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1 A NOVEL NUMERICAL APPROACH FOR IMPOSING A TEMPERATURE
2 BOUNDARY CONDITION AT THE BOREHOLE WALL
3 IN BOREHOLE FIELDS
4

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12 ABSTRACT
13

14 *The design of a borehole field should be based on a long-term simulation of its thermal response for*
15 *the intended energy loads. A well-known method to evaluate the response is based on a pre-calculated*
16 *dimensionless function, the g-function. When calculating g-functions, there are two commonly-used*
17 *approaches for treating the boundary condition at the borehole wall: a constant heat flux at every instant*
18 *of time, or a uniform temperature at a constant total heat flow to the borehole field. This paper is focused*
19 *on a new approach to model the thermal process of borehole fields; in particular with a precise*
20 *representation of a uniform temperature boundary condition at the borehole wall. The main purpose of*
21 *this model is to be used as a research tool to either generate g-functions for particular cases or handle*
22 *situations that cannot be addressed by others methods. First, the almost constant temperature along the*
23 *borehole heat exchanger in operation requires a boundary condition of essentially isothermal boreholes*
24 *along the depth. In a common case, the borehole heat exchangers are connected in parallel, thus all*
25 *boreholes should have the same temperature. Also, the total heat flow to the borehole field should be*
26 *constant over time. For this purpose, a numerical model in which the boreholes are filled with a*
27 *hypothetical highly conductive material has been built, reproducing the isothermal condition. By*
28 *thermally interconnecting the boreholes, the equal temperature condition is satisfied. Finally, the*
29 *specified total heat flow is fed into one spot at the highly conductive material. The model is validated by*
30 *generating g-functions of some simple borehole field configurations. The g-functions present, in general,*
31 *a good agreement with the existing solutions for a similar boundary condition. Moreover, the model is*
32 *also tested against real experimental data from a 2x3 borehole field at an office building. The simulated*
33 *daily fluid temperatures are compared with measured daily fluid temperatures for the sixth year of*
34 *operation. The simulated values present, in general, a good agreement with the measured data. The*
35 *results show that there are no significant differences with regard to the boundary conditions at the*
36 *borehole wall, which for this specific case is due to the fact that the system is thermally balanced.*
37

38 NOMENCLATURE

39 α = Thermal diffusivity (m²/s)
40 B = Borehole spacing (m)
41 D = Inactive upper part of the borehole (m)
42 $Fo_H = \alpha t / H^2$ (1) Fourier number, characteristic length H
43 H = Active borehole length (m)

44 k = Thermal conductivity (W/m K)
45 Q = Total heat flow (W)
46 q = Heat flow per unit length of borehole (W/m)
47 r_b = Borehole radius (m)
48 t = Time (s)
49 $t_S = H^2/9\alpha$ (s) Characteristic time
50 T = Temperature (°C)

51

52 ABBREVIATIONS

53 BC = Boundary Condition
54 BH = Borehole
55 BHE = Borehole Heat Exchanger
56 BTES = Borehole Thermal Energy Storage
57 EED = Earth Energy Designer Software
58 FDM = Finite Difference Method
59 FEM = Finite Element Method
60 FLS = Finite Line Source
61 GCHP = Ground-Coupled Heat Pump
62 GLHEPRO = Professional Ground Loop Heat Exchanger Software
63 GSHP = Ground-Source Heat Pump
64 HCM = Highly Conductive Material
65 SBM = Superposition Borehole Model
66 UPV = Universitat Politècnica de València

67

68 SUBSCRIPTS

69 b = Borehole
70 bw = Borehole wall
71 g = Undisturbed

72

73 1. INTRODUCTION

74

75 During the last years, Ground-Source Heat Pump (GSHP) systems have become increasingly popular
76 among the renewable technologies due to their high efficiency and their contribution to the mitigation of
77 CO₂ emissions. The most common GSHP systems in Sweden are those coupled to (nearly) vertical
78 borehole heat exchangers (BHEs), the so-called Ground-Coupled Heat Pump (GCHP). In year 2012 there
79 were a total of about 425,000 small (family houses) GCHP systems in Sweden, and 400 large Borehole
80 Thermal Energy Storage (BTES) installations. While the growth rate of new small systems has slowed
81 down, larger GSHP installations are nowadays starting to take a significant role in the Swedish low
82 temperature heating energy supply (Andersson and Bjelm 2013).

83 GCHP systems are mainly based on an energy exchange from the building to the ground, or vice
84 versa. A heat pump normally connects the indoor circuit of a building with the outdoor circuit on the
85 ground side. The heat pump performance depends among other things on a proper design of the outdoor
86 circuit, which commonly consists of a set of vertical heat exchangers buried in the ground. The design of

87 large BHE fields should account for extrinsic factors such as a realistic assessment of the heating and
 88 cooling demand of the building, the temperature limitations on the fluids at the hot and cold side of the
 89 heat pump (HP), and the available drilling area. Moreover, intrinsic factors such as the thermal properties
 90 of the ground and the possible presence of groundwater flow should be evaluated. Taking all these factors
 91 into account, the design results in a borehole (BH) field with a particular arrangement that comprises a
 92 certain number of BHs of prescribed lengths. A common procedure for analyzing the thermal response of
 93 a BH field is to use temperature response factors, so-called g-functions. The concept of the g-function was
 94 first presented in (Eskilson 1986) and (Eskilson 1987). The g-function is a thermal response factor for a
 95 specific geometry that relates the change of temperature at the BH wall, $T_b(t)$, over time with a constant
 96 average heat flow per unit BH length, q , imposed from time $t = 0$. Equation 1 expresses the link between
 97 the g-function, the heat load, and the temperature, for a BH field installed in a ground with a thermal
 98 conductivity, k . T_g is the undisturbed ground temperature. Only heat conduction is considered.

$$T_{bw}(t) - T_g = \frac{q}{2\pi k} * g\left(\frac{t}{t_s}, \frac{r_b}{H}, \frac{B}{H}, \frac{D}{H}\right) \quad (1)$$

101
 102 The g-function is a function of dimensionless variables, r_b/H and B/H , representing the aspect ratios to
 103 the active BH length (H) of the BH radius (r_b) and BH spacing (B), respectively. The g-function depends
 104 also on a non-dimensional time t/t_s where t_s is a characteristic time defined as the Fourier number with
 105 H as the characteristic length and α as the thermal diffusivity of the ground, that is $Fo_H = \alpha t/H^2$. The
 106 upper inactive part, D , of the BH, which could be the part above the groundwater level or an insulated
 107 casing was dismissed by Eskilson as a negligible parameter in the g-function definition. As indicated
 108 previously, the main use of the g-function is in the simulation of the long-term thermal response of a BH
 109 field exposed to variable heat loads. It should be said that a straight-forward calculation of this response
 110 for a multi-BH field with variable loading conditions is normally not within the capability of the BH field
 111 designer. To simulate such a thermal response, a temporal superposition of variable loads is applied to the
 112 g-function, as mathematically expressed in Equation 2. The variable load is split into n piecewise constant
 113 load steps q_i , starting at $t = 0$ with q_1 lasting to t_1 where the next step q_2 starts and so on until the last
 114 step q_n ending at t_n . It should be noted that the geometrical aspect ratios have been omitted in the
 115 arguments of the g-function in Equation 2.

$$T_{bw}(t) - T_g = \frac{1}{2\pi k} * \left(q_1 * g\left(\frac{t}{t_s}, , ,\right) - q_n * g\left(\frac{(t-t_n)}{t_s}, , ,\right) + \sum_{i=1}^{n-1} (q_{i+1} - q_i) * g\left(\frac{(t-t_i)}{t_s}, , ,\right) \right) \quad (2)$$

118
 119 This procedure is applied in well-known commercial software programs such as Earth Energy
 120 Designer (EED) (Hellström and Sanner 1994) and GLHEPRO (Marshall and Spitler 1994). When
 121 applying Equation. 1 and 2, in BH design, it is important to note that there is a temperature drop between
 122 the circulating fluid and the BH wall related to heat transfer resistances in and around the BHE. A library
 123 of g-functions for many relatively simple BH field geometries is implemented in these software programs.
 124 However, these two software codes are limited when it comes to specifying irregular BH field geometries.
 125 Moreover, the users can neither impose variable monthly heat loads over different years, nor daily or
 126 hourly loads. Due to restrictions at available drilling sites, many real installations require specific and
 127 unique designs of BH field configurations, which are not implemented in the library of the above
 128 mentioned software. This paper presents a novel numerical model in which special attention is given to
 129 impose a uniform temperature boundary condition (BC) at the BH wall. It can e.g. be used as a research
 130 tool to generate g-functions or to simulate directly the thermal response (fluid temperature) of a BH field.
 131 Examples are cases that other methods cannot address such as spatially varying ground properties or
 132 imposing variable thermal loads. The model was first tested by generating g-functions for some simple
 133 BH fields, a single BH and a 2x3 BHs configuration, and compared with some reference solutions.
 134 Moreover, the model was also tested to simulate daily fluid temperatures, which were compared with

