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Additional Information

1 **Suitable rootstocks can alleviate the effects of heat stress on**
2 **pepper plants**

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9

10 **Abstract**

11 In this study, different pepper rootstocks are tested for their ability to overcome heat stress
12 situations. This work aims to evaluate: (i) the physiological mechanisms that occur during
13 long heat stress periods (7 days) under controlled conditions in a pepper variety grafted
14 onto accessions; (ii) the heat stress behaviour of these grafted pepper plants under
15 greenhouse conditions in terms of marketable yields. For this purpose, plants of Lamuyo-
16 type sweet pepper ‘Herminio F1’ (VA), grafted onto six accessions (VA/A25, VA/A31,
17 VA/A34, VA/A52, VA/A57, VA/A6), and a self-grafted variety (VA/VA) were grown
18 under controlled conditions in growth chambers (28/24 °C, day/night temperatures and
19 38/24 °C for control and heat stress, respectively) and under greenhouse conditions (38/24
20 °C). For the controlled conditions, relative growth rate, leaf area, electrolyte leakage,
21 chlorophyll *a* fluorescence and heat shock proteins were determined. For the greenhouse
22 conditions, fresh and dry weigh, electrolyte leakage and fruit yield were determined. Our
23 results confirmed that grafting a pepper cultivar onto appropriate rootstocks such as A6,
24 A25 and A57 can overcome the negative effects of heat stress conditions with a higher

25 relative growth rate, leaf area and Fv/Fm, and lower electrolyte leakage under the
26 controlled conditions, and with higher marketable yields under the greenhouse conditions.

27

28 *Keywords*

29 Chlorophyll *a* fluorescence; Electrolyte leakage; Grafting; Heat shock proteins; Relative
30 growth rate; Thermal stress

31

32 **1. Introduction**

33 Sweet pepper displays a marked response to heat, the optimal temperature ranging
34 between 20 °C and 30 °C. Above 32 °C, the temperature can cause serious problems in
35 pollination and fertilization resulting in fruit drop (Erickson and Markhart, 2002; Guo et
36 al., 2014). However, different lines of chilli pepper from *C. chacoense*, *C. bacatum*, *C.*
37 *frutescens* to *C. annuum* have been identified as heat tolerant compared with some sweet
38 peppers based on its cumulative temperature response index (Barchenger et al., 2019;
39 Palada and Wu, 2008). Aloni et al. (1994) associated the susceptibility to high
40 temperature of two *C. annuum* cultivars (sweet pepper and paprika) to light intensity and
41 ethylene production. Although, the response to heat stress is totally dependent to their
42 genetic background (Usman et al., 2014) and needs to be evaluated for each variety and
43 heat stress conditions.

44 In mild winter climates with warm springs and hot summers, the cropping season
45 of sweet pepper crops is actually intended to extend over the 12 months of the year,
46 normally comprising one of these three cycles: 1) planting in July/August and crop-
47 ending in February; 2) an extended cycle, from May/June to April/May of the following
48 year; 3) and a cycle starting in November/December to harvest during June-July-August.
49 Depending on each cycle, flowering and fruit set normally start two months after planting

50 and last until the end of the cycle unless during the coldest and shortest daylength months
51 of the season, at least December and January. During these cycles but particularly in the
52 extended one, sweet pepper crops, normally in greenhouses, may have to withstand high
53 temperatures, sometimes above 35 °C, which negatively impacts growth and yields, as
54 well as pepper fruit quality (López-Marín et al., 2013). This situation can be aggravated
55 by the global warming scenario as temperatures grow increasingly higher worldwide,
56 particularly in the Mediterranean Basin (IPPC, 2018), which produces huge quantities of
57 peppers and other vegetables.

58 Grafting is currently an effective alternative to relatively slow breeding
59 programmes (Schwarz et al., 2010) to obtain varieties that adapt to abiotic stresses
60 (Penella et al., 2017; Schwarz et al., 2010). It has been shown that using appropriate
61 pepper rootstocks is an effective, feasible and sustainable strategy mainly against water
62 and saline stress (Gisbert-Mullor et al., 2020; López-Marín et al., 2017; López-Serrano et
63 al., 2017). Nevertheless, very few studies have screened rootstocks for heat stress with
64 sweet pepper (Aidoo et al., 2017; López-Marín et al., 2013; Palada and Wu, 2008), and
65 some have pointed out that grafting is a technique capable of reducing the negative effects
66 of high temperatures on pepper plants.

67 One of the most sensitive plant cell components is the membrane, and high
68 temperature increases its fluidity and ion-permeability due to protein denaturation,
69 accompanied by metabolism inactivation (Ayenan et al., 2019; Hansen et al., 1994). Ion
70 leakage has been considered a bio-marker to heat tolerance. In different crops, tolerant
71 plants to high temperatures display less membrane permeability than non-tolerant plants
72 (Ayenan et al., 2019; Camejo et al., 2006, 2005; De Silva and Asaeda, 2017; Gulen and
73 Eris, 2004; Hu et al., 2010; Xu et al., 2017). Hence grafting is a good tool to reduce
74 electrolyte leakage. In fact tomato grafted onto eggplant has been found to reduce

75 membrane permeability under prolonged and controlled heat stress conditions
76 (Abdelmageed and Gruda, 2009).

77 Heat damage affects not only membranes fluidity, but also chloroplast (and
78 mitochondria) activities, among other metabolic processes. In fact, photosynthesis is
79 particularly sensitive to heat stress which induces its decrease. This decline in
80 photosynthesis is related to an increased fluidity on thylakoid membranes (Biswal et al.,
81 2011), which disrupts the photochemical reaction in the thylakoid lamellae and also the
82 carbon metabolism in the stroma (Hu et al., 2020a), and as a consequence an increase in
83 the photorespiration rate occurs (Long et al., 2004). Limited photosynthesis causes a drop
84 in photoassimilates restricting plant growth and ultimately affecting yields (Fahad et al.,
85 2017; Taiz and Zeiger, 2015).

86 In grafted plants, rootstocks can influence the adaptive capacity of scion to
87 photosynthesis under heat stress (Schwarz et al., 2010; Xu et al., 2018) as photosynthetic
88 capacity can be dependent on the vitality of roots. Roots from rootstocks are usually larger
89 and more vigorous, being capable of absorbing water and nutrients more efficiently than
90 roots of the scion, which could alleviate substantially photosynthesis inhibition (Colla et
91 al., 2008; Lee et al., 2010; López-Marín et al., 2013). In addition, the signalling
92 compounds going through root-to-shoot like hormones, nutrients, genes, transcription
93 factors and miRNA can alter scion perception responses to heat stress (Li et al., 2014a;
94 Xu et al., 2018). However, how tolerant rootstocks regulate the photosynthesis processes
95 under heat stress remains unknown (Li et al., 2016). Different plant combinations
96 (scion/rootstock) have resulted successful in terms to improve photosynthetic apparatus
97 protection in heat stress situations. Pepper plants grafted onto some rootstocks showed
98 better maximum quantum yield of PSII, Fv/Fm, in contrast to ungrafted plans (López-
99 Marín et al., 2013). Cucumber plants grafted onto *Momordica* have enhanced chlorophyll

100 content, Fv/Fm and net photosynthesis compared to self-grafted plants (Tao et al., 2020;
101 Xu et al., 2018). Moreover, grafting cucumber onto heat-tolerant *Luffa* rootstock
102 alleviates heat-induced photosynthesis inhibition and oxidative stress (Li et al., 2016).

