

CONTENTS

<i>RESUMEN</i>	<i>iii</i>
<i>RESUM</i>	<i>iv</i>
<i>ABSTRACT</i>	<i>v</i>
<i>Agradecimientos</i>	<i>vi</i>
<i>INDEX OF FIGURES</i>	<i>xi</i>
<i>INDEX OF TABLES</i>	<i>xiii</i>
<i>CHAPTER I</i>	<i>14</i>
1 <i>Introduction</i>	<i>14</i>
1.1 Presentation	<i>15</i>
1.2 Objectives of the doctoral thesis and research questions.....	<i>16</i>
1.3 Research methodology.....	<i>18</i>
1.4 Structure of the doctoral thesis	<i>18</i>
1.5 References	<i>20</i>
<i>CHAPTER II</i>	<i>23</i>
2 <i>Digital twin enabling intelligent scheduling in ZDM environments: an overview</i>	<i>23</i>
2.1 Introduction.....	<i>24</i>
2.2 Review methodology.....	<i>24</i>
2.3 Overview of DT-based smart scheduling in ZDM.....	<i>25</i>
2.4 Taxonomy	<i>28</i>
2.5 Discussion	<i>29</i>
2.6 Conclusions.....	<i>30</i>
2.7 References	<i>31</i>
<i>CHAPTER III</i>	<i>34</i>
3 <i>Smart manufacturing scheduling: a literature review</i>	<i>34</i>
3.1 Introduction.....	<i>36</i>
3.2 Review methodology.....	<i>40</i>

3.3	Literature review	52
3.3.1	Thematic analysis	52
3.3.2	Content analysis	54
3.3.3	Taxonomic analysis.....	62
3.4	Discussion.....	74
3.5	Conclusions.....	88
3.6	References.....	91
CHAPTER IV		104
4	<i>Smart Digital Twin for ZDM-based job shop scheduling</i>	<i>104</i>
4.1	Introduction.....	105
4.2	Literature review	107
4.3	Proposal	112
4.4	Discussion.....	116
4.5	Conclusions.....	117
4.6	References.....	118
CHAPTER V		122
5	<i>Toward smart manufacturing scheduling from an ontological approach of job shop uncertainty sources</i>	<i>122</i>
5.1	Introduction.....	123
5.2	Literature review	125
5.3	Ontological framework	129
5.4	Discussion.....	133
5.5	Conclusions.....	136
5.6	References.....	137
CHAPTER VI		141
6	<i>Interoperability as a Supporting Principle of Industry 4.0 for Smart Manufacturing Scheduling: A Research Note</i>	<i>141</i>
6.1	Introduction.....	143
6.2	Literature background.....	145
6.3	Analysis and discussion	149
6.4	Concluding remarks	152
6.5	References.....	153
CHAPTER VII		156

7	<i>Development of a multidimensional conceptual model for job shop smart manufacturing scheduling from the Industry 4.0 perspective</i>	156
7.1	Introduction.....	158
7.2	Literature background	160
7.2.1	Literature review methodology	161
7.2.2	Content and taxonomic analysis	167
7.3	Conceptual model	172
7.3.1	SMS Dimensions.....	173
7.3.2	SMS Conceptual model	177
7.4	Conclusions.....	185
7.5	References	188
	CHAPTER VIII.....	196
8	<i>Deep Reinforcement Learning for the Job Shop Scheduling Problem: Reference Axes for Modelling, Implementation and Validation.....</i>	196
8.1	Introduction.....	198
8.2	Literature background	199
8.3	Analysis of reference models	202
8.4	Discussion	206
8.5	Conclusions	209
8.6	References	211
	CHAPTER IX.....	217
9	<i>Job shop smart manufacturing scheduling by deep reinforcement learning</i>	217
9.1	Introduction.....	219
9.2	Preliminaries.....	224
9.2.1	The dynamic JSSP	225
9.2.2	Markov decision process and reinforcement learning for the job shop	226
9.2.3	Deep learning methodology.....	228
9.2.4	Proximal policy optimisation algorithm	230
9.3	Related works	231
9.3.1	First approach	234
9.3.2	Second approach.....	236
9.3.3	Third approach	238
9.3.4	Fourth approach.....	239
9.4	Methodology	240
9.4.1	Description of the JS-SMS environment.....	240
9.4.2	State space and representation	243
9.4.3	Action space and representation	248

