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1 **Salt cleaning of ultrafiltration membranes fouled by whey model**
2 **solutions**

3
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12
13 **Abstract**

14
15 In this work, three ultrafiltration (UF) membranes were fouled with whey model solutions
16 that contained BSA (1 % w/w) and CaCl₂ (0.06 % w/w). These membranes were cleaned
17 with NaCl solutions. Temperature, crossflow velocity and concentration were varied. The
18 membranes considered were a polyethersulfone (PES) membrane, a ceramic ZrO₂-TiO₂
19 membrane and a permanently hydrophilic polyethersulfone (PESH) membrane. Their
20 molecular weight cut-offs (MWCOs) are 5, 15 and 30 kDa, respectively. The cleaning
21 efficiency was related to the MWCO, membrane material and operating conditions. The
22 results obtained demonstrated that NaCl solutions were able to clean the membranes tested.
23 In addition, the higher the temperature and the crossflow velocity of the cleaning solution,
24 the higher the cleaning efficiency was. However, there was an optimum value of NaCl
25 concentration to clean the membranes effectively. When concentration was higher than the

26 optimum, the cleaning efficiency decreased. The relationship between the cleaning
27 efficiency and the operating conditions was obtained with statistical and optimization
28 analysis.

29

30 *Keywords:* Ultrafiltration; whey model solutions; NaCl solutions; membrane cleaning

31

32 **1. Introduction**

33

34 In dairy industries, ultrafiltration (UF) is one of the most widely used membrane separation
35 processes. Its most important applications are milk dehydration, whey concentration and
36 protein fractionation or purification [1, 2]. However, the major drawback in the application
37 of UF processes is the progressive flux decline due to the fouling phenomena. In the dairy
38 industry, membrane fouling is mainly caused by protein deposition on the membrane
39 surface and adsorption inside its porous structure [3]. To minimize membrane fouling,
40 several authors have investigated protein-protein, protein-membrane and also, protein-
41 inorganic compounds interactions [3, 4, 5]. Almécija et al. [3] studied the influence of
42 calcium salts on the UF of whey using a 50 kDa ceramic membrane. They demonstrated
43 that these salts can act as binding agents between proteins. When the concentration of
44 calcium salts increased, the percentage of membrane blocked pores during UF increased
45 while the permeate flux through the membrane decreased. Ang and Elimelech [4] studied
46 the effect of calcium concentration on the bovine serum albumin (BSA) fouling of reverse
47 osmosis membranes. They reported that permeate flux decline was greater when calcium
48 concentration increased, due to the reduction of the electrostatic repulsion among BSA
49 molecules. Mo *et al.* [5] studied the effect of several cations and ionic strength on BSA
50 fouling on reverse osmosis membranes. Calcium cations acted as crosslinking agents with

51 BSA molecules. Fouling experiments demonstrated that BSA fouling rate increased when
52 calcium was present in the feed solution. Fouling rate also increased as ionic strength of
53 the feed solution increased.

54

55 To overcome membrane fouling, membranes have to be cleaned to remove the deposits. In
56 dairy industries, chemical cleaning procedures are carried out even twice a day [6]. The
57 conventional procedure to clean membranes fouled with whey solutions consists of several
58 steps of alkali, acid and disinfectant washings [1, 2, 3, 7]. However, membranes may be
59 damaged by these cleaning agents, reducing the membrane lifetime and causing a negative
60 impact on the environment when they are discharged as wastewaters. Therefore, alternative
61 cleaning techniques have been developed in the last years such as electromagnetic fields
62 [8], ultrasounds [9] and saline solutions. Several authors [10-12] have investigated the
63 effect of salts on protein-protein interactions. Tsumoto et al. [10] studied the effect of
64 several salts on protein-protein interactions. They observed that, at the same concentration,
65 some salts (such as Na_2SO_4) caused a decline in protein solubility while other salts (such as
66 NaCl) increased the solubility of proteins. The effect of salts that decreased protein
67 solubility is known as salting-out effect. On the other hand, the effect of increasing protein
68 solubility is known as salting-in effect. Zhang [11] reported that Cl^- was able to
69 specifically bind to the proteins surface more strongly than other cations and anions. Thus,
70 the repulsive intermolecular interactions increase and protein solubility also increases.
71 Hofmeister [12] proposed a ranking of the capability of several cations and anions to salt-
72 out or salt-in proteins. Based on the Hofmeister series, Nucci and Vanderkooi [13] reported
73 a series of divalent and monovalent cations and classified them in order of their ability to
74 precipitate proteins. According to these series, calcium cation is one of the most salting-out

75 ions, which is in agreement with other works about the effect of calcium on protein fouling
76 [3, 4].

77

78 However, only a few papers investigated membrane cleaning by means of saline solutions
79 [14, 15]. Lee and Elimelech [14] cleaned reverse osmosis membranes fouled with alginate
80 and calcium solutions with NaCl aqueous solutions at different concentrations. Their
81 results showed that cleaning efficiencies of about 90 % were achieved with NaCl solutions
82 of 50 mM. However, increasing NaCl concentration from 50 to 300 mM did not cause an
83 increase in the cleaning efficiency. In a previous work, Corbatón-Báguena *et al.* [15]
84 cleaned a 15 kDa MWCO UF membrane fouled with BSA solutions with different saline
85 solutions (Na₂SO₄, NaCl, NaNO₃, NH₄Cl and KCl). The highest values of hydraulic
86 cleaning efficiency (HCE) were obtained with NaCl, NaNO₃, NH₄Cl and KCl solutions.

87

88 The aim of this work is to evaluate the ability of NaCl solutions to clean a monotubular
89 ceramic UF membrane of 15 kDa and two flat-sheet polymeric UF membranes of 5 and 30
90 kDa fouled by whey model solutions. The solutions consisted of BSA 1 % (w/w) and
91 CaCl₂ (0.06 % (w/w) in calcium). The influence of the operating conditions (temperature,
92 crossflow velocity and NaCl concentration of the cleaning solution), membrane material
93 and molecular weight cut-off (MWCO) on the membrane cleaning efficiency was
94 investigated. In order to determine the optimal values of the cleaning operating conditions
95 to achieve the highest cleaning efficiency, statistical and optimization analysis were
96 performed.

97

98 **2. Materials and methods**

99

100 *2.1. Materials*

101

102 Fouling experiments were performed using aqueous solutions that contained BSA (1 %
103 (w/w)) and CaCl₂ (0.06 % (w/w) in calcium) as feed solutions. BSA (prepared by heat
104 shock fractionation, lyophilized powder, 98 % purity, A3733, Sigma-Aldrich, Germany)
105 and CaCl₂ (95 % purity, Panreac, Spain) were dissolved in deionized water until the above
106 mentioned concentration was achieved. Isoelectric point of BSA is 4.9, according to the
107 manufacturer. Feed solutions had a pH of about 7, thus BSA has mainly negative net
108 charge on its surface. Its configuration is elliptic (11.6x2.7x2.7 nm) and it is one of the
109 most widely used whey proteins to prepare model solutions for UF experiments [15, 16,
110 17, 18]. CaCl₂ is one of the most often used salts to study the interactions between whey
111 proteins and salts [3, 4, 5].

112

113 Membranes were cleaned with NaCl aqueous solutions (121659, Panreac, Spain) at a pH
114 ranging from 6.8 to 7. NaOH aqueous solutions (211687, Panreac, Spain) and NaClO
115 aqueous solutions (211921, Panreac, Spain) at a pH 11 were used as alkaline cleaning
116 agents.