103 Plants activate stress-responsive mechanisms to minimise the harmful effects of
104 heat stress, such as antioxidant activities, osmoprotection, hormonal signals, metabolites
105 synthesis or induction of heat shock proteins (HSPs). Different studies have also
106 demonstrated that heat stress promotes the accumulation of HSPs, and these proteins are
107 considered to be master players for inducing tolerance. HSPs act as molecular chaperones,
108 and are induced under heat conditions to protect cellular proteins against irreversible heat
109 damage (Barua et al., 2003; Boston et al., 1996; Li et al., 2014a). HSPs comprise five
110 major families of HSPs based on their approximate molecular weights, such as HSP100,
111 HSP90, HSP70, HSP60, and small HSP (sHSP) (Gupta et al., 2010; Kotak et al., 2007;
112 Wang et al., 2004). Some studies have revealed an increase in HSPs in grafted plants
113 under heat stress. The HSP70 protein has been significantly induced in cucumber grafted
114 onto *Luffa* at an earlier stage (12-48 h) of heat treatment (Li et al., 2016, 2014a).
115 Compared with HSP70 and HSP90, sHSPs are apparently more limited and ATP-
116 independent, they bind to non-native proteins ranging from peptides to big proteins with
117 high efficiency, preventing irreversible aggregation of these proteins (Haslbeck et al.,
118 2019). However, there are no studies in pepper grafted plants about the conservation of
119 HSPs or their effects over time (days) to confer tolerance in heat stress situations.

120 For all these reasons, in order to adapt the pepper crop to protected cultivation
121 systems in the global warming scenario, it seems important to select rootstocks capable
122 of conferring the pepper scion the ability to face the problems caused by high
123 temperatures in the hottest part of the season by screening pepper genotypes in these
124 situations.

125 Consequently, our work objectives were to evaluate: (i) in controlled conditions
126 in growth chambers, the physiological mechanisms that occur during heat stress periods
127 (7 days) in the *C. annuum* cultivar “Herminio” grafted onto different *C. annuum*
128 accessions; (ii) under greenhouse conditions, the heat stress tolerance during long periods
129 of these grafted pepper plants in terms of marketable yields.

130

131 **2. Materials and methods**

132 Six *Capsicum annuum* L. genotypes were used as rootstocks together with the
133 scion cultivar “Herminio F1” (Syngenta) (*Capsicum annuum*, Lamuyo type, B2 type of
134 Pochard (1966) classification) (VA). Accessions, with their country of origin in brackets,
135 were: A25 (United States), A31 (United States), A34 (Spain), A52 (United States), A57
136 (Israel), A6 (Mexico). These accessions were selected according to previous testing
137 experiments under heat stress and control conditions leading to identify both tolerant and
138 sensitive accessions (unpublished data). All the genotypes employed in the present study
139 belong to the COMAV Institute collection (Universitat Politècnica de València, Valencia,
140 Spain). Two experiments were done to meet the objectives.

141

142 *2.1. Experiment 1: Physiological behaviour of pepper plants under the control and heat* 143 *stress conditions of growth chambers*

144 The variety grafted onto the six accessions (VA/A25, VA/A31, VA/A34,
145 VA/A52, VA/A57, VA/A6), the ungrafted variety (VA) and self-grafted variety (VA/VA)
146 were evaluated under heat stress and control conditions.

147 The seeds of the variety and accessions were sown in 104-cell polystyrene trays
148 in a fine structure peat substrate (80% white and 20% black, pH 5.7) (Gebr. Brill,
149 Germany), on 27 December 2018. The graft was performed on 1 February 2019 by the

150 tube-grafting method (Penella et al., 2014). On 4 March 2019, plants were transferred to
151 0.5-litre pots filled with the same peat substrate, and eight plants for each graft
152 combination were randomly arranged in both growth chambers (control and heat stress
153 conditions) for 7 days, each individual plant being the experimental unit. The climatic
154 chambers conditions were 28/24 °C, day/night temperatures and 38/24 °C for control and
155 heat stress, respectively, with a 16-hour photoperiod of $450 \pm 50 \mu\text{mol m}^{-2} \text{s}^{-1}$ PPFD
156 (photosynthetic photon flux density).

157 Plants were fertigated by the ebb and flow method once at the beginning of the
158 experiment and after that using a capillary mat, with a nutrient solution containing (in
159 mmol L^{-1}): 6.6NO_3^- ; $0.5 \text{H}_2\text{PO}_4^-$; 0.5SO_4^{2-} ; 0.5NH_4^+ ; 3.0K^+ ; 1.5Ca^{2+} , 0.8Mg^{2+} and in
160 $\mu\text{mol L}^{-1}$: 15Fe^{3+} , 6Zn^{2+} , 12Mn^{2+} , 30B^{3+} , 0.8Cu^{2+} and 0.5Mo^{6+} . The electrical
161 conductivity (EC) and pH of this nutrient solution was 0.8dS m^{-1} and 6.5, respectively.

162 After 7 days under both climate conditions, relative growth rate, leaf area,
163 electrolyte leakage, chlorophyll *a* fluorescence and heat shock proteins were determined.
164 The relative growth rate (RGR) was calculated by the following formula:

165

$$166 \quad RGR = \frac{\ln W_2 - \ln W_1}{\Delta t}$$

167

168 where W_1 and W_2 were the total fresh biomass on day 0 (first day in growth chambers)
169 and day 7, respectively, and Δt was 7 days. Before carrying out each weight, the substrate
170 was saturated by submerging the tray in the nutrient solution during 24 hours and
171 afterwards leaving it to drain for 6 hours to avoid variances between W_1 and W_2 in
172 relation to the weight of the substrate. The RGR was measured for the eight plants of each
173 genotype combination and thermal conditions.

174 The leaf area of four plants for each combination and growth chamber was
175 determined using an area meter (model LI-3100C; Li-Cor, Lincoln, NE, USA).

176 To determine electrolyte leakage (EL), 18 discs of freshly cut leaves from the eight
177 plants of each genotype combination and thermal condition were obtained with a hole-
178 puncher (1.4 cm in diameter) and divided into three groups. The six discs from each group
179 were placed in 50 mL flasks together with 20 mL of distilled water. The EL in the solution
180 was calculated from the EC measures taken at 0 h (C_1) and after 2 h (C_2) at room
181 temperature with a conductivity meter (Model Seven Easy Mettler Toledo, Mettler-
182 Toledo AG, Switzerland). Total conductivity (C_3) was obtained after keeping flasks
183 frozen (-40 °C) for 24 h. The results were expressed as a percentage of total conductivity.

184

$$185 \quad EL (\%) = \frac{C_2 - C_1}{C_3 - C_1} \cdot 100$$

186

187 Chlorophyll *a* fluorescence analyses were done to evaluate the damage degree of
188 the PSII reactions. The maximum quantum yield of PSII (F_v/F_m ; where $F_v = F_m - F_o$)
189 was measured on leaves after 30-minute dark adaptation with a portable pulse amplitude
190 modulation fluorometer (PAM-2100; Walz, Effeltrich, Germany). The minimum
191 fluorescence signal for the dark-adapted leaves (F_o) was determined with a 0.5 μmol
192 $\text{photon m}^{-2} \text{s}^{-1}$ measuring light at a frequency of 600 Hz. The application of a saturating
193 flash of 10.000 $\mu\text{mol photon m}^{-2} \text{s}^{-1}$ enabled maximum fluorescence (F_m) estimations to
194 be made. Chlorophyll fluorescence parameters were measured for the eight plants of each
195 genotype combination and thermal condition.

196 To measure HSP, frozen leaf samples (after 7 days in climatic chambers) were
197 previously grounded with liquid nitrogen in a mortar until a fine powder formed. Total
198 RNA was extracted from 100 mg of sample with the Rneasy Plant Mini Kit (Qiagen,

199 USA) and was treated with the Rnase-Free Dnase Set (Qiagen, USA) to remove the
 200 remaining genomic DNA, following the manufacturer's instructions. RNA concentration
 201 and purity were measured by a NanoDrop ND-1000 spectrophotometer (Thermo
 202 Scientific, USA) and the samples showing a proper concentration and suitable
 203 A260/A280 and A260/A230 absorption ratios were used. All the RNA samples were
 204 diluted to the same concentration before reverse transcription, in which cDNA was
 205 generated using the PrimeScript™ Reagent Kit (Perfect Real Time) (Takara, Japan).

