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# Experimental validation of a short-term Borehole-to-Ground (B2G) dynamic model

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#### Abstract

The design and optimization of ground source heat pump systems require the ability to accurately reproduce the dynamic thermal behavior of the system on a short-term basis, specially in a system control perspective. In this context, modelling borehole heat exchangers (BHEs) is one of the most relevant and difficult tasks. Developing a model that is able to accurately reproduce the instantaneous response of a BHE while keeping a good agreement on a long-term basis is not straightforward. Thus, decoupling the short-term and long-term behavior will ease the design of a fast short-term focused model. This work presents a short-term BHE dynamic model, called Borehole-to-Ground (B2G), which is based on the thermal network approach, combined with a vertical discretization of the borehole.

The proposed model has been validated against experimental data from a real borehole located in Stockholm, Sweden. Validation results prove the ability of the model to reproduce the short-term behavior of the borehole with an accurate prediction of the outlet fluid temperature, as well as the internal temperature profile along the U-tube. Keywords: ground source heat pump, borehole heat exchanger, heating and cooling systems, dynamic modelling

#### 1. Introduction

[3-14]

Ground source heat pumps (GSHPs) represent one of the common available and profitable geothermal systems to provide space conditioning in a wide range of applications [1]. A typical GSHP consists of a heat pump coupled with a ground heat exchanger (GHE), which permits to utilize the ground as a heat source in winter and as a heat sink in summer. Different configurations can be adopted, but one of the most commonly used is the borehole heat exchanger (BHE) in which one or several boreholes are drilled vertically in the soil, allowing the heat exchange between the heat carrier fluid and the ground. A detailed review of GHE systems can be found in [2].

In order to optimize a GSHP system as well as to improve the design, special attention should be paid to the analysis of the interaction between the heat pump and the ground source heat exchanger. In the last years many researchers focused their attention on the GSHP systems with BHE,

performing both experimental and theoretical studies in order to evaluate

their thermal performance and the influence of the main parameters (as in

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In this context, software able to predict the BHE thermal performance can contribute to find the best solution to enhance the thermal exchange in the ground and increase the energy efficiency of the system. In the last years, several approaches have been proposed in order to reproduce the thermal behavior of different BHE configurations (a complete review is reported by Yang et al. [15]).

The basic approach is based on the line and cylindrical heat source the-25 ory [16–18], in order to model the heat transfer between the borehole wall and the surrounding soil, neglecting the heat transfer inside the borehole. 27 Eskilson [19] proposed a model based on the use of non-dimensional temper-28 ature response factors, called g-functions, that represent the temperature response to a constant heat injection pulse, for a certain time step. Thus, 30 the actual thermal load can be subdivided into a series of constant step loads 31 and then the temperature response can be obtained by superimposing the single response at each load step. Another version of this approach consists in using an exponential integral function, as presented in [20], while in [21], the g-functions calculated by Eskilson are extended to shorter time steps. The g-function approach proposed by Eskilson is widely used in simulation and design software, such as GLHEPRO [22] or EED [23], and it has been improved in the last years, for example, generating numerically g-functions for specific BHE geometries, as in [24].

The most important limitation of the g-function approaches is that they are valid only for a time scale greater than  $t_b$  (Eq. 1), resulting in 3 to 6 hours for a typical borehole [25].

$$t_b = 5\frac{r_b^2}{\alpha} \tag{1}$$

Another approach to numerically describe a vertical borehole is the thermal network model, in which the borehole and the surrounding ground are represented as a series of temperature nodes connected by thermal resistances. The basic thermal network is the steady-state delta network [25] in which one temperature node is located on each pipe of the U tube and one temperature node is located on the borehole wall (Figure 1).

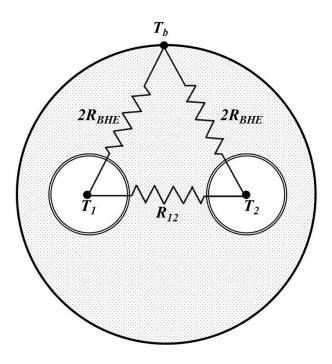


Figure 1: Standard steady state delta network [25].

Generally, short-term regulation criteria assume an important role in the global energy performance of systems, especially when different energy sources are coupled together. In the global context of the global modelling of GSHP installations, it is necessary to consider that many of them are based on an ON/OFF regulation criterion, in which the thermal load is injected/extracted to the ground in short heat pulses with a duration of about 20 minutes (depending on the instantaneous thermal energy demand), introducing strong dynamic components on the evolution of the fluid temperatures. Therefore, the short-term behavior of BHEs represents a crucial topic for evaluating and optimizing the thermal performance of GSHPs, especially considering that the fluid temperature evolution is a key parameter due to its strong influence on the heat pump performance and since the possible control algorithms are mostly based on it.

In this context, models able to accurately predict the evolution of the
BHE fluid temperatures on a short-term basis can be very useful for optimization purposes. Several attempts have been performed in order to
overcome the steady-state approach introducing the BHE dynamic performance. Yang et al. [26] proposed a two-region analytical solution model for
vertical U-tube: the cylindrical heat source theory is adopted for the outside soil region in order to calculate the borehole wall temperature, while
the outlet fluid temperature is calculated by modelling the heat transfer
inside the borehole in steady state conditions by using the standard delta
network [25]. The model has been validated against experimental data of
about 120 hours of operation, showing a good agreement especially in a
long-term perspective. Despite that, as stated by the authors, the steady
state assumption in modelling the heat transfer inside the borehole affects
the model accuracy during the first 7 hours of simulation.

In order to model the short-term response Javed and Claesson [27] developed an analytical solution based on the assumption that the heat transfer inside the borehole is completely radial and modeling the U-tube using an equivalent diameter with a single average temperature. The analytical so-

lution was derived by solving the problem in the Laplace domain and it was validated by comparing its results with ones obtained by other numerical and analytical models and against experimental data. A similar approach is proposed by Monteyne et al. [28] with the aim to develop a new procedure for performing BHE thermal response test (TRT). The borehole heat transfer is modeled adopting a frequency domain model: the relation between the heat and the temperature is approximated using a rational Frequency Response Function (FRF) in the Laplace variable (s) or in the Warburg variable( $\sqrt{s}$ ) in order to calculate the outlet water temperature at each sequence of heat injection pulse. The TRT procedure has been validated against experimental data showing a good performance. Li et al. [29] modified the original line-source theory developing a modified response functions (G-function) in order to model the short-term heat conduction problem both for pile with spiral coils and for BHE with U-tubes. Authors stated that the new G function permits to model BHE in a time scale between one hour to several years and it can be useful for annual energy analyses of GSHP system. An extension of this work is provided in [30] in which the model has been validated against experimental data for short-term periods. Authors concluded highlighting several deviations between experimental and simulated ground and outlet temperature profiles which could be related to uncertain measurements.

