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Additional Information

Abstract

 Plastic waste pollution is a global environmental problem that could be solved by biodegradable materials. In addition, its biodegradability has been important for medical applications. In this way, the biodegradability performance has been investigated for different materials under diversified environmental conditions. In this context, this review shows the main up-to-date biodegradable polymers (from renewable sources and fossil- based), their structure and properties, and their biodegradability characteristics. Also, this review shows the effect of polymer properties and environmental conditions on biodegradability, methods of biodegradability and toxicity determination, modification processes to enhance biodegradability, and main applications of biodegradable polymers for agricultural, medical, and packaging. Finally, this review shows a discussion about the implications of biodegradation on the environment, the current context and future perspectives of plastic biodegradation. *Keywords***:** plastic waste pollution, biodegradability determination, composable,

applications of biodegradable materials, plastic toxicity.

1 Introduction

 Currently, people are progressively more aware of the need to exert environmental 29 protection; therefore, academic research on environmental issues has received greater care¹. Biodegradable polymers derived from renewable resources have gained great attention due 31 to their desirable biocompatibility, biodegradability, and abundance². Starch and fibers 32 extracted from plant fibers are the most employed³. The biodegradation mechanism corresponds to microorganisms converting biochemical 34 substances into compounds⁴ and can be represented schematically in the four stages shown in Figure 1. Based on Figure 1, in Stage 1 we can observe that the formation of a microbial biofilm leads to a superficial degradation in which the polymeric material is fragmented into smaller particles. This process depends not only on microorganisms' abilities but also on the properties of the plastic, such as topography, roughness, free energy, hydrophobicity, and 40 the electrostatic interactions of their surface⁵. Then, biofilm microorganisms secrete extracellular enzymes, which catalyze polymer chains into oligomers, dimers, or monomers (Stage 2). The enzymes secreted by microorganisms for the biodegradation of materials are 43 mainly lipase, K proteinase, and dehydrogenase⁶. The uptake of the small molecules produced in this way into the microbial cell and the subsequent production of primary and secondary metabolites is a process known as assimilation (Stage 3). Finally, in Stage 4, the metabolites are mineralized, the microbes are in a starvation phase and mineralization affects the storage of polymers and metabolites formed in Stage 2. The latter phase can be 48 very long-lasting⁷. In general, the end products of biodegradation are CO_2 and H_2O in 49 aerobic systems (oxygen is used as an electron acceptor by bacteria), and CH_4 , CO_2 , H_2O

50 and biomass in anaerobic systems (absence of oxygen by microorganisms)⁸.

 Degradability is not only an environmental matter; medical applications of biodegradable 52 polymers have gained attention lately². Moreover, the demand to create alternative biodegradable water-soluble polymers for products such as detergents and cosmetics has 54 also gained an increasing value⁹. Therefore, the development of biodegradable polymer materials and the design of methods to enhance biodegradability have become a major 56 objective in academia¹.

 In this context, we present the up-to-date main biodegradable polymers, their structure, and properties, as well as the effect of polymer properties and environmental factors on their biodegradability. In addition, we show biodegradability and toxicity, a discussion of the biodegradation implications on the environment, and the current context and future perspectives of plastic biodegradation.

2 Biodegradable polymer classification

Biodegradable polymers can be categorized into different groups. Regarding their source,

they can be immediately obtained from biomass (polysaccharides and proteins),

synthesized from biomass (poly (lactic acid) −PLA), and achieved by microbial

fermentation (e.g., poly(hydroxybutyrate) −PHB and poly (hydroxy alkanoates) −PHA).

Biodegradable polymers could also be synthesized from petrochemicals (e.g.,

(polycaprolactone) −PCL, poly (butylene succinate-co-adipate) −PBSA and poly (glycolic

acid) −PGA). In the next section, the main biodegradable polymers are described.

2.1 Biodegradable polymers from renewable sources

2.1.1 Polysaccharides

Starch is a well–known hydrocolloid polymer from vegetable sources, cheap and abundant.

It is predominantly obtained from potatoes, corn, wheat, and rice, composed of amylose

74 (poly-α-1,4-D-glucopyranoside), a linear and crystalline polymer, and amylopectin (poly-α-75 1,4-D-glucopyranoside and α-1,6-D-glucopyranoside), a branched and amorphous 76 polymer¹⁰. The proportion of amylose and amylopectin varies depending on the source, producing materials with different properties and biodegradability¹⁰. The applications of 78 starches are extensively explored in all fields. Starch degradation occurs by hydrolysis, in 79 which the α-1.4 link is attacked by amylases, while glucosidases attack the α -1.6 link¹⁰. 80 and produce non-toxic substances¹¹.

81 Chitin is the second most abundant natural biopolymer. It is a linear copolymer of N-acetyl-

82 glucosamine and N-glucosamine with β-1,4 linkage. Chitin is usually found in the shells of

83 . crabs, shrimp, crawfish, and insects¹⁰. Some modifications of chitin could result in new

84 polymers. In fact, chitin is processed into chitosan by partial alkaline N-deacetylation¹².

