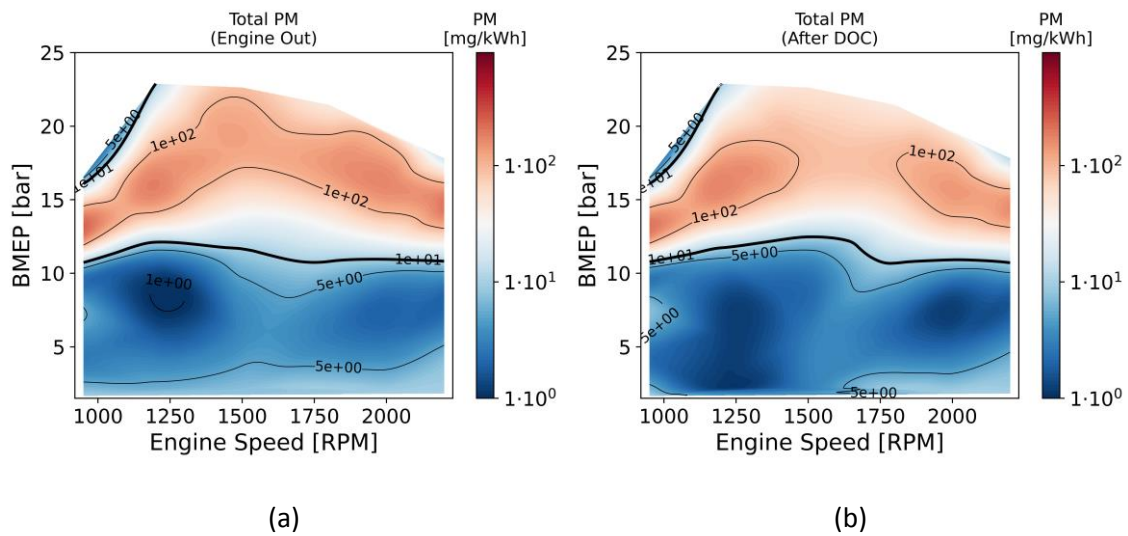
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637

Figure 15. Comparison of the PM estimation with FSN and PSD measurements.

638 The final reported values of total PM reported from the PSD approach are shown in  
639 Figure 16, differentiating between engine out measurements and post-DOC  
640 measurements. The results show how the RCCI combustion mode used up to 50% load  
641 is capable of producing ultra-low soot emissions, with further reduction after the DOC  
642 thanks to the oxidation of volatile species that had condensed. This allows fulfilling the  
643 EURO VI regulation of PM up to 50% engine load without needing a specific concern on  
644 this aspect. On the diffusive side of the map, the production of larger particles implies  
645 that total soot mass is also increased, and this is reflected in the reported values that do  
646 not fulfill the regulation limit anymore. Nonetheless, the values of soot mass are within  
647 the typical range of emission levels from other engine concepts with similar injection  
648 strategies, and the design of conventional after-treatment devices is sufficient to deal  
649 with this level of solid mass. It is worth mentioning that the maximum values of PM are  
650 achieved just at the limit at which NO<sub>x</sub> emissions do still fulfill the EURO VI limit. This is

651 a dependency of both species emissions with EGR level and air-to-fuel ratio. A design of  
 652 the turbocharging system that allows maintaining EGR with leaner conditions could  
 653 potentially maintain NO<sub>x</sub> levels while significantly mitigating soot emissions [56]. Other  
 654 modifications of the architecture, like piston bowl design or the aim of extending the  
 655 RCCI combustion mode by improving mechanical resistance, can also improve the  
 656 concept to lower soot production [57,58].



657 Figure 16. Distribution of PM emissions from the engine (a) and after the DOC (b) along the DMDF engine map.

658

### 659 3.5. Technological positioning of the DMDF engine

660 With the objective of positioning the results obtained in this study with other existing  
 661 technologies for internal combustion engines, a bibliographic review was carried out to  
 662 picture the current alternatives and status in terms of particle numbering and  
 663 particulate mass emissions. The data from Hallquist et al. [59] on heavy-duty  
 664 applications with conventional diesel combustion and compressed natural gas (CNG),  
 665 together with the data from Khalek I. et al. [60,61] on CNG heavy-duty applications, was  
 666 used to picture current commercial alternatives for CI engines. Prikhodko V. et al. [62]

667 and Zhang Y. et al. [63] carried out thorough comparisons of different technologies that  
668 cover concepts like CDC, CDC with low-temperature combustion modes based on high  
669 dilution with EGR, CNG, RCCI fueled with Diesel-Gasoline and Diesel-CNG fuel  
670 combinations and Gasoline Compression Ignition (GCI). The works from Bock N. et al.  
671 [64] and Omar I. et al. [65] provided a complete review of the status of spark ignition (SI)  
672 engines on a variety of configurations with PFI and DI injection systems [66,67]. Lastly,  
673 the recent work from Gelner A. et al. [23] states how advanced oxygenated fuels can  
674 improve particle emissions.