9.4.4	Reward shaping	251
9.4.5	Implementation framework	252
9.4.6	Neural networks and PPO hyperparameters.....	255
9.5	Experimental study	257
9.5.1	Experimentation environment	258
9.5.2	Experimentation strategy	258
9.5.3	Agent training	261
9.5.4	Experimentation results	265
9.6	Conclusions.....	272
9.7	References.....	277
CHAPTER X		283
10	<i>Conclusions and further research.....</i>	283
10.1	Research contributions	284
10.2	Future research lines.....	293
10.2.1	Research lines at the theoretical level.....	293
10.2.2	Research lines at the modelling level	293
Appendices.....		A-1
Appendix III-1. Keywords used in the queries.....		A-2
Appendix III-2. Search strings.		A-3
Appendix III-3. Irrelevant subject areas.....		A-6
Appendix III-4. General document classification.....		A-7
Appendix III-5. The scheduling concept in the reviewed literature.		A-17
Appendix III-6. The digital twin technology in the reviewed literature.		A-23
Appendix III-7. The zero-defects manufacturing management model in the reviewed literature.		A-32
Appendix VII-1. Content analysis of the selected literature.....		A-34

INDEX OF FIGURES

Figure 1.1. General outline of the doctoral thesis.....	20
Figure 3.1. Research framework.....	41
Figure 3.2. Searches, collection, quality evaluation, analysis and synthesis methodology diagram.....	42
Figure 3.3. Results of the search sources	45
Figure 3.4. Annual distribution of publications.....	49
Figure 3.5. Document types and articles per journal	50
Figure 3.6. Geographic distribution of affiliations.....	51
Figure 3.7. Institutions with the most active role in the literature.....	51
Figure 3.8. Geographic distribution of published documents.	52
Figure 3.9. Co-occurrence analysis map.....	53
Figure 3.10. Co-occurrence relations of the DT and the ZDM concepts in the literature review.....	54
Figure 3.11. Research methodologies.	56
Figure 3.12. Industrial sectors.	56
Figure 3.13. Conceptual schemes.	57
Figure 3.14. Scheduling process.	59
Figure 3.15. DT technology.	61
Figure 3.16. ZDM management model.	63
Figure 3.17. SMS classification map.	73
Figure 4.1. General SDT-based manufacturing environment	113
Figure 4.2. Setup of the SDT for ZDM-based job shop scheduling.....	114
Figure 5.1. SMS domain setup supported by ontological framework and based on digital twinning.	130
Figure 5.2. Classes established within the ontological framework (Protégé's Ontograf tab).....	131
Figure 5.3. Classes and Subclasses.....	132
Figure 5.4. Entities' attributes (on the left) and relationships between the entities (on the right) of the ontological framework.....	133
Figure 5.5. Example of a hypothetical individuals set.....	135
Figure 6.1. Vectors promoting interoperability in SMS.	151
Figure 7.1. Searches, collection, quality evaluation, analysis and synthesis methodology diagram (based on Serrano-Ruiz et al. [4])......	163
Figure 7.2. Graphical representation of the research framework, sum of the conceptual spaces:.....	166
Figure 7.3. Main characteristics of the selected papers in the literature on SMS.	169