Starting from the work performed by [25], Bauer et al. [31] modified the standard thermal network introducing several temperature nodes for the internal grout zone subdivided in two or more different layers, depending on the tube geometry, and lumping the correspondent thermal capacitance in each layer. Moreover, a one-dimensional radial finite difference description

of the surrounding ground was adopted. Since then, many improvements have been made to the delta network, usually adding more nodes to the 104 network, as in [32] and [33], or dividing the borehole in two or more areas, 105 depending on the internal borehole geometries [34]. In this context, the 106 borehole thermal resistance assumes a relevant issue, since it represents the 107 resistance between the pipes and the borehole wall. It can be experimen-108 tally obtained or it can be calculated analytically. An exhaustive review of 109 different methods to obtain the borehole resistance is provided in Lamarche 110 et al. [34]. 111

Finally, the finite elements model (FEM) represents one of the more 112 detailed approaches, directly solving the three-dimensional heat transfer 113 problem, despite a high computational costs due to the more detailed dis-114 cretization of the borehole and of the surrounding ground. Therefore, FEMs 115 are usually assumed as a reference for numerical analysis or validation of 116 simplified models that can provide faster results, although not being so ac-117 curate (as in [31, 32], etc.). Some examples of FEM can be found in [35–42]. 118 In particular, Koohi-Fayegh et al. [39] used the FEM approach to investi-119 gate the effect of the system performance due to the thermal interaction of 120 different BHEs. Following the same approach suggested by [35, 36], Florides 121 et al. [40] developed and validated a numerical model which combined a 3D 122 conduction in the soil, solved using a FEM approach, with a 1D modeling of 123 the carrier fluid. The model was implemented in the FlexPDE environment and it was validated against experimental data showing good results. In 125 a subsequent work [41], the model has been extended to single and double 126 U-tube BHE with multiple-layer soil substrate. Recently, Luo et al. [42] utilized a FEM approach in order to investigate the thermal performance 128

of three groups of BHEs buried in a soil with different geological layers.

Therefore, most of the currently available models are focused on long-130 term response, while models able to predict the BHE short-term behaviour 131 are usually based on FEM technique, which introduces high computational 132 costs. Generally, it is difficult to obtain a model which can be used for sim-133 ulation of both short-term and long-term behavior with a computational 134 cost low enough in order to combine the model with other component ones, 135 especially considering that the currently available models simultaneously 136 calculate the local and global solutions. The novelty of the proposed ap-137 proach consists in using two separate models for the local and global solu-138 tion calculation, decoupling the short-term and long-term simulation and 139 allowing the use of faster models on each side. Thus, the short-term model 140 only takes into account the local heat transfer between the fluid flow, the 141 borehole and its adjacent piece of ground. Considering the GSHP typical 142 operation, this short-term model should be able to reproduce the instanta-143 neous performance of the BHE during the daily heat injection/extraction 144 times up to 10 hours in a ON-OFF operating control criteria, starting from 145 the initial ground temperature of each day. Then, the long-term model should be able to calculate the initial ground temperature for each day, tak-147 ing into account the thermal load of the previous one. This should reduce 148 the total computational cost of the whole model, since it is not necessary 149 to calculate the long-term response of the ground at every time-step. 150

In this paper the short-term BHE dynamic model, called Borehole-to-Ground (B2G) model, is presented. The model is based on the thermal network approach, keeping the number of nodes as low as possible while still being able to simulate the short-term (10 to 15 hours) behavior with-

out an excessive computational cost, accurately predicting not only the final temperature values but also the instantaneous response of a BHE. Besides, 156 B2G model is presented as a user-friendly simulation tool which can be 157 easily calibrated and adapted to different single U-tube BHEs and can be 158 implemented in all computational environments. For this purpose a de-159 tailed description of the model is reported in section 2. The model has been 160 implemented in TRNSYS environment and it has been validated against 161 experimental data measurements collected during two step-test for a sin-162 gle U-tube borehole located in Stockholm, Sweden. A comparison of the 163 performance of B2G with that of an standard steady-state model in a real 164 ON/OFF GSHP operation can be found in [43].

## 166 2. B2G dynamic model

#### 2.1. Model description

A short-term BHE dynamic model, called Borehole-to-Ground (B2G) 168 model, has been developed, based on previous works [26, 27, 31–34]. The 169 model is intended to correctly predict the behavior of U-tube boreholes in 170 terms of water temperature throughout the pipe for short-term periods. 171 Starting from the work carried out by Bauer et al. [31, 32], a vertical 172 discretization of the borehole is performed and, for each node, a thermal 173 network is proposed that describes the radial heat transfer at each borehole 174 depth (Figure 2a). The thermal network configuration has been chosen in 175 order to ensure a good accuracy of the model predictions while reducing the 176 total number of parameters as much as possible. As a result, five thermal 177 capacitances and six thermal resistances are taken into account at each 178 depth (5C6R-n model, where n is the number of the nodes), considering

- the thermal properties of the ground, the grout and the pipes (Figure 2b).
- Vertical heat conduction is neglected, leading to the following statements:
  - for the fluid nodes, taking into account the vertical direction advection and the heat exchange with the correspondent grout node and with the adjacent fluid node, the transient energy balance equations result in equations 2 and 3.

$$\frac{\partial T_1(z)}{\partial t} = -v \frac{\partial T_1(z)}{\partial z} - \frac{1}{C_f} \left( \frac{T_1(z) - T_{b1}(z)}{R_{b1}} + \frac{T_1(z) - T_2(z)}{R_{pp}} \right)$$
(2)

$$\frac{\partial T_2(z)}{\partial t} = -v \frac{\partial T_2(z)}{\partial z} - \frac{1}{C_f} \left( \frac{T_2(z) - T_{b2}(z)}{R_{b2}} - \frac{T_1(z) - T_2(z)}{R_{pp}} \right)$$
(3)