675 In order to provide a more realistic performance indicator of the technology evaluated  
676 in this work, a global emission factor was obtained by numerically interpolating through  
677 the different engine maps produced during this study following the engine speed and  
678 engine load profiles defined for the World Harmonized Transient Cycle (WHTC) engine  
679 homologation cycle using the numerical tools described in previous works from the  
680 authors [57,68,69]. In order to differentiate between pure RCCI combustion mode and  
681 the DMDF multi-mode engine map, the engine load profile was scaled to a maximum  
682 engine load of 50% to capture the performance of pure RCCI combustion that could be  
683 characteristic of de-rated versions of the same engine on less demanding applications,  
684 and the complete engine map was utilized to provide the global performance of the  
685 DMDF combustion mode. The effect of the DOC was also captured and represented in  
686 the results.

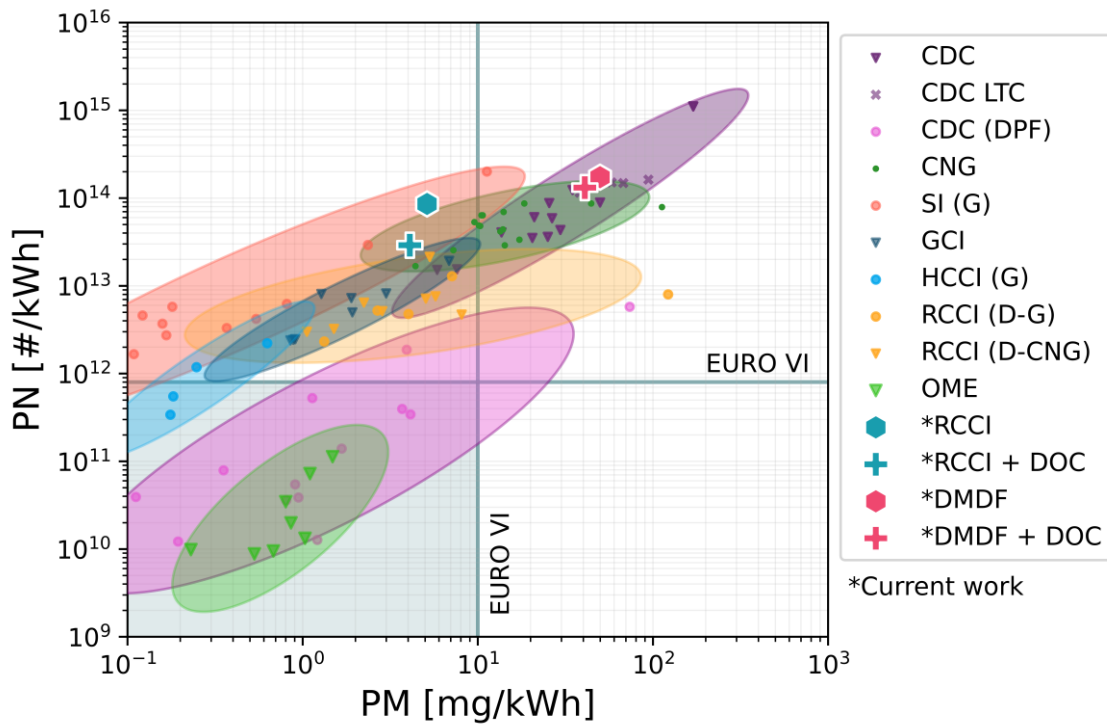
687 The global status and the comparison of the different mentioned technologies were  
688 compiled in Figure 17. In this image, the scattered data was used to fit a bivariate  
689 normalized regression to produce the ellipses that represent the area with a confidence

690 level of  $3\sigma$ , a typical value for representative intervals. From this image, it is clear that  
691 current CDC engines, independently of whether they use high EGR levels to control other  
692 pollutant species or not, are the ones with the worst performance in terms of PM and  
693 PN. Aftertreatment systems with a DPF are a practical solution, although not perfect for  
694 these applications. The other technologies progressively improve towards lower PM and  
695 PN engine-out emissions as we move through CNG, RCCI, GCI, and HCCI combustion  
696 modes applied on CI engines. SI engines have the particularity of producing ultra-low  
697 PM emissions with moderate and low PN levels. Nonetheless, they still require some  
698 ATS devices like the GPF (Gasoline Particulate Filter) to reach homologation conditions.  
699 On the extreme of advanced alternative fuels, polyoxymethylene dimethyl ethers (OME)  
700 has proved to reduce particle emissions successfully thanks to the lack of carbon-to-  
701 carbon bonds in their molecular structure [70], not needing any ATS dedicated device  
702 for particle emissions control.

703 From the positioning of the results obtained for the RCCI combustion in the present  
704 work, it can be seen that PM levels are successfully below the allowed limits, but PN  
705 levels are on the moderately high side. Compared to other RCCI studies, the current  
706 configuration employs low-pressure EGR and targets to reach EURO VI levels of NO<sub>x</sub> and  
707 zero FSN readings, or what is the same, ultra-low PM emissions. By targeting these two  
708 contaminants simultaneously, higher PN levels are emitted by high EGR levels, low  
709 temperatures, and high concentrations of hydrocarbons that can condensate and  
710 nucleate, as already proven. Resulting PN and PM levels for the RCCI in this work can be  
711 considered to be near GCI and SI conditions. On the other hand, the DMDF combustion  
712 mode is characterized by highly diffusive dual-fuel combustion strategies with high EGR  
713 levels, producing relatively richer combustion conditions. It is no surprise to see that PN



714 and PM levels are similar to those of modern CDC engines with LTC strategies. For both  
 715 cases, the effect of the DOC contributes to mitigating both mass and number of  
 716 particulate emissions, especially on the RCCI combustion as previously studied,  
 717 achieving a reduction of PN of almost an order of magnitude.



