

Document downloaded from:

<http://hdl.handle.net/10251/63049>

This paper must be cited as:

Cano Embuena, AI.; Cháfer Nácher, MT.; Chiralt A.; González Martínez, MC. (2015). Physical and microstructural properties of biodegradable films based on pea starch and PVA. *Journal of Food Engineering*. 167(1):59-64. doi:10.1016/j.jfoodeng.2015.06.003.



The final publication is available at

<http://dx.doi.org/10.1016/j.jfoodeng.2015.06.003>

Copyright Elsevier

Additional Information

1 **“Physical and microstructural properties of biodegradable films based on pea starch**  
2 **and PVA”**

3

4 **Amalia I. Cano,<sup>\*(1)</sup>, Maite Cháfer<sup>(1)</sup>, Amparo Chiralt<sup>(1)</sup>, Chelo González-Martínez<sup>(1)</sup>**

5

6 <sup>(1)</sup>Instituto de Ingeniería de Alimentos para el Desarrollo, Universitat Politècnica de València.

7 Camino de Vera s/n 48022 Valencia, Spain.

8

9 (\*) Contact information for Corresponding Author

10 Instituto de Ingeniería de Alimentos para el Desarrollo. Universitat Politècnica de València.

11 Camino de Vera, s/n. 46022. Valencia. Spain.

12 Phone: +34-3877000 ext.83613, Fax: +34-963877369, e-mail: [amcaem@upvnet.upv.es](mailto:amcaem@upvnet.upv.es)

13

14

15

16 **ABSTRACT**

17 The effect of storage time on the physical properties of pea starch (PS) and polyvinyl alcohol  
18 (PVA) films and their blend was studied to develop biodegradable packaging materials for  
19 food applications. To this end, an analysis was performed of the microstructural and physical  
20 properties (solubility, moisture content, barrier, mechanical and optical properties) of PS,  
21 PVA and PS:PVA films stored for five weeks (25°C-53%RH). Whereas SEM micrographs  
22 showed a homogenous appearance for PS films, PVA presented irregularities typical of  
23 semi-crystalline structures. Blend films showed a structure which was more similar to PVA  
24 films. After 5 weeks, the physical properties of PVA films did not change; in the case of PS,  
25 however, the elastic modulus and tensile strength increased markedly but the stretchability  
26 and gloss significantly decreased, which was associated with water loss in the starch matrix.  
27 All the physical properties of blend films remained unchanged throughout time, except the  
28 elastic modulus and the tensile strength, which slightly increased. Therefore, the  
29 incorporation of PVA into pea starch films improved their physical properties and inhibited the  
30 changes that occurred in the starch matrix caused by ageing.

31 **Keywords:** barrier, SEM, mechanical, pea starch, gloss, storage time.

32

## 33 1. Introduction

34 In the last few years, an important effort has been made by the scientific community to  
35 search for bioplastics, which represent an environmentally-friendly and sustainable  
36 alternative, whose production has greatly increased over the last few years (Avérous &  
37 Pollet, 2012).

38 Starch is the one of the most important polysaccharides used to develop biodegradable films  
39 due to its potential to form a continuous matrix at low cost (Gupta et al., 2014). It is well  
40 known that starch has a granular structure and is composed of two macromolecules:  
41 amylose and amylopectin. Both polymers are responsible for the starch crystallization which  
42 leads to changes in the mechanical response (increased stiffness) of starch products (Talja  
43 et al., 2007). The amylose:amylopectin ratio depends on the source of starch, ranging from  
44 15:85 to 35:65. Several studies have reported the use of starches from various sources to  
45 prepare biodegradable films and coatings with different properties (Chen et al., 2008; Bonilla,  
46 et al., 2013; Gupta et al., 2014; Jiménez, et al., 2012; Luo et al., 2012 and Ortega-Toro, et  
47 al., 2014). Of the different kinds of starches, pea starch has a high-amylose content, which  
48 normally leads to an improvement in both the mechanical strength and the gas barrier  
49 properties of starch-based films (Han et al., 2006). Films based on starch are odorless,  
50 colorless, transparent and with very low oxygen permeability. Nevertheless, starch films  
51 present some drawbacks, such as poor water vapor barrier properties and high rigidity, which  
52 increase throughout the storage time due to the progress of crystallization in high relative  
53 humidity conditions and chain aggregation due to the progressive formation of hydrogen  
54 bonds (Cano et al. 2014; Forssell et al., 1999; Myllärinen et al., 2002; and Rindlav-Westling  
55 et al., 1998).

56 Different attempts have been made to overcome these problems when designing starch-  
57 based biodegradable films, the most common being to obtain blends with other biopolymers  
58 or bioplastics (Bonilla et al., 2013; Gupta et al., 2014 and Ortega-Toro et al., 2014) which are  
59 obtained from renewable sources or synthesis and which are biodegradable or compostable.

60 Of the bioplastics, polyvinyl alcohol (PVA), aliphatic polyesters, such as poly B-  
61 hydroxyalkanoates (PHA) and polylactic acid (PLA) or poly  $\epsilon$ -caprolactone (PCL), represent  
62 interesting alternatives because of their good mechanical properties and, in some cases,  
63 hydrophobic nature, which can contribute to modulate starch properties. Of the different  
64 bioplastics, PVA presents the greater compatibility with starch molecules at lower cost (Lu et  
65 al., 2009), which is important to ensure the competitive cost of the blend.

66 Polyvinyl-alcohol is a synthetic bioplastic, which is fully degradable and water soluble.  
67 Recently, PVA has received attention because of its biocompatibility and its good physical  
68 properties, which are due to the presence of OH groups and the hydrogen bond formation  
69 (Bonilla et al., 2014). PVA also has good film forming capability, giving rise to odourless, non-  
70 toxic films with high tensile strength and flexibility, good oxygen and aroma barrier properties,  
71 good transparency and chemical resistance.

