

# $k$ -spaces of non-domain-valued geometric points

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## ABSTRACT

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*The aim of this paper is to study the topological properties of algebraic sets with zero divisors. We impose a subbasic topology on the set of proper ideals of a  $k$ -algebra and this new “ $k$ -space” becomes a generalization of the corresponding Zariski space. We prove that a  $k$ -space is  $T_0$ , quasi-compact, spectral, and connected. Moreover, we study continuous maps between such  $k$ -spaces. We conclude with a question about construction of a sheaf of  $k$ -spaces similar to affine schemes.*

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## 1. INTRODUCTION

In the introduction of [2], Grothendieck described the process of getting the spectrum of prime ideals (also called geometric points) starting from a system of polynomial equations. In brief, it is as follows.

Suppose  $k$  is a commutative ring with identity. Let  $P_I = k[(x_i)_{i \in I}]$  be a ring of polynomials in the indeterminates  $x_i$  with coefficients in  $k$ , and  $I$  be an index set (not necessarily finite). Let  $S = \{p_j\}_{j \in J}$  be a system of polynomials of  $P_I$ , where the index set  $J$  is also not necessarily finite. An element  $a = (a_i)_{i \in I}$  of a  $k$ -algebra  $A$  is called a *solution* of the system  $S$  if  $p_j(a) = 0$  for all  $j \in J$ .

If  $\text{Alg}_k$  and  $\text{Sets}$  respectively denote the categories of  $k$ -algebras and sets, then a functor

$$\mathcal{V}_S: \text{Alg}_k \rightarrow \text{Sets}$$

represents the solutions of some system  $S$  of polynomial equations with coefficients in  $k$  if and only if  $\mathcal{V}_S$  is representable, *i.e.*,  $\mathcal{V}_S$  is isomorphic to  $\mathcal{R}_A$  for some object  $A$  in  $\text{Alg}_k$ . Conversely, for every object  $A$  in  $\text{Alg}_k$  the representable functor  $\mathcal{R}_A$  is isomorphic to some  $\mathcal{V}_S$ . The functor  $\mathcal{R}_A$  is called the *affine algebraic space over  $k$*  represented by  $A$ . The category  $\text{Aff}_k$  of affine algebraic spaces has representable functors  $\mathcal{R}_A$  ( $A$  is an object in  $\text{Alg}_k$ ) as objects and morphisms are defined as natural transformations, *i.e.*, they are the induced maps

$$\mu(f): \text{Hom}_{\text{Alg}_k}(A, B) \rightarrow \text{Hom}_{\text{Alg}_k}(A', B)$$

obtained from morphisms  $f: A' \rightarrow A$  in  $\text{Alg}_k$ . An  $A'$ -valued point is a  $k$ -algebra homomorphism  $f: A \rightarrow A'$ , *i.e.*,  $f$  is an element of the set  $\text{Hom}_{\text{Alg}_k}(A, A')$ . If we restrict  $A'$  to be an object of the full subcategory  $\text{Field}_k$  of  $\text{Alg}_k$  then the elements of  $\text{Hom}_{\text{Alg}_k}(A, A')$  are called *geometric points*.

We define an equivalence relation between geometric points as follows. We say two geometric points  $f': A \rightarrow A'$  and  $f'': A \rightarrow A''$  are equivalent if there exists a third geometric point  $f: A \rightarrow A_1$  and  $k$ -algebra morphisms  $g': A' \rightarrow A_1$  and  $g'': A'' \rightarrow A_1$  such that

$$f = g'' \circ f'' = g' \circ f' \tag{1.1}$$

*i.e.*, the following diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{f'} & A' \\ f'' \downarrow & \searrow f & \downarrow g' \\ A'' & \xrightarrow{g''} & A_1 \end{array}$$

Since  $g'$  and  $g''$  are monomorphisms, we observe that the condition (1.1) is equivalent to  $\ker f' = \ker f''$ . Therefore, the equivalence classes of the above relation are in bijection with the prime ideals of  $A$ . Now there is a bijection between geometric points and prime ideals of a  $k$ -algebra  $A$ . The *loci* of a  $k$ -algebra  $A$  is the equivalence classes of geometric points.

