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1 **Hydropeaking impact assessment for Iberian cyprinids and leuciscids:**

2 **An adaptation of the hydropeaking tool method**

3

4 Running title: Hydropeaking tool for Iberian cyprinids and leuciscids

5

6 Francisco N Godinho<sup>1\*</sup>; Carlos Alexandre<sup>2</sup>; Pedro R. Almeida<sup>2</sup>; Francisco Martínez-  
7 Capel<sup>3</sup>; Rui MV Cortes<sup>4</sup>; Bernardo R. Quintella<sup>5</sup>; Javier Sanz-Ronda<sup>6</sup>; José M. Santos<sup>7</sup>;  
8 A. Palau<sup>8</sup>; António N. Pinheiro<sup>9</sup>; Isabel Boavida<sup>9</sup>

9

10 1 Hidroerg. Rua dos Lusíadas 9, 4.º Dto. Lisboa, Portugal.

11 2 MARE, Centro de Ciências do Mar e do Ambiente, Univ. de Évora. Departamento de  
12 Biologia, Escola de Ciências e Tecnologia, Universidade de Évora, Portugal.

13 3 Institut d'Investigació per a la Gestió Integrada de Zones Costaneres (IGIC),  
14 Universitat Politècnica de València, Valencian, Spain

15 4 Centro de Investigação e Tecnologias Agroambientais e Biológicas, Universidade de  
16 Trás-os-Montes e Alto Douro, Vila Real, Portugal

17 5 MARE, Centro de Ciências do Mar e do Ambiente, Universidade de Évora  
18 Departamento de Biologia Animal, Faculdade de Ciências da Univ. de Lisboa, Portugal

19 6 Applied Ecohydraulics Group (GEA-ecohidraulica.org), E.T.S.II.AA., University of  
20 Valladolid, 34004 Palencia, Spain

21 7 Forest Research Centre (CEF), School of Agriculture, University of Lisbon

22 8 Fluvial Dynamics Research Group, Univ. of Lleida, Lleida 25198, Catalonia, Spain

23 9 CERIS, Civil Engineering Research and Innovation for Sustainability, Instituto  
24 Superior Técnico, University of Lisbon, Portugal

25 \*corresponding author: [francisco.godinho@hidroerg.pt](mailto:francisco.godinho@hidroerg.pt)

## ABSTRACT

26

27 Hydropeaking negatively affects fish assemblages, but knowledge gaps still constrain our  
28 ability to rank and mitigate the impacts of different hydropower operation regimes at  
29 particular power plants. This is especially relevant for species and rivers for which the  
30 effects of hydropeaking are less investigated, such as the Iberian Cypriniformes and  
31 Mediterranean rivers. Recognizing the potential of the hydropeaking tool method (HT)  
32 developed for salmonids to systematically assess hydropeaking impacts, we adapted it for  
33 Iberian Cypriniformes. The general tool framework developed for the salmonids was kept  
34 for the Cypriniformes, with the combined use of factors describing the  
35 hydromorphological effects and factors related with fish vulnerability to assess  
36 hydropeaking impact. Effect and vulnerability factors were developed for Iberian  
37 cyprinids and leuciscids establishing preliminary thresholds for each indicator with three  
38 different levels of hydropeaking impact on the targeted taxa. The proposed factors and  
39 thresholds were critically reviewed and ranked by experts on Iberian Cypriniformes  
40 ecology and Mediterranean rivers functioning. Overall, the timing and distribution of  
41 peaking events were ranked higher by the experts in the effect factors, whereas the  
42 population size of barbel and smaller native Cypriniformes, as well as the degree of  
43 limitations in recruitment, were ranked higher in the vulnerability factors. Although there  
44 was some divergence in the expert opinions, a final set of effect and vulnerability factors  
45 was established, that retained most of the ones proposed for the salmonids, but included  
46 new ones, particularly for vulnerability. The present study provided a comprehensive,  
47 straightforward and systematic assessment tool for evaluating hydropeaking impacts on  
48 Iberian Cypriniformes.

49 **Keywords:** Hydropower, Freshwater fish, Impact Assessment, Vulnerability; expert  
50 judgement, Iberia.