72 Different studies into starch-PVA blends can be found in the literature, thus showing the  
73 increasing interest produced by these systems. Some of them mainly focus on  
74 biodegradability studies (Gupta et al., 2014; Lu et al., 2009 and Siddaramajah et al, 2004).  
75 Other authors have analyzed the effect of the incorporation of different additives to the  
76 starch: PVA blends, such as citric acid, glutaraldehyde or urea (Gupta et al 2014; Luo et al,  
77 2012; Ramaraj et al., 2006 and Shi et al, 2008), calcium chloride (Jiang et al, 2012), or  
78 nanoparticles (Yoon e t al., 2012) for different purposes (compatibility enhancement or  
79 biomedical and packaging applications). Few of them studied the effect of different  
80 starch:PVA ratios on film properties (Chen et al, 2008; Siddaramianh et al., 2003 and  
81 Srrekumar et al., 2012).

82 Siddaramaiah, et al., (2003) studied the influence of crystal imperfections caused by starch  
83 on the mechanical and optical properties of films. Their results support the formation of  
84 hydrogen bonds between the hydroxyl groups of PVA and starch in the polymer network.  
85 Chen et al., (2008) studied the effect of pea starch nanocrystals (PSN) and native pea starch  
86 (NPS) on the structure and physicochemical properties of the PVA films. They concluded that

87 PSN are smaller in size and more homogeneously dispersed in the PVA matrix than the  
88 NPS, resulting in stronger interactions with PVA and better mechanical behavior.

89 Sreekumar et al., (2012) studied the structure and physical properties of different blends of  
90 corn starch and PVA, by analyzing X-ray diffraction and thermal and mechanical response.  
91 They concluded that the blends presented a partial compatibility, thanks to the addition of  
92 glycerol. Likewise, polymer compatibility and PVA crystallinity greatly decreased when the  
93 starch content rose, which affected the mechanical response of the films.

94 To the best of our knowledge, no studies have been found into the effect of PVA on the  
95 ageing behavior of starch films, which is one of the main drawbacks for the practical use of  
96 starch films as packaging material, as previously commented on.

97 The objective of this work was to analyze how PVA affects the development of the starch film  
98 properties **throughout the storage**. To this end, optical, mechanical and barrier properties of  
99 PS:PVA (1:1) blend films stored for five weeks were analyzed and compared to pure PS and  
100 PVA films.

101

## 102 2. **Materials and Methods**

### 103 2.1. Materials

104 Pea starch was purchased from Roquette (Roquette Laisa España, Benifaió, Spain) and Poly  
105 (vinyl alcohol) ( $M_w$ : 89.000-98.000, degree of hydrolysis > 99%, and viscosity: 11.6-15.4cP)  
106 was supplied by Sigma (Sigma Aldrich Química S.L., Madrid, Spain). Glycerol, as starch  
107 plasticizer, was provided by Panreac Química S.A., (Castellar de Vallès Barcelona, Spain)

### 108 2.2. Preparation and characterization of films

109 Films were prepared by casting. For the preparation of pure pea starch films (PS), 2% (w/w)  
110 starch aqueous dispersions were heated in a water bath at 95 °C for 30 min to induce starch  
111 gelatinization and homogenized for 1 min at 13,500rpm and for 3 min at 20,500 rpm using a

112 rotor –stator-homogenizer (Ultraturrax D125, Janke and Kunkel, Germany). Finally, glycerol  
113 was incorporated in a ratio of 1:0.25 (Starch:Glycerol) and mixed. For pure PVA films, 1 %  
114 (w/w) PVA dispersion was dissolved in distilled water by stirring for 30 minutes at 90 °C. In  
115 PS:PVA composite films, PVA was added to the starch dispersion at 90 °C in a ratio of 1:0.5  
116 (PS:PVA) and stirred until dissolved (30 min). 1.5 g of total solids of different film forming  
117 dispersions (FFD) were gently spread over a Teflon plate (15 cm diameter) resting on a level  
118 surface, which would provide a density of solid of 84.7 g/m<sup>2</sup>. Films were formed by drying at  
119 25 °C and 45 %RH for approximately 48 hours. The RH was monitored by means of a  
120 portable Alarm-Hygrometer testo 608-H2 (Lenzkirch, Germany) placed in the same drying  
121 room.

### 122 2.3. Film conditioning

123 After the drying process, films were conditioned in desiccators at 25 °C and 53 %RH by using  
124 magnesium nitrate-6-hydrate oversaturated solution (Sigma Aldrich Química S.L., Madrid,  
125 Spain) for one week (considered as initial storage time,  $t_0$ ) when the first series of analysis  
126 were carried out. One part of the samples was stored under the same conditions for five  
127 weeks (final storage time,  $t_f$ ) in order to perform the second series of analysis of stored films.  
128 After this storage period, the physical properties of pea starch films have been shown to  
129 remain almost unaffected by time due to its low amylopectin content (Cano et al., 2014).  
130 The film thickness of every sample was measured after these two times at six random  
131 positions with a Palmer digital micrometer to the nearest 0.0025 mm.

132

### 133 2.4. Characterization of films

#### 134 2.4.1. Microstructural analysis

135 The microstructure of films was observed by Field emission scanning electron (SEM)  
136 (JEOL®, model JSM-5410, Japan) in films previously equilibrated (conditioned) at 25 °C and  
137 53 %RH for 1 week (initial storage time).

138 SEM observations were carried out on the film surface and at their cross section. To prepare  
139 the samples, films were frozen in liquid N<sub>2</sub> and cryofractured to observe the cross section.  
140 Two replicates per formulation were fixed on copper stubs, gold coated, and observed using  
141 an accelerating voltage of 2kV to surface (x750) and 5kV to cross sections (x1.500).