718

719 Figure 17. Comparison of different combustion modes in terms of PN and PM specific emissions.

720 Considering the DOC outlet conditions, the RCCI engine delivers a global particle  
 721 distribution of  $2.9 \cdot 10^{13}$  #/kWh in BSPN and 4.1 mg/kWh in BSPM, with an average SMD  
 722 of roughly 92 nm. With the extended engine map using the DMDF combination, these  
 723 numbers grow up to  $1.3 \cdot 10^{14}$  #/kWh, 40.8 mg/kWh, and 108 nm for BSPN, BSPM, and  
 724 SMD, respectively. Particle mass and concentration have been increased by a factor of  
 725 10, and global particle size was enlarged by 10%. It is evident that a dedicated device for  
 726 controlling particulate emissions is required in the simplified ATS for the RCCI  
 727 combustion mode, but the specifics of the design may vary significantly. Considering the

728 previous graph, it could be possible to state that since RCCI combustion mode delivers  
729 PN and PM levels similar to those of SI and GCI [71], a particulate filter under a design  
730 similar to modern GPF would be suitable, but it is necessary to consider the operating  
731 conditions for the filter. Gasoline engines do have much higher exhaust temperatures  
732 and significantly higher flow rates due to the difference in engine regime. The filters for  
733 these applications are usually made out of cordierite since it is more thermally resistant,  
734 and the ceramic matrix is designed to limit the backpressure that increases with flow  
735 rates, compromising filtering/trapping capacity with a higher porosity level (larger gaps)  
736 since the PN levels that have to work with are in the moderate to low side. Thanks to  
737 the high exhaust temperatures, active regeneration is easily triggered, and an effective  
738 solution is reached [72]. On the other hand, diesel applications do have lower mass flows  
739 and exhaust temperatures, allowing to change to silicon carbide ceramic matrixes and  
740 tighter cell design, significantly improving filtering/trapping efficiency throughout all the  
741 particle size domains and higher passive regeneration on catalyzed DPFs [73–75].  
742 Nonetheless, to trigger active regeneration of the trapped particles, dedicated post-  
743 injection strategies of direct injection in the exhaust line may be required to achieve the  
744 needed temperatures.

745 Both RCCI and DMDF configurations do have lower exhaust temperatures and mass  
746 flows compared to conventional diesel engines since the high EGR levels displace a  
747 significant amount of fresh air. Considering this, a filter design more similar to that of  
748 DPFs would be more successful in reducing particle emissions down to the allowed  
749 levels. The specifics of the design may slightly differ since the dominant particle sizes to  
750 trap are different, but the backpressure limitation may not be a hard limitation for these  
751 applications, allowing for more compact filtering systems. Assuming that a proper filter

752 design can provide ultra-low levels of PM and PN on the DMDF combustion mode, this  
753 technology would prove to be incredibly attractive as a substitute for conventional  
754 diesel engines since engine efficiency has been proven to be increased, NO<sub>x</sub> emissions  
755 do not need dedicated ATS, and a conventional DOC is more than capable of reducing  
756 the high levels of CO and THC emissions [76], resulting in a more efficient engine capable  
757 of covering the whole operating range of the engine with a reduced ATS system without  
758 SCR nor urea injection.

759 An alternative to using dedicated filtering devices is the utilization of alternative fuels.  
760 As stated in the previous comparison, advanced synthetic fuels with controlled chemical  
761 composition allow having no carbon-to-carbon bonds in the molecular structure of the  
762 fuel, mitigating the formation of polycyclic hydrocarbons that act as precursors of soot  
763 formation. The particle measurements from other scientists combined with previous  
764 studies of the authors that studied the utilization of OMEx as HRF in the DMDF engine  
765 with ultra-low soot readings throughout the complete engine map [57] suggest that this  
766 alternative could potentially result in an architecture that would only require a single  
767 DOC to fully oxidize CO and THCs. NO<sub>x</sub> levels could be reduced down to half of the  
768 currently allowed limits and with a CO<sub>2</sub> footprint that can reach up to a 50% reduction  
769 in the well-to-wheel (WtW) perspective [77]. Nonetheless, dedicated studies on PN  
770 emissions from such a combination would be required to confirm this statement.

