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Additional Information

# No invasive methodology to produce a probiotic low humid apple snack with potential effect against *Helicobacter pylori*.

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## Abstract

A probiotic low humid apple snack with potential effect against the infection caused by *Helicobacter pylori* has been developed from apple (cv. Granny Smith) and mandarin juice with a high microbial content of *Lactobacillus salivarius* spp. *salivarius*, by vacuum impregnation and hot air drying techniques. The moisture content reached in the final product ( $0.144 \pm 0.012$  g<sub>water</sub>·g<sup>-1</sup><sub>sample</sub>) ensured stability, and although the drying process affected the microbial content, the concentration in the final product ( $9.486 \pm 0.013$ )·10<sup>7</sup> CFU·g<sup>-1</sup><sub>dry sample</sub>) was sufficient to confirm that with this procedure it is possible to obtain a stable probiotic fruit with a low moisture content. Additionally, a preliminary *in vivo* test with five dyspeptic children was undertaken that suggested the possible effect of this new product on *Helicobacter pylori* as measured by a standard infection indicator.

**Keywords:** probiotic, *Lactobacillus salivarius* spp. *salivarius*, mandarin juice, pineapple/grape juice, vacuum impregnation, *Helicobacter pylori*.

## 1. INTRODUCTION

Probiotics are defined as live microbial food ingredients that have a beneficial effect on human health (Salminen et al., 1999) or as live microbial food supplements which beneficially affect the host by improving the intestinal microbial balance (Fuller, 1989). Scientific evidence suggests that probiotic bacteria, consumed at high levels (10<sup>9</sup>–10<sup>11</sup> CFU per day) can decrease the incidence, duration, and severity of some intestinal illnesses (Sanders, 1999). For example, it has been shown that *Lactobacillus salivarius* suppress *Helicobacter pylori* and reduces the inflammatory responses in gnotobiotic mice better than *L. casei* (Aiba et al., 1998) and *L. acidophilus* has been demonstrated to inhibit *H. pylori* better than other strains (Mrda et al., 1998; Canducci et al., 2000; Sheu et al., 2002). *H. pylori* is an etiologic agent of chronic gastritis and gastroduodenal ulcers and its inclusion by the International Agency for Research on Cancer in 1994 as a carcinogenic agent type 1, has turned it into one of the most interesting microorganisms in human pathology. In developing countries *H. pylori* can affect up to 90% of the population and its treatment is based on antibiotic therapy, but this has the disadvantages of being

52 expensive, risks poor compliance, causes side effects and in particular,  
53 encouraging the emergence of resistance (Gold et al., 2000).

54 Currently, industrial probiotic foods are mainly dairy products, which have a  
55 microbial content in excess of  $10^6$  CFU·cm<sup>-3</sup> at the end of their shelf life  
56 (Ouwehand et al., 1999). However, lactose intolerance and the cholesterol  
57 content are two drawbacks related to their consumption. Techniques such as  
58 vacuum impregnation though, make it possible to obtain fruit and vegetables  
59 enriched with probiotic microorganisms or minerals (Betoret et al., 2003).  
60 Vacuum impregnation allows, by means of pressure gradients, the incorporation  
61 of components into the structural matrix of foodstuffs without substantially  
62 modifying its organoleptic properties (Patent P99 02730-5 titled “Procedimiento  
63 de impregnación de alimentos naturales con productos nutracéuticos y  
64 dispositivo para su puesta en práctica”). The pressure gradients created in this  
65 system and the capillary pressure at the entrance of the pores produces an  
66 important transference of gas and liquid between the solid and the impregnating  
67 liquid (Fito et al., 2001; Betoret et al., 2003; Cháfer et al., 2003; Alzamora et al.,  
68 2005). Following vacuum impregnation, fruit and vegetables are highly unstable  
69 due to their high water content, and therefore it is necessary to apply a  
70 preservation method to increase their shelf life.