#### 142 2.4.2. Solubility

143 The solubility of films was determined by means of a gravimetric method previously  
144 described by Ortega-Toro et al., 2014. For this purpose, the samples were kept in distilled  
145 water in a film:water ratio of 1:10 for 48 h, and later on, they were transferred to a convection  
146 oven (J.P. Selecta, S.A., Barcelona, Spain) for 24 h at 60°C to remove the free water, and  
147 afterwards, they were completely dried in a desiccator with P<sub>2</sub>O<sub>5</sub> °C until constant weight.  
148 Three replicates were analyzed for each formulation, and results were expressed in g of  
149 dissolved film / g dry film.

#### 150 2.4.3. Moisture content

151 The films moisture content (MC) was analysed using a gravimetric method. Five replicates  
152 per formulation were dried at 60 °C for 24 h in a vacuum oven, and then they were  
153 equilibrated with P<sub>2</sub>O<sub>5</sub> until constant weight.

#### 154 2.4.4. Mechanical properties

155 Mechanical properties were measured by means of a Universal Machine (TA.XT plus, Stable  
156 Micro Systems, Haslemere, England). Equilibrated specimens were mounted in the film-  
157 extension grips of the testing machine and stretched at 50 mm min<sup>-1</sup> until breaking, following  
158 the ASTM standard method D882 (ASTM, 2001). Force-distance curves were obtained and



159 transformed into stress-strain curves. The mechanical behavior was analyzed in terms of:  
160 elastic modulus (EM), tensile strength (TS) and percentage of elongation at break (%ε). A  
161 minimum of eight replicates (2.5 cm wide and 10 cm long) were assayed per formulation.

#### 162 2.4.5. Optical properties

163 The films' optical properties were described by means of the gloss and internal transparency  
164 as a measure of film opacity. The transparency of films was calculated by applying the  
165 Kubelka-Munk theory of multiple dispersion of reflection spectrum (Judd and Wyszacki, 1975  
166 and Hutchings, 1999). This theory was based on the fact that the light passes through the  
167 film and is partially absorbed and scattered, which is quantified by the absorption (K) and the  
168 scattering (S) coefficients. The internal transmittance (Ti) of the films was quantified using  
169 eq. (1). In this equation, R0 is the reflectance of the film on an ideal black background.  
170 Parameters a and b were calculated by using eqs. (2) and (3), where R is the reflectance of  
171 the sample layer backed by a known reflectance, Rg. The reflection spectrum on the white  
172 and black background was determined from 400 to 700 nm with a MINOLTA  
173 spectrophotometer CM.36000d (Minolta Co. Tokyo, Japan). Measurements were taken on  
174 the side of film which was in contact with air during the drying and each formulation was  
175 made in triplicate.

$$176 \quad T_i = \sqrt{((a - R_0)^2 - b^2)} \quad (1)$$

$$177 \quad a = \frac{1}{2} \left( R + \frac{R_0 - R + R_g}{R_0 R_g} \right) \quad \dots(2)$$

$$178 \quad b = (a^2 - 1) \quad \dots(3)$$

179

180 The gloss measurements were taken using a flat surface gloss meter (Multi-Gloss 268,  
181 MINOLTA) at an incidence angle of 60° on the black background, following the standard  
182 method ASTM D523 (1999). Three replicates of each formulation were carried out.

#### 183 2.4.6. Barrier properties

184 Water vapour and oxygen permeability were determined as a measurement of the film barrier  
185 properties. The water vapour permeability (WVP) was evaluated following the gravimetric  
186 method, ASTM E96-95, using Payne permeability cups (Payne, elcometer SPRL,  
187 Hermelle/sd Argenteau, Belgium) of 3.5 cm in diameter. The relative humidity gradient was  
188 53-100 %, which was achieved using pure water in cups and magnesium nitrate-6-hydrate  
189 saturated solution in desiccators, respectively. Cups were placed into desiccators and these,  
190 in turn, into a temperature-controlled camera at 25 °C. The permeability study was performed  
191 by means of the weight control of cups using an analytical balance ( $\pm 0,00001$  g).

192 The oxygen permeation rate of the films was determined at 53 %RH and 25 °C by an OX-  
193 TRAN (Model 2/21 ML Mocon Lippke, Neuwied, Germany) following the standard method  
194 (ASTM D3985-05, 2005). The samples were placed into the equipment to perform the  
195 permeation assay: an oxygen sensor read the permeation through the film and the rate of  
196 oxygen transmission was calculated taking into account the amount of oxygen and the  
197 sample area (50 cm<sup>2</sup>). Oxygen permeability (PO) was calculated by dividing the oxygen  
198 transmission by the difference in oxygen partial pressure between the two sides of the film,  
199 and multiplying it by the average film thickness. Measurements were taken in triplicate.

#### 200 2.5. Statistical analysis

201 Statistical analyses of data were performed through analysis of variance (ANOVA) using  
202 Statgraphics Plus 5.1. Program (Manugistics Corp., Rockville, MD). Fisher's least significant  
203 difference (LSD) procedure was used at the 95% confidence level.

204

### 205 3. Results and Discussion

#### 206 3.1. Microstructure of films

207 The SEM images of the surface and cross section of the PS, PVA and blend PS:PVA films  
208 are shown in Figure 1. This analysis provides information about the surface morphology and  
209 internal microstructure of the films. Starch films exhibited a homogeneous, smooth  
210 appearance and the presence of starch granules was not detected. These results coincide  
211 with those obtained by several authors working on pea starch films obtained by means of  
212 casting (Chen et al., 2009 and Wu et al., 2010). On the contrary, the cross section images  
213 presented a heterogeneously-fractured layer near the film surface, which points to the  
214 progress of crystallization in this region, probably associated to the greater water molecular  
215 mobility near the film surface (Cano et al., 2014).

216 PVA films also presented a homogenous, smooth surface, in agreement with that found by  
217 other authors (Bonilla et al., 2014; Chen et al., 2008 and Fortunati et al., 2013). The cross  
218 section of these films showed some irregularities typical of semi-crystalline structures,  
219 associated with the ordered arrangement of some segments of the polymer chains. In fact,  
220 PVA has been reported to present a high degree of crystallinity (around 54%)  
221 (Arvanitoyannis, 1999).