51        **1. INTRODUCTION**

52        Recent growth in energy demand has escalate human reliance on hydropower,  
53        stimulating an increase in construction of hydropower plants worldwide (Couto and  
54        Olden 2018). Commonly, hydroelectric power plants operate in response to short-term,  
55        sub-daily changes of the electricity market, undergo rapid variations of turbine discharge,  
56        entailing quickly fluctuating water levels downstream (Moog 1993). This operation  
57        regime often known as hydropeaking, causes numerous adverse effects on river  
58        ecosystems, particularly fish assemblages (Young et al. 2011; Schmutz et al. 2015).

59        Overall, hydropeaking can profoundly affect river hydromorphology, with cascading  
60        direct and indirect impacts on aquatic habitat and biota (Hauer et al. 2014; Vanzo et al.  
61        2016; Hauer et al. 2017; Holzapfel et al. 2017). Research has focused on characterizing  
62        and quantifying such complex impacts, which include fish stranding and drift, obstruction  
63        to fish migration patterns, changes in food webs, degradation of habitat quality,  
64        impairment of flood intolerant river bank vegetation and macrophytes, sharp fluctuations  
65        in river temperature, and modifications of natural rates of sediment transport (Greimel et  
66        al. 2018; Costa et al. 2019; Moreira et al. 2019; Aksamit et al. 2021).

67        Although many rivers can naturally experience rapid flow changes, namely during  
68        floods, the hydrographs of peaking rivers are unique, leading to harsh environment of  
69        frequent and unpredictable disturbances for freshwater organisms, with no natural  
70        analogue (García et al., 2011; Greimel et al. 2018; Moreira et al. 2019). The hydrograph  
71        of peaking rivers can be characterized by parameters that change over space and time,  
72        such as magnitude, rate of change, frequency, duration, and timing (Harby and Noack  
73        2013). Each of these parameters may be correlated with ecological consequences and  
74        therefore may be used to scale the impacts of hydropeaking.

75 The response of salmonids to hydropeaking has been studied for some years, as most  
76 studies have been conducted in regions where this family dominates (e.g Valentin et al.  
77 1996; Scruton et al. 2008; Puffer et al. 2014; Boavida et al. 2017; Hauer et al. 2017; Hayes  
78 et al. 2019; Rocaspana et al. 2019; Burman et al. 2021). Salmonids can be affected by  
79 peaking flows, whereby the most common responses include stranding, downstream  
80 displacement and dewatering of spawning grounds, which have been related to up- and  
81 down-ramping rates (Saltveit et al. 2001), peak flow magnitude (Auer et al. 2017) and  
82 baseflow duration (Casas-Mulet et al. 2016). In contrast, information is much scarcer  
83 regarding other fish taxa (Alexandre et al. 2015; Boavida et al. 2015; Capra et al. 2017;  
84 Boavida et al. 2020a; Oliveira et al. 2020), making it difficult to appraise peaking impacts  
85 of existing and new hydropower plants in non-salmonid rivers.

86 The Iberian freshwater fish fauna is characterized by the presence of native  
87 Cypriniformes (cyprinids and leuciscids) that, except for headwater streams and lowland  
88 rivers, dominate riverine fish assemblages (Maceda-Veiga 2013). Moreover, the high  
89 level of endemism coexists with the high vulnerability of many fragmented rivers  
90 subjected to hydropeaking (Terêncio et al. 2019). Therefore, information gaps about  
91 hydropeaking impacts on Cypriniformes should be critical in the Iberian Peninsula.

92 Given this scenario, the ability to estimate *a priori* hydropeaking impacts in the Iberian  
93 Peninsula would be particularly useful to screen candidate hydropower plants or  
94 candidate river stretches to be flow regulated for further investigations and for the  
95 implementation of appropriate mitigation measures.

96 Bakken et al. (2021) developed the hydropeaking tool (HT), a systematic approach to  
97 assess the impacts of hydropeaking on salmonid fish. The approach divides the impact  
98 from hydropeaking into two components: (direct) effects and vulnerability. The effect  
99 component characterizes the possible ecological impacts of peaking from how

100 hydromorphological conditions change, given the hydropower system and river  
101 morphology. The vulnerability component characterize how vulnerable the system is to  
102 further influence from peaking.

