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Additional Information

1 ***In vitro* toxicological evaluation of mesoporous silica microparticles functionalised**
2 **with carvacrol and thymol**

3

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Abbreviations

$\Delta\Psi_m$, Mitochondrial membrane potential; APTES, (3-aminopropyl)triethoxysilane; BHT, di-ter-butyl- methylphenol; CTAB, Hexadecyltrimethylammonium bromide; DFA, Deferoxamine mesylate salt; DMEM, Dulbecco's Modified Eagle Medium; DMSO, Dimethyl sulfoxide; EOC, Essential oil component; H₂-DCFDA, 2',7'-dichlorodihydrofluorescein diacetate, IC₅₀, Mean inhibition concentration; LDH, Lactate dehydrogenase; LPO, Lipid peroxidation; MCM-41, Mobile composition of matter (MCM)-41; MDA, Malondialdehyde; MTT, Thiazolyl blue tetrazolium bromide; NBCS, Newborn calf serum; PBS, Phosphate buffered saline; PI, Propidium iodide; ROS, Reactive oxygen species; TBA, Thiobarbituric acid; TBARS, Thiobarbituric acid reactive substances; TEAH₃, Triethanolamine; TEM, Transmission electron microscopy

11 **Abstract**

12 The cytotoxicity of carvacrol- and thymol- functionalised mesoporous silica
13 microparticles (MCM-41) was assessed in the human hepatocarcinoma cell line (HepG2).
14 Cell viability, lactate dehydrogenase (LDH) activity, reactive oxygen species (ROS)
15 production, mitochondrial membrane potential ($\Delta\Psi_m$), lipid peroxidation (LPO) and
16 apoptosis/necrosis analyses were used as endpoints. The results showed that both
17 materials induced cytotoxicity in a time- and concentration-dependent manner, and were
18 more cytotoxic than free essential oil components and bare MCM-41. This effect was
19 caused by cell-particle interactions and not by degradation products released to the culture
20 media, as demonstrated in the extract dilution assays. LDH release was a less sensitive
21 endpoint than the MTT (thiazolyl blue tetrazolium bromide) assay, which suggests the
22 impairment of the mitochondrial function as the primary cytotoxic mechanism. *In vitro*
23 tests on specialised cell functions showed that exposure to sublethal concentrations of
24 these materials did not induce ROS formation during 2 h of exposure, but produced LPO
25 and $\Delta\Psi_m$ alterations in a concentration-dependent manner when cells were exposed for
26 24 h. The obtained results generally support the hypothesis that the carvacrol- and thymol-
27 functionalised MCM-41 microparticles induced toxicity in HepG2 cells by an oxidative
28 stress-related mechanism that resulted in apoptosis through the mitochondrial pathway.

29 **Keywords:** mesoporous microparticles; silica; essential oil components; cytotoxicity;
30 HepG2

31

32 **1. Introduction**

33 Consumer awareness of additives and chemicals in their diets has forced the food
34 industry to search for alternatives to synthetic preservatives to prolong their products'
35 shelf life (Faleiro and Miguel, 2020; Ribes et al., 2018). In line with this, essential oils
36 and their constituent components have attracted considerable attention for their natural
37 origin and well-known antimicrobial and antioxidant activity (Burt, 2004; Hyldgaard et
38 al., 2012). The monoterpenoids carvacrol and thymol, major components in essential oils
39 from different plant species like *origanum* or *thyme*, are two of the most investigated
40 essential oil components (EOCs) because of their marked action against a wide spectrum
41 of foodborne and food spoilage microorganisms (Abbaszadeh et al., 2014; Abdelhamid
42 and Yousef, 2021; Čabarkapa et al., 2019; Karam et al., 2019; Tippayatun and
43 Chonhenchob, 2007; Walczak et al., 2021). These components' antimicrobial action has
44 been attributed mainly to the presence of a hydroxyl group and a system of delocalised
45 electrons in their chemical structure capable of disrupting the cytoplasmic membrane and
46 leading to the leakage of intracellular content and, ultimately, lysis (Xu et al., 2008).
47 However, their application to food products poses some challenges, such as high
48 volatility, low solubility or strong sensory properties (Hyldgaard et al., 2012). Grafting
49 EOCs onto the surface of silica particles has been proposed as a strategy to increase these
50 components' antimicrobial activity and to overcome drawbacks. These hybrid organic-
51 inorganic particles have efficiently performed as preservatives in different food matrices
52 (Ribes et al., 2017, 2019) and as filtering materials for cold beverage pasteurisation
53 (García-Ríos et al., 2018; Peña-Gómez et al., 2019a, 2019b, 2020).

54 Mobile composition of matter (MCM)-41 is one of the most widely employed
55 scaffolds for the synthesis of organic-inorganic hybrid systems thanks to easy surface
56 functionalisation, large surface area, uniform pore size and high stability (Diab et al.,

57 2017). Moreover, as a result of reported high biocompatibility and low toxicity (Aburawi
58 et al., 2012; Al-Salam et al., 2011; Garrido-Cano et al., 2021), MCM-41 materials have
59 been studied for the covalent attachment of functional groups and organic molecules in a
60 number of oral applications (Bagheri et al., 2018; Ros-Lis et al., 2018). However, as the
61 surface properties of particles are key factors for determining their interactions with
62 biological systems (Kyriakidou et al., 2020; Puerari et al., 2019; Vicentini et al., 2017),
63 the analysis of the *in vitro* and *in vivo* behaviours of surface-modified silica structures is
64 crucial to guarantee the safety and innocuousness of their use for human health purposes.

65 Previous *in vitro* studies have evaluated the stability of different types of synthetic
66 amorphous silica particles functionalised with carvacrol, eugenol and vanillin under
67 conditions that represent the human gastrointestinal tract, lysosomal fluid and the
68 cytotoxicity of these materials (Fuentes et al., 2021, 2020). The results showed that
69 functionalisation with EOCs resulted in lower dissolution levels than bare MCM-41
70 microparticles and, therefore, increased stability under both biological conditions
71 (Fuentes et al., 2020). Conversely, the comparative analysis of the cytotoxic effect of
72 eugenol- and vanillin-functionalised silica particles revealed that free EOCs and bare
73 particles had a milder cytotoxic effect on HepG2 cells than the functionalised MCM-41
74 materials. A relation between cytotoxicity and the density of EOC molecules on the
75 surface of the functionalised particles was found. According to the physico-chemical
76 analysis of the materials, properties like cationic nature and hydrophobicity were
77 suggested to enhance the cytotoxic behaviour of the functionalised silica particles
78 (Fuentes et al., 2021). All together, these results demonstrate that the functionalisation of
79 MCM-41 particles with EOC derivatives enhances the stability of these materials under
80 biological conditions, but may increase their cytotoxic behaviour that implies potential
81 toxicological hazards. Besides, the molecular mechanism underlying these materials'

82 cytotoxic behaviour remains to be elucidated. Accordingly, with this work we aimed to
83 investigate the cytotoxic effect of the carvacrol- and thymol-functionalised MCM-41
84 microparticles and to further elucidate the related toxicity mechanism. HepG2 cells were
85 selected for the *in vitro* toxicology studies because this cell type is a standard model for
86 xenobiotic metabolism and toxicity studies, and displays a high degree of reproducibility.
87 Thus, HepG2 human liver cells were exposed to the modified silica materials, and then
88 cell viability, lactate dehydrogenase (LDH) activity, reactive oxygen species (ROS)
89 production, mitochondrial membrane potential ($\Delta\Psi_m$), lipid peroxidation (LPO), and
90 apoptotic and necrotic responses, were evaluated.

91

92 **2. Materials and Methods**

93 **2.1. Reagents**

94 Triethanolamine (TEAH₃), hexadecyltrimethylammonium bromide (CTAB),
95 carvacrol ($\geq 98\%$ w/w), thymol ($\geq 99\%$ w/w), (3-aminopropyl) triethoxysilane (APTES),
96 thiazolyl blue tetrazolium bromide (MTT), 2',7'-di-chlorodihydrofluorescein diacetate
97 (H₂-DCFDA), Rhodamine 123, thiobarbituric acid (TBA), deferoxamine mesylate salt
98 (DFA) and di-ter-butyl- methylphenol (BHT) were obtained from Sigma-Aldrich (Spain).
99 Dimethyl sulfoxide (DMSO) was purchased from Scharlab (Spain).

100 The HepG2 human hepatocarcinoma cell line was obtained from the American Type
101 Culture collection (ATCC HB-8065). Dulbecco's Modified Eagle Medium (DMEM-
102 Glutamax™) with high glucose (4.5 g/L), phosphate buffered saline (PBS), newborn calf
103 serum (NBCS), penicillin, streptomycin, trypsin-EDTA 0.5% and sodium pyruvate were
104 supplied by Gibco (Life-Technologies, USA).

105 **2.2. Preparation of the EOCs-functionalised MCM-41 microparticles**

106 The mesoporous silica microparticles MCM-41 were prepared via the 'atrane route'.
107 Under basic conditions, this is based on the use of TEAH₃, which generates atrane
108 complexes as inorganic hydrolytic precursors and CTAB as a structural directing agent
109 (Cabrera et al., 2000). The procedure of the synthetic process is fully described in Fuentes
110 et al. (2020).

111 Once synthesised, the functionalisation of the MCM-41 silica particles with carvacrol
112 and thymol was performed by a three-stage protocol that includes the: (1) synthesis of the
113 carvacrol and thymol aldehyde derivatives by direct formylation with paraformaldehyde;
114 (2) synthesis of the alkoxysilane derivatives by a reaction of carvacrol and thymol
115 aldehydes with APTES; (3) immobilisation of the alkoxysilane derivatives on the surface
116 of silica particles (García-Ríos et al., 2018).