71 The development of a functional food from fresh fruit or vegetables with high  
72 microorganism content is extremely interesting not only because it represents  
73 an opportunity within the functional food industry but also because it can be  
74 used to reduce the disadvantages and side effects of traditionally used  
75 treatments. In order to commercialise a functional product it is necessary to  
76 prove scientifically, using both *in vitro* and *in vivo* studies its beneficial effects  
77 (Regulation CE N° 1924/2006). In the case of *H. pylori* infections, these studies  
78 should be directed towards reducing infection (Hamilton-Miller, 2003). To  
79 determine levels of infection, the breath test using labelled urea has been used  
80 extensively in studies (Michetti et al., 1999; De Vrese and Schrenmeir, 2000;  
81 Sakamoto et al., 2001).

82 The aim of this research is to determine both adequate material and process  
83 conditions to develop a probiotic low humid apple snack with potential effect  
84 against infection caused by *Helicobacter pylori*.

## 85 86 **2. MATERIALS AND METHODS**

### 87 88 *2.1 Bacterial cultures.*

89  
90 *Lactobacillus salivarius* spp. *salivarius* CECT 4063 and *Lactobacillus*  
91 *acidophilus* CECT 903 were obtained from the Spanish Type Culture Collection  
92 (CECT).

### 93 94 *2.2 Food materials.*

95  
96 Apples (cv. *Granny Smith*) from a local market were used to be vacuum  
97 impregnated. Peeled apples were cut into disc-shaped samples (5 mm thick,  
98 with a 65 mm external diameter and 20 mm internal diameter) following their  
99 vertical axis. Three samples were obtained from each apple and utilised for  
100 vacuum impregnation. Two commercial fruit juices, mandarin Don Simon® and

101 pineapple/grape Don Simon®, were selected as the impregnation liquid in order  
102 to minimise the effects on the characteristics of the fresh fruit.

### 103 2.3 Impregnation liquid preparation.

104  
105  
106 Lyophilised cultures were recovered following growth on MRS broth for 24h  
107 at 37° C. The cultures were then transferred into mandarin and pineapple/grape  
108 juices where the pH of the juices had been adjusted by the addition of sodium  
109 bicarbonate pH 5, 5.5 and 6. Four millilitres MRS broth with microorganism  
110 content of 10<sup>9</sup>CFU/ml were added to 1 L of juice. The inoculated juices were  
111 grown for 24 and 48 hours at 37°C resulting in 12 different growth media: 6  
112 mandarin and 6 pineapple/grape juices. The mandarin juice inoculated with *L.*  
113 *salivarius spp. salivarius* at pH 6 and after 24 hours incubation was achieved  
114 with maximum microbial content and was selected as impregnation liquid. The  
115 values stated are the average of five replicates.

### 116 2.4 Physicochemical characterisation.

117  
118  
119 Total soluble solids were measured in Brix units with a refractometer (ABBE  
120 ATAGO, NAR T3, Japan) at 20°C and pH values were determined with a  
121 potentiometer (micropH CRISON, 2001). The density of the juices was  
122 determined with a liquid picnometer. Water activity was measured using a  
123 dewpoint hygrometer (DECAGÓN Aqualab CX-2, ± 0,003) and the water  
124 content was quantified by vacuum drying at 60°C until a constant weight was  
125 achieved (method 20.013 AOAC, 1980). The values stated are the average of  
126 three replicates.

### 127 2.5 Microbial content.

128  
129  
130 The microbial content was determined following growth in MRS agar  
131 mandarin juice and pineapple/grape juice with pH values of 5, 5.5, 6 were  
132 counted on double layer MRS agar following incubation for 24 hours at 37°C.

133 To determine the microbial content of the impregnated samples, 5 grams of  
134 sample were mixed and crushed with 45 ml of buffered peptone water, following  
135 10<sup>-1</sup> to 10<sup>-8</sup> dilutions the lactobacilli were counted on double layer MRS agar  
136 after incubation for 24 hours at 37°C.

137 To determine the microbial content of the dried samples, these were  
138 rehydrated with mandarin juice (pH6) at a ratio of 50 cm<sup>3</sup><sub>liquid</sub>·g<sup>-1</sup><sub>sample</sub> at 25°C  
139 over 24 hours. Following rehydration, the microbial content was determined as  
140 for the impregnated samples. For the dried samples, the microbial content was  
141 estimated with the mass balance performed in the rehydration operation  
142 (Betoret et al., 2003). The values stated are the average of ten replicates.