135 experimental data. The model was set up according to the geometrical characteristics and ground thermal
136 properties at the Demo site at the Universitat Politècnica de València (UPV), Spain. For this purpose, the
137 model first generated the g-function corresponding to the UPV BH field; then, the simulated daily
138 temperatures were obtained by imposing the measured heat loads to the model.
139

140 2. BACKGROUND

141
142 The calculation of g-functions for multiple BH fields is a demanding and time consuming task. A
143 variety of methods are in use, e.g. analytical, (semi)analytical and numerical, the latter based on Finite
144 Difference (FDMs) and Finite Element Methods (FEMs). A crucial point when generating g-functions is
145 the BCs at the BH wall, which requires two simultaneous conditions to be fulfilled. Firstly, all BHEs
146 should receive a uniform inlet fluid temperature (They are in general hydraulically connected in parallel,
147 so that they are also thermally connected). Secondly, the total heat flow injected to the BH field must be
148 constant for the g-function generation. The reference solutions assume that the walls of all BHs have a
149 uniform temperature, but changing over time as the ground responds to the heat load. The total heat load
150 must be constant over time, meaning that the heat distribution between the BHs also changes with time as
151 the BHs begin to thermally interact with each other.

152 Eskilson (1987) carried out an extensive work on generating g-functions by both numerical and
153 analytical methods, in which the BCs at the BH wall also were studied deeply. The analytical method is
154 based on the FLS and assumes constant heat flux at the BH wall. On the other hand, the numerical
155 method is based on a FDM and allows imposing different BCs at the BH wall, from constant heat flux to
156 uniform temperature. This numerical method is described in the Superposition Borehole Model (SBM)
157 (Eskilson 1986). A constant heat flux BC is restricted to all BHs having the same heat flux at the BH
158 wall, whereas a uniform temperature BC allows the heat flux at each BH to change in time while keeping
159 the total heat flow constant. This may be the reason why the uniform temperature BC in SBM was
160 preferred in the generation of the g-functions. The drawback of this method is a long computing time,
161 especially for large BH fields. This is the reason for implementing a library of g-functions in commercial
162 software. Although the library contains hundreds of g-functions for different multiple BH field
163 configurations (most patterns are linear, square or rectangular), the users cannot always find a
164 configuration that suits their needs. In case the pattern is found in the library, sometimes the aspect ratios
165 of the BH field are different from those specified in the library for that prescribed pattern. Then, the
166 software interpolates a g-function from a set of known g-functions contained in the library, which might
167 lead to some loss of accuracy (Yang et al. 2010). The logarithmic interpolation often used may
168 overestimate the g-function values by a few percent, 4 % or less. (Malayappan and Spitler 2013).

169 As regards the analytical solution introduced by Eskilson, it was modified later by (Zeng et al. 2002).
170 However, this solution was impractical, given the long computing time needed for the evaluation of a
171 double integral expression, in both the space and time domain. (Lamarche and Beauchamp 2007)
172 proposed a simplification in the time domain, converting the integration from a double to a simple
173 expression, making it computationally faster. Recently, (Claesson and Javed 2011) have developed a
174 simpler expression, encompassing both the short and long-term response. The long-term response is based
175 on the analytical FLS solution. This solution also involves a simplification of the double integral, but in
176 space domain. Thus, the temperature response is expressed as a single integral in time domain. The time
177 derivative of the response temperature represents a weighting function for the preceding heat injections,
178 which can be used to calculate the temperature for any $q(t)$. This solution also accounts for the inactive
179 part, D , of the BHEs.

180 The g-functions generated for identical BH configurations but using the uniform temperature (and
181 constant total heat flow applied to the BH field) or the constant heat flux BCs show discrepancies that
182 become more significant for large BH fields and large time scales. Among the FLS approaches, the one
183 proposed by (Lamarche and Beauchamp 2007) overestimates the g-functions, whereas the solution
184 proposed by (Claesson and Javed 2011) underestimates the g-functions, both in comparison with those

185 generated from the numerical approach (Eskilson 1986). The advantage of the FLS approach is its
186 flexibility to generate the g-function of any BHE configuration and the fast computation with an
187 acceptable accuracy (Fossa 2011a and 2011b).

188 Particularly with analytical methods, the uniform temperature condition is difficult to manage and
189 often constant heat flux at the BH wall is set as BC instead. Recent ways to handle the BC limitation of
190 the analytical methods are presented in (Cimmino et al. 2013), (Lazarotto 2014). In those developments,
191 the BC at the BH wall is set so that a constant heat flux is assumed along the BH wall, but the heat flow
192 rate is not distributed equally among the BHs to fulfill an equal average temperature along the wall in all
193 BHs. (Cimmino et al. 2013) uses response factors of borehole-to-boreholes and group-to-boreholes to
194 account for the BH interactions and calculate the heat rate distribution among the BHs. The methodology
195 has been implemented into MATLAB with a user interface that allows the calculation of the g-function
196 for different BC, the so-called preprocessor (Cimmino and Bernier 2013). (Lazarotto 2014) connects BHs
197 with each other, being able to account for the temporal variation of the heat load between BHs while
198 keeping a constant total load and considering the FLS solution as of (Lamarche and Beauchamp 2007). In
199 a close approximation to a more realistic BC in analytical approaches, (Cimmino and Bernier 2014)
200 fulfills the uniform temperature condition at the BH wall by sectioning the BHs along the depth and
201 solving the analytical equation (Claesson and Javed 2011) for each section. The uniform temperature
202 condition is referred as BC-III in (Cimmino and Bernier 2014), its solution has also been implemented in
203 the aforementioned preprocessor for the g-function generation.

204 In BH fields with both heat extraction and injection, repeated on a periodic, usually annual basis, only
205 the net heat load will play a role in the long-term temperature response. In nearly balanced BH fields, the
206 major part of the temperature response will thus be confined to the load variations within the yearly
207 period and the corresponding part of the g-function, limited in a practical case to $\ln(9Fo_H) < \text{about } -3$.
208 This is where the differences between the discussed g-functions are small (Monzó et al. 2013b).
209 The numerical model presented in this paper imposes the isothermal condition at the BH wall, by filling
210 the BH with a hypothetical highly conductive material (HCM). Moreover, the BHs are thermally
211 connected to represent an equal inlet temperature condition while a constant total heat flow to the BH
212 field is applied at one spot on the HCM. Moreover, the flexibility of the model allows imposing a vertical
213 temperature gradient in the BHs, if so desired, by modifying the thermal conductivity of the HCM.

214 215 3. NUMERICAL MODEL

216
217 This section describes a FEM model in which the transient heat conduction problem in BH fields is
218 studied from the BH wall out into the surrounding ground, a continuation of previous studies that were
219 focused on the g-function generation by assuming constant heat flux at the BH wall (Monzó et al. 2013a
220 and 2013b). The present model uses a more appropriate BC by imposing a uniform temperature at the BH
221 wall and a uniform temperature along the BH length in all BHs.

222 223 3.1 Thermal process around a single borehole

224
225 First, the model is shown for the simplest case, a single BH in a 2D-axisymmetric space dimension
226 with the BH aligned with the vertical axis. The active length, H , of the BH is 100 m with a BH radius of
227 0.05 m. A prescribed groundwater level at 4 m is considered as the inactive depth, D . The surrounding
228 ground is represented by a square with sides of 1000 m. It should be noted that the dimensions of the
229 surrounding ground is not required to be as big as set out in our model. However, this model is being used
230 to test other parameters and the authors prefer to keep this size in order not to compromise any results.
231 Table 1 shows the aspect ratios for this single BH configuration. The thermal properties of the ground
232 (granite) are defined in terms of thermal conductivity, 3.5 W/mK, specific heat at constant temperature,
233 850 J/kgK and density, 2,600 kg/m³.

235
236

Table 1 : Geometrical aspect ratios of the single BH model

Geometrical Aspect Ratio	Value
r_b/H	0.00050
D/H	0.040

237

238

The initial condition is set to zero temperature in the whole domain and at all the boundaries. The BCs at all domain boundaries, except at the BH wall, are also fixed at zero temperature. This zero condition represents the undisturbed ground temperature.

240

The BC at the BH wall requires special attention. The heat transfer problem inside the BH is modeled by considering a hypothetical HCM, which is embedded inside the BH. The thermal conductivity of the HCM is chosen so that the temperature is almost uniform along the BH length to give a fair representation of the thermal gradient when the BH is in operation. After several iterations, the HCM thermal conductivity has been set to 10^{10} W/mK.