117 **2.3. Physico-chemical characterisation of MCM-41 microparticles**

118 The bare and functionalised MCM-41 microparticles were analysed by transmission
119 electron microscopy (TEM), particle size distribution, zeta potential and the elemental
120 analysis. For the morphological analysis of the materials by TEM, particles were
121 dispersed in dichloromethane and sonicated for 2 min to avoid aggregation. The
122 suspension was placed on copper grids coated with a carbon film (Aname SL, Spain). The
123 imaging of the particle samples was done using a JEOL JEM-1010 (JEOL Europe SAS,
124 France) that operated at an acceleration voltage of 80 kV. The zeta potential of particles
125 was studied by laser Doppler microelectrophoresis using a Zetasizer Nano ZS (Malvern
126 Instruments, UK) and the Smoluchowski mathematical model. Particle size distribution
127 was determined by a laser diffractometer (Mastersizer 2000, Malvern Instruments, UK)
128 and applying the Mie theory (refractive index of 1.45, absorption index of 0.1). For the
129 zeta potential and the particle size distribution analysis, samples were measured in
130 triplicate on previously sonicated diluted dispersions in deionised water. Finally, the

131 elemental composition of the EOCs-functionalised particles was determined by a
132 combustion analysis for C, H and N using a CHNS1100 Elemental Analyser (CE
133 Instruments, UK).

134 **2.4. Toxicological evaluation of the EOCs-functionalised MCM-41 microparticles**

135 **2.4.1. Cell culture**

136 Human hepatocarcinoma (HepG2) cells were cultured in DMEM-Glutamax medium
137 supplemented with 10% NBCS, 100 U/mL of penicillin and 100 µg/mL of streptomycin.
138 Cells were maintained in a 5% CO₂ humidified incubator at 37 °C. Growth medium was
139 changed every 2–3 days or as required. Cells were subcultured by trypsinisation when
140 about 80% confluence was reached and were used for experiments at passages between 9
141 and 24. Lack of mycoplasma contamination was examined regularly in cell cultures with
142 the MycoAlert™ PLUS Myco-plasma kit (Lonza Rockland, USA).

143 **2.4.2. Test solutions**

144 Stock solutions of EOCs were prepared in DMSO (2.5 M) and were maintained at -20
145 °C until used. Particle suspensions were prepared in the DMEM-supplemented medium
146 and were sonicated for 10 min immediately before use. While studying the cytotoxic
147 effect of carvacrol and thymol exposure, the final DMSO concentration in the test
148 solutions was below 0.1%. Appropriate negative controls containing the same amount of
149 solvents were included in each experiment. To analyse the MCM-41 materials, the cell-
150 free particle control samples were included for each particle type and concentration, and
151 were used to correct particle interference from test wells whenever necessary.

152 **2.4.3. MTT assays**

153 *Direct exposure cytotoxicity assays*

154 The MTT assay is one of the most widely used colorimetric assays to evaluate cell
155 viability. In this method, the yellow positively charged tetrazolium salt enters viable cells,
156 whereupon it is metabolically reduced to the insoluble blue-violet form of formazan by
157 respiratory chain components (Rampersad, 2012). This assay was used to determine the
158 mean inhibition concentration (IC₅₀) values of EOCs and the EOCs-functionalised silica,
159 and to compare the cytotoxic effect of the functionalised particle constituents. Briefly,
160 cells were seeded in 96-well plates at a density of 1 x 10⁵ and 3 x 10⁴ cells/mL for 24-
161 hour and 48-hour experiments, respectively. After the 24-hour attachment, cells were
162 exposed to serial dilutions of carvacrol (0.01-2.5 mM), thymol (0.06-1 mM), carvacrol-
163 and thymol-functionalised MCM-41 microparticles (0.01-2.5 mg/mL) and to the
164 equivalent concentrations of the EOCs, bare and functionalised particles for their
165 comparative analysis (Table 1). The concentration ranges of EOCs and the functionalised
166 particles were selected according to previous works (Fuentes et al., 2021). In the
167 comparative studies, concentration ranges were established from the IC₅₀ values found
168 for carvacrol and thymol. Then the equivalent particle concentrations were calculated
169 from the EOCs content determined by the elemental analysis. After a 24-hour or 48-hour
170 incubation period, wells were washed with 100 µL of PBS. Next 100 µL of 10% MTT
171 stock solution (5 mg/mL in PBS) in supplemented DMEM medium were added per well.
172 Then plates were incubated in the dark at 37 °C, 5% CO₂ for 3 h. Afterwards, the MTT
173 solution was discarded, wells were washed with PBS and 100 µL of DMSO were added
174 to dissolve formazan crystals. Finally, plates were shaken at 300 rpm for 10 min and
175 absorbance was measured at 570 nm using a MultiSkan EX ELISA plate reader (Thermo
176 Scientific, USA). Cell viability was expressed as a percentage in relation to the negative
177 control (unexposed cells).

178 Exposure to sublethal concentrations of toxicants triggers the adaptative cellular
 179 responses linked with their cytotoxicity mechanism (Severin et al., 2017). For this reason,
 180 sublethal concentrations ($<IC_{50}$) were used to further investigate the toxicity mechanism
 181 underlying the exposure of the EOCs-functionalised particles. To this end, the IC_{50} values
 182 of the particles found in the MTT assay were used to calculate the sublethal
 183 concentrations ($IC_{50/2}$, $IC_{50/4}$, $IC_{50/8}$) for the different *in vitro* endpoints: ROS formation,
 184 $\Delta\Psi_m$, LPO, and apoptotic and necrotic responses.

185

186 **Table 1.** Concentrations assayed in the comparative study of carvacrol, thymol, and bare
 187 and EOCs-functionalised MCM-41 microparticles.

	Concentrations			
	A	B	C	D
Carvacrol (mM)	0.25	0.5	1	2
Bare MCM-41 (mg/mL)	4.5	8.9	17.9	35.7
Carvacrol MCM-41 (mg/mL)	4.4	8.8	17.7	35.4
Thymol (mM)	0.25	0.5	1	2
Bare MCM-41 (mg/mL)	3.8	7.7	15.3	30.6
Thymol MCM-41 (mg/mL)	3.7	7.6	15.1	30.3

188

189 *Extract exposure cytotoxicity assays*

190 An extract dilution exposure method was applied to evaluate a possible cytotoxic effect
 191 due to the components leached from the surface of the functionalised particles to the
 192 culture medium. For this purpose, a stock solution of the carvacrol- or thymol-
 193 functionalised particles in the DMEM-supplemented medium (2.5 mg/mL) was
 194 vigorously stirred, sonicated in an ultrasonic bath for 10 min and maintained at 37 °C for
 195 24 h. After this time, the stock solution was serially diluted (0.01-2.5 mg/mL) and

196 dilutions were filtered with 0.2 μm cellulose acetate filters to remove particles. Then cells
197 were exposed to the filtered solutions and samples' cytotoxicity was determined by the
198 MTT assay as described previously.

199 **2.4.4. Lactate dehydrogenase (LDH) activity**

200 LDH activity was determined by the CyQUANT LDH Cytotoxicity Assay kit (Thermo
201 Scientific, USA) according to the manufacturer's protocol. Briefly, cells were seeded in
202 96-well plates at 10^5 cells/mL (100 μL /well) and allowed to attach for 24 h. Then cells
203 were treated with 10 μL of the functionalised particle concentrations (0.01-2.5 mg/mL)
204 for a 24-hour period. Next 10 μL of sterile ultrapure water (Spontaneous LDH Activity)
205 or 10 μL of lysis buffer (Maximum LDH Release) were added to the control wells. Plates
206 were incubated in the dark at 37 $^{\circ}\text{C}$ and 5% CO_2 for 45 min. This was followed by
207 transferring 50 μL of each sample medium to a new plate and 50 μL of the reaction
208 mixture were incorporated. After incubation at room temperature for 30 min, reactions
209 were ended by adding 50 μL of the stop solution. Absorbance measurements were taken
210 in a microtiter plate reader at 490 nm. The results were expressed as LDH release (%) in
211 the exposed cells in relation to the spontaneous and maximum LDH controls.

212 **2.4.5. ROS formation**

213 ROS formation was determined as described by Ruiz-Leal and George (2004). Cells
214 were seeded in black 96-well microplates at 2×10^5 cells/mL density. After 24 h of cell
215 attachment, cells were washed with PBS and 20 μM of $\text{H}_2\text{-DCFDA}$ dye in culture medium
216 were added. Following a 20-minute incubation time in the dark at room temperature, the
217 dye solution was removed and cells were exposed to different concentrations of the
218 functionalised particles (18.75, 37.5 and 75 $\mu\text{g/mL}$). Then fluorescence was measured
219 every 15 min for 2 h at 490 nm excitation and a 545 nm emission wavelength on a Wallace

220 Victor2, model 1420 multilabel counter (PerkinElmer, Finland). The ROS generation
221 percentage was expressed as the percentage of the fluorescence values obtained compared
222 to the negative control (unexposed cells).

223 **2.4.6. Determination of the mitochondrial membrane potential**

224 Mitochondrial membrane potential was determined by the uptake of green-fluorescent
225 dye Rhodamine 123 upon the exposure of the functionalised microparticles. Cells were
226 seeded at a density of 2×10^5 cells/mL in black 96-well microplates. After 24 h of cell
227 attachment, cells were washed with 100 μ l/well PBS and were exposed to three different
228 concentrations of the functionalised particles (18.75, 37.5 and 75 μ g/mL) in the 10%
229 NCBS-supplemented medium for 24 h. Following the exposure time, cells were washed
230 with 100 μ l/well of PBS and Rhodamine-123 (5 μ M) was added in the non-supplemented
231 medium. After 15 min of incubation at 37 °C and 5% of CO₂ in the dark, the dye solution
232 was removed, and cells were washed twice and finally resuspended in 200 μ l/well PBS.
233 Fluorescence ($\lambda_{excitation}=485$ nm, $\lambda_{emission}=535$ nm) was measured using a microplate
234 reader Wallace Victor2, model 1420 multilabel counter (PerkinElmer, Finland). The
235 results were expressed as the fluorescence percentage of Rhodamine 123 dye in the
236 exposed cells compared to the negative control (unexposed cells).