• for the grout inside the borehole, two separate regions are considered, as shown in Figure 2a, resulting in two different grout nodes [34] with a lumped thermal capacitance. Both nodes are interconnected by a thermal resistance  $R_{bb}$ , and to a common ground node by the resistance  $R_g$ , resulting in a delta-network different from the standard delta-network [19], which is limited to the internal borehole geometry, as shown in Figure 1). Equations 4 and 5 correspond to the energy balance equations for both grout nodes.

$$C_{b1}\frac{\partial T_{b1}(z)}{\partial t} = \frac{T_1(z) - T_{b1}(z)}{R_{b1}} + \frac{T_{b1}(z) - T_{b2}(z)}{R_{bb}} - \frac{T_{b1}(z) - T_g(z)}{R_g}$$
(4)

$$C_{b2}\frac{\partial T_{b2}(z)}{\partial t} = \frac{T_2(z) - T_{b2}(z)}{R_{b1}} - \frac{T_{b1}(z) - T_{b2}(z)}{R_{bb}} - \frac{T_{b2}(z) - T_g(z)}{R_g}$$
(5)

• the last node in the thermal network at each z-depth corresponds to the ground node  $T_g$ , which is connected with the two grout nodes  $(T_{b1}$  and  $T_{b2})$  by the same thermal resistance  $R_g$  (Eq. 6).

$$C_g \frac{\partial T_g(z)}{\partial t} = \frac{T_{b1}(z) - T_g(z)}{R_g} + \frac{T_{b2}(z) - T_g(z)}{R_g}$$
(6)

Equations 2 to 6 conform a system of ordinary differential equations, which are solved using standard numerical techniques (see section 2.3).

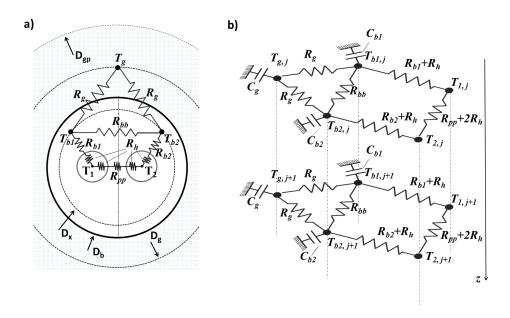


Figure 2: Thermal network model adopted in the present work: a) 2D model; b) 3D model.

As stated in section 1, the aim of B2G is to provide an accurate prediction of the short-term behavior with a reduced computational cost. The thermal network suggested in this approach is slightly different from those found in literature, since it divides the grout zone into two separate nodes and situates the delta network between those nodes and the one located in the surrounding ground. Since B2G is focused on the short-term response, the number of model parameters is lower than that of the other models discussed in section 1.

#### 192 2.2. Parameter calculation

The B2G parameters are the thermal resistances and capacitances of the different nodes of the thermal network. These parameters can be determined taking into account the borehole geometrical characteristics and thermophysical properties. In this section, a procedure for determining the values of all the parameters in the thermal network is presented.

## 2.2.1. Grout nodes

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Thermal capacitances.

First, the thermal capacitances  $C_{b1}$  and  $C_{b2}$  are calculated considering the volume of each grout zone, following Eq. 7 and Eq. 8.

$$C_{b1} = C_{b2} = \mathrm{d}z \cdot \left(\frac{S_b}{2}c_b + S_p c_p\right) \tag{7}$$

$$S_b = \frac{\pi}{4} \left( D_b^2 - 2D_{p,e}^2 \right) \tag{8}$$

In these equations,  $S_b$  is the borehole section neglecting the pipes,  $D_{p,e}$  is the external pipe diameter, dz is the node length and  $c_b$  is the grout volumetric heat capacity. The thermal capacitance of the pipe walls is small when compared to that of the grout, so, the term  $S_p c_p$  can be neglected in equation 7, resulting in equation 9.

$$C_{b1} = C_{b2} \approx \mathrm{d}z \cdot \frac{S_b}{2} c_b \tag{9}$$

204 Thermal resistances.

The thermal resistances between the grout and pipe nodes depend on the overall borehole thermal resistance  $R_{BHE}$ . This resistance is the average thermal resistance between the circulating fluid and the borehole wall, as represented in Figure 3a. Usually, this parameter is determined by experimental tests.

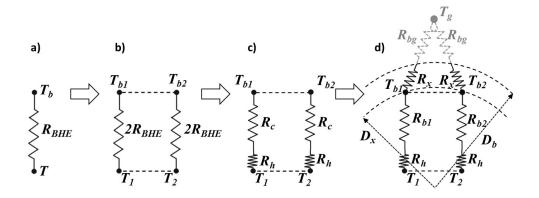


Figure 3: Thermal resistances definition steps: a) borehole resistance, b) parallel borehole resistances, c) convective and conductive resistances, d) final resistances configuration.

Since the grout zone has been divided into two nodes,  $R_{BHE}$  has to be divided into two thermal parallel resistances which connect each pipe with the corresponding grout zone, as shown in Figure 3b. Besides, as shown in Figure 3c, each one of these parallel resistances can be separated into a convective  $(R_h)$  and a conductive term  $(R_c)$  (Eq. 10).

$$2R_{BHE} = R_h + R_c \tag{10}$$

The conductive thermal resistance on equation 10,  $R_c$ , accounts for the total conductive resistance between the pipes and the borehole wall. However the grout nodes will be located somewhere in between them, at a certain

diameter  $D_x$ . Therefore,  $R_c$  is divided into two different resistances (Figure 3d), following Eq. 11.

$$R_c = R_b + R_x$$

$$where \quad R_b = R_{b1} = R_{b2}$$
(11)

The resistance between the grout nodes and the borehole wall  $(R_x)$  will
be added to the ground thermal resistance  $R_{bg}$  (Figure 3d), in order to
calculate the parameter  $R_g$  from the thermal network (Figure 2) as shown in
Eq. 12. The thermal resistance  $R_b$  corresponds to the conductive resistance
from the pipes to each grout node, which is the one represented by the
parameters  $R_{b1}$  and  $R_{b2}$  from the thermal network (Figure 2).

$$R_a = R_{ba} + R_x \tag{12}$$

The convective term  $R_h$  from Eq. 10 can be calculated as follows:

$$R_h = \frac{1}{\pi D_{n,i} \mathrm{d}zh} = \frac{1}{\pi \mathrm{d}z \mathrm{Nu}k} \tag{13}$$

where  $D_{p,i}$  is the internal pipe diameter, and Nu is the Nusselt number which can be calculated according to [45].

