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Arias-Blanco, A.; Marco, M.; Giner Maravilla, E.; Larraínzar-Garijo, R.; Miguélez, MH. (2023). Experimental and numerical analysis of the influence of intramedullary nail position on the cut-out phenomenon. Computer Methods and Programs in Biomedicine. 240. https://doi.org/10.1016/j.cmpb.2023.107734



The final publication is available at https://doi.org/10.1016/j.cmpb.2023.107734

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Additional Information

Experimental and numerical analysis of the influence of intramedullary nail position on the cut-out phenomenon.

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Abstract:

Background and objective: Proximal femur fractures, colloquially known as hip fractures, are a common pathology with increasing incidence in the last years due to the enhanced ageing population. Regarding the extracapsular fracture, the treatment for this pathology consists of a fixation of the fragments using an osteosynthesis device, mainly the intramedullary nail. This repairing method implies several complications, which may include the failure of the fixation device, frequently occurring due to the "cut-out" mechanism. The present work focuses on the study of how the position of the cephalic screw, which should be fixed during surgery, affects the cut-out risk. Through experimental tests and numerical models some variables that can be critical for the cut-out phenomenon are analysed.

Methods: This study has been carried out through a numerical model based on the finite element method and experimental tests. The digital image correlation technique has been used in experimental tests to measure displacements on the femoral surface with the objective of numerical model validation. Some basic daily activities with different intramedullary nail positions have been analysed through the numerical model, considering variables that can induce the cut-out complication.

Results: The results show how the intramedullary nail position clearly influences the cutout risk, showing that displacements in the upper, anterior and posterior direction increase the cut-out risk, while displacement in the lower direction endangers the intramedullary nail itself. Thus, the centred position is the one which reduces the cut-out risk.

Conclusions: This work supposes an improvement in the knowledge of the cut-out phenomenon thanks to the combination of experimental testing and validated numerical

models. The effects of different intramedullary nail positions in the femoral head are studied, including a novelty variable as torque, which is critical for the structural integrity of the fixation. The main conclusion of the work is the determination of the central intramedullary nail position as the most favourable one for decreasing the cut-out risk.

<u>Keywords</u>: Hip fracture; Extracapsular fracture; Intramedullary nail; Cut-out; Digital Image Correlation; Finite Element Method.

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1. Introduction

1 1. Introduction.

Proximal fractures of the femur are a severe health problem in nowadays society. This increment is explained mainly due to the improvement of life expectancy in the recent years, thus increasing the elderly population and, therefore, the related diseases. This is why this kind of pathologies is more common in advanced countries, with a global increase in the total number of hip fractures [1].

In addition to the large number of cases, it is worth noting the elevated mortality rate of 4.71-5.85% (25-33% one year after the intervention) [2] and the fact that one in five patients will need permanent socio-sanitary care [3]. An in-depth study and the improvement of the treatment of proximal femur fractures are still a challenge.

11 Once the fracture has occurred, there are two main types of proximal femur fractures: 12 intracapsular and extracapsular fractures [4], representing each one around 50% of the 13 cases [5], [6]. The usual treatment of extracapsular fractures consists of internal fixation 14 of the fragments using different osteosynthesis devices, including intramedullary nails. These mechanical fixations imply several complications that could appear after the 15 intervention. Two main phenomena may lead to the failure of the fixation devices [7]: the 16 17 mechanical failure of the fixation device itself and the so-called cut-out. The latter is the 18 problem addressed in this work and is defined as the collapse of the femoral neck. It 19 implies an oblique displacement and/or rotation of the femoral head, thus causing damage 20 to the trabecular bone and leading to the relative displacement of the cephalic screw with 21 respect to the femoral head [8]. An example of a cut-out failure is shown in Fig. 1, 22 although in this case it could also be induced by an incorrect reduction of the fracture.

1. Introduction

1





5 The incidence of cut-out is high and varies attending to the implant type and the fracture 6 mode [10]. For instance, Caruso *et al.* reported a 5.6% incidence of the cut-out in their 7 study [10], and Tsai *et al.* a 12.5% incidence [11]. In contrast, Van den Brink and Janssen 8 [12] notified an incidence of 0.16% for the mechanical failure. Wadhwani *et al.* also 9 concluded that this phenomenon is the most common among the major complications of 10 these interventions [13].