237 **2.4.7. Lipid peroxidation assays**

238 The effect of sublethal concentrations' exposure to the functionalised particles upon
239 LPO was performed by determining the formation of thiobarbituric acid reactive
240 substances (TBARS) according to the method described by Ferrer et al. (2009). Briefly,
241 3×10^4 cells/well were seeded in 6-well plates, allowed to attach for 24 h and then
242 exposed to the functionalised particles (18.75, 37.5 and 75 μ g/mL). After 24 h of
243 exposure, cells were washed with PBS, homogenised in 150 mM of sodium phosphate

244 buffer (NaH₂PO₄), pH 7.4, and lysate in the Ultra-Turrax T8 IKA®-WERKE for 30 s.
245 Cell samples were mixed with 0.5% TBA, 1.5 mM of DFA and 3.75% BHT and heated
246 at 100 °C in a boiling water bath for 20 min. After cooling for 5 min, samples were
247 centrifuged at 4,000 rpm for 15 min to remove the precipitate. The absorbance of the
248 supernatant was then determined at 535 nm. Simultaneously, samples' protein content
249 was measured following the Lowry method by the DC Protein Assay (BIO-RAD
250 Laboratories, USA) at the 690 nm wavelength. The results were expressed as ng of
251 malondialdehyde (MDA) per mg of protein.

252 **2.4.8. Apoptosis/necrosis assays**

253 The apoptosis/necrosis assays were performed by flow cytometry using the FITC
254 Annexin V apoptosis detection kit (BD Biosciences, USA). Briefly, cells were seeded in
255 6-well plates at a density of 3 x 10⁴ cells/well. After attachment, cells were exposed to
256 the functionalised particles (18.75, 37.5 and 75 µg/mL) for 24 h. Then they were
257 trypsinised, washed twice with ice-cold PBS and resuspended in binding buffer. A
258 volume of cells of 100 µL (1 x 10⁵ cells/mL) was stained by adding 5 µl of FITC Annexin
259 V and 5 µl of propidium iodide (PI), which was incubated at room temperature in the dark
260 for 15 min. After this time, 400 µL of binding buffer were added to each tube and samples
261 were analysed in a BD LSRFortessa flow cytometer (BD Biosciences, USA). Quadrant
262 statistics were carried out to differentiate necrotic, early apoptotic and late apoptotic cells.
263 The percentage of cells in each category was calculated by subtracting the number of cells
264 in the control group from the number of cells in the treated population.

265 **2.5. Statistical analysis**

266 The statistical data analysis was performed using the Statgraphics Centurion XVI
267 software package (Statpoint Technologies, Inc., USA). Data were expressed as the mean

268 (SEM) of three independent experiments for each endpoint. The data from the
269 cytotoxicity assays were transferred to GraphPad Prism, version 8.0.1 (GraphPad
270 Software, USA), to adjust the IC₅₀ curve by using a four-parameter sigmoidal fit. The
271 statistical analysis of the results was carried out by a Student's t-test for paired samples.
272 In the MTT comparative study, differences between groups were analysed statistically by
273 a one-way ANOVA, followed by the Tukey HDS *post-hoc* test for multiple comparisons.
274 The difference level of $p \leq 0.05$ was considered statistically significant.

275

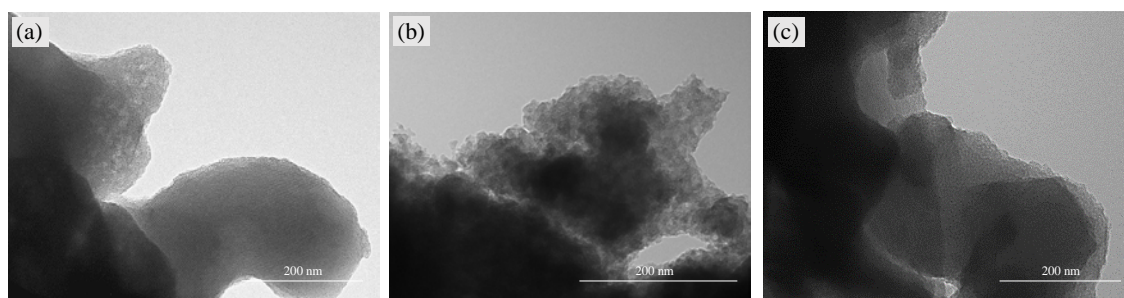
276 **3. Results**

277 **3.1. Physico-chemical characterisation of the MCM-41 microparticles**

278 To study the morphology and structure of the bare and carvacrol- or thymol-
279 functionalised MCM-41 particles, a TEM analysis was performed. As shown in Figure 1,
280 the three particle types exhibited an irregular external shape and a hexagonal periodic
281 structure of internal channels in the form of alternate black and white parallel lines, typical
282 of the ordered mesoporous structure of MCM-41 materials (Allothman, 2012; Meynen et
283 al., 2009). These results confirmed that the synthesis process of the MCM-41 materials
284 was correct and the functionalisation process did not significantly modify these materials'
285 characteristic structure.

286

287



288

289 **Figure 1.** TEM images of the bare MCM-41 (a), carvacrol-functionalised MCM-41 (b)
290 and thymol-functionalised MCM-41 microparticles (c). Scale bar indicates 200 nm.

291

292 The zeta potential values and the particle size distribution of the bare and
293 functionalised MCM-41 particles and the carvacrol or thymol contents of the MCM-41-
294 functionalised materials are shown in Table 2. The negative zeta potential value observed
295 for the bare MCM-41 was related to the negatively charged hydroxyl groups on the silica
296 surface. In contrast, the functionalised particles displayed positive zeta potential values,
297 which evidenced the presence of the carvacrol and thymol alkoxy silane derivatives
298 grafted on their surface. Particle size distribution and TEM analysis showed that the three
299 MCM-41 microparticles types were under 700 nm. This value was slightly higher for the
300 bare than the functionalised particles as the larger number of steps included during the
301 functionalisation process has been suggested to reduce the formation of agglomerates
302 (Fuentes et al., 2020). Finally, the quantification of the carvacrol and thymol grafted onto
303 particles' surface was determined by the elemental analysis. Small differences were found
304 in the reaction yield for both types of EOCs, and the content of the thymol immobilised
305 on the surface of the MCM-41 functionalised microparticles was slightly higher than
306 carvacrol (Table 2). These results were used to establish the equal concentrations of the
307 free EOCs and functionalised particles for the comparative cell viability assays (Table 1).

308

309 **Table 2.** Zeta potential (ZP) values, particle size distribution ($d_{0.5}$), particle size measured
310 by TEM and EOC content (α) of the different MCM-41 microparticles.

Type of particle	ZP (mV)	$d_{0.5}$ (μm)	Size (μm)	α (g/gSiO ₂)
Bare MCM-41	-33.43 (0.84)	0.68 (0.00)	0.63 (0.4)	
Carvacrol-MCM-41	27.37 (2.06)	0.62 (0.00)	0.57 (0.3)	0.0084
Thymol-MCM-41	21.07 (1.74)	0.65 (0.00)	0.50 (0.6)	0.0098

311 ZP and $d_{0.5}$ values are expressed as mean (SD) (n=3).

312

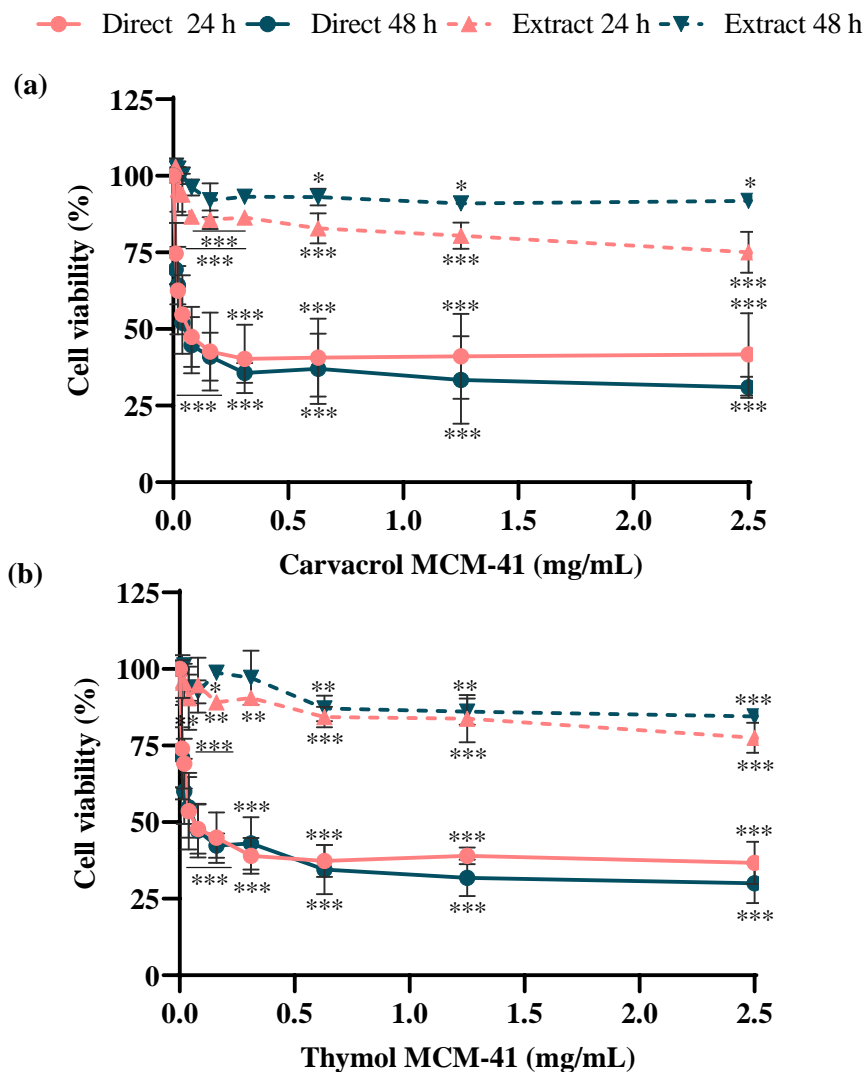
313 **3.2. Toxicological evaluation of the EOCs-functionalised MCM-41 microparticles**