#### 771 **4. Conclusions and future work**

772 The present work studied the particulate emissions of an adapted medium-duty engine  
773 working under a dual-mode dual-fuel combustion concept aiming to maintain ultra-low  
774 NO<sub>x</sub> emissions and very low soot production on the RCCI combustion mode. An

775 experimental evaluation was carried out considering the effects of a DOC in the exhaust  
776 line to define the working conditions of a potential filter, and a comparison against other  
777 technologies was provided. Out of the results and analyses carried out, the following  
778 main conclusions were obtained:

- 779 • The current concept results in ultrafine particle emissions when working on RCCI  
780 premixed mode, having a significant fraction of particles nucleated from or  
781 grown by condensed hydrocarbon species and ranging in sizes between 5 nm and  
782 40nm. On the diffusive injection strategies, larger particles of around 80 nm to  
783 200 nm formed of solid black carbon are formed due to richer conditions and  
784 higher temperatures that do not allow phase change of gaseous species.
- 785 • The DOC allows for a reduction of up to 90% of the particle concentrations  
786 produced by the RCCI mode, and a limited reduction is observed on solid  
787 particles. The reduction mechanisms actuating on the oxidation of particles have  
788 been associated with both: pure oxidation of THCs at moderately high exhaust  
789 temperatures and passive regeneration of soot through NO<sub>2</sub> interaction at low  
790 temperatures where the DOC is still not lighted off.
- 791 • The dedicated analysis of the PSD allowed us to see how as the combustion  
792 strategies change with the engine load, the dominant nucleating modes change  
793 and produce bi-modal and tri-modal distributions depending on the engine  
794 operating condition. The characterized particle distributions directly emitted by  
795 the engine had a global SMD that ranged from around 60 nm in RCCI up to 160  
796 nm in DMDF mode.
- 797 • The evaluation of global performance on a simulated homologation cycle yields  
798 a characteristic particulate emission of  $2.9 \cdot 10^{13}$  #/kWh in BSPN and 4.1 mg/kWh

799 in BSPM with an average SMD of roughly 92 nm when operating on RCCI mode.  
800 These levels are very similar to those of GCI and SI, so a relatively simple filtering  
801 system could allow reaching the required, acceptable values. These numbers  
802 increased by a factor of 10 for the DMDF, making it very similar to a CDC  
803 application.

804 • The current status of the DMDF concept allows reaching EURO VI levels engine  
805 out of NO<sub>x</sub>. CO and THC are successfully oxidized with a conventional DOC, and  
806 a filtering system similar to that of diesel engines would be sufficient to reach  
807 ultra-low levels of PN and PM.

808 The results from this study have characterized the inlet conditions and requirements for  
809 a DPF that is suitable for the DMDF application, considering particle numbering, size  
810 distribution, SMD, and total mass. These values can be used in future investigations to  
811 properly define suitable filtering devices that can deal with the ultrafine particles of the  
812 RCCI or the high concentration levels of the DMDF, depending on the application  
813 contemplated. By having detailed PSDs, adequate filtering efficiencies and a proper  
814 assessment of potential back pressure can be obtained during the design process.

815 The consideration of advanced alternative fuels within the comparison carried out in  
816 this work highlighted the potential of OMEx to highly reduce particle formation.  
817 Previous studies from the same research group on this study suggest that this also  
818 applies to DMDF when using OMEx as HRF; therefore, an interest in validating this  
819 technology with synthetic fuels can motivate to carry out a dedicated evaluation of  
820 particle emissions targeting prospective future levels of emission on an engine fueled  
821 with OMEx and Gasoline in the future.

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1089

1090 **Notation**

1091 ATS: Aftertreatment System

1092 BMEP: Break Mean Effective Pressure

1093 C: Carbonous matter

1094 CAD: Crank Angle Degree

1095 CDC: Conventional Diesel Combustion

- 1096 CI: Compression Ignition
- 1097 CNG: Compressed Natural Gas
- 1098 CO: Carbon Monoxide
- 1099 CO<sub>2</sub>: Carbon Dioxide
- 1100 DI: Direct Injection
- 1101 DMDF: Dual-Mode Dual-Fuel
- 1102 DOC: Diesel Oxidation Catalyst
- 1103 DPF: Diesel Particulate Filter
- 1104 EGR: Exhaust Gas Recirculation
- 1105 FSN: Filter Smoke Number
- 1106 GCI: Gasoline Compression Ignition
- 1107 GDI: Gasoline Direct Injection
- 1108 GF: Gasoline Fraction
- 1109 GPF: Gasoline Particulate Filter
- 1110 HC: Hydrocarbons
- 1111 HCCI: Homogeneous Charge Compression Ignition
- 1112 HRF: High Reactivity Fuel
- 1113 ICE: Internal Combustion Engine
- 1114 LTC: Low-Temperature Combustion

- 1115 LRF: Low Reactivity Fuel
- 1116 NO: Nitrogen Monoxide
- 1117 NO<sub>2</sub>: Nitrogen Dioxide
- 1118 NO<sub>x</sub>: Nitrogen Oxides
- 1119 O<sub>2</sub>: Oxygen
- 1120 OME: Polyoxymethylene Dimethyl Ethers
- 1121 OME<sub>x</sub>: Mixture of Polyoxymethylene Dimethyl Ethers
- 1122 PAH: Polycyclic Aromatic Hydrocarbons
- 1123 PFI: Port Fuel Injection
- 1124 PM: Particulate Mass
- 1125 PN: Particle Number
- 1126 PPC: Partially Premixed Charge
- 1127 PSD: Particle Size Distribution
- 1128 RCCI: Reactivity-Controlled Compression Ignition
- 1129 SI: Spark Ignition
- 1130 SMD: Sauter Mean Diameter
- 1131 SMPS: Scanning Mobility Particle Sizer
- 1132 SOF: Soluble Organic Fraction
- 1133 THC: Total Hydrocarbons