222 The surface of blend films was rougher in appearance, usually due to a certain degree of  
223 immiscibility between polymers and the co-existence of two phases: the PVA-rich phase and  
224 the PS-rich phase. These observations coincide with those found by Chen et al., (2008) who  
225 explained that aggregations of starch and micro-phase separation between pea starch and  
226 PVA occurred during film formation, due to the lack of total miscibility. Chaléat et al (2012)  
227 also confirmed the phase separation of both polymers when working with extruded  
228 plasticized starch/polyvinyl alcohol blends, but also suggested a small degree of inter-mixing.  
229 Cross section micrographs of blend films also showed the co-existence of two phases: a  
230 crystalline and an amorphous phase, as observed for PVA films.

231 The thickness values of the films were  $0.087\pm 0.017\text{mm}$ ,  $0.107\pm 0.019\text{mm}$  and  
232  $0.123\pm 0.013\text{mm}$ , for pure PS, PVA and blend films, respectively. Blend films presented the  
233 greater values, as may be seen in the SEM observations. This result suggests that the

234 chains in the blend matrix were less tightly packaged, giving rise to a more open network,  
235 probably due to steric hindrances caused by the different polymers.

### 236 3.2. Solubility and moisture content

237 Table 1 shows the solubility and moisture content mean values and the standard deviation of  
238 the films. The water solubility values of films are relatively high, concordant with the  
239 hydrophilic nature of the polymers. Nevertheless, blend films exhibited significantly lower  
240 solubility ( $p < 0.05$ ) than pure polymers. This fact suggested that a decrease in the hydrophilic  
241 nature of the matrix occurred in the blend, probably due to the establishment of polymer  
242 interactions, leading to decreased water affinity. In this sense, hydrogen bonds between  
243 hydroxyl groups of PVA and starch have been reported by Valencia et al (2013) and Chaléat  
244 et al (2012). The formation of hydrogen bonds between PVA hydroxyls and those of amylose  
245 or amylopectin would imply the re-orientation of the hydrophobic side of the PVA chain,  
246 generating hydrophobic regions in the matrix, which would reduce the water affinity of the  
247 blend films.

248 Table 1 also shows the moisture content (MC) of PS, PVA and blend films equilibrated at 53  
249 % RH at the beginning ( $t_0$ ) and the end ( $t_F$ ) of the storage time. At the beginning of the  
250 storage ( $t_0$ ), significant differences ( $p < 0.05$ ) were found between the water content of the  
251 three matrices. PS and PVA films exhibited a higher moisture content than blend films. This  
252 decrease is coherent with the loss of water solubility and has been attributed to the formation  
253 of hydrogen bonds between the two polymers, which reduced their water sorption capacity  
254 (Chaléat et al., 2012 and Chen et al., 2008).

255 At the end of the storage time ( $t_F$ ), the moisture content tended to decrease, but becoming  
256 significantly different only for PS films. This could be attributed to progressive chain  
257 aggregations through hydrogen bonds, limiting the water sorption capacity of the films. The  
258 water loss could provoke a greater chain aggregation in the amorphous region, which will

259 imply an increase in the film compactness that will affect the mechanical, optical and barrier  
260 properties (Cano et al., 2014).

261

### 262 3.3. Mechanical properties

263 Figure 2 shows the typical stress-strain curves obtained for all the films after one and five  
264 storage weeks under controlled conditions (53% RH and 25°C), where the different  
265 mechanical behaviour of the matrices and the effect of ageing can be observed. Starch films  
266 exhibited the typical mechanical behaviour of a brittle material, without plastic deformation  
267 and with very low extensibility at break. On the other hand, the great resistance to break of  
268 PVA is highlighted.

269 The mechanical behaviour of films was analysed in terms of elastic modulus (EM), tensile  
270 strength at break (TS) and percentage of elongation at break (% $\epsilon$ ). EM represents the  
271 stiffness of the material, TS the resistance to elongation at break and  $\epsilon$  is a measure of the  
272 films' capacity for stretching. In Table 2, the mean values of the mechanical parameters and  
273 the standard deviation of the studied films throughout the storage time are shown. The  
274 values obtained are similar to those reported by other authors for pea starch films (Cano et  
275 al., 2014 and Da Matta et al., 2011) and PVA films (Chen et al., 2008 and Fortunati et al.,  
276 2013).

277 Significant differences ( $p < 0.05$ ) were found between the mechanical parameters of the  
278 different films. At the beginning of the storage time ( $t_0$ ), PS films exhibited the poorest  
279 mechanical properties because of their lower resistance and stretchability (lower TS and  
280 %  $\epsilon$ ). This may be explained by the strong interchain interactions of starch polymers through  
281 hydrogen bonds, which increases the cohesion forces of the matrix but makes it difficult for  
282 the chains to shift during the tensile test. On the contrary, although PVA films were very  
283 resistant and stretchable, they were not as stiff as PS films. Blend films exhibited better  
284 mechanical properties than pure starch films; these being stiffer, more resistant and

285 stretchable. Thus, PVA can be used to enhance the poor mechanical features of starch-  
286 based films.

287 **After the storage period ( $t_F$ )**, PS films significantly ( $p < 0.05$ ) increased in rigidity, becoming  
288 more resistant and brittle; this was due to the greater compactness of the matrix associated  
289 with water loss (Table 1), coinciding with what was found by other authors (Cano et al., 2014  
290 and Jiménez et al., 2012). On the contrary, the mechanical behavior of PVA films did not  
291 change throughout the ageing process ( $p > 0.05$ ).