314 **3.2.1. MTT assays**

315 Firstly, the cytotoxic effect of carvacrol and thymol was determined after the 24 h and
316 48 h exposures of HepG2 cells, as determined by the MTT assay. Both components
317 reduced cell viability in a time- and concentration-dependent manner (Figs. S1 and S2).
318 Carvacrol was slightly less cytotoxic than thymol when cells were exposed to EOCs for
319 24 h. However, no differences were found after the 48-hour incubation period. The IC₅₀
320 values obtained after 24 h exposure were 0.45 (0.01) mM and 0.40 (0.03) mM for
321 carvacrol and thymol, respectively. At 48 h, the IC₅₀ value for both components was
322 similar: (0.32 (0.02) mM for carvacrol and 0.32 (0.03) mM for thymol).

323 Figure 2 displays the cytotoxicity-response curves for the carvacrol- and thymol-
324 functionalised MCM-41, added either directly to the culture medium or in an extract
325 dilution form. Both materials reduced cell viability in a concentration-dependent manner
326 (Fig. S3) when HepG2 cells were directly exposed to the functionalised particles for 24 h
327 and 48 h, as measured by the MTT assay. The IC₅₀ values obtained for the carvacrol-
328 functionalised MCM-41 were 0.15 (0.01) mg/mL and 0.09 (0.04) mg/mL for 24 h and 48

329 h, respectively. The thymol-functionalised microparticles gave an IC_{50} value of 0.15
330 (0.08) mg/mL after a 24-hour cell exposure and 0.11 (0.08) mg/mL after 48 h. However,
331 when cells were treated with the filtered medium which previously contained each
332 particle type, cell viability was significantly higher. A cell viability of 75% and 78% for
333 the carvacrol- and thymol-functionalised silica was, respectively, observed after 24 h of
334 exposure to the highest tested concentrations (2.5 mg/mL). After 48 h of treatment, these
335 percentages were 92% for the carvacrol-functionalised particles and 85% for the thymol-
336 functionalised silica. When cells were exposed to the filtered solutions equivalent to the
337 IC_{50} values obtained for both functionalised particle types, cell viability remained around
338 100%.



339

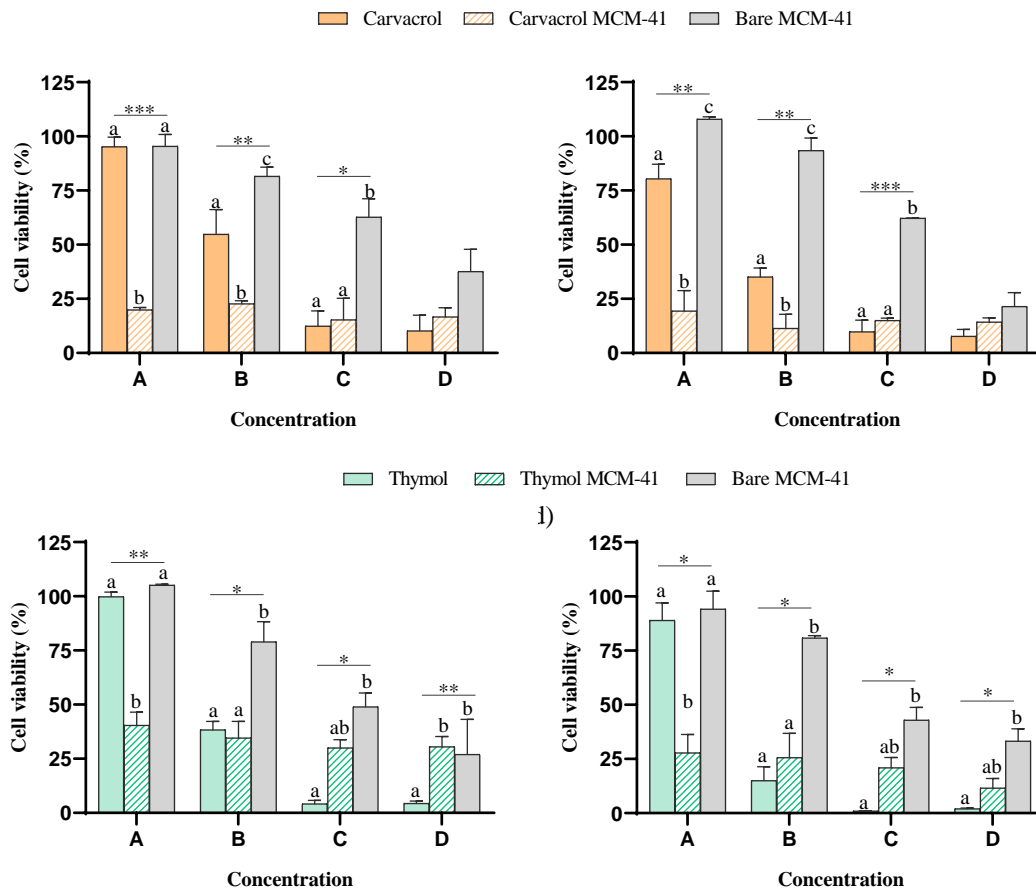
340 **Figure 2.** Concentration-cell viability plots of the HepG2 cells exposed either directly
 341 or in an extract dilution form to the carvacrol-functionalised MCM-41 (a); and the
 342 thymol-functionalised MCM-41 (b) for 24 h and 48 h by the MTT assay. Each bar
 343 represents the mean (SEM) of three independent assays, each performed 6-fold. (*) $p \leq$
 344 0.05; (**) $p \leq 0.01$; (***) $p \leq 0.001$ indicates significant differences compared to the
 345 control according to the Student's t-test.

346

347 The IC_{50} values previously found for carvacrol and thymol were used to define the
 348 concentration range for the comparative analysis of the cytotoxic effects of the free EOCs,

349 EOC-functionalised MCM-41 and bare MCM-41 microparticles. The results of the
350 comparative analysis are shown in Figure 3. Free carvacrol was significantly less
351 cytotoxic than the equivalent concentrations of carvacrol anchored to the surface of silica
352 microparticles at the two lowest assayed concentrations (0.25 and 0.5 mM). Differences
353 in cell viability between the free carvacrol and carvacrol-functionalised MCM-41 ranged
354 from 75% to 32% and from 61% to 24% after 24 h and 48 h exposure, respectively. The
355 bare MCM-41 microparticles also showed a lower cytotoxic response than the equivalent
356 concentrations of the functionalised materials at the three highest concentrations tested
357 for both exposure times. Differences in cell viability between the bare MCM-41 and
358 carvacrol-functionalised MCM-41 ranged from 75% to 47% and from 89% to 47% after
359 treating cells for 24 h and 48 h, respectively.

360 For thymol, the free compound was significantly less cytotoxic than the equivalent
361 concentration of the immobilised compound on the MCM-41 microparticles at the highest
362 tested concentration (0.25 mM). At this concentration, the differences in cell viability
363 between the free thymol and thymol-functionalised MCM-41 were 59% and 61% after
364 24 h and 48 h exposure, respectively. The bare MCM-41 was less cytotoxic than the
365 thymol-functionalised MCM-41 at the 0.25 mM and 0.5 mM concentrations. At these
366 concentrations, the differences in cell viability between both particle types ranged from
367 65% to 44% and from 61% to 55% after 24 h and 48 h exposure, respectively.



368

369 **Figure 3.** Concentration-cell viability plots of the HepG2 cells exposed to the equivalent
370 concentrations of carvacrol-, the carvacrol-functionalised MCM-41 and the bare MCM-
371 41 for 24 h (a) and 48 h (b) or to the equivalent concentrations of thymol, the thymol-
372 functionalised MCM-41 and the bare MCM-41 for 24 h (c) and 48 h (d). Each bar
373 represents the mean (SEM) of three independent assays, each performed 6-fold.
374 Significant differences ($***p \leq 0.01$, $**p \leq 0.01$, $*p \leq 0.05$) are indicated by different
375 letters (a–c).