### 143 2.6 Methodology to produce probiotic enriched dried apple.

144  
145  
146 In the Figure 1 it is shown the flow chart to produce probiotic enriched dried  
147 apple.

148 The vacuum impregnation experiments were performed on a pilot scale  
149 using equipment designed in the Institute of Food Engineering for Development  
150 of the Polytechnic University in Valencia (Spain) (Fito et al., 2001). A vacuum

151 pressure of 50 mbar was applied for 10 min and then atmospheric pressure was  
152 restored. The samples were left submerged in the impregnation liquid for a  
153 further 10 minutes. The values stated are the average of ten replicates.

154 Impregnated apple samples were dried for 24 hours using a pilot scale air  
155 dryer (Martín, 2002) at 30°C under a flow rate of 4 m/s.

## 156 157 2.7 Preliminary in vivo study.

158  
159 A preliminary study was undertaken involving 5 children (3 girls and 2 boys,  
160 age 11 years) chronically infected with *H. pylori* and patients of the  
161 Gastroenterology Paediatric office in Hospital Universitario Dr. Peset in  
162 Valencia. The children's diagnosis was confirmed before commencing treatment  
163 by the breath test using labelled  $^{13}\text{C}$  urea (commercial test TAU-KIT®) (Michetti  
164 et al., 1999; De Vrese and Schrenmeir, 2000; Sakamoto et al., 2001). TAU-KIT  
165 is a breath test suitable for in vivo diagnosis of gastroduodenal *Helicobacter*  
166 *pylori* infection. Each soluble tablet contains 100 mg of  $^{13}\text{C}$ -urea and excipients.  
167 During the 28 day study, 30g of the dried product with a moisture content of  
168  $0.144 \pm 0.012 \text{ g}_{\text{water}} \cdot \text{g}^{-1}_{\text{sample}}$  and microorganism content of  $(9.5 \pm 0.2) \cdot 10^7$   
169  $\text{CFU} \cdot \text{g}^{-1}_{\text{dried sample}}$  was supplied daily to each child. At the end of the study the  
170 urea breath test was repeated. The study has been done following rigorous  
171 ethical standards. An ethical approval was obtained by the Hospital  
172 Universitario Dr. Peset and the Universidad Politécnica de Valencia to carry out  
173 the study.

## 174 175 2.8 Statistical analysis.

176  
177 To determine the statistical significance of the results obtained, an analysis  
178 of variance test was carried out (ANOVA) with 95% confidence levels ( $p \leq 0.05$ )  
179 using the program STATGRAPHICS PLUS v.5.1.

# 180 181 3. RESULTS AND DISCUSSION

## 182 183 3.1 Use of mandarin and pineapple/grape juices as the impregnation liquid.

184  
185 The use of vacuum impregnation to obtain fruit enriched with probiotic  
186 microorganisms requires that the impregnation liquid is able to enter the pores  
187 or intercellular spaces. However certain physicochemical properties of the  
188 impregnation liquid are required; the pH of the impregnation liquid and the fruit  
189 must be such to allow growth of the microorganism, the viscosity of the  
190 impregnation liquid should also allow flux inside the pores or intercellular  
191 spaces (Martínez-Monzó et al., 1998) and as much as possible the natural  
192 characteristics of the impregnated fruit should not be affected. Furthermore, the  
193 concentration of microorganisms in the impregnation liquid must be sufficiently  
194 high enough to obtain adequate levels of microorganisms in the impregnated  
195 fruit. Some physicochemical properties of commercial mandarin and  
196 pineapple/grape juice were determined and the results are shown in Table 1.  
197 Martínez-Monzó (1998) studied pectin dissolutions and determined viscosities  
198 below 10mPa.s do not affect impregnation procedure. In our case,  
199 concentration and composition of impregnation liquid ensure not reach this  
200 value of viscosity at any time of the process.

201 The growth media was prepared as described and inoculated with strains of  
202 the microorganisms under consideration. The cultures were incubated for 24 or  
203 48 hours to obtain the maximum possible concentration of microorganisms. The  
204 final microbial content for each medium is shown in Table 2.