292 The rigidity and resistance (high EM and TS) of blend films significantly increased ( $p < 0.05$ )  
293 through storage, following the same, but less pronounced, pathway as PS films. However,  
294 film stretchability was not significantly affected by the storage time in blend films, these  
295 maintaining their high extensibility. The greater stability PVA provided to blend films could be  
296 attributed to the inhibition of the re-arrangement of the starch polymer chains throughout time  
297 due to interactions between the chains of both polymers.

298 These satisfactory results indicate that the blend of both polymers improved the mechanical  
299 response of pure starch films. These blend films behaved similarly to some commercial  
300 plastics very flexible and resistant, such as the typical black low density polyethylene bag  
301 used for trash, whose values obtained using the same experimental conditions and  
302 equipment were: thickness = 0.02 mm, EM =  $370 \pm 74$  MPa, TS =  $27 \pm 7$  MPa and  $\% \epsilon =$   
303  $39.7 \pm 0.2$  %.

304

### 305 3.4. Optical properties

306 According to Hutchings (1999), the gloss and internal transmittance ( $T_i$ ) parameters are the  
307 best optical properties with which to evaluate the appearance of the films.  $T_i$  is related to the  
308 transparency of films and their structural homogeneity: high values of  $T_i$  are associated with  
309 structural homogeneity and high transparency. The main  $T_i$  differences among the films  
310 occurred at 450 nm; so, at 450 nm,  $T_i$  is taken to evaluate differences in the films'

311 transparency. These values are shown in Table 3. At the initial storage time ( $t_0$ ), the  $T_i$  values  
312 of PVA and blend films were slightly higher than those of PS films, but these small  
313 differences disappeared the longer they were stored. The different transparency level is  
314 linked to the internal structure developed in each film.

315 Table 3 also shows the mean gloss values of studied films at an incidence angle of  $60^\circ$ . The  
316 gloss of the films is related with the surface morphology achieved during film drying. In  
317 general, the smoother the surface, the glossier the film (Ward and Nussinovitch, 1996). In  
318 this sense, the decrease in the gloss values of the blend films may be explained by an  
319 increase in the surface roughness of these films associated with the co-existence of two  
320 interpenetrated phases in the matrix which alternatively emerge on the surface. **After the**  
321 **storage period ( $t_F$ )**, the gloss values of pure starch films decreased ( $p < 0.05$ ) while those of  
322 pure PVA and blend films did not change. Changes in the gloss of starch films have been  
323 observed by different authors (Jimenez et al., 2012) and related with the progress of surface  
324 level crystallization, as observed in SEM micrographs.

### 325 3.5. Barrier properties

326 Table 1 shows the WVP values of films analyzed at  $25^\circ\text{C}$  and a RH gradient of 53-100 %.  
327 PVA films exhibited slightly lower WVP values than starch films, in accordance with their less  
328 marked hydrophilic nature and, coherently with this, blend films had an intermediate WVP  
329 value. The values obtained for PS and PVA films agree with those found by other authors  
330 (Cano et al., 2014; Mehayar & Han, 2004). In no case were the WVP values significantly  
331 affected by the storage time.

332 The mean oxygen permeability (OP) values of the films are also shown in Table 1. In the  
333 case of PS films, similar values have been reported by Mehayar and Han (2004). The OP  
334 values were significantly lower for blend films, which can be due to a decrease in the oxygen  
335 solubility of the matrix. The OP values were greatly ( $p < 0.05$ ) reduced in PS films **after the**  
336 **storage period ( $t_F$ )**, coinciding with the increased matrix compactness as a result of water

337 content reduction. The incorporation of PVA into the starch matrix seems to inhibit these  
338 changes in the starch phase, thus also inhibiting changes in the barrier properties.

339

#### 340 4. **Conclusion**

341 The incorporation of PVA into starch-based films appears to be a successful alternative  
342 means of improving the mechanical and barrier properties of these films, while providing  
343 enough stability to the matrix to inhibit physical changes provoked by ageing. This effect can  
344 be attributed to the establishment of interactions between both polymers, which were not  
345 completely compatible, but partially miscible. These blends led to films which were less water  
346 soluble and not as sensitive to water sorption, more stretchable and resistant than starch  
347 films while maintaining the low oxygen barrier property of starch films. These promising films  
348 could be used to prevent oxidative reactions in food packaging, although more studies  
349 submitting the films under different conditions and environments are needed to validate  
350 them.

351



352 **Acknowledgements:** The authors wish to acknowledge the finance support from the  
353 Spanish Ministerio de Economía y Competitividad throughout the project AGL2010-20694.  
354 Amalia Cano also thanks the Spanish Ministerio de Educación, Cultura y Deporte for the  
355 FPU grant.

356

## 357 REFERENCES

- 358 – ASTM, 1995. Standard test methods for water vapour transmission of materials. Standard  
359 designations: E96-95 Annual book of ASTM standards. Philadelphia, PA: American  
360 Society for Testing and Materials. (406-413).
- 361 – ASTM. 1999. Standard test methods for specular gloss. Designation (D523). In Annual  
362 book of ASTM standards, Vol. 06.01. Philadelphia, PA: American Society for Testing and  
363 Materials.
- 364 – ASTM, 2001. Standard test method for tensile properties of thin plastic sheeting. Standard  
365 D882 Annual book of American standard testing methods. Philadelphia, PA: American  
366 Society for Testing and Materials. (162-170).
- 367 – ASTM, 2005. Standard test method for oxygen gas transmission rate through plastic film  
368 and sheeting using a Coulometric sensor. Standard Designation: D3985-05 Annual book  
369 of American society for testing materials, West Conshohocken, PA, USA.
- 370 – Avérous, L., & Pollet, E. (2012). Biodegradable polymers. In L. Avérous and E. Pollet  
371 (eds.) Environmental Silicate Nano-Biocomposites, Green Energy and Technology. ISBN:  
372 978-1-4471-4101-3. Ed. *Springer-Verlag London*, chapter 2, 13-39.
- 373 – Arvanitoyannins I.S. 1999. Totally and partially biodegradable polymer blends based on  
374 natural and synthetic macromolecules: preparation, physical properties and potential as  
375 food packaging materials. *J.M.S. Rev. Macromol. Chem. Phys* 39, 205-271.