206 Three primer pairs for the (HSP) genes were selected for quantitative real-time
 207 PCR (qRT-PCR) (Table 1): ubiquitin binding protein gene (CaUBI-3) as well as
 208 glyceraldehyde-3-phosphate dehydrogenase gene (GAPDH), which were used as the
 209 reference genes (Bin et al., 2012; Wan et al., 2011). The qRT-PCR was performed by the
 210 StepOne-Plus Real-Time PCR System (Applied Biosystems, USA) and SYBR® Premix
 211 Ex Taq™ II (Takara, Japan). Expression levels were calculated by the relative standard
 212 curve procedure using three independent biological replicates which were, in turn,
 213 technically replicated 3 times. These values were normalised with the geometric mean of
 214 the two reference genes and standardized in relation to the variety values under the control
 215 conditions. HSPs were measured in three plants for each genotype combination and
 216 thermal condition.

217 **Table 1.** The primer sequences of the target HSP genes.

Gene name	Gene ID	Primer sequence	References
CaHsp70-13	CA00g89640	5' ACTTTCTACCTCAGGCGACA 3' (F) 5' CATAACTCTTCAAACCTGGCTC 3' (R)	Guo et al. (2016)
CaHsp3-Q	CA03g21390	5' CTCGATGTCTCCCCTTTTCGG 3' (F) 5' TGATGCCCTGTTTCCTTCCTG 3' (R)	Li et al. (2015)
CaHsp22.7	CA06g20260	5' AATGTTTCCACAAGAGGCTGATCC 3' (F) 5' CCTCCGTCTTCATCCCTGGTAT 3' (R)	Self-designed

F: Forward Primer; R: Reverse Primer.

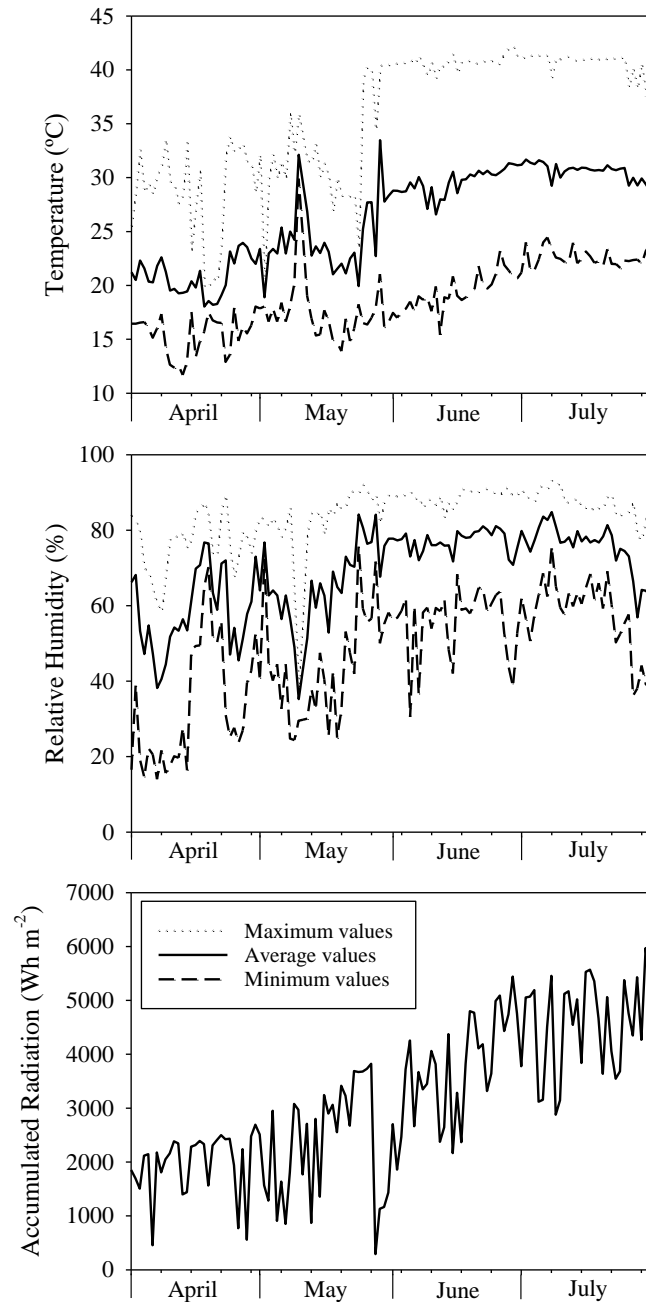
219 *2.2. Experiment 2: Agronomic evaluation of the pepper grafted plants under heat stress*
220 *conditions in a greenhouse*

221 The scion (cv. “Herminio”) grafted onto the six accessions (VA/A25, VA/A31,
222 VA/A34, VA/A52, VA/A57, VA/A6), the ungrafted variety (VA) and the self-grafted
223 variety (VA/VA) were evaluated under greenhouse conditions in soilless cultivation.

224 On 16 February 2019, the seeds of the cultivar and accessions were sown in 104-
225 cell polystyrene trays in a fine structure peat substrate (80% white and 20% black, pH
226 5.7) (Gebr. Brill, Germany). The graft was performed on 27 March 2019. On 15 April
227 2019, plants were transplanted in 6-litre pots, in a medium structure peat substrate (100%
228 white, pH 5.7) (Gebr. Brill, Germany), and placed in a Venlo-type glasshouse at a density
229 of 2.5 plants m⁻². Pots were drip-irrigated, using anti-drain Netafim® drippers of 4 L h⁻¹,
230 with a nutrient solution containing (in mmol L⁻¹): 14.0 NO₃⁻; 1.5 H₂PO₄⁻; 2.4 SO₄²⁻;
231 0.5 HCO₃⁻; 1.6 Cl⁻; 1.2 NH₄⁺; 6.0 K⁺; 5.0 Ca²⁺, 2.5 Mg²⁺; 0.2 Na⁺, and in μmol L⁻¹: 15
232 Fe³⁺, 6 Zn²⁺, 12 Mn²⁺, 30 B³⁺, 0.8 Cu²⁺ and 0.5 Mo⁶⁺. The EC and pH of this nutrient
233 solution were 2.2 dS m⁻¹ and 6.5, respectively. The volume of the solution was controlled
234 by the number of irrigations, which varied according to accumulated radiation. In
235 addition, an attempt was made to maintain drainage between 15% and 20% of the total
236 irrigation volume.

237 The heat stress conditions (day/night set point temperatures of 38/24 °C) began on
238 25 May 2019, 40 days after planting, when flower buds were observed in the second node.
239 The set fruits in the first node, if any, were removed. The temperature, relative humidity
240 and accumulate radiation values were recorded during the experiment using S8TH sensor
241 (Oratge Instruments®, Valencia, Spain) with data logger MSIP801 (BSG Ingenieros,
242 Valencia, Spain) and are presented in Fig. 1.

243



245

246 **Fig. 1.** Temperature (°C), relative humidity (%) and accumulated radiation (Wh m⁻²)

247 values inside the greenhouse.

248 The layout took a completely randomised design, based on our previous

249 experience in this greenhouse, with three replications for each genotype combination and

250 10 plants per replication.

251 Fresh weight per plant was measured weighing leaves and stems which were later
252 exposed to dry heat at 70 °C for 72h in a laboratory oven to measure dry weight.

253 EL was measured as it is described in the Experiment 1 (2.1. section).

254 Harvests were staggered between the end of June and the end of July and consisted
255 in one harvest per week, matching five harvest rounds. Marketable and non-marketable
256 production were evaluated following the criteria described by European Regulations
257 (Official Journal of the European Union, 2011). First, fruits were partitioned into two
258 categories: «Extra» Class and Class I (together hereafter they are referred to as marketable
259 yield; MY). The fruit which, due to their defects (Blossom End Rot (BER), cracking,
260 sunscald) did not reach these categories, are referred to as non-marketable yield (NMY).
261 Then, fruit yield was measured as the weight of the fruits per plant (g/plant), number of
262 fruits per plant and average marketable fruit weight (g/fruit) for all the plants of each
263 genotype combination and replication.

264

265 2.3. *Statistical analysis of data*

266 For both experiments, the results for the different parameters were evaluated by an
267 analysis of variance (ANOVA) using the Statgraphics Centurion XVII software
268 (Statistical Graphics Corporation 2014). The RGR and EL data were *arcsin*-transformed
269 before the analysis. Means were compared by the Fisher's least significance difference
270 (LSD test) at $P \leq 0.05$. Stepwise multiple regression analysis for RGR as dependent
271 variable and Fo, Fm, Fv/Fm, leaf area and EL as independent variables was performed as
272 well as correlation analyses in Experiment 1 between the abovementioned parameters
273 using the previously cited Statgraphics software.