The global borehole thermal resistance  $R_{BHE}$  can be obtained by means of several experimental step-tests, and then the different terms presented in equations 10 and 11 can be obtained from the experimental  $R_{BHE}$ . Otherwise, it is possible to estimate it theoretically. One of the most common calculation methods is to establish an equivalent representative surface  $S_{eq}$ (Figure 4a), which provides an equivalent diameter  $D_{eq}$ , according to equation 14.

$$D_{eq} = 2\sqrt{\frac{S_{eq}}{\pi}} \tag{14}$$

There are different approaches to the estimation of the equivalent surface. Pasquier et al. [33] suggest to consider the sum of  $S_{gg}$  and  $S_p$  surfaces, as shown in Figure 4a. Therefore, the equivalent diameter will be calculated following the equation 15.

$$D_{eq} = D_{p,e} \sqrt{\frac{4W}{\pi D_{p,e}} + 1} \tag{15}$$

This allows the calculation of both conductive thermal resistances ( $R_x$  and  $R_b$ ) considering a semi-cylindrical conductive heat transfer (Figure 4b), following equations 16 and 17, where  $k_b$  is the thermal conductivity of the grout.

$$R_b = R_{b1} = R_{b2} = \frac{\ln(D_x/D_{eq})}{\pi k_b dz}$$
 (16)

$$R_x = \frac{\ln(D_b/D_x)}{\pi k_b \mathrm{d}z} \tag{17}$$

It should be pointed out that the position of the two grout nodes can 225 strongly affect the performance of the model, since the values of the con-226 ductive thermal resistances directly depend on it. The position  $\mathcal{D}_x$  (with  $D_{eq} < D_x < D_b$ ) depends on the internal borehole geometry, especially on the position of the U-tube pipes, the shank spacing and the distance be-229 tween the pipes and the borehole wall. Therefore, determining the position  $D_x$  is not straightforward. It seems reasonable to think that, when the pipes 231 are close to the borehole wall, it is preferable to locate the grout nodes on 232 the borehole wall, giving  $D_x = D_b$ . In other cases, a sensitivity analysis on 233 the effect of different values of  $D_x$  can be performed in order to obtain a 234 useful approximation. 235

tween the pipe nodes  $(R_{pp})$  is quite complex, due to the two-dimensional heat transfer taking place in this grout zone. An estimation of the maximum value is assumed as a limit, considering a one-dimensional linear heat conduction between them (Figure 4c), following Eq. 18.

$$R_{pp} = \frac{W - D_{p,e}}{D_{p,e} \mathrm{d}z k_b} \tag{18}$$

The terms W and  $\mathrm{d}z$  in 18 the shank spacing and the node depth, respectively.

An estimation of the resistance between the two grout nodes  $(R_{bb})$  is also obtained assuming a one-dimensional heat transfer through the remaining surface, as shown in Figure 4d (Eq. 19).

$$R_{bb} = \frac{W}{k_b(D_b - D_{p,e})\mathrm{d}z} \tag{19}$$

## 2.2.2. Ground node

For the ground node, both the thermal capacitance  $C_g$  and thermal resistance  $R_{bg}$  depend on the penetration depth  $D_{gp}$  of the borehole which, in turn, depends on the heat injection/extraction time and on the ground thermal diffusivity [19]. For a given penetration depth, the thermal capacitance  $C_g$  can be calculated from Eq. 20.

$$C_g = \frac{\pi}{4} \left( D_{gp}^2 - D_b^2 \right) c_g \mathrm{d}z \tag{20}$$

For the calculation of the ground thermal resistance  $R_{bg}$ , a diameter  $D_g$  can be calculated as the mean diameter between the borehole  $D_b$  and the penetration diameter  $D_{gp}$ . The ground capacitance nodes  $C_g$  are considered to be lumped in this diameter, allowing the calculation of the thermal

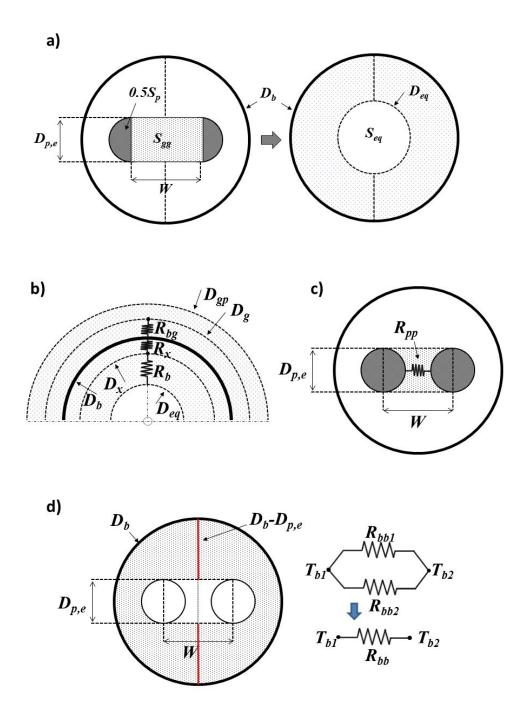


Figure 4: Geometrical model characteristics to calculate a) the equivalent diameter [33], b) grout nodes position, c) pipe to pipe thermal resistance, d) grout node to grout node thermal resistance.

resistance as a cylindrical conductive heat transfer, following Eq. 21.

$$R_{bg} = \frac{1}{\pi k_g \mathrm{d}z} \ln \left( \frac{D_g}{D_b} \right) \tag{21}$$

Finally, the total thermal resistance  $R_g$  between the grout nodes and the ground node as previously considered in Eqs. 4-6 can be calculated according to Eq. 22.

$$R_a = R_x + R_{ba} \tag{22}$$

In the B2G model, the penetration depth  $D_{gp}$  becomes an adjusting parameter that will vary depending on the heat injection/extraction duration: for longer simulation times, this parameter will take greater values. However, a sensitivity analysis (section 4.2) showed that adjusting the penetration depth for simulation times longer than 18 hours may produce a losing of accuracy in the instantaneous response.