85 Biodegradation in the human body, immunological, and antibacterial activity are some of

86 its biological properties useful for medical applications^{13,14}. Other industrial applications

are cosmetics and wound dressing^{10,12}. The mechanism of chitin degradation occurs

exclusively by the hydrolysis of glycosidic bonds by chitinolytic organisms¹⁵.

89 2.1.2 Proteins

90 Proteins can be obtained from plants and animals¹⁶, due to their renewability and

91 biodegradability, and protein-based materials are useful in several industrial applications.

92 Compared to starch, the proteins are also sensitive to water¹⁶. Therefore, blending with

93 biodegradable polyesters is the most successful method of using protein in packaging

94 applications¹⁶. However, in the medical field, collagen is highlighted due to the rich protein

95 constituent of connective animal tissues. This has different applications, such as drug

96 delivery including hydrogels, microparticles, sponges, biomaterials¹⁴, implants matrix, and

97 stabilizers in vaccines¹⁷.

98 Proteins are degraded by proteolytic enzymes, which, depending on their primary amino 99 acid sequence, could be more or less susceptible to degradation¹⁸. Protein folding, that is, 100 secondary and tertiary structures, may make some regions less accessible to proteolytic 101 cleavage¹⁸. Thus, the degradation behavior depends on the protein, and also on the type of 102 material 18 .

103 2.1.3 Polyesters

104 Polyesters are attained by polymerization of monomeLrs through fermentation (semi-

105 synthetic polymers) or produced by microorganisms, grown under different nutrients and

106 environmental conditions¹⁰. These polymers are potentially useful for degradable packaging

107 materials and in applications in medicine, pharmacology, and agriculture applications¹. The

108 production of biodegradable polyesters for biomedical applications has improved

109 significantly.

110 Polyester degradation is influenced by some factors, for example, pH, change in redox 111 potential, and/or the presence of certain enzymes 19 . These polyesters could be hydrolyzed 112 to obtain oligomers and then monomers¹. Based on the types of monomers present in their raw material, polyesters can be aliphatic, aromatic, or copolyesters²⁰. Aliphatic polyesters, 114 such as polyglycolide (PGA), PLAs, polycaprolactone (PCL), or PHAs, have linear chain 115 structures, low mechanical properties, and are easily biodegradable^{20,21}. Aromatic 116 polyesters, such as poly(butylene terephthalate) (PBT), have increased mechanical 117 properties, but low biodegradability^{20,21}. Although copolyesters have properties of both 118 classes, enhanced physical and thermal characteristics, and biodegradability²⁰, the 119 degradation rate is affected by the porosity of the polymer, crystallinity, and pH of the 120 solution¹. Commonly, low crystallinity and high porosity both accelerate the degradation

121 ratio. In comparison to compost, the degradation in soil and liquid media is lower, mainly 122 due to the lower temperature²².

123 PLA is produced from lactic acid via starch fermentation of lactic bacteria¹⁰, which gained 124 attention due to its availability, cheapness 23 , biodegradability, and biocompatibility^{21,24}. 125 PLA is used mainly for general packaging applications, thanks to its processability through 126 common thermoplastic technologies²¹. Furthermore, PLA nanomaterial has been used 127 extensively for numerous biomedical applications, e.g., drug delivery, nanocarriers to 128 encapsulate water-insoluble drugs in the circulating blood, etc^{24} . 129 Regarding biodegradation, several studies showed that PLA is completely degraded under 130 compost conditions¹⁰. 131 PHA is another material applied in many sectors, which is a polyester of various hydroxy 132 alkanoates that is synthesized by microbial fermentation. PHAs application is restricted due 133 to their reduced mechanical properties, incompatibility with conventional thermal 134 processing techniques, and relative higher thermal degradation²³. However, they are 135 entirely biodegradable which occurs via linkage break by esterases of the monomer from the chain ends¹⁰. PHB is the most popular of the PHAs with a high degree of crystallinity. It 137 has the advantage of biodegradability by the action of PHA hydrolases and PHA 138 depolymerase forming (*R*)- and (*S*)-hydroxybutyrates and non-toxic compounds under aerobic and anaerobic conditions²³. Another copolymer is hydroxybutyrate and hydroxy 140 valerate (PHBV), which showed a degradation rate faster than the PHB, in which the degradation kinetics hang on the structure, the crystallinity, and the processing conditions¹⁰. 142 *2.2 Biodegradable polymers from fossil-based polymers* 143 Fossil fuel-based biodegradable polymers such as poly (butylene succinate-co-adipate)

144 (PBSA), poly (butylene succinate) (PBS), polycaprolactone (PCL), and poly(butylene

145 adipate-co-terephthalate) (PBAT) have some advantages that could be used in many 146 industrial fields, not only for plastic production¹⁶.

147 2.2.1 Polycaprolactone (PCL)

148 PCL is a semicrystalline aliphatic polyester produced by ring-opening polymerization of ε-

149 caprolactone in the presence of various anionic and cationic catalysts¹. It is suitable for the

150 production of prolonged-release delivery systems due to its slow biodegradation¹. However,

151 despite reduced degradation rate, easy accessibility, and good mechanical properties,

152 applications have been limited due to their high $cost¹$. The degradation takes place in two

153 stages; first, the hydrolytic cleavage of the ester bond and then the intracellular degradation

154 into the nontoxic metabolites that are excreted directly from the body or after the metabolic

155 change in the Krebs cycle¹. Recent studies showed that PCL microplastics were found in

156 the Mediterranean sea providing evidence that PCL does not easily degrade under natural

157 conditions²⁵, reinforcing its limitations.