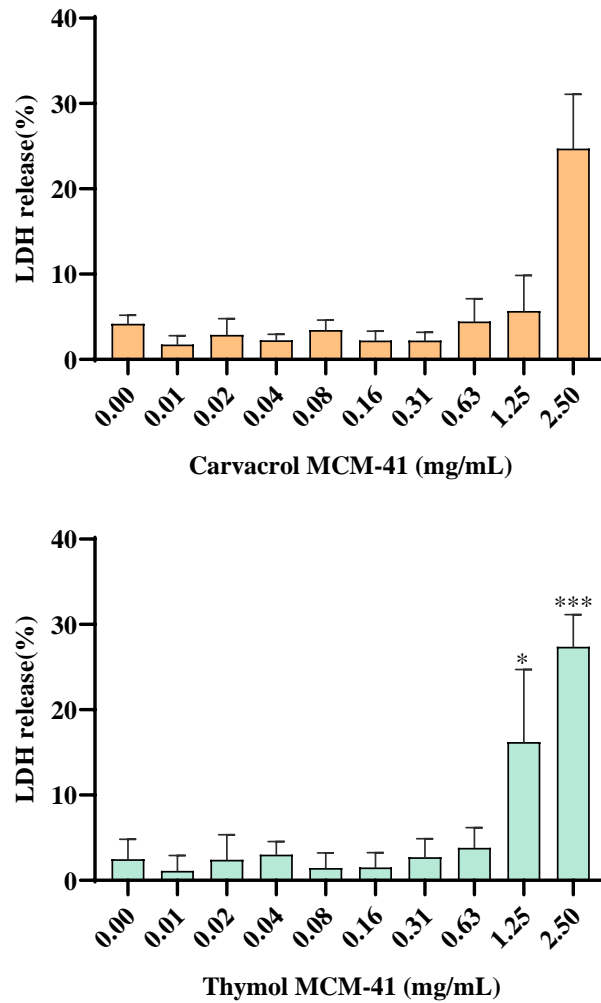
376

377 3.2.2. LDH assay

378 The LDH assay measures the activity of LDH released in cell culture medium after
379 exposure to cytotoxic substances. It is an indicator of irreversible cell death due to cell

380 membrane damage (Aslantürk, 2018). Therefore, higher LDH values in the medium
381 indicate higher toxicity levels. Figure 4 depicts the effect of the EOCs-functionalised
382 particles on LDH release to the medium after 24 h of exposure. As this figure illustrates,
383 the carvacrol- and thymol-functionalised silica exposures resulted in a significant increase
384 in LDH release compared to the controls at the highest tested concentrations. Exposure
385 to 2.5 mg/mL of the carvacrol-functionalised silica increased the LDH release by more
386 than 10% compared to the control. For the thymol-functionalised silica at the two highest
387 tested concentrations (1.25 and 2.5 mg/mL), LDH leakage into the culture medium
388 increased by 14% and 16%, respectively. No differences were observed at all the other
389 tested concentrations compared to the control.

390



391

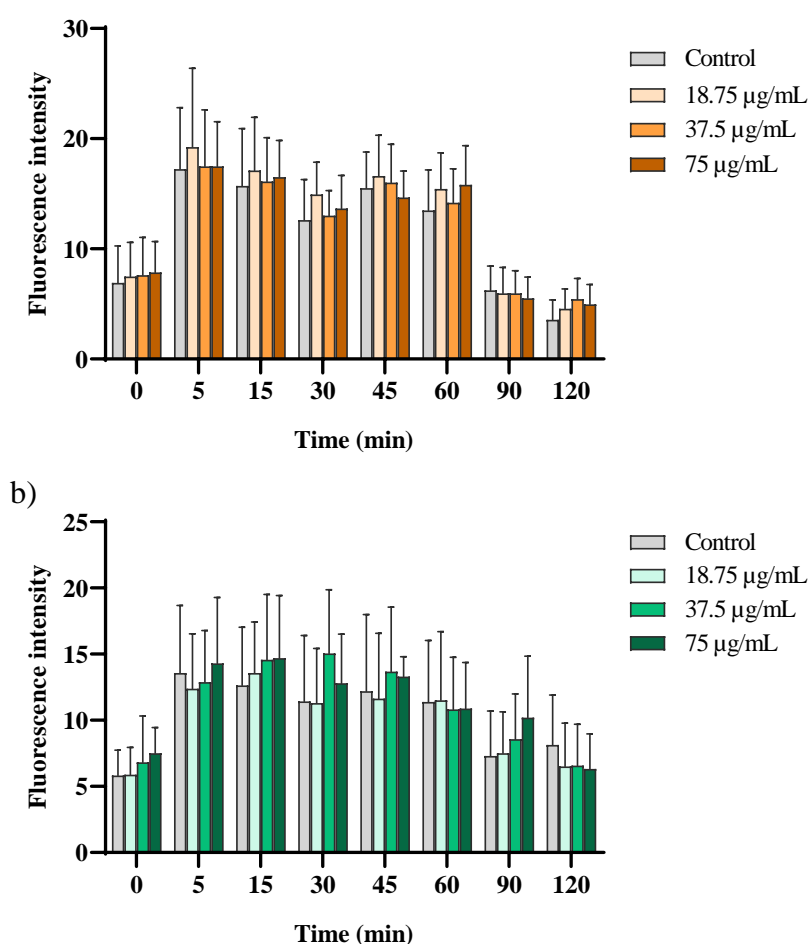
392 **Figure 4.** LDH activity in the HepG2 cells exposed to the carvacrol-functionalised MCM-
 393 41 microparticles (a) and the thymol-functionalised MCM-41 microparticles (b) for 24 h.
 394 The results are expressed as the mean (SEM, n=3). (*) $p \leq 0.05$; (**) $p \leq 0.01$; (***) $p \leq$
 395 0.001 indicates significant differences compared to the control according to the Student's
 396 t-test.

397

398 3.2.3. ROS formation

399 ROS formation was studied as an indicator of oxidative stress using fluorescein
 400 derivative H₂DCF-DA. Figure 5 shows ROS production on the HepG2 cells exposed to

401 18.75, 37.5 and 75 $\mu\text{g/mL}$ of the carvacrol- and thymol- functionalised MCM-41
402 microparticles after 120 min of postexposure. As shown, exposure to the three
403 concentrations of both the functionalised particle types did not induce ROS formation
404 over this time period as no significant differences in DCFDA dye fluorescence intensity
405 were observed compared to the control cells.



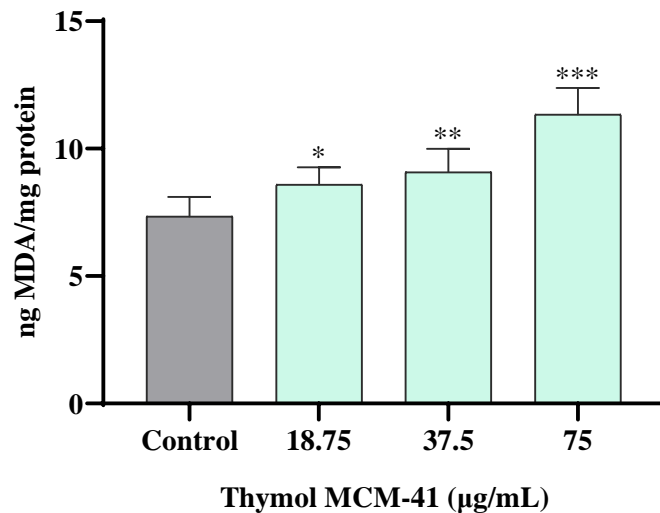
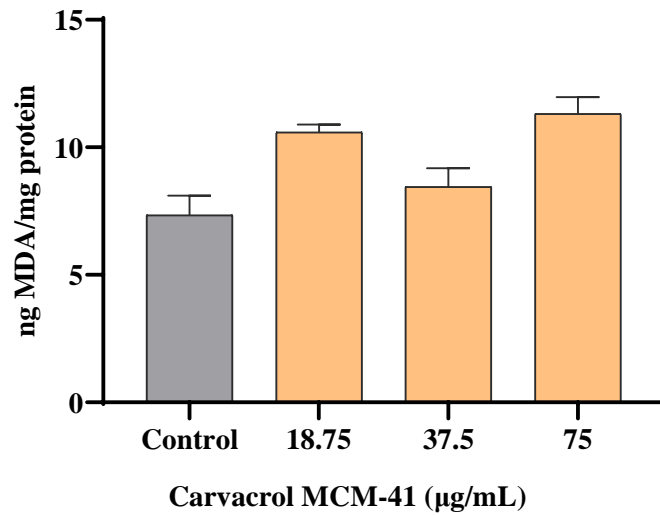
406

407 **Figure 5.** ROS induction according to time (0-120 min) in the HepG2 cells exposed to
408 the sublethal concentrations of the carvacrol-functionalised MCM-41 microparticles (a)
409 and thymol-functionalised MCM-41 microparticles (b). The results are expressed as the
410 mean (SEM, n=3). No significant differences were found between the different test
411 solutions and the control.

412 **3.2.4. Lipid peroxidation assays**

413 The MDA levels were measured as an indicator of LPO and oxidative stress by the
414 TBARS assay. LPO production on HepG2 cells in the presence of the carvacrol- and
415 thymol-functionalised silica at 18.75, 37.5 and 75 $\mu\text{g}/\text{mL}$ is observed in Figure 6. The
416 obtained results demonstrated that 24 h of exposure to the carvacrol-functionalised
417 particles significantly increased MDA production by 44% (18.75 $\mu\text{g}/\text{mL}$), 15% (37.5
418 $\mu\text{g}/\text{mL}$) and 54% (75 $\mu\text{g}/\text{mL}$) in relation to the control cells. Similarly, 24 h of exposure
419 to the thymol-functionalised particles significantly increased MDA levels in a
420 concentration-dependent manner (Fig. 6). Exposure to 18.75, 37.5 and 75 $\mu\text{g}/\text{mL}$ of the
421 thymol-functionalised MCM-41 for 24 h resulted in an increase of 17%, 24% and 55%,
422 respectively, compared to the control.

