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Additional Information

1 **Evaluation of cleaning efficiency of ultrafiltration membranes fouled**
2 **by BSA using FTIR-ATR as a tool**

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11

12 **ABSTRACT**

13 The goal of this paper was to study the cleaning of two polyethersulfone (PES)
14 membranes of different molecular weight and fouled with BSA solution. Ultrafiltration
15 (UF) membranes were tested in a flat sheet module. Fouling experiments were carried
16 out at a transmembrane pressure of 2 bar and cross flow velocity of 2 m/s during 2
17 hours. Cleaning experiments were performed at 1 bar and 2.2 m/s. To compare the
18 efficiency of different cleaning solutions (NaOH and P3-Ultrasil 115), quantification of
19 residual proteins on the membrane was carried out by FTIR-ATR. To have a better
20 understanding of the cleaning process, characteristics of the feed solution and of the
21 membranes were considered and contact angle of the membranes before and after the
22 cleaning was measured. Membrane resistances were also calculated at the different
23 stages. Results from resistances showed that reversible fouling prevail over irreversible
24 fouling for both membranes. P3-Ultrasil 115 was a better cleaning agent than NaOH

25 solution since cleaning efficiencies (CE) of 100% for both membranes were achieved
26 for P3-Ultrasil 115 solution. Residual proteins on the membrane after the cleaning were
27 measured both by FTIR-ATR and Pierce-BCA method. Results showed that 100% of
28 permeability recovery did not imply the complete BSA removal from the membrane.
29 However, these measurements corroborated that P3-Ultrasil 115 had removed a higher
30 amount of proteins than NaOH solution.

31

32 *Keywords:* Ultrafiltration; Bovine Serum Albumin; FTIR-ATR; fouling; membrane
33 cleaning.

34

35 **1. Introduction**

36 Membrane processes are considered as excellent technologies for many industrial
37 applications (Delaunay et al., 2008). Particularly, in the dairy industry, ultrafiltration
38 (UF) is widely used in the processing of whey and milk products for the standardization
39 of the protein content (Diagne et al., 2013, Muthukumaran et al., 2004, Karasu et al.,
40 2009).

41 UF membranes are an excellent alternative that reduce the separation cost and at the
42 same time increase the product yield (Levitsky et al., 2012). UF process offers many
43 advantages such as low-energy requirement and high permeate flux at low
44 transmembrane pressure. It has entailed that UF processes are often used in the dairy
45 industry. However, the bottleneck of UF processes is the membrane fouling during the
46 production step (Diagne et al., 2013) and the sub-consequent permeate flux decline.
47 Thus, an effective cleaning procedure will be necessary.

48 Membrane fouling is due to the deposition on the membrane surface and inside the
49 pores of the organic and inorganic compounds in feed solutions (Muthukumaran et al.
50 2005b). These compounds cause cake and gel layer, adsorption and pore clogging
51 (Argüello et al., 2003; Juang and Lin, 2004; Muthukumaran et al., 2005a and Kyllönen
52 et al., 2006). Membrane fouling, both reversible (removed in the water rinsing step) and
53 irreversible (removed in the chemical cleaning) (Cheryan 1998 and Muthukumaran et
54 al., 2005b) had to be frequently eliminated in order to restore the membrane initial
55 permeability.

56 In dairy industries, membrane fouling is mainly produced because of proteins and ions
57 by adsorption or deposition (Zhu and Nyström 1998) onto the membrane surface and to
58 internal pore blockage (Argüello et al., 2003 and Muthukumaran et al., 2005a). The
59 main protein that milk contains is casein. These compounds form micelles in milk
60 which consist of sub-micelles linked together by means of calcium and phosphorous
61 bridges (Hausmann et al., 2013). Thus, these ions play a critical role in membrane
62 fouling (Merin and Cheryan 1980 and Gésan et al., 1995).

63 Bovine serum albumin (BSA) has been used as model protein to describe the behavior
64 of UF and MF membranes related to membrane fouling by proteins due to its low cost
65 and high availability (Kelly and Zydney 1995). However, BSA fouling modeling is
66 complex since BSA molecules form aggregates and particle size distribution will be of
67 paramount importance to describe fouling mechanisms (Palacio et al., 2003). This
68 explains that contributions on this topic are still being published in the bibliography
69 (Hwang and Sz 2011).

70 It seems clear that a better understanding of the membrane cleaning step would
71 contribute to the optimisation of the overall process efficiency (Rabiller-Baudry et al.,

72 2002). The cleaning procedure should be as economically viable as possible. In
73 addition, membranes used in food industries are cleaned also to satisfy hygienics
74 standards (Popovic et al., 2010).

75 Membrane cleaning methods are divided into chemical and physical. However,
76 chemical processes are the most often used. Chemical cleaning agents include: alkalis,
77 acids, metal chelating agents, surfactants, oxidizing agents and enzymes (Al-Amoudi
78 and Lovitt 2007). It is important to note that a group of specific surfactants and alkaline
79 solutions are commonly used to remove organic fouling. Sodium hydroxide solution
80 and P3 Ultrasil 115 (Ecolab, Spain) were employed in this study. The cleaning solution
81 must spread into the fouling layer and inside the pores acting by dissolution and then
82 solubilization to remove the fouling layer from the membrane (Zhu and Nyström 1998;
83 Levitsky et al., 2012 and Naim et al., 2012).

84 A key factor in the study of the membrane cleaning is the quantification of the residual
85 proteins on the membrane under several cleaning conditions, as they are the main
86 components of the membrane fouling (Bégoïn et al., 2006a; Bégoïn et al., 2006b and
87 Rabillet-Baudry et al., 2012). These works quantified the residual proteins on the
88 membranes by FTIR-ATR. However, no studies comparing FTIR-ATR data with other
89 methodologies like Pierce-BCA method after extraction have been found in the
90 bibliography. Besides, a different procedure for determining the calibration line for
91 quantifying proteins by FTIR-ATR is proposed.

92 The main objective of this work was to study the influence of two cleaning reagents
93 (P3-Ultrasil 115 and NaOH) on the cleaning efficiency in terms of permeability
94 recovery, residual proteins on the membrane and membrane characteristics (contact
95 angle). For it, membrane resistances in series approach has been applied to permeate

96 fluxes data. Besides, estimation of the deposited proteins on the membrane by FTIR-
97 ATR and by Pierce-BCA method has been carried out.

98

99

100 **2. Materials and methods**

101

102 **2.1. Ultrafiltration pilot plant.**

103 UF laboratory plant was equipped with a Rayflow flat sheet module from ORELIS
104 (France) which allows to work with two membranes of 100 cm² each one, working in
105 series and operating by cross-flow filtration mode. The tank for the feed and cleaning
106 solution has a capacity of 15 liters.