1134 VGT: Variable Geometry Turbine

1135 WHTC: World Harmonized Transient Cycle

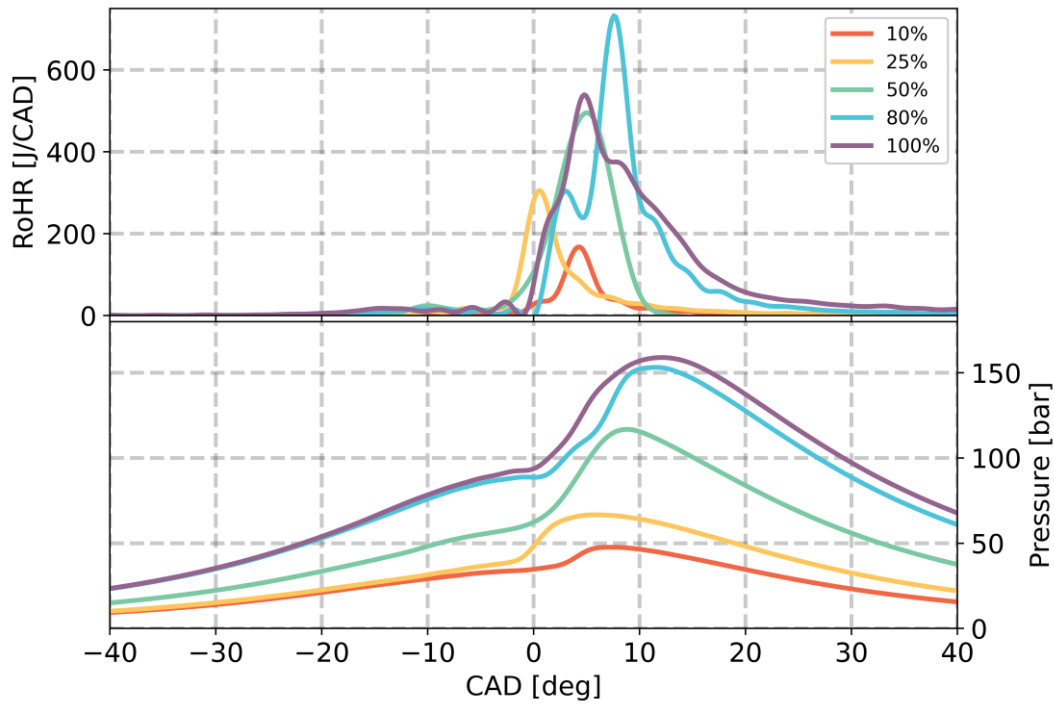
1136 WtW: Well-to-Wheel

1137

1138 **Appendix**

1139 This Appendix is meant to compile relevant but not necessarily essential information to  
1140 complement the study carried out in this manuscript. These results have already been  
1141 studied in previous publications of the research group and are properly referenced in  
1142 their original work, where more complete explanations and discussions can be found.  
1143 Performance parameters and other pollutant emissions apart from particulate matter  
1144 are included here to provide a complete overview of the exhaust condition prior to and  
1145 after the DOC.

1146 The combustion process is highly relevant to understanding the formation of particulate  
1147 matter. Figure A1 shows how from low loads up to 50% load, the combustion process is  
1148 dominated by a premixed combustion strategy in which the combustion rate is  
1149 dominated by the kinetics of the fuel. At higher loads, the more diffusive combustion of  
1150 the HRF becomes evident from the prolonged tail that appears in the heat release rate.  
1151 This longer exposition to rich pockets within the spray enhances the formation and  
1152 growth of particles. More details and extended discussion about the combustion  
1153 process and the differences between the different combustion modes employed in the  
1154 DMDF engine can be found in the works of García et al. [78] and Benajes et al. [31].



1155

1156 Figure A1. Heat release rate and in-cylinder pressure evolution under different engine loads at 1500 rpm.

1157 These same works also include details about combustion phasing and combustion  
 1158 duration shown in Figure A2. Up to 15 bar of BMEP, it is possible to maintain early  
 1159 combustion phasing to improve engine efficiency and with moderate combustion  
 1160 duration that permits to limit certain emissions. After that, mechanical limitations in  
 1161 terms of pressure gradients and peak in-cylinder pressure, as well as NOx emissions,  
 1162 require to delay of the combustion process to mitigate peak pressures and  
 1163 temperatures, but this also impacts great fuel consumption and longer combustion  
 1164 durations that affect soot formation.



