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Enhanced hydrothermal resistance of Y-TZP ceramics through colloidal processing

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Abstract

Commercial nanosized and submicron sized zirconia powders with 3 mole% of yttria (TZ3YE and TZ3YS, respectively, Tosoh, Japan) were used for this study. Maximum colloidal stability for TZ3YE was achieved by dispersing the powders in a mixture of water/ethanol of 90/10 (wt/wt) using a sonication probe. The rheological behavior of the suspensions was optimized in terms of solids content ranging from 20 to 33 vol.% and sonication time (0-6 min), the best results being obtained after 2 min. TZ3YS samples were prepared to a solids loading of 30 vol.% in water dispersing with 2 min sonication. Samples obtained by slip casting in plaster moulds were used for dynamic sintering studies, and fully dense and nanostructured specimens were obtained at temperatures of 1300-1350°C (TZ3YE samples) and 1400°C/2h (TZ3YS) samples. The Hardness (*H*) and Young's Modulus (*E*) properties of the specimens were studied by nanoindentation technique giving 17 GPa and 250 GPa mean values for *H* and *E*, respectively. The specimens were then forced to a hydrothermal degradation treatment

(HDT) at 130°C for 240 h in steps of 60 h. Raman spectroscopy and nanoindentation results of hydrothermally treated samples showed the absence of transformation from tetragonal to monoclinic phase until 180 h whereas the mechanical properties maintained constant even at the sample surface. After 240h of HDT the monoclinic phase was detected on all specimens by Raman peaks centered at 180, 191 and 383 cm⁻¹. The nanoindentation study revealed an important loss of mechanical features reaching 10 GPa and 175 GPa for *H* and *E*, respectively. In the case of the TZ3YS specimens no monoclinic phase is detected after 240 h of HDT and no decay of E or H is detected. The free defect microstructure reached for the TZ3YS specimen revealed a higher hydrothermal resistance so that it is concluded that the excellent behavior against thermal degradation is possible due to the large uniformity obtained by colloidal processing rather than the particle size of the starting powders.

Keywords: Y-TZP, Suspensions, Rheology, Nanoindentation, phase transformation

Introduction

Yttria stabilized tetragonal zirconia polycrystalline (YTZP) ceramics are used in a variety of structural and functional applications due to their high strength, high toughness and good wear resistance over a wide temperature range [1-3]. Y-TZP belongs to the family of transformation toughened ceramics [4-6], whose main feature consists in a microstructure completely formed by metastable tetragonal grains at room temperature that can undergo transformation to monoclinic phase. This is associated with a volume increase of 3-4 vol.%, which is the origin of a compressive stress field that operates inversely to the tensile stress that leads the crack and stops it. Factors affecting the phase transformation volume are: the grain size of the tetragonal phase, the amount of stabilizer, and the restraining conditions [7,8]. Y-TZP materials are also used as a biomaterial, due to its excellent biocompatibility, for example as dental replacements. However, low temperature degradation have hampered other applications, such as hip replacements [9,10], and therefore it is one of the main limitations of the material. Low temperature degradation consist on the spontaneous transformation of the tetragonal phase into the monoclinic phase due to the presence of water even at room

temperature, which causes microcracking of the material and loss of structural integrity [11,12].

The degradation kinetics increases when the specimen is subjected to hydrothermal environments, which is the most usual situation in biomedical applications [10,13,14].

Nowadays, the hydrothermal degradation can be reduced by either diminishing the grain size or by increasing the stabilizer content [35-37]. The porosity must be also avoided in order to reduce the degradation because it serves as migration path to introduce water inside the material. Furthermore, the geometrical shape of the grains is important since spherical grains should distribute the stresses more homogenously than faceted ones.

Nanostructured ceramics display a range of enhanced properties compared to those obtained with submicrometer counterparts [15,16]. However the processing of nanopowders and its further densification to obtain dense nanostructures is difficult because of the strong tendency to agglomeration and spontaneous grain growth during sintering [17,18]. To overcome the densification problems pressure assisted sintering techniques have been used, such as hot pressing [2], and more recently, electric field-assisted sintering (FAST), also known as spark plasma sintering (SPS) [19,20]. Other authors have investigated the possibility of using two-step sintering to achieve high densities while maintaining fine grain sizes [21-23]. In order to improve the lifetime and the reliability of the prostheses the addition of a second phase, such as carbon nanotubes (CNT) is being investigated also [24,25].