158 2.2.2 Poly (butylene succinate) (PBS)

159 PBS is synthesized by the polycondensation reaction between succinic acid and

160 butanediol²⁶, with good thermal stability and mechanical properties²⁶. The applications of

161 PBS are expanding in many areas, such as packaging, mulching films for agriculture, or

162 delayed-release materials for fertilizers and pesticides²⁶. PBS has a low relative

163 biodegradation rate due to its high crystallinity and it decomposes into nontoxic and

164 harmless products, such as water and $CO₂²⁶$. To increase biodegradability, several

165 approaches have been used, such as physical blending, copolymerization, or composite

 166 formation²⁶. Some studies showed that PBS could be degraded by enzymatic attack in the

167 amorphous and crystalline structure²⁷; it was observed by Fourier Transform Infrared

Spectroscopy (FTIR) that the main chain scission was at the ester linkage²⁷.

2.2.3 Poly (butylene adipate-coterephthalate) (PBAT)

 PBAT is synthesized from 1.4-butanediol, terephthalic acid, and adipic acid monomers by polycondensation reactions, and has mechanical properties analogous to the low-density 172 polyethylene (LDPE), while the oxygen barrier property is 50 % lower than LDPE¹⁶. PBAT 173 is an attractive material for agricultural mulch films and food packaging¹⁶. The degradation is affected by microorganisms that excrete enzymes into the environment, attack the 175 polymer surface and cleave polymer chains²². Although microorganisms are fundamental for the entire degradation mechanism, they can also be abiotic, which is a step that precedes the assimilation governed by chemical, thermal, mechanical and photo degradation²². Small oligomers and monomers formed during biodegradation are digested by microorganisms 179 producing CO_2 , H₂O, and CH₄²².

3 Effect of polymer properties and environmental factors on biodegradation

It should be noted that several factors affect the biodegradation process, such as the

properties of the polymers (molecular weight, shape and size, type of functional groups,

crystallinity, and exposure conditions (temperature, humidity, pH, and current

microorganisms).

 The chemical structure of a polymer is the main factor determining whether the polymer 186 can or cannot biodegrade and how to achieve it²⁸. Crystallinity is the property that limits the accessibility of water to the polymer chain, whereas amorphous regions are more flexible and accessible, thus being more susceptible to both hydrolysis and biodegradation than 189 crystalline regions²⁸. Also, the dimensions of the material are critical for biodegradability. 190 Ruggero²⁹ evaluated the importance of the thickness (ranging from 50 and 500 μ m) of a PLA film in the biodegradation process. Only 3 % of the film content with 500 μm of thickness degraded when conditioned in thermophilic conditions (0 days: 58 °C and 50-55

 % of relative humidity, 40 days: 37 °C and 50-55 %), although 50-μm thick films had total biodegradation after 2 months under these conditions.

 With respect to environmental factors, soil moisture and temperature play an important role in the growth of microorganisms. As moisture increases, there is an increase in the hydrolytic cleavage of microorganisms, and the degradation capacity of enzymes decreases when the optimum temperature. Thus, polymers with a high melting point are less likely to be degraded. Another factor is pH, as it affects the speed of the hydrolytic reaction and the 200 rate of microbial growth, and therefore, the rate of degradation³⁰. We can also cite oxygen supply and light as being crucial factors in the degradation rate since these factors vary depending on the environment. For example, in composting plants, the temperature can 203 reach 50-70 °C, and in the Arctic Ocean, it can be around the freezing point³¹. Another 204 example is that soils can show diversified pHs; Mergaert³² studied the degradation of PHBV (poly(3-hydroxybutyrate-co-3-hydroxy valerate) in soils with pH values between 3.5 and 7.1 and noticed that the polymer degraded more rapidly at lower pH values. Therefore, note that the same polymer can present different rates of biodegradation in different environments. Therefore, it is crucial to assess the sustainability of use and ensure a suitable degradation profile for the intended application or use in waste management. Another crucial factor for biodegradation is the viable count of microorganisms, as the values differ significantly in different environments. For example, in compost, there are 10^7 -10⁸ colony-forming units per gram of material; in soil, 10⁶ colony-forming units per 213 gram of material³¹. However, it is not just the total count of microorganisms that matters, but also its ability to degrade any polymeric substrate. PCL biodegradation was evaluated in freshwater (lake and river) and seawater (bay and ocean), and the authors noted different degradation rates depending on the environment, due to the differentiated presence of

4 Methods of biodegradability determination

 The biodegradability of plastic materials conventionally is first assayed using laboratory and simulation tests, where the conditions can be better defined and controlled. However, biodegradability should also be studied under real conditions (field) to know the correct impact on the environment. Figure 2 shows the aim tests used to determine the biodegradability of solid polymers.