117

118 *2.2. Membranes*

119

120 The membranes used in the experiments were: a flat sheet polyethersulfone (PES)
121 membrane of 5 kDa (reference UP005), a flat sheet permanently hydrophilic
122 polyethersulfone (PESH) membrane of 30 kDa (reference UH030) and a monotubular
123 ceramic membrane of 15 kDa. The polymeric membranes were supplied by Microdyn-
124 Nadir, Germany. They had an effective area of 100 cm². The ceramic membrane was

125 supplied by TAMI Industries, France. It consisted of a TiO₂ support layer and a ZrO₂-TiO₂
126 active layer and its effective area was 35.5 cm². It was 20 cm long with an internal
127 diameter of 0.6 cm and an external diameter of 1 cm. The properties of these membranes
128 are shown in Table 1. These membranes were selected for this study because their MWCO
129 was lower than the molecular weight of BSA (67 kDa). This fact ensured high BSA
130 rejection values. Moreover, they have MWCOs within the typical range for the production
131 of whey protein concentrates. In addition, high thermal stability was desirable because high
132 temperatures favour membrane cleaning. According to Table 1, this characteristic was
133 common to all the membranes tested. Three different MWCOs (5, 15 and 30 kDa) and
134 different membrane materials (PES, ZrO₂-TiO₂ and PESH) were selected to investigate
135 their influence on the cleaning efficiency.

136

137 *2.3. Experimental set-up*

138

139 A VF-S11 UF plant (supplied by Orelis, France) was used to perform the fouling and
140 cleaning experiments. It consisted of a 10 L stainless steel feed tank, a variable speed
141 volumetric pump to control de crossflow velocity of each step, two manometers to measure
142 the pressure drop across the membrane module, a temperature regulating system and a
143 scale (0.001 g accuracy) to gravimetrically determine the permeate flux. This experimental
144 set-up was described elsewhere [15].

145

146 All the experiments were performed in total recirculation mode, except in the case of the
147 rinsing step. Operating conditions during the fouling experiments were a transmembrane
148 pressure of 2 bar, a crossflow velocity of 2 m·s⁻¹ and a temperature of 25 °C. The duration

149 of the tests was 3 h. These experimental conditions were selected according to previous
150 studies on whey and protein ultrafiltration [15, 19].

151

152 *2.4. Experimental procedure*

153

154 *2.4.1. Fouling experiments*

155

156 Permeate flux, hydraulic resistance and rejection were measured during the fouling process
157 to ensure that the values obtained were reproducible in all runs. Each fouling experiment
158 was repeated a minimum of 10 times.

159

160 BSA rejection coefficient (Eq. 1) was calculated by measuring the permeate BSA
161 concentration during the fouling tests. Measurements were performed by an UV-visible
162 spectrophotometer (Hewlett-Packard 8453) at the wavelength corresponding with the
163 maximum of BSA absorbance (278 nm).

164

$$165 \quad \text{Rejection (\%)} = \left(1 - \frac{C_p}{C_b}\right) \cdot 100 \quad \text{Eq. 1}$$

166

167 In Eq. 1 C_b is the BSA concentration in the feed solution (1 % (w/w)) and C_p is the
168 permeate BSA concentration.

169

170 The hydraulic resistance (R) was determined by means of Darcy's law (Eq. 2) at the end of
171 each fouling run.

172

$$J = \frac{\Delta P}{\mu \cdot R} \quad \text{Eq. 2}$$

173

174

175 where J is the permeate flux, ΔP is the transmembrane pressure, R is the total hydraulic
176 resistance and μ is the feed solution viscosity.

177

178 *2.4.2. Rinsing and cleaning experiments*

179

180 After the fouling experiments, a washing step with deionized water prior to membrane
181 cleaning (first rinsing) was performed to remove the reversible fouling from the
182 membrane. Then, a cleaning step with NaCl solutions that allows the removal of the
183 irreversible fouling was carried out. After the cleaning procedure, another rinsing step
184 (second rinsing) with deionized water can be performed in order to remove the remaining
185 loose foulant matter from the membrane surface and the cleaning agent molecules.

186

187 Cleaning experiments were performed at a transmembrane pressure of 1 bar, different
188 crossflow velocities (1.2, 1.69, 2.18, 2.68, 3.19 and 4.2 m·s⁻¹), five NaCl concentrations (0,
189 2.5, 5, 7.5 and 10 mM) and three temperatures (25, 37.5 and 50 ° C). The pH of all the
190 NaCl solutions ranged from 6.8 to 7. Each cleaning procedure was performed in duplicate.
191 Before and after the cleaning step the membranes were rinsed at 25 °C and the same
192 transmembrane pressure and crossflow velocity as the cleaning step. During the rinsing
193 and cleaning steps, low transmembrane pressure (1 bar) favours the relaxation of the
194 compressible fouling layer formed in the fouling step and its removal [6].

195

196 The steps of cleaning and rinsing ended when the permeate flux and the hydraulic
197 resistance of each step remained constant with time. The duration of the rinsing steps was
198 45 minutes, while the duration of the cleaning step ranged from 70 to 80 minutes.

199

200 After the last rinsing step, if the initial permeability conditions of the membranes were not
201 recovered, membranes were cleaned with alkaline solutions. Polymeric membranes (5 and
202 30 kDa) were cleaned with NaOH aqueous solutions at 45 °C and a pH of 11. The 15 kDa
203 membrane was cleaned with 250 ppm NaClO aqueous solutions at a pH of 11. These
204 cleaning procedures were recommended by the manufacturers to restore the membrane
205 permselectivity properties.

206

207 *2.5. Evaluation of membrane cleanliness*

208

209 Daufin *et al.* [20] and Matzinos and Álvarez [19] developed a method to calculate the
210 efficiency of rinsing and cleaning protocols. In these works, membranes were cleaned with
211 NaOH solutions and the hydraulic resistance of the membrane after each step (fouling, first
212 rinsing, cleaning and second rinsing) was determined by means of Darcy's law. These
213 authors proposed an equation to evaluate the efficiency of the first rinsing to restore the
214 membrane permeability. To evaluate the cleaning efficiency of the entire cleaning protocol
215 to restore the initial membrane permeability, a similar equation (Eq. 3) was used [19, 20,
216 21]:

217

$$HCE = \frac{R_f - R_{r2}}{R_f - R_m} \cdot 100 \quad \text{Eq. 3}$$

218

219

220 where HCE is the hydraulic cleaning efficiency, R_f is the fouling resistance, R_m is the
221 resistance of the new membrane and $R_{r,2}$ is the hydraulic resistance after the second rinsing.

222

223 *2.6. Statistical and optimization analysis*

224

225 Results of the cleaning experiments were used to determine the relationship between the
226 values of the cleaning operating conditions (temperature, T_c , NaCl concentration, C , and
227 crossflow velocity, v) and the HCE by means of a Response Surface Methodology (RSM)
228 analysis. This analysis was performed with the Statgraphics® software using a factorial
229 design. After that, a Multiple Linear Regression analysis was carried out to obtain a model
230 equation for HCE as a function of the operating conditions studied. In a first step, T_c , C , v
231 and their interactions were considered. If a regression model coefficient had a p-value
232 higher than 0.05, it was neglected because it was not statistically significant. Thus, a new
233 regression analysis was performed until all the coefficients were statistically significant.

234

235 To determine the values of temperature, NaCl concentration and crossflow velocity that
236 maximize the value of HCE for each membrane tested, an optimization method was
237 performed with the model equations obtained in the RSM analysis. The optimization
238 algorithm was based on the “patternsearch” function of Matlab® software, which finds the
239 minimum of an objective function by means of a pattern search. Therefore, in this work the
240 objective functions are the opposite functions of the model equations of HCE for each
241 membrane. Additional parameters were included in the “patternsearch” function as the
242 maximum value of temperature (50 °C) and the maximum value of crossflow velocity
243 ($3.19 \text{ m}\cdot\text{s}^{-1}$ for the 5 and 30 kDa membranes and $4.2 \text{ m}\cdot\text{s}^{-1}$ for the 15 kDa membrane), as
244 these were the higher values tested of these operating conditions.