205 A multiple analysis of variance (95% confidence levels) showed that the  
206 different juices, pH and incubation periods have statistically significant effects  
207 on the microbial content. In addition, there were no double interactions in the  
208 model, suggesting that the effect a single factor has on the microbial content is  
209 independent of the other factors. From this analysis *L. salivarius* growth, results  
210 in higher microbial content than *L. acidophilus* under the experimental  
211 conditions tested. Furthermore, as shown in table 2, the highest microbial  
212 content ( $10^8$  CFU·cm<sup>-3</sup>) was obtained with *L. salivarius*, cultured in mandarin  
213 juice at pH 5.96, following incubation for 24 hours. Therefore, this growth  
214 medium was selected as the impregnation liquid.

215 In order to analyze any changes that the growth of the microorganism  
216 produced in the mandarin juice which had been selected as the impregnation  
217 liquid, some physicochemical parameters were determined (Table 1). It was  
218 noted that the sugar content of this inoculated juice was slightly below that of  
219 the commercial juice. This was thought to be due to the growing microorganism  
220 utilising some of the available sugars. The inoculated mandarin juice was  
221 slightly denser than fresh mandarin juice, although these differences were  
222 minimal.

### 223 3.2 Physicochemical characterisation and microbial content in impregnated 224 samples.

225  
226  
227 The physicochemical characteristics (pH, water activity, moisture content)  
228 and microorganism content determined in the samples at each stage of the  
229 process are shown in Table 3.

230 The water activity value of mandarin juice (Table 3) was similar to that of the  
231 apple that was to be impregnated (Table 3). Therefore, it was expected that  
232 during the vacuum impregnation, the only transfer mechanism would be  
233 hydrodynamic (Fito et al., 1996) and the characteristics of the fresh apple would  
234 not be highly altered (Table 3).

235 In vacuum impregnation experiments performed using mandarin juice with a  
236 microbial content as in Table 3, following the theory of the hydrodynamic model  
237 proposed by Fito, 1994; Fito and Pastor in 1994 and Fito et al., 1996 it was  
238 possible to calculate the values of volumetric deformation ( $\gamma = -0.14 \pm 0.07$   
239 cm<sup>3</sup>·cm<sup>-3</sup><sub>sample</sub>), effective porosity ( $\varepsilon_o = 0.201 \pm 0.012$  cm<sup>3</sup>·cm<sup>-3</sup><sub>sample</sub>) and the  
240 volumetric impregnation levels reached ( $X = 0.1954 \pm 0.0106$  cm<sup>3</sup>·cm<sup>-3</sup><sub>sample</sub>) in  
241 the samples. These values are similar to those obtained in previous studies with  
242 apple juice (Betoret et al., 2003).

243 Taking into account the pore size of the apple ( $6.64 \pm 0.24$  μm) (Bazhal et  
244 al., 2003) and the size of the individual *Lactobacillus* cells ( $0.7 \times 1.6$  μm) (Zapata  
245 et al., 2009) a homogeneous impregnation was achieved. Additionally, it was  
246 possible to estimate theoretically the microbial content of the impregnated  
247 samples (Equation 1).

$$x_{fIV} = \frac{x_{LIV} \cdot X \cdot \frac{\rho_{LIV}}{\rho_{ff}}}{1 + X \cdot \frac{\rho_{LIV}}{\rho_{ff}}} \quad (Eq. 1)$$

248 Where:

249 **m**; mass (g)

250 **x**; microorganism content (CFU/g or CFU/ml)

251 **X**; volumetric impregnation ( $\text{cm}^3/\text{cm}^3_{\text{sample}}$ )

252  **$\rho$** ; density ( $\text{g}/\text{cm}^3$ )

253 **fIV**; sub index referred to impregnated fruit

254 **ff**; sub index referred to fresh fruit

255 **LIV**; sub index referred to impregnation liquid

256 **IV**; sub index referred to impregnation operation

257 The estimated microbial content in the apple following vacuum impregnation  
 258 was  $(1.04 \pm 0.08) \cdot 10^8 \text{ CFU} \cdot \text{g}^{-1}$ . This calculated value is similar to that obtained  
 259 experimentally  $(1.51 \pm 0.07) \cdot 10^8 \text{ CFU} \cdot \text{g}^{-1}_{\text{impregnated sample}}$  and thus confirms that  
 260 the impregnation operation was homogeneous and the principal transfer  
 261 mechanism was hydrodynamic.