244

As regards the mesh, it consists of free triangular elements. Their size varies with smaller elements close to the BH and larger further out with around 30,000 elements in total. A transient study is set for a sufficiently long time in order to generate a g-function for values up to $\ln(9F_{0H})$ around 2, approximately equal to 170 years in this case. The computing time is about 20 minutes on a computer with 32 GB RAM and an Intel© Xeon© processor CPU E5-2620 at 2.00 GHz (2 processors).

250

251

3.2 Thermal process around a 2x3 BHs configuration

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253

254

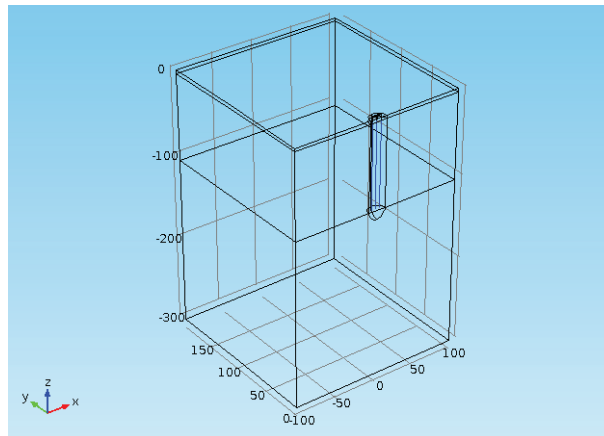
The model becomes more complex when the number of BHs increases. The previous study of a single BH is here expanded to a multi-BH geometry, 2x3 BHs in a symmetric configuration. This model is built in a 3D space dimension. In this case, the BH dimensions are the same as in the single BH case with a BH spacing of 5 m. Figure 1 shows a representation of the modeled 3D domain. The total modeled domain is half the size of the BH field (heat transfer symmetry is applied to reduce the BH field by half), and the surrounding is 200x200 m in the horizontal plane with 300 m depth. Table 2 shows the aspect ratios for this BH configuration.

258

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261



262

Figure 1 : Computational domain of 2x3 BHs configuration

263

264

265

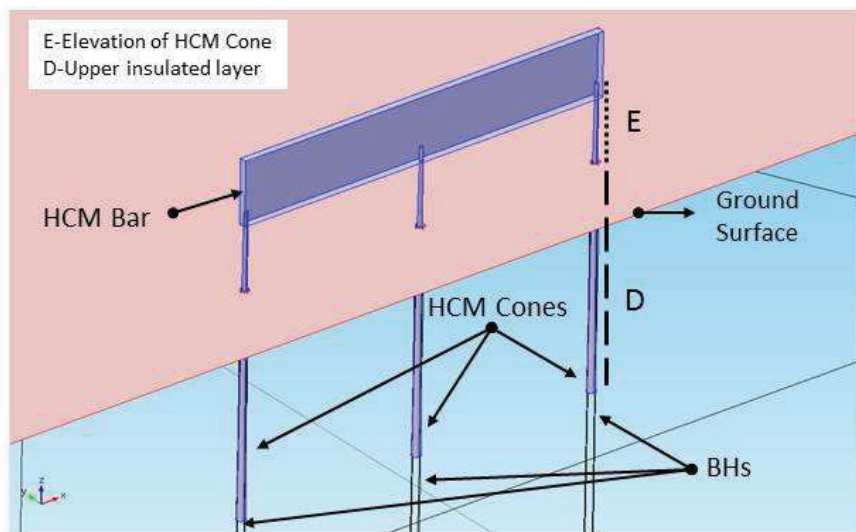
Table 2 : Geometrical aspect ratios of the 2x3 BHs configuration

Geometrical Aspect Ratio	Value
r_b/H	0.00050
B/H	0.050

D/H	0.040
-----	-------

266 The same considerations as for the single BH are taken with regard to the BCs and material
 267 properties. However, in this case, the uniform temperature BC at the BH wall in all the BHs has to be
 268 satisfied, i.e. all the BHs need to be thermally connected when the BH field comprises more than one BH.
 269 For that purpose, the BHs are physically connected with a bar of the same HCM material. This bar is
 270 connected to the HCM-filled BHs by cones of the same HCM. The cone element was chosen to connect
 271 the top of the active part of the borehole to the rod with a smooth diameter transition. The stepwise
 272 transition from the BH top to a slightly smaller cylindrical rod element first tested, gave rise to
 273 computational problems, since surface elements at the transition became smaller than the mesh size.
 274 Relevant surfaces of the rod and the cones are insulated. The heat load is applied on the top surface of the
 275 bar and is naturally distributed through the HCM material as governed by the thermal response of the
 276 ground, keeping a uniform temperature at all the BHs walls. Figure 2 shows a sketch of the connection
 277 between the BHs for the modeled geometry.

278 The HCM bar is elevated somewhat to stay off from the ground surface. The total length of the cone
 279 should be the sum of D, the upper insulated part of the BH, plus a certain elevation, E, to ensure that the
 280 cone is embedded in the bar. Thus, the top side of the cone is embedded in the bar, whereas the bottom
 281 part of the cone is in contact with the top surface of the BH filling. The thermal conductivity of the HCM
 282 is again set to 10^{10} W/mK, and it applies to the bar, cones and inner domain of the BHs. No heat transfer
 283 occurs through the lateral surface of the cone. Table 3 shows the geometrical characteristics of the bar and
 284 cone.
 285



286
 287 Figure 2 : Connection of the BHs to HCM bar. Half geometry of 2x3 BHs configuration
 288

289 Table 3 : Geometrical characteristics of the bar and cones used to connect the BHs

Element	Dimension	Size
Bar	Width [m]	10.20
	Depth [m]	0.20
	Height [m]	1
Cone	Bottom radius [m]	0.05
	Height [m]	5.20
	Top angle [deg]	2x0.5

291 Regarding the mesh, a free triangular mesh is defined on the layer at the upper part, D. This mesh
 292 presents small elements in the region close to the BHs, increasing with the distance from the BH field.
 293 Then, the triangular elements are swept along the BH length, creating a mesh of radial elements. The
 294 upper inactive part and the downward region of the BH field are meshed with tetrahedral elements. The
 295 bar, the cones and the inner part of the BHs are meshed in a similar way. The mesh consists of 250,000
 296 elements. The model is set as a transient study for long term simulations, i.e. reaching values of $\ln(9Fo_H)$
 297 equal to around 2 (about 170 years for the values described in this section). The computing time is around
 298 150 minutes on a computer with 32 GB RAM and an Intel© Xeon© processor CPU E5-2620 at 2.00 GHz
 299 (2 processors).

300

301 4. g-function GENERATION

302

303 In Figure 3 and Figure 5, the g-functions generated from our numerical model are compared with g-
 304 functions obtained using analytical FLS solutions (constant heat flux at the BH wall), labelled as “FLS-
 305 Constant q”, as well as those generated using commercial tools based on SBM with uniform temperature
 306 BC. i.e. EED or GLHEPRO, referred as “FDM-Uniform T” in this paper. A third analytical solution that
 307 adapts the FLS approach to the uniform temperature condition, referred as BC-III in (Cimmino and
 308 Bernier, 2014) is also included in the comparison, labelled as “FLS-Uniform T” in this paper. The results
 309 calculated from our numerical model are identified as “FEM-Uniform T”.

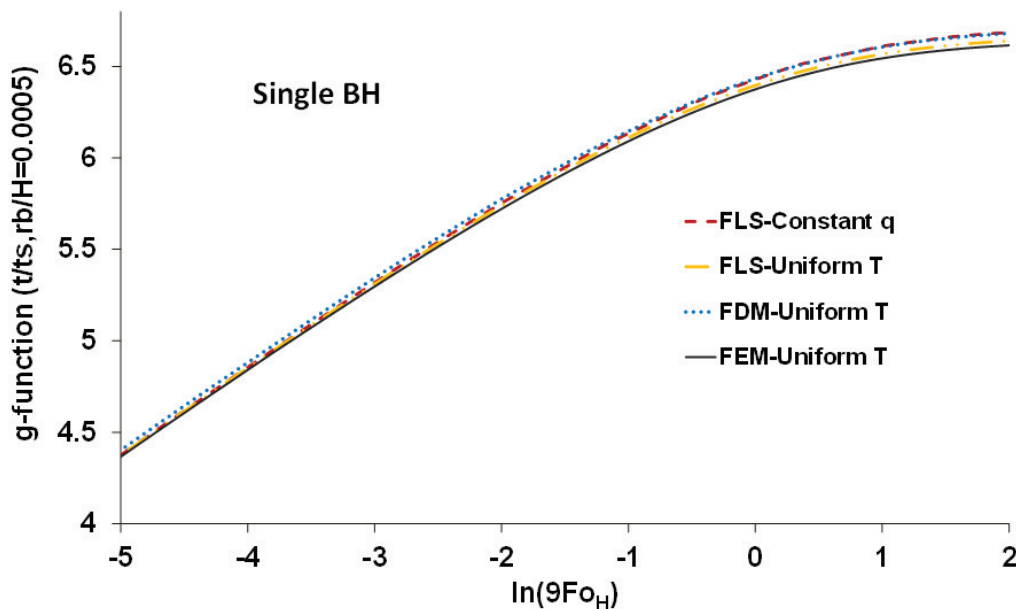
310

311 4.1 g-function for a single borehole

312

313 A constant heat flow is applied during the whole period of interest to generate the g-function. Figure
 314 3 shows the g-functions for the single BH.