- 376 – Bonilla, J., Talón, E., Atarés, L., Vargas, M., & Chiralt, A. (2013). Effect of the  
377 incorporation of antioxidants on physicochemical and antioxidant properties of wheat  
378 starch-chitosan films. *Journal of Food Engineering*, 118, 271-278.
- 379 – Bonilla, J., Fortunati, E., Atarés, L., Chiralt, A., & Kenny, J.M. (2014). Physical, structural  
380 and antimicrobial properties of poly vinyl alcohol chitosan biodegradable films. *Food*  
381 *Hydrocolloids*, 35, 463-470.
- 382 – Cano, A., Jiménez, A., Cháfer, M., González, C., & Chiralt, A. (2014). Effect of  
383 amylose:amylopectin ratio and rice bran addition on starch films properties. *Carbohydrate*  
384 *Polymers*, 111, 543-555.
- 385 – Chen, J., Liu, Ch., Chen, Y., Chen Y., & Chang, P.R. (2008). Structural characterization  
386 and properties of starch/konjac glucomannan blend films. *Carbohydrate Polymers*, 74,  
387 946-952.
- 388 – Chen, Y., Liu, Ch., Chang, P.R., Cao, X., & Anderson, D.P. (2009). Bionanocomposites  
389 based on pea starch and cellulose nanowhiskers hydrolyzed from pea hull fibre: Effect of  
390 hydrolysis time. *Carbohydrate Polymers*, 76, 607-615.
- 391 – Da Matta, M.D., Silveira, S.B., de Oliveira, L.M., & Sandoval, S. (2011). Mechanical  
392 properties of pea starch films associated with xanthan gum and glycerol. *Starch*, 63, 274-  
393 282.
- 394 – Fortunati, E., Puglia, D., Luzi, F., Santulli, C., Kenny, J.M. & Torre, L. (2013). Binary PVA  
395 bio-nanocomposites containing cellulose nanocrystals extracted from different natural  
396 sources: Part I. *Carbohydrates Polymers*, 97, 825-836.
- 397 – Gupta, V. K., Priya, B., Pathania, D. & Singh, A. (2014). Synthesis, characterization and  
398 antibacterial activity of biodegradable Starch/PVA composite films reinforced with  
399 cellulosic fibre, *Carbohydrate Polymer*, <http://dx.doi.org/10.1016/j.carbpol.2014.03.044>.
- 400 – Han, J.H., Seo, G.H., Park, I.M., Kim, G.N., & Lee, D.S. (2006). Physical and mechanical  
401 properties of pea starch edible films containing beeswax emulsions. *Journal of Food*  
402 *Science*, 71 (6), 290-296.

- 403 – Hutchings, J.B., 1999. Food and Colour Appearance, Second Edition. Gaithersburg,  
404 Maryland: *Chapman and Hall Food Science Book*, Aspen Publication.
- 405 – Jiang, X., Jiang,T., Gana, L., Zhang, X., Daia, H., & Zhang, X. (2012). The plasticizing  
406 mechanism and effect of calcium chloride on starch/poly(vinyl alcohol) films. *Carbohydrate*  
407 *Polymers*, 90, 1677-1684.
- 408 – Jiménez, A., Fabra, M.J., Talens, P., & Chiralt, A. (2012). Effect of re-crystallization on  
409 tensile, optical and water vapour barrier properties of corn starch films containing fatty  
410 acids. *Food Hydrocolloids*, 26, 302-310.
- 411 – Judd, D. B. & Wyszecski, G. (1975). Colour in Business, Science and Industry. New York:  
412 John Wiley and Sons, Inc. ISBN. 0471452122.
- 413 – Lu, D.R., Xiao, C.M. & Xu, S.J., (2009). Starch-based completely biodegradable polymer  
414 materials. –*eXPRESS Polymer Letters*, 3, 366-375.
- 415 – Luo, X., Li, J. & Lin, X. (2012). Effect of gelatinization and additives on morphology and  
416 thermal behavior of cornstarch/PVA blend films. *Carbohydrates Polymers*, 90, 1595-1600.
- 417 – Mehyar, G.F. & Han, J.H. (2004). Physical and mechanical properties of high-amylose rice  
418 and pea starch films as affected by relative humidity and plasticizer. *Journal of Food*  
419 *Science*, 69 (9), 449-454.
- 420 – Ortega-Toro, R., Jiménez, A., Talens, P., & Chiralt, A. (2014). Properties of starch–  
421 hydroxypropyl methylcellulose based films obtained by compression molding.  
422 *Carbohydrate Polymers*, 109, 155–165.
- 423 – Ramaraj, B. (2006). Mechanical, thermal and morphological properties of environmentally  
424 degradable ABS and poly(vinyl alcohol) blends. *Journal of Applied Polymer Science*, 106,  
425 1048-1052.
- 426 – Shi, R., Bi, J., Zhang, Z., Zhu, A., Chen, D., Zhou, X., Zhang, L., & Tian, W. (2008). The  
427 effect of citric acid on the structural properties and cytotoxicity of the  
428 polyvinylalcohol/starch films when molding at high temperature. *Carbohydrate Polymers*,  
429 74, 763–770.