 In laboratory tests, enzymes and cell cultures are used to create an artificial environment to determine the biodegradability of plastic. To translate the results of enzymatic degradation conducted in the laboratory into biodegradation *in nature*, the microorganisms used must also be present in the environment where plastic can be found. Therefore, the use of current microorganisms is an important factor in evaluating the biodegradability of a particular 252 polymer in a real-world environment. Eubeler³⁸ evaluated PLA degradation of PLA using the standardized test D5247-92 for the aerobic biodegradability of plastics with specific soil-dwelling microorganisms *Treptomycessetonii* and *Streptomycesviridosporus* in culture media. These microorganisms were able to degrade PLA; however, the tests conducted were limited to these specific microorganisms, because the PLA polymer was the only carbon source for these microorganisms. In a natural environment, this polymer may not be the preferred substrate, since other alternative nutrients available. In this context, microorganisms may not be prevalent in the complex biota mix in the environment in question, and their ability to survive, compete, and thrive in that specific environment is critical. Therefore, most standardized biodegradation tests from the

Organization for Economic Co-operation and Development (OECD), International

Organization for Standardization (ISO), and American Society for Testing and Materials

(ASTM) mandate the use of simulated or real environments to allow for a more realistic

 assessment. These standard tests determine biodegradability, as well as the degree or rate of degradation. The standards also form the basis for the certification of materials as compostable and biodegradable. In general, these standards define deadlines, test 268 guidelines, procedures, limits, conditions, and interpretation of results²³. In the following, we briefly describe the methods that have been most widely used to determine the biodegradability of solid polymers. For the determination of biodegradability, one of the most important parameters is the

carbon dioxide release caused by the consumption of polymeric materials by

microorganisms. Other low molecular weight metabolites such as alcohols, aldehydes,

methane, and fatty acids, among others, can be tested by gas chromatography with mass

275 spectrometry³⁹. Finally, residual polymers and their oligomers have been analyzed by gel

permeation chromatography (GPC) to monitor changes in molecular weight and

277 distribution⁴⁰.

4.1 OECD Biodegradability Tests

The OECD 301 series (OECD 301A − F) provides direct certification of the

biodegradability of material, setting limits for the classification and commercialization of

281 materials under the concept of final or ready biodegradability⁴¹. The readily biodegradable

282 material shows 60 - 70 % of organic carbon converted to $CO₂$ within a 10-day window.

However, the final biodegradable material (inherent biodegradability) shows 60 - 70 % of

284 organic carbon converted to $CO₂$ over a total of 28 days. OECD 311 has been frequently

most applied to assess the biodegradability of organic chemicals under anaerobic digester

conditions. In the case of solid polymers, this method is used to evaluate their

biodegradation if it occurs in an anaerobic digester.

4.2 ASTM Biodegradability Tests

The standard method ASTM D5210 (2007) consists of a *"Standard test method for*

determining the anaerobic biodegradation of plastic materials in the presence of municipal

wastewater sludge". It has been used to evaluate the biodegradability of plastic materials

under anaerobic conditions (a biological reactor is normally used to digest sludge after

- water treatment). This is interesting information since plastic solids that may not have been
- degraded under standard aerobic conditions often end up in anaerobic digesters as the last
- treatment option before being discharged into the environment.

The ASTM D5338 (2011) standard method consists of a "*Standard test method for*

determining aerobic biodegradation of plastic materials under controlled composting

conditions, incorporating thermophilic temperatures". It determines the biodegradation of

plastic materials under optimal composting conditions (temperature, oxygen, pH, and

humidity). This is a very relevant test, as there are commercial composting facilities that are

based on these assessments. The testing can take up to 180 days and there are no limits or

biodegradability ratings presented for certification purposes. The standard method ASTM

D5988 (*"Standard test method for determining aerobic biodegradation of plastic materials*

in soil") (2012) is complementary to the ASTM D5338 method in that it also evaluates the

- biodegradability of solid polymers under aerobic conditions in the soil, but of residual
- material not degraded in the period of ASTM D5338.
- The ASTM D6400 test standard (2004) ("*Standard Specification For Compostable*

Plastics") evaluates the criteria for specifying the material as being "compostable". The

criteria are related to disintegration, biodegradation, and ecological impacts. This method

also analyzes heavy metals to ensure that the material is within the standard limits for

- healthy composting. Disintegration and biodegradation tests are carried out simultaneously.
- Disintegration has been evaluated by sieving the compost-plastic mixture for a set time to

measure the amount of plastic passing through the sieve. Biodegradation consists of a

314 measure of converting organic carbon to $CO₂$ under thermophilic and aerobic composting

conditions. ASTM D5338 corresponds to the method used to assess biodegradation.

Ecological impacts are assessed using OECD 208, which is a plant growth test. In this test,

residual compost is mixed with the soil in specified proportions to assess the ability of

standard plant types to thrive on compost residues. If all the evaluated criteria are met, the

material can be classified as compostable.

In these tests, the samples are tested against a positive control material that already exhibits

known composting behavior. The compostability of the samples is visually assessed at

weekly intervals in conjunction with biowaste rotation, and the weight loss is measured at

the end of the test when the positive control sample is fully degraded⁴⁵.