315



316

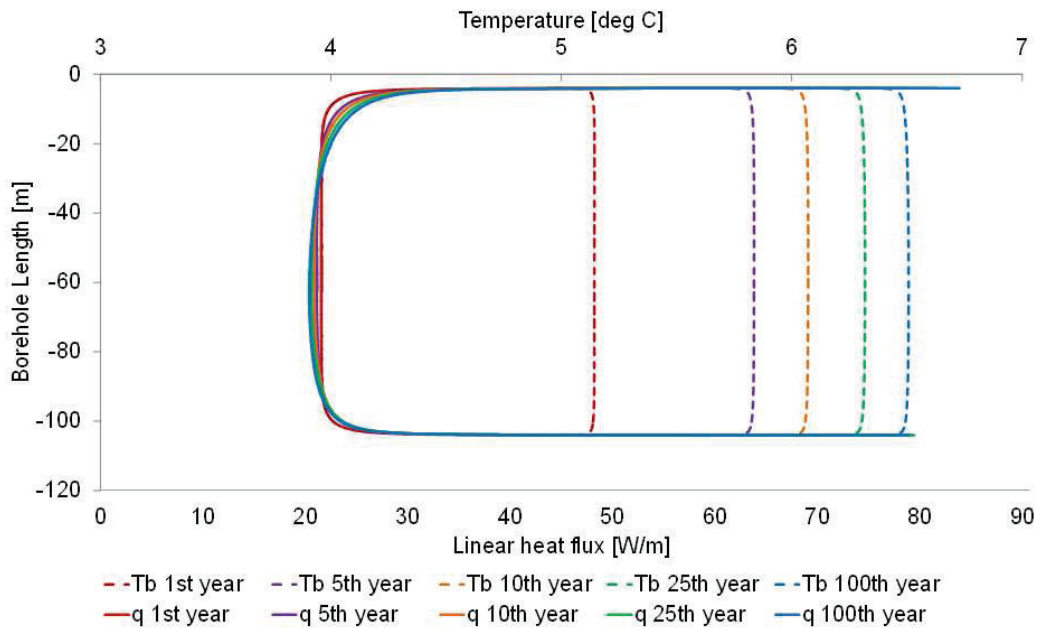
317 Figure 3 : g-functions for a single BH

318

319 The “FEM-Uniform T” presents, in general, a good agreement with those solutions generated with a
 320 similar BC at the BH wall, “FDM- Uniform T” and “FLS- Uniform T”. In the asymptotic part of the
 321 curve, at about $\ln(9Fo_H)=2$ or 170 years, for the given parameters in this paper, the differences between

322 “FDM-Uniform T” and “FEM-Uniform T” solution are around 0.07 units. In the beginning $\ln(9Fo_H)=-4.5$
 323 or 90 days approx., the difference becomes around 0.04 units. For the given value of the thermal
 324 conductivity and a constant heat injection of 20 W/m, the temperature differences are about 0.035 and
 325 0.06 K for 90 days and 170 years, respectively. The “FEM-Uniform T” solution increases around 0.3%
 326 when the ratio D/H varies from 0.04 to 0.05 for a value of $\ln(9Fo_H)$ equal to 2 and H=100 m. The “FLS-
 327 Constant q” solution comes out between the “FDM-Uniform T” and “FEM-Uniform T” g-functions. In
 328 comparison with “FDM-Uniform T”, “FLS-Constant q” deviates around 0.02 units in the beginning. For
 329 the single BH, no major differences are found when changing the BC. All solutions are in general the
 330 same and all of them consider the finite length of the BH, though the effects at both BH ends are different.
 331 The “FLS-Constant q” solution, however, is different at shorter times, given that it is based on a line
 332 source at the BH center and not a source at the BH wall. All curves meet at about $t = 5r^2/\alpha$ ($\ln(9Fo_H)=-$
 333 11.39) and even with a smaller error at $t = 20r^2/\alpha$, ($\ln(9Fo_H)=-10.01$). This time period is not included
 334 in Figure 3. This situation will obviously become different for multiple BHs when BH interaction affects
 335 the validity of the constant heat flux BC more strongly than for a single BH.

336 The validity of the imposed BC (uniform temperature) and the behavior of the heat flux have been
 337 observed in detail. Figure 4 shows the temperature and linear heat flux profiles for different instant of
 338 time at a cut line located 0.005 m outside the BH wall.
 339



340

341

342

Figure 4 : Temperature and linear heat flux along the BH wall in a single BH

343 During the whole simulation period, the temperature profile is uniform along the BH length. Since the
344 temperature evaluations, due to technical reasons, are carried out at a parallel line 0.005 m outside the BH
345 wall, some little deviations are appreciated at the top and at the bottom. The axis referred to the linear
346 heat flux varies from 0 to 90[W/m] in order to show how the heat dissipates at the top and the bottom of
347 the BH. As shown in Figure 4, more heat is dissipated at the top part of the BH field than at the bottom
348 part which results from the thermal process and BCs defined on the ground domain. A zero temperature
349 BC at $z=0$ may be modeled by a mirror image of the BH field, with opposite temperature and with the
350 ground as mirror. The top of the BHs are of course closer to the mirror tops than the bottom ends are to
351 the mirror image of them. Thus the temperature field is stronger at the surface than at the bottom, which
352 explained the behavior observed in Figure 4.

356 4.2 g-function generation for a 2x3 BHs configuration

357
358 Figure 5 shows the g-function for the 2x3 BHs configuration. As in the case of the single BH, Figure
359 5 includes the g-functions from the reference solutions which are labelled as described at the beginning of
360 this section, “FLS-Constant q”, “FLS-Uniform T” and “FDM-Uniform T”. The “FLS-Constant q”, “FLS-
361 Uniform T” solutions and our “FEM-Uniform T” solution are calculated with the aspect ratio $D/H=0.04$.
362 However, the “FDM-Uniform T” solution, as mentioned above, does not clearly specify the value
363 assumed for D/H .

364 The g-functions calculated from the four approaches present small deviations in the beginning when
365 $\ln(9Fo_H) < -2$, that is about 3 years (for the ground thermal properties described in section 3.1). In general,
366 the “FEM-Uniform T” shows a good agreement with “FDM-Uniform T” and the “FLS-Uniform T”,
367 presenting lower g-values. SBM solutions (“FDM-Uniform T” solution in this paper) are usually used as
368 a benchmark. Even though SBM solutions have not been exhaustively validated, experimental validation
369 (Cullin et al., 2013, Cullin, 2014 and Cullin et al., 2015) have demonstrated that they have acceptable
370 accuracy. The slight deviation of “FEM-Uniform T” from “FDM-Uniform T” is about 0.16 units in the
371 beginning ($\ln(9Fo_H)=-4.5$). The difference increases up to 0.28 units in the asymptotic part ($\ln(9Fo_H)=2$).
372 In terms of temperature differences, for a thermal conductivity of 3.5 W/mK and a constant heat injection
373 of 20W/m, the “FEM- Uniform T” deviates from “FDM-Uniform T” about 0.14 and 0.26 K for
374 $\ln(9Fo_H)=-4.5$ and 2 respectively. In the asymptotic part of the curve ($\ln(9Fo_H)=1.5$), the “FEM-Uniform
375 T” g-function increases around 1.01% when the ratio D/H varies from 0.04 to 0.05 for $H=100$ m.

376 The “FLS-Constant q” is very close to the “FDM-Uniform T” in the beginning, slowly reaching a
377 deviation from “FDM-Uniform T” of 0.06 units at $\ln(9Fo_H) = -2$. Thereafter the deviation increases at a
378 faster rate reaching 0.60 units near the asymptotic end of the curve whereas the “FLS-Constant q”
379 solution comes out significantly higher, as described in section 2.