245

246 2.7. AFM measurements

247

248 A Multimode Atomic Force Microscope (supplied by Veeco, Santa Barbara, CA, USA)

249 with a NanoScope V controller was used to measure membranes roughness. Samples of 5

250 $\mu\text{m} \times 5 \mu\text{m}$ samples were used. Roughness was obtained by means of the tapping mode of

251 imaging and the results were presented in terms of the Root Mean Square roughness (R_q).

252 This parameter considers the standard deviation of the surface height values in a specific

253 area (Eq. 4) [22]:

254

$$255 \quad R_q = \sqrt{\frac{\sum (Z_i - Z_{avg})^2}{N_p}} \quad \text{Eq. 4}$$

256

257 In this equation, N_p is the number of points in the selected area, Z_i is the height value

258 currently measured and Z_{avg} is the average of the height values.

259

260 3. Results and discussion

261

262 The values of R_m for the membranes used in the experiments were: $9.453 \cdot 10^{12}$, $5.001 \cdot 10^{12}$

263 and $3.794 \cdot 10^{12} \text{ m}^{-1}$, for the membranes of 5, 15 and 30 kDa, respectively. These values

264 were taken as a reference to calculate HCE.

265

266 3.1. Fouling experiments

267

268 Evolution of permeate flux with time during the fouling step for the 5, 15 and 30 kDa
269 membranes is shown in Fig. 1. Among all the membranes tested, the PESH 30 kDa
270 membrane showed the lowest flux decline (19.96 %) during the fouling step in comparison
271 with the PES 5 kDa membrane (34.62 %) and the ceramic 15 kDa membrane (39.82 %).
272 The reason for that is the hydrophilic nature of the 30 kDa membrane. According to
273 Rahimpour and Madaeni [23], the higher the hydrophilicity of the membrane surface is, the
274 better the antifouling properties (high rejection coefficient, low permeate flux decline and
275 low total filtration resistance) are. These authors tested the behavior of several PES
276 membranes during the crossflow filtration of non-skim milk. Their results demonstrated
277 that the hydrophilic PES membranes had a lower permeate flux decline (about 16 %) than
278 the unmodified hydrophobic PES membrane (about 40 %). In addition, protein rejection
279 was higher for the hydrophilic membranes than for the hydrophobic one. On the other
280 hand, membrane fouling is also related to the surface roughness. When membrane
281 roughness increases, fouling becomes more severe, because rougher surfaces favour the
282 entrapment of foulant molecules [24]. This phenomenon can be observed for the
283 membranes tested comparing permeate flux decline with the values of roughness (R_q) for
284 each membrane tested. The highest flux decline was achieved for the 15 kDa membrane
285 ($R_q = 17.900$ nm), followed by the 5 kDa membrane ($R_q = 0.487$ nm and hydrophobic) and
286 the 30 kDa membrane ($R_q = 1.657$ nm and hydrophilic).

287

288 The variation of the rejection coefficient with time for the 5, 15 and 30 kDa membranes
289 during the fouling step can be observed in Fig. 2. After 120 min of UF, BSA rejection was
290 very similar for all the membranes tested (99.55 %, 99.64 % and 99.61% for the 5, 15 and
291 30 kDa membrane, respectively). These high rejection coefficients may be due to the great
292 difference between the size of BSA molecules and the membrane pore size. When the

293 foulant molecule size is much higher than the membrane pore size, these molecules can be
294 retained on the membrane surface [25, 26].

295

296 Fig. 3 shows the evolution of the hydraulic resistance during the fouling, first rinsing,
297 cleaning and second rinsing steps. The experimental conditions of transmembrane
298 pressure, temperature and crossflow velocity were the same for all the membranes tested in
299 the rinsing and cleaning steps: 1 bar, $2.18 \text{ m}\cdot\text{s}^{-1}$ and $25 \text{ }^\circ\text{C}$ during the rinsing steps and 1
300 bar, $2.18 \text{ m}\cdot\text{s}^{-1}$ and $50 \text{ }^\circ\text{C}$ in the cleaning step. However, NaCl concentration was higher for
301 the polymeric membranes (7.5 mM) than in the case of the ceramic one (5 mM), because
302 these were the values of NaCl concentration to obtain the highest HCE for each membrane
303 at the experimental conditions above mentioned. According to Fig. 3, the HCE obtained for
304 the 15 kDa membrane was the lowest (56.27 %), while the HCE for the 5 and 30 kDa
305 membranes were higher than 90 % (90.98 % and 98.43 % , respectively). The reason for
306 that is the higher roughness of the 15 kDa membrane compared with the 5 and 30 kDa
307 membranes (R_q values of 0.487 and 1.657 nm, respectively). Therefore, higher values of
308 crossflow velocity were tested for the 15 kDa membrane in order to achieve greater values
309 of HCE.

310

311 *3.2. Cleaning experiments*

312

313 *3.2.1. Influence of NaCl concentration*

314

315 The effect of NaCl concentration on the values of HCE for each membrane was
316 investigated. Several NaCl concentrations (0, 2.5, 5, 7.5, 10 and 12.5 mM) at two different
317 temperatures (25 and $50 \text{ }^\circ\text{C}$) were considered. Crossflow velocity was set at $2.18 \text{ m}\cdot\text{s}^{-1}$ for

318 the polymeric membranes (5 and 30 kDa) and at $4.2 \text{ m}\cdot\text{s}^{-1}$ for the 15 kDa membrane. Fig. 4
319 shows the results of the influence of NaCl concentration on the HCE. In the case of the
320 polymeric membranes, HCE increased as NaCl concentration increased up to 7.5 mM for
321 the two temperatures tested. However, above this salt concentration, HCE decreased (Figs.
322 4a and 4c). The same effect can be observed in Fig. 4b for the ceramic membrane: HCE
323 increased as NaCl concentration increased up to 5 mM, but a higher increase in salt
324 concentration caused a decrease in HCE for both temperatures studied. It can also be
325 observed that, at the same experimental conditions (50 °C and 7.5 mM of NaCl), the
326 highest value of HCE was obtained for the 30 kDa membrane (98.42 %). The reason for
327 this is the hydrophilic nature of the 30 kDa membrane as well as the small roughness that
328 this membrane presents. Thus, this membrane showed less severe fouling than that of the 5
329 and 15 kDa membranes as it was already commented and it can be cleaned more easily
330 [23].

331

332 Other authors [10, 14, 27] observed as well that there is an optimal value of the cleaning
333 agents to clean different membranes. They reported that the cleaning efficiency increased
334 as their concentration increased up to this optimal concentration. However, the cleaning
335 efficiency did not increase or it could even decrease if the cleaning agent concentration
336 increased above the optimal value. Lee and Elimelech [14] used NaCl solutions at different
337 concentrations (0, 10, 25, 50, 100 and 300 mM) to clean reverse osmosis membranes
338 fouled with alginate and calcium solutions. They achieved HCE values of about 90 % at a
339 NaCl concentration of 50 mM. However, when NaCl concentration increased, the values of
340 HCE remained constant. This may be due to the fact that the physical conditions for
341 effective mass transfer were below the optimal ones [14]. Cabero Cabero [27] used
342 conventional cleaning agents (alkaline and detergent aqueous solutions) to clean a ceramic

343 UF membrane fouled with whey protein concentrate solutions. This author reported that
344 fouling and cleaning mechanisms may become competitive and that the cleaning agent
345 molecules can be accumulated on the membrane surface or inside its pores. In addition,
346 Tsumoto *et al.* [10] studied the effect of several salt concentrations on the surface tension.
347 At low salt concentrations, the surface tension decreases as salt concentration increases,
348 but the surface tension increases linearly with concentration at high salt concentrations.
349 They also demonstrated that the salting-in effects of saline solutions are enhanced with a
350 decrease in the surface tension. Thus, the salting-in effects of NaCl solutions are better
351 observed at low salt concentrations.