107

108 **2.2. Membranes**

109 The UF membranes tested in this study were from Microdyn Nadir. One of them was a
110 polyethersulfone (PES) membrane with a molecular weight cut-off of 5 kDa (membrane
111 UP005) and the other one was a hydrophilic polyethersulfone (PESH) membrane with a
112 molecular weight cut-off of 30 kDa (membrane UH030). The membranes can be used at
113 operating conditions of pH and temperature in the range of 0-14 and until 95°C
114 respectively.

115

116

117 **2.3. Fouling solution**

118 Bovine serum albumin (BSA, purity>98%, Sigma-Aldrich, Germany) solution was
119 employed as organic foulant. Solutions with a concentration of 1% w/w and pH 7.5
120 were prepared for the fouling step. According to the manufacturer, the molecular weight
121 of the BSA is about 66 kDa. Mixing of the stock solution was performed for a period of
122 24 h in a glass beaker with magnetic stirring to guarantee the complete BSA dissolution
123 and then the stock solution was stored at 4°C.

124

125 **2.4. Contact angle measurements**

126 The contacts angle of the UH030 and UP005 membranes (virgin, fouled and cleaned)
127 were measured on Dataphysics OCA instrument (Data Physics Instruments GmbH,
128 Filderstadt, Germany). To measure the contact angle of each membrane a surface of
129 1500 mm² in pieces of 60 mm of length and 25 mm of width was analyzed. The contact
130 angle was determined by measuring the average contact angle (right and left) of 10 extra
131 pure water drops on the membrane surface (**10 different locations for each**
132 **membrane**). The time for the water droplet to reach the equilibrium with the membrane
133 surface is important because if there is mobility of the polymer chains, the functional
134 groups in contact with the drop of water can change and interfere with the results. In
135 this case, the time was about 10-20 seconds until the equilibrium was confirmed.

136

137

138

139

140 **2.5. Quantification of residual proteins**

141

142 2.5.1. Quantification of residual proteins by FTIR-ATR

143 The quantification of the small amount of proteins on the flat membrane was performed
144 by the Fourier Transform Infrared- Attenuated Total Reflectance (FTIR-ATR) analysis.
145 FTIR tests were carried out by using a Bruker infrared spectrometer (Bruker, Germany).
146 Membrane samples were cut in pieces of 5 cm². The crystal material was diamond and
147 the infrared beam enters the ATR crystal at an angle of 45°. ATR spectra were obtained
148 in the 4000-600 cm⁻¹ region, using 32 scans and 4 cm⁻¹ resolution to background spectra
149 recorded in the air.

150

151 In this experiment, UH030 and UP005 membranes were analyzed using FTIR-ATR
152 technique under different experimental conditions: membrane before first use (virgin),
153 after being fouled with BSA and after the cleaning with the tested solutions (NaOH and
154 P3 Ultrasil 115).

155

156 Although there are three bands useful to reflect the secondary structure of proteins, the
157 Amide I band is the most commonly used in secondary structure analysis because it is
158 the most sensitive to structural changes (Glassford et al., 2013). Amide I band is located
159 close to 1656 cm⁻¹ and it is due to C=O vibration (Delaunay et al., 2008). The most
160 representative band of the PES (membrane material) is located between 1200 cm⁻¹ and
161 1275 cm⁻¹ and it is due to the vibration of the ether bond (C-O-C). Thus, quantification
162 of deposited proteins in the range 0.5-350 µg/cm² is based on the ratio $A_{\text{amide I}}/A_{\text{PES}}$
163 instead of heights ratio, what is proposed by other authors (Bégoin 2004 and Bégoin et

164 al., 2006a, Paugam et al., 2013). A_{amideI} is the area under the curve due to the 1656 cm^{-1}
165 band and A_{PES} is the area under the curve due to the 1240 cm^{-1} band.

166 To obtain the calibration line the following sequence of experimental steps was carried
167 out:

168 1. Rinsing of the membranes with distilled water during 2 hours, drying at room
169 temperature during 24 hours and storing in a desiccator at least 30 minutes. Weighting
170 of the membranes pieces (6 pieces of 900 mm^2) (P_1).

171 2. Submersion of the 6 membranes pieces in solutions of different BSA concentrations
172 ($5, 10, 15, 20, 25, 30 \text{ g}\cdot\text{l}^{-1}$) during 24 hours.

173 3. Drying at room temperature during 24 hours and storing in a desiccator at least 30
174 minutes and weighting again of the membranes pieces (P_2). At this moment the amount
175 of proteins per membrane area ($\mu\text{g}/\text{cm}^2$) can be obtained from the P_1 and P_2 values.

176 4. Analysis with FTIR-ATR of the different membrane pieces including the virgin
177 membrane that was used as control membrane. Fouling membrane is represented only
178 by Amide I band since in this case the fouling solution only consisted BSA molecules.

179 5. Determination of the calibration line from the obtained data of amount of proteins
180 ($\mu\text{g}/\text{cm}^2$) and ratio $A_{\text{amideI}}/A_{\text{PES}}$.

181 In Eq. 1 and Eq. 2 the calibration line (UH030 and UP005 membrane respectively)
182 relating areas and protein mass on the membrane can be observed:

183

$$184 \quad A_{1656}/A_{1240} = 0.003 \cdot [\text{proteins, } \mu\text{g}/\text{cm}^2] + 0.3487 \quad r^2 = 0.970 \quad (1)$$

$$185 \quad A_{1656}/A_{1240} = 0.0036 \cdot [\text{proteins, } \mu\text{g}/\text{cm}^2] + 0.3133 \quad r^2 = 0.974 \quad (2)$$

186

187 2.5.2. Quantification of residual proteins by Pierce-BCA method after soxhlet extraction

188

189 The extraction of BSA from the membranes was carried out using a method developed

190 by Puro et al., 2002. The protein extractions from membrane samples after being fouled

191 with BSA and after the cleaning with the tested solutions (NaOH and P3 Ultrasil 115)

192 were carried out in a Soxhlet extractor. 350 ml of acetone-water solution (9:1) was used

193 as a solvent and the total time for each extraction was 2 h. After that, acetone was

194 separated by distillation with a rotary evaporator equipment. Finally, the amount of

195 proteins in each sample was measured by Pierce BCA test. Experimental procedure of

196 Pierce BCA test was: 1 ml of BCA working reagent was added to 1 ml of each sample,

197 then samples were incubated at 60°C for 1 hour and after cooling at room temperature,

198 the absorbance samples were measured with the spectrophotometer at 562 nm.