103 Although the ecology of Cypriniformes is distinct from salmonid's, this study aims to  
104 adapt the HT developed for salmonids in Scandinavia for some of the native taxa most  
105 commonly found at peaking rivers in Iberia. The targeted taxa included the cyprinids  
106 *Luciobarbus bocagei* and *Pseudochondrostoma duriense*, and the leuciscids *Squalius* spp.  
107 and *Achondrostoma* spp. The adaptation builds on the experience gathered so far on the  
108 impacts of hydropeaking in Iberia (Alexandre et al. 2015; Boavida et al. 2015; Costa et  
109 al. 2019; Boavida et al. 2020a; Oliveira et al. 2020) and on expert knowledge from  
110 Portuguese and Spanish experts.

## 111 2. MATERIAL AND METHODS

112 The effect factors of the HT for salmonids consider the rate of flow change (water level  
113 change ratio), the dewatered area (change in water-covered area when flow is reduced  
114 from  $Q_{max}$  to  $Q_{min}$ ), the magnitude of flow changes ( $Q_{max}/Q_{min}$ ), and the frequency,  
115 timing and distribution of peaking operations. For salmonids, the following vulnerability  
116 factors are taken into account in the HT: population size (number of adult females), degree  
117 of limitations in recruitment (amount and distribution of spawning grounds), low flow  
118 periods as bottleneck for fish stock size, habitat degradation, low temperature impacts,  
119 pollution and other external factors, and the percentage of impacted river length compared  
120 to total length. These effect and vulnerability factors are assessed for each hydropower  
121 plant (HPP) and are classified in semi-quantitative classes according to criteria developed  
122 from the literature, non-published research or by expert opinion. The HT produces an  
123 overall assessment of hydropeaking impact at a particular site (from very high to small)  
124 by combining the scores for the effect and vulnerability factors (Figure 1). The reference

125 situation to assess the effect and vulnerability factors is a hydropower regulated river  
126 without peaking (Bakken et al. 2021).

127 The general framework of the HT developed for salmonids was kept for the Iberian  
128 cyprinids and leuciscids targeted (Bakken et al. 2021). The Iberian barbel (*L. bocagei*) is  
129 the largest native species present in many Northern Iberian rivers, reaching up to 1000 m  
130 in total length (e.g. Godinho et al. 1997). The Northern straight-mouth nase (*P. duriense*),  
131 *Squalius* spp. and *Achondrostoma* spp. are smaller and frequently co-occur with the  
132 barbel (Santos et al. 2011).

133 As an initial step, a set of effect and vulnerability hydropeaking related factors were  
134 developed for Iberian Cypriniformes based upon the available, published and unpublished  
135 information (see Tables 1 and 2 in the supplementary material). Upon that information,  
136 preliminary thresholds separating different effect and vulnerability classes were  
137 established for each factor to account for different levels of impact of hydropeaking on  
138 the targeted taxa

139 All the effect factors proposed for the salmonids were retained for the Iberian  
140 Cypriniformes, except the magnitude of flow changes, because  $Q_{max}/Q_{min}$  would  
141 invariably return larger values than for Scandinavian HPP since flow is near zero or zero  
142 during the low flow period in many rivers in Mediterranean climate regions. Due to the  
143 limitations in available information, only three classes were established for each  
144 indicator. Other differences with respect to the salmonid studies (Bakken et al. 2021)  
145 included the consideration of distinct critical periods as well as different thresholds to  
146 classify some indicators given the specificity of the Iberian climate. Given the more  
147 generalist autoecology of the Iberian Cypriniformes, the thresholds proposed were  
148 generally less stringent than the ones proposed for the salmonids.

149 As expected, more differences were noticeable between the salmonids and the  
150 Cypriniformes vulnerability factors. In contrast to salmonids, two taxa groups were  
151 initially established, considering the larger Iberian barbel in one group, and the remaining  
152 Cypriniformes in another.

153 Instead of using the number of females as an indicator of the population size, the use  
154 of capture-per-unit-of-effort (CPUE; number of specimens collected in Spring with  
155 single-pass electrofishing /100 m<sup>2</sup>) was proposed as an indicator of abundance for the  
156 species or group of species considered. Initial threshold criteria to separate vulnerability  
157 classes were obtained as percentiles of the CPUE for barbel and the other Cypriniformes  
158 occurring in several Portuguese Central and northern river reaches, including both natural  
159 and impacted sites.