11 Numerous studies report on the cut-out phenomenon. The most relevant for this work are 12 those that analyse its incidence [13] or the importance of nail position [14], either 13 clinically [15] or employing numerical finite element models [14][16]. Other authors, 14 such as Lenich et al., have developed mechanical systems to reproduce the mechanical 15 behaviour of the femur-nail structure and thus analyse the failure mechanisms that occur 16 on it under fatigue tests [17]. There are also several experimental studies in which the 17 interaction of the trabecular tissue and cephalic screw has been analysed in order to 18 estimate the maximum torque for this fixation [18]–[20]. Although some works focus on 19 this topic, several variables of interest could also be analysed thanks to other experimental 20 techniques (such as digital image correlation) or finite element modelling. For instance, 21 to the authors' knowledge, microrotations between trochanteric area and femoral head or 22 torque have not been analysed in this type of problem. However the torque in the 23 interaction bone-screw has been separately analysed in other experimental works [18]-

1. Introduction

[20]. It is crucial to quantify those variables in daily activities in order to improve the
 mechanical behaviour of the femur-nail structure.

3 In this work, the cut-out was studied by a combination of experimental testing and finite 4 element modelling. Experimental testing gives a general overview of the mechanical 5 behaviour of the femur-nail setup, helping to understand the mechanical behaviour of the structure under simplified loads and it is helpful for numerical model validation. 6 7 Numerical models allow the analysis of displacement and stress distributions induced in 8 the femur due to an external load and under more realistic conditions. Numerical models 9 allow to estimate variables and to apply loading conditions that are difficult to study experimentally. In our work, an artificial femur was used to simulate an intertrochanteric 10 11 fracture and its treatment with an intramedullary nail. This femur simulant simplifies the 12 problem and reduces other potential sources of error. The numerical model of the femur 13 has also been developed to reproduce the loading conditions and estimate the stress state. 14 In addition, damage modelling has been included in our numerical model to predict 15 trabecular bone failure due to critical loads. Simplified experimental tests have been used 16 to validate the numerical model, in which several positions of the intramedullary nail and 17 their effects on the femoral head will be analysed to study the cut-out risk. Stresses in the 18 intramedullary nail, stresses and damage in bone and torque will be related to the risk of 19 failure due to cut-out. This combination of experimental tests and numerical modelling 20 enables the quantification of the cut-out risk through some critical variables studied in 21 this phenomenon.

22

1 2. Material and methods.

2 2.1. Experimental work.

3 2.1.1. Fracture development and fixation.

4 In this work, an artificial femur (Model No. 3406, Sawbones, Pacific Research

5 Laboratories Inc., Vashon, USA) (Fig. 2a) and an intramedullary nail PFNA (Proximal

6 Femoral Nail Antirotation, Synthes GmbH, Oberdorf, Switzerland) have been used (Fig.

7 **2**b).



8

9 Fig. 2 Specimen used for the experimental work and intramedullary nail. a) Artificial
10 femur Model No. 3406, Sawbones. b) Intramedullary nail PFNA.

- 11 An artificial femur was chosen because it is easy to handle and has well-known properties
- 12 already characterized by several authors, with a mechanical behaviour representative of
- 13 a common human femur [21]–[23].

An intertrochanteric fracture type 31A1, attending to the AO/OTA classification [24] was
 made in the artificial femur by an experienced trauma surgeon (Dr. Ricardo Larraínzar).
 This fracture was fixed with the aforementioned intramedullary nail, resulting in a set
 formed by the fractured femur fixed with the intramedullary nail.

5

2.1.2. Femur mechanical test.

6 The main goal of the experimental testing was to validate the numerical model (explained 7 in the next section) and evaluate the rotation of the femoral head around the 8 intramedullary nail.

9 In the mechanical test, the set formed by the fractured artificial femur and the
10 intramedullary nail (henceforth named as "experimental set") was submitted to static
11 loading (200 N, 400 N, 600 N, 800 N, 1000 N) at 0.5 mm/s speed to keep quasi-static
12 conditions. This test was performed using a universal electromechanical testing machine:
13 Instron 3366 (Instron, Canton, Massachusetts, USA), with a 10 kN load cell.

14 The measurement of the displacements and strains of the surface of the bone was achieved 15 through the Digital Image Correlation (DIC) technique. This technique was implemented 16 using the software MultiDIC [25] and two digital cameras (Nikon D3300, 24 17 Megapixels).

18 The boundary conditions of the experimental test emulated the condition of a person in19 orthostatic position, as explained below:

- The loads were applied through a rig simulating the contact between the pelvis
 acetabulum and the femoral head. This condition was implemented using an
 aluminium tool specifically developed for this purpose and also used in a previous
 work [26] (Fig. 3a). The load was applied with an angle equal to 8° to the vertical
 line in order to reproduce the actual position of the femur in an orthostatic position
 [27] (Fig. 3b).
- The femur was fixed on its distal region, potting the diaphysis on surgical cement
 (Surgical Simplex P, STRYKER, Mahwah, NJ, USA) and fixed to the machine
 using another specially designed aluminium tool (Fig. 3a). The testing rig was
 also used in a previous work by the authors [26].