262 The high values of water activity in the impregnated samples (Table 3) made  
 263 them highly unstable. Following hot air drying, the resulting low value obtained  
 264 for water activity (Table 4) does not permit the growth of fungi or other harmful  
 265 microorganisms and dried samples are stable without losing their probiotic  
 266 effect for at least two weeks when stored at room temperature (Betoret et al.,  
 267 2003).

268

### 269 3.3 Microbial determination in the dried samples.

270

271 In order to determine the microbial content of the dried samples and due to  
 272 their low humidity content, those must be rehydrated. Following the flow chart  
 273 showed in the figure 2, the microbial content of the rehydrated samples and the  
 274 microbial content of the residual rehydration liquid were required.

275 In the case of a system consisting in a impregnated apple immersed in a  
 276 sterile liquid medium and subjected to the rehydration operation, the general  
 277 microorganism content balance equation is:

$$\sum x_s \cdot m_s - \sum x_e \cdot m_e + \left( \frac{M \cdot dx}{dt} \right) = G_j \quad (Eq. 2)$$

278

279 Where:

**m**; mass flow (g/s)

280 **M**; Total mass (g)

281 **x**; microorganism content (CFU/g)

282 **G<sub>j</sub>**; generated microorganism content (CFU/s)

283 **s**; sub index referred to flow outputting

284 **e**; sub index referred to flow inputting

285 Assuming as hypothesis the total mass in the system does not vary with  
 286 time.

287 The equation 2 stays:

$$\sum x_s \cdot m_s = \sum x_e \cdot m_e + G_j \quad (Eq. 3)$$

288

If applied to the rehydration operation showed in the Figure 2:

$$m_{df} \cdot x_{df} + m_{lrh} \cdot x_{lrh} = m_{fr} \cdot x_{fr} + m_{lr} \cdot x_{lr} + G_j \quad (Eq. 4)$$

289

Where sub index:

290 **df**; dry fruit

291 **lrh**; rehydration liquid

292 **fr**; rehydrated fruit

293 **lr**; residual rehydration liquid

294 Taking into account that the microbial concentration in rehydration liquid is  
295 zero, the microbial content of dry sample can be calculated as follows:

$$x_{df} = \frac{m_{fr} \cdot x_{fr} + m_{lr} \cdot x_{lr} - m_{lrh} \cdot x_{lrh} + G_j}{m_{df}} \quad (Eq. 5)$$

296 We consider there is no generation of microorganism during rehydration. In  
297 fact, means that the calculated microbial content is the minimum possible.

298 Table 4 shows the physicochemical characteristics (water activity, moisture  
299 content) and microorganism content determined in the dry samples.

300 As it is possible to see, the microbial content of the rehydrated samples was  
301 slightly less than that of the impregnated samples prior to drying (Table 4). This  
302 reduction in microbial content could be explained by a possible transfer of *L.*  
303 *salivarius spp. salivarius* from the surface or near pores of apple to the  
304 rehydration liquid. To compare the microbial content of the impregnated and the  
305 dried samples, then the microbial content of both was expressed per gram of  
306 dry matter, resulting on microbial content of impregnated samples  $(1.51 \pm$   
307  $0.07) \cdot 10^8 \text{ CFU} \cdot \text{g}^{-1}_{\text{dry matter}}$  and microbial content of dry samples  $(9.5 \pm 0.2) \cdot 10^7$   
308  $\text{CFU} \cdot \text{g}^{-1}_{\text{dry matter}}$

309 Air drying of the impregnated samples resulted in a 1-log fold reduction in  
310 microbial content. Despite the observed reduction, the final product had a high  
311 microbial content as the viable count of *L. salivarius spp. salivarius* was very  
312 close to  $10^8 \text{ CFU} \cdot \text{g}^{-1}$ , This new product manufactured from apple and mandarin  
313 juice achieved the  $10^7 \text{ CFU} \cdot \text{g}^{-1}_{\text{of sample}}$ , this value corresponds to average values  
314 found in commercial probiotic dairy products and according to the literature  
315 would be sufficient to be potentially effective against *H. pylori* infections  
316 (Cruchet et al., 2003; De Champs et al., 2003).