160 The proportion of juvenile native Cypriniformes specimens based on total length, as a  
161 measure of recruitment limitations, was used instead of the amount and distribution of  
162 spawning grounds considered for salmonids. Although growth for a particular species  
163 varies among different rivers and reaches, the general use of the following size thresholds  
164 to identify juvenile specimens were proposed (total length, in mm): *L. bocagei* (120 mm);  
165 *P. duriense* and *S. carolitertii* (80 mm); *S. alburnoides* and *Achondrostoma* spp. (45 mm).  
166 The proposed values are a compromise between the maturity lengths for males and  
167 females (e.g. Doadrio et al. 1991). Habitat degradation was also included and assessed  
168 similarly to salmonids, as the change in magnitude and frequency of natural flood events.

169 Low flow periods as bottleneck for salmonid fish stock size were not considered due  
170 to the tolerance of most Iberian Cypriniformes to low flow conditions (e.g. Pires et al.  
171 2010). The influence of reduced water temperature was also not included as a  
172 vulnerability factor because low temperatures are not common in Iberian latitudes. In  
173 contrast, a measure of habitat heterogeneity was also included (i.e., Habitat Quality



174 Assessment index – HQA; Raven et al. 1998), since fish populations should be more  
175 vulnerable to hydropeaking at homogeneous river reaches. Finally, the proportion of  
176 impacted river length compared to the total length was also used for Cypriniformes as for  
177 the salmonids.

178 The proposed factors and thresholds were sent to eight experts on Iberian  
179 Cypriniformes ecology and Mediterranean rivers functioning to be critically reviewed.  
180 More specifically, a questionnaire was prepared and sent electronically to each expert to  
181 be filled with several answers placed for each factor (e.g. Do you think this indicator  
182 should be divided in down and up-ramping? When do you think Iberian Cypriniformes  
183 would be less susceptible to stranding? See Questionnaire in the supplementary material).  
184 Further, the experts were asked to rank the effect and vulnerability parameters by  
185 importance regarding the impact of hydropeaking in Iberian Cypriniformes (from 5, very  
186 important, to 1, less important). The completed questionnaires were sent by the experts  
187 to the corresponding author.

188 A final set of effect and vulnerability factors and respective thresholds were developed  
189 for Iberian Cypriniformes by including the expert opinions in the initial proposal. The  
190 joint assessment of the effect and vulnerability factors was defined by adapting the  
191 combined assessment made for salmonids (Bakken et al. 2021).

### 192 **3. RESULTS**

#### 193 **3.1 Experts opinion**

194 The degree of agreement in the expert opinions concerning the relevance of each factor  
195 was evaluated with the standard deviation of the average rank value (Table 1). Overall,  
196 the timing (E5) and distribution (E4) of peaking events were ranked higher among the  
197 effect factors, whereas the population size of barbel (V1a) and smaller native

198 Cypriniformes (V1b), as well as the degree of limitations in recruitment (V2), were  
199 ranked higher in the vulnerability factors.

200 All the experts agreed with the inclusion of the rate of change (E1) in the effect factors  
201 due to its influence on fish and invertebrate stranding and dewatering, but only a part  
202 (62.5 %) agreed with the possibility of considering separately up- and down-ramping, as  
203 they are sequent phases of hydropeaking. The inclusion of the dewatered area (E2), which  
204 intends to evaluate the potential for fish stranding and the dewatering of spawning  
205 grounds, was also agreed by all experts, but higher thresholds were suggested, as in rivers  
206 with Mediterranean flow the frequent dewatering of the river bed occurs during naturally  
207 decreasing flow conditions, either while approaching the summer or during the  
208 progression of drought years (Gasith and Resh 1999).

209 Most of the experts (87.5%) agreed with the inclusion of hydropeaking frequency (E3).  
210 However, when asked if the peaking frequency should only be considered in the Summer  
211 low flow period, the experts suggested the inclusion of other stressful periods, including  
212 the spawning period and drought years, which are increasingly more common in the Iberia  
213 Peninsula (Cid et al. 2017). Most experts also agreed with considering the distribution  
214 (E4, 87.5%) and timing (E5, 100%) of hydropeaking events. Overall, hydropeaking  
215 should be more detrimental when occurring irregularly throughout the year and  
216 particularly during vulnerable ecological periods (Greimel et al. 2018), although there  
217 was a debate about when the vulnerable periods do occur for the targeted taxa.