1

Fig. 3 a) Experimental setup used in the mechanical tests. b) Scheme of the loading conditions reproduced in the mechanical test.

4 2.2. Finite element model.

5 The numerical model comprised the same parts as the experimental set (the artificial 6 femur with a 31A1 fracture and the intramedullary nail). The geometries of the entities 7 were digitalized and meshed to reproduce accurately the geometry of the components. 8 The finite element model was developed using Abaqus/Standard.

9

2.2.1. Obtaining artificial femur geometry from CT scan.

To obtain the geometry of this femur with high resolution, a computerized tomography (CT) was used. The CT scan was performed on a scanner (model Somatom, Siemens Healthcare GmbH, Erlangen, Germany) with a resolution of 0.44 mm in the transverse plane and a thickness of 1 mm in the orthogonal direction. Further treatment of the medical images allowed to generate the geometry of the femur and differentiate cortical and trabecular bone based on the different densities. Subsequently, employing image

- 1 segmentation and considering the different grayscales (Fig. 4a), the geometry shown in
- 2 Fig. 4b was obtained, consisting of the cortical and trabecular tissue.



3

4 Fig. 4 a) CT scan of the proximal area of the artificial femur before assembling the
5 intramedullary nail. b) Surface geometry obtained from the CT scan. c) Geometric model
6 of the intramedullary nail, rendered image.

7

2.2.2. Generation of the CAD model of the intramedullary nail.

8 The geometric model of the intramedullary nail was generated using Solid Edge 2019 9 software (Siemens PLM, Plano, TX, USA). To this end, the appropriate measurements 10 were taken on the real PFNA-type intramedullary nail to obtain the maximum precision 11 in the geometric model. **Fig. 4**c shows a rendered image from the CAD model of the nail.

12 2.2.3. Mechanical properties.

Materials involved in the femur are trabecular and cortical artificial bone, besides titanium alloy corresponding to the intramedullary nail, in addition to the aluminium of the mechanical test rig and the surgical cement.

16 Artificial femur

As previously explained, the artificial femur is made of two well differentiated regions,trabecular bone and cortical bone.

19 Regarding trabecular tissue, it is a rigid foam with similar properties to those of trabecular

20 bone. It can be considered an isotropic material with homogeneous properties. Moreover,

21 as it will be shown below, damage was simulated in the trabecular tissue using the finite

22 element method, so also degraded properties must be determined. Since compression is

1 the most critical condition for the trabecular bone in our loading simulations, the 2 properties of the finite element will be degraded, simulating bone damage, once the absolute value of the minimum principal stress exceeds the compression strength 3 4 specified by the manufacturer. This degradation implies a reduction of the stiffness of the 5 damaged elements through a modification of the Young's modulus to a very low value 6 (E=1 MPa). This technique has been used in other works for simulations of bone fracture 7 [28], [29]. Recently, gradient-enhanced damage models have been applied to femur 8 fracture, thanks to the softening phase in the structural response for quasi-brittle materials 9 such as bone [30].

For cortical bone, the manufacturer uses a mixture of short fibreglass and epoxy resin, being in this case a composite material. Since the distribution of the fibres is homogeneous [26], the material was treated as an isotropic material. In this work, damage was not simulated in cortical bone since it is assumed that the cortical bone of the femoral head does not get into contact with the cephalic screw and therefore does not affect the cut-out risk.

Regarding the material model, a linear elastic behaviour was assumed in the artificial femur. Actual human bone presents elastic-plastic behaviour, and some authors have considered this when making numerical models [31], [32]. However, in most cases it is sufficient to analyse the femur as a linear elastic material until failure [31], [33]–[35]. In this case a linear elastic model was deemed valid as relatively low loads are involved. The properties of the artificial femur used in this work were those obtained experimentally by Marco *et al.* [26] and others provided by the manufacturer, as shown in **Table 1**.

Table 1 Mechanical properties of the synthetic femur [26].

| | Trabecular bone | Cortical bone |
|--|-----------------|---------------|
| Density, ρ (g/cm ³) | 0.27 | 1.64 |
| Young's modulus, <i>E</i> (MPa) | 155 | 10400 |
| Poisson's ratio, ν | 0.30 | 0.30 |
| Failure stress (compression), σ_c (MPa) | 6 | 157 |

24 Intramedullary nail

All the components of the intramedullary nail are made of Ti6A17Nb [36] alloy, which was modelled as an isotropic material with a linear elastic behaviour up to the elastic limit. The plastic range has not been included in the numerical model since the loading cases are far from inducing plastic deformation in the metallic alloy, which would imply the mechanical failure of the fixation. The properties of this alloy are shown in **Table 2**.