The *spectrum* of  $A$  (denoted by  $\text{Spec } A$ ) is defined as the set of prime ideals of  $A$ , *i.e.*,  $X = \text{Spec } A = \{\mathfrak{p} \mid \mathfrak{p} \text{ is a prime ideal of } A\}$ . For  $S = \{p_j\}_{j \in J}$  be a system of polynomials of  $P_I$ , let  $\mathcal{V}(S)$  be the subset of  $\text{Spec } A$  defined by

$$\mathcal{V}(S) = \{\text{set of loci of } u \in \mathcal{R}_A(A') \mid f_i(u) = 0, \forall_{i \in I} f_i \in S\},$$

where  $f_i(u)$  is defined by  $f_i(u) = u(f_i)$ . From the above definition of  $\mathcal{V}(S)$ , we immediately see

$$\mathcal{V}(S) = \{\mathfrak{p} \in X \mid S \subseteq \mathfrak{p}\}.$$

From the above, we observe that in order to obtain the  $\text{Spec } A$ , we worked with the full subcategory  $\text{Field}_k$  of  $\text{Alg}_k$ .

If we remove this ‘restriction’ on the *k*-algebra, what we obtain is a spectrum  $\text{Idl } A$  of all ideals (instead of prime ideals) of *A*. This will allow us to study polynomial equations having solutions in any *k*-algebra (not necessarily a field). Since  $A \notin \text{Spec } A$ , we also consider the set  $\text{Spi } A$  of all proper ideals of *A* as our ‘generalized’ spectrum on which we endow a topology and call it a *k*-space. Our choice of the notation  $\text{Spi } A$  is to have an ‘alignment’ with the notation  $\text{Spm } A$  of maximal ideals of *A* as in Grothendieck [2]. A *k*-space is a generalization of a Zariski space (*i.e.*,  $\text{Spec } A$  endowed with a Zariski topology). The purpose of this paper is to study topological properties of *k*-spaces and simultaneously compare them with Zariski spaces.

2. *k*-SPACES

To construct a *k*-space, we use two maps defined in Proposition 2.2. Similar maps also appear when we take values of polynomials over an integral domain (to impose a Zariski topology on a  $\text{Spec } A$ ). Before we discuss properties of these maps, let us see an example in our context.

**Example 2.1.** We consider a *k*-algebra with zero divisors and its algebraic sets. The polynomials listed in the Table 1 are of minimal degrees.

Subsets of $\mathbb{Z}_4$	Polynomials	Algebraic sets of $\mathbb{Z}_4$	Polynomials
$\emptyset$	$\mathbb{Z}_4 \setminus \{0\}$	$\emptyset$	1
$\{0\}$	$\{ax\}$	$\{0\}$	$x$
$\{1\}$	$\{ax + b \mid a + b = 0\}$	$\{1\}$	$x + 3$
$\{2\}$	$\{ax + b \mid 2a + b = 0\}$	$\{2\}$	$(x + 2)$
$\{3\}$	$\{ax + b \mid 3a + b = 0\}$	$\{3\}$	$x + 1$
$\{0, 1\}$	$\{ax^2 + bx + c \mid a + b = 0, c = 0\}$	$\{0, 1\}$	$x(x + 3)$
$\{0, 2\}$	$\{ax^2 + bx + c \mid 2b = 0, c = 0\}$	$\{0, 2\}$	$x(x + 2)$
$\{0, 3\}$	$\{ax^2 + bx + c \mid a + 3b = 0, c = 0\}$	$\{0, 3\}$	$x(x + 1)$
$\{1, 2\}$	$\{ax^2 + bx + c \mid a - b = 0, 2a + c = 0\}$	$\{1, 2\}$	$(x + 3)(x + 2)$
$\{1, 3\}$	$\{ax^2 + bx + c \mid 2b = 0, 2a + 2c = 0\}$	$\{1, 3\}$	$(x + 3)(x + 1)$
$\{2, 3\}$	$\{ax^2 + bx + c \mid a + b = 0, 2b + c = 0\}$	$\{2, 3\}$	$(x + 2)(x + 1)$
$\{0, 1, 2\}$	$\{ax^2 + bx + c \mid a + b = 0, 2b = 0\}$	$\{0, 1, 2\}$	$x(x + 3)(x + 2)$
$\{0, 1, 3\}$	$\{ax^2 + bx + c \mid a + b = 0, 2b = 0\}$	$\{0, 1, 3\}$	$x(x + 3)(x + 1)$
$\{0, 2, 3\}$	$\{ax^2 + bx + c \mid a + b = 0, 2b = 0\}$	$\{0, 2, 3\}$	$x(x + 2)(x + 1)$
$\{1, 2, 3\}$	$\{ax^2 + bx + c \mid a + b = 0, 2b = 0\}$	$\{1, 2, 3\}$	$(x + 3)(x + 2)(x + 1)$
$\mathbb{Z}_4$	$\{ax + b \mid a = 0, b = 0\}$	$\mathbb{Z}_4$	$x(x + 3)(x + 2)(x + 1)$