#### 2.3. Numerical resolution

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Once the parameters of the model have been determined, it is possible to implement Eqs. 2 to 6 in any simulation software. For this purpose, it is necessary to numerically solve these equations, using one of the various numerical methods currently available. In the present work, the Lax-Wendroff [46] method has been used. Using this method, it is possible to calculate the temperatures of each node of the thermal network at a certain time (n + 1) depending on the previous temperature values (n), for each vertical section of the borehole (j), following Eqs. 23 to 27.

$$T_{1}^{n+1}(j) = T_{1}^{n}(j) - \frac{\Delta t v}{2 dz} \left( \left( T_{1}^{n}(j+1) - T_{1}^{n}(j-1) \right) - \frac{\Delta t v}{dz} \left( T_{1}^{n}(j+1) - 2T_{1}^{n}(j) + T_{1}^{n}(j-1) \right) \right) - \frac{\Delta t}{C_{f}} \left( \frac{T_{1}^{n}(j) - T_{b1}^{n}(j)}{R_{b1}} + \frac{T_{1}^{n}(j) - T_{2}^{n}(j)}{R_{pp}} \right)$$

$$(23)$$

$$T_2^{n+1}(j) = T_2^n(j) - \frac{\Delta t v}{2 dz} \left( \left( T_2^n(j+1) - T_2^n(j-1) \right) - \frac{\Delta t v}{dz} \left( T_2^n(j+1) - 2T_2^n(j) + T_2^n(j-1) \right) \right) - \frac{\Delta t}{C_f} \left( \frac{T_2^n(j) - T_{b2}^n(j)}{R_{b2}} - \frac{T_1^n(j) - T_2^n(j)}{R_{pp}} \right)$$
(24)

$$T_{b1}^{n+1}(j) = T_{b1}^{n}(j) + \frac{\Delta t}{C_{b1}} \left( \frac{T_{1}^{n}(j) - T_{b1}^{n}(j)}{R_{b1}} + \frac{T_{b1}^{n}(j) - T_{b2}^{n}(j)}{R_{bb}} - \frac{T_{b1}^{n}(j) - T_{g}^{n}(j)}{R_{g}} \right)$$
(25)

$$T_{b2}^{n+1}(j) = T_{b2}^{n}(j) + \frac{\Delta t}{C_{b2}} \left( \frac{T_2^{n}(j) - T_{b2}^{n}(j)}{R_{b2}} - \frac{T_{b1}^{n}(j) - T_{b2}^{n}(j)}{R_{bb}} - \frac{T_{b2}^{n}(j) - T_{g}^{n}(j)}{R_{g}} \right)$$
(26)

$$T_g^{n+1}(j) = T_g^n(j) + \frac{\Delta t}{C_g} \left( \frac{T_{b1}^n(j) - T_g^n(j)}{R_g} + \frac{T_{b2}^n(j) - T_g^n(j)}{R_g} \right)$$
(27)

The time-step ( $\Delta t$ ) used for the calculations depends on the time-step of the simulation, and its maximum value is fixed by the Courant-Friedrichs-Lewy (CFL) condition (Eq 28).

$$\frac{\Delta t}{\Delta t_{MAX}} = CFL \le 1$$

$$where \quad \Delta t_{MAX} = \frac{dz}{v}$$
(28)

Finally, if the simulation time-step is greater than  $\Delta t_{MAX}$  given by Eq. 28, it will be necessary to subdivide it into smaller time-steps that satisfy the CFL condition.

# 266 3. Validation

267 3.1. KTH Borehole

The data used for the model validation have been collected during distributed thermal response tests (DTRTs) carried out in a 260 m deep water filled borehole installed in Sweden. The borehole diameter is 140mm and the groundwater level was 5.5 m. The U-tube is made of a PE pipe 40x2.4 mm with a total length of 257 m. The working fluid is an aqueous solution of 12.6% weight concentration ethanol. Distributed temperature sensing technique is implemented in the borehole in order to record the groundwater and fluid temperatures at different depths, measured every meter.

Two different tests have been considered with different constant mass flow rates (0.50 and 0.44 l/s). The duration of the first test considered extended up to 160 hours with 24 hours of pre-circulation without heat injection and about 48 h of constant heat injection. As reported in [47], the results of this test allow the calculation of the mean borehole thermal resistance and the ground (rock) thermal conductivity, which will be used in the next sections. A second test has been performed where, after about 70 hours of pre-circulation, approximately 100 hours of constant heat injection followed. More details about the borehole and both tests are provided in [47–49].

In the present work, as it is focused in modelling the thermal response of the BHE in the short-term, only the short-term experimental measurements will be considered. Therefore, for the model validation, just the first hours of heat injection of each test are considered. During this interval, the experimental measurements of the outlet water temperature exiting the BHE as well as the water temperature profile inside the U pipe, are used for the validation.

#### 3.2. TRNSYS simulation

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The B2G has been validated against experimental data from the bore-294 hole described in section 3.1. TRNSYS simulation software has been chosen 295 for performing the required simulations. The model has been implemented creating a new type in which all parameters of the thermal network are 297 introduced as inputs, as well as the inlet water temperature and mass flow 298 rate in the BHE. The BHE outlet water temperature is an output of the 299 simulation. Additionally, the water temperatures at any given depth for each simulation time step are saved in a text file that can be subsequently 301 analyzed. MATLAB software has been used for the analysis of the vertical 302 temperature profiles inside the BHE. 303

The results are double validated using experimental data from two different step-tests with different operating conditions. Initially, only 10 hours of heat injection were simulated. The aim of this first analysis is to demonstrate the ability of the B2G model to reproduce not only the outlet temperature evolution but also the internal temperature distribution at the U-pipe.

Once the accuracy of the model has been validated in a short-term basis (0 to 10 hours), the simulation time is extended, providing a medium-term validation (from 10 to 48 hours). Finally, the position of the grout nodes is analyzed and a sensitivity study on the values of this parameter is presented.

In the calculation of the model parameters, as explained in section 2.2, the following assumptions have been made:

• An effective thermal conductivity of the water inside the borehole has been considered in order to take into account the convection phenomena happening during the heat injection. The effective water thermal

- conductivity has been estimated taking into account the experimen-319 tal borehole thermal resistance, using equation 16. For the  $D_{eq}$ , the 320 Pasquier approach has been used (equation 15). 321
- Taking into account the dimensions of the borehole and the proximity 322 of the pipes to the borehole wall, the grout nodes have been initially 323 located on the borehole wall (although a sensitivity analysis of the model performance with different grout node positions is presented at 325 the end of this section). 326

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- The penetration depth has been adjusted in order to obtain a good prediction of the outlet water temperature at the end of the simulation 328 time period considered. For the 10 hours simulation, the value of the penetration depth is around four times higher than the borehole diam-330 eter. However, as it can be observed in figure 9, the resulting adjusted values may vary between simulations for different heat injection dura-332 tions. Since the penetration diameter does not appear as parameter 333 on the model implementation, the adjustment has been performed by varying the value of the ground node thermal capacitance, and both 335 the penetration depth and the corresponding thermal resistance are 336 calculated from this value, following Eqs. 20 and 21. Finally, a least square error analysis has been conducted in order to determine the 338 best fitting value for this parameter. 339
- The values of the rest of the model parameters have been calculated 340 from the theoretical approach described in section 2.2. 341
- The B2G parameters considered in the present work are shown in Table 1 342

(note that the thermal capacitances and the thermal resistances correspond to node values, and consequently, depend on the number of nodes).