423



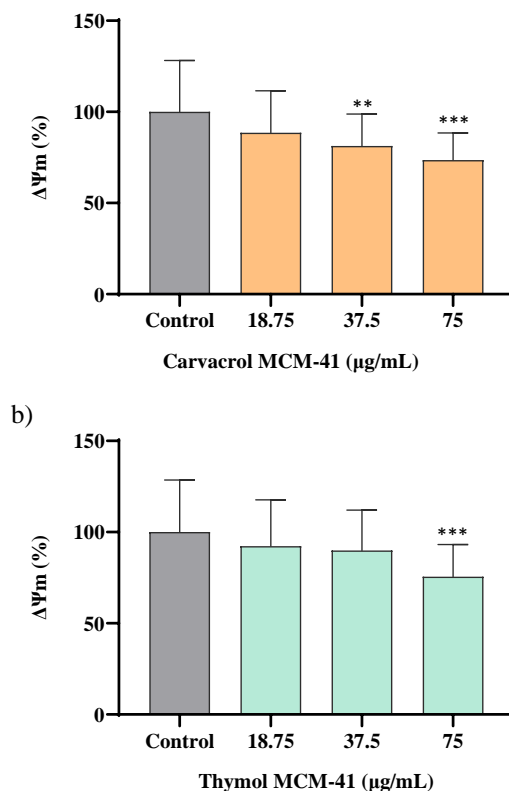
424

425 **Figure 6.** Effect on LPO as measured by MDA production after HepG2 cells exposure to
 426 sublethal concentrations of the carvacrol-functionalised MCM-41 microparticles (a) and
 427 thymol-functionalised MCM-41 microparticles (b) for 24 h. The results are expressed as
 428 the mean (SEM, n=3). (*) $p \leq 0.05$; (**) $p \leq 0.01$; (***) $p \leq 0.001$ indicates significant
 429 differences compared to the control according to the Student's t-test.

430

431 **3.2.5. $\Delta\Psi_m$ determination**

432 To assess whether the exposure of the functionalised particles affected mitochondrial
433 function, potential changes in $\Delta\Psi_m$ were analysed by employing mitochondria
434 fluorescent dye Rhodamine 123. As shown in Figure 7, 24 h of exposure to both materials
435 induced a significant drop in $\Delta\Psi_m$ in a concentration-dependent manner. This effect was
436 stronger for the carvacrol-functionalised particles that decreased $\Delta\Psi_m$ at the two highest
437 tested concentrations (37.5 $\mu\text{g/mL}$ and 75 $\mu\text{g/mL}$) by 19% and 28% in relation to the
438 control, respectively. The thymol-functionalised particles at the 75 $\mu\text{g/mL}$ concentration
439 resulted in a significant 24% decrease in $\Delta\Psi_m$ compared to the untreated control cells.

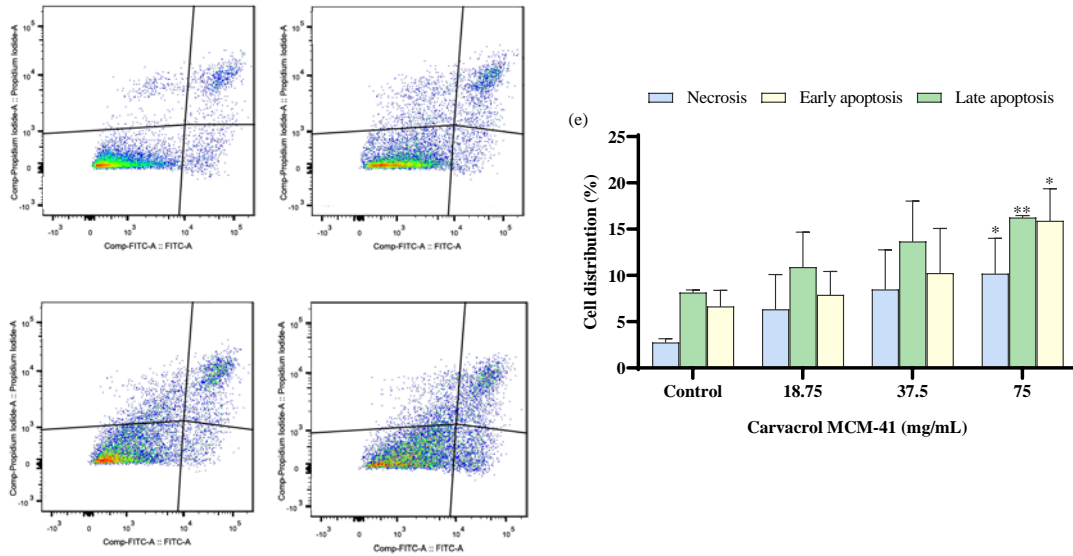


440

441 **Figure 7.** Effect on mitochondrial membrane potential ($\Delta\Psi_m$) after HepG2 cells'
442 exposure to sublethal concentrations of the carvacrol-functionalised MCM-41
443 microparticles (a) and thymol-functionalised MCM-41 microparticles (b) for 24 h. The
444 results are expressed as the mean (SEM, n=3). (**) $p \leq 0.01$; (***) $p \leq 0.001$ indicates
445 significant differences compared to the control according to the Student's t-test.

446 **3.2.6. Apoptosis and necrosis assays**

447 The flow cytometry analysis was applied to determine the related death mechanism
448 underlying the cytotoxic effect observed for the functionalised materials. Fluorescein
449 Annexin V-FITC/PI double staining was used to distinguish and quantify the percentage
450 of the necrotic, early apoptotic and late apoptotic cells after exposure to sublethal
451 concentrations of the carvacrol- and thymol-functionalised MCM-41 (Fig. 8 and Fig. 9,
452 respectively). The results revealed an increase in the percentage of the necrotic, early
453 apoptotic and late apoptotic cells following treatment with rising concentrations of both
454 the functionalised particles. However, significant differences were found only between
455 the control cells and the cells exposed to the highest tested concentrations of both
456 materials (75 µg/mL). The basal necrotic population in the control was 2.76 (0.40) %.
457 After the treatment with 75 µg/mL of the carvacrol- and thymol-functionalised particles
458 for 24 h, the necrotic rate rose to 10.20 (3.82) % and 8.63 (3.54) %, respectively. The
459 percentage of the early apoptotic HepG2 cells increased from 6.65 (1.73) % in the
460 unexposed control cells to 15.90 (3.46) % and 13.31 (1.83) % for the carvacrol- and
461 thymol-functionalised MCM-41, and in this order. Similarly, the percentage of the late
462 apoptotic cells went from 8.16 (0.26) % in the untreated culture to 16.27 (0.20) % and
463 13.98 (2.18) % for the carvacrol- and thymol-functionalised particles' exposed cells,
464 respectively.

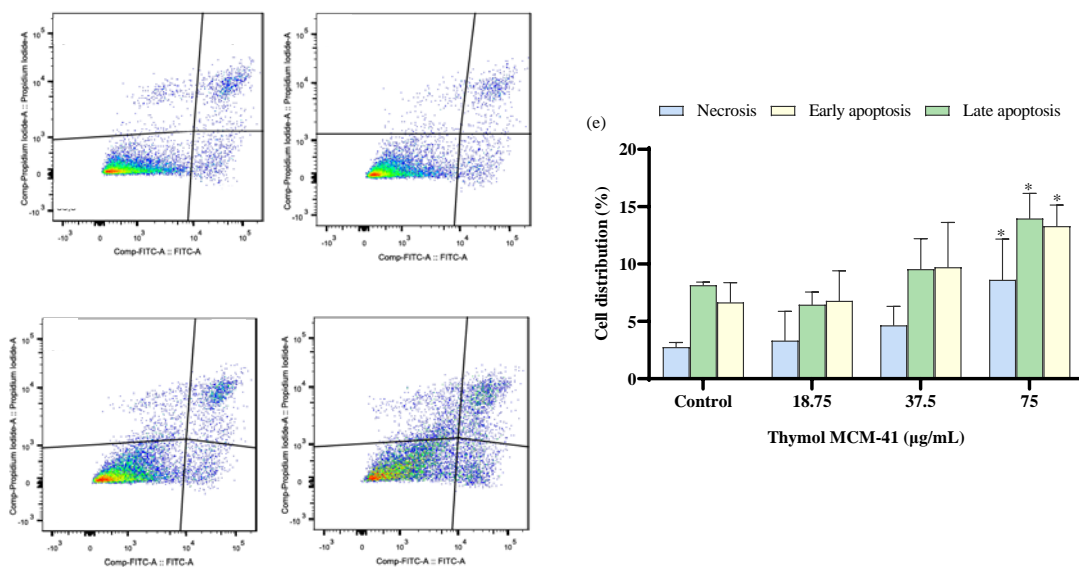


465

466 **Figure 8.** Flow cytometry analysis of the apoptotic and necrotic HepG2 cells exposed to
 467 sublethal concentrations of the carvacrol-functionalised MCM-41 microparticles using
 468 Annexin V-FITC/PI double staining. Representative two-dimensional dot plot diagrams
 469 of three independent experiments for: (a) the untreated cells; (b) the cells treated with
 470 18.75 µg/mL; (c) 37.5 µg/mL; (d) 75 µg/mL of the carvacrol-functionalised MCM-41
 471 microparticles. The upper left quadrant (PI+/Annexin V-FITC-) represents the necrotic
 472 cells, the left lower quadrant (PI-/Annexin V-FITC-) depicts the live cells, the upper right
 473 quadrant (PI+ /Annexin V-FITC+) refers to the late apoptotic cells and the lower right
 474 quadrant (PI-/Annexin V-FITC+) represents the early apoptotic cells. (e) The percentage
 475 of the early apoptotic, late apoptotic and necrotic cells. The results are expressed as the
 476 mean (SEM, n=3). (*) $p \leq 0.05$; (**) $p \leq 0.01$ indicates a significant difference compared
 477 to the control according to the Student's t-test.