Table 1: Algebraic sets of  $\mathbb{Z}_4$

**Proposition 2.2.** Define the maps  $\text{Spi } A \xrightleftharpoons[\mathfrak{V}]{\mathcal{I}} \mathfrak{P}(A)$  as follows:

$$\mathfrak{V}(\{x\}) = \{\mathfrak{a} \in \text{Spi } A \mid x \in \mathfrak{a}\}, \quad \mathcal{I}(S) = \bigcap \{\mathfrak{s} \mid \mathfrak{s} \in S\},$$

with  $S \subseteq \text{Spi } A$ . Here  $\mathfrak{P}(A)$  denotes the power set of  $A$ . Then  $\mathcal{V}$  and  $\mathcal{I}$  satisfy the following properties.

- (1)  $\mathcal{V}(S) = \mathcal{V}(\langle S \rangle)$ , where  $\langle S \rangle$  is the ideal of  $A$  generated by the subset  $S$ .
- (2) The map  $\mathcal{V}$  is order reversing and surjective.
- (3) If  $\mathfrak{a}$  is a non-radical ideal of  $A$ , then  $\mathcal{V}(\mathfrak{a}) \subsetneq \mathcal{V}(\sqrt{\mathfrak{a}})$  if and only if  $A$  has non-zero zero divisors.
- (4) For any two ideals  $\mathfrak{a}, \mathfrak{b}$  of  $A$ , we have

$$\mathcal{V}(\mathfrak{a}) \cup \mathcal{V}(\mathfrak{b}) \subseteq \mathcal{V}(\mathfrak{a} \cap \mathfrak{b}) \subseteq \mathcal{V}(\mathfrak{a}\mathfrak{b}).$$

- (5) For a family of sets  $\{\mathcal{V}(\mathfrak{a}_\alpha)\}_{\alpha \in \Gamma}$ , we have  $\bigcap_{\alpha \in \Gamma} \mathcal{V}(\mathfrak{a}_\alpha) = \mathcal{V}(\sum_{\alpha \in \Gamma} \mathfrak{a}_\alpha)$ .
- (6)  $\mathcal{V}(\mathfrak{a}) = \text{Spi } A$  if and only if  $\mathfrak{a} = \mathfrak{o}$ , where  $\mathfrak{o}$  is the zero ideal of  $A$ . If  $\mathcal{V}(\mathfrak{a}) = \emptyset$ , then  $\mathfrak{a} = A$ .
- (7) For any two ideals  $\mathfrak{a}, \mathfrak{b}$  of  $A$  and  $\mathfrak{b} \subseteq \sqrt{\mathfrak{a}}$  implies  $\mathcal{V}(\sqrt{\mathfrak{a}}) \subseteq \mathcal{V}(\mathfrak{b})$ .
- (8) The map  $\mathcal{I}$  is order reversing and surjective.
- (9)  $\mathcal{I}(\emptyset) = A$  and  $\mathcal{I}(\bigcup_{\lambda \in \Lambda} T_\lambda) = \bigcap_{\lambda \in \Lambda} \mathcal{I}(T_\lambda)$ .
- (10) If  $T$  is a subset of  $\text{Spi } A$  and  $\mathfrak{a}$  is an ideal of  $A$ , then  $\mathcal{I}\mathcal{V}(\mathfrak{a}) \supseteq \mathfrak{a}$ , and  $\mathcal{V}\mathcal{I}(T) = T$ .
- (11) the collections  $\mathcal{C}_\mathcal{V} = \{\mathcal{V}(\mathfrak{a}) \mid \mathfrak{a} \in \text{Idl}(A)\}$  and  $\mathcal{C}_\mathcal{I} = \{\mathcal{V}\mathcal{I}(S) \mid S \in \mathcal{P}(\text{Spi } A)\}$  of sets are identical, where  $\text{Idl } A$  denotes the poset (under inclusion) of all ideals of  $A$ .