352

353 *3.2.2. Influence of cleaning solution temperature*

354

355 Cleaning experiments were performed at three temperatures (25, 37.5 and 50 °C) and two
356 different NaCl concentrations and crossflow velocities (7.5 mM and 2.18 m·s⁻¹ for the
357 polymeric membranes and 5 mM and 4.2 m·s⁻¹ for the ceramic membrane) to investigate
358 the effect of the cleaning solution temperature on HCE for each membrane tested. The
359 results obtained are shown in Fig. 5. As it can be observed, HCE increases as cleaning
360 solution temperature increases for all the membranes tested. The highest HCE values
361 (90.98 %, 99.05 % and 98.43 %) were achieved at the highest temperature tested (50 °C)
362 for the membranes of 5, 15 and 30 kDa respectively.

363

364 Some authors related the surface tension of a saline solution to the temperature [28, 29]. As
365 temperature increases, the hydrophilic ions are adsorbed from the air/water surface and
366 thus, the surface tension of the saline solution decreases [30]. As it was explained in
367 section 3.2.1., the lower the surface tension is, the more enhanced the salting-in effects are

368 [10]. On the other hand, temperatures up to 50 °C increase protein solubility and can
369 weaken the structural stability of the fouling layer, swelling it and favouring its removal
370 from the membrane surface [31]. The rate of foulant molecules transferred from the
371 membrane surface to the feed solution also increases when the cleaning solution
372 temperature increases, due to the increase in the diffusivity coefficient as temperature rises.
373 Moreover, the rate of the interaction between the salt and the deposited proteins may be
374 increased by an increase in temperature [14].

375

376 For all these reasons, the highest temperature tested (50 °C) is the most convenient
377 temperature to perform the cleaning process when the membranes are fouled with BSA and
378 CaCl₂ solutions.

379

380 *3.2.3. Influence of crossflow velocity*

381

382 Fig. 6 shows the variation of HCE with crossflow velocity for all the membranes tested.
383 The values of NaCl concentration and temperature that were selected to study the influence
384 of the crossflow velocity on HCE were the ones at which the highest values of HCE were
385 obtained. These conditions were a NaCl concentration of 7.5 mM and 50 °C for the
386 polymeric membranes and a NaCl concentration of 5 mM and 50 °C for the ceramic
387 membrane. In the case of the 5 and 30 kDa membranes (Fig. 6a), lower values of crossflow
388 velocity were tested (1.2 and 1.69 m·s⁻¹), due to the lower roughness of these membranes
389 compared with the ceramic one.

390

391 The Fig. 6 shows that when crossflow velocity increases, HCE increases. For all the
392 membranes tested, values of HCE near 100 % were achieved at the highest crossflow

393 velocity tested ($3.19 \text{ m}\cdot\text{s}^{-1}$ for the 5 and 30 kDa membranes and $4.2 \text{ m}\cdot\text{s}^{-1}$ for the 15 kDa
394 membrane). As the crossflow velocity increases, the shear force increases as well
395 favouring the removal of foulant molecules from the membrane surface [32, 33, 34].

396

397 *3.3. Statistical and optimization analysis*

398

399 The influence of the operating conditions (temperature, NaCl concentration and crossflow
400 velocity) on the values of HCE was evaluated by means of statistical (RSM and Multiple
401 Linear Regression) and optimization (pattern search algorithm) analysis.

402

403 The effect of temperature and NaCl concentration on HCE for the 5, 15 and 30 kDa
404 membranes is shown in Fig. 7. Light grey and white colours in the lower left corner of the
405 surface contours represented the most unfavourable conditions to perform the cleaning
406 procedure. These conditions corresponded to the lowest temperature ($25 \text{ }^\circ\text{C}$) and NaCl
407 concentration (0 mM) tested. On the other hand, the highest values of HCE (higher than 90
408 %) were achieved at temperatures higher than $46\text{-}50 \text{ }^\circ\text{C}$ and NaCl concentrations ranging
409 from 7.5 to 10 mM for the 5 and 30 kDa membranes and from 4.5 to 5 mM in the case of
410 the 15 kDa membrane. These experimental conditions are coloured in black in Fig. 7.

411

412 After the RSM analysis, a Multiple Linear Regression was performed to relate HCE with
413 temperature, NaCl concentration and crossflow velocity. Eqs. 5, 6 and 7 show these
414 mathematical relationships for the 5, 15 and 30 kDa membranes, respectively. The
415 regression coefficients for each equation were 0.976, 0.970 and 0.962, respectively. Table
416 2 shows the results of the ANOVA.

417

418
$$HCE_5 (\%) = a + b \cdot v + c \cdot T_c \cdot C + d \cdot T_c^2 + e \cdot C^2 + f \cdot v^2$$
 Eq. 5

419

420
$$HCE_{15} (\%) = a + g \cdot T_c + c \cdot T_c \cdot C + e \cdot C^2 + f \cdot v^2$$
 Eq. 6

421

422
$$HCE_{30} (\%) = a + h \cdot C + b \cdot v + d \cdot T_c^2 + e \cdot C^2 + f \cdot v^2$$
 Eq. 7

423

424 In these equations, HCE_5 , HCE_{15} and HCE_{30} are the hydraulic cleaning efficiencies for the
425 membranes of 5, 15 and 30 kDa, respectively, T_c is the temperature of the cleaning solution
426 ($^{\circ}\text{C}$), C is the NaCl concentration (mM), v is the crossflow velocity ($\text{m}\cdot\text{s}^{-1}$) and a, b, c, d, e,
427 f, g and h are the estimated coefficients for each statistically significant parameter. Their
428 estimated values are shown in Table 2.

429

430 The values of the coefficients of the significant parameters are in agreement with the
431 experimental observations. Within the range of values of the operating parameters
432 considered in this work, the equations for the polymeric membranes predicted that HCE
433 increases with v and decreases with v^2 . The values of the coefficients indicate that the
434 increase in HCE with this variable was much more significant at low values of v , while at
435 the largest values of v the increase in HCE was much lower. However, in the case of the
436 ceramic membrane HCE was highly affected by v and linearly increased with this variable
437 for all the operating conditions tested, probably due to the greater roughness of this
438 membrane. The model equations predicted as well that HCE was highly affected by T_c and
439 it significantly increased with this variable for all the membranes. Regarding the effect of
440 NaCl concentration on HCE, the model equations predicted that HCE increased with C and
441 decreased with C^2 . This indicates that at low values of NaCl concentration HCE increases
442 with this variable up to an optimum concentration and a further increase in NaCl

443 concentration caused a decrease in HCE. For some of the membranes there was an
444 interaction between concentration and temperature, which indicates that the effect of
445 concentration on HCE is greater at higher NaCl concentrations and vice versa.

446

447 Results of the optimization analysis based on the “patternsearch” function of Matlab® are
448 shown in Table 3. According to them, the optimal cleaning solution temperature was 50 °C
449 for all the membranes tested. Optimal values of crossflow velocity and NaCl concentration
450 were: 3.15 m·s⁻¹ and 10 mM for the 5 kDa membrane, 4.2 m·s⁻¹ and 7.17 mM for the 15
451 kDa membrane and 2.82 m·s⁻¹ and 9.76 mM for the 30 kDa membrane.

452

453 **4. Conclusions**

454

455 • Three different ultrafiltration membranes of 5, 15 and 30 kDa that had been previously
456 fouled with whey model solutions consisting of BSA (1 % w/w) and CaCl₂ (0.06 %
457 w/w in calcium) were effectively cleaned with NaCl solutions. NaCl was effective as a
458 cleaning agent at the experimental conditions tested due to the salting-in effect of this
459 salt.