199

200

201 **2.6. Experiments and measurements**

202

203 2.6.1. Membrane water flux

204 The membrane water flux was measured at the beginning of the experiment and after

205 the first and second rinsing. Distilled water was used as feeding solution and

206 measurements were performed at 25°C and at transmembrane pressure of 2 bar.

207

208

209

210 2.6.2. Membrane fouling

211 The fouling tests were carried out with BSA at transmembrane pressure of 2 bar, at
212 25°C and during 2 hours. The cross-flow velocity was maintained at 2.0 m/s. To keep
213 the BSA concentration constant both the permeate and the retentate streams were
214 continuously recirculated to the feed tank. The flux was measured each 3 min using a
215 balance KB-800-2 (Kern, Germany) with an accuracy of ± 0.01 g. The permeate flux
216 was calculated from mass data, changing to volume data considering the permeate
217 density as the water density at the operating temperature. Thus, flux was calculated
218 dividing the difference between permeate volumes by the time between measurements
219 and the membrane area.

220

221 2.6.3. Cleaning experiments

222 The cleaning procedure depended on the aim of the test, since partial cleanings were
223 required according to the goals of this work. Table 1 details the three different
224 procedures carried out in concordance with the above mentioned objectives. The general
225 procedure included a first rinsing step with distilled water, a chemical cleaning step and
226 a final rinsing step (in continuous feed mode) until distilled water characteristics were
227 reached.

228 The first rinsing step was carried out at 25°C, at transmembrane pressure of 1 bar, at
229 cross flow velocity of 2.2 m/s during 30 minutes (only during the first 5 minutes, this
230 step was carried out in continuous feed mode). Flux was measured every 5 minutes.

231

232 The chemical cleaning step (with NaOH or P3 Ultrasil 115 solution) was carried out at a
 233 transmembrane pressure of 1 bar and at a cross flow velocity of 2.2 m/s during 30
 234 minutes and in total recycle mode. The optimum conditions of temperature and
 235 concentration of the cleaning solutions were chosen according to previous studies
 236 (Luján-Facundo et al., 2013). Thus, the tested temperature and concentration were 45°C
 237 and $0.4 \cdot 10^{-3}$ g/l (pH 9) for NaOH solution and 35°C and 0.7% v/v (pH 12.73) for
 238 Ultrasil solution.

239

240 Each experiment was repeated at least twice, but if the results differed significantly, the
 241 experiment was repeated three times and the mean values were reported. Results were
 242 reproducible.

243

244 **Table 1: Type of procedure and operating conditions for the experiments.**

Type of procedure	1	2	3
	Initial flux	Initial flux	Initial flux
	BSA fouling	BSA fouling	BSA fouling
	-	First rinsing	First rinsing
	-	Flux after rinsing	Flux after rinsing
	-	NaOH (45°C, pH 9)	Ultrasil (35°C, 0.7%)
	-	Second rinsing	Second rinsing
	-	Final flux	Final flux

245

246

247 2.6.4. Evaluation of cleaning efficiency (CE) and resistances

248 Cleaning efficiency was calculated according to Eq. 3 defined by (Blanpain-Avet et al.,
249 2009). This parameter was used to evaluate the rinsing process.

250
$$CE = \frac{R_t - R_c}{R_t - R_m} \cdot 100 \quad (3)$$

251 Where, R_m is the initial membrane resistance, calculated from Darcy's law equation
252 (Eq.4) using the initial water flux (J_w) measured before each fouling experiment; R_c is
253 the cleaning resistance, calculated using Eq. 4 replacing J_w by the cleaned membrane
254 flux (J_{wc}), which was measured after the second rinsing water; R_t is the membrane
255 resistance after the fouling step calculated using Eq. 4, replacing J_w by membrane flux
256 after the fouling step (J_t).

257

258
$$R_m = \frac{\Delta P}{\mu \cdot J_w} \quad (4)$$

259 R_{irrev} was calculated applying Eq.5, where J_{wr1} is the membrane flux after the first water
260 rinsing and R_{rev} was calculated according to Eq. 6.

261
$$R_{irrev} = \frac{\Delta P}{\mu \cdot J_{wr1}} - R_m \quad (5)$$

262
$$R_t = R_m + R_{rev} + R_{irrev} \quad (6)$$

263

264 Finally, it is important to define the membrane residual resistance (R_{res}) that refers to the
265 membrane resistance remaining after the cleaning step in comparison with the initial
266 membrane resistance. It is defined by Eq. 7:

267
$$R_{\text{res}} = R_c - R_m \quad (7)$$

268

269 2.6.5. Statistical analysis

270 A statistical analysis was carried out with STATGRAPHICS Centurion XVI. In this
271 way, a multiple variable analysis was studied and p-values between CE's, contact angle
272 and the amount of residual proteins were calculated.

273

274 **3. Results and discussion**

275

276 **3.1. BSA feed solution and membranes characteristics: zeta potential and contact**
277 **angle**

278 BSA concentration in the feed solution was 10 g/l. BSA solution and membrane zeta
279 potential values vary with the pH. The point at which BSA solution or membrane
280 changes its charge and the zeta potential becomes zero is called isoelectric point (pI). In
281 a previous study (Luján-Facundo et al., 2013), it was shown that the pI of the BSA
282 solution was 4.57. In addition, the zeta potential of the BSA at pH 7.5 (feed solution pH
283 in the fouling experiments) was around -55 mV. Thus, the organic foulant was charged
284 negatively in the fouling tests (Kuzmenko et al., 2005; Porcelli and Judd 2010 and Jun
285 et al., 2011). According to a previous study (Luján-Facundo et al., 2013), UH030 and
286 UP005 membranes showed a negative zeta potential (-6.33 and -8.07 for membrane
287 UH030 and UP005 respectively, values shown in Table 2). Taking into account that zeta
288 potential of BSA at pH 7.5 was extremely negative (-55 mV), it can be affirmed that

289 membrane charge was not a significant factor on the different fouling behavior of both
290 membranes.

291

292 Contact angle measurements were also evaluated to complete the characterization of the
293 membrane surface. Contact angle is directly related with the degree of hydrophilicity of
294 the membrane and it depends on the membrane material and the membrane porosity
295 (Susanto and Ulbricht 2007). It is considered that membranes are hydrophilic if contact
296 angle is not higher than 90° (Muthu et al., 2014). As it can be observed in Table 2, both
297 membranes are considered hydrophilic and contact angle values were similar and in
298 concordance with the literature (Metsämuuronen and Nyström 2009 and Balcıoğlu and
299 Gönder 2014). However, UH030 shows slightly higher contact angle than UP005
300 membrane. This fact can be attributed to UH030 membrane is rougher than UP005 as
301 reported by other authors (Li et al., 2013 and Hou et al., 2014).