- 1 Both parts of the fixation are supposed to be totally coupled in the numerical model, so
- 2 no glide is allowed between them.
- 3

4 **Table 2** Properties of the Ti6Al7Nb alloy [37].

| | Ti6Al7Nb |
|--------------------------------------|----------|
| Density, ρ (g/cm ³) | 4.52 |
| Young's modulus, <i>E</i> (MPa) | 105000 |
| Poisson's ratio, ν | 0.36 |
| Yield stress, σ_y (MPa) | 900 |

5

6 Testing rig and surgical cement

7 The mechanical testing rig is made of aluminium modelled as an isotropic material with 8 a linear elastic behaviour. This structure was also included in the model to exactly 9 reproduce the experimental tests. The plastic behaviour has not been considered since the 10 stresses in the tooling were much lower than the aluminium yield stress. The surgical 11 cement has been modelled in the same way. **Table 3** shows the mechanical properties of 12 the aluminium and surgical cement.

13 **Table 3** Properties of aluminium and surgical cement [38].

| | Aluminium | Surgical cement |
|--------------------------------------|-----------|-----------------|
| Density, ρ (g/cm ³) | 2.70 | 1.10 |
| Young's modulus, E (MPa) | 70 | 2.40 |
| Poisson's ratio, ν | 0.30 | 0.30 |

14

15 2.2.4. Meshing

The mesh used in the finite element model was formed by quadratic tetrahedral elements (code C3D10 in Abaqus) with an element size of approximately 2 mm, leading to about 280000 element mesh. The size of the element in the intramedullary nail was about 1.5 mm. These element sizes have been established from the experience of previous works [26] and through a mesh sensitivity analysis, reaching minimal variations between consecutive element sizes. **Fig. 5** shows the meshed model of the femur with the intramedullary nail (inside of the femur) and the intertrochanteric fracture.





Fig. 5 Numerical model and mesh of the artificial femur and the intramedullary nail. The
 intertrochanteric fracture can be seen on the bone surface.

4 2.2.5. Loading conditions.

5 For the loading conditions, a standard patient of 75 kg has been considered while doing 6 two typical situations from regular life: walking and standing up from a chair.

Standing up from a chair has been chosen as a stance of interest in treating an unstable fracture since some surgeons advise patients not to bear weight during the six weeks after surgery and to follow a sedentary life. The torsional forces on the hip joint when standing up from a chair are very high, making this loading condition critical for this phenomenon. It does not make sense not to allow the patient to walk when the rotational movement of the hip is the most critical and can lead to failure of the fixation. This consideration is clinically relevant and therefore is subjected to analysis in this work.

14 The data for such cases, i.e. the forces acting on the hip, were obtained from the 15 OrthoLoad database [39]. Specifically, the database from the collection "Standardized 16 Loads Acting in Hip Implants" has been used [40].

Fig. 6 shows the loading cycles for such cases. It is essential to clarify that these loads were not applied through a dynamic model. Instead, 21 load states (each one

encompassing 5% of the load cycle) have been obtained from the datasets (for each load scenario), constituting each state a single quasi-static simulation. The load states are performed sequentially. These simulations are not independent in the sense that if certain elements are degraded in one simulation, these elements will remain degraded in the next loading state.

6 The numerical application of the loads was carried out on a surface which simulates the 7 contact region of the femoral head with the pelvis acetabulum, and the base of the rig 8 support was totally fixed. This contact region is carried out employing a loading reference 9 point coupled to the aforementioned surface, reproducing the experimental test load.



10

Fig. 6 Applied loads in the numerical model. Data from OrthoLoad collection
"Standardized Loads Acting in Hip Implants" [40]. a) Forces acting on the hip for the
walking case. b) Forces acting on the hip for the standing-up case. c) Reference system
for the forces applied to this model.

1 2

2.2.6. Placement of the intramedullary nail in the artificial femur. Study of position influence.

In this work, the influence of the intramedullary nail position was analysed. For this, a central position of the intramedullary nail was used as a reference, and its position was varied ± 5 mm in the coronal and sagittal directions (**Fig. 7**). This leads to five configurations under study:

- Reference position: placed in central position with respect to femoral neck section.
 This location of the intramedullary nail is established as a reference (named as
 Ref.).
- 10 5 mm displacement in the sagittal plane posterior direction (named as SagPos5).
- 11 5 mm displacement in the sagittal plane anterior direction (named as SagAnt5).
- 12 5 mm displacement in the coronal plane superior direction (named as CorSup5).

13 - 5 mm displacement in the coronal plane inferior direction (named as CorInf5).