274

275 **3. Results**

276 *3.1. Experiment 1: Physiological behaviour of pepper plants under the control and heat*
277 *stress conditions in growth chambers*

278 *3.1.1. Relative growth rate*

279 The RGR was, on average for the genotypes, lower under heat stress, with a 28.1%
280 reduction compared to the plants under the control conditions ($P \leq 0.01$; Table 2).

281 The interaction between the thermal conditions (TC) and genotypes (G) was also
282 statistically significant ($P \leq 0.01$; Table 2). The RGR of VA, VA/VA, VA/A31, VA/A34
283 and VA/A52 was negatively affected by heat stress, whereas the VA/A25, VA/A57 and
284 VA/A6 combinations were not affected by stressing temperatures (Fig. 2A). The RGR of
285 the ungrafted cultivar (VA) under the control conditions was much higher than those of
286 the grafted plants, but sharply dropped under stress conditions (Fig. 2A). The RGR of the
287 self-grafted cultivar (VA/VA) under stress was less affected than that of VA, but was also
288 negatively affected by stress. Furthermore, the RGR of VA/A25 and VA/A6 was higher
289 than that of VA under stress, but similar to the VA/VA plants under heat stress.

290 *3.1.2. Leaf area*

291 Similarly to the RGR, the leaf area was higher on average in all the plants under
292 the control conditions, with a 34.5% increase in relation to the plants under stress ($P \leq$
293 0.01 ; Table 2).

294 The interaction between the TC and G was also statistically significant, but
295 explained very little about variation ($P \leq 0.05$; Table 2). The reduction in leaf area in the
296 ungrafted variety (VA) under the stress conditions (46% in relation to its control) was
297 much higher than in the grafted plants, which were 23% on average, including the self-
298 grafted (VA/VA) one. Under the heat conditions, all the grafted combinations underwent
299 significant reduction in the leaf area compared to their controls (Fig. 2B). The leaf area

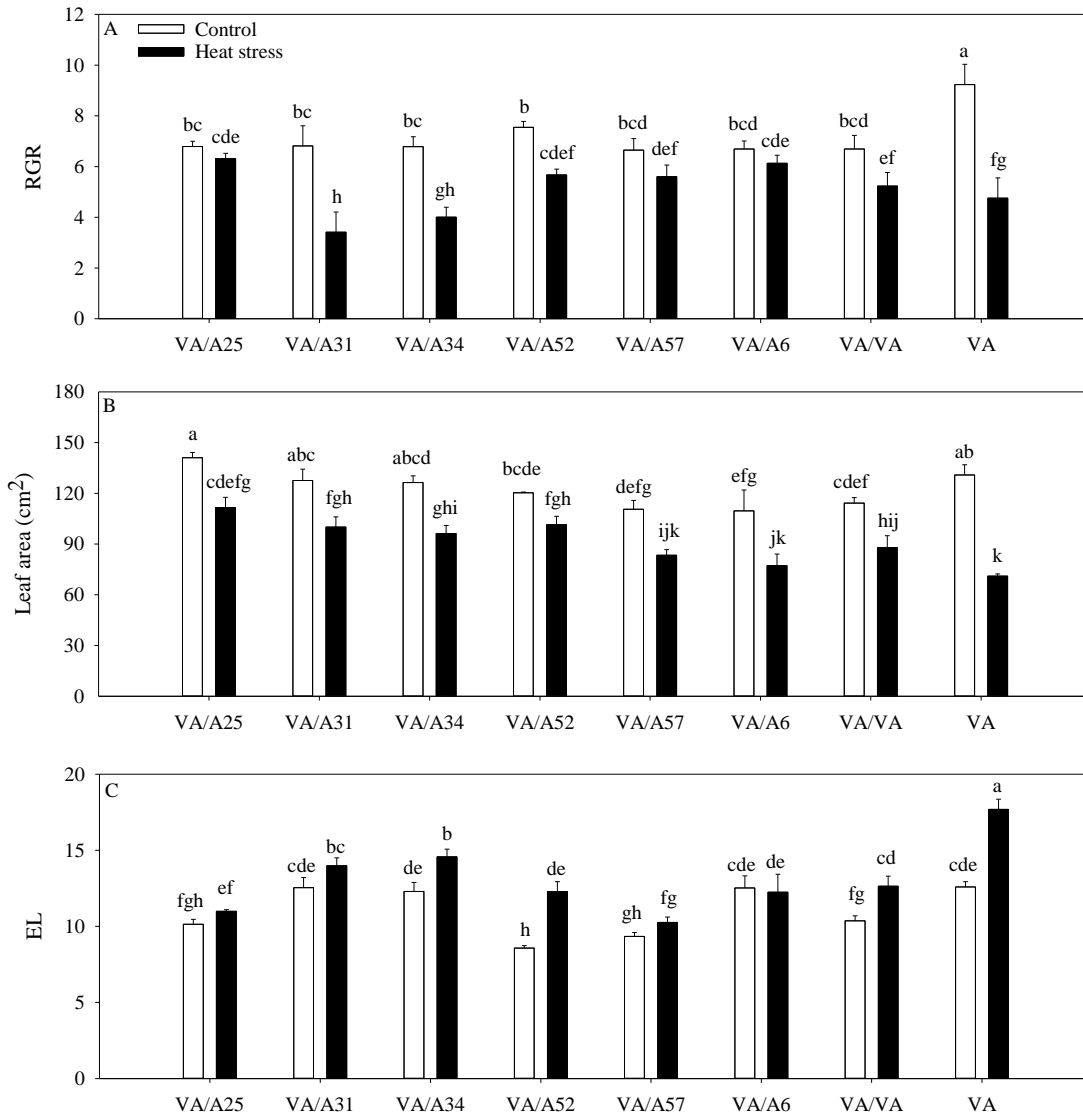
300 of the VA/A25 combination under heat stress was statistically higher than those of VA
301 and VA/VA (Fig. 2B).

302 *3.1.3. Electrolyte leakage*

303 The EL value was higher under heat stress, with an 18.4% increase compared to
304 the plants under the control conditions ($P \leq 0.01$; Table 2).

305 The interaction between the TC and genotypes G was statistically significant ($P \leq$
306 0.01; Table 2). The EL of the plants of the ungrafted cultivar (VA) under the stress
307 conditions was much higher than for the other combinations (Fig. 2C). Compared to VA,
308 the EL in the self-grafted cultivar (VA/VA) was 28.5% lower under the stress conditions
309 and 17.7% lower under the control conditions.

310 Of all the studied combinations, VA/A25, VA/A31, VA/A57 and VA/A6 showed
311 no significant differences in EL between the stress and control conditions. Moreover, the
312 EL in VA/A57 and VA/A25 lowered compared to VA/VA under stress, and was similar
313 under the control conditions. The EL in VA/A57 under the heat stress conditions was less
314 than in the VA grafted onto the other rootstocks, except for VA/A25 (Fig. 2C). Finally,
315 VA/A31 and VA/A34 had a higher EL than VA/VA under both conditions (Fig. 2C).



316

317 **Fig. 2.** Relative growth rate (RGR) (A), leaf area (B) and electrolyte leakage (EL) (C) in
 318 pepper genotypes under heat stress and the control conditions. Different letters indicate
 319 significant differences at $P \leq 0.05$ (Fisher's LSD test). Data are the mean of eight
 320 replicates for the RGR, four replicates for leaf area and three replicates for EL. Error bars
 321 represent standard error.

322 3.1.4. *Chlorophyll a fluorescence*

323 The maximum quantum yield of chlorophyll *a* fluorescence (Fv/Fm) was
324 significantly lower in all the combinations subjected to heat stress, with an 8% reduction
325 on average compared to those of the control conditions ($P \leq 0.01$; Table 2).