460

461 • An increase in temperature and crossflow velocity resulted in an increase in HCE.

462

463 • There was an optimal value of NaCl concentration to clean the membranes. If NaCl
464 concentration increased up to this optimal value, HCE increased; but a further increase
465 in NaCl concentration caused a decrease in the values of HCE.

466

467 • The optimal operating conditions that resulted in the maximum values of HCE (about
468 100 %) were: a temperature of 50 °C for all the membranes, a crossflow velocity of
469 $3.15 \text{ m}\cdot\text{s}^{-1}$ and a NaCl concentration of 10 mM for the 5 kDa membrane, a crossflow
470 velocity of $4.2 \text{ m}\cdot\text{s}^{-1}$ and NaCl concentration of 7.17 mM for the 15 kDa membrane
471 and a crossflow velocity of $2.82 \text{ m}\cdot\text{s}^{-1}$ and a NaCl concentration of 9.76 mM for the 30
472 kDa membrane.

473

474 • Mathematical relationships between HCE and the operating conditions were
475 determined for all the membranes considered using a multiple linear regression
476 analysis.

477

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479

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483 para grupos de investigación emergentes GV/2013”.

484

485 **Nomenclature**

486

487 *List of symbols*

488

- 489 a Model equation coefficient (%)
490 b Model equation coefficient ($\text{m}^{-1}\cdot\text{s}$)
491 c Model equation coefficient ($^{\circ}\text{C}^{-1}\cdot\text{mM}^{-1}$)

492	C	NaCl concentration (mM)
493	C_b	BSA concentration in the feed solution ($\text{g}\cdot\text{L}^{-1}$)
494	C_p	BSA concentration in the permeate ($\text{g}\cdot\text{L}^{-1}$)
495	d	Model equation coefficient ($^{\circ}\text{C}^{-2}$)
496	e	Model equation coefficient (mM^{-2})
497	f	Model equation coefficient ($\text{m}^{-2}\cdot\text{s}^2$)
498	g	Model equation coefficient ($^{\circ}\text{C}^{-1}$)
499	h	Model equation coefficient (mM^{-1})
500	J	Permeate flux ($\text{m}^3\cdot\text{m}^{-2}\cdot\text{s}^{-1}$)
501	N_p	Number of points within the given area (dimensionless)
502	ΔP	Transmembrane pressure (bar)
503	R	Total hydraulic resistance (m^{-1})
504	R_m	Resistance of the new membrane (m^{-1})
505	R_f	Resistance after the fouling step (m^{-1})
506	R_{r1}	Resistance after the first rinsing step (m^{-1})
507	R_c	Resistance after the cleaning step (m^{-1})
508	R_{r2}	Resistance after the second rinsing step (m^{-1})
509	t	Filtration time (s)
510	T_c	Temperature of the cleaning solution ($^{\circ}\text{C}$)
511	v	Crossflow velocity ($\text{m}\cdot\text{s}^{-1}$)
512	Z_i	Value of height currently measured (nm)
513	Z_{avg}	Average of the height values of the sample (nm)
514		
515	Greek letters	
516		

517 μ Feed solution viscosity ($\text{kg}\cdot\text{m}^{-1}\cdot\text{s}^{-1}$)

518

519 *Abbreviations*

520

521 AFM Atomic force microscopy

522 BSA Bovine serum albumin

523 HCE Hydraulic cleaning efficiency

524 HRE Hydraulic rinsing efficiency

525 MWCO Molecular weight cut off

526 PES Polyethersulfone

527 pI Isoelectric point

528 RSM Response surface methodology

529 UF Ultrafiltration

530

531 **References**

532

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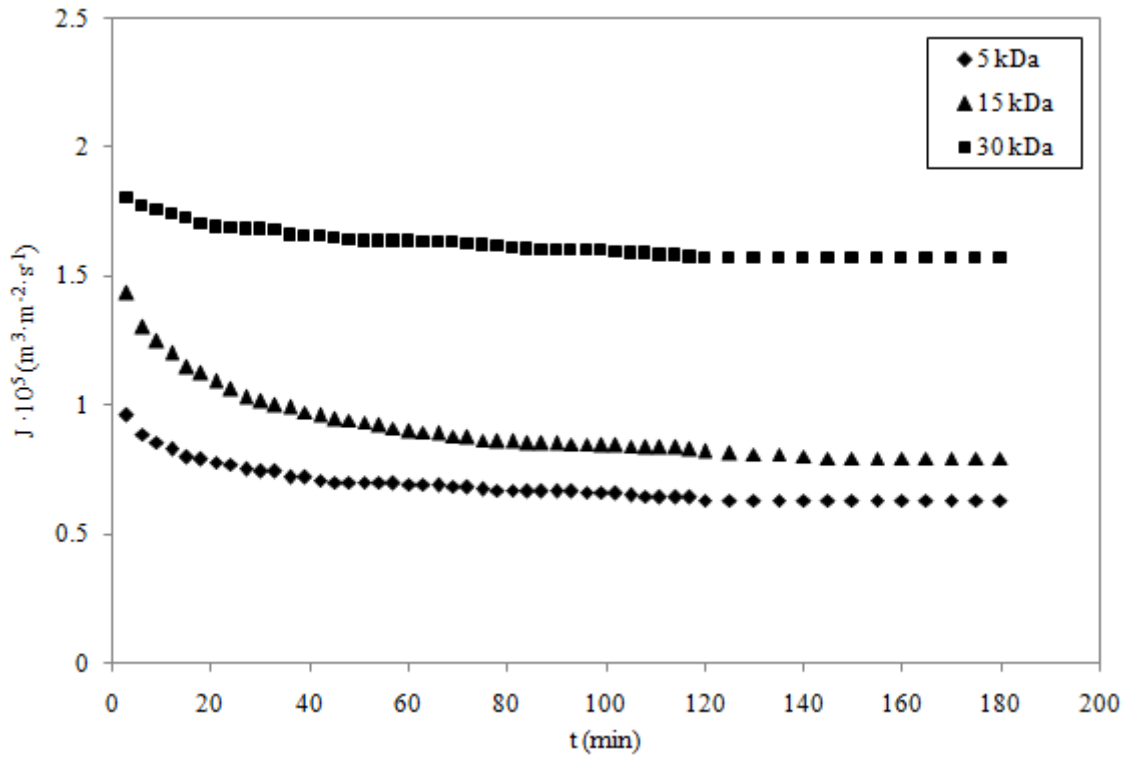


Fig. 1. Variation of permeate flux with time during fouling experiments at 2 bar, $2 \text{ m} \cdot \text{s}^{-1}$ and $25 \text{ }^\circ\text{C}$.