#### 4. Results and discussion

346 4.1. Step-test (short-term)

Figure 5 shows the simulation results for the step-tests during the first 347 10 hours of heat injection in comparison with the experimental measure-348 ments. The simulated outlet temperature (continuous line) can thus be compared with the field measurements (dashed line). The parameters con-350 sidered in the adjustment are shown in Table 1. Looking at Figure 5, it 351 can be highlighted that for both step-tests, B2G is able to reproduce the 352 outlet temperature profile with a good agreement. In particular, Figure 6 shows the deviation between the simulated and the experimental outlet 354 water temperature profiles for both Test 1 (6a) and Test 2 (6b), showing an 355 absolute error within 0.15°C. 356

In order to further investigate the performance of the model, the com-357 parison is extended to the internal borehole temperature profiles. Figure 358 7a shows the evolution of the water temperature for different depth nodes 359 during the first 10 hours of injection in the first step-test. Moreover, Figure 7b shows the vertical temperature profiles along the tube at different 361 simulation periods. As it can be observed, B2G reproduces fairly well the 362 internal temperature distribution with only a little discrepancy due to the 363 vertical heat transfer phenomena associated to the step propagation which 364 are neglected in the model (observed on Figure 7b, line 400 minutes). 365

The same comparison has been performed for the second step-test and similar results were obtained. In fact, both Figure 8a and Figure 8b show a

Thermophysical properties					
Ground thermal conductivity	$k_g$ 3.1		$Wm^{-1}K^{-1}$		
Grout thermal conductivity	$k_b$	1.675	$Wm^{-1}K^{-1}$		
Ground volumetric thermal capacitance	$c_g$	2160	$kJm^{-3}K^{-1}$		
Grout volumetric thermal capacitance	$c_b$	4186	$kJm^{-3}K^{-1}$		
Ground thermal diffusivity	$\alpha_g$ 0.005167		$m^2h^{-1}$		
Experimental mean borehole thermal resistance	$R_{bl}$	0.062	$mKW^{-1}$		
Geometrical charac	teristics				
Borehole diameter	$D_b$	140	mm		
External U-pipe diameter	$D_{p,e}$	40	mm		
Internal U-pipe diameter	$D_{p,i}$	35.2	mm		
Shank spacing (center-to-center)	W	75	mm		
Depth	L	260	m		
Model parameters					
Number of nodes	n	254	-		
Grout node thermal capacitance	$C_{b1} - C_{b2}$	53.73	$JK^{-1}$		
Ground node thermal capacitance	$C_g$	1100	$JK^{-1}$		
Borehole conductive thermal resistance	$R_{b1} - R_{b2}$	0.06131	$KW^{-1}$		
Pipe to pipe thermal resistance	$R_{pp}$	0.2910	$KW^{-1}$		
Grout to grout thermal resistance	$R_{bb}$	0.2389	$KW^{-1}$		
Grout to ground thermal resistance	$R_g$	0.05075	$KW^{-1}$		
Equivalent pipes diameter	$D_{eq}$	74.65	mm		
Grout node position	$D_x$	140	mm		
Ground radial penetration diameter	$D_{gp}$	593.6	mm		
Ground nodes position	$D_g$	366.8	mm		

Table 1: Main parameter adopted (adju**24**ng for injection time equal to 10 hours).

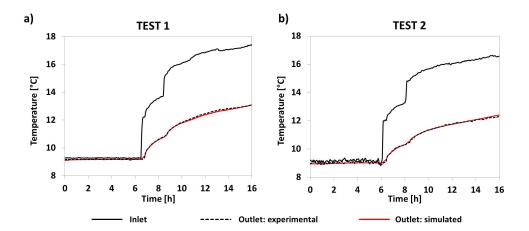


Figure 5: Comparison between the experimental and numerical outlet temperature for two different step-test.

very good agreement between the experimental and the numerical results.

The deviation shown in Figure 8b strictly depends on the initial conditions in which a little perturbation occurs.

In general, the prediction of the temperature profiles is accurate and the validation is considered successful.

# 373 4.2. Step-test (extended time)

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In order to provide a medium-term validation, the simulation time has
been extended taking into account greater injection times. An increase in
the injection time has an influence on the volume of the ground affected
by the borehole, which becomes greater as the injection time increases,
making it necessary to consider a greater ground thermal capacitance in the
model. This effect is solely connected with the penetration radius of the
heat injection in the ground, which mainly depends on the thermo-physical
characteristics of the ground.

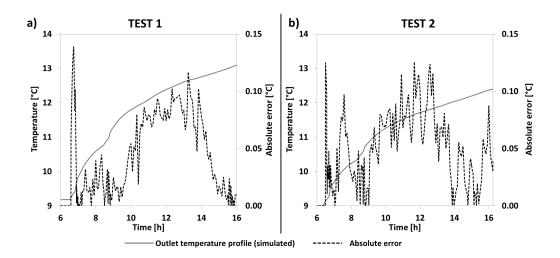


Figure 6: Absolute error between simulated and experimental outlet water temperature profiles: a) Test 1; b) Test 2.