326 The interaction between the TC and G was also statistically significant ($P \leq 0.05$;
327 Table 2). It is highlighted that the Fv/Fm under the control conditions was similar for all
328 the G, but significant differences appeared under heat stress, where VA was much lower
329 under stress conditions than in the other genotypes, except for VA/A34 and VA/A6 (Fig.
330 3A). Significant differences between the control and stress conditions also appeared. The
331 Fv/Fm in the VA and VA/VA, VA/A6, VA/A34 and VA/A31 combinations were
332 significantly higher in the control than under stress, whereas no significant differences
333 were observed in VA/A25, VA/A52 and VA/A57 among thermal conditions (Fig. 3A).
334 In the self-grafted cultivar (VA/VA), Fv/Fm was 10.4% higher in stress compared to the
335 ungrafted VA.

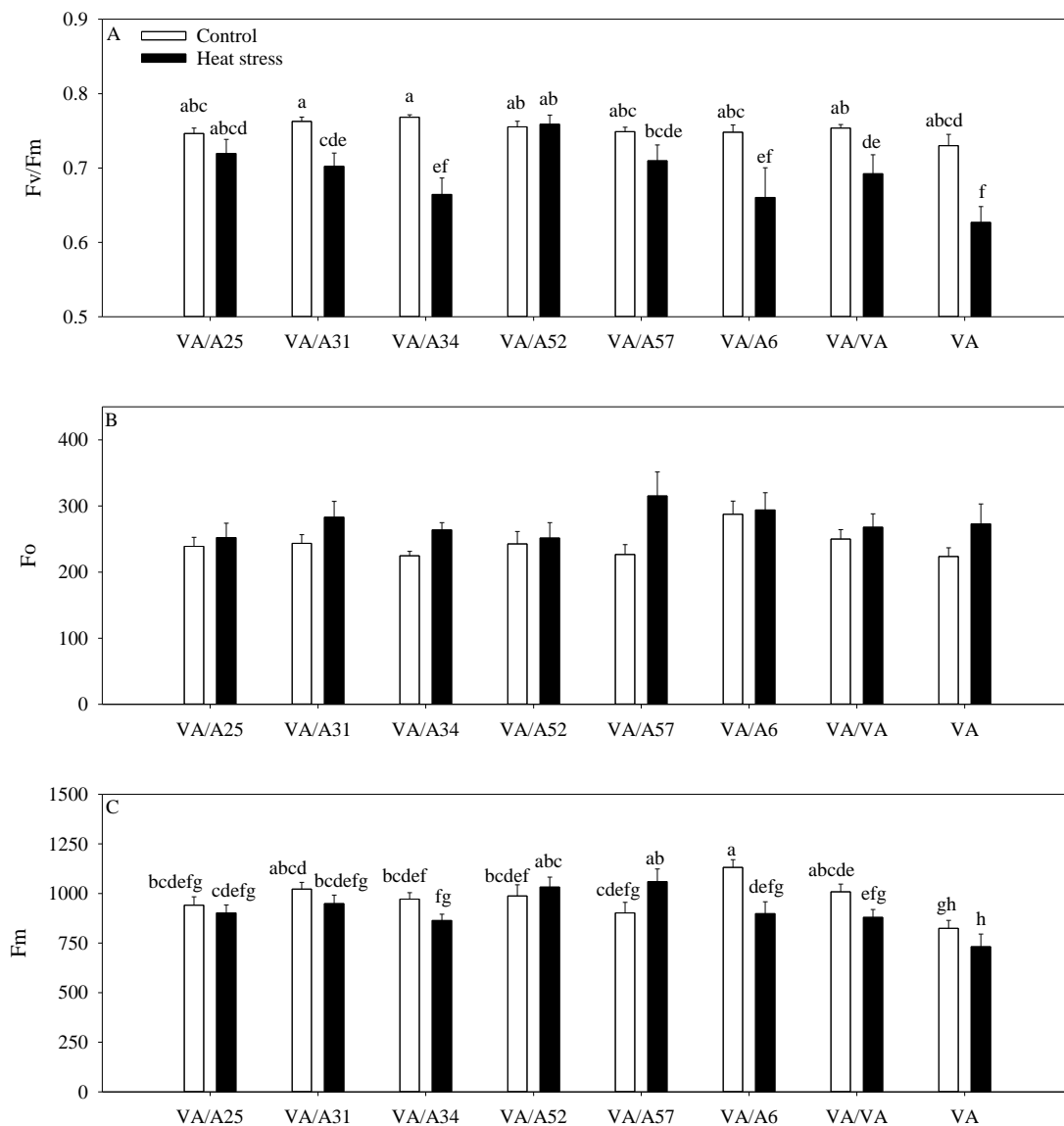
336 The minimum chlorophyll fluorescence (Fo) was, on average, lower in all the G
337 under the control conditions, with a 12% reduction compared to the plants under the heat
338 stress conditions ($P \leq 0.01$; Table 2). The interaction between the TC and G was not
339 statistically significant (Fig. 3B)

340 The maximum chlorophyll fluorescence (Fm) was lower in all the plants subjected
341 to heat stress, with a reduction of 6.1% on average compared to the plants under the
342 control conditions ($P \leq 0.05$; Table 2). The interaction between the TC and G was also
343 statistically significant ($P \leq 0.01$; Table 2). In VA/A6, Fm was significantly lower under
344 stress than under the control conditions, whereas the contrary took place in VA/A57,
345 which was higher under stress than under its control. With the other combinations, Fm
346 was not significantly different between both the stress and control conditions (Fig. 3C).

347 **Table 2.** Analysis of variance (ANOVA) of parameters relative growth rate (RGR), leaf
 348 area, electrolyte leakage (EL), Fv/Fm, Fo and Fm. % of the Sum of Squares for the factor
 349 thermal condition (TC) and genotype (G) as well as their interaction (TC*G).

ANOVA (<i>df</i>)	% Sum of Squares					
	RGR	Leaf area	EL	Fv/Fm	Fo	Fm
TC (1)	33.1 **	51.6 **	19.8 **	23.2 **	7.5 **	3.6 *
G (7)	11.6 **	21.1 **	55.5 **	12.1 **	6.3 n.s.	21.1 **
TC*G (7)	14.7 **	6.7 *	12.3 **	8.2 *	4.6 n.s.	12.4 **
Residuals	40.6	20.6	12.4	56.5	81.7	62.9
Residuals <i>df</i>	112	45	32	112	112	112
Standard Dev. ⁽⁺⁾	1.19	11.5	0.99	0.05	58.2	133

*,** indicates significant differences at $P \leq 0.05$ and $P \leq 0.01$, respectively. n.s. denotes no significant differences. ⁽⁺⁾ Calculated as the square root of the residual mean square. *df*: degrees of freedom.



350

351 **Fig. 3.** Fv/Fm (A), Fo (B) and Fm (C) chlorophyll fluorescence parameters in pepper
 352 genotypes under heat stress and the control conditions. Different letters indicate
 353 significant differences at $P \leq 0.05$ (Fisher's LSD test). Data are the mean of eight
 354 replicates. Error bars represent standard error.

355 3.1.5. Heat Shock Proteins

356 To analyze whether HSPs contributed to the rootstock-induced thermo-tolerance
 357 of pepper plants, we compared the responses of two sHSP (HSP25.8 and HSP22.7) and
 358 one HSP70 (70-13) to heat stress between plant combinations. The qRT-PCR analysis

359 indicated that the increased abundance of the transcripts of three HSP caused by heat
 360 stress was greater than under the control conditions after 7 days in climatic chambers for
 361 both grafted and ungrafted plants (Table 3).

362 The interaction between the TC and G was statistically significant for the three
 363 analysed HSPs, with $P \leq 0.05$ for HSP70-13 and $P \leq 0.01$ for sHSPs (Table 3).

364 The expression levels in HSP70 (70-13) were lower than the values obtained in
 365 sHSP (Fig. 4A). Under heat stress, the highest values went to VA, VA/VA and VA/A6.
 366 Under the control conditions, the values for the grafted plants were lower than in VA and
 367 with significant differences.