*Remark 2.3.* Notice that for  $\text{Spec } A$  and for any ideal  $\mathfrak{a}$  of  $A$ , we always have equalities in (3) and (4). Note that for  $\text{Spec } A$ , we always have:  $\mathcal{I}\mathcal{V}(\mathfrak{a}) = \sqrt{\mathfrak{a}}$ , the radical of  $\mathfrak{a}$  (cf. Proposition 2.2 (10))

**2.1.  $k$ -topologies.** In case of  $\text{Spec } A$ , the sets  $\{\mathcal{V}(\mathfrak{a})\}_{\mathfrak{a} \in \text{Idl } A}$  are closed under finite unions and we obtain the usual Zariski topology on  $\text{Spec } A$ . But that closure property fails to hold for  $\text{Spi } A$  (see Theorem 2.2(4)). However, as a sub-base,  $\mathcal{C}_\mathcal{V}$  or equivalently by  $\mathcal{C}_\mathcal{I}$  (see Proposition 2.2 (11)) induces a unique topology on  $\text{Spi } A$ , which we call the  $k$ -topology. We denote the corresponding topological space by  $(\text{Spi } A, \mathcal{C}_\mathcal{V})$ , and in short, call it a  $k$ -space. With the abuse of notation we shall also denote the space by  $\text{Spi } A$ . A  $k$ -topology coincides with the Zariski topology whenever we restrict  $\text{Spi } A$  to  $\text{Spec } A$ . Note that a study of a similar topology on various classes of ideals of a ring has been done in Dube and Goswami [1].

It is well-known that a Zariski space is quasi-compact. The same holds for a  $k$ -space. In the proof we shall use the Alexander Subbase Theorem.

**Proposition 2.4.** *A  $k$ -space is quasi-compact.*

*Proof.* Let  $\{K_\alpha\}_{\alpha \in \Lambda}$  be a family of subbasic closed sets of an  $k$ -space  $\text{Spi } A$  such that  $\bigcap_{\alpha \in \Lambda} K_\alpha = \emptyset$ . Let  $\{\mathfrak{s}_\alpha\}_{\alpha \in \Lambda}$  be a family of ideals of  $A$  such that  $\forall \alpha \in \Lambda, K_\alpha = \mathcal{V}(\mathfrak{s}_\alpha)$ . Since

$$\bigcap_{\alpha \in \Lambda} \mathcal{V}(\mathfrak{s}_\alpha) = \mathcal{V}\left(\sum_{\alpha \in \Lambda} \mathfrak{s}_\alpha\right),$$

we get  $\mathcal{V}(\sum_{\alpha \in \Lambda} \mathfrak{s}_\alpha) = \emptyset$ , and that by Proposition 2.2 (6) implies  $\sum_{\alpha \in \Lambda} \mathfrak{s}_\alpha = A$ . Then, in particular, we obtain  $1 = \sum_{\alpha_i \in \Lambda} s_{\alpha_i}$ , where  $s_{\alpha_i} \in \mathfrak{s}_{\alpha_i}$  and  $s_{\alpha_i} \neq 0$  for  $i = 1, \dots, n$ . This implies  $A = \sum_{i=1}^n \mathfrak{s}_{\alpha_i}$ . Therefore,  $\bigcap_{i=1}^n K_{\alpha_i} = \emptyset$ , and hence by Alexander subbase theorem,  $\text{Spi } A$  is quasi-compact.  $\square$

Since  $\mathcal{V}(\mathfrak{a}) \neq \mathcal{V}(\mathfrak{a}')$  for any two distinct elements  $\mathfrak{a}$  and  $\mathfrak{a}'$  of  $\text{Idl } A$ , we immediately have

**Proposition 2.5.** *Every  $k$ -space is  $T_0$ .*

It is known that  $\{\mathcal{V}(\mathfrak{p}) \mid \mathfrak{p} \in \text{Spec } A\}$  are exactly the irreducible closed subsets of a Zariski space. For a  $k$ -space, the situation is more intriguing.

**Theorem 2.6.** *Every non-empty subbasic closed subset of a  $k$ -space is irreducible.*

*Proof.* Since for every non-empty subbasic closed subset  $\mathcal{V}(\mathfrak{a})$  of a  $k$ -space  $\text{Spi } A$ , the ideal  $\mathfrak{a}$  is also in  $\text{Spi } A$ , it is sufficient to show that  $\mathcal{V}(\mathfrak{a}) = \mathcal{C}(\mathfrak{a})$  for every  $\mathfrak{a} \in \text{Spi } A$ . Observe that  $\mathcal{C}(\mathfrak{a})$  is the smallest closed set containing  $\mathfrak{a}$  and  $\mathcal{V}(\mathfrak{a})$  is a closed set such that  $\mathfrak{a} \in \text{Spi } A$ . Therefore,  $\mathcal{C}(\mathfrak{a}) \subseteq \mathcal{V}(\mathfrak{a})$ . To obtain the reverse inclusion, first consider the case:  $\mathcal{C}(\mathfrak{a}) = \text{Spi } A$ . Since