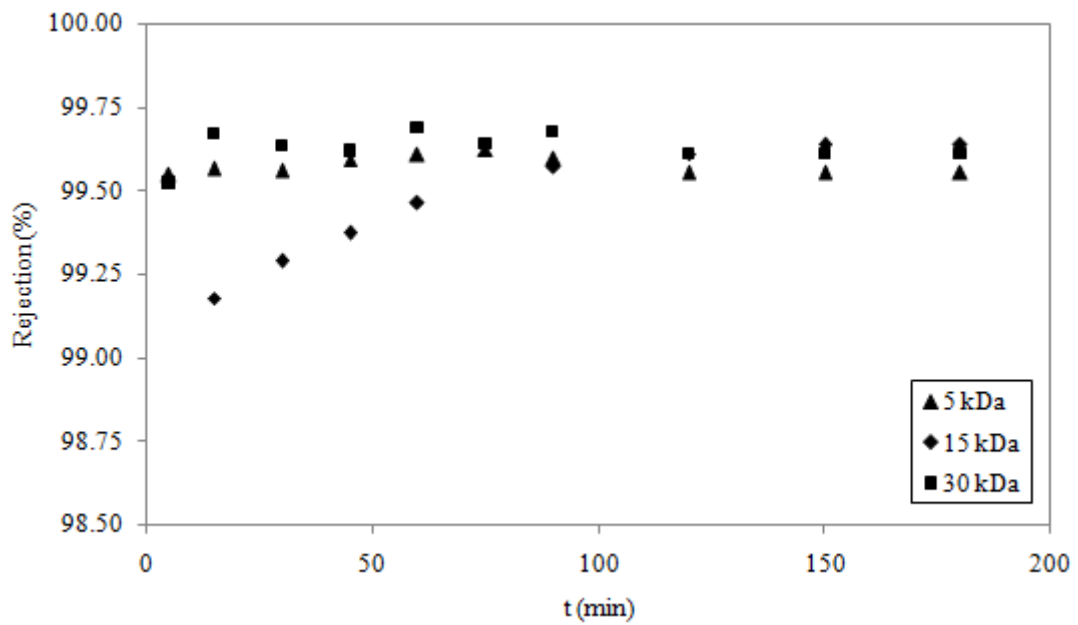


Fig. 2. Variation of BSA rejection with time during the fouling step for each membrane.

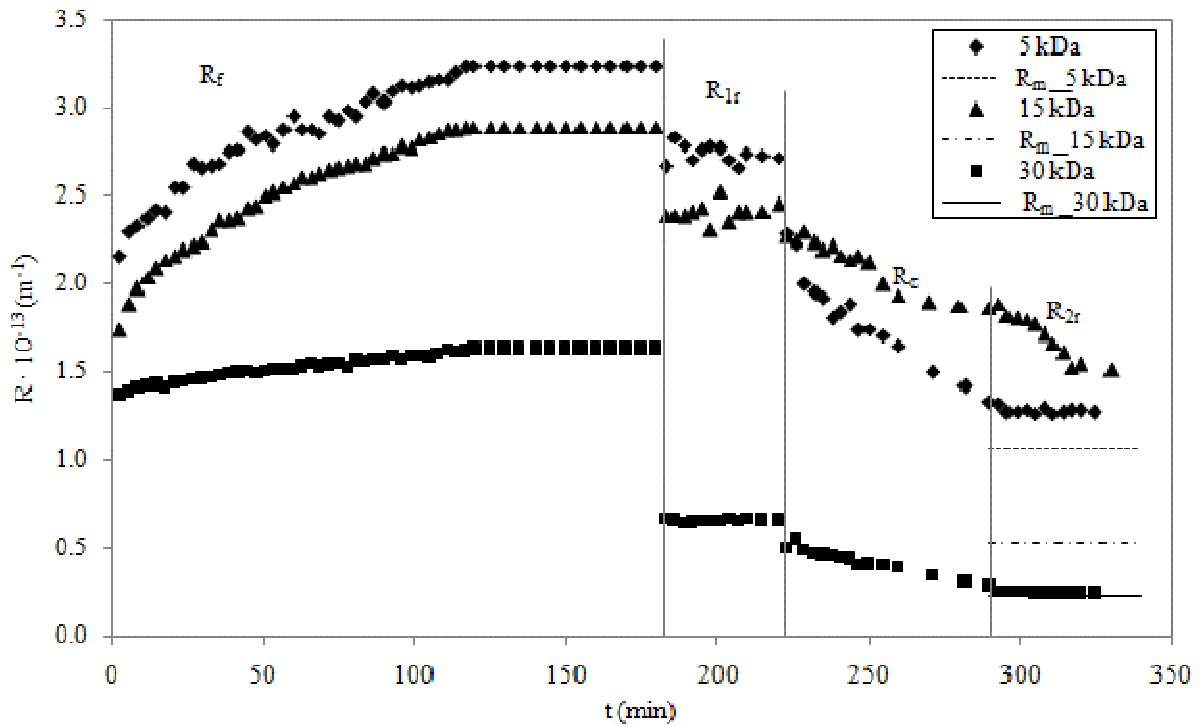


Fig. 3. Variation of total hydraulic resistance with time for each membrane when the experimental conditions were: 25 °C, 2 bar and $2 \text{ m} \cdot \text{s}^{-1}$ in the fouling step; 25 °C, 1 bar and $2.18 \text{ m} \cdot \text{s}^{-1}$ in the rinsing steps; 50 °C, 1 bar and $2.18 \text{ m} \cdot \text{s}^{-1}$ in the cleaning step. NaCl concentration in the cleaning solution was 7.5 mM for the 5 and 30 kDa membranes and 5 mM for the 15 kDa membrane.