Commercial nanopowders easy to handle and with high reliability are readily available nowadays. However, a major concern for the production of nanostructured materials is the preparation of homogeneous, defect-free green bodies. In this sense, the colloidal approach has demonstrated its suitability for the production of uniform, dense green bulk bodies from nanopowders [26-28]. The use of nanopowders is necessary to maintain the nanostructure after sintering, as this is the only way to impede grain coarsening, which is the main responsible for the spontaneous degradation caused by tetragonal to monoclinic transformation ($t \rightarrow m$), especially at low temperatures (in the range of 200-300°C) [29-34].

The aim of this work was to study the colloidal processing and the rheological behavior of concentrated suspensions of a commercial nanosized powder of Y-TZP to produce homogeneous green bodies with high density by slip casting. The obtained

compacts were sintered to high density maintaining controlled grain size and the mechanical behavior of the sintered specimens before and after a forced hydrothermal degradation was studied by nanoindentation technique and characterized by Raman spectroscopy and FE-SEM observations.

Experimental

As starting materials, a submicrometer and a nanometer sized powder of zirconia with 3 mol% of yttria were used (TZ3YS and TZ3YE, Tosoh, Japan). Both powders are supplied in the form of spherical granules with typical diameters of about 50 nm and below 100 nm for the TZ3YS and the TZ3YE, respectively. The specific surface area, determined using the single-point BET method ((Monosorb Surface Area Analyser, MS-13, Quantachrome Corporation, Boynton Beach, USA) after degassing at 150 °C, were 14.5 ± 0.5 and 6.7 ± 0.5 m²/g, respectively. The density (measured by He-pycnometry, with a Multipycnometer, Quantachrome Co., USA), was measured as 5.9 ± 0.2 g/cm³ for both powders. The BET diameter calculated for the nanopowder from the surface area was 70 nm. The morphology of the as-received powder was observed by field emission gun scanning electron microscopy (FE-SEM, Hitachi S-4700 type I, Tokyo, Japan), and transmission electron microscopy (TEM, H7100, Hitachi, Japan). The crystalline phases were identified by X-ray Diffraction (XRD) (Bruker D8 Advance, Karlsruhe, Germany) and using the Garvie's approach the ratio of tetragonal phase (density = 6.07 g/cm³, ASTM 83-113) and monoclinic phase (density = 5.82 g/cm³, ASTM 37-1484), was found to be 68/32 and 82/18 for the submicron and the nanometer sized powders, the resulting densities being 6.00 ± 0.1 g/cm³. Differential thermal and thermogravimetric analysis (DTA/TG) of the as-received powder was carried out in air with a Netzsch STA-409 Thermoanalyzer (Selb, Germany), using alumina as the reference material, in the temperature range of 20–1500°C at a heating rate of 10°C/min.

TZ3YE suspensions were prepared in a mixture of deionised water and ethanol (Et-OH) with a weight ratio 90/10. The colloidal stability of TZP nanopowders was studied measuring the zeta potential as a function of pH using a Zetasizer NanoZS instrument (Malvern, UK), based in the laser Doppler velocimetry technique. Different dilutions were tested to measure the zeta potential with the best accuracy, which was reached for a concentration of 0.005 wt%, using KCl 0.01M as inert electrolyte. The pH

values were determined with a pH-meter (716 DMS Titrine, Metrohm, Switzerland) and were adjusted with HCl and KOH solutions (0.01 and 0.01M). To improve the dispersion state, some sonication times were tested using an ultrasounds probe (UP 400S, dr. Hielscher GmbH, Germany). A sonication time of 2 min was used for the preparation of diluted suspensions for zeta potential measurements. Concentrated suspensions were prepared in water/EtOH to solids loadings of 20, 23, 28, and 33 vol.% (i.e. 60, 65, 70, and 75 wt.%, respectively).

The colloidal stability and rheological behaviour of TZ3YS suspensions has been studied elsewhere. In this work, reference TZ3YS suspensions were prepared in water to a solids content of 30 vol.% and a deflocculant content of 1.5 wt% on a dry solids basis. The deflocculant was a polyacrylic-acid based polyelectrolyte (Duramax D-3005, Rohm & Haas, USA) with an active matter content of 35%.