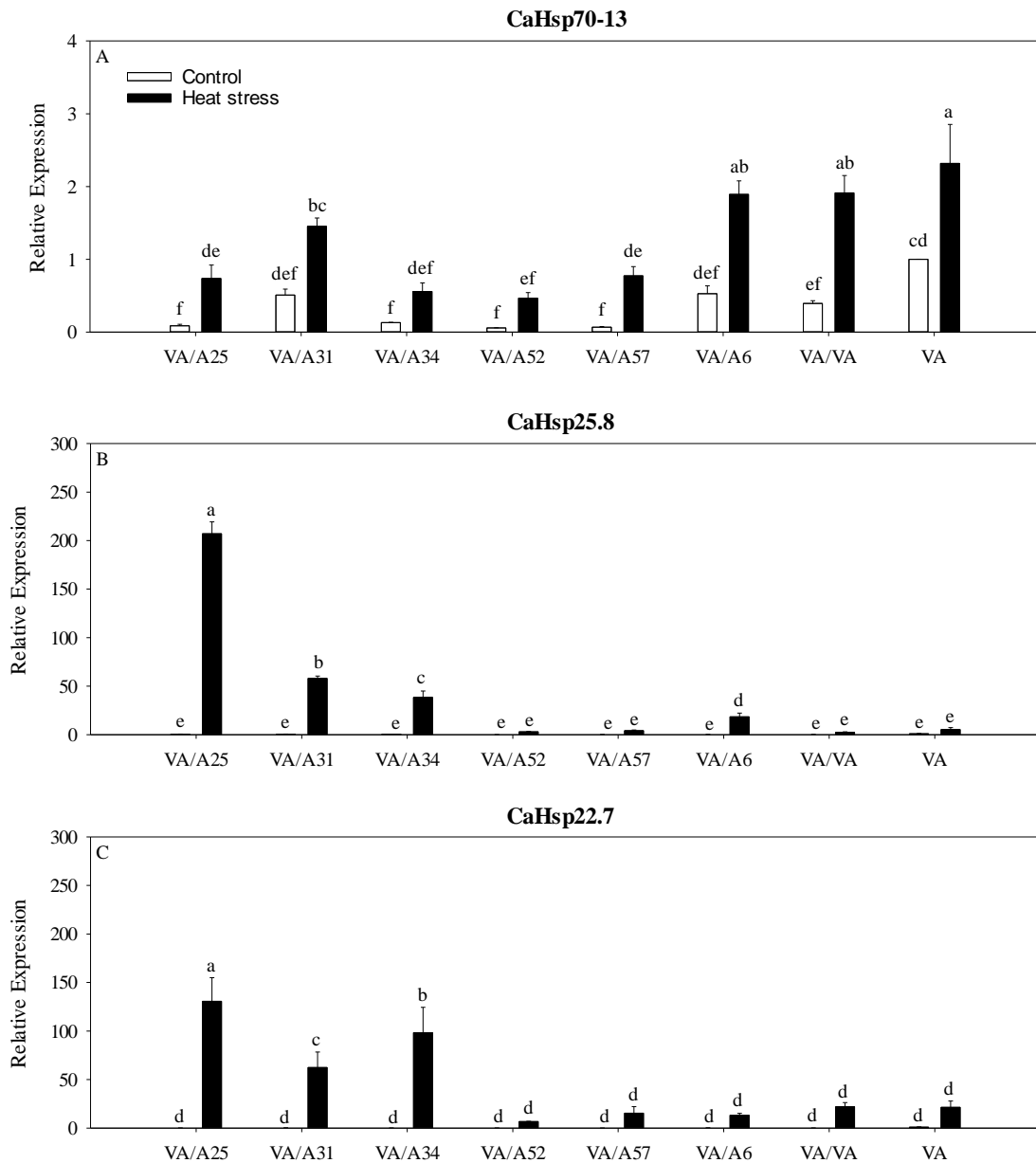
368 The levels in HSP25.8 and HSP22.7 were higher in VA/A25, followed by
 369 VA/A31 and VA/A34 (Fig. 4B, C) for both sHSPs.

370

371 **Table 3.** Analysis of variance (ANOVA) of the expression levels for HSP70-13, HSP25.8
 372 and HSP22.7. % of the Sum of Squares for the factor thermal condition (TC) and genotype
 373 (G) as well as their interaction (TC*G).

ANOVA (<i>df</i>)	% Sum of Squares		
	HSP70-13	HSP25.8	HSP22.7
TC (1)	38.6 **	16.7 **	31.6 **
G (7)	42.6 **	41.2 **	27.9 **
TC*G (7)	7.7 *	41.0 **	28.1 **
Residuals (32)	11.1	1.1	12.3
Standard Dev. ⁽⁺⁾	0.67	0.36	0.44

*,** indicates significant differences at $P \leq 0.05$ and $P \leq 0.01$, respectively. n.s. denotes no significant differences. ⁽⁺⁾ Calculated as the square root of the residual mean square. *df*: degrees of freedom.



374

375 **Fig. 4.** Relative expression for CaHsp70-13 (A), CaHsp25.8 (B) and CaHsp22.7 (C) in
 376 pepper genotypes under heat stress and the control conditions. Different letters indicate
 377 significant differences at $P \leq 0.05$ (Fisher's LSD test). Data are the mean of three
 378 replicates. Error bars represent standard error.

379 *3.1.6. Multiple regression analysis*

380 A stepwise multiple regression analysis was performed to predict RGR from the variables
 381 Fo, Fm, Fv/Fm, Leaf area and EL. The variables Fm, Leaf area and EL, statistically
 382 significantly predicted RGR, with an $F(3, 95) = 9.24$, $p < 0.0001$, and $R^2 = 0.43$,
 383 according to the model: $RGR = 0,000646957 + 0.0000129391 * Fm + 0.00003678 * Leaf$
 384 $area - 0.116089 * EL$.

385

386 *3.2. Experiment 2: Agronomic evaluation of pepper grafted plants under the heat stress*
 387 *conditions in greenhouses*

388 In the greenhouse experiment, we compared the ungrafted variety and the self-
 389 grafted to the variety grafted onto all the studied accessions under the heat stress
 390 conditions. Fresh weight of aerial part didn't show significant differences between
 391 genotypes, however dry weight and EL showed differences between genotypes with $P \leq$
 392 0.05 and $P \leq 0.01$, respectively (Table 4). DW was lower in VA/A6 compared to the other
 393 genotypes. For EL, highest values belong to VA and VA/VA, followed by VA/A25 and
 394 VA/A34, being the lowest values from VA/A52, VA/A6, VA/A57 and VA/A31.

395

396 **Table 4.** Analysis of variance (ANOVA) for fresh weight (FW), dry weight (DW) and
 397 electrolyte leakage (EL) from greenhouse experiment expressed as mean values by
 398 Genotype (G) and % of the sum of squares. Different letters in each column indicate
 399 significant differences at $P \leq 0.05$ using the LSD test.

Genotype (G)	FW (g plant ⁻¹)	DW (g plant ⁻¹)	EL (%)
VA/A25	919	193.5 a	8.89 bc
VA/A31	1184	166.2 a	7.00 c
VA/A34	1235	185.8 a	8.16 bc
VA/A52	944	177.1 a	7.62 c
VA/A57	988	167.4 a	7.10 c

VA/A6	985	121.4	b	7.11	c
VA/VA	1390	178.0	a	10.66	ab
VA	1261	202.5	a	11.72	a
<hr/>					
ANOVA (df)		% Sum of squares			
G (7)	64.07	80.88	*	50.89	**
Residuals	35.93	19.12		49.13	
<hr/>					
Standard Dev. (+)	174	15.8		1.89	
<hr/>					

* and ** indicate significant differences at $P \leq 0.05$ or $P \leq 0.01$ respectively, using the LSD test.

(+) Calculated as the square root of the residual sum of squares.

df degrees of freedom.