302

303

Table 2: Membrane characteristics obtained experimentally.

	UH030	UP005
Molecular weight cut-off *	30 kDa	5 kDa
Membrane resistance (m^{-1} (R_m))	$\approx 3 \cdot 10^{12} \pm 1.39 \cdot 10^{12}$	$\approx 1 \cdot 10^{13} \pm 0.03 \cdot 10^{13}$
Zeta potential (mV)	-6.33 ± 0.004	-8.07 ± 0.065
Contact angle (°)	65.54 ± 3.21	54.27 ± 3.17
Roughness (nm)	12.12 ± 3.16	1.59 ± 0.20

304

*value supplied by the manufacturer

305

306

307

308

309

310 3.2. Membrane fouling

311

312 Fig. 1 depicts the evolution of flux (J_{BSA}) during BSA ultrafiltration as a function of
313 time at constant transmembrane pressure of 2 bar. The trend of flux for both tested
314 membranes was similar and the steady flux was reached after about the first 36 minutes.

315 The mechanisms for BSA fouling have been studied for many years ago. Thus, (Kelly
316 and Zydney 1995) reported that protein fouling is produced by two different
317 mechanisms: deposition of BSA aggregates on the membrane surface and chemical
318 attachment to the previously deposited proteins.

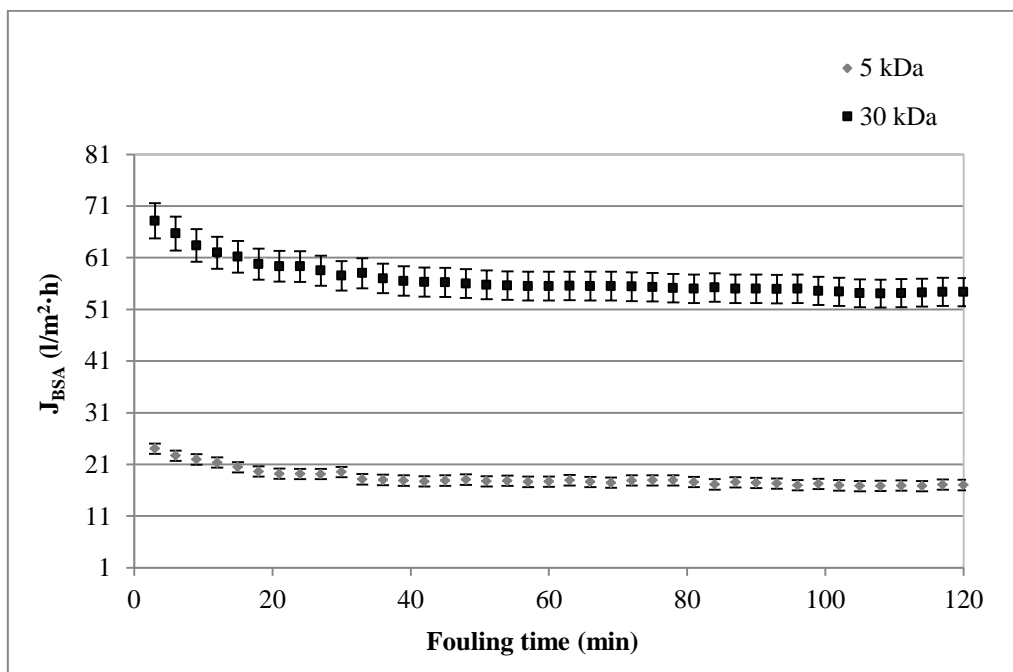
319

320 UP005 membrane showed lower fouling degree since the flux-decline profile was less
321 prominent than membrane UH030. This fact is mostly related with three parameters that
322 could control its fouling (Vatanpour et al 2014): hydrophilicity (evaluated from contact
323 angle), surface charge and surface roughness. Besides, the different pore size of the two
324 membranes can affect the membrane fouling in spite of the high molecular weight of
325 BSA.

326

327 In addition, there were two reasons to corroborate that UH030 was more prone to
328 fouling than UP005 membrane. On one hand, permeate flux during BSA ultrafiltration
329 decreased at a higher extent for UH030 membrane ($11 \text{ l/m}^2 \cdot \text{h}$) than for UP005
330 membrane ($5 \text{ l/m}^2 \cdot \text{h}$). On the other hand, taking into account the normalized values
331 ($J_{BSA}/J_{\text{water before fouling}}$), UH030 membrane had higher flux decline than UP005
332 membrane. These two reasons corroborate that UH030 was more prone to fouling than
333 UP005 membrane.

334 According to Table 2, UP005 showed lower contact angle than UH030. In agreement
335 with Rahimpour and Madaeni 2010, the higher the hydrophilicity of the membrane
336 surface is, the better the antifouling properties are. Besides, UP005 has a lower
337 roughness than UH030 as it was showed in a previous work (Luján-Facundo et al.,
338 2010). This low roughness value implies low trapping of the BSA on the peak and
339 valleys of the membrane surface (Vatanpour et al., 2014). All of these characteristics
340 explain the lower flux diminution for UP005 in comparison with UH030 membrane.
341



342
343
344
345
346
347
348

Figure 1: Evolution of flux during BSA ultrafiltration with time.

349 **3.3. Cleaning efficiency and membrane resistances**

350

351 3.3.1. Effect of chemical cleaning on cleaning efficiency

352 The highest value for cleaning efficiency for both membranes was achieved when P3
353 Ultrasil 115 was used, with a value of 100%, what means a total flux recovery. With
354 NaOH solution, the CE values were 88.05% and 83.10% for UH030 and UP005,
355 respectively. These results are consistent with previous studies (Rabiller-Baudry et al.,
356 2002; Popović et al., 2009; Levitsky et al., 2012 and Diagne et al., 2013) which also
357 showed for P3 Ultrasil solution better results than for NaOH solution. Comparing both
358 membranes, CE values were very similar and there were not significant differences. P3
359 Ultrasil cleans mainly by breaking the bonds between the foulant and the membrane
360 surface, what prevents the BSA from re-deposition. On the contrary, NaOH reacts with
361 BSA and as a consequence the hydrolysis or swelling of BSA can occur. This could
362 hinder the complete removal of proteins (Popović et al., 2010). Thus, hydrolysis can
363 drive to amino-acids penetration into the membrane pores and swelling of the proteins
364 in the pores would make very difficult their removal.