The rheological behaviour of these suspensions was determined using a rheometer (Thermo, Haake RS50, Karlsruhe, Germany) operating at controlled shear rate (CR) by loading the shear rate from 0 to 1000 s⁻¹ in 5 min, maintaining at 1000 s⁻¹ for 1 minute and uploading from 1000 to 0 in 5 min. The measurements were performed at 25°C using a double-cone and plate system.

These suspensions were slip cast in plaster moulds to obtain discs with 20 mm in thickness. Green densities were measured by Hg immersion after drying for 48 h. Sintering behavior was studied using green cast bodies through constant heating rate (heating and cooling rates 5°C/min) experiments up to 1600°C in a differential dilatometer with alumina rod (Adamel Lhomargy, DI24, Brie, France). Static sintering experiments for TZ3YE samples were done to temperatures of 1350, 1300 and 1250°C with heating and cooling rates of 5°C/min. TZ3YS reference samples were sintered at 1400°C. The annealing time selected was 2h. The microstructures of diamond polished (down to 1 μm) samples were characterized by field emission gun-scanning electron microscopy (FE-SEM, Hitachi S-4700, Tokyo, Japan). The crystalline of the sintered specimens was determined by Raman spectroscopy using a LabRam HR UV microspectrometer (with a thermoelectrically-cooled CCD) from Horiba Jovin-Yvon (Longjumeau, France). Excitation was performed using the 532 nm laser line and focusing 10 mW of power on the sample with a 50x LWD objective, resulting in a resolution better than 3 cm⁻¹.

The Hardness (*H*) and Young's Modulus (*E*) of the sintered discs were analyzed using a Nanoindenter (G-200 Agilent Tech., USA), before and after the hydrothermal

degradation. Indentations were performed on the surface of samples previously polished with 0.25 μm diamond abrasives and using a calibrated diamond Berkovich tip. Tests were conducted using Continuous Stiffness Measurement (CSM) at 2 nm and 45 Hz harmonic oscillation. The hydrothermal treatment was performed at 130°C under steps of 60 hours of ageing in autoclave. The lapsed times treated were 60, 120, 180 and 240 hours. After each degradation step, samples were nanoindented and analyzed by Raman spectrometer in order to reveal the maximum treatment time without visualize degradation effects.

Results and discussion

Figure 1 shows the morphology of the as-received powders observed by FEG-SEM (fig. 1a and 1b) and a TEM picture of the smaller zirconia (fig. 1c). The powders are supplied in the form of spherical granules with submiconic ($< 50 \text{ nm}$) and nanometric size ($< 100 \text{ nm}$), respectively. TEM pictures of TZ3YE powder show that primary particles are readily dispersed with some small agglomerates formed by several units.

Suspensions of the reference zirconia powder (TZ3YS) showed an isoelectric point of around pH 6. The addition of 1.5 wt% PAA shifted down the IEP to pH 2.5. Concentrated suspensions were prepared in water to 30 vol.% solids with 1.5 wt% of PAA mixing with a sonicator for 2 min. These suspensions were slightly shear thinning and had a viscosity of 13 mPa.s at a shear rate of 1000 s^{-1} . No further studies were done with this powder as it is better known in the bibliography.

The stability of the suspensions of TZ3YE in water/EtOH is shown in figure 2. The isoelectric point occurs at pH 4.3, in the same range of other studies, but the zeta potential values are rather small. However, suspensions maintained stable for long times.

This is confirmed for concentrated suspensions which were stable against time. Figure 3 shows the flow curves of suspensions prepared to solids loading of 20, 23, 28 and 33 vol.% solids and different sonication times. A sonication time of 2 min was necessary to provide maximum stability for any solids content. Suspensions prepared by mechanical mixing without sonication had some thixotropy. Optimized suspensions, i.e. those prepared with sonication for 2 min, had different rheological behavior depending on the solids loading. Less concentrated suspensions behave as nearly Newtonian or presented some shear thickening at high shear rate. The suspension with 28 vol.% solids

was slightly shear thinning and the most concentrated one presented some thixotropy. This variation is related to the increase of viscosity with solids loading. Figure 4 shows the variation of viscosity with sonication time for all solids loadings for a shear rate of 100 s^{-1} .