In addition to these ASTM methodologies, several other ASTM standards for assessing

biodegradability have been included and updated in the last two decades (D5988-12,

D6340–98, D6691–09, D5511–12, D5526–12, D5929–96 (2009), D6691–09, D6954–04

(2013)).

4.3 ISO Biodegradability Tests

soil) and measurement analysis (e.g. gravimetric, respirometry) without involving microbial

ISO methods are presented according to test environment types (e.g., inoculum, compost

strains⁴⁶. Specifically, the ISO 14855 evaluates the biodegradability of solid polymers

under aerobic conditions in an aqueous media and the inoculum sources for the test vary

according to the final disposal (e.g., soil, compost, or sewage sludge). The percentage of

334 organic carbon converted to $CO₂$ represents biodegradation. This test has been run for 6

months and does not allow explicit limits or ratings for certification purposes.

 biodegradability of organic compounds in aqueous media. This method resembles the OECD 301B method setup. The ISO 14593 method assesses biodegradability under the same test conditions, but the test is performed in sealed containers similar to OECD 310. 340 For both ISO methods, the percentage of organic carbon converted to $CO₂$ represents biodegradation. The trial duration is 28 days and these tests do not allow explicit limits or ratings for certification purposes.

Using sewage sludge found in effluent treatment plants, ISO 9439 assesses the aerobic

The ISO 14855 methods assess the aerobic biodegradability of plastic materials under

controlled composting conditions (temperature, oxygen and moisture, pH). This method is

similar to ASTM D5338 standard method, and biodegradation is measured as the

346 percentage of organic carbon converted to $CO₂$. The trial lasts 180 days, and this test does

not allow explicit limits or ratings for certification purposes. The method is the preferred

 test for polymeric packaging materials under aerobic conditions because it provides an easy comparison as an international standard⁴⁵. Finally, ISO also provides a standard procedure

350 for describing sample preparation in DIS 10210^{46} .

As shown, different standards for biodegradability of plastics (for example, OECD, ASTM,

and ISO) have different composting conditions, which prevents the comparison of results.

Therefore, to encourage the development of these materials, an international consumer-

recognized logo indicating that the material purchased is compostable is needed. Despite

the existence of many methods to evaluate the biodegradability of plastics, comprehensive

comparative analyzes of these methods are still lacking in the literature to better inform

their merits and shortcomings. Furthermore, these methods typically only require 180 days,

while actual polymer degradation can take years to complete. Another critical factor is that

there are many different metabolic pathways, along with many different biodegradation

mechanisms, resulting in a variety of test methods (e.g., static, continuous, semi-

361 continuous, aerobic, anaerobic, marine, and aquatic systems)^{47}.

5 Modification processes to enhance biodegradability

 It is feasible to produce biodegradable polymers for a specific application; appropriate 364 chemical modification improves biodegradability². For example, the insertion of 'weak links' on non-biodegradable polymers could increase biodegradability². Some methods have been established to improve the properties of biodegradable polymers, such as physical blending, grafting, or copolymerization. These methods improve both the performance of a specific property and the biodegradation rate. Therefore, in this section, some of the processes to enhance biodegradability are discussed.

5.1 Blend of polymers

 Blending polymers is a method of reducing the overall cost and offers an alternative to modify both the properties and the degradability². Due to starch properties, there has been growing interest in synthesizing starch-based products²³. Starch-based thermoplastic is obtained by blending with polymers such as PLA, PHA, and PCL, which has received wider industrial applications, such as film blowing, extrusion applications, injection 376 molding, blow molding, and foaming²³. Blending must produce a fine enough dispersion so that the remaining thermoplastic part does not contaminate the environment after 378 disintegration². However, to achieve highly compostable materials, a higher amount of starch (up to 60 g/100 g) is required²³. Nevertheless, at high starch content, the incompatibility of different polymers due to the different polar characteristics will affect 381 mechanical properties²⁸. Therefore, the balance between biodegradability and mechanical 382 properties is still a challenge²³.

391 **Table 1. Some representative studies concerning blends of polymers to increase biodegradability**

5.2 Grafting

 Grafting is a simple and efficient method to fine-tune the physical/chemical properties or 395 functionality of materials⁵². A graft copolymer consists of a high-weight macromolecular chain of one monomer, referred to as the backbone polymer, with one or more branches or grafts of different monomers/polymers¹³. Regarding applications in the packaging field, the grafting of natural monomers such as cardanol, twelve hydroxy stearic acid, vanillic acid, etc. onto polyethylene can bring grafted polymers in which biodegradation can proceed 400 easily². In medical applications, grafting of tissue with known biodegradable polymers is expected to provide an improved ability to hold and release hydrophobic drugs, which 402 allows delaying the material degradation time⁵³. For example, graft-modified copolymers based on polysaccharides have improved water solubility, film formation ability, viscosity, 404 thermal stability, rheological, and gelling characteristics⁵⁴. After grafting, the crystallinity of the polymer could gradually decrease because the side chains are substituted randomly 406 and the regularity in the chain is destroyed¹³; this increases biodegradability, as exposed previously. Representative studies on grafted polymers to enhance degradability are presented in Table 2.