Figure 7.4. The DT modelling approach used in the selected papers in the literature on SMS.....	169
Figure 7.5. ZDM and interoperability approaches of the selected papers in the literature on SMS.....	170
Figure 7.6. SMS modelling process (based on Hernández et al. [50]).....	173
Figure 7.7. SMS classification map (source: Serrano-Ruiz et al. [4]).	174
Figure 7.8. SMS dimensions: core tools, assisting technologies and capabilities.....	175
Figure 7.9. DT as a core enabling tool: The interrelations considered in the model among the SMS dimensions.....	177
Figure 7.10. ZDM as a core enabling tool: The interrelations considered in the model among the SMS dimensions.....	178
Figure 7.11. General scheme of the SMS model and its topological framing within job shop scheduling.....	179
Figure 7.12. The SMS flow chart in the operation mode.....	182
Figure 7.13. The SMS flow chart in the training mode.....	183
Figure 9.1. The knowledge field involved in this research.....	224
Figure 9.2. Reinforcement learning in an MDP time step.....	227
Figure 9.3. Main approaches to model the states and action spaces in the literature on the JSSP addressed by DRL.....	232
Figure 9.4. Example of a job shop environment with m machines and their respective buffers with a different queuing situation, from idle machines with empty buffers, such as Machine 3, to running machines with full buffers, such as Machine 2.....	242
Figure 9.5. Individual 30-4 as example of the behaviour (i).....	263
Figure 9.6. Individual 10-2 as an example of behaviour (ii).....	263
Figure 9.7. Individual 20-8 as an example of behaviour (iii).....	263
Figure 9.8. Individual 60-2 as an example of behaviour (v).....	264
Figure 9.9. Individual 20-1 as an example of behaviour (iv).....	264
Figure 9.10. Performance radial graph for instances of size (10 x 3 x 3).....	269
Figure 9.11. Performance radial graph for instances of size (20 x 3 x 3).....	269
Figure 9.12. Performance radial graph for instances of size (30 x 4 x 4).....	270
Figure 9.13. Performance radial graph for instances of size (40 x 4 x 4).....	270
Figure 9.14. Performance radial graph for instances of size (60 x 5 x 5).....	271
Figure 9.15. Performance radial graph for instances of size (50 x 5 x 5).....	271

INDEX OF TABLES

Table 1.1. Statement of the specific objectives	16
Table 2.1. DT-based scheduling in a ZDM environment	25
Table 2.2. DT enabling scheduling	26
Table 2.3. Scheduling in ZDM environments	26
Table 2.4. Other frameworks.....	27
Table 2.5. Summary of the overview	28
Table 3.1. Conceptual space 1 (scheduling \cap DT \cap ZDM) in supply chain 4.0. Source: Based on Serrano et al [2].	41
Table 3.2. Selected literature.....	46
Table 3.3. Authors with more publications in the literature review ($P>3$)....	50
Table 5.1. Literature related to scheduling ontologies in productions environments subject to stochasticity.....	125
Table 5.2. Literature related to ontological engineering of scheduling process.....	128
Table 6.1. Concept definition.....	146
Table 7.1. Main terms definition.....	160
Table 7.2. Selected literature on the conceptual space defined by the SMS and job shop concepts.....	164
Table 7.3. Selected literature on the conceptual space defined by interoperability \cap job shop \cap scheduling.....	166
Table 8.1. Search query data	200
Table 8.2. Selected literature.....	200
Table 8.3. Approaches and tools for DRL modelling, implementation, and validation of the JSSP	203
Table 9.1. State features.	243
Table 9.2. Default hyperparameters of the multi-input actor-critic policy of the PPO algorithm in SB3.....	256
Table 9.3. Characteristics of the test instance suite.	259
Table 9.4. Variations in the model used in the battery of experiments.....	260
Table 9.5. Different behaviours during training.....	262
Table 9.6. Summary of results for the 10 instances of size (10 x 3 x 3).....	266
Table 9.7. Summary of results for the 10 instances of size (20 x 3 x 3).....	266
Table 9.8. Summary of results for the 10 instances of size (30 x 4 x 4).....	266
Table 9.9. Summary of results for the 10 instances of size (40 x 4 x 4).....	267
Table 9.10. Summary of results for the 10 instances of size (50 x 5 x 5).	267
Table 9.11. Summary of results for the 10 instances of size (60 x 5 x 5).	267