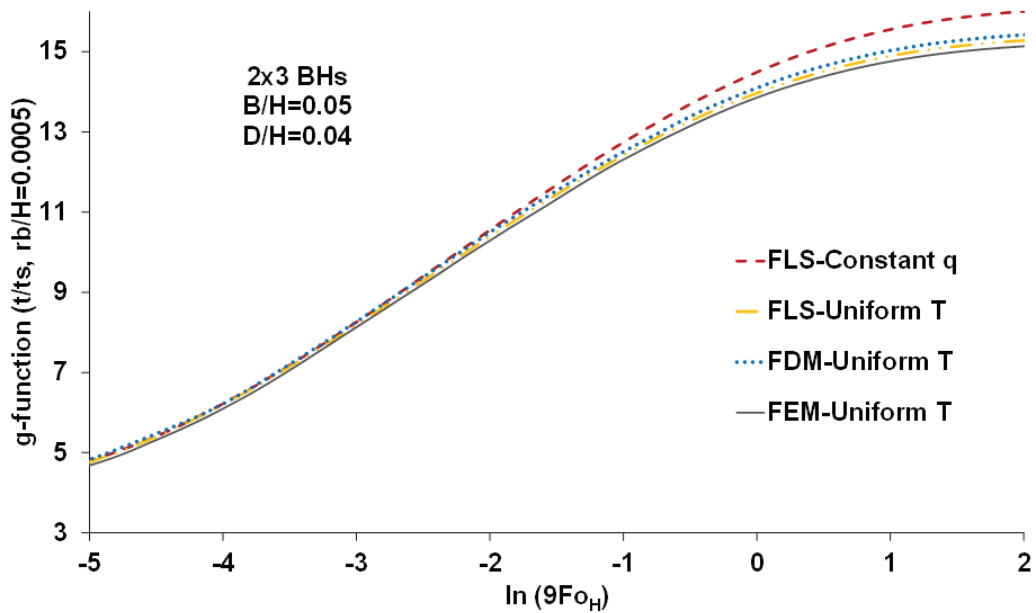


Figure 5 : g-function for a 2x3 BHs configuration

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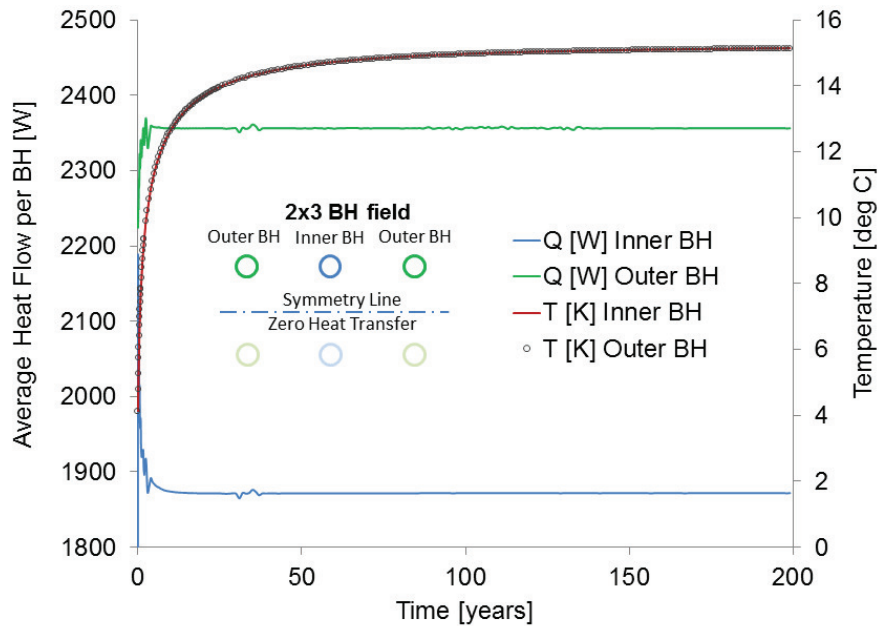
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In general, in comparison with “FDM-Uniform T”, the g-functions from “FEM-Uniform T”, both single borehole and 2x3 BHs configurations; present a good agreement with slightly lower g-values. The g-function obtained from “FEM-Uniform T” presents small deviations both for the single BH and for the 2x3 BHs configuration. These differences are partly related to the different value of D/H considered in “FDM-Uniform T” and “FEM-Uniform T”. As mentioned above the aspect ratio D/H is not clearly specified in the solutions “FDM-Uniform T”. The differences are also partly attributed to some adjustable features in the mesh and post-processing tools of our numerical model. Using “FDM-Uniform T” as an absolute reference could also be questioned.

To check that the uniform temperature condition is also satisfied in our model for the 2x3 BHs configuration, the average heat flow and average temperature profiles of the inner and the outer BHs are calculated. This is illustrated in Figure 6. These profiles have been calculated by creating a cylindrical parameterized surface to the BH wall, of both the inner and outer BHs. This surface is at a distance of $r_b + 0.0025$ m from the BH center. Since the thermal response of the symmetric BHs is the same, Figure 6 only shows the thermal process of one inner BH and one outer BH.

As shown in Figure 6, the average temperatures in both inner and outer BHs are the same at all instants of time and heat transfer at the inner BH is significantly lower in comparison to the outer BH. In the first month, the differences in the heat transfer between inner and outer BHs are very small, barely 40 W (0.6%). Then, the differences between the average heat flow at the inner and outer BHs start to become more pronounced, increasing progressively up to approximately 484 W (7.3%). Thenceforward, this difference is almost constant, except for some fluctuations, which are attributed to the solver, as explained below. The average temperature is kept equal in the inner and outer BHs as expected. The rearrangement of the power partition between inner and outer BHs occurs in about two years. Figure 6 confirms that in our approach the heat flow is not distributed equally among the BHs in order to satisfy a uniform temperature condition. The quotient of the heat flow from the outer BHs to the average is around 1.07, whereas the quotient of the inner BHs is about 0.85. Similar differences in heat distribution are discussed in (Cimmino and Bernier, 2014).



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412 Figure 6 : Average Heat Flow and Average Temperature in inner and outer BHs (2x3 BH configuration).

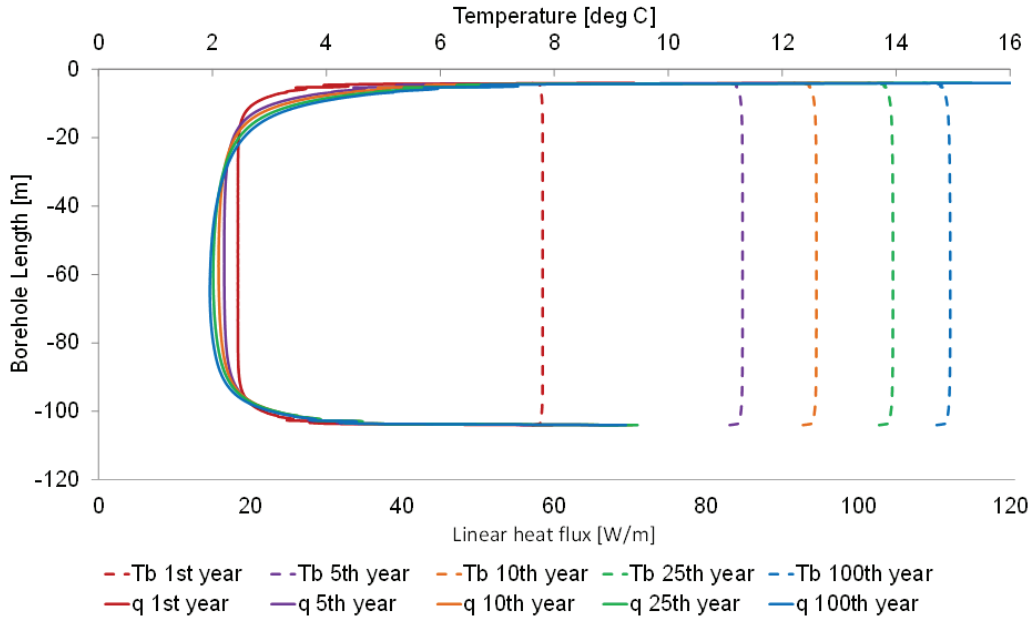
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414 In Figure 6, during the first 4 years, the average heat flow, of both inner and outer BHs, present some
 415 fluctuations. These fluctuations are attributed to the very short time step taking by the solver to calculate
 416 the solution in this period, which starts on an initial time of 0.001 s and increases up to a maximum of two
 417 months and averages the solutions for the output interval of time in this period (1 month), as set in the
 418 features of the solver. Other fluctuations can also be seen in the interval between 26 and 37 years, which
 419 are due to the time step set in the solver, as explained below. The average heat flow of the outer BH also
 420 shows some small fluctuations when the time interval is approximately between 90 and 140 years. All
 421 these fluctuations can be attributed to the maximum time step (2 months) selected in the solver and the
 422 time intervals in which the solution is given as output. A short output interval is chosen for the first 25
 423 years, increasing from one to several months as the simulation proceeds. After the first 25 years, the
 424 output is written every year. The condition of the maximum time step is satisfied during the whole
 425 simulation period. Despite these fluctuations, the reader can easily appreciate the thermal behavior of both
 426 the inner and outer BH.