In order to evaluate the failure risk of each position due to cut-out, a radiographic parameter that measures the risk was evaluated. This type of parameters is usually based on geometric measurements that relate the position of the intramedullary nail with respect to the femur. In our case, the Parker parameter [41] was chosen, defined as Parker Ratio (PR):

$$PR = \frac{ab}{ac} \tag{1}$$

where *ab* and *ac* are the dimensions shown in Fig. 8 both in the anteroposterior
radiograph (Fig. 8a) and in the lateral view (Fig. 8b).



1

2 Fig. 7 Positions of the intramedullary nail considered in the study. Trabecular bone tissue

- 3 is shown in pink, grey cortical bone and gold intramedullary nail. For each of the4 positions, the transverse view (on the left) and the coronal view (on the right) are shown.
- 5 Black dashed lines represent the cephalic screw in the reference position.
- 6



- 7
- 8 Fig. 8 Radiographs showing the dimensions involved in the calculation of the Parker
- 9 parameter. Modified from [15]. a) Anteroposterior radiograph. b) Lateral radiograph.
- 10 (Reproduced with editorial permissions).¹

¹ Note that in Fig. 8 a sliding hip screw is shown, not an intramedullary nail.

- 1 **Table 4** shows the Parker parameter values for the positions studied in this work. As can
- 2 be seen, the reference position shows values close to 50%, while in the other positions
- 3 the Parker parameters deviate from this value.

| Position | Anteroposterior PR [%] | Lateral PR [%] |
|----------|------------------------|----------------|
| Ref. | 56.8 | 53.8 |
| SagPos5 | 55.9 | 65.4 |
| SagAnt5 | 56.5 | 45.5 |
| CorSup5 | 63.8 | 57.0 |
| CorInf5 | 48.0 | 55.7 |

4 **Table 4** Parker parameters of the studied positions showed in **Fig. 7**.

5

It must be established how the values of the Parker parameters affect the cut-out risk. In the original paper, Parker defined this parameter [41]. The established values for the Anteroposterior PR for uneventful events were 45%, whereas the cases with cut-out failure were 58%. For the Lateral PR, these values were 45% and 36%, respectively.

10 Andruszkow *et al.* in [42] analysed the differences between fractures treated with sliding 11 hip screws and intramedullary nails, including different fracture modes according to the 12 AO/OTA classification. In this case, the mean values of $46.47\% \pm 9.48$ for the 13 Anteroposterior PR and $53.38\% \pm 10.00$ for the Lateral PR were obtained for uneventful 14 cases of AO/OTA 31A1 fractures treated with an intramedullary nail. They observed no 15 cases of cut-out failures in this mode of fractures treated with this fixation.

16 It is also essential to assess how the displacement direction affects the cut-out risk, or, in 17 other words, how changes in Parker parameters affect the cut-out risk. Nevertheless, in 18 this case there is no consensus between different authors. For instance, Parker [41] 19 concluded that there is a cut-out risk increment when the fixation device is displaced 20 towards the posterior direction, i.e. the Lateral PR increases its value. However, 21 Baumgaertner *et al.* [8] announced that cut-out risk increases for anterior displacements, 22 i.e. the Lateral PR decreases its value.

Considering previous papers, the values of $46.47\% \pm 9.48$ for the Anteroposterior PR and 53.38% \pm 10.00 for the Lateral PR established by Andruszkow *et al.* in [42] have been set in this work as the reference values for minimum cut-out risk. Hereafter, these values will be considered as "minimal cut-out risk values". Hence, the closer the Parker ratio to the minimal cut-out risk values, the lower will be considered the cut-out risk.

1

2 The variables of interest object of study in this work are:

3 Von Misses stress in the intramedullary nail

4 This parameter indicates the stress level due to loading conditions for the fixation device.

5 Minimal principal stress in the trabecular bone

6 This variable determines the compression induced in the trabecular bone due to the force 7 exerted by the intramedullary nail. This fact principally occurs in the femoral head, 8 between the external bone surface where the load is applied and the cephalic screw. The 9 higher this value, the higher the possibility of damage in the trabecular bone, leading to 10 slack between the bone and the cephalic screw increasing the cut-out risk.

11 Volume of damaged trabecular bone

This variable indicates the volume of trabecular tissue where the compression exceeds the critical maximum compression stress in trabecular bone in one loading cycle. This variable is related to the minimal principal stress in the trabecular bone. Once the critical values are exceeded, the trabecular bone is damaged. In the numerical model, this is simulated by the approach of mechanical properties degradation explained in Section 2.2.3.

18 **Torque in the cephalic screw**

This is a novel variable that assesses the femoral head and neck's rotation risk in the numerical model. This variable considers the forces acting on the cephalic screw surface as a consequence of the femoral head and neck's action. It calculates the torque produced by the actions of these forces. This variable can be directly related to the cut-out risk since small rotations can reduce the fixation stiffness and damage the tissue, eventually leading to higher rotations and movements of the assembly. For instance, N. Suhm *et al.* [19] experimentally found a significant relationship between torque and cut-out failure.