365

366 As it can be observed in Table 3, contact angle measurements after the fouling
367 experiments showed an increase in the value of this parameter due to BSA deposition
368 on the membranes, what implied a diminution in hydrophilicity. Although, BSA is a
369 hydrophilic protein, the membrane properties after fouling become more hydrophobic in
370 the same way that other authors have reported (Razali et al., 2014).

371 By contrast, membranes after cleaning showed lower contact angle than fouled
372 membranes. These values were even a few lower than the values measured in the virgin

373 membranes. Specifically, results from membrane cleaned with NaOH were lower than
 374 membranes cleaned with P3 Ultrasil 115. These results suggested that membranes
 375 became more hydrophilic due to surface modification by NaOH and because of the
 376 presence of hydrophilic functional groups (i.e. –OH). This is in concordance with the
 377 results reported by other authors (Levitsky et al., 2011; Baek et al., 2012; Levitsky et
 378 al., 2012; Naim et al., 2012 and Li et al., 2013).

379

380 **Table 3: Contact angles values for membrane UH030 and UP005 fouled and cleaned.**

	Contact angles membranes (°)	
	UH030	UP005
Virgin	65.54 ± 3.16	54.27 ± 3.48
Fouled	75.37 ± 4.25	76.2 ± 4.31
NaOH	57.17 ± 4.39	53.33 ± 4.02
P3- Ultrasil 115	60.87 ± 5.88	73.25 ± 5.21

381

382

383 3.3.2. Membrane resistances

384 Membrane resistances R_m (initial and final), R_{rev} , R_{irrev} and R_{res} were calculated as
 385 explained in Section 2.6.4 for a deeper comparison between the fouling and the cleaning
 386 of the two membranes and the efficiency of both tested cleaning agents (Huyskens et al.,
 387 2008 and Minehara et al., 2014).

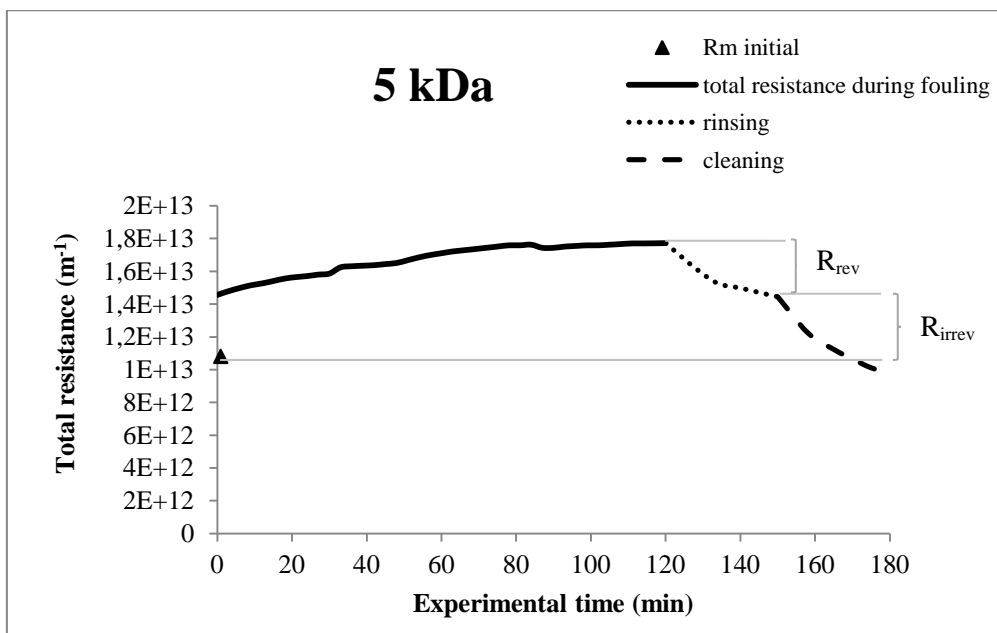
388 According to Fig.2 and Fig.3, it seems clear that in general terms the reversible fouling
 389 (after rinsing) was more pronounced than irreversible fouling (after chemical cleaning).
 390 It indicates that strongly attached to the membrane BSA molecules provided the lowest
 391 contribution to the membrane fouling. In spite of it, it is very important to focus on the

392 chemical cleaning step in order to maximize flux recovery, which is the main objective
393 of this study.

394 In addition, the lowest resistances were obtained for membrane UH030. This fact was
395 related with the higher pore size of the membrane UH030 comparing with UP005.
396 There was no residual resistance when Ultrasil was used for both membranes, what
397 implies that the permeability value was recovered totally after cleaning in comparison
398 with the initial permeability value before fouling. By contrast, when NaOH solution was
399 used, a residual membrane resistance remained.

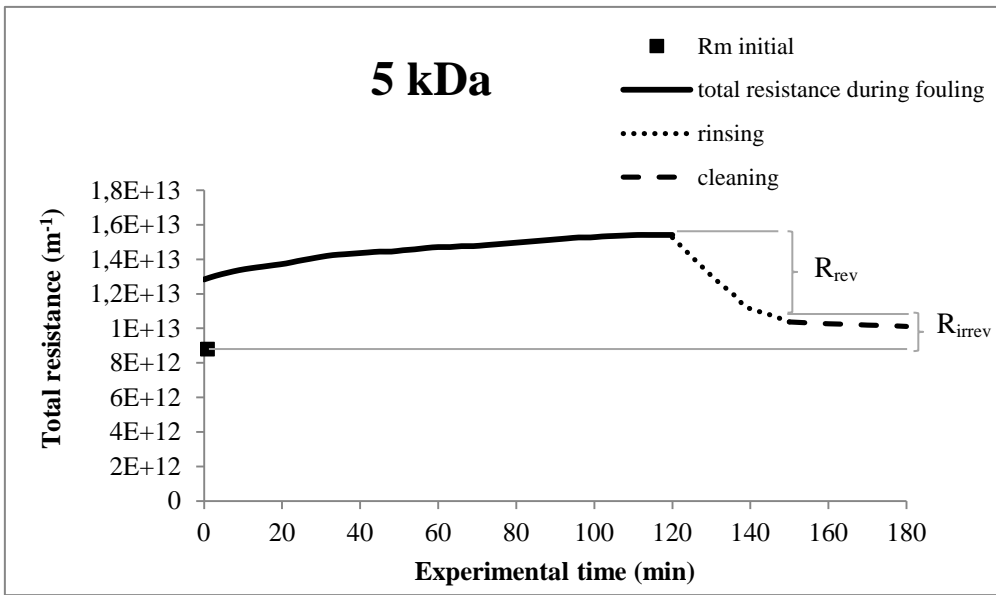
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401 a)



402

403 b)