218 Concerning the vulnerability factors, all the experts agreed with the inclusion of  
219 population size (V1) in the vulnerability factors, as lower density fish populations should  
220 be more vulnerable to the effects of hydropeaking. However, several suggestions were  
221 made, including the division of the smaller Iberian Cypriniformes in two groups,  
222 separating the cyprinid *P. duriense* (usually the second largest cyprinid in Iberian fish

223 assemblages, reaching up to 500 mm) from the leuciscids *Squalius* spp., and the exclusion  
224 of *Achondrostoma* spp., due to their tolerance to hydropeaking and other anthropogenic  
225 impacts (Oliveira et al. 2012). Moreover, it was proposed to enlarge the database from  
226 where the CPUE were derived and to establish thresholds for specific river types in the  
227 future. The consideration of the degree of limitation in recruitment (V2) as a vulnerability  
228 factor was deemed adequate by most experts (87.5%), since the effects of hydropeaking  
229 could be particularly stressful for juvenile fishes, given their smaller size, reduced  
230 swimming ability and preferential use of shallow marginal habitats (Martínez-Capel et al.  
231 2009), where hydropeaking effects such as dewatering or stranding are more likely to  
232 occur than in the middle of the river channel (Casas-Mulet et al. 2015). Likewise, the  
233 addition of a measure of habitat heterogeneity (V3) as a vulnerability factor was  
234 considered adequate, since habitat heterogeneity could be an important buffer for the  
235 impacts of hydropeaking, namely by providing safe velocity refuges during up-ramping  
236 (Kalogianni et al. 2020). The inclusion of an additional approach to assess habitat  
237 heterogeneity (V3) (the Spanish protocol for the hydromorphological characterization of  
238 rivers, HYMO, Gobierno de España, 2019) was also suggested.

239 Floods are important mechanisms shaping the ecology of Iberian fluvial ecosystems,  
240 being crucial to maintain natural ecological balances (Gasith and Resh 1999). Moreover,  
241 floods could be important to trigger spawning migrations of potamodromous Iberian  
242 cyprinids, such as the barbel and nase (García-Vega et al. 2021) and are often important  
243 to keep exotic invasive species in low numbers, as they are less fit to respond to such  
244 events (Fornaroli et al. 2020). Therefore, the change in magnitude and frequency of  
245 natural flood events result in habitat degradation, and its inclusion as a vulnerability factor  
246 (V4) was sanctioned by most experts (87.5%). The thresholds proposed were also deemed  
247 adequate.

248 Finally, there was a large debate between the experts about the inclusion of the  
249 percentage of impacted river length (V5) and how it should be measured. Moreover, some  
250 noticed that the position of the HPP is also important to assess its impacts irrespective of  
251 the proportion of river affected by hydropeaking.

### 252 **3.2 Final set of effect and vulnerability parameters/indicators and impact** 253 **assessment**

254 The final set of effect and vulnerability factors proposed for Iberian Cypriniformes are  
255 depicted in Tables 2 and 3.

256 All the effect and vulnerability factors were considered equally important considering  
257 the expert judgement, and the values assigned to each one (from High, value 3, to Low,  
258 value 1) were added. The total scores for the effect and vulnerability factors were then  
259 divided in three classes (Table 4, Table 5). For the factor V1a, V1b and V1c a single value  
260 correspondent to the average of the species/species group naturally occurring in the river  
261 reach should be considered. In the end, the HT generates an overall assessment of  
262 hydropeaking impact, by combining the effects of hydropeaking with the vulnerability of  
263 the river system (Table 6).

## 264 **4. DISCUSSION**

265 The effect factors used by Bakken et al (2021) encompassed the majority of the  
266 hydromorphological alterations of hydropeaking described to influence fish (e.g. Greimel  
267 et al., 2016; 2018; Hayes et al. 2019). Despite the different hydrographs between  
268 Scandinavian and Iberian rivers, most of the effect factors included in the initial HT were  
269 kept for Iberian rivers. This likely reflects the similarities of hydropeaking regardless of  
270 river location, in what it relates to inflow variations over space and time in relation to  
271 sub-daily hydropower production. Notwithstanding, detailed analysis of sub-daily flow  
272 fluctuations have found different hydropeaking regimes (Greimel et al. 2016).