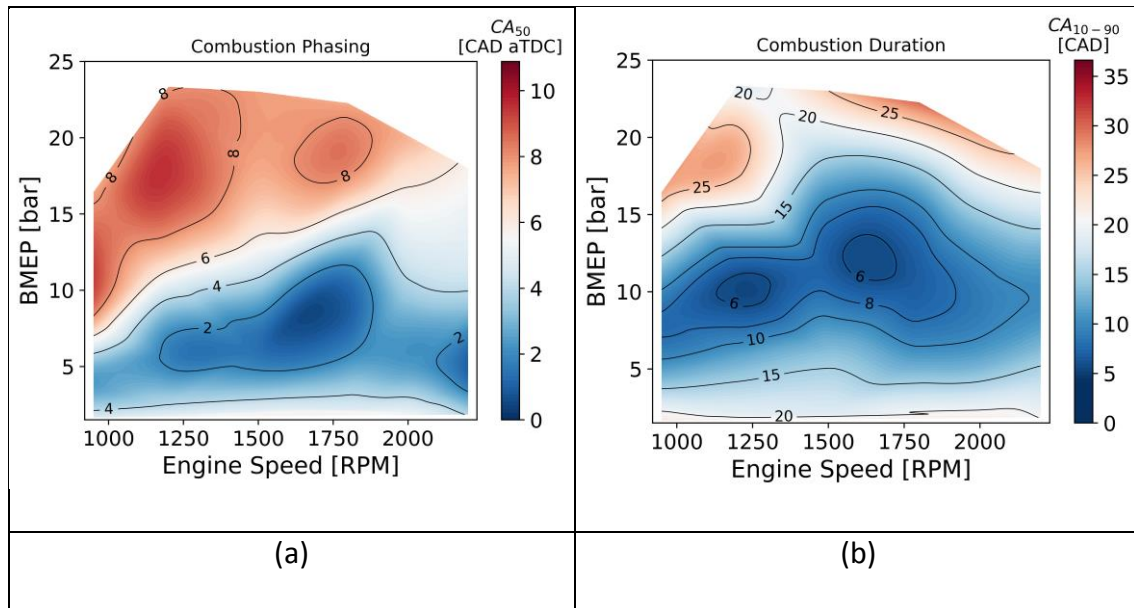


Figure A2. Engine map distribution of combustion phasing (a) and combustion duration (b).

1165

1166

1167 The work from Garcia et al. [78], combined with the thesis of Dr. R Sari [79], provides a  
 1168 thorough comparison of the DMDF technology against conventional CDC architectures  
 1169 under different scenarios in terms of engine efficiency and other performance  
 1170 parameters. The efficiency parameters included in Figure A3 show how the DMDF can  
 1171 maintain a global brake thermal efficiency very similar to that of the CDC along most of  
 1172 the engine map, achieving more than 2% of improvement in some regions. Even at full  
 1173 loads, it is possible to equal the engine efficiency despite the lower compression ratio.  
 1174 The drop in total efficiency at lower engine speeds was attributed in the work from  
 1175 Monsalve et al. [21] to a mismatch of the turbocharger since the original compressor  
 1176 and turbine were not designed to work with the increased levels of EGR that are used  
 1177 for the DMDF calibration.