Figure 9 shows the comparisons between the experimental and numeri-382 cal profiles of the outlet water temperature at the BHE considering different 383 heat injection times. Starting from the adjustment provided in section 4.1 (for about 10h of injection), three different heat injection periods have been 385 considered: 18 hours (Figure 9b), 30 hours (Figure 9c) and 42 hours (Figure 386 9d). The resulting ground parameters corresponding to each adjustment are reported in Table 2. Figures 9a to 9d show the results obtained with the 388 initial 10 hours adjustment and the results obtained by readjusting the ther-380 mal capacitance of the ground node, respectively. As it can be observed, 390 it is possible to obtain an acceptable medium-term adjustment by increasing the ground thermal capacitance taking into account the greater ground 392 volume affected. With the 10 hours adjustment, the model presents a lower 393 thermal inertia, leading to an increase of the outlet water temperature with higher heat injection times. Higher values of the thermal capacitance of

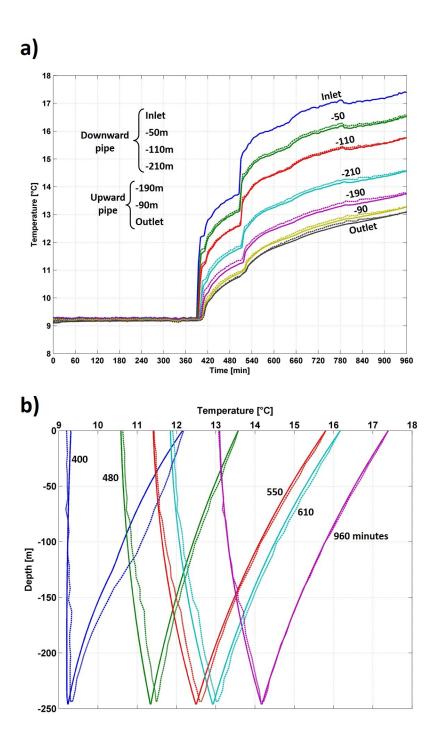


Figure 7: Comparison between the experimental (dashed lines) and numerical (continuous line) internal temperature profiles for the first step-test: a) temperature evolution at different depths. b) vertical profile at different simulation periods.

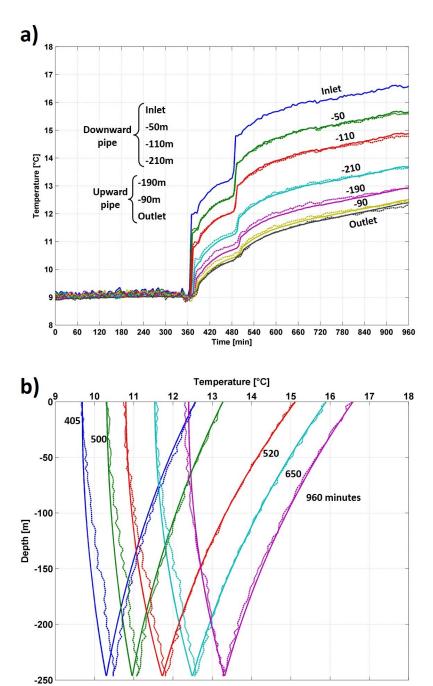


Figure 8: Comparison between the experimental (dashed lines) and numerical (continuous line) internal temperature profiles for the second step-test: a) temperature evolution at different depths. b) vertical profile at different simulation periods.

the ground node produce a better adjustment in the medium-term by increasing the thermal inertia of the model. In particular, for lower injection
times (Figure 9a,b), B2G is able to reproduce both the short-term and the
medium-term behavior, once the thermal capacitance has been adjusted accordingly. Instead, for higher injection times (Figure 9c,d) it is possible to
achieve a good accuracy in the medium-term response, but the higher thermal inertia needed in this case results in a higher difference in the short-term
adjustment.

The results shown in Figure 9 prove that B2G results can be adjusted 404 in order to obtain an accurate prediction of the medium-term temperature 405 evolution for different heat injection times by only modifying the position of 406 the ground node (and thus, the associated thermal capacitance and thermal 407 resistances). In any case, since the B2G aim is to reproduce the borehole 408 behavior in a short-term basis, the same LSE analysis performed in order 409 to determine the ground node parameters proves that the best results for 410 this period are obtained with the 10 hours adjustment. For the 18 hours 411 adjustment, the error is still acceptable, although not being minimum. How-412 ever, the results obtained when adjusting the medium-term responses for 413 30 and 42 hours of heat injection are not acceptable for this specific pur-414 pose. Therefore, it can be concluded that B2G has proved to be useful for 415 predicting the short-term behavior and the instantaneous response of the borehole for heat injection periods up to 18 hours, which is long enough for the aim of this work.

Model parameters for 18 hours				
Ground node thermal capacitance		2100	$JK^{-1}$	
Borehole to ground thermal resistance	$R_g$	0.06432	$KW^{-1}$	
Ground radial penetration diameter	$D_{gp}$	809.2	mm	
Ground nodes position $D_g$		474.6	mm	
Model parameters for 30 hours				
Ground node thermal capacitance	$C_g$	3550	$JK^{-1}$	
Borehole to ground thermal resistance		0.07604	$KW^{-1}$	
Ground radial penetration diameter		1046	mm	
Ground nodes position		592.8	mm	
Model parameters for 42 hours				
Ground node thermal capacitance	$C_g$	5000	$JK^{-1}$	
Borehole to ground thermal resistance		0.05251	$KW^{-1}$	
Ground radial penetration diameter		1238	mm	
Ground nodes position		688.9	mm	

Table 2: Node ground parameter adopted for different injection times (note that thermal capacitances and resistances are node values and, as a consequence, they depend on the number of nodes adopted).

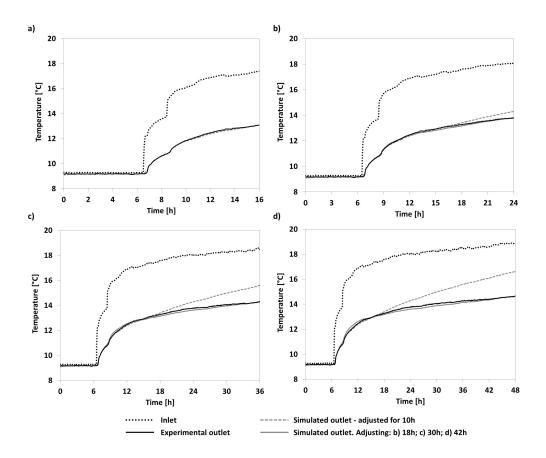


Figure 9: Comparison of the results obtained for different heat injection periods (in hour): a) 10; b) 18; c) 30; d) 42.