- 430 – Siddaramaiah, Raj, B., & Somashekar, R. (2004). Structure–property relation in polyvinyl  
431 alcohol/starch composites. *Journal of Applied Polymer Science*, 9, 630–635.
- 432 – Sreekumar, P.A., Al-Harathi, M.A., & De, S.K. (2012). Studies on compatibility of  
433 biodegradable starch/polyvinyl alcohol blends. *Polymer engineering and science*. DOI.  
434 10.1002/pen.
- 435 – Talja, R. A., Helén, H., Roos, Y. H., & Jouppila, K. (2007). Effect of various polyols and  
436 polyol contents on physical and mechanical properties of potato starch-based films.  
437 *Carbohydrate Polymers*, 67(3), 288-295.
- 438 – Ward, G., & Nussinovitch, A. (1996). Peel gloss as a potential indicator of banana  
439 ripeness. *LWT – Food Science and Technology*, 29, 289-294.
- 440 – Wu, H., Liu, Ch., Chen, J., Chen, Y., Anderson, D.P., & Chang, P.R. (2010). Oxidized pea  
441 starch/chitosan composite films: Structural characterization and properties. *Journal of*  
442 *Applied Polymer Science*, 118, 3082-3088.
- 443 – Yoon, S., Park, M. & Byun, H. (2012). Mechanical and water barrier properties of  
444 starch/PVA composite films by adding nano-sized poly(methylmethacrylate-co-  
445 acrylamide) particles. *Carbohydrate Polymers*, 87, 676– 686.
- 446
- 447

448 Table 1: Solubility (S), moisture content (MC), water vapour permeability (WVP) and oxygen  
 449 permeability (OP) of pea starch (PS), PVA and composite (PS:PVA) films **at the beginning**  
 450 **( $t_0$ ) and the end ( $t_F$ ) of the storage time.** Mean values and (standard deviation).

	S ( $g_{\text{dissolved}} / g_{\text{dry film}}$ )	MC (%d.b.)		WVP ( $gmm/hm^2KPa$ )		OP ( $cm^3mm/m^2hKPa$ )	
		$t_0$	$t_F$	$t_0$	$t_F$	$t_0$	$t_F$
PS	0.208(0.019) <sup>a</sup>	11.4(0.4) <sup>a1</sup>	8.7(0.4) <sup>a2</sup>	6.0(0.3) <sup>a1</sup>	6.7(0.7) <sup>a1</sup>	1.39(0.09) <sup>a1</sup>	1.01(0.06) <sup>a2</sup>
PVA	0.19(0.05) <sup>b</sup>	12.8(1.2) <sup>b1</sup>	11.9(1.3) <sup>b1</sup>	4.74(1.05) <sup>b1</sup>	4.8(0.8) <sup>b1</sup>	0.623(0.009) <sup>b1</sup>	0.71(0.06) <sup>b1</sup>
PS:PVA	0.1157(0.0008) <sup>c</sup>	8.03(0.54) <sup>c1</sup>	7.06(1.69) <sup>a1</sup>	5.09(1.17) <sup>ab1</sup>	5.1(0.4) <sup>b1</sup>	0.53(0.02) <sup>b1</sup>	0.609(0.018) <sup>c2</sup>

451 a, b, c. Different superscripts within a column indicate significant differences among formulations. ( $p < 0.05$ ).

452 1,2. Different superscripts within the same file indicate significant differences among storage times for the same formulation.

453 ( $p < 0.05$ ).

454

455 Table 2: Elastic modulus (EM), tensile strength at break (TS) and percentage of elongation at  
 456 break (% $\epsilon$ ) of pea starch (PS), PVA and composite (PS:PVA) films at the beginning ( $t_0$ ) and  
 457 the end ( $t_f$ ) of the storage time. Mean values and (standard deviation).

	$t_0$			$t_f$		
	EM (MPa)	TS (MPa)	% $\epsilon$	EM (MPa)	TS (MPa)	% $\epsilon$
PS	417(41) <sup>a1</sup>	14.2(1.3) <sup>a1</sup>	10(2) <sup>a1</sup>	964(88) <sup>a2</sup>	24(2) <sup>a2</sup>	4.7(0.9) <sup>a2</sup>
PVA	95(22) <sup>b1</sup>	27(2) <sup>b1</sup>	69.13(0.43) <sup>b1</sup>	103(20) <sup>b1</sup>	27.2(0.9) <sup>b1</sup>	69.296(0.013) <sup>b1</sup>
PS:PVA	506(62) <sup>c1</sup>	26.9(1.4) <sup>b1</sup>	40(4) <sup>c1</sup>	689(44) <sup>c2</sup>	32.3(1.6) <sup>c2</sup>	41(3) <sup>c1</sup>

458 a, b, c. Different superscripts within a column indicate significant differences among formulations. ( $p < 0.05$ ).

459 1,2. Different superscripts within the same file indicate significant differences among storage times for the same formulation.  
 460 ( $p < 0.05$ ).

461

462 Table 3: Gloss values at 60° and internal transmittance (Ti) of pea starch (PS), PVA and  
463 composite (PS:PVA) films at the beginning (t<sub>0</sub>) and the end (t<sub>F</sub>) of the storage time. Mean  
464 values and (standard deviation).

	Gloss 60°		Ti (450nm)	
	t <sub>0</sub>	t <sub>F</sub>	t <sub>0</sub>	t <sub>F</sub>
PS	47(17) <sup>a1</sup>	33(8) <sup>a2</sup>	85.4(1.6) <sup>a1</sup>	87.09(0.12) <sup>a1</sup>
PVA	53(23) <sup>a1</sup>	32(11) <sup>a1</sup>	88.2(0.2) <sup>b1</sup>	85.7(0.9) <sup>a1</sup>
PS:PVA	13.2(1.6) <sup>b1</sup>	12.9(1.2) <sup>b1</sup>	86.2(0.5) <sup>b1</sup>	85.2(0.9) <sup>a1</sup>

465 a, b, c. Different superscripts within a column indicate significant differences among formulations. (p<0.05).

466 1,2. Different superscripts within the same file indicate significant differences among storage times for the same formulation.  
467 (p<0.05).

468

469

470

471

472

473

474

475

476

477

478

479 **FIGURE CAPTIONS**

480 **Figure 1:** SEM micrographs of surface (x750) and cross section (x1.500) of pea starch (PS),  
481 PVA and composite (PS:PVA) films.