5.3 Cold Plasma

 Cold plasma treatment modifies the film surface and thus enhances the rate of microbial degradation, which is influenced by the treatment time, gas type, plasma power, electrode 414 design and distance, structure, and components of the films⁶². The enhancement is possibly due to the development of chain ends and free volumes within the film network, thereby 416 accelerating microbial biodegradation⁶². According to Hoque⁶³, the greater degradation due to the plasma-treated film is ascribed to the growth of the surface area that produces a porous structure and thus improves the availability of the microbes present in the degradation environment. Some representative studies are presented in Table 3.

6 Main applications

Figure 3 presents the number of studies according to the *Web of Science* database involving

biodegradable polymers and their applications from 2017 to 2021. The terms used in the

research were: (TS=(polymer AND biodegradation AND medical application)) OR

- (TS=(polymer AND biodegradation AND tissue engineering)); (TS=(polymer AND
- biodegradation AND packaging)) OR (TS=(polymer AND biodegradation AND films));
- (TS=(polymer AND biodegradation AND agricultural application)) OR (TS=(polymer
- AND biodegradation AND mulch film)); (TS=(polymer AND biodegradation AND
- automotive)); (TS=(polymer AND biodegradation AND electronics)); (TS=(polymer AND
- biodegradation AND construction)).
- 432 As shown in Figure $3A \ge 60\%$ of the published articles involve the study of biodegradable

polymers and their application in the packaging field, followed by medical and agricultural

applications. This tendency of the application sector is maintained throughout the years as

- shown in Figure 3B, as already pointed out by several authors. The main agricultural,
- packaging, and medical applications are discussed as follows.

6.1 Agricultural

 In agriculture, soil cover with plastic film has played an important role to improve grain harvesting yield and water use efficiency, because these films maintain the soil moisture,

- increase soil temperature, control weeds, and insects, minimize soil erosion and prevent soil
- 441 splashing on fruits or vegetables⁶⁷.
- Generally, plastic films consisting mainly of linear, low-density materials, such as low-
- density polyethylene, which are not readily biodegradable, are used for this purpose⁶⁸.
- Thus, these covers need to be recovered and discarded after use. Additionally, recycling
- these materials presents difficulties, as these films are often contaminated with soil. To

 bring about more sustainable options, new promising formulations of biodegradable polymers have been developed in recent years.

 Biodegradable materials applied to soil can be degraded by the action of soil organisms, saving disposal and labor costs. However, they must still meet the properties of conventional films by creating favorable microclimates for plant growth, flexibility for mechanical installation, maintaining integrity until harvest time, degrading after soil incorporation or composting, and not releasing toxic substances into the environment, and 453 being cost-competitive 67 .

Literature reported biodegradable materials capable of acting as mulch films, but the

environmental consequences of the use of biodegradable materials have not yet been

thoroughly studied, and international standards (ISO 17088, ASTM D6400, ISO 17556,

ASTM D5988) to validate their security are not yet stringent enough⁶⁸. Bio-based polymers

made of cellulose, starch, polyhydroxyalkanoates (PHA), bio-polyethylene, and PLA are

459 employed in the manufacturing of these mulching films and also shade nets⁶⁹. The shade

nets are vital in integrated pest management due to the toxicity of commercial pesticides—

reducing pesticides has ecological and economic benefits and better mechanical properties

462 compared to the traditional LDPE films⁶⁹.

 Other example of agricultural application are biodegradable materials to produce tubes (containers used in the production of seedlings). There are already commercially available tubes of biodegradable seedlings, but they still do not meet the target dynamics of forest seedling production, as they have low mechanical strength, present cracks, and deformation of the tube structure, making handling difficult during application and transport to the field $\frac{70}{10}$. Several researchers have hence been trying to develop green materials based on

 biodegradable polymeric composites with a variety of lignocellulosic matrices, such as 470 wood dust⁷¹, and rice husk⁷⁰, among others.

 Another example of application are agro-wastes derived from diverse sources including grape pomace, tomato pomace, pineapple, orange, and lemon peels, sugarcane bagasse, rice husks, wheat straw, and palm oil fibers, among others are available materials to the 474 production of bio-based packaging⁶⁹. In fact, the main applications of agricultural waste- derived biopolymers are in food packaging, which will be discussed in the next section. *6.2 Packaging* The number of materials used for packaging is growing continuously. If current consumption patterns and waste management practices do not improve by 2050, there will 479 be about 12 billion tons of plastic litter⁷². Over the last few years, academia and industry have been looking for a suitable solution to environmental problems using biodegradable polymers to produce plastics. The development of biopolymer-based packaging is one such alternative to reduce the use of conventional plastic made from petrochemicals. Biodegradable polymers can be processed by most common packaging processing 484 techniques, with some modifications of processing conditions¹⁰. Some materials are already being introduced into the market e.g. *MaterBi* (Novamont S.p.A., Novara, Italy) *GSPla* (Mitsubishi Chemical Co., Tokyo, Japan), *Apexa* (DuPont, Wilmington, DE), *Ecoflex* (BASF), *Novon* (Warner-Lambert Co., MorrisPlains, NJ), *NatureWorks* (NatureWorks, 488 Minnetonka, $MN)^{20}$. Most conventional plastics are very resistant to degradation and persist for years in the environment. The American Society for Testing and Materials (ASTM) defines a degradable plastic that undergoes a significant change in its chemical structure under

specific environmental conditions, resulting in a loss of properties measured by standard