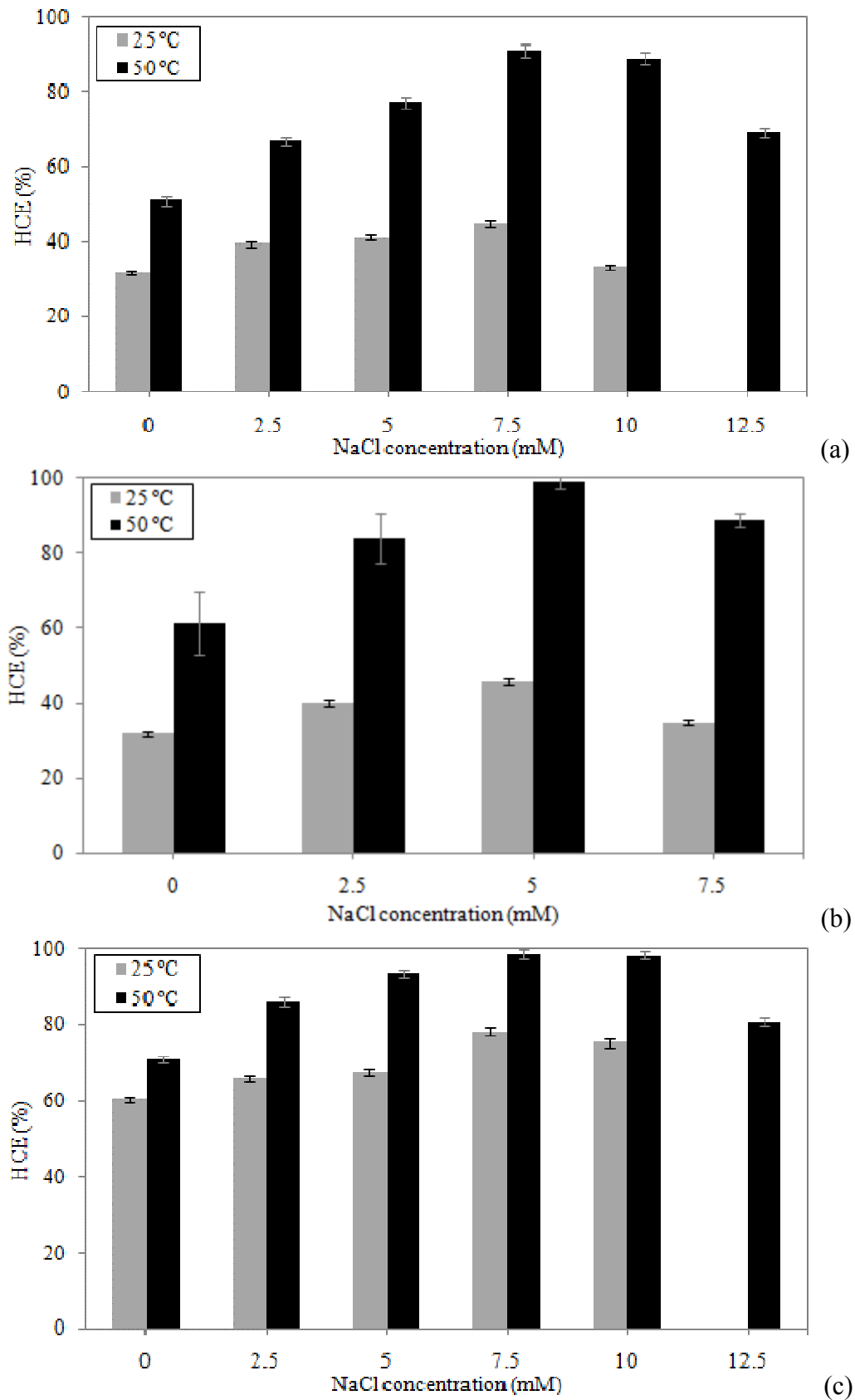


Fig. 4. Influence of NaCl concentration on the values of HCE for the membranes of 5 kDa (a), 15 kDa (b) and 30 kDa (c), when the cleaning solution temperature is 25 °C (grey bars) and 50 °C (black bars) and the crossflow velocity is $2.18 \text{ m}\cdot\text{s}^{-1}$ for the polymeric membranes and $4.2 \text{ m}\cdot\text{s}^{-1}$ for the ceramic membrane.

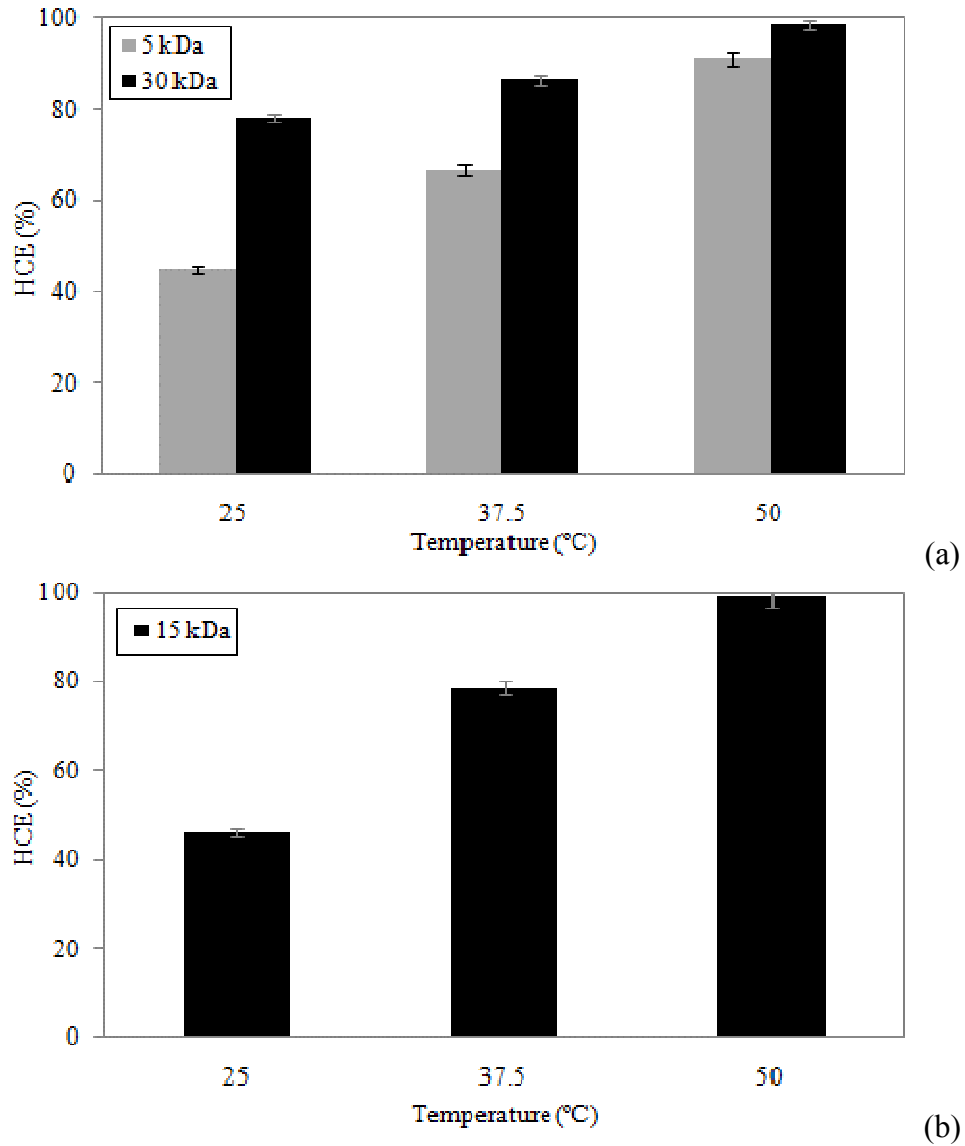


Fig. 5. Influence of temperature on the values of HCE for the membranes of: (a) 5 kDa (grey bars) and 30 kDa (black bars) at $2.18 \text{ m}\cdot\text{s}^{-1}$ and a NaCl concentration of 7.5 mM, and (b) 15 kDa at $4.2 \text{ m}\cdot\text{s}^{-1}$ and a NaCl concentration of 5 mM.