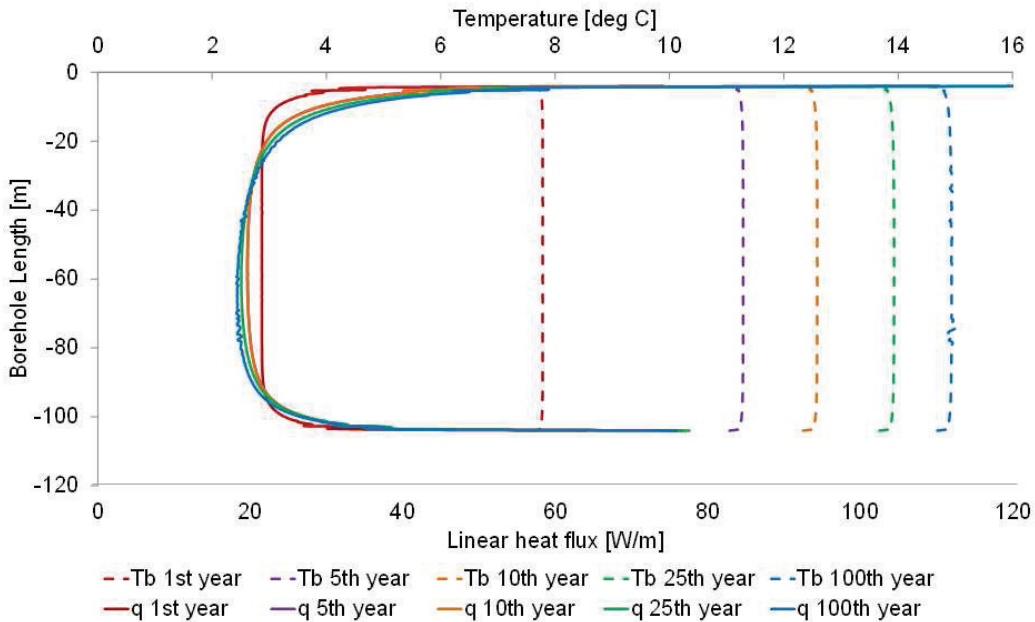
427 Moreover, the numerical model allows us to study in detail the heat transfer over the whole surface
 428 of the BH wall and any other point in space. The heat flux and temperature are studied for both inner and
 429 outer BHs along a line, 0.005 m outside the BH wall in Figure 7 and Figure 8.

430 As shown in Figure 7 and Figure 8, the temperature profiles at the inner and outer BHs are uniform.
 431 Both inner and outer BHs present the same temperature profiles for all instants of time, which confirm the
 432 uniform temperature condition that is imposed in our approach. The heat flux profiles are different for the
 433 inner and the outer BHs, as expected. However the heat distribution between the BHs changes with time.
 434 The linear heat flux profiles changes as the BHs thermally interfere with each other and with the
 435 surroundings. Thus, the linear heat flux at the inner and the outer BH is very similar during the first year,
 436 around 18 and 21 W/m, respectively at the central part of the BH. But as time goes, the differences
 437 become more significant, less heat is dissipated by the inner BH, i.e. around 15 W/m at the central part of
 438 the BH for the 25th year of operation, whereas the linear heat flux is around 18 W/m at the central part of
 439 the outer BH for the same operation time. Due to the short distance between the BH top and the
 440 oppositely charged mirror BH above ground in comparison with the bottom part and its mirror image,

441 more heat is dissipated at the top part of the BH in both inner and outer BHs. High values are observed at
 442 the bottom and at the top of both inner and outer BHs, as in the case of a single BH.
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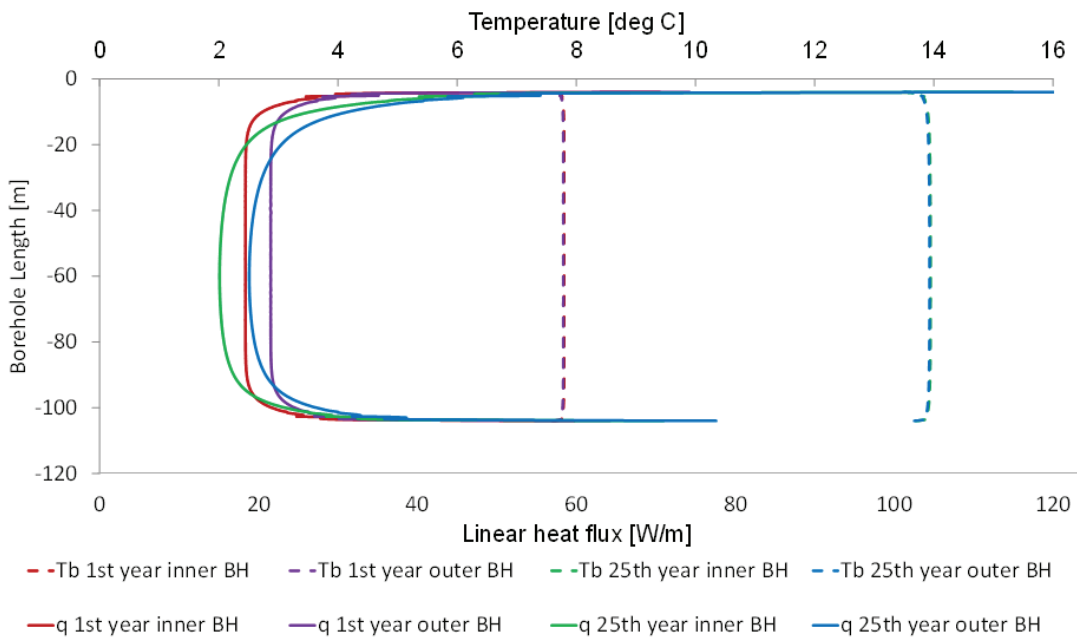


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 445 Figure 7 : Temperature and linear heat flux at the inner BH (2x3 BH configuration)
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 448 Figure 8 : Temperature and linear heat flux at the outer BH (2x3 BH configuration)
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450 Figure 9 shows the linear heat flux profile at the inner and outer BHs for the 1st and the 25th years of
 451 operation. It can be observed the differences on the linear heat flux profiles between the inner and the
 452 outer BH. The outer BH presents higher linear heat flux for both the 1st and the 25th years than the inner
 453 BH, being more significant in the last period.



456 Figure 9 : Temperature and linear heat flux at the inner and the outer BHs (2x3 BH configuration)

458 **5. EXPERIMENTAL VALIDATION**

460 **5.1. Description of the ground heat pump installation**

462 The GSHP installation analyzed below was built in the framework of a European FP5 project called,
 463 GeoCool at the UPV, Valencia, Spain. The system has been in operation since February 2005 for the air
 464 conditioning of a set of offices at the Department of Applied Thermodynamics. The installation has been
 465 fully monitored since then. The system is programmed to operate between 07:00 and 22:00 h in summer
 466 and between 06:00 and 21:00 h in winter, 5 days per week. The heating season starts in November and
 467 ends in April, while the cooling season comprises the period from May to October. A more detailed
 468 description of this GSHP system has been presented in previous publications by Montagud et al. (2011),
 469 Corberán et al. (2011).

471 The system consists of a reversible water-to-water heat pump, with a nominal cooling capacity of 16
 472 kW and a nominal heating capacity of 19 kW. The heat pump allows the heat exchange between two main
 473 circuits: the internal circuit, consisting of 12 parallel connected fan coils, a water storage tank and an
 474 internal hydraulic loop and the external circuit consisting of a set of BHEs. The thermal loads and water
 475 temperature data used in this paper are collected from sensors in the external circuit.

477 The BH field consists of 6 vertical BHs, arranged in a 2x3 rectangular grid, with a separation between
 478 BHs of 3 m. Each BH is 51 m deep with 1 m of header depth, and has a diameter of 150 mm. The
 479 groundwater level is about 3.5 m. The BHs contain a single HDPE U-tube 32x3.3 mm with a 70 mm
 480 distance between the upward and downward shanks. The BHs are different since they are filled with
 481 various grouting materials such as sand of different coarseness, and finished with a bentonite layer at the
 482 top in order to avoid intrusion of pollutants into the BH, as described in Ground-Med (2011).