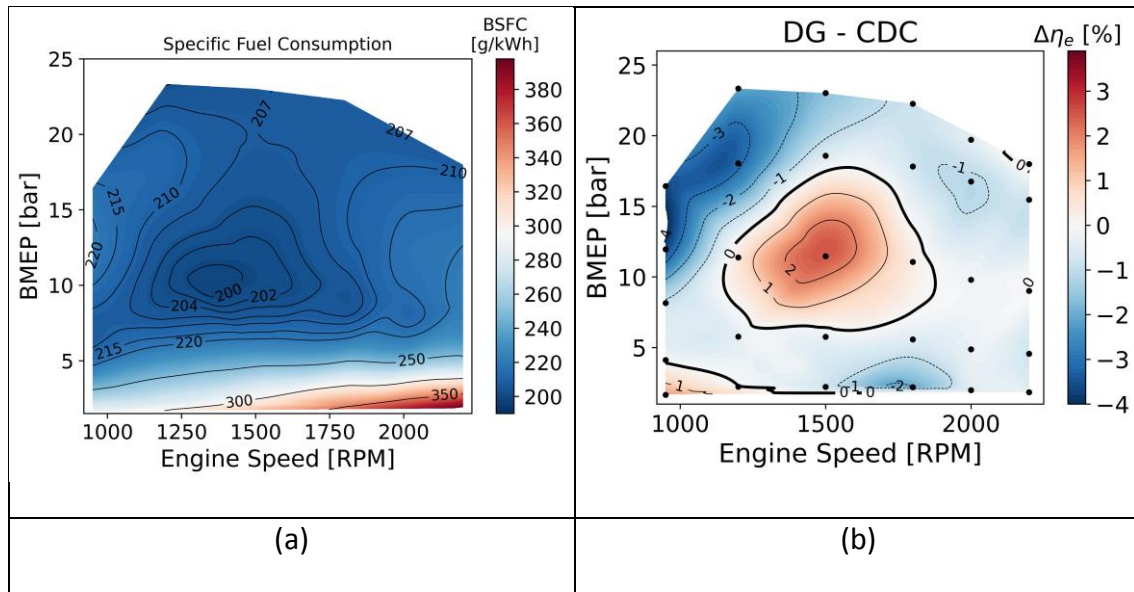


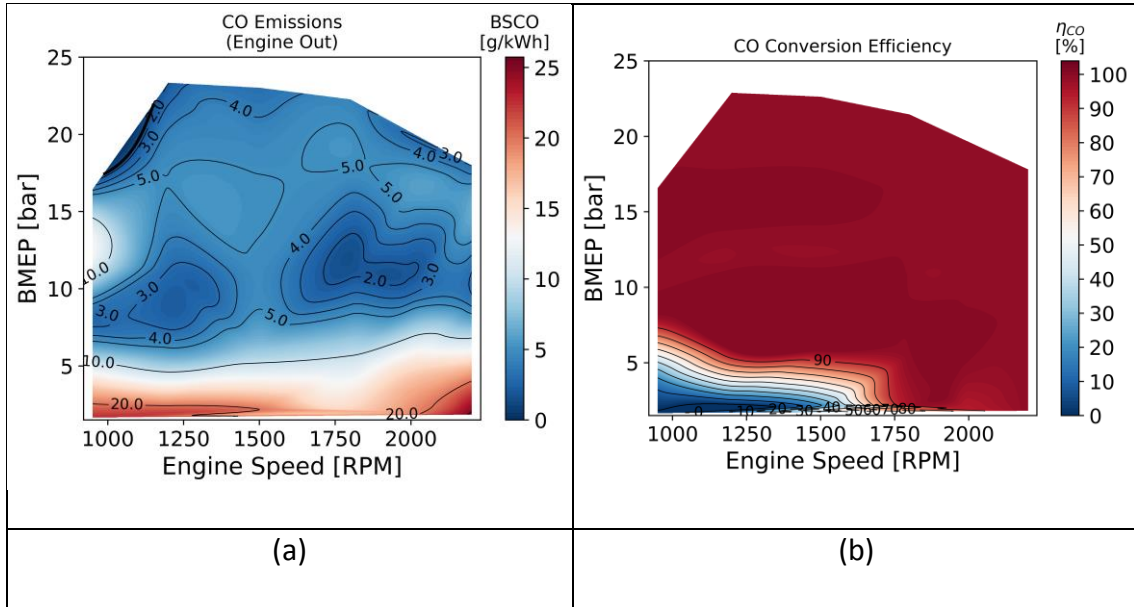
Figure A3. Engine map distribution of brake specific fuel consumption (a) and comparison of brake thermal efficiency between DMDF and CDC (b).

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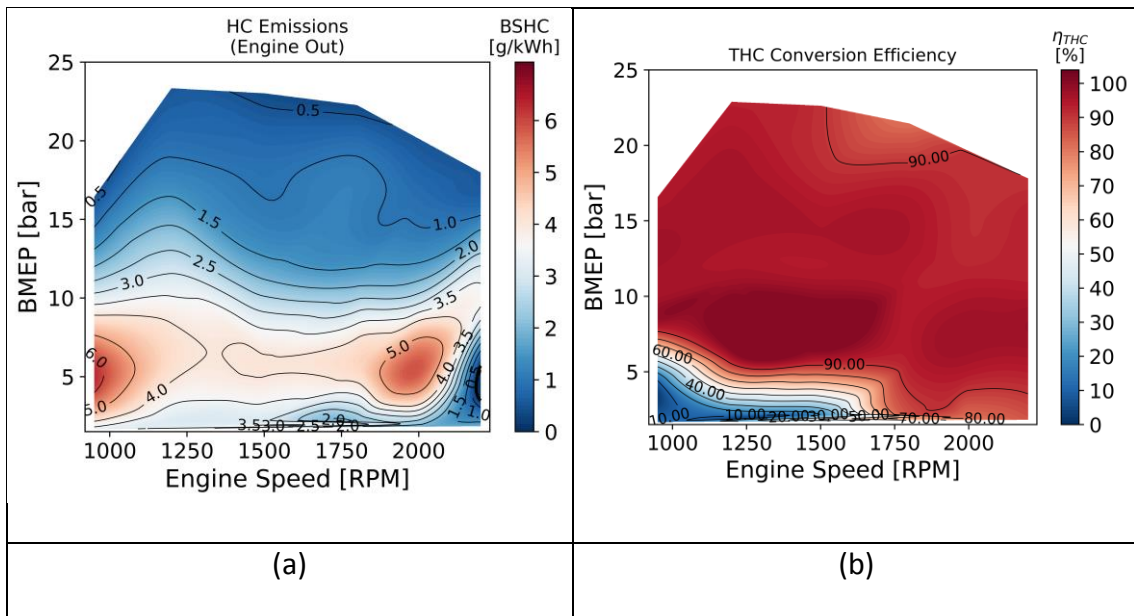
1180

1181 To better understand all the reactions that are taking place through the DOC and how  
 1182 this can affect the particle concentrations reported in this study, it is necessary to  
 1183 provide a complete overview of the exact composition of the exhaust gas and how it  
 1184 changes after going through the catalyst. Figure A4 and Figure A5 include the  
 1185 concentrations of total CO and HC emitted directly by the engine, as well as how much  
 1186 of these species are oxidized at the catalyst by means of defining its reduction efficiency.  
 1187 These parameters have been previously studied in the works of Benajes et al. [31] and  
 1188 García et al. [80]. The graphs show a clear tendency to have greater concentrations of  
 1189 CO and HC emissions at lower loads as a consequence of the low temperatures and high  
 1190 EGR levels that dampen the completion of the combustion. Given the low exhaust  
 1191 temperatures, a certain region of the map does not provide sufficient energy to the DOC  
 1192 to light it off, but once the exhaust temperature is sufficiently high, the oxidation  
 1193 efficiency is high enough to ensure EURO VI levels of CO and HC emissions as reported  
 1194 in the previously cited works. In the case of HC emissions, the high concentrations of

1195 hydrocarbons make them prone to saturate in the exhaust line if the temperature is low  
 1196 enough and then be adsorbed into the particulates during their initial growth phase,  
 1197 increasing the number and size perceived by the measuring system.