1 3. Results

- 2 3.1. Experimental results.
- 3 3.1.1. DIC software error estimation.

4 Despite the software MultiDIC has been used in previous works with satisfactory results 5 [25], [43], in this work, an error estimation has been carried out before the definitive DIC 6 tests. The test performed here evaluates the capability of DIC to measure rigid-body 7 displacements with no loads on the specimen. As the influence of speckle size and spacing 8 can be important, this kind of test is necessary to know if the speckle pattern painted on 9 the surface is correctly captured by the system. Reference rigid-body displacements are 10 applied to the specimen, calculating the displacements using the DIC system, similar to the procedure in [44]. For this error estimation, the painted synthetic femur has been 11 12 subjected to several predefined rigid-body displacements employing the testing machine. 13 Several images have been acquired, and the displacements have been recalculated using 14 MultiDIC. Mean values and standard deviation of the data distribution have been 15 calculated. Hence an error estimation can be performed by comparing the actual 16 displacement and the MultiDIC measurements. Results shown in Table 5 are very 17 satisfactory, and we conclude that DIC can be applied to our specimen confidently.

Table 5 Results of the MultiDIC software error estimation. Note that Test #1 involves no
 applied displacement. In this case, two consecutive images were taken of the same static
 position.

| Test # | Applied | DIC measured | Relative | Standard |
|--------|--------------|---------------|----------|-----------|
| | rigid-body | displacement. | error | deviation |
| | displacement | Mean value | [%] | [mm] |
| | [mm] | [mm] | | |
| 1 | 0 | -0.0026 | NaN | 0.0006 |
| 2 | 0.1698 | 0.1659 | 2.3041 | 0.0007 |
| 3 | 1.0385 | 1.0414 | 0.2824 | 0.0009 |
| 4 | 5.0186 | 5.0145 | 0.0824 | 0.0029 |
| 5 | 10.0094 | 10.0054 | 0.0397 | 0.0060 |

21

22

3.1.2. Analysis of femoral head rotation.

During the quasi-static experimental test under applied load on orthostatic conditions, any potential femoral head rotation was analysed through displacements obtained on the femoral surface by DIC. Results confirmed that no rotation of the femoral head was observed during the application of loads with the testing machine. A maximum load of

1 1000 N was applied to the specimen, and there was no relative displacement at both sides
2 of the intertrochanteric fracture of the artificial femur. This reveals that quasi-static loads
3 do not induce a femoral head rotation or sliding; hence the risk of cut-out due to micro
4 displacements is reduced.

- 5 3.2. Numerical results.
- 6

3.2.1. Numerical model validation.

7 The numerical model has been validated using the experimental tests and the surface 8 displacements obtained by means of the DIC system. The same loads and conditions have 9 been applied to the numerical model of the synthetic femur, including the aluminium 10 testing rig and surgical cement (section 2.1.2.). At 962 surface points, the magnitude of 11 the displacement vector (total displacement) measured using DIC has been compared to 12 the corresponding numerical prediction at the same points. These points are plotted in 13 Fig. 9. The correlation between the experimental and numerical results is reasonable, 14 especially when considering the usual noise of the DIC measurements and the influence 15 of other elements of the testing rig.



16

Fig. 9 Comparison between the total displacements measured using DIC in the
 experimental tests and those obtained with the numerical models at 962 points of the
 femoral surface. Points for each load are shown in different colours.

- 4 3.2.2. Stress in the intramedullary nail.
- 5 Table 6 shows the maximum von Mises stress for each nail position analysed. It shows
- 6 considerable differences in the stress level depending on the intramedullary nail position.
- 7 Being especially outstanding, the stress increase when the intramedullary nail is displaced
- 8 through the coronal plane, causing even a risk of plastic strain if exceeding the yield
- 9 strength of the nail material.
- 10 Table 6 Von Mises maximum stress for each studied load. Units in MPa.

| | Walking conditions | Standing Up conditions |
|----------|--------------------|------------------------|
| Ref. | 787.7 | 601.6 |
| SagtPos5 | 634.9 | 446.1 |
| SagtAnt5 | 714.4 | 499.6 |
| CorSup5 | 941.7 | 706.6 |
| CorInf5 | 1048.9 | 728.9 |



11

 Fig. 10 Example of the von Mises stress distribution in the intramedullary nail on Reference position. Units in MPa.

14

15 3.2.3. Torque in the cephalic screw.