493 test methods and in a period that determines its classification³⁵. Degradation can be 494 promoted by abiotic (UV irradiation, heat, chemicals, photooxidative, thermal, ozone, 495 catalytic, mechanochemical) and/or biological biodegradation processes⁷³. In this context, 496 the degradation of biodegradable materials is due to the action of naturally occurring 497 microorganisms, such as bacteria, fungi, and algae³⁵. Moreover, if degradation undergoes 498 by a biological processes to yield $CO₂$, water, inorganic compounds, and biomass at a rate 499 consistent with a known compostable materials and leave no visible, distinguishable or 500 toxic residue, the material is known as compostable⁷⁴. Thus, compostable plastic is 501 biodegradable, while biodegradable plastic is not always compostable; marking all 502 biopolymer-based packaging under the tag "eco-friendly", "sustainable" etc. is not the 503 complete truth, because they require specific conditions⁷⁵. 504 Biodegradable polymers and their blends have found applications in short lifespan service, 505 as well as flexible and rigid packaging applications. Currently, Europe is leading the 506 movement in advancing biodegradable packaging across the globe¹⁶. It can be seen that the 507 future trend is to turn the flexible packaging market from non-biodegradable polymer 508 material to biodegradable polymer materials¹⁶. However, various challenges remain for 509 biodegradable polymers towards practical packaging applications. Particularly pertaining to 510 the poor gas/moisture barrier issues which greatly limit the packaging⁷⁶.

511 Food-grade biopolymers such as starch, chitosan, methyl-cellulose, alginate, agar etc., have 512 found application as packaging material in the food industry.⁷⁷ Also, perishable agricultural

513 produce such as fruits and vegetables have been explored in the last decades⁷⁷. Recent

514 advances in the development of bio-based plastic have popularized their use as active/smart

515 packaging systems for food commodities that enhance the product quality and shelf-life 77 ,

 mainly due to the presence of anti-microbial agents that has specific properties e.g. absorb ethylene, water vapor and thus, protect fruits, vegetables or other type of commodities from microbial contamination such as *Bacillus subtilis, Escherichia coli, and Listeria monocytogenes*⁶⁹. For example PLA with Propolis ethanolic extract or Tanacetum 520 balsamita essential oil extended shelf-life of sausages⁷⁸; blends of PLA + Chitosan could 521 inhibited microbial growth on pork meat ⁷⁹; blends of $PVA + Chitosan$ inhibit *Bacillus subtilis, Escherichia coli* in minimally processed tomato⁸⁰ among several other studies reported in the literature. *6.3 Medical applications*

525 The biopolymers used in medical applications must be biocompatible and they may or not 526 be expected to break down after a given period³³. Biomaterials play an increasingly

527 important role in the repair of severe bone defects⁸¹. A scaffold made of biodegradable

528 materials can provide a crawling bridge for new bone tissue that could eventually degrade

529 and absorb in the body⁸¹. For example, for implantation, the scaffold must degrade

530 promptly to ensure proper remodeling of the tissue⁸². Other applications include matrices

531 for enzyme immobilization and controlled release, therapeutic devices, temporary

532 prostheses, and porous structures for tissue engineering¹⁰.

533 Proteins are the main components of different tissues and have thus been widely used for

534 sutures, hemostatic agents, scaffolds, and drug delivery systems¹⁰, because safe building

blocks are useful in diverse pharmaceutical applications¹⁸. An example of protein is gelatin,

536 which is used for coatings and microencapsulating various drugs¹⁰.

537 In addition, microplastics (<5 mm) have been added to cosmetic products in search of

538 different functions, such as exfoliation and skin cleansing (microspheres), opacity control,

539 skin lightening, feeling of silkiness and smoothness, and viscosity control⁸³. After use,

6.3.Other applications

 Besides packaging and medical application, interest in biodegradable polymers grown in the agricultural sector. Some natural polymers, such as starch, cellulose, chitin, alginic acid, 555 and lignin have been used in controlled release systems¹¹, ropes, and fishing nets¹¹ 556 mulching to enhance sustainability¹¹. Agricultural films placed in soil are susceptible to 557 degradation and aging, and hence need to have some specific properties¹¹ to be a good 558 alternative to solve agricultural pollution¹. A well-known example of mulching films is starch, which when in contact with soil microorganisms, degrades into nontoxic products¹¹. In terms of other applications, chitin acts as an absorbent for heavy and radioactive metals, 561 which is useful in wastewater treatment¹⁰. Also, polyesters have been used in automotive, electronics, or construction sectors or disposable consumer products, e.g. cutlery and plates, 563 and sanitary products¹⁰.