273 Overall, the final set of effect factors for Iberian cyprinids and leuciscids was similar  
274 after the expert inputs, but some class thresholds were changed, namely for the dewatered  
275 area and the hydropeaking frequency. The distribution of hydropeaking events was also  
276 changed, with the highest impact linked to events occurring irregularly during Spring  
277 instead of irregular events occurring during all year. Spring was selected as a particularly  
278 vulnerable period as all Iberian Cypriniformes spawn largely during this season (e.g.  
279 Rodriguez-Ruiz and Granado-Lorencio 1992; Santos et al., 2018). In addition, regular  
280 hydropeaking events were considered less impacting, as individual fish appears to  
281 memorize spatial and temporal environmental changes and to adopt a “least constraining”  
282 habitat (Halleraker et al. 2003; Alexandre et al., 2015; Costa et al. 2018; Capra et al. 2017;  
283 Oliveira et al. 2020).

284 The timing of hydropeaking was also changed after the expert’s input, with the highest  
285 impact related not only to the spawning and larvae development periods but also the  
286 potamodromous pre-spawning migration performed by barbel and nase in Iberian rivers.  
287 The impact was considered reduced when occurring during the Winter, and moderate if  
288 happening during the Summer low flow period, when juveniles are already well  
289 developed. Contrasting with the effect factors, vulnerability factors for the Cypriniformes  
290 showed more differences with the ones proposed for the salmonids. These differences  
291 reflected the distinct ecology of the two fish orders. Two taxonomical groups were  
292 initially selected, but based on expert’s opinions the niche breadth of the smaller  
293 Cypriniformes justified the separation in two groups, one including the nase, and the other  
294 including the leuciscids, i.e. the chubs *Squalius* spp

295 Instead of using the number of females as a measure of effective population size, as  
296 considered in the salmonids HT, CPUE was used as an indicator of the global population  
297 size of Cypriniformes, as this type of data is available for several river reaches from

298 standard electrofishing procedures (INAG, 2008). The abundance thresholds developed  
299 in this study were supported on available CPUE data for native Cypriniformes in river  
300 reaches, but the indicator can be adapted to other databases on fish abundance, and can  
301 be also derived for specific river types. This possibility was considered an interesting line  
302 of future enhancement for the method by all experts.

303 As in other applications of expert knowledge (Drescher et al. 2013; Radinger et al.  
304 2017), there was some divergence in the expert opinions. Nevertheless, at least one of the  
305 experts found each of the proposed factors, except hydropeaking frequency and habitat  
306 heterogeneity, to be very important. Taking into account the differences of opinion, the  
307 values of each factor were not weighted differently.

308 In the HT for salmonids, the rate of change (E1) is multiplied with the dewatered area  
309 (E2) factors. This is because the rate of change is not considered important if it does not  
310 lead to a significant reduction in dewatered area when water levels sink, and vice versa.  
311 This is due to the risk of stranding, which is considered a major challenge for salmonids  
312 (e.g. Hauer et al. 2014; Hayes et al. 2021; Nagrodski et al. 2012). In the system proposed  
313 for Iberian Cypriniformes, the effect factors are all additive, as other impacts like  
314 disturbing movements, changing habitats, access to feeding and spawning were  
315 considered equally important. Besides, dewatered areas in Mediterranean-streams are  
316 typically large due to peak magnitude (Boavida et al. 2020b).

317 The HT incorporates relevant factors for the preliminary assessment of hydropeaking  
318 impacts at particular hydropower plants, but other factors have been showed to influence  
319 hydropeaking effects. For example, a recent study evaluated the response of *Thymallus*  
320 *thymallus* to multiple stressors in hydropeaking rivers (Hayes et al 2021), showing that  
321 factors such as connectivity were highly relevant in predicting fish population status in  
322 hydropeaking impacted rivers. The original HT and the initial factors proposed in the

323 Iberian HT included the length of the river impacted by peaking, which could account for  
324 reductions in connectivity. Notwithstanding, the impacted river length was not included  
325 in the final Iberian HT given the debate among the experts and the difficulties of assessing  
326 the impacted river length without detailed studies that would undermine the objective of  
327 the HT, i.e. to quickly assess a priori impacts of particular HPP.

328 The present study gathered valuable information regarding hydropeaking impact on  
329 Iberian Cypriniformes in the form of a straightforward to use tool for operators, engineers  
330 and biologists to assess the level of impact of HPP considering the vulnerability of the  
331 downstream river reach, and therefore, could contribute to the sustainable development  
332 of hydropower energy. HPP with higher potential hydropeaking impacts can then be  
333 subjected to more detailed investigations and, if necessary, the implementation of  
334 mitigation measures.