16 Fig. 11 and Fig. 12 show the torque variations in the cephalic screw for the cases analysed

17 during the simulated cycles, walking and standing up. It is observed that the significant

- 1 influence on the torque of the displacements through the sagittal plane, leads to values
- 2 that can be critical for the fixation, as it will be discussed in the next section.



4 Fig. 11 Torque in the intramedullary nail for the walking load.



3





7 **Fig. 12** Torque in the intramedullary nail for the standing-up load.

8

- 1 3.2.4. Minimum principal stress in the trabecular bone of the femoral head. Table 7 presents the values of minimum principal stress in the trabecular bone of the 2 3 femoral head for the different load cases. Fig. 13 shows the contour plot of this stress in 4 the femoral head for the walking load at the most critical point of the load. The contour 5 plots for the Standing-up load are similar. In Table 7, it is observed that the CorSup5 presents the highest compression. Concerning the cut-out risk (Table 4), this position has 6 7 the farthest Anteroposterior PR ratio (63.8%) compared to the one of the minimal cut-out 8 risk values. Accordingly, displacements in the superior direction increase the cut-out risk 9 due to the significant compression caused in the trabecular bone of the femoral head, as 10 shown in Fig. 13.
- 11 Table 7 Minimum principal stresses in the trabecular bone for each studied load case.12 Units in MPa.

| | Walking conditions | Standing up conditions |
|----------|--------------------|------------------------|
| Ref. | -9.8 | -8.5 |
| SagtPos5 | -6.0 | -5.3 |
| SagtAnt5 | -9.7 | -7.6 |
| CorSup5 | -15.3 | -14.0 |
| CorInf5 | -10.8 | -7.5 |

13

Fig. 13 also shows that the area where this significant compression takes place corresponds to the end of the cephalic screw. This compression is especially pronounced when the intramedullary nail is moved upwards in the coronal plane (CorSup5), causing a trabecular tissue thickness reduction between the cephalic screw and the cortical bone. In this case, a reduced bone section resists the same load, leading to higher compressive stresses. Other studies also corroborated this fact, see for instance Goffin *et al.* [43].



Fig. 13 Minimum principal stresses distribution in the trabecular bone of the femoral head
for the walking load case, at the most critical state of load. Units in MPa.

4

1

3.2.5. Trabecular bone damage in femoral head.

5 Fig. 14 and Fig. 15 show the volume of trabecular bone damaged for the cases analysed 6 in the numerical model during one loading cycle. The trabecular bone damage criterion 7 is based on the minimum principal stress; thus, higher compression results in greater 8 damage in the trabecular bone. The same trends are observed when comparing Table 7 9 with Fig. 14 and Fig. 15: the position that increases the compression leads to greater 10 damage in the trabecular bone of the femoral head. Therefore, the cut-out risk associated 11 with displacements in the superior direction is also related to trabecular bone damage of 12 the femoral head.

In contrast, the other positions show a minimal damaged volume of trabecular bone. The compression stresses are insufficient to damage the trabecular bone, and the damaged volume remains almost constant during the cycling load.

16 Considering these cyclic loads, damaged elements imply the absence of stiffness in the 17 trabecular bone, which can lead to micro-movements in the fixation and screw-bone

- 1 contact. Finally, after several cycles, this cumulative damage can produce the failure of
- 2 the set and thus the cut-out phenomenon.



4 Fig. 14 Damaged volume of trabecular bone for the walking load case.



5 6

3

Fig. 15 Damaged volume of trabecular bone for the standing-up load case.

4.Discussion

1 4. Discussion.

This work has been developed with the aim of studying how the position of the intramedullary nail affects the cut-out risk in a proximal femur fracture treatment. For that purpose, a numerical model based on the finite element method has been developed. The numerical model has been validated through experimental tests, obtaining accurate results and enabling the possibility to analyse proper parameters or complex loadings conditions such as daily activities.

8 Four variables of interest have been measured to assess the cut-out risk. First, regarding 9 the maximum von Mises Stress, the maximum stress is always reached at the same region, 10 i.e., at the union of the cephalic screw and the intramedullary nail (Fig. 10). These results 11 agree with Amit Matityahu et al. [45]. The maximum stress is due to the stress 12 concentration at this zone and the maximum bending moment generated by the load in this region. Another factor that might contribute to this is the localization of the fracture, 13 14 which, as can be seen in Fig. 7, matches this zone of maximum stress in the screw. As it 15 was observed by Zeng et al. [46], this fracture modifies the load transfer, concentrating 16 the stresses around the nail-screw union.