$$\text{Spi } A = \mathcal{C}(\mathfrak{a}) \subseteq \mathcal{V}(\mathfrak{a}) \subseteq \text{Spi } A,$$

we obtain  $\mathcal{V}(\mathfrak{a}) = \mathcal{C}(\mathfrak{a})$ . Now, let  $\mathcal{C}(\mathfrak{a}) \neq \text{Spi } A$ . For  $\mathcal{C}(\mathfrak{a})$ , there exists an index set,  $\Omega$ , such that for each  $\alpha \in \Omega$ , there is a positive integer  $n_\alpha$  and  $\mathfrak{a}_{\alpha 1}, \dots, \mathfrak{a}_{\alpha n_\alpha} \in \text{Idl } A$  such that

$$\mathcal{C}(\mathfrak{a}) = \bigcap_{\alpha \in \Omega} \left( \bigcup_{i=1}^{n_\alpha} \mathcal{V}(\mathfrak{a}_{\alpha i}) \right).$$

Since by hypothesis,  $\mathcal{C}(\mathfrak{a}) \neq \text{Spi } A$ , without loss of generality, assume that  $\bigcup_{i=1}^{n_\alpha} \mathcal{V}(\mathfrak{a}_{\alpha i}) \neq \emptyset$ , for each  $\alpha$ . Therefore,  $\mathfrak{a} \in \bigcup_{i=1}^{n_\alpha} \mathcal{V}(\mathfrak{a}_{\alpha i})$ , for each  $\alpha$ , and from that we have

$$\mathcal{V}(\mathfrak{a}) \subseteq \bigcup_{i=1}^{n_\alpha} \mathcal{V}(\mathfrak{a}_{\alpha i}),$$

*i.e.*,  $\mathcal{V}(\mathfrak{a}) \subseteq \mathcal{C}(\mathfrak{a})$ , and this completes the proof.  $\square$

A Zariski space  $\text{Spec } A$  is connected if and only if the  $k$ -algebra  $A$  does not have any non-trivial idempotent elements. For a  $k$ -space the situation is much simpler.

**Theorem 2.7.** *Every  $k$ -space  $\text{Spi } A$  is connected.*

*Proof.* Since by Proposition 2.2 (6),  $\text{Spi } A = \mathcal{V}(\mathfrak{o})$  and since irreducibility implies connectedness, the desired claim immediately follows from Theorem 2.6.  $\square$

It is known that every Noetherian space can be represented as a finite union of non-empty irreducible closed subsets. For a  $k$ -space  $\text{Spi } A$ , this representation

is always possible irrespective of  $A$  being Noetherian and hence  $\text{Spi } A$  being Noetherian. This follows from the fact that  $\mathcal{V}(\mathfrak{o})$  is irreducible in  $\text{Spi } A$ .

Next, we wish to prove that every non-empty irreducible closed subset of a  $k$ -space has a unique generic point. To this end, notice that if  $K$  is an irreducible closed subset of a topological space  $X$  and  $\mathcal{S}$  is a closed subbase of  $X$ , then it is known (see Harris [3, §7.2]) that  $K$  is the intersection of members of  $\mathcal{S}$ . For a  $k$ -space we get more. In other words, the converse of Theorem 2.6 is also true.

**Lemma 2.8.** *If  $K$  is a non-empty irreducible closed subset of a  $k$ -space  $\text{Spi } A$ , then  $K = \mathcal{V}(\mathfrak{a})$  for some  $\mathfrak{a} \in \text{Spi } A$ .*

**Proposition 2.9.** *Every  $k$ -space is sober.*

*Proof.* It follows from Lemma 2.8 that every non-empty irreducible closed subset of  $\text{Spi } A$  is of the form  $\mathcal{V}(\mathfrak{a})$ , where  $\mathfrak{a} \in \text{Spi } A$ . Let  $\mathcal{V}(\mathfrak{a})$  be a non-empty irreducible closed subset of  $\text{Spi } A$ . Since  $\mathfrak{a} \in \mathcal{V}(\mathfrak{a})$ , we have  $\mathcal{C}(\mathfrak{a}) \subseteq \mathcal{V}(\mathfrak{a})$ . Therefore, to show  $\mathcal{V}(\mathfrak{a})$  has a generic point, it is now sufficient to show that  $\mathcal{C}(\mathfrak{a}) \supseteq \mathcal{V}(\mathfrak{a})$ . Since  $\mathcal{C}_{\mathcal{V}}$  is a closed subbase of  $\text{Spi } A$ , the required containment follows from Lemma 2.6. Moreover, by Proposition 2.5, every  $k$ -space is  $T_0$ . So, we have the uniqueness of a generic point.  $\square$