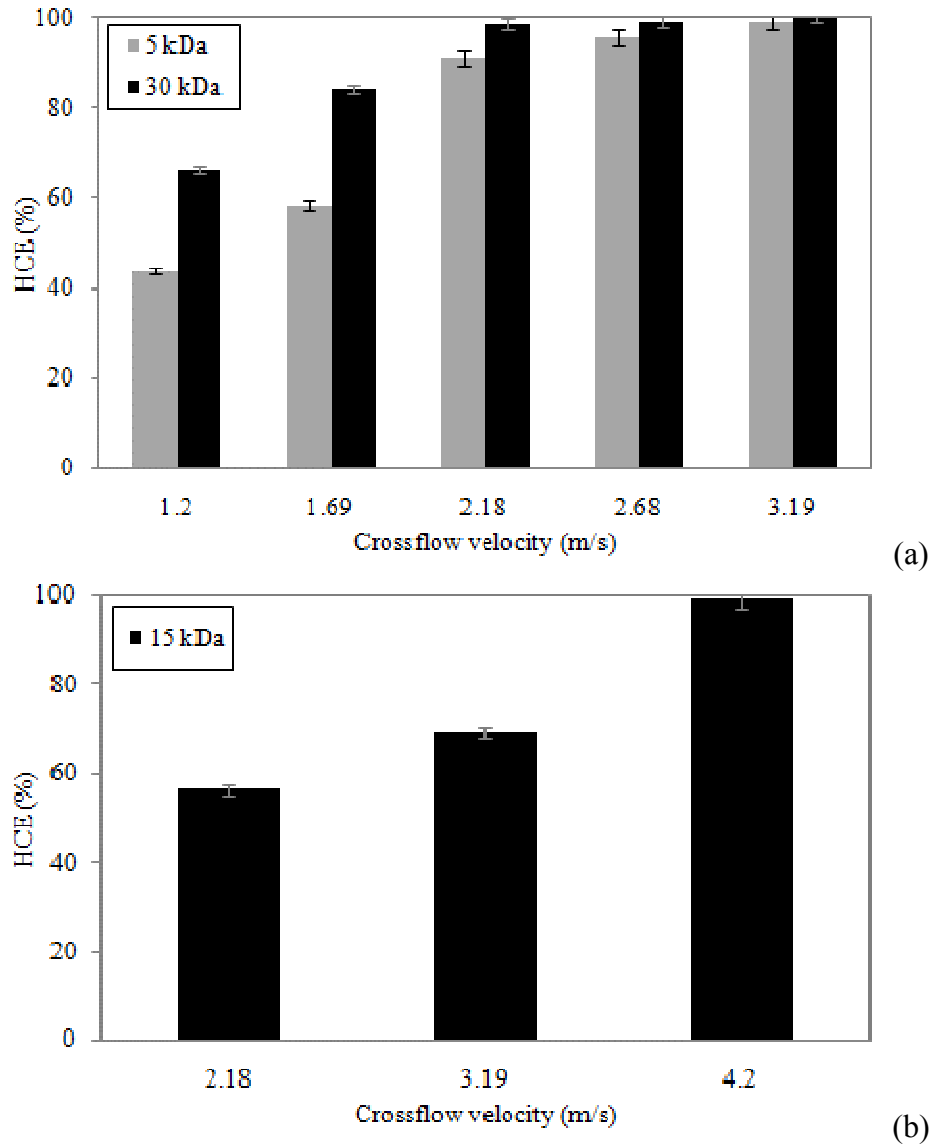


Fig. 6. Influence of crossflow velocity on the values of HCE for the membranes of: (a) 5 kDa (grey bars) and 30 kDa (black bars) at 50 °C and a NaCl concentration of 7.5 mM, and (b) 15 kDa at 50 °C and a NaCl concentration of 5 mM.

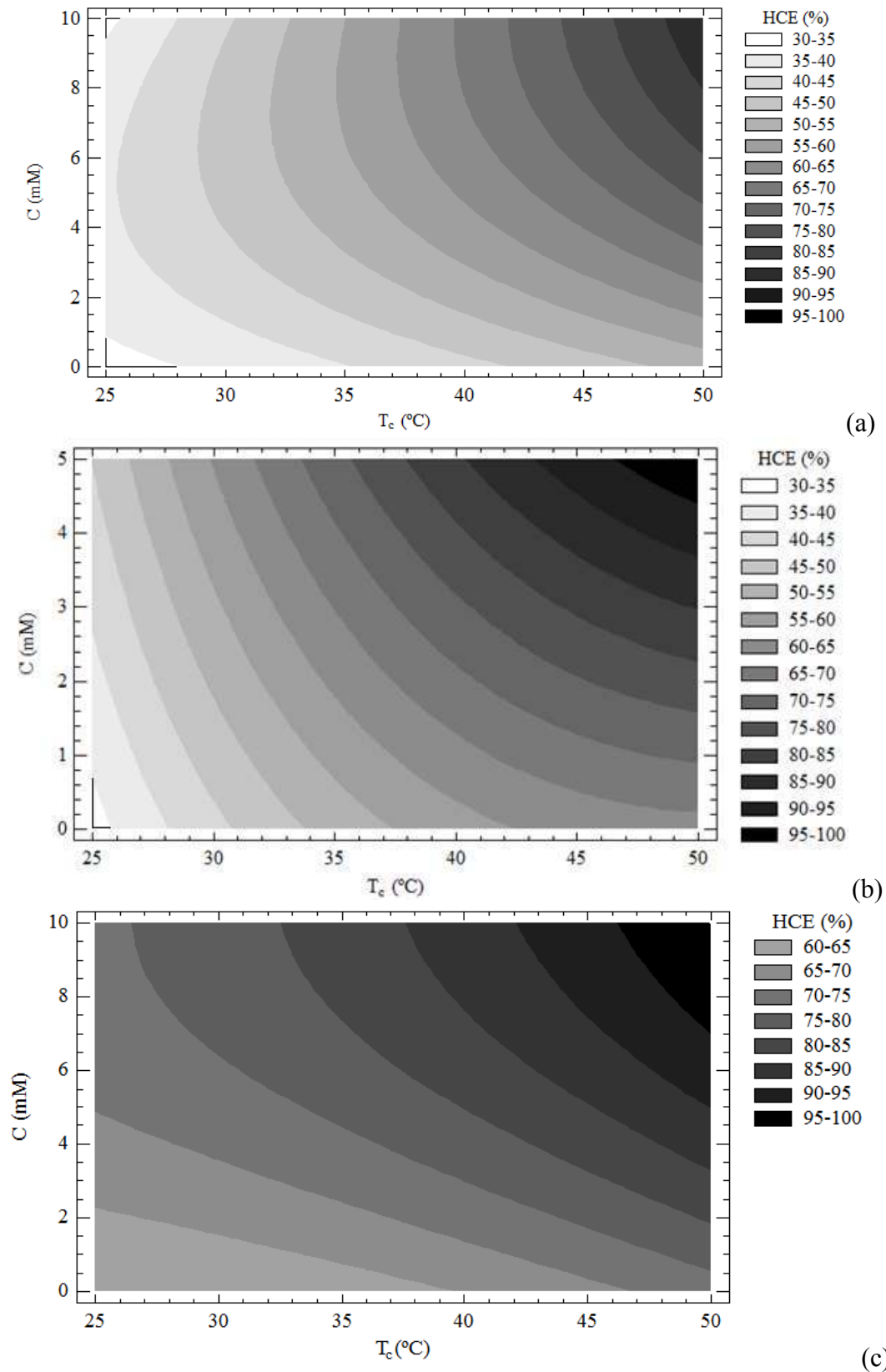


Fig. 7. Contour plot for HCE as a function of temperature and NaCl concentration for the membranes of 5 kDa (a), 15 kDa (b) and 30 kDa (c) at a crossflow velocity of $2.18 \text{ m}\cdot\text{s}^{-1}$ for the polymeric membranes and $4.2 \text{ m}\cdot\text{s}^{-1}$ for the ceramic membrane.

Table 1

Main properties of the membranes used.

Item	UP005	INSIDE-CERAM TM	UH030
Manufacturer	Microdyn Nadir	TAMI Industries	Microdyn Nadir
Type	Flat-sheet	Tubular	Flat-sheet
MWCO (kDa)	5	15	30
Active layer	PES	ZrO ₂ -TiO ₂	PESH
Effective area (cm ²)	100.00	35.51	100.00
Water permeability 25°C (L·m ⁻² ·h ⁻¹ ·bar ⁻¹)	42.61	60.37	106.17
Maximum operating temperature (°C)	95	95	95
pH range	0-14	0-14	0-14

Table 2

ANOVA results for the model equations that relate HCE with the operating parameters.

MWCO (kDa)	Parameter	Coefficient	Estimated value	p-value
5	Constant	a (%)	-112.043	0.0000
	ν	b (m ⁻¹ ·s)	97.093	0.0000
	$T_c \cdot C$	c (°C ⁻¹ ·mM ⁻¹)	0.134	0.0000
	T_c^2	d (°C ⁻²)	0.010	0.0001
	C^2	e (mM ⁻²)	-0.299	0.0010
	ν^2	f (m ⁻² ·s ²)	-15.391	0.0007
15	Constant	a (%)	-43.946	0.0024
	T_c	g (°C ⁻¹)	1.088	0.0006
	$T_c \cdot C$	c (°C ⁻¹ ·mM ⁻¹)	0.187	0.0010
	C^2	e (mM ⁻²)	-0.653	0.0054
	ν^2	f (m ⁻² ·s ²)	2.968	0.0001
30	Constant	a (%)	-50.809	0.0029
	C	h (mM ⁻¹)	4.322	0.0001
	ν	b (m ⁻¹ ·s)	75.194	0.0000
	T_c^2	d (°C ⁻²)	0.011	0.0000
	C^2	e (mM ⁻²)	-0.221	0.0095
	ν^2	f (m ⁻² ·s ²)	-13.333	0.0002

Table 3

Optimal values of the operating parameters obtained by means of a pattern-search algorithm.

MWCO (kDa)	T_c (°C)	C (mM)	ν (m·s ⁻¹)
5	50	10.00	3.15
15	50	7.17	4.20
30	50	9.76	2.82