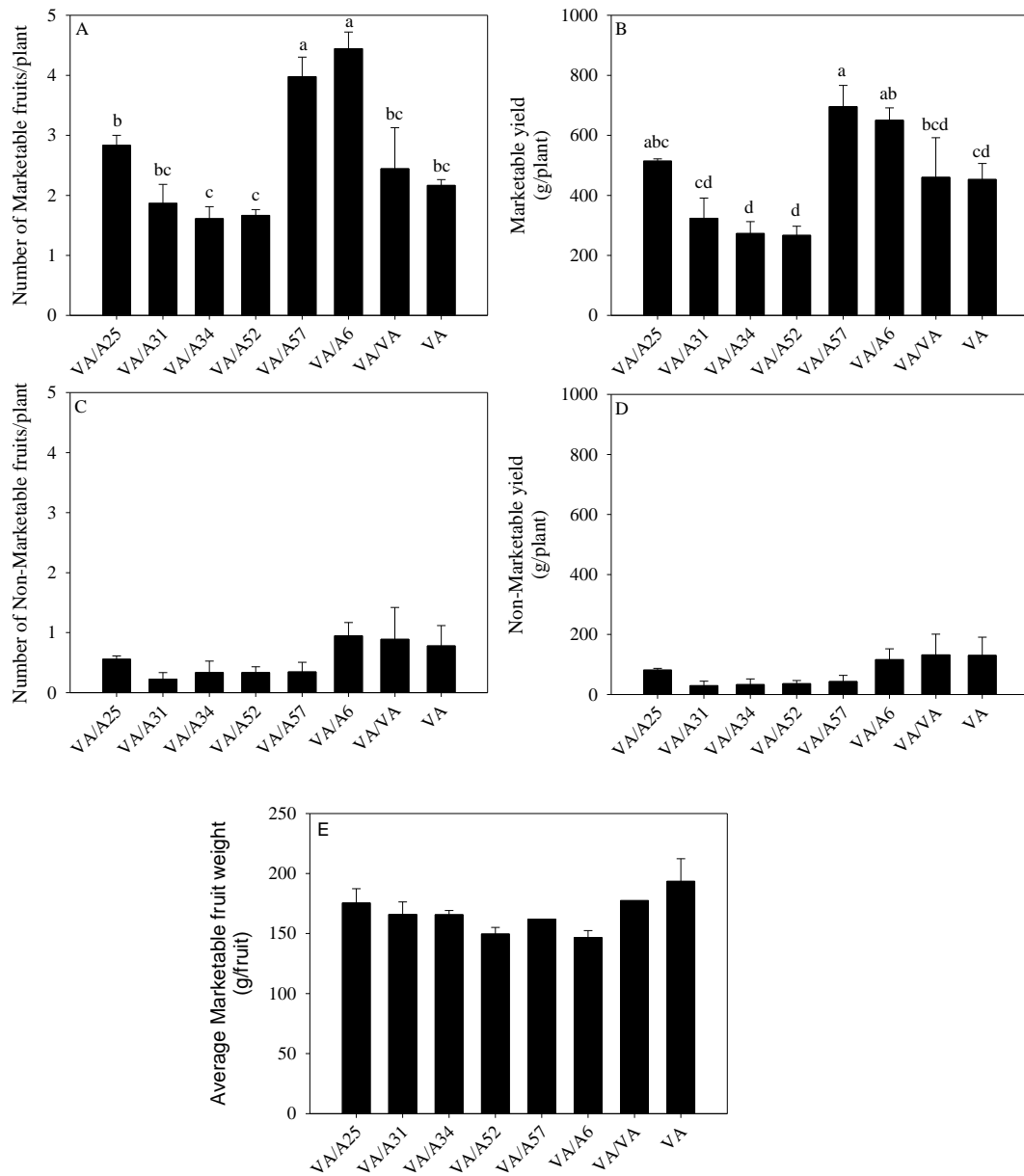
400

401 The marketable fruit number per plant of combinations VA/A57 and VA/A6 were
402 higher than those of the cultivar (VA), the self-grafted cultivar (VA/VA) and the other
403 combinations ($P \leq 0.01$; Fig. 5A). The MY of VA/A57 was significantly higher than those
404 of VA and VA/VA (Fig. 5B). The MYs of VA/A25 and VA/A6 were also high, but not
405 significantly different from that of VA/A57, but were also significantly different to those
406 of VA and VA/VA.

407 No significant differences between the different grafted genotypes and the
408 ungrafted cultivar were observed for the number of non-marketable fruits per plant, non-
409 marketable yield and average marketable fruit weight ($P \leq 0.05$; Fig. 5C, D, E).

410

411



412

413 **Fig. 5.** Number of marketable fruits per plant (A), marketable yield (g/plant) (B), number
 414 of non-marketable fruits per plant (C) and non-marketable yield (g/plant) (D) and average
 415 marketable fruit weight (g/fruit) (E) in pepper genotypes under heat stress conditions.
 416 Different letters indicate significant differences at $P \leq 0.05$ (LSD test). Data are the mean
 417 of three replicates. Error bars represent standard error.

418 **4. Discussion**

419 It is well-known that heat stress causes deleterious effects on growth. Temperature
420 levels above 35 °C have been found to limit *Solanaceae* cultivation (Schwarz et al., 2010).
421 These high temperatures as either heat shock or prolonged high temperatures dramatically
422 affect growth, although studies of physiological responses to prolonged warming are rare
423 (Wang et al., 2020).

424 In our experiments, we analysed the effect of high temperatures (38 °C, 10 °C
425 above the control) for 7 days, which are usual conditions in the Mediterranean Region or
426 hot climates in greenhouse crops (López-Marín et al., 2013) to simulate a heat wave. Our
427 results showed both the negative effect of high temperatures on pepper plants and the
428 possibility of tolerating heat stress using appropriate rootstocks.

429 One of the most important effects of high temperature on pepper crops from the
430 economic-agronomy point of view is reduced growth and yields. The present study found
431 that the highest RGR in pepper plant seedlings was observed in the genotypes grown
432 under the control conditions, and the RGR was dependent on the accessions used as a
433 rootstock. The pepper cultivar grafted onto accessions A25, A57 and A6 maintained plant
434 growth, with no significant differences between heat stress and the control treatment.
435 However, the cultivar grafted onto accessions A31, A34, A52, as well as the self-grafted
436 cultivar and the cultivar itself, displayed reduced growth. These results suggest that RGR
437 is dependent on the adaptability of roots to aerial temperature. Similar effects have been
438 made by Rivero et al. (2003), who also observed that the dry weight of tomato plants
439 grown at 35 °C lowered more in non-grafted plants than grafted plants. Li et al. (2014b)
440 observed better shoot growth in cucumber grafted onto tolerant luffa at 36 °C than in
441 ungrafted cucumber. However, in our experiments, the conservation into RGRs in
442 VA/A25, VA/A57 and VA/A6 at high temperature was unable to preserve the leaf area.
443 We observed reduced leaf areas in all the plant combinations under high temperature.

444 This result could indicate that these grafted plants increased leaf thickness that acted as
445 an adjustment strategy to acclimate at high temperature (Shu et al., 2016; Wahid et al.,
446 2007) and to sustain the RGR.

447 The reduction in the RGR by high temperature is related to physiological and
448 metabolic changes in plant cells. One of the most sensitive physiological alterations to
449 heat stress is increased membrane fluidity due to protein denaturation and increased levels
450 of unsaturated fatty acids with effects on plasma membrane stability (Hu et al., 2020b;
451 Wang et al., 2019). The amount of EL associated with membrane thermostability has been
452 successfully employed to evaluate heat stress in several crops (Nadeem et al., 2018),
453 including pepper (Wang et al., 2019), where heat-sensitive genotypes underwent greater
454 membrane injury than heat-tolerant ones (Li et al., 2015). Some studies found low EL in
455 tomato-grafted plants under heat stress conditions compared to ungrafted plants
456 (Abdelhafeez et al., 1975; Abdelmageed and Gruda, 2009). Our study at growth chambers
457 observed no significant differences in EL between the plants under heat stress and the
458 control in the VA/A25, VA/A57, VA/A6 and VA/A31 combinations. What is more, under
459 the stress conditions the EL values in VA/A25 and VA/A57 were lower than in the VA
460 and VA/VA ones. This agrees with the RGR values of VA/A25 and VA/A57. Similar
461 results were obtained in greenhouse conditions, where EL values were higher for VA and
462 VA/VA.