According to Hochster [4], a topological space is called *spectral* if it is quasi-compact, sober, admitting a basis of quasi-compact open subspaces that is closed under finite intersections. It has also been shown in [4] that a Zariski space is spectral. We wish to show that a  $k$ -space is also spectral and our proof is constructible topology-independent and avoids the checking of the existence of a basis of quasi-compact open subspaces that is closed under finite intersections. The key to our proof is the following

**Lemma 2.10.** *A quasi-compact, sober, open subspace of a spectral space is spectral.*

*Proof.* Suppose  $S$  is a quasi-compact, sober, open subspace of a spectral space  $X$ . Since  $S$  is quasi-compact and sober, it is sufficient to prove that the set  $\mathcal{O}_S$  of compact open subsets of  $S$  forms a basis of a topology that is closed under finite intersections. It is obvious that a subset  $T$  of  $S$  is open in  $S$  if and only if  $T$  is open in  $X$ , and hence a subset  $T$  of  $S$  belongs to  $\mathcal{O}_S$  if and only if  $T$  belongs to  $\mathcal{O}_X$ . Now using these facts, we argue as follows.

Let  $U$  be an open subset of  $S$ . Since  $U$  is also open in  $X$ , we have  $U = \cup \mathcal{U}$ , for some subset  $\mathcal{U}$  of  $\mathcal{O}_X$ . But each element of  $\mathcal{U}$  being a subset of  $U$  is a subset of  $S$ , and it belongs to  $\mathcal{O}_S$ . Therefore, every open subset of  $S$  can be presented as a union of compact open subsets of  $S$ . Now it remains to prove that  $\mathcal{O}_S$  is closed under finite intersections, but this immediately follows from the fact that  $\mathcal{O}_X$  is closed under finite intersections.  $\square$

**Theorem 2.11.** *Every  $k$ -space is spectral.*

*Proof.* It is well known (see Priestley [5, Theorem 4.2]) that the set  $\text{Idl } A$  endowed with a  $k$ -topology is spectral. Now, if we extend the domain of  $\mathcal{V}$  to

$\text{Idl } A$ , then it is easy to see that with some routine changes of notation in the proof, Theorem 2.6 still holds. Moreover, we have  $\{A\} = \mathcal{V}(A) = \mathcal{C}\ell(A)$ , and therefore  $\text{Idl } A \setminus \text{Spi } A$  is closed, and that implies  $\text{Spi } A$  is open. The desired claim now follows from Lemma 2.10, Proposition 2.4, and Proposition 2.9.  $\square$

Once we have  $k$ -spaces, it is natural to consider the continuous maps between such spaces. Using subbasic-closed-set formulation of continuity, we obtain the following properties.

**Proposition 2.12.** *Let  $\phi: A \rightarrow A'$  be a  $k$ -algebra homomorphism and  $\mathfrak{b} \in \text{Spi } A'$ . Then*

- (1) *the map  $\phi^*: \text{Spi } A' \rightarrow \text{Spi } A$  defined by  $\phi^*(\mathfrak{b}) = \phi^{-1}(\mathfrak{b})$  is continuous;*
- (2) *if  $\phi$  is surjective, then the  $k$ -space  $\text{Spi } A'$  is homeomorphic to the closed subspace  $\mathcal{V}(\ker \phi)$  of the  $k$ -space  $\text{Spi } A$ ;*
- (3) *the image  $\phi^*(\text{Spi } A')$  is dense in  $\text{Spi } A$  if and only if*

$$\ker \phi \subseteq \bigcap_{\mathfrak{s} \in \text{Spi } A} \mathfrak{s};$$

- (4) *if  $A_S$  is the localization of a  $k$ -algebra  $A$  at a multiplicative closed subset  $S$ , then there is a closed, continuous, and injective map from the  $k$ -space  $\text{Spi}(R_S)$  to the  $k$ -space*

$$(\text{Spi } A)_S := \{\mathfrak{s} \in \text{Spi } A \mid \mathfrak{s} \cap S = \emptyset\}.$$