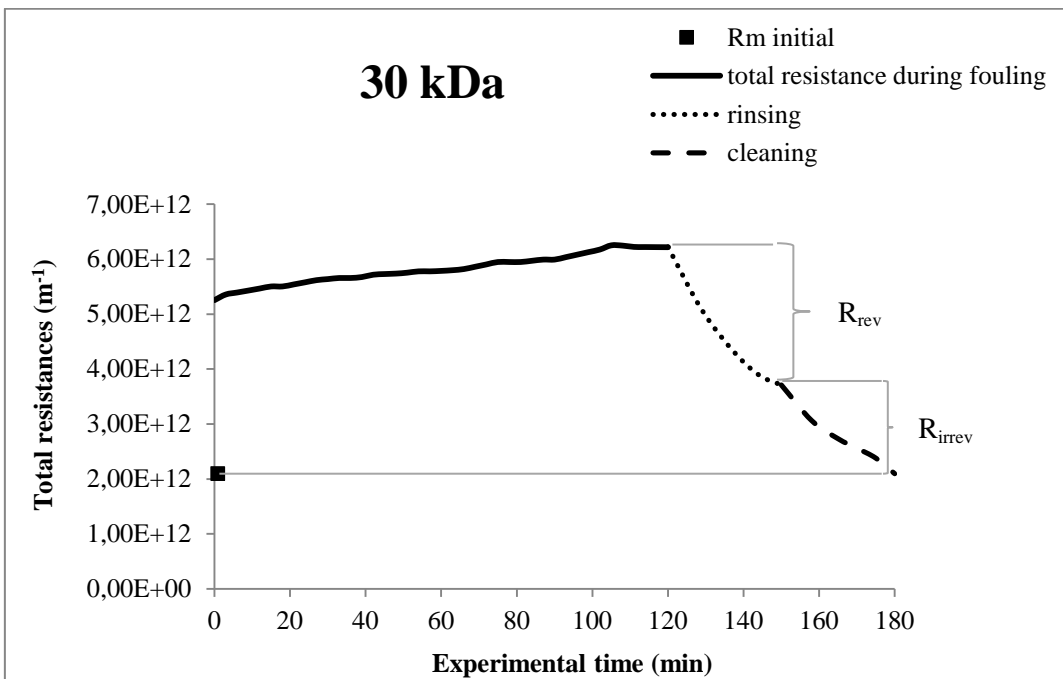


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Figure 2: Evolution of UP005 membrane resistance during the experiment using:
(a) Ultrasil solution. (b) NaOH solution.

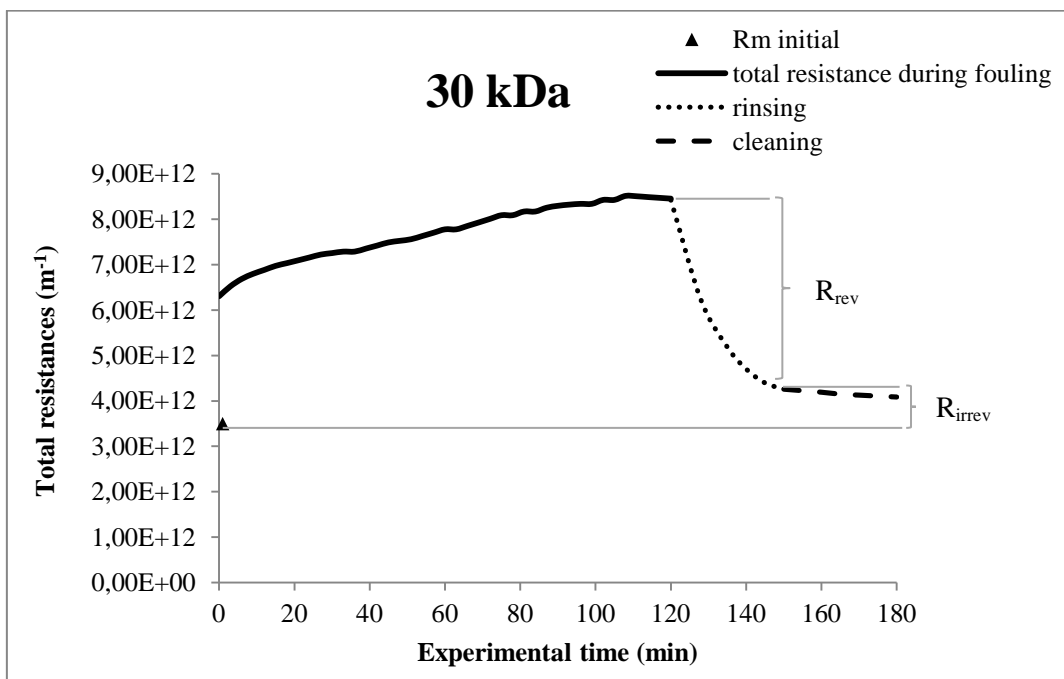
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409 a)



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414

415 b)



416

417

418

419

Figure 3: Evolution of UH030 membrane resistance during the experiment using:
(a) Ultrasil solution. (b) NaOH solution.

420

421 3.4. Identification and quantification of organic fouling

422

423 Two methods have been employed to quantify the amount of residual protein on the
424 membrane: FTIR-ATR analysis and Pierce-BCA after solid-liquid extraction. In this
425 study, quantification analysis was considered as an optimal tool to corroborate and
426 compare results in terms of permeability recovery and remaining BSA.

427 Virgin FTIR-ATR spectra of the membranes fouled by BSA, membranes cleaned by
428 NaOH solution and membranes cleaned by P3 Ultrasil 115 solution were shown in Fig.
429 4 and Fig. 5. The most representative bands were provided in Table 4. It is important to
430 highlight on the Fig. 4 and Fig. 5 that the Amide I band at 1655 cm⁻¹ that it is the BSA
431 fingerprint on the membrane surface and the ether band at 1240 cm⁻¹ that is related to

432 the membrane material (PES). Both peaks areas were used for quantifying (Table 5) the
433 amount of residual protein remaining on the membrane following Eq. 1 and Eq. 2
434 (Section 2.5.1).

435 Table 5 shows the amount of residual proteins that remained on the membrane after
436 fouling with BSA and cleaning with NaOH and P3 Ultrasil 115 solutions. As expected,
437 after the evaluation of flux decay in fouling tests, membranes without cleaning had
438 higher values of residual proteins on the UH030 membrane than in UP005
439 independently of the quantification method used. This result was in concordance with
440 Section 3.2 about membrane fouling in which it was showed that UH030 was more
441 prone to fouling than UP005. However, the chemical cleaning did vary the membrane
442 surface characteristics (table 3 shows the variation in the membrane contact angle).
443 Thus, UH030 became more hydrophilic than UP005 after the cleaning with Ultrasil
444 what drove to a lower amount of residual proteins in the membrane. In the case of
445 NaOH, results of residual proteins after the cleaning from FTIR-ATR analyses were
446 very similar for both membranes what coincide with similar contact angle values of
447 both membranes.