335 Some of the most common native taxa in Northern Iberian rivers were targeted, but  
336 other species could be included in future versions of the HT. These could include other  
337 Cypriniformes and, in some river segments, amphidromous species, such as the sea  
338 lamprey (*Petromyzon marinus*), the allis shad (*Alosa alosa*) and the European eel  
339 (*Anguilla anguilla*).

340 Although more investigations are needed to refine the HT, thus decreasing the  
341 inclusion of expert-based judgement, the tool can be applied readily. In addition,  
342 complementary expert judgement has been used with success in ecology (e.g. Langhans  
343 et al. 2016). Difficulties may arise during the application of the HT due to the lack of  
344 available information, including hydrological data with the needed short time span and  
345 fish sampling data for the river reaches under evaluation. Notwithstanding, modeling  
346 approaches can be used to derive the hydrological data from power production  
347 information, whereas for the fish assemblages, information could be obtained from the

348 systematic fish sampling conducted by Water Authorities to assess Ecological Status  
349 according to the Water Framework Directive.

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574 Figure 1 – General framework of the hidropeaking tool method (HT) developed for salmonids  
575 in Scandinavia.

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Table 1 – Average ( $\pm$ SD) of the ranks (from 5, very important, to 1, less important) given by each expert to the effects and vulnerability factors considered for hydropeaking impact assessment on non-salmonid rivers.

Effect factors	Average rank ( $\pm$ SD)	Vulnerability factors	Average rank ( $\pm$ SD)
E1: Rate of change	2.9 $\pm$ 1.4	V1a: Effective population size of native barbel	3.6 $\pm$ 1.2
E2: Dewatered area	3.0 $\pm$ 1.4	V1b: Effective population size of small native fish	3.8 $\pm$ 1.5
E3: Frequency	2.9 $\pm$ 1.9	V2: Degree of limitations in recruitment	3.8 $\pm$ 1.1
E4: Distribution	3.4 $\pm$ 1.3	V3: Habitat heterogeneity	2.6 $\pm$ 1.4
E5: Timing	3.7 $\pm$ 1.7	V4: Habitat degradation	2.9 $\pm$ 1.1
		V5: Percentage of impacted river length	3.0 $\pm$ 1.4

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Table 2 – Final effect factors, indicators and criteria for characterization of Iberian non-salmonid rivers affected by hydropeaking.

Effect factors	Indicator	Criteria for characterization		
		Very large (value 3)	Moderate (value 2)	Small (value 1)
<b>E1: Rate of change</b>	Water level change ratio (cm/h)	>15	5-15	<5
<b>E2: Dewatered area</b>	Change in water-covered area when flow is reduced from $Q_{max}$ to $Q_{min}$ (%)	>40	10-40	<10
<b>E3: Frequency</b>	Annual frequency (proportion/number of days per year with peaking)	>75% (>273 d)	25-75% (91-273 d)	<25 % (<91 d)
<b>E4: Distribution</b>		Irregular during Spring (spawning period)	Irregular	Regular throughout the year
<b>E5: Timing</b>	Flow reductions in critical periods	During the potamodromous migration, spawning and larvae period	During the Winter	During the low flow period

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54 Table 3 – Final vulnerability factors, indicators and criteria for characterization of Iberian non-  
 55 salmonid rivers affected by hydropeaking.

Vulnerability factor	Indicator	Criteria for characterization		
		High (value 3)	Moderate (value 2)	Low (value 1)
<b>V1a: Effective population size of native barbel (<i>Luciobarbus bocagei</i>)</b>	Abundance: Capture-per-unit-of-effort (CPUE - number of specimens collected in Spring with single-pass electrofishing /100 m <sup>2</sup> )	<1.5 <sup>1</sup>	1.5-6.0 <sup>2</sup>	>6.0
<b>V1b: Effective population size of straight mouth nase (<i>Pseudochondrostoma</i> spp.)</b>	Abundance: Capture-per-unit-of-effort (CPUE - number of specimens collected in Spring with single-pass electrofishing /100 m <sup>2</sup> )	<2.0 <sup>3</sup>	2.0-6.2 <sup>4</sup>	>6.2
<b>V1c: Effective population size of sensitive smaller native Cypriniformes (<i>Squalius alburnoides</i>, <i>Squalius caroliterti</i> and other <i>Squalius</i> spp.)</b>	Abundance: Capture-per-unit-of-effort (number of specimens collected in Spring with single-pass electrofishing /100 m <sup>2</sup> )	<1.5 <sup>5</sup>	1.5-8.3 <sup>6</sup>	>8.3
<b>V2: Degree of limitations in recruitment</b>	Proportion of juvenile native cyprinid specimens in Spring samples (based on specimens' length)	<30%	30-50%	50%-70%
<b>V3: Habitat heterogeneity</b>	River Habitat Survey (in Portugal) or the Spanish protocol for hydromorphological (HYMO) characterization of rivers (in Spain)	HQA or HYMO indicator compatible with bad ecological status	HQA or HYMO indicator compatible with moderate or mediocre status	HQA or HYMO indicator compatible with high or good status
<b>V4: Habitat degradation</b>	Change in magnitude and frequency of natural flood events	No floods	Some floods compared to the natural situation	Most of the natural floods (>50%) still occur