482 Regarding the ground thermal properties, some laboratory analyses were performed on soil samples.
 Those analyses provided values of 1.43 W/mK for the thermal conductivity and 2250 kJ/m³K for the

483 volumetric heat capacity. A high uncertainty (around 20%) was found in the estimation of the ground
 484 thermal conductivity, confirmed with a thermal response test, which resulted in 1.6 W/mK, (Montagud et
 485 al., 2011). The undisturbed ground temperature and the BH resistance at the facility are around 18.5°C
 486 and 0.118 mK/W, (Urchueguía, 2006). The reliability of the latter two values is uncertain.

487 Temperatures, mass flow rates and power consumption were measured during operation of the
 488 system. For the temperature, four-wire Pt100 resistance thermometers with inaccuracy $\pm 0.1^\circ\text{C}$ are used.
 489 The mass flow meters are Danfoss Coriolis meters, model MASS 6000 with signal converter Compact IP
 490 67 and inaccuracy $< \pm 0.1\%$. Two temperature sensors are placed at the inlet and outlet of the heat pump.
 491 Additionally, there are sensors placed at the inlet and outlet of each BH, and seven sensors are measuring
 492 ground temperatures at different depths in three of the six BHs. Data obtained from this sensor network
 493 are registered every minute and collected by a data acquisition unit Agilent HP34970A with plug-in
 494 modules HP34901A. The temperature of the fluid is obtained from the average of the inlet and outlet
 495 temperature of all BHEs and corrected by a factor that accounts for the switching on/off time of the heat
 496 pump. The temperatures are registered every minute and grouped in daily values for the measurements
 497 presented in this paper.

498 In order to analyze the collected data and calculate all the parameters used for the characterization of
 499 the system's performance, a tool was developed using Excel macros. The heat rate injected or extracted
 500 from the ground can be calculated from the inlet and outlet water temperatures, the mass flow rate, and
 501 the circulating fluid properties. The thermal loads at the installation are provided every minute and these
 502 are averaged in order to obtain hourly loads. For the purpose of this work, the hourly loads will be
 503 grouped in daily load steps, obtained as the average of the hourly loads for each day.

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508 5.2. The thermal process at the UPV Demo site

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The numerical model at the UPV Demo site is built according to the geometrical characteristics of
 the BH field, as listed in Table 4. The thermal properties of the ground are defined considering the values
 of lab measurements and their uncertainty. Thus, in the present study, the thermal conductivity is defined
 as 1.6 W/mK, the volumetric heat capacity is 2250 kJ/m³K, and the BH thermal resistance is 0.118
 (mK/W) as described in Section 5.1.

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Table 4 Geometrical Aspect Ratios- UPV Demo site

Geometrical Aspect Ratio	Value
D/H	0.074
r_b/H	0.0016
B/H	0.063

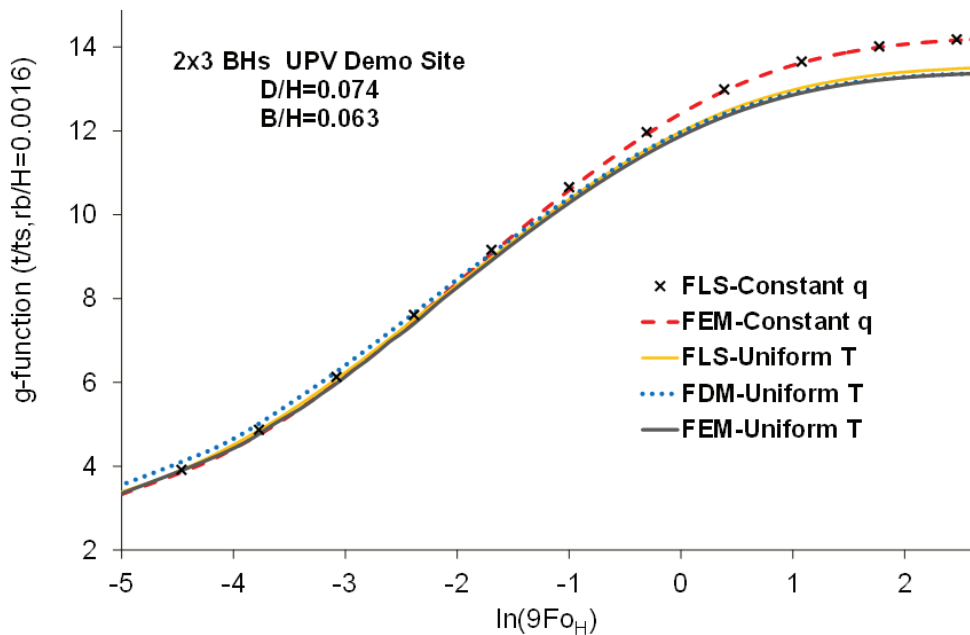
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The thermal response of the ground is studied by considering two different cases, defined as
 “Constant q” and “Uniform T”. The difference between these is basically how the BC is set at the BH
 wall. The “Constant q” model assumes a constant heat flux along the BH length and the model defined as
 “Uniform T” takes into account that the BHs are thermally connected imposing a uniform temperature
 along the BH length, and the total heat flow to the BH field is held constant.

523 Regarding the methodology to study the case of constant heat flux as BC at the BH wall, it is defined
 524 by applying a heat flux BC at the wall of each BH, as described in (Monzó et al. 2013a). The uniform
 525 temperature BC has been applied as described in Section 3.2 in this paper.

526 Both “Constant q” and “Uniform T” models are first validated against reference g-functions. The
 527 reference solutions are the same as used in Section 4: “FLS-Constant q”, “FLS-Uniform T” and “FDM-
 528 Uniform T”. Figure 10 shows the g-functions generated with our numerical model, named as “FEM-

529 Constant q” and “FEM- Uniform T” in accordance with the BC set at the BH wall, including the
 530 aforementioned reference g-functions.
 531



532
 533 Figure 10 : Validation of the numerical model at UPV Demo site: g-functions generation and comparison
 534 with its reference solutions.

535 The g-function obtained from “FEM- Constant q” agrees, as expected, with the “FLS-Constant q”
 536 generated g-function. It can also be observed that all g-functions except the “FDM-Uniform T”
 537 present a similar short term behavior. From times equivalent to $\ln(9Fo_H) \approx -2$, i.e. about 550 days for the UPV Demo
 538 site characteristics, the implications of using the different BCs are clearly observed in the resulting g-
 539 functions. In the beginning, the g-function from the “FDM-Uniform T” presents higher values than those
 540 generated from both “FEM-Constant q” and “FEM-Uniform T” models. The differences between “FDM-
 541 Uniform T” and “FEM-Uniform T” are about 0.2 units. Translating these into temperature and time
 542 dimensions for a case of 20W/m constant injection, the temperature differences between “ FDM-Uniform
 543 T” and “FEM-Uniform T” is 0.39 K, after about 45 days ($\ln(9Fo_H) = -4.5$). These deviations are partly
 544 related to an approximation, probably an interpolation (Yang et al., 2010; Malayappan and Spitler, 2013),
 545 that the software carries out on the g-functions contained in the library in order to obtain an approximate
 546 solution for the specific geometrical characteristics of the UPV Demo site. The differences are also partly
 547 attributed to the new parameters accounted for the g-function generation, especially the ratio D/H. The g-
 548 function obtained from the “FEM-Uniform T” model increases 1.59% when the ratio D changes from 3.5
 549 to 4.5 meters and H is 47.5 m, when the $\ln(9Fo_H)$ is equal to 2. This also shows that the influence of D
 550 over H becomes more significant when the active borehole length decreases in comparison with the
 551 deviation presented in the g-function of 2x3 BHs configuration presented in Section 4.2. This influence
 552 was also shown in (Cimmino et al., 2013). The differences are also partly attributed to some adjustable
 553 features on the mesh in our numerical model.

554 In the asymptotic part of the curves (after about $\ln(9Fo_H) > 2$, that is around 82 years for the UPV
 555 Demo site characteristics), the g-function “FEM-Uniform T” presents very similar values to “FDM-
 556 Uniform T”, whereas the g-function from the “FEM-Constant q” model overestimates it.
 557 In comparison with “FLS-Uniform T” the “FEM-Uniform T” comes out in slightly lower values. The
 558 deviations starts around $\ln(9Fo_H) = -4$ and are about 0.9% in the remaining time period.

559 Once the models are validated, the daily fluid temperatures are simulated for the input daily loads
 560 measured at UPV. In both models, “Constant q” and “Uniform T”, the input data corresponds to measured

561 daily loads during 6 years, estimated as described above. The initial condition is set to the undisturbed
 562 temperature of the ground. For the simulations, it has been defined as the annual average ambient
 563 temperature in Valencia, which is about 19.5°C, somewhat higher than presented in Urchueguía (2006). A
 564 Thermal Response Test (TRT) result would likely be the optimum input, since it gives a more accurate
 565 value of the temperature in the ground in situ. Moreover, a temperature BC equal to 19.5°C is set at the
 566 outer boundary of the BH field domain. The geothermal heat flux is not taken into account in this case.