These suspensions were slip cast and the dried specimens were used for dynamic sintering and isothermal sintering tests. Table 1 shows the green density of the cast bodies, which tend to increase with solids content from 55% to 56.8% of theoretical density. Figure 5 shows the dilatometry of a cast specimen, in which it can be observed that early sintering necks start to form at 1000°C , maximum sintering rate is reached at 1200°C and at 1400°C a plateau is reached, thus suggesting that sintering process cannot progress anymore. Figure 6 shows the FE-SEM images of the samples sintered at 1250° , 1300° and 1350°C before the hydrothermal degradation. The materials seem to have full density for all temperatures (Table 2), and there are no apparent defects. The particle size is in the nanometer size region, although an increase is clearly observed for 1350°C thus demonstrating that this temperature is too high for sintering. In figure 6, the SEM microstructure of the reference sample TZ3YS sintered at 1400°C is also shown for comparison purposes. It can be observed that the sample has reached full density and grain size is nearly the same as the starting particle size (grain size ranging from 100 to 300 nm).

Figure 7 shows the profile of hardness and Young's modulus as a function of indentation depth for the specimens obtained at the three selected sintering temperatures. These results evidence that no important differences were found for differently sintered specimens, giving average values of 17 GPa and 250 GPa for H and E , respectively, which demonstrates that nearly full density is achieved even at the lowest sintering temperature. These results are in good agreement with previously reported H and E data for this material [38, 39].

After 60h of hydrothermal treatment, the aspect of all samples was equal than as-prepared without change of their coloration appearance and without observable microcracks. In this sense, Raman peaks observed at 147 cm^{-1} and 256 cm^{-1} corroborated that only tetragonal phase was maintained even after the HDT for all specimens. These features were maintained until 240 h of HDT. After this lapsed time, samples were extracted from the autoclave with a yellowish coloration. Figure 8a shows a comparison of the Raman spectra of HDT (240h) and as-sintered TZ3YE samples. As observed, Raman spectra revealed the characteristic monoclinic double-peak centered at

$181\text{-}190\text{ cm}^{-1}$ for all sintered temperatures corroborating that $\text{t}\rightarrow\text{m}$ degradation by HDT was reached after 240 h. Similarly, figure 8b shows the Raman spectra of the TZ3YS samples, before and after degradation tests. The $\text{t}\rightarrow\text{m}$ transformation is not observed in this material even after 240h thermal degradation, thus suggesting that the colloidal processing itself allows the preparation of zirconia materials with extreme resistance to thermal degradation. The fact that the submicron meter sized powder gives largest resistance than the nanometric one must be related to the higher density and the absence of defects in the microstructure, while the nanometer sized powders have some isolated defects and pores.

Nevertheless, a thin degraded layer containing monoclinic phase on the TZ3YS specimen may have not been detected due to the resolution of the Raman technique. Thus, the complete absence of the monoclinic phase on the specimen is not assured by these results. The FEG-SEM images and nanoindentation results display this phenomenon.

We think that any possible difference from the hydrothermal degradation resistance as a function of the sintering temperature might be found studying the crystalline and mechanical properties in depth profiles. Accordingly, figure 9 shows the H and E profiles acquired after 240 h of HDT for the TZ3YE samples. It can be observed that they have constant values from the surface until 2 μm penetration depth reached in the experiment, indicating that $\text{t}\rightarrow\text{m}$ transformation achieves several micrometers in depth. The values recorded for H and E were 10 GPa and 175 GPa, respectively, agreeing with previous reported values on monoclinic zirconia [40].

The results of nanoindentation tests performed on TZ3YS samples, before and after HDT for 240h are shown in figure 10. These results show that values of E and H maintain constant even after the extreme treatment of 240h, even better than observed for the nanosized powder, which maintain stable until 180h but then degradation occurs for 240h as detected by the decrease in mechanical properties

Figures 11a and 11b display representative FE-SEM cross-section images of the cap degraded layer observed for degraded specimens after HDT at 240h. A lot of grooves could be observed on the Y-TZP specimen as a consequence of released grains probably in the polishing procedure for approximately 20 μm in depth from the surface. For the TZ3YS specimen the affected zone was also observable but thinner than in previous case. This sample showed a non homogeneous degraded zone that varies from

250 nm to 1.75 μm thick. The affected zone width agrees with nanoindentation profile results. That means that the volumetric expansion produced by the $\text{t} \rightarrow \text{m}$ transformation generates microcracks in the grains boundaries leading to a cohesion fall between them. The fast propagation in depth of the $\text{t} \rightarrow \text{m}$ reaction once the degradation began was due to the autocatalytic character of this reaction [38]. We have avoided the use of this observed ‘degraded layer depth’ to compare the degradation resistance between specimens because the width of this layers were not uniformly affected on sample probably due to holders used into the autoclave.