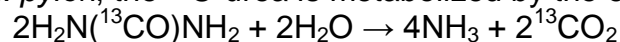
317

### 318 3.4 Preliminary in vivo test.

319

320 The  $^{13}\text{C}$  urea breath test was undertaken by all participants at the start and  
321 end of the study. After oral ingestion, the urea reaches the gastric mucosa. In  
322 the presence of *H. pylori*, the  $^{13}\text{C}$ -urea is metabolized by the enzyme urease:

323



325 Urease is produced in the stomach only by *H. pylori*. Other urease-producing  
326 bacteria rarely have been found in the gastric flora. Carbon dioxide diffuses into  
327 the blood vessels. From there it is transported as bicarbonate to the lungs and  
328 released as  $^{13}\text{CO}_2$  in expired air. In the presence of bacterial urease, the ratio of  
329 carbon isotopes  $^{13}\text{C}/^{12}\text{C}$  change significantly. The proportion of  $^{13}\text{CO}_2$  in  
330 exhaled air samples was determined by mass spectrometry isotope ratio  
331 (IRMS) and set as absolute difference (value  $\Delta\delta$ ) between the values at 0 and  
332 30 minutes. The critical point to determine, *H. pylori* negative or positive is the  
333 value of  $\Delta\delta$  4 ‰ to 5 ‰, which means that an increase in value over  $\Delta\delta$  4 ‰ to  
334 5 ‰ indicates that there is infection. In the absence of bacterial urease, all  
335 administered urea, after absorption in the gastrointestinal tract, is metabolized  
as endogenous urea.

336 During the 28 day study, each child daily received 30 g of the dried product  
337 with a moisture content of  $0.144 \pm 0.012 \text{ g}_{\text{water}} \cdot \text{g}^{-1}_{\text{sample}}$  and a microorganism



338 content of  $(2.850 \pm 0.003) \cdot 10^9$  CFU·day<sup>-1</sup>. According to the literature, the  
339 consumption of  $10^9$  CFU per day of *L. salivarius spp. salivarius* for four weeks is  
340 sufficient to have an effect on *H. pylori* infection (Aiba et al., 1998). In all  
341 patients was possible to detect a decrease in the values of <sup>13</sup>C for the basal  
342 sample in the expired breath. Four of the five children who took part in the study  
343 completed the 28 days treatment; whilst one child (with values from 6 ppm at  
344 the start to 5 ppm at the end of the study) ate the product only for the first 15  
345 days. Two children (one with values from 5 to 0.3 ppm and the other with values  
346 from 6 to 4 ppm) reported improvements in their previous symptoms, which  
347 consisted of abdominal problems and aerophagia. Although five patients are not  
348 enough to establish significance, the results obtained suggest an improvement  
349 in the symptoms caused by the infection and therefore it is necessary to carry  
350 out further studies involving more patients to properly evaluate the effectiveness  
351 of the food. During the study, the children were asked by qualified personnel  
352 about the appearance, flavour and consistency of the product. While the flavour  
353 was acceptable, both the appearance and consistency of product were not liked  
354 and all would have preferred to eat a crisper product.

#### 355 4. CONCLUSIONS

356  
357  
358 The nutritional and physicochemical properties of mandarin juice (with the  
359 pH adjusted to 6) resulted in  $10^8$  CFU·cm<sup>-3</sup> of *L. salivarius spp. salivarius*,  
360 following 24 hours incubation, allowing this solution to be used as the liquid  
361 medium for vacuum impregnation of samples of fresh apple.

362 The impregnation parameters obtained and the microorganism content  
363 achieved confirmed the validity of this approach to the development of a natural  
364 low moisture content food with a probiotic effect.

365 Further studies involving more patients are needed to properly evaluate the  
366 effectiveness of this food; however the *L. salivarius spp. salivarius* microbial  
367 content in the final product and the results of the *in vivo* pilot test confirm the  
368 potentially beneficial effects against *H. pylori* infection.

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