448 A small amount of BSA remained on the membrane after cleaning. However, this fact
449 did not impede good results in terms of CE as it can be observed in Section 3.3.1.
450 Nevertheless, it may cause higher fouling in the following tests due to BSA attachment
451 to BSA molecules previously adsorbed to the membrane (residual BSA). Both FTIR and
452 Pierce-BCA results corroborated the CE values previously reported (Section 3.3.1) from
453 a qualitative point of view; thereby it can be concluded that Ultrasil solution was a
454 better cleaning agent than NaOH solution.

455 The reason why residual proteins in the membrane did not affect the permeate flux was
456 probably due to the fact that the amount of residual proteins was not high enough to
457 block the pores. Argüello et al. (2005) observed the same behaviour using enzymatic
458 cleaning for membranes fouled with whey proteins. They concluded that residual
459 enzymes could lead to a self-cleaning mechanism. Nevertheless, this phenomenon was
460 also observed with acidic cleaning (Paugam et al., 2013). On the contrary, this
461 phenomenon was not observed by the same authors with NaOH using skim milk as
462 foulant.

463 It is important to remark that quantification results from Pierce-BCA method were
464 lower than results from FTIR-ATR analysis. This could be attributed to the fact that
465 methods are completely different and experimental errors in the calibration line (FTIR-
466 ATR, $R^2=0.97$) due to accuracy in the weight of the membrane pieces with very low
467 protein amount. However, both methods showed similar results in terms of percentage
468 of BSA removal with the cleaning solutions. Thus, it can be concluded that both
469 methods can be valid from a qualitative point of view for determining the efficiency of
470 the cleaning solutions.

471 Taking into account the results showed in Table 6, it can be observed that the cleaning
472 with Ultrasil was the most efficient. The cleaning with NaOH for UH030 membrane led
473 to protein removal efficiencies of 42.7 and 37.34 % for FTIR and Pierce-BCA methods,
474 respectively. For UP005 the values were 10.05 and 12.06% for FTIR and Pierce-BCA
475 methods, respectively.

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Table 4: Assignment of relevant IR absorption bands
(Zhu and Nyström 1998; Begoin et al., 2006a and Levitsky et al., 2012).

IR band (cm ⁻¹)	Range	Functional groups
3307	3190-3550	H-O-H
1655	1600-1700	Amide I: C=O, C-N, N-H
1577	1500-1600	Amide II: C-N, N-H
1487	1475-1600	Benzene rings (alq ar.)
1240	1460-1550	S-C-S-O ₂
1151	1200-1275	R-C-O-C-R
1035	1150-1225	O-H deformation and C-O stretching vibration interaction
	About 1030	benzene rings

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Table 5: Amount of residual proteins that remain on the membrane.

Membrane	UH030 (µg/cm ²)		UP005 (µg/cm ²)	
	FTIR	Pierce-BCA	FTIR	Pierce-BCA
BSA	114.77 ± 7.85	16.55 ± 3.06	77.42 ± 8.59	8.54 ± 0.009
NaOH	65.77 ± 6.40	10.37 ± 0.04	69.64 ± 5.01	7.51 ± 0.03
P3-Ultrasil 115	44.77 ± 9.66	8.68 ± 0.63	54.64 ± 1.21	5.66 ± 0.0004

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Table 6: BSA removal efficiency.

Membrane	BSA removal efficiency (%)				
	Cleaner	UH030		UP005	
		FTIR	Pierce-BCA	FTIR	Pierce-BCA
NaOH	42.70	37.34	10.05	12.06	
P3-Ultrasil 115	61.00	47.55	29.42	33.72	

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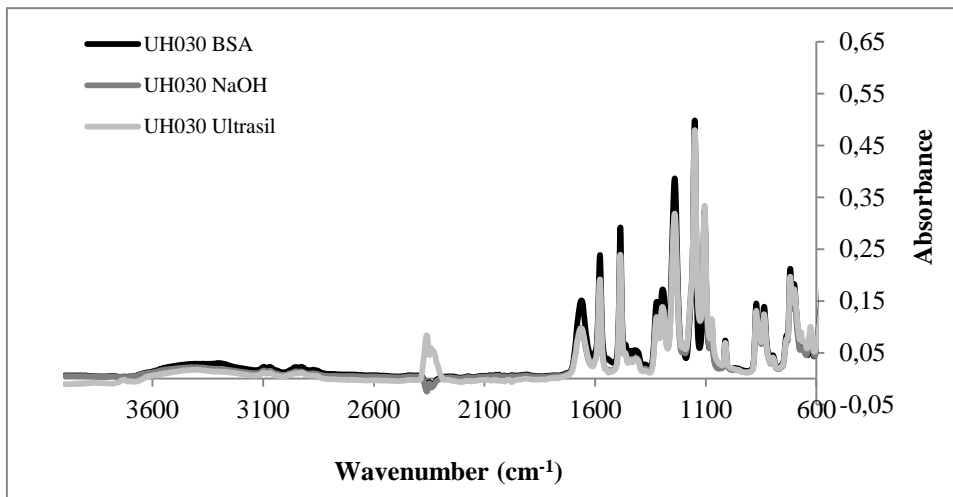
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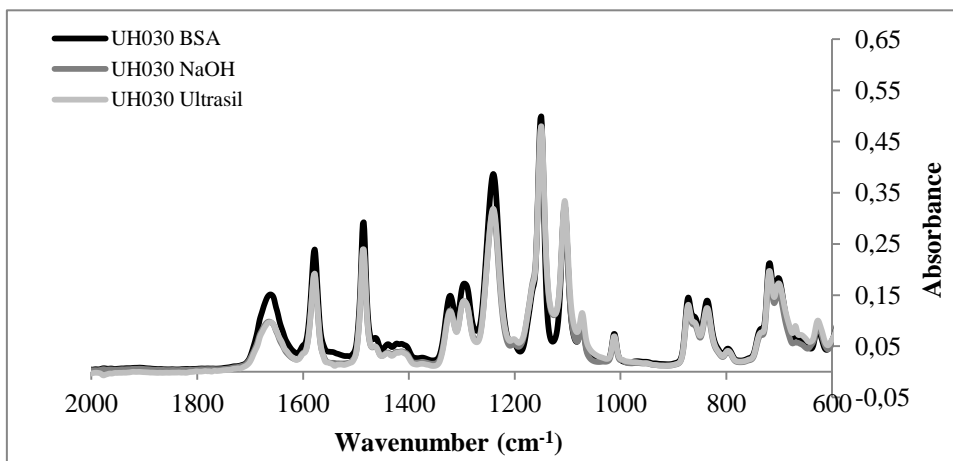


Figure 4: FTIR-ATR spectra for UH030 membrane:
a) in the 4000-600 cm^{-1} region b) in the 2000-600 cm^{-1} region.