1198 Figure A4. Evolution of engine-out CO emissions (a) and CO conversion efficiency at the DOC (b) along the DMDF  
 1199 engine map.

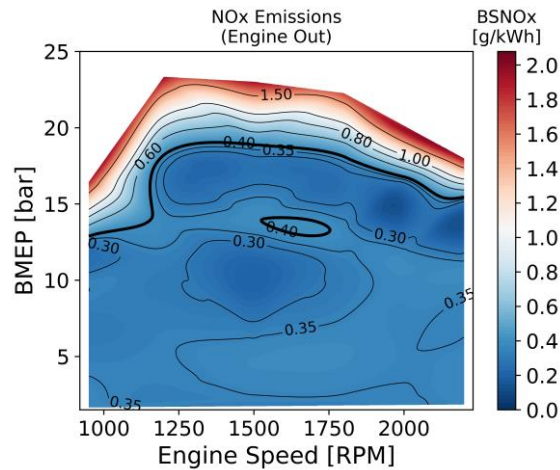


1200 Figure A5. Evolution of engine-out HC emissions (a) and HC conversion efficiency at the DOC (b) along the DMDF  
 1201 engine map.

1202 Figure A6 shows the total engine-out NO<sub>x</sub> emissions. A level below 0.4 g/kWh can be  
 1203 maintained for most of the engine map, extending this way to the EURO VI compliant  
 1204 region of the engine to ensure that global emissions during driving cycles are well within

1205 the regulation limits. This information, combined with the NO<sub>2</sub> fraction shown in Figure  
1206 10 and how it evolves through the DOC, provides all the information required to  
1207 estimate potential passive soot regeneration in a DPF.

1208

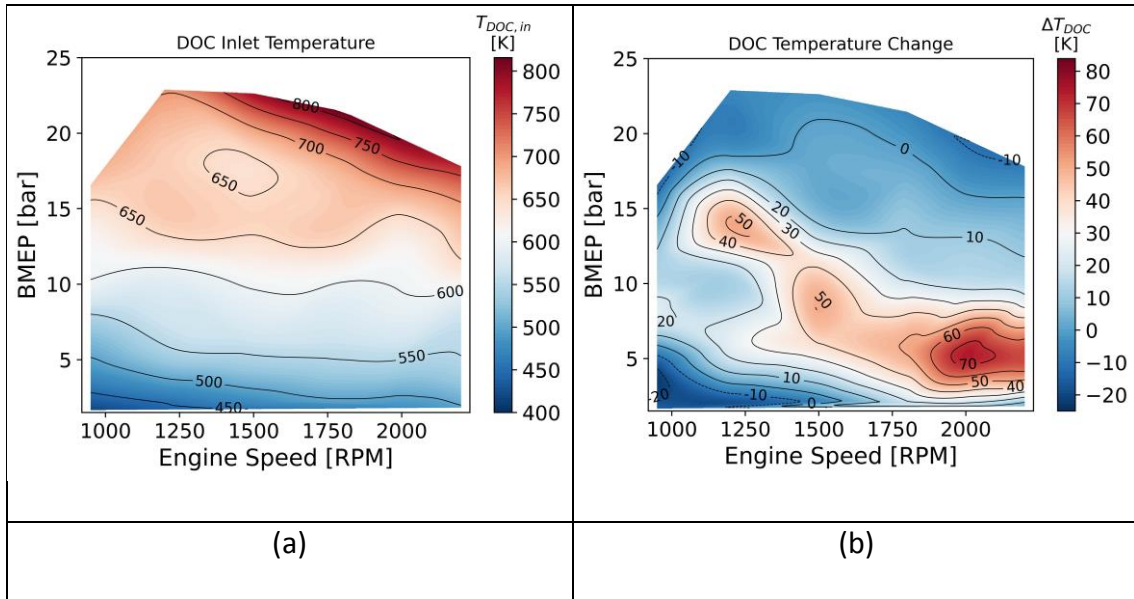


1209

1210 Figure A6. Evolution of engine-out NOx emissions along the DMDF engine map.

1211 The last requirement to define the exhaust line conditions in order to understand how  
1212 to address the design of a potential DPF would be the temperature delivered by the  
1213 DOC. To see how the DOC is affecting the gas temperature, the two parameters provided  
1214 in Figure A7 are the DOC intake temperature and the increment of temperature after  
1215 the DOC. The region where the DOC is not lighted off shows a decrease in temperature  
1216 since no exothermic reaction is taking place at the DOC. Once the DOC is lighted off, the  
1217 temperature increase is proportional to the sum of HC and CO concentration since the  
1218 oxidation of these species is a series of exothermic reactions that heat up the exhaust  
1219 line. The measurements show a total increase in temperature of up to 70 K where the  
1220 CO and HC are at their peak, while this increment is lower for higher engine loads since  
1221 the total emitted reactants are reduced, and total airflow is increased; therefore, the

1222 oxidation reactions do not release sufficient energy to produce a significant warp up of  
1223 the gas.



1224 Figure A7. Evolution of DOC intake temperature (a) and temperature increase after the DOC (b) along the DMDF  
1225 engine map.

1226