478

479



480

481 **Figure 9.** Flow cytometry analysis of the apoptotic and necrotic HepG2 cells exposed to
 482 sublethal concentrations of the thymol-functionalised MCM-41 microparticles using
 483 Annexin V-FITC/PI double staining. Representative two-dimensional dot plot diagrams
 484 of three independent experiments for: (a) the untreated cells; (b) the cells treated with
 485 18.75 µg/mL; (c) 37.5 µg/mL; (d) 75 µg/mL of the thymol-functionalised MCM-41
 486 microparticles. The upper left quadrant (PI+/Annexin V-FITC-) represents the necrotic
 487 cells, the left lower quadrant (PI-/Annexin V-FITC-) denotes the live cells, the upper right
 488 quadrant (PI+ /Annexin V-FITC+) refers to the late apoptotic cells and the lower right
 489 quadrant (PI-/Annexin V-FITC+) represents the early apoptotic cells. (e) The percentage
 490 of the early apoptotic, late apoptotic and necrotic cells. The results are expressed as the
 491 mean (SEM, n=3). (*) $p \leq 0.05$ indicates a significant difference compared to the control
 492 according to the Student's t-test.

493

494 4. Discussion

495 The immobilisation of natural EOCs on the surface of silica particles has emerged as an
 496 innovative technology to enhance their antimicrobial and antioxidant properties.

497 However, their safety needs to be addressed given their possible application to food or
498 food contact materials. For this purpose, the potential health hazards that derive from
499 exposure to these new materials for consumer health should be thoroughly investigated
500 at the cellular level. The use of cell cultures is a relevant tool in toxicity testing to improve
501 our understanding of hazardous materials and to predict their effects on human health
502 (Eisenbrand et al., 2002). Assays that determine basal cytotoxicity measure cell viability
503 or cell death as a consequence of damage to basic cellular functions, and allow the rapid
504 identification of toxic compounds. Moreover, *in vitro* tests of specialised cell functions
505 and metabolic endpoints provide insight into the pathways and mechanisms of action
506 involved in chemically induced toxicity at both the molecular and cellular levels. This
507 study examines the *in vitro* toxic effect of the carvacrol- and thymol-functionalised
508 MCM-41 silica particles on HepG2 cells as a model cell line. The aim was to evaluate
509 their potential toxicity and to fully understand the associated involved mechanism.

510 Firstly, a comparative analysis of the functionalised-particles and their constituents was
511 carried out. It revealed that the free EOCs and bare MCM-41 microparticles exhibited
512 significantly milder cytotoxic effects than the equivalent EOC-functionalised silica
513 concentrations. Similar results have been found for eugenol- and vanillin-functionalised
514 MCM-41 microparticles (Fuentes et al., 2021). In that study, the stronger cytotoxic effect
515 observed for the EOCs-functionalised silica was attributed to physico-chemical
516 properties, such as surface charge and hydrophobicity, which could be responsible for
517 promoting interactions of EOCs with cell membranes. Previous studies have
518 demonstrated that surface functionalisation may enhance the toxicity of silica particles
519 (Dumitrescu et al., 2017; Paatero et al., 2017; Puerari et al., 2021; Yu et al., 2011). Chen
520 et al. (2009) indicated that functionalisation with carvacrol increased the cytotoxicity of
521 chitosan nanoparticles in a 3T3 mouse fibroblast cell line. The IC₅₀ value observed for

522 carvacrol-grafted chitosan nanoparticles was around 1 mg/mL, whereas cell viability was
523 still higher than 80% at the 2 mg/mL concentration of the unmodified chitosan
524 nanoparticles as measured by the MTT assay. However, carvacrol-modified chitosan
525 nanoparticles were significantly less cytotoxic to mammalian cells than free carvacrol.
526 As previously reported, this discrepancy may be the result of differences in the starting
527 material, the cell type employed for the cytotoxicity assays or the lower degree of grafting
528 achieved for silica particles (Fuentes et al., 2021).

529 Cytotoxicity data may serve to predict acute systemic toxicity *in vivo* and to also define
530 the concentration range for mechanistic toxicity studies (Ciappellano et al., 2016; Severin
531 et al., 2017). With this 2-fold objective, the cytotoxicity of the carvacrol- and thymol-
532 functionalised microparticles was analysed by two methods based on different
533 physiological endpoints; the MTT and the LDH release assays. As measured by the MTT
534 method, 24 h of exposure gave an IC₅₀ value of 0.15 mg/mL for both the functionalised
535 materials, and this value lowered to 0.09 mg/mL and 0.11 mg/mL after the 48-hour
536 treatment, for carvacrol- and thymol-silica, respectively. In a previous work, Fuentes et
537 al., 2021 determined the cytotoxic effect of bare MCM-41 silica microparticles on HepG2
538 cells by the MTT assay and confirmed the biocompatibility reported for calcined
539 mesoporous silica (Aburawi et al., 2012; Al-Salam et al., 2011; Samri et al., 2012; Shamsi
540 et al., 2010). Exposing cells to bare MCM-41 silica for 24 h and 48 h led to IC₅₀ values
541 of 18.90 mg/mL and 15.82 mg/mL, respectively (Fuentes et al., 2021). In comparison to
542 these results, we found herein that the functionalisation of MCM-41 microparticles with
543 carvacrol or thymol increased the cytotoxicity of the starting material by approximately
544 100-fold. However, when cells were exposed to the filtered medium which previously
545 contained the particles during the extract dilution assays, cell viability remained at around
546 100% at the IC₅₀ values calculated for both materials. These results can be interpreted as

547 a confirmation of a direct interaction of cells with particles that is responsible for the
548 cytotoxic behaviour found for both the carvacrol- and thymol-functionalised particles;
549 while an indirect cytotoxicity effect due to components leached from the functionalised
550 particles' surface or by the depletion of nutrients from the culture medium (Casey et al.,
551 2008) is not expected.

552 The cytotoxic effects assessed by the LDH assay were observed at higher particle
553 concentrations than with the MTT assay and, thus, demonstrates that the MTT assay was
554 more sensitive than the LDH assay for determining cell viability after the exposure of the
555 EOCs-functionalised microparticles. The sensitivity of the different cytotoxicity assays
556 differs depending on the mechanisms leading to cell death (Weyermann et al., 2005). The
557 MTT method determines mitochondrial metabolic activity of viable cells, while the LDH
558 assay measures cell death due to cell membrane damage. Hence concerning their
559 sensitivity, the differences observed between both assays may suggest that impairment of
560 the mitochondrial function may precede the disruption of membrane integrity and cell
561 lysis in cells exposed to the carvacrol- and thymol-functionalised microparticles.
562 Moreover, these results support the widespread consensus that more than one cell
563 viability assay should be used to increase the reliability of the results during *in vitro*
564 studies (Aslantürk, 2018; Eisenbrand et al., 2002; Fotakis and Timbrell, 2006).

565 It is worth mentioning that different studies have described particle interference when
566 testing cytotoxicity with both methods (Holder et al., 2012; Kroll et al., 2012). Distinct
567 factors have been proven to limit the sensitivity of the MTT method, including pH, optical
568 activity or surface reactivity of particles (Abbasi et al., 2021; Laaksonen et al., 2007). In
569 the LDH assay, different inorganic particles have been demonstrated to interfere with this
570 assay by either adsorbing or inactivating the LDH protein, and both mechanisms involve
571 decreased absorbance in the LDH assay that results in a false indication of a non-toxic

572 response (Holder et al., 2012). Korhonen et al. (2016) used the LDH assay to evaluate the
573 cytotoxic effect of mesoporous silica microparticles on human corneal epithelial (HCE)
574 and retinal pigment epithelial (ARPE-19) cells. These authors found increased or
575 decreased reactivity in the LDH assay depending on the employed cell culture medium.
576 Herein the MTT and LDH viability assays were also performed under cell-free conditions
577 to evaluate any interference of the functionalised particles with both assays. At low
578 concentrations, the EOCs-functionalised materials did not induce any non-specific
579 response in the MTT and LDH viability assays. At concentrations higher than 0.31
580 mg/mL of particles, significantly increased absorbance was observed for both the cell-
581 free assays. Consequently, data were corrected to avoid any particle interferences by
582 subtracting the absorbance of the cell-free controls from that of the test wells.

583 In order to gain insight into the cytotoxicity mechanism induced by these materials,
584 different endpoints related to oxidative stress, mitochondrial dysfunction and the cell
585 death pathway were investigated. In this aspect of the work, the IC₅₀ values for both
586 materials obtained by the MTT assay were used to define the concentration range for
587 further assays.

588 Oxidative stress is a major mechanism involved in the toxicity induced by many
589 xenobiotics (Zhang, 2018). It results from an imbalance between the production of
590 oxidising molecular species and the protective mechanisms produced by cells for their
591 removal. Under normal conditions, ROS are oxygen-containing chemically-reactive
592 molecules are produced by cells as a consequence of aerobic metabolism (Ray et al.,
593 2012). However, the overproduction and accumulation of ROS due to interactions of cells
594 with toxic agents may lead to an antioxidant system dysfunction, and also to oxidative
595 damage to cellular macromolecules like lipids, proteins or nucleic acids, which causes
596 severe cell toxicity (Eisenbrand et al., 2002). To evaluate whether oxidative stress was

597 involved in carvacrol- and thymol-functionalised MCM-41 cytotoxicity, two different
598 biomarkers were used: ROS production and LPO generation. The results showed that
599 exposure to the sublethal concentrations of both materials did not induce early ROS
600 formation as measured by the DCFDA assay. However, the MDA levels significantly
601 increased when cells were exposed to particles for 24 h, which indicates that oxidative
602 stress occurred through LPO.

603 High ROS levels that persist for a long period are thought to be the major factor
604 responsible for reacting with polyunsaturated fatty acids of lipid membranes and for
605 inducing LPO (Barrera, 2012). Lack of ROS in this study may be explained by the
606 differences in the exposure times employed between both assays. ROS formation was
607 measured within 2 hour after exposure to the sublethal functionalised silica
608 concentrations, while LPO was determined when cells were treated with these materials
609 for 24 h. Accordingly, Santos et al. (2010) evaluated ROS production following exposure
610 to different mesoporous silicon microparticles in human colon carcinoma Caco-2 cell line
611 by the DCFDA assay. These authors found no significant increases in hydrogen peroxide
612 concentrations or mitochondrial superoxide after a 3-hour incubation time, but observed
613 a significant increase in hydrogen peroxide formation after 24 h exposure. Longer
614 exposure times than those usually employed by this method have also been necessary to
615 detect oxidative stress caused by other toxic insults (Aranda et al., 2013). Some authors
616 also suggest that, although the DCFDA probe has been extensively employed as a
617 biomarker of oxidative stress and is assumed to reflect the overall oxidative status of cells,
618 it can only detect hydrogen peroxides, peroxy radicals and peroxy nitrite anions, and not
619 all the different ROS types (Herzog et al., 2009).