# 4.3. $D_x$ analysis

The analysis of the position of the grout nodes has been performed using the 10 hours simulation adjustment. Considering that the grout nodes have to be located somewhere between  $D_{eq}$  and  $D_b$ , as stated in section 2.2, the value of  $D_x$  can be calculated by Eq. 29.

$$D_x = a(D_b) + (1-a)D_{eq}$$
 with  $0 < a < 1$  (29)

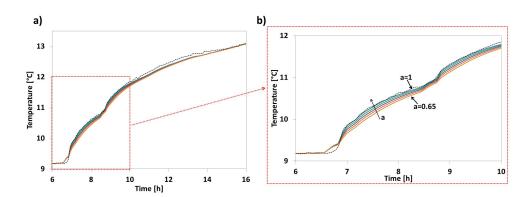


Figure 10: Simulation results with different values of  $D_x$ .

Figure 10 plots the simulation results for the simulated and experimental outlet water temperature. Different values of  $D_x$  have been considered, corresponding to different values of the parameter a of  $1(D_x = D_b)$ , 0.92, 0.83, 0.75, and 0.65. The simulation time corresponds to a total heat injection time of about 10 hours, as shown in Figure 10a. Figure 10b shows an augmented view of the first hours of the step, in order to highlight the differences between the different simulation results.

Results show that the best fitting is obtained locating the grout nodes 427 at the borehole wall, validating the initial assumption made in this work. 428 The absolute errors between simulated and experimental outlet temperature 429 profiles of TEST 1 for different grout node positions are plotted in Figure 430 11. It can be observed in this figure that the position of the borehole 431 nodes mainly affects the instantaneous response of the model: for this type 432 of BHE, the absolute error tends to increase if the value of a decreases. 433 However, as it can be observed in Figure 11a, values of a between 0.8 and 1 434 also produce valid results (i.e. absolute error within 0.15°C). On the other 435

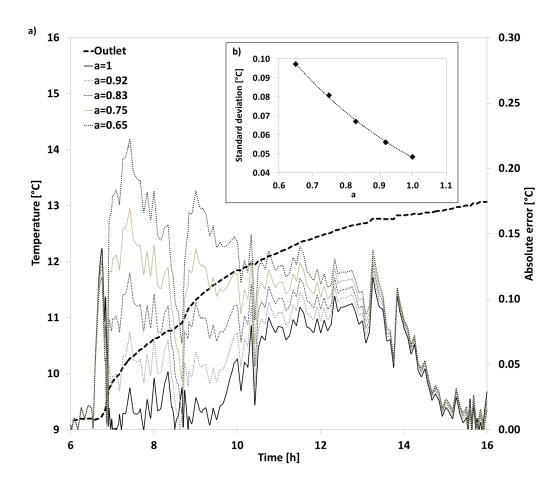


Figure 11: a) Absolute error between simulated and experimental outlet water temperature profiles of TEST 1 for different grout node positions. b) Standard deviation against parameter a.

hand, results corresponding to a values lower than 0.8 fall too far from the
experimental ones to be considered valid for the short-term simulation. The
same result is confirmed also in Figure 11b, where the standard deviation
between simulated and experimental data is plotted against the parameter
a: a general decrease of the standard deviation is observed for grout node
locations closer to the borehole diameter.

Therefore, it can be concluded that, for the proposed model and for the borehole studied in this work, the position of the grout nodes needs to be considered near the borehole wall, becoming the assumption of the borehole wall itself the best option.

A novel BHE model, called Borehole-to-Ground (B2G) model, was pre-

#### 446 5. Conclusions

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sented and validated against experimental data from a real borehole. When modelling complete GSHP systems, it i necessary to use models with low 440 computational costs. In order to obtain low computational costs for the 450 GSHE model, the short-term and long-term responses were decoupled. B2G 451 was intended to reproduce only the short-term response of the borehole out-452 let fluid temperature for heat injection pulses up to 10-15 hours long, which 453 corresponds to the total injection time each day on a typical installation. 454 The proposed B2G model was double validated against experimental 455 data from two different step tests of the same borehole, for a heat injection 456 time of about 10 hours. Results show that B2G is able to reproduce the 457 outlet temperature profile with an agreement within a 0.1 K difference. 458 Together with the outlet fluid temperature, the internal temperature profiles 450 along the U-tube were also compared, showing a good agreement with the experimental measurements.

Two main parameters of adjustment were identified in the model: the 462 penetration depth and the position of the grout nodes. In order to deter-463 mine these parameters, two sensitivity analyses were carried out: (i) study 464 of the influence of the heat injection period on the penetration depth, and 465 (ii) impact of the grout nodes position on the B2G performance. The results 466 obtained in (i) showed that it is possible to adjust the model to different 467 heat injection periods by varying the ground node position (i.e. the radial 468 penetration depth). On the other hand, results from (ii) showed that locat-469 ing the grout nodes on the borehole wall produces the most accurate results 470 for this BHE configuration. 471 Finally, the calculation of the parameters of B2G has proven to be quite 472

Finally, the calculation of the parameters of B2G has proven to be quite straightforward, starting from the overall borehole thermal resistance and its geometrical an thermal properties. This simplifies the use of B2G for BHE short-term simulation, making it a user-friendly simulation tool.

# 476 6. Acknowledgements

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481		Nomenclature					
	α	Thermal diffusivity [m <sup>2</sup> /s]	Sul	Subscripts			
	BHE	Borehole heat exchanger	1	Downward pipe zone			
	c	Volumetric thermal capacity $[J/m^3K]$	2	Upward pipe zone			
	$\mathbf{C}$	Thermal capacitance $[J/K]$	b	borehole grout			
	D	diameter [m]	bb	borehole grout to borehole grout			
	GSHE	Ground source heat exchanger	$\mathbf{c}$	conductive			
	GSHP	Ground source heat pump	e	external			
	k	conductivity $[W/mK]$	EC	External circuit (ground loop)			
	h	convective heat transfer coefficient $[\mathrm{W/m^2K}]$	eq	equivalent			
482	${ m L}$	depth [m]	g	ground			
	$\dot{m}$	Mass flow rate [kg/h]	gp	ground penetration			
	n	number of nodes [-]	j	j-node			
	Nu	Nusselt number [-]	h	convection			
	r	radius [m]	i	internal			
	R	Thermal resistance [K/W]	IC	Internal circuit (building)			
	$R_{BHE}$	Borehole thermal resistance $[mK/W]$	in	Inlet			
	$R_{12}$	Fluid to fluid thermal resistance $[\mathrm{mK/W}]$	p	pipe			
	S	surface area $[m^2]$	pp	pipe node to pipe node			
	t	Time [s]	out	Outlet			
	Т	Temperature [C]	X	borehole node position			
	v	velocity [m/s]					
	W	shank spacing [m]					
	Z	Borehole depth coordinate [m]					

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