*Proof.* To show (1), let  $\mathcal{V}(\mathfrak{a})$  be a subbasic closed set of the ideal space  $\text{Spi } A$ . Observe that

$$(\phi^*)^{-1}(\mathcal{V}(\mathfrak{a})) = \{\mathfrak{b} \in \text{Spi } A' \mid \phi(\mathfrak{a}) \subseteq \mathfrak{b}\} = \mathcal{V}(\langle \phi(\mathfrak{a}) \rangle),$$

and hence the map  $\phi^*$  continuous. For the homeomorphism in (2), observe that  $\ker \phi \subseteq \phi^{-1}(\mathfrak{b})$ , in other words,  $\phi^*(\mathfrak{b}) \in \mathcal{V}(\ker \phi)$ . This implies that  $\text{im } \phi^* = \mathcal{V}(\ker \phi)$ . Since for all  $\mathfrak{b} \in \text{Spi } A'$ ,

$$\phi(\phi^*(\mathfrak{b})) = \mathfrak{b} \cap \text{im } \phi = \mathfrak{b},$$

the map  $\phi^*$  is injective. To show that  $\phi^*$  is a closed map, first we observe that for any subbasic closed subset  $\mathcal{V}(\mathfrak{a})$  of  $\text{Spi } A'$ , we have

$$\phi^*(\mathcal{V}(\mathfrak{a})) = \phi^{-1}\{\mathfrak{i}' \in \text{Spi } A' \mid \mathfrak{a} \subseteq \mathfrak{i}'\} = \mathcal{V}(\phi^{-1}(\mathfrak{a})).$$

Now if  $K$  is a closed subset of  $\text{Spi } A'$  and if

$$K = \bigcap_{\alpha \in \Omega} \left( \bigcup_{i=1}^{n_\alpha} \mathcal{V}(\mathfrak{a}_{i\alpha}) \right),$$

then

$$\phi^*(K) = \phi^{-1} \left( \bigcap_{\alpha \in \Omega} \left( \bigcup_{i=1}^{n_\alpha} \mathcal{V}(\mathfrak{a}_{i\alpha}) \right) \right) = \bigcap_{\alpha \in \Omega} \bigcup_{i=1}^{n_\alpha} \mathcal{V}(\phi^{-1}(\mathfrak{a}_{i\alpha})),$$

a closed subset of  $\text{Spi } A$ . Since  $\phi^*$  is continuous, we have the proof. To prove (3), we first show that  $\mathcal{C}\ell(\phi^*(\mathcal{V}(\mathfrak{b}))) = \mathcal{V}(\phi^{-1}(\mathfrak{b}))$ , for all ideals  $\mathfrak{b} \in R'$ . To this end, let  $\mathfrak{s} \in \phi^*(\mathcal{V}(\mathfrak{b}))$ . This implies  $\phi(\mathfrak{s}) \in \mathcal{V}(\mathfrak{b})$ , which means  $\mathfrak{b} \subseteq \phi(\mathfrak{s})$ .

In other words,  $\mathfrak{s} \in \mathcal{V}(\phi^{-1}(\mathfrak{b}))$ . The other inclusion follows from the fact that  $\phi^{-1}(\mathcal{V}(\mathfrak{b})) = \mathcal{V}(\phi^{-1}(\mathfrak{b}))$ . Since

$$\mathcal{C}(\phi^*(\text{Spi } A')) = \mathcal{V}(\phi^{-1}(\mathfrak{o})) = \mathcal{V}(\ker \phi),$$

the closed subspace  $\mathcal{V}(\ker \phi)$  is equal to  $\text{Spi } A$  if and only if  $\ker \phi \subseteq \cap_{\mathfrak{s} \in \text{Spi } A} \mathfrak{s}$ . Finally, to have (4), it is easy to see that the ring homomorphism  $\phi: A \rightarrow A_S$  defined by  $\phi(r) = r/1$  induces a map  $\phi^*: \text{Spi } A_S \rightarrow \text{Spi } A$  defined by  $\phi^*(\mathfrak{a}) = \phi^{-1}(\mathfrak{a})$ . We claim that  $\phi^*(\mathfrak{a}) \cap S = \emptyset$ . If not, let  $s \in \phi^*(\mathfrak{a}) \cap S$ . Then

$$\phi(s) \in \phi(\phi^{-1}(\mathfrak{a}) \cap S) = \phi(\phi^{-1}(\mathfrak{a})) \cap \phi(S) = \mathfrak{a} \cap \phi(S),$$

and hence  $\phi(s) \in \mathfrak{a}$ . Since  $\phi(s)$  is a unit in  $A_S$ , this implies  $\mathfrak{a} = A_S$ , a contradiction. Therefore,  $\phi^*$  is indeed a map from  $\text{Spi } A_S$  to  $(\text{Spi } A)_S$ . If  $\phi^*(\mathfrak{a}) = \phi^*(\mathfrak{b})$  for some  $\mathfrak{a}, \mathfrak{b} \in \text{Spi } A_S$ , then

$$\mathfrak{a} = \phi(\phi^{-1}(\mathfrak{a})) = \phi(\phi^{-1}(\mathfrak{b})) = \mathfrak{b}$$

shows that  $\phi^*$  is injective. The map  $\phi^*: \text{Spi } A_S \rightarrow \text{Spi } A \setminus S$  is continuous follows from (1). Since  $\phi^*(\mathcal{V}(\mathfrak{a})) = \mathcal{V}(\phi^{-1}(\mathfrak{a}))$ , the map  $\phi^*$  is also closed. Therefore,  $\phi^*$  has the desired properties.  $\square$