7. Biodegradation implications on the environment

 Finding the balance between durable and biodegradable materials is a great challenge. There is a need for long-lasting plastics (examples: aeronautical devices, construction materials, containers, etc., of great industrial interest) and short-lived biodegradable materials (examples: food packaging, medical devices, and agricultural covers). Therefore, the ideal would be to obtain materials that are resistant during their use but biodegradable at the end of their useful life. However, when biodegradable polymers are released into the environment in large quantities, different aspects must be considered. Furthermore, water resources, not just drinking water resources, must be protected from contamination. The soil must also be preserved. Although the hypothesis is that composting is more environmentally friendly than material recovery, biodegradable materials have not 575 been found to provide soil nutrition or soil structure benefits⁸⁸. Biodegradable polymers do not have the proper nutrients for plants; they also degrade too quickly to act as a soil structure improvement component. Another controversy involving the biodegradability of polymers is the production of 579 hydrocarbon gases, mainly methane (CH_4) and ethylene (C_2H_4) , which react with OH in the 580 atmosphere and increase concentrations of carbon monoxide⁸⁹. However, generating methane can be interesting if it is collected, as it can be used as biogas or to generate heat 582 . or electricity⁹⁰. Therefore, the massive implementation of biogas collection systems in landfills can decrease the amount of methane emitted into the atmosphere. Additionally, methane gas shows a 23 times greater global warming potential than carbon dioxide, allowing methane collection and atmospheric diversion to mitigate the effects of climate $change^{91}$.

 The introduction of new artificial biodegradable materials along with traditional biowaste 588 can pose major risks to the production of compounds³⁰. Due to the possibility of forming additional toxic compounds during biodegradation as a result of biotransformation, an assessment of toxicity is paramount when evaluating the biodegradability of polymers. Toxicity can be species-specific; we can find single-species tests, such as the earthworm acute toxicity test (OECD 207) and the terrestrial plant growth test (OECD 208), which are 593 used for the toxicity of chemicals⁴¹. However, these tests are very limited, and tests performed for multi-species with model ecosystems provide information closer to the real 595 fate of the compounds, but they are expensive and time-consuming to perform⁹². Ecotoxicity tests use model organisms under controlled laboratory conditions to ensure that 597 no harmful degradation products are released into the environment⁹³. The choice of test species depends on the ecosystem under investigation: for terrestrial environments, soil 599 organisms, such as certain microbes⁹⁴, terrestrial plant species; for aquatic ecosystems, 600 algae, crustaceans, and fish⁹⁵ are tested for their response to degradation products. Test systems differ in terms of duration and evaluated effects (lethal or sublethal, such as growth or reproduction, and specific responses, such as mutagenicity, carcinogenicity, 603 neurotoxicity, or immunotoxicity)⁹². Since biodegradable polymers have been used in medical applications, there are many studies in the field of human toxicology. There are also some established standards for compostable plastics, which also include ecotoxicity requirements. For example, the

607 European Standard EN 13432 requires data on growth and plant germination⁹⁶. However, it

should be mentioned that there is little ecotoxicological data on biodegradable polymers,

and the assessment of the environmental impact of polymers is generally not covered by

legislation such as that for chemicals.

 Finally, it is worth mentioning that biodegradable polymers are equally dangerous to petrochemical derivative polymers, as it forms microplastics (100 nm - 5 mm size) or nanoplastic (NP) (<100 nm) that indirectly affect human health due to their deposition in 614 various organs^{36,97}. Additionally, biodegradable polymers are mainly disposed of in industrial composting, while conventional plastics are usually incinerated, recycled, or landfilled (banned in many western countries). Therefore, alternatives such as collection, sorting, and reprocessing capabilities are sustainable options that have less impact on the 618 environment⁹⁸.

8. Conclusion and future perspectives

There are different challenges in the development of biodegradable materials, ranging from

the complexity of their processing to the interest of consumers. Biodegradable materials

have been associated with "bioplastics", which are bio-based plastics that are not

necessarily degradable (e.g., green PE). Furthermore, the fact that biodegradability has been

limited to industrial composting systems or negligible in the case of some bio-based

plastics makes the process fragile. Therefore, we can confirm that it is extremely important

that the 'biodegradable' label include a clear indication of the environment in which the test

was carried out to have an adequate destination for its biodegradation to occur.

In addition, it is noted that the industry is constantly searching for cost-performance

development of biodegradable materials to replace conventional plastics. However, there is

still a great deficiency in infrastructure and the disposal of biodegradable materials.

In future perspectives, it is relevant to mention new approaches that have been explored, such

as the addition of nano-sized materials (for example, starch, chitosan, protein, carbon

nanotubes, and silver and clay nanoparticles) as a tool to improve the biodegradability rate

of plastics. In addition, we can mention a line of development of new types of enzymes and

 microorganisms capable of rapidly metabolizing conventional plastics. However, it is important to emphasize that, in order to solve the problems of plastic waste, it is not enough to "hide" them, micro and nano-sized plastics, despite not causing visible pollution, can be extremely harmful, resulting in serious effects on the environment, human health, plants, and animals. Therefore, future research should focus on discovering strategies to remediate these small particles in the environment and in living things. Finally, it is worth noting that although there are many challenges still to be overcome, there

- is a race from different sectors in search of differentiated solutions for the accumulation of
- plastic waste.

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7 Acknowledgments

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