17 Linking this with the cut-out risk, **Table 6** shows that the CorInf5 position results in the 18 highest stresses. Concerning Table 4, this position is shown to be the one with the closer 19 Parker parameters (Anteroposterior PR: 48.0 % and Lateral PR: 55.7%) to the minimal 20 cut-out risk values (Anteroposterior PR: $46.47\% \pm 9.48$ and Lateral PR: $53.38\% \pm 10.00$). 21 Nevertheless, despite this inferior positioning reduces the cut-out risk, which was 22 observed by Quental et al. [14], it may endanger the integrity of the intramedullary nail. 23 Similarly, the position CorSup5 also presents high von Mises stresses in the 24 intramedullary nail. On the contrary, both displacements in the sagittal plane tend to 25 reduce the von Mises stress.

The torque in the cephalic screw, as shown in **Fig. 11** and **Fig. 12**, is quite dependent on the displacements through the sagittal plane. This influence is explained by the eccentricity due to the displacements on the sagittal plane with respect to the centre of the femoral head. Note that depending on the displacement direction (posterior or anterior) the torque value is positive or negative, and correspondingly the rotation may tend to occur in one or another direction. **Table 4** shows that the displaced positions over the sagittal plane have the farthest Lateral PR ratios (SagPos5 = 65.4% and SagAnt5 = 45.5%)

4.Discussion

to the one of the minimal cut-out risk values. Thus, the displacement in the sagittal plane 1 2 increases the cut-out risk, which is related to a possible rotation of the femoral head. A 3 clinical statistical study by Bojan et al. [47] also observed that the displacements in both 4 anterior and posterior directions increase the cut-out risk. In fact, this study concluded 5 that the correct positioning of the intramedullary nail is a crucial factor in the cut-out risk. 6 Regarding the coronal plane, the superior position of the screw involves a reduction of the torque since the load is applied closer to the cephalic screw, and the angle variations 7 8 of the load direction have less influence on the mechanical behaviour.

9 According to results obtained by Gosiewski *et al.* [20] and O'neill *et al.* [18], the 10 maximum torque for this type of fixation is about 2200 N \cdot mm. This value is overcome in 11 SagPos5 for both loading conditions, which would imply rotations that could lead to 12 fixation failure.

13 This study presents some limitations. First, an artificial femur has been analysed instead 14 of real specimens. Although the human femur would provide more realistic results, an 15 artificial femur permits to isolate the cut-out problem and to analyse critical variables. 16 Real specimens could induce other sources of errors regarding geometry, local material 17 properties variations or local defects. The fracture developed is a simplified morphology 18 and only includes planar surfaces. Moreover, other daily activities could be analysed, but 19 we have focused on daily activities that are more critical for elderly patients. Finally, 20 other variations in the femur-fixation structure could be considered, such as lateral 21 displacements of the femoral head or different fracture morphologies.

1 5. Conclusions.

In this paper the influence of intramedullary nail position on the cut-out risk has been
analysed using numerical modelling and experimental validation on a synthetic bone. The
study confirms the centred position as the best for minimum cut-out risk, considering
typical cyclic load cases.

6 The experimental tests provided data for model validation and demonstrated the 7 capability of the MultiDIC software to perform a DIC analysis with good accuracy. In 8 addition, the DIC tests have shown that quasi-static loading conditions do not lead to 9 rotations of the femoral head around the cephalic screw, even for values much higher than 10 average conditions.

11 The numerical analysis provided several results concerning the cut-out risk:

- Displacements in the lower direction of the coronal plane tend to endanger the
 fixation device.
- Displacements in the upper direction of the coronal plane increase the cut-out risk,
 which is related to a compression and trabecular bone damage in the femoral head.
 However, torque in this position is the lowest in this study.
- Displacements over the sagittal plane also increase the cut-out risk, which is
 related to a possible rotation in the femoral head. Also, the rotation direction
 depends on the direction of the displacement.
- Taking all the results globally and considering all the variables analysed in this
 work, the centred position shows the best performance. Von Mises stresses in the
 screw are not excessively high, torque is acceptable by the structure, and
 trabecular bone suffers almost no damage. This result agrees with the dynamic
 experimental model proposed by Lenich *et al.* [17]. These results could help in
 the surgery planning with the aim of avoiding damage during service life of the
 prothesis.

Acknowledgments and Bibliography

1 6. Acknowledgments.

The authors gratefully acknowledge the funding support received from the Spanish Ministry of Science and Innovation for funding project PID2020-112628RA-I00/ AEI / 10.13039/501100011033, projects PID2020-118480RB-C21 and -C22 and from the Madrid Community (Spain) through the project IND2020/IND-17413. The financial support of the European Union NextGenerationEU/PRTR through grant number PDC2021-121368-C21 and -C22 and the Generalitat Valenciana through Programme PROMETEO 2021/046 is also acknowledged.

9 All authors declare that ethical approval was not needed for this work.

10 All authors declare that they have no conflict of interest.

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