<sup>1</sup>30% percentile of the CPUE for barbel occurring in 202 central and northern river reaches.

<sup>2</sup> 60% percentile of the CPUE for barbel occurring in 202 central and northern river reaches.

<sup>3</sup>30% percentile of the CPUE for nase occurring in 256 central and northern river reaches.

<sup>4</sup> 60% percentile of the CPUE for nase occurring in 256 central and northern river reaches.

<sup>5</sup>30% percentile of the CPUE of small sized Iberian Cypriniformes (including *Squalius alburnoides* and *Squalius caroliterti*) occurring in 272 central and northern river reaches.

<sup>6</sup> 60% percentile of the CPUE of small sized Iberian Cypriniformes (including *Squalius alburnoides* and *Squalius caroliterti*) occurring in 272 central and northern river reaches.

56 Table 4 – Combined impact and score of different effect classes for characterization of Iberian  
 57 non-salmonid rivers affected by hydropeaking

Combined impact	Score
Large	12-15
Moderate	8-11
Small	4-7

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61 Table 5 – Combined impact and score of different vulnerability classes for characterization of  
 62 Iberian non-salmonid rivers affected by hydropeaking

Combined impact	Score
High	11-12
Moderate	8-10
Low	4-7

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65 Table 6 – Assessment matrix combining hydropeaking effects and vulnerability for overall  
 66 impact assessment. The colors denote the impact classes (large, moderate and small impacts are  
 67 denoted, respectively, by red, yellow and green.  
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		Hydropeaking effects		
		Large (12-15)	Moderate (8-11)	Small (4-7)
Vulnerability	High (11-12)			
	Moderate (8-10)			
	Low (4-7)			

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Hydromorphological effects  
(rate and magnitude of flow  
change; dewatered area;  
frequency, distribution and  
timing of peaking)

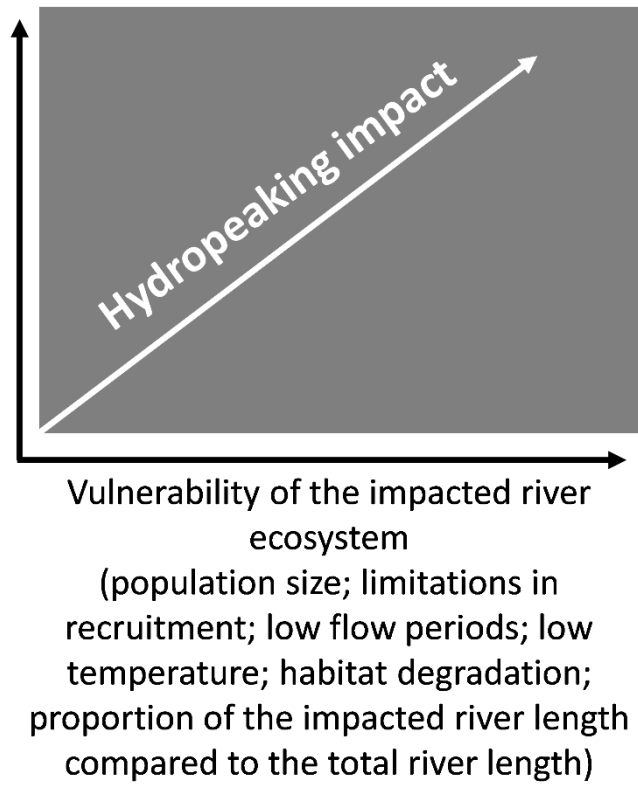


Figure 1 – General framework of the hidropeaking tool method (HT) developed for salmonids in Scandinavia.