**Corollary 2.13.** *The  $k$ -space  $\text{Spi } (A/\mathfrak{a})$  is homeomorphic to the closed subspace  $\mathcal{V}(\mathfrak{a})$  of  $\text{Spi } A$ .*

*Remark 2.14.* From Proposition 2.12, we get the well-known result that the Zariski spaces  $\text{Spec } A$  and  $\text{Spec}(A/\sqrt{\mathfrak{o}})$  are canonically homeomorphic, and  $\phi^*(\text{Spec } A')$  is dense in  $\text{Spec } A$  if and only if  $\ker \phi \subseteq \mathcal{V}(\mathfrak{o})$ .

### 3. CONCLUSION

The generalizations like schemes, algebraic spaces of algebraic varieties still do not answer how to do algebraic geometry when polynomial equations have solutions over a  $k$ -algebra which is not an integral domain. Inclusion of zero divisors immediately brings the following two problems in Grothendieck's scheme theory:

- (1) the algebraic sets  $\{\mathcal{V}(\mathfrak{a})\}_{\mathfrak{a} \in \text{Idl } A}$  no longer form a Zariski topology; and
- (2) we do not get a sheaf of local rings.

The alternative topology ( $k$ -topology) proposed here handles the problem (1). But we do not know how to resolve problem (2). Considering  $\text{Spi } A$  is not good enough to obtain a sheaf of local rings. For, if  $S = A \setminus \mathfrak{s}$ , for some  $\mathfrak{s} \in \text{Spec } A$ , then  $S$  is a multiplicatively closed set and hence we can have a local algebra  $A_S$  at  $S$ . But if  $\mathfrak{s} \in \text{Spi } A$ , then the set  $S$  is no longer necessarily multiplicatively closed. Therefore, inclusion of zero divisors in varieties might require a completely different treatment to study local properties of geometric objects.

Table 2 summarizes major results in this paper and compared them with Zariski spaces.



EGA I	Proposed idea
Field-valued polynomial equations	<i>k</i> -algebra-valued polynomial equations
The set $\text{Spec } A$ of prime ideals of $A$	The set $\text{Spi } A$ of proper ideals of $A$
$\mathcal{V}(\mathfrak{a}) = \{\mathfrak{p} \in \text{Spec } A \mid \mathfrak{a} \subseteq \mathfrak{p}\}$	$\mathcal{V}(\mathfrak{a}) = \{\mathfrak{s} \in \text{Spi } A \mid \mathfrak{a} \subseteq \mathfrak{s}\}$
$\mathcal{V}(\mathfrak{a}) \cup \mathcal{V}(\mathfrak{b}) = \mathcal{V}(\mathfrak{a} \cap \mathfrak{b}) = \mathcal{V}(\mathfrak{ab})$ .	$\mathcal{V}(\mathfrak{a}) \cup \mathcal{V}(\mathfrak{b}) \subseteq \mathcal{V}(\mathfrak{a} \cap \mathfrak{b}) \subseteq \mathcal{V}(\mathfrak{ab})$ .
$\mathcal{V}(\mathfrak{a}) = \mathcal{V}(\sqrt{\mathfrak{a}})$	$\mathcal{V}(\mathfrak{a}) \supseteq \mathcal{V}(\sqrt{\mathfrak{a}})$
$\mathcal{IV}(\mathfrak{a}) = \sqrt{\mathfrak{a}}$	$\mathcal{IV}(\mathfrak{a}) \supseteq \mathfrak{a}$ ,
Zariski topology	<i>k</i> -topology
Compact and $T_0$	Compact and $T_0$
$\{\mathcal{V}(\mathfrak{p}) \mid \mathfrak{p} \in \text{Spec } A\}$ are irreducible	Non-empty subbasic closed sets are irreducible
Sober	Sober
Spectral	Spectral
$\text{Spec } A$ is connected iff $A$ does not have any non-trivial idempotent elements	$\text{Spi } A$ is always connected

Table 2: Zariski spaces vs. *k*-spaces

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