Although a limit for the stability against HDT was established, it was as high as 240 h, these results corroborate that the stability of the tetragonal phase was higher than that reported in other studies [39, 40]. This raise in the hydrothermal resistance is explained by the maintenance of grain sizes and by the high density reached during green processing. It is known that the smaller the grain size the larger the hydrothermal resistance [41], but the results shown in this work demonstrate that the absence of defects reached with the TZ3YS powder is a major issue to obtain the excellent properties reported herein, while the powder with lower particle size (TZ3YE) which would lead to enhanced properties has a lower resistance to degradation, probably due to the presence of small, isolated defects. This implies that by means of a cheap and single slip casting and the subsequent conventional sintering procedures, it is possible to make nanostructured YTZP pieces with complex geometries and with enhanced lifetime features.

Conclusions

Concentrated suspensions of commercially available nanosized Y-TZP were prepared to solids loadings ranging from 20-33 vol.% in a water/ethanol mixture (90/10) mixing with an ultrasounds probe. The better slip casting performance was achieved for a solids content of 28 vol.% and 3 min sonication. Green densities of cast bodies ranged between 55-57% of theoretical density. Near to theoretical density was achieved by conventional sintering at temperatures of 1250°, 1300° and 1350 °C, although the last presented some grain coarsening. The Raman spectra and FE-SEM images showed that tetragonal Y-TZP phase was the unique phase detected and a nanostructure with grains below the 250 nm was maintained. Nanoindentation tests led to hardness and Young’s modulus values of 17 GPa and 250 GPa, respectively, for any sintering temperature within the studied range. The TZ3YS specimen sintered at 1400°C revealed a high

density microstructure without observable defects with a grain size distribution similar than Y-TZP samples.

Hydrothermal treatments were then performed on sintered specimens using an autoclave at 130°C and 60, 120, 180 and 240 hours of ageing. Only after 240h the monoclinic phase was detected on the Y-TZP specimen by the double Raman peak centered at 181-190cm⁻¹ for all sintered temperatures. The t→m transformation led to a loss of the mechanical features. The nanoindentation hardness and modulus were 10GPa and 175GPa, respectively. The FE-SEM images of the cap degraded layer displayed an affected zone of approximately 20μm in depth. The TZ3YS specimen showed a higher hydrothermal degradation resistance than previous sample prepared with nanometer powder. Raman technique was not capable to detect monoclinic phase after 240h of hydrothermal treatment, although FEG-SEM images revealed a non homogeneous thin cap degraded layer. These results agreed with nanoindentation Hardness and Modulus profiles showing loss of mechanical response below 600nm depth.

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Captions

Figure 1. Morphology of the starting Y-TZP powder (a), the TZ3YS powder (b) and a TEM picture of the smaller zirconia (c).

Figure 2. Variation of zeta potential with pH for suspensions prepared in water:ethanol (90:10).

Figure 3. Flow curves of suspensions prepared to solids loadings of 20, 23, 28, and 33 vol.% and different sonication times.

Figure 4. Variation of viscosity with sonication time for suspensions prepared to different solids loadings (shear rate, 100 s⁻¹).

Figure 5. Shrinkage and derivative curves of a cast specimen sintered at 1500°C.

Figure 6. FE-SEM microstructures of Y-TZP specimens sintered at 1250°C (a), 1300 (b) and 1350°C (c) and the microstructure of the TZ3YS sintered at 1400°C (d)

Figure 7.- Average and standard deviation of the Hardness and Young's Modulus curves acquired by nanoindentation for 3Y-TZP specimens sintered at 1250°C, 1300°C, and 1350°C.

Figure 8.- Raman spectra acquired before and after hydrothermal degradation treatment (a) on a YTZP and (b) TZ3YS specimen.

Figure 9. Average of the Hardness and Young's Modulus curves acquired by nanoindentation for 3Y-TZP specimens sintered at 1250°C, 1300°C, and 1350°C, and after 60 hours of hydrothermal degradation and after 240h.

Figure 10. Average of the Hardness and Young's Modulus profiles for TZ3YS specimen sintered at 1400°C and after 240h of hydrothermal degradation.

Figure 11. FE-SEM cross section image of a 3Y-TZP (a) and TZ3YS (b) specimen hydrothermally degraded during 240 hours.

Table 1. Green densities of cast bodies

Table 2. Densities of sintered materials