463 The diminished membrane stability under heat stress could lead to increased
464 thylakoid membrane fluidity (Prasad et al., 2008). Photosynthesis is one of the most
465 sensitive processes to abiotic stresses via alterations to the photosynthetic apparatus
466 (Zhou et al., 2015), and photosystem II (PSII) is regarded a sensitive and heat-labile
467 component (Čajánek et al., 1998; Mathur et al., 2011). In our experiments, Fv/Fm under
468 heat stress was lower than for the control conditions, which demonstrates that heat stress

469 affects Fv/Fm. This ratio lowered in combinations VA/A31, VA/A34, VA/A6, VA/VA
470 and VA under heat stress compared to their controls. A downfall of Fv/Fm may be the
471 result of a drop in the rate constant of PSII that leads to a rise in Fo, whereas an increase
472 in non-radiative energy dissipation leads to Fo and Fm to lower (Guidi et al., 2019;
473 Kitajima and Butler, 1975). Fo remained unchanged in all the treatments and plant
474 combinations, which indicates that heat stress did not induce modifications either at the
475 antenna pigment level or in the excitation trapping efficiency at the active centres of PSII
476 (Calatayud and Barreno, 2001). Fm behaviour was more erratic and dependent on plant
477 combinations. Fm showed significant differences between heat stress and the control in
478 VA/A6 and VA/A57, of which the last one showed an increased Fm vs. its control which
479 could be due to an enhanced electron transport rate as Fv/Fm was unmodified.

480 The Fv/Fm ratio has been used as an early indicator of heat stress (Poudyal et al.,
481 2018; Tsai et al., 2019; Zhou et al., 2015) and it allowed screening plants to heat stress
482 by validating a negative correlation between high Fv/Fm values and the heat injury index
483 in fruit set or the RGR (Poudyal et al., 2018). These results could indicate that Fv/Fm
484 under our heat stress conditions could not be used as an earlier indicator of heat stress
485 because the drop in Fv/Fm observed in some plant combinations could be due to a non-
486 photochemical increase (Calatayud and Barreno, 2001; Wang et al., 2020) and it was not
487 possible to separate heat damage from dynamic photoinhibition to preserve PSII.

488 In order to determine which physiological index contributed most to RGR under
489 heat stress in growth chambers the regression analysis was analysed. EL is the
490 physiological index that most contributed to explain the RGR variation. In fact, the most
491 sensitive component under heat stress is the plasma membrane and it is the primary sites
492 of injury driving to increase in EL (Wise et al., 2004).

493 Protein biosynthesis motivates plant growth and development as an essential
494 biological process (Hu et al., 2020b; Li et al., 2018; Shalgi et al., 2013). HSPs have
495 evolved in plants as chaperon proteins to prevent protein denaturation and aggregation,
496 and represent an essential role played under heat stress (Barua et al., 2003; Hu et al.,
497 2020b). It is well established that plant exposure to heat shock increases HSP
498 accumulation, but very few studies have examined HSP accumulation over many
499 consecutive days (Wang et al., 2020), which often occurs during heat waves under natural
500 conditions. In this study, we analysed the relative expression of HSP70 and two small
501 HSPs, sHSP25.8 and sHSP22.7, in grafted and ungrafted pepper plants under the control
502 and high temperature for 7 days. The expression of the three HSPs was higher under heat
503 stress compared to the control conditions. These results indicate that high temperature up-
504 regulates the expression of HSPs, which agrees with Li et al. (2014b) for cucumber
505 grafted plants and Wang et al. (2020) for *Arabidopsis*. However, relative HSP expression
506 levels differ depending on HSPs and plants combinations. Our results showed that HSP70
507 expression increased significantly in relation to the control in all the plants, except for
508 VA/A34 and VA/A52. Higher HSP70 expression has been detected in leaves of cucumber
509 grafted onto luffa after 12 h, 36 h or 25 days (Li et al., 2016, 2014a, 2014b) at high
510 temperature, but not in roots (rootstock) as HSP70 was not present in the xylem (Li et al.
511 2014a). The leaves of our VA plant displayed the highest HSP70 expression. This
512 indicates that aerial parts induced HSP70 synthesis but it can't be discarded that stress
513 signal comes from root to shoot (Li et al., 2014a) that can modulate the response of HSP70
514 synthesis in leaves similarly to the grafted plants with a low HSP70 expression. However,
515 different heat temperature acclimation may induce distinct heat response pathways or
516 other HSP70 or HSPs can act in heat response after 7 days.

517 We observed differential expression behaviour between sHSPs and HSP70. The
518 sHSPs expression levels were higher than in HSP70 and were up-regulated by heat stress
519 in all the plants. The accumulation of sHSPs was greater in VA/A25, and also in VA/A31
520 and VA/A34, but VA/A6 only showed significant differences in sHSP25.8 comparing
521 heat stress and control conditions. Unlike HSP70, no expression was observed in sHSPs
522 in VA or VA/VA at high temperature, which indicates different plant combinations affect
523 the expression of sHSPs that can be modulated by rootstocks with varying degrees of
524 sHSPs synthesis. To date, as we are unaware of any research studies on sHSPs in pepper
525 grafted plants, we herein present the first evidence that sHSPs were up-regulated by 7 day
526 heat stress period.

527 In fact the accumulation of HSPs and sHSPs plays a key role in both the heat stress
528 response and acquired thermo-tolerance in plants (Wang et al., 2020; Zhou et al., 2011).
529 Nevertheless, the mechanism by which the protective effects of HSPs on plant cells can
530 be achieved is attributed to the chaperone machinery network, in which different
531 HSPs/chaperones act cooperatively in connection with other signal and metabolic
532 processes (Wang et al., 2004). Furthermore, distinct heat response pathways for thermo-
533 tolerance or thermo-acclimation can be induced depending on the applied heat regime.
534 Nevertheless after the analysis of HSPs, as we were unable to distinguish if rootstocks'
535 different degrees of tolerance correlated positively with fruit yield or the RGR, additional
536 studies will be necessary to understand the complex network of HSPs.

537 Regarding the results obtained in experiment 2, the low yields were the result of
538 both the severe heat stress suffered by plants and stressing temperatures, which reached
539 40 °C and strongly affected the flowering and fruit set processes (Erickson and Markhart,
540 2002; Yamazaki and Hosokawa, 2019), as well as the employed short crop cycle.
541 However, the obtained low yields confirmed the observations made in the controlled

542 experiment, where we observed that some rootstocks, A6, A25, and particularly A57,
543 could better tolerate heat stress than the cultivar itself or the self-grafted cultivar
544 according to the measured physiological parameters.

545 Apart from all these observations, it is interesting to point out that Penella et al.
546 (2016) and López-Serrano et al. (2019) observed how the A25 accession used as a
547 rootstock displayed higher photosynthesis and biomass than the ungrafted cultivar under
548 saline or water stress. In the present study, we confirmed that the VA/A25 combination
549 had higher RGR, leaf area and Fv/Fm values and lower EL than the ungrafted cultivar
550 under the heat stress conditions. These results may indicate that, despite heat stress
551 affecting aerial plant parts more, heat stress tolerance may be mediated by radical plant
552 parts. Nevertheless, more studies are needed to confirm this hypothesis.

553

554 **5. Conclusions**

555 By way of conclusion, we observed how some genotypes can confer a certain
556 degree of heat stress tolerance when used as rootstocks for a pepper cultivar by
557 maintaining EL, which finally maintained the Fv/Fm ratio and resulted in a higher RGR
558 and bigger fruit yields compared to the ungrafted cultivar. Accession A57 performed the
559 best of all those we tested, and clearly its advantages are due to its genetic performance
560 and not to a significant effect of the graft itself.

561

562 **Author contribution statement**

563 RG-M, SL-G and AC conceived and designed the experiments. RG-M, YGP, M-
564 RM-C and AC performed the experiments. All authors have analyzed the data and
565 discussed the study results. RG-M, SL-G and AC wrote the paper.

566

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573

574 **Declaration of Competing Interest**

575 The authors report no declarations of interest.

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