620 Toxic agents can generate ROS by directly interacting with the electron-transport chain
621 complexes in the inner mitochondrial membrane (Boelsterli, 2007). Moreover, cell-

622 particle interactions can induce ROS formation by a surface-catalysed reaction (Lehman
623 et al., 2016). Indeed silica particles have been demonstrated to induce ROS formation by
624 both mechanisms; direct contact of the cell membrane with particles' surface and by
625 triggering cell-signalling pathways that initiate cytokine release and apoptosis within cells
626 (Hamilton et al., 2008). Different phenomena, including hydrophobic or hydrophilic
627 interactions, active electron configurations, redox potential or semiconductor and
628 electronic properties, may be responsible for ROS generation upon the interactions of
629 particles with biological systems (Santos et al., 2010). In line with this, Lehman et al.
630 (2016) studied the free radical species generated from the surface of non-porous and
631 mesoporous nanoparticles by electron paramagnetic resonance spectroscopy. These
632 authors found a correlation between the ROS released from the nanoparticle surface,
633 intracellular ROS and cellular toxicity in murine macrophage cell line RAW 264.7.
634 Moreover, amine-functionalisation reduced the amount of the free radical generated at
635 the solid-liquid interface by non-porous nanosilica and, as suggested by the authors, this
636 would mitigate their toxic behaviour. Similarly, Santos et al. (2010) found surface
637 chemistry to be a determinant factor that establishes ROS production and cell-particle
638 interactions. According to their work, thermally carbonised particles induced toxicity as
639 a result of stimulating ROS production on Caco-2 cells, while thermally oxidised particles
640 did not induce significant ROS formation and resulted in less cell damage as a result of
641 weak cell-particle interactions. In our work, the increased cytotoxicity found for the
642 EOCs-functionalised compared to the native microparticles may be attributed to
643 differences in the surface properties between the bare and EOCs-functionalised particles.
644 Cationic nature and hydrophobic surfaces have been demonstrated to increase *in vitro*
645 toxicity and the number of apoptotic cells as a result of strong cell-particle interactions

646 (Saei et al., 2017; Santos et al., 2010). These properties may, therefore, be related to the
647 increased cytotoxicity found for the functionalised materials in our study.

648 A close relation exists between ROS formation and mitochondria as these organelles are
649 considered the main source of ROS in the cell. At the same time, mitochondrial damage
650 by ROS formation is a main mechanism of toxicant-induced cytotoxicity (Zhang, 2018).
651 Accordingly, mitochondrial dysfunction is one of the most sensitive indicators of adverse
652 cell effects that can be evaluated by monitoring changes in $\Delta\Psi_m$ of exposed cells (Xu et
653 al., 2004). In this study, $\Delta\Psi_m$ depletion was observed after treating HepG2 cells with the
654 carvacrol- and thymol-functionalised silica. The generated $\Delta\Psi_m$ is an essential
655 component within a range of processes, including energy storage during oxidative
656 phosphorylation, calcium homeostasis or cellular differentiation. Moreover,
657 mitochondrial integrity disruption has been described as one of the early events that lead
658 to apoptosis and may serve as a biomarker for apoptotic cell death (Jeong and Seol, 2008).

659 Exposure to cytotoxic agents can lead to cell death mainly by two major mechanisms:
660 apoptosis and necrosis. In this work, the death mechanism related to the cytotoxic effects
661 induced by modified-MCM-41 exposure was evaluated using the Annexin V-FITC/PI
662 double staining and flow cytometry analysis. This method allows healthy, early apoptotic,
663 late apoptotic and necrotic cells to be discriminated. We found that all three early
664 apoptotic, late apoptotic and necrotic rates significantly rose after treating HepG2 cells at
665 the highest sublethal concentration of both the carvacrol- and thymol-functionalised silica
666 for 24 h. According to these results, both mechanisms of cell death are involved in the
667 cytotoxicity induced by the EOCs-functionalised MCM-41.

668 Apoptosis, or programmed cell death, is a slow form of cell death that can occur under
669 normal physiological conditions or may be induced by apoptotic compounds. There are
670 two main pathways that lead to apoptosis: the extrinsic or death-receptor pathway, which

671 is activated from outside the cell by the ligation of transmembrane death receptors; the
672 intrinsic or mitochondrial pathway, which begins with the permeabilisation of the
673 mitochondrial outer membrane triggered by different signals, such as DNA damage,
674 ischaemia or oxidative stress (Wang and Youle, 2009). $\Delta\Psi_m$ depletion brings about the
675 release of mitochondrial intermembrane space proteins to the cytoplasm, including
676 cytochrome c, which consequently triggers other apoptotic factors, such as caspases
677 activation or chromosome fragmentation, to lead to apoptosis through the mitochondrial
678 or intrinsic pathway apoptotic death pathway (Tait and Green, 2013). Therefore, loss of
679 $\Delta\Psi_m$ serves as a biomarker of apoptotic cell death.

680 Unlike apoptosis, necrosis consists in a rapid cell death form that is induced by external
681 injuries, such as hypothermia, radiation, hypoxia or chemicals that damage the cell
682 membrane (D'Arcy, 2019). Destruction of the plasma membrane or the biochemical
683 supports of its integrity leads to the release of intracellular material, local inflammatory
684 responses, cell swell and lysis (Miret et al., 2006). Consequently, necrosis can be
685 measured by the presence of the cytoplasmic content in extracellular fluid i.e. by
686 measuring the activity of enzymes like LDH. As previously explained, the LDH assay
687 was far less sensitive than the MTT assay for evaluating the basal cytotoxicity on HepG2
688 cells as a result of microparticles' exposure, and suggests impairment of mitochondrial
689 activity rather than cell membrane disruption. As a result, we hypothesise that apoptosis
690 is the most likely mechanism of cell death after the exposure of the EOCs-functionalised
691 particles.

692 According to our results, the mechanism underlying the cytotoxic effect of the carvacrol-
693 and thymol-functionalised silica microparticles on HepG2 cells involves oxidative stress
694 induction, which will cause mitochondrial dysfunction and lead to apoptotic death
695 pathway activation. This mechanism of toxic action bears similarities with the mechanism

696 described for their constituents. Essential oils and their components have been
697 demonstrated to induce toxicity in eukaryotic cells due to a phenolic-like prooxidant
698 mechanism (Bakkali et al., 2008). These components penetrate cells and permeabilise
699 cytoplasmic, and especially, mitochondrial membranes. Then damaged mitochondria
700 produce ROS by generating reactive phenoxy radicals with a pro-oxidant potential that
701 may oxidise EOCs. Ultimately, this sequence of events leads to cell death by apoptosis
702 (Bakkali et al., 2008). Different sized MCM-41 and SBA-15 microparticles induce ROS
703 formation, especially O_2^- , at concentrations over 1 mg/mL after 3 h of incubation on Caco-
704 2 cells, which overwhelms antioxidant defences, causes mitochondrial dysfunction and
705 increases apoptotic signalling (Heikkilä et al., 2010). As found herein for the EOCs-
706 functionalised silica, metabolic activity is a more sensitive endpoint, as measured by ATP
707 depletion, than cell membrane integrity (Heikkilä et al., 2010). However, both the bare
708 MCM-41 microparticles and EOCs exhibited cytotoxic effects and ROS generation at
709 much higher concentrations than those found for the functionalised particles, which are
710 the object of this study. Our results suggest that either a synergistic effect given the
711 presence of both silanol groups from the bulk material and EOCs derivatives from the
712 functionalisation process on the functionalised particles surface or a boosting effect of
713 EOCs as a consequence of their higher density or reduced volatility increased EOCs-cell
714 membrane interactions (Fuentes et al., 2021). Another possible explanation is that EOCs
715 in their free form can be partly metabolised by cells and this phenomenon is not possible
716 for immobilised compounds. Nonetheless, the cellular uptake of microparticles by HepG2
717 cells would still need to be dismissed by confocal microscopy analyses in the future.

718 Alternatives to synthetic preservatives for food applications are not free of potential
719 toxicological hazards. As observed in this work, toxicity studies are necessary to
720 understand the interactions of new materials with biological systems and to guarantee

721 their safety for human health. In summary, our results show that the functionalisation of
722 silica MCM-41 microparticles with natural EOCs carvacrol and thymol increased these
723 materials' cytotoxic potential compared to their free constituents. Both particle types
724 behaved similarly as regards their cytotoxic effects, which emerged from microparticles
725 themselves, and not from the degradation products released to culture media. The results
726 found in this study generally support the hypothesis that the carvacrol- and thymol-
727 functionalised MCM-41 induce toxicity on HepG2 cells by an oxidative stress-related
728 mechanism. A direct physical interaction between the particles surface and cell
729 membranes could be responsible for inducing ROS overproduction. Oxidative stress
730 would lead to the oxidation of different cellular components like lipids, and also to $\Delta\Psi_m$
731 function that would, in turn, trigger apoptosis signalling through the mitochondrial
732 pathway, and would ultimately lead to cell death by both the proteolytic cascade of pro-
733 apoptotic enzymes and the damage caused to the mitochondrial function. These results
734 should be considered when designing new hybrid materials for food-industry
735 applications.

736

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741 **References**

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