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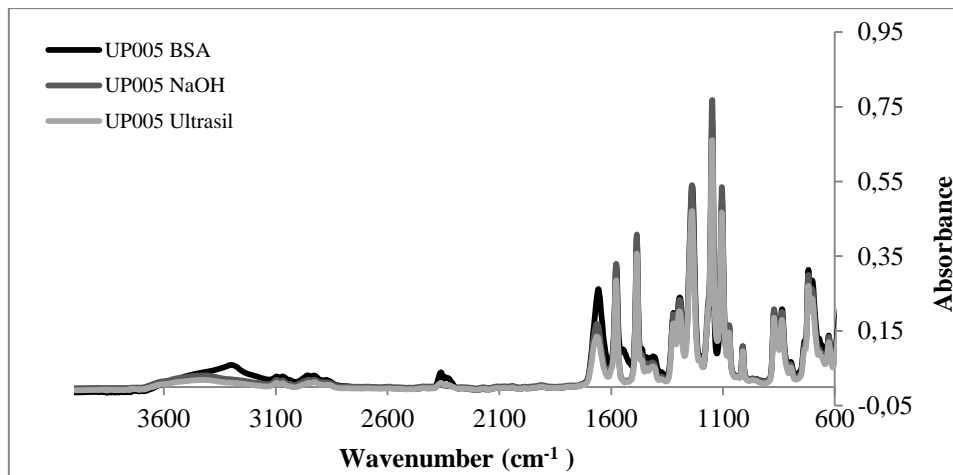
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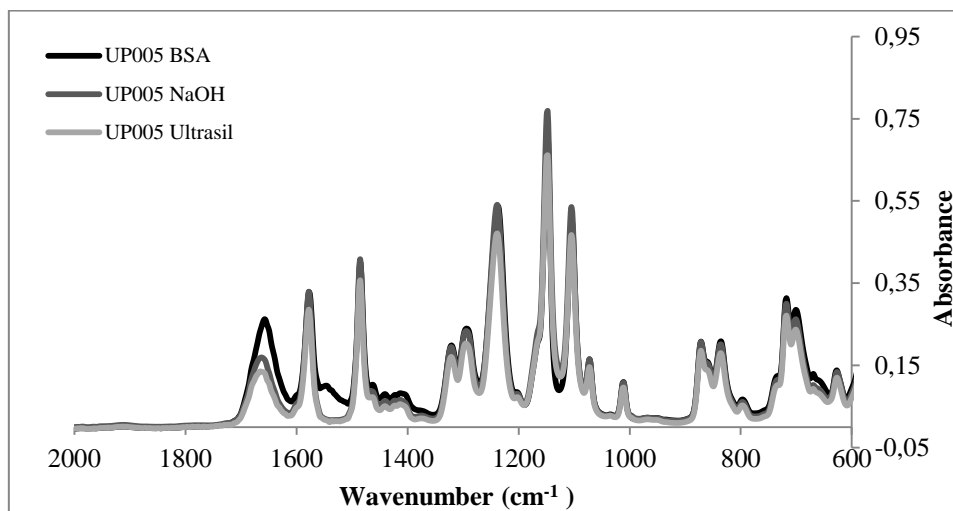
524 a)



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527 b)



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**Figure 5: FTIR-ATR spectra for UP005 membrane:
a) in the 4000-600 cm⁻¹ region b) in the 2000-600 cm⁻¹ region.**

534 Table 7 shows the p-values between CE, contact angle measurements and amount of
535 residual proteins obtained from multiple variable analysis. If p-values were lower than
536 0.05, it was considered that variables were statistically significant at the 95.0%
537 confidence level. As it can be observed in Table 7, there were no statistically significant
538 relation (p-values higher than 0.05) between CE's values and the amount of residual
539 proteins for any case studied, confirming that in spite of the high CE, BSA molecules

540 remained in the membranes. By contrast, relation between CE's values and contact
 541 angle were statistically significant (p-values lower than 0.05) for all cases except for
 542 UP005 cleaned with NaOH, what can be explained by the surface modification due to
 543 NaOH as explained in section 3.3.1.

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Table 7: p-values calculated from multiple variable analysis.

	UH030			
	NaOH/FTIR	NaOH/Pierce	P3 Ultrasil 115/FTIR	P3 Ultrasil 115/Pierce
CE versus contact angle	0.0487	0.0487	0.0247	0.0247
CE versus BSA residual	0.1166	0.7362	0.6952	0.7156
	UP005			
	NaOH/FTIR	NaOH/Pierce	P3 Ultrasil 115/FTIR	P3 Ultrasil 115/Pierce
CE versus contact angle	0.0600	0.0600	0.0109	0.0109
CE versus BSA residual	0.7594	0.7517	0.2302	0.2106

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552 CONCLUSIONS

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554 In this paper the behavior of two UF membranes has been evaluated in terms of fouling
 555 after BSA solution filtration and in terms of cleaning with two cleaning solutions
 556 (NaOH and P3 Ultrasil 115). It can be concluded that fouling of the UH030 membrane
 557 was higher than the UP005, what can be explained both by the different membrane pore
 558 size and by characteristics of the membranes such as contact angle, zeta potential and
 559 roughness.

560 Fouling was mainly reversible for both membranes, since membrane resistances
 561 calculations showed a higher reversible resistance than the irreversible one. The

562 irreversible resistance was totally eliminated by P3 Ultrasil 115 in the tested conditions;
563 meanwhile CE of the membranes after cleaning with NaOH at a temperature of 45°C
564 was between 80 and 90%.

565 Almost complete restoration of the initial flux and high values of cleaning efficiency
566 (100%) with the P3 Ultrasil 115 solution did not imply the complete removal of BSA
567 from the membrane as detected by the FTIR-ATR and Pierce-BCA methods after
568 extraction. Thus, this kind of analysis can become an important tool to study membrane
569 cleaning together with the well known study of the membrane in series resistances. In
570 fact, non-eliminated BSA can favour membrane fouling by attachment of BSA of the
571 feed solution on the previously deposited protein molecules.

572

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