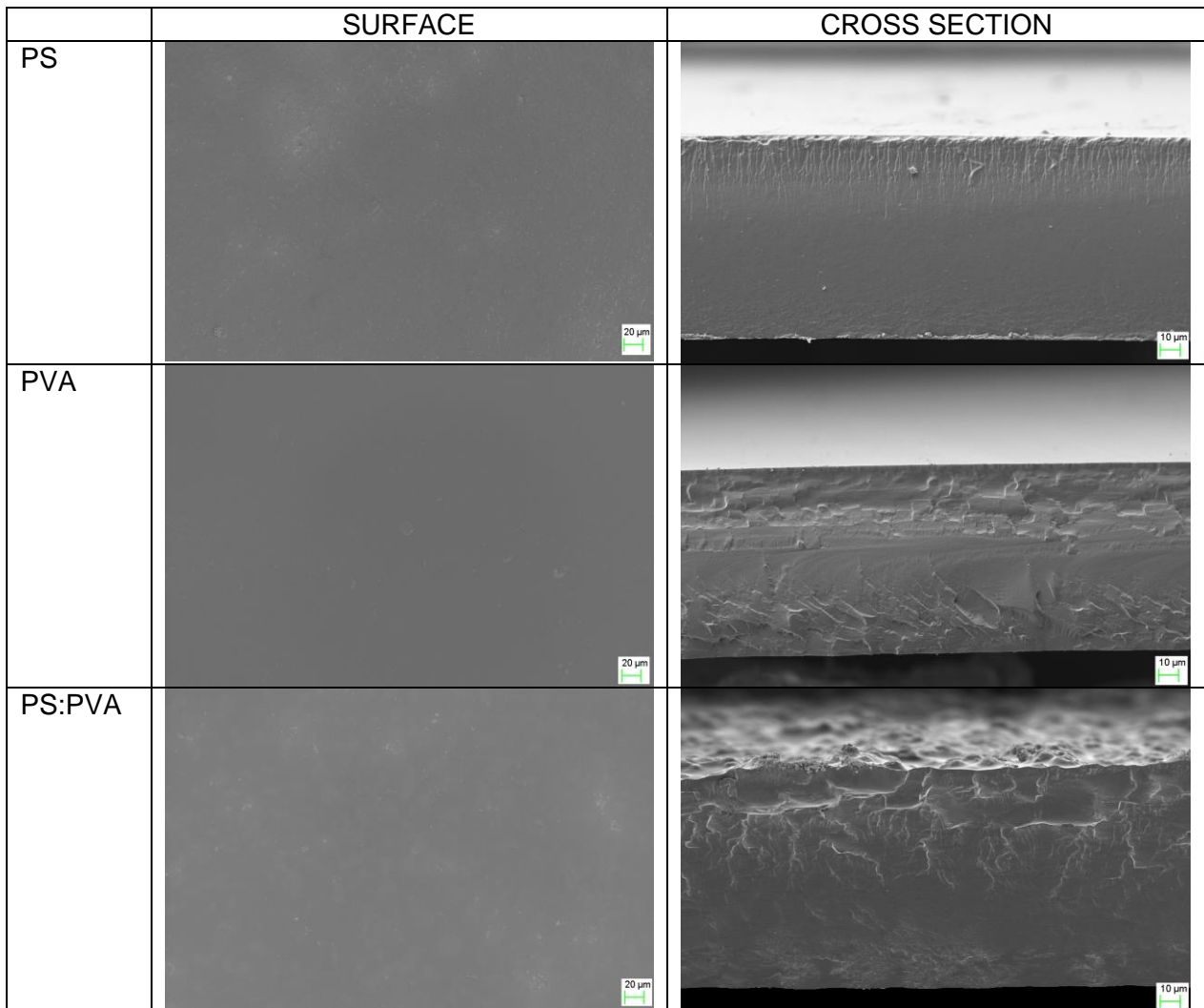
482 **Figure 2:** Strain-Stress curves of pea starch (PS), PVA and composite (PS:PVA) films **at the**  
483 **beginning (solid lines) and the end (dashed lines) of the storage time.**

484



485 **Figure 1**

486



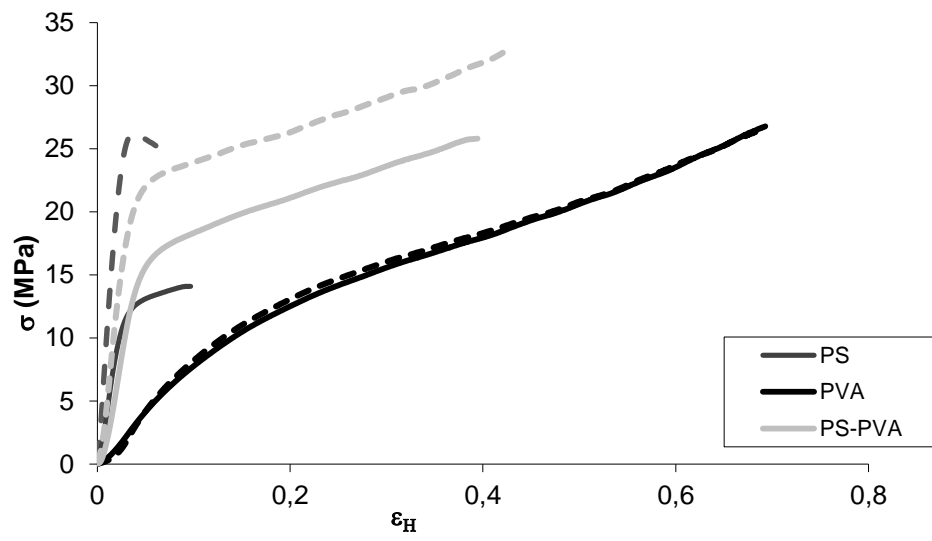
487

488

489

490

491 **Figure 2**  
492



493  
494  
495  
496