567 The computational mesh is identical to the one described in the numerical model section in
 568 “Constant q” and “Uniform T” models. Radial elements are applied in the surrounding of the BHs. The
 569 smallest elements are chosen in the proximity of the BHs, their size increasing with the distance from the
 570 BHs. Tetrahedral elements are chosen in the surrounding domain located under the BH field, which is
 571 about 200x249 m in the horizontal plane with 200 m depth and in the inactive upper part (having
 572 thickness D according to Table 4) at the top of the BH field. Concerning the solver, its features are
 573 specified according to the input data. Special treatment has been taken with regards to the time stepping
 574 of the solver calculations. Since the input comprises heating and cooling loads, the duration of the loads
 575 becomes a restriction for the maximum time stepping of the calculation. By computing the solution, the
 576 BH temperatures are generated for the two different models considered in this paper.

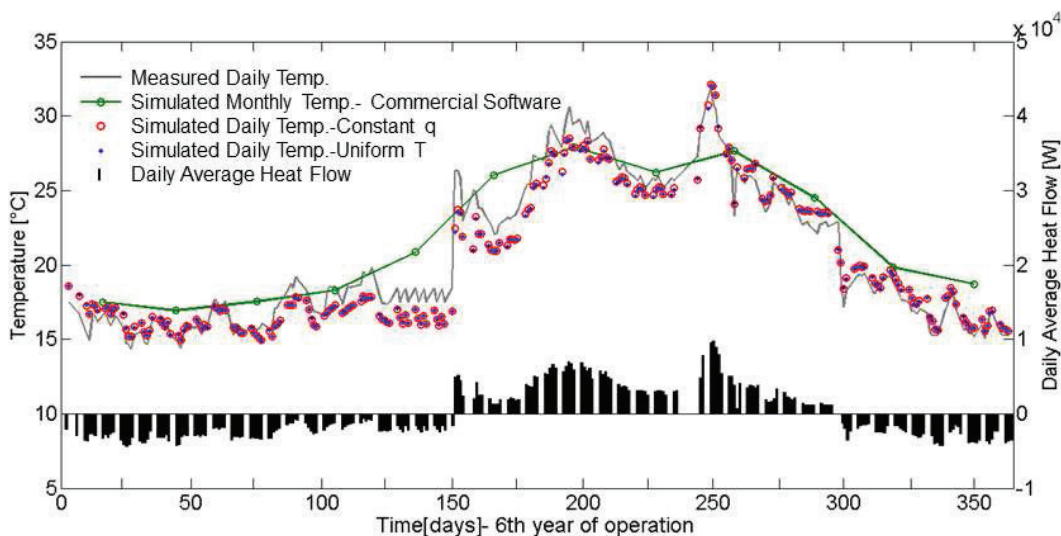
577 Finally, the temperature of the fluid is calculated by assuming the average BH thermal resistance
 578 presented in the previous section. The fluid temperatures resulting from both simulations, “Constant q”
 579 and “Uniform T” models, are compared with the temperatures measured at the UPV Demo site as well as
 580 with a calculation based on the commercial software EED, labeled as Commercial Software. To calculate
 581 the monthly fluid temperatures in the commercial software, average monthly loads are repeatedly applied
 582 during 6 years.

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586 5.3. Simulation of Daily Temperatures

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589 Although the simulation was carried out for 6 years of operation, only the results of the sixth year are
 590 presented in this paper. Figure 11 shows the comparison of the simulated and measured fluid
 591 temperatures. The measured values are labeled as “Measured Daily Temp.”. The values obtained from the
 592 simulation are referred to as “Simulated Daily Temp.-Constant q” and “Simulated Daily Temp.-Uniform
 593 T” for the “Constant q” and “Uniform T” models, respectively. The monthly temperature values
 594 calculated with the aforementioned commercial software are labeled as “Simulated Monthly Temp.-
 595 Commercial Software”.



595

596 Figure 11 : Daily average heat flow and fluid temperatures. Measured and simulated values during the 6th
597 year of operation.
598

599 As observed in Figure 11, the differences between the simulated fluid temperatures in “Constant q”
600 and “Uniform T” models are virtually insignificant. However, the differences should be more pronounced
601 in imbalanced compact BH fields and after longer time, in which the “FEM- Uniform T” model, which
602 accounts for the thermal interactions between the BHs, may give a better approximation to the reality, as
603 shown in (Malayappan and Spitler, 2013) and (Monzó et al., 2013b).. In comparison with the measured
604 values, there is better agreement in heating (heat drawn from the ground) than during the cooling period
605 in both cases. With regards to the simulated monthly values, the commercial software gives a simplified
606 description of the operation during most of the period and also seems to overestimate the temperatures to
607 some extent, which is perhaps related to the fact that the average monthly loads over the whole simulation
608 period have been repeated.

609 The average temperature difference between the measured and simulated values is about 0.5 K,
610 varying within a range of -1 and 2 K. There are also a few points that fall way outside the trend lines and
611 they are attributed to errors in the measurements. The differences become greater in cooling periods as
612 shown in Figure 11. This result indicates that the simulation is not fully representative for the actual
613 prescribed input properties at the site. A change in the undisturbed ground temperature or the BH
614 resistance, as well as the inclusion of the geothermal heat flux would shift the simulated temperatures.
615 The simulated results could likely be improved by adjusting some input parameters.
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617 6. CONCLUSIONS

618
619 A novel numerical model to simulate the thermal response of borehole fields is described in this
620 paper. This model pays special attention to the boundary condition (BC) at the borehole (BH) wall and its
621 realization in time and space. A hypothetical Highly Conductive Material (HCM) inserted into the BH is
622 used to simulate a uniform temperature condition at the BH wall. The HCM is extended up to a bar
623 thermally connecting all the BHs within the BH field, representing the hydraulic connection within single
624 BHs connected in parallel. The flexibility of this model allows the study of the thermal response of any
625 BH field configuration imposing a variety of temperature conditions at the BH wall with a simple change
626 of the thermal conductivity of the HCM. Although this model presently has a limited practical
627 application, it can be used as a research tool to either generate g-functions for specific situations or to
628 improve accuracy or handle situations that other methods cannot handle. Examples are spatial variations
629 in the ground thermal properties and irregular BH fields.

630 In order to illustrate the application of this approach, g-functions are first generated for a single BH
631 and for a 2x3 BHs configuration. The results are compared with the solution from SBM (uniform
632 temperature) and FLS approaches. In general, the results show a good agreement with the “SBM” and a
633 recent version of the FLS solution, producing slightly lower g-values than these. The deviation becomes
634 somewhat higher in the asymptotic part of the g-function. When comparing with SBM in a single BH
635 configuration, the deviation in g-values varies from 0.04 to 0.07 units for short and long times,
636 respectively. In the case of a 2x3 BHs configuration, the deviation is 0.16 and 0.30 units in the beginning
637 and the asymptotic part of the curve, respectively. Temperature and linear heat flux profiles along the
638 depth at different time instants confirm the existence of the uniform temperature BC at the wall of all
639 BHs, showing details about the three dimensional heat transfer process in the BH field. Future work will
640 be devoted to address the inaccuracies of the method regarding the mesh features.

641 The 2x3 model is also used to generate daily fluid temperatures for real daily loads over 6 years of
642 operation and compared with measured data. The model is built according to the geometrical
643 characteristics and ground thermal properties of a 2x3 BH field located at the UPV. Two different BCs at
644 the BH wall are tested separately, constant heat flux and uniform temperature. The simulated daily values
645 obtained from both BCs approaches show a good agreement with the measured temperatures. Due to the

646 fact that the system is almost fully thermally balanced over the year, i.e. the yearly cooling and heating
647 loads are nearly the same, the differences between the “Constant q” and “Uniform T” approaches become
648 insignificant. However, the “Uniform T” model may present better agreement than “Constant q” model
649 for imbalanced compact BH fields and after longer time. Future work will be also devoted to assess the
650 differences in the BC at BH wall for imbalanced compact BH fields. The best agreement with the
651 measured data is found during the heating periods, where the differences are within a 0.5 K range all the
652 time. The authors will also dedicate extra work to have a better prediction of the fluid temperature by
653 improving the model itself, as mentioned above, and adjusting the input parameters. Moreover, the
654 authors will address the uncertainties due to the repetition of the monthly loads year-to-year by
655 superimposing the monthly variable loads to the g-function generated from the “FEM-Uniform T”
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657 7. ACKNOWLEDGMENTS

658

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663

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