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Additional Information

# Alteration of the P-wave Non-linear Dynamics Near the Onset of Paroxysmal Atrial Fibrillation

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4 Abstract

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The analysis of P-wave variability from the electrocardiogram (ECG) has been suggested as an early predictor of the onset of paroxysmal atrial fibrillation (PAF). Hence, a preventive treatment could be used to avoid the loss of normal sinus rhythm, thus minimising health risks and improving the patient's quality of life. In these previous studies the variability of different temporal and morphological P-wave features has been only analyzed in a linear fashion. However, the electrophysiological alteration occurring in the atria before the onset of PAF has to be considered as an inherently complex, chaotic and non-stationary process. This work analyses the presence of non-linear dynamics in the P-wave progression before the onset of PAF through the application of the central tendency measure (CTM), which is a non-linear metric summarising the degree of variability in a time series. Two hour-length ECG intervals just before the arrhythmia onset belonging to 46 different PAF patients were analysed. In agreement with the invasively observed inhomogeneous atrial conduction preceding the onset of PAF, CTM for all the considered P-wave features showed higher variability when the arrhythmia was closer to its onset. A diagnostic accuracy around 80% to discern between ECG segments far from PAF and close to PAF was obtained with the CTM of the metrics considered. This result was similar to previous P-wave variability methods based on linear approaches. However, the combination of linear and non-linear methods with a decision tree improved considerably their discriminant ability up to 90%, thus suggesting that both dynamics could coexist at the same time in the fragmented depolarisation of the atria preceding the arrhythmia.

Keywords: Atrial fibrillation, Central tendency measure, Electrocardiogram, Non-linear, P-wave

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### 1 Introduction

Atrial fibrillation (AF) is the most common sustained supra-ventricular arrhythmia in clinical practice,
with an increasing number of patients being affected worldwide [1]. From a clinical point of view, this
arrhythmia can present itself in different forms [2]. It often starts with paroxysmal (self-terminating)
episodes, which have a duration ranging from several seconds to less than 7 days. Previous studies
have estimated a progression rate of PAF patients to persistent or permanent AF between 15 and 31%,
this transition occurring during a time period of 4 to 8 years, approximately [3]. Both persistent and
permanent AF episodes last more than 7 days, their main difference being the dissimilar response to
cardioversion, which has only proven to be effective in persistent AF.

Nowadays, the mechanisms provoking the onset of PAF are not fully known [4]. However, sig-40 nificant heterogeneous alterations in atrial electrophysiological properties have been noticed before the spontaneous onset of the arrhythmia [5]. Such abnormalities may result in an anisotropic and 42 discontinuous propagation of sinus impulses, thus predisposing the atria to fibrillation [5]. This un-43 coordinated atrial activity reaches the atrio-ventricular (AV) node and can be conducted through the ventricles, which leads to a fast and irregular heart rate [1]. Even though PAF is self-limited, its presence can provoke major complications such as decreased heart capacity, thromboembolic events, congestive heart failure, and tachycardia-induced cardiomyopaty [6]. Consequently, although PAF is not a life-threatening arrhythmia, it increases cardiovascular morbidity and mortality [7]. Therefore, after the spontaneous termination of a PAF episode, a very relevant clinical challenge starts: to predict the arrival of the next episode. The possibility of avoiding PAF recurrence by using early pacing and drugs could hamper the progression of PAF to a more chronic form [1,3]. 51

Recently, the analysis of P-wave variability over time has shown a certain ability to quantify electrophysiological alterations during the two hours preceding the onset of PAF [8,9]. In these studies, every P-wave was delineated and then characterised by computing temporal distances between its fiducial points [8] or quantifying its morphology [9]. Next, the variability evolution of each analysed parameter was estimated by means of a linear fitting [8,9]. However, the possible presence of nonlinear dynamics within the P-wave evolution before the onset of PAF was not studied. To this respect, the study of the P-wave variability over time from a non-linear point of view could reveal clinically interesting information and complement these previous works. In fact, atrial electrophysiological evolution preceding the onset of PAF can be considered as an inherently chaotic and non-stationary process [5, 10], which could be modelled by non-linear equations [11, 12].

The present study hypothesises that the P-wave variability estimation over time through nonlinear methods may help to anticipate recurrent PAF episodes which could be misdiagnosed by linear
methods previously proposed [8,9]. To this respect, non-linear methods have previously demonstrated
their interesting capabilities dealing with the envision of events related to AF [13, 14], as well as in
tracking the behaviour of this arrhythmia [15]. Moreover, through the combination of both linear and
non-linear approaches, PAF onset prediction may be improved. To the best of our knowledge, nonlinear analysis of P-wave variability has never been applied to quantify susceptibility to PAF. In the
present work, the central tendency measure (CTM) will be computed to analyse the alteration of the
P-wave non-linear dynamics. This index uses continuous chaotic modelling to summarise the degree
of variability in a time series [16].

### 72 Methods

# 73 2.1 Study population

A cohort of 46 patients with idiopathic PAF, i.e. none of them caused by concomitant heart disease,

hyperthyroidism or pulmonary disease, were retrospectively selected for the study. Their main demo-

graphic and clinical characteristics are presented in Table 1. At the time of the study any patient was

under anti-arrhythmic drug therapy. Further details on the database are to be found elsewhere [9].

78 The study was approved by the hospital's Ethics Committee and informed consent was obtained from

79 all the participants.

#### 2.2 P-wave delineation and characterisation

A 24-h Holter recording was acquired from every patient. A sampling rate of 1000 Hz and 16-bit resolution were considered as recording parameters. Next, the longest sinus rhythm interval was selected and the two hours before the onset of PAF were extracted and divided into two-one hour periods. This division aimed to evaluate how the proposed approach quantified the P-wave non-linear variability over time. The ECG segments immediately before and further than the arrhythmia onset were named as "close to PAF" and "far from PAF", respectively.

After the ECGs segmentation, P-waves were detected from lead V1 and their boundaries automatically delineated [17]. Although more recent approaches for this purpose can be found in the literature (e.g. [18, 19]), the algorithm used here provided high accuracy and very few location errors [17]. Moreover, it is worth noting that automatic P-wave delineation allows to obtain highly reproducible measurements [20]. Nonetheless, expert cardiologists supervised the obtained P-wave detection and delineation and around 4% of the P-waves had to be corrected.

Finally, each P-wave was characterised by a set of morphological and time features. Morphological changes provoked by alterations in the atrial depolarisation were first quantified by three parameters previously analysed [9]. Thus, the rectified P-wave length was computed as its arc length (P<sub>al</sub>) and the P-wave amplitude was characterised by its normalised root mean square (nrms) value (P<sub>nrms</sub>) and its area (P<sub>area</sub>). On the other hand, different temporal features related to the P-wave fiducial points have also proven to be indicative of an increased risk of AF development [4,8]. Hence, the P-wave duration (P<sub>dur</sub>) together with the duration of its initial (P<sub>ini</sub>) and terminal (P<sub>ter</sub>) portions were computed. The rhythm variability between successive P-waves was also estimated (PP) [8].

### 2.3 Central tendency measure

In order to quantify the P-wave non-linear features variability over time, CTM was computed from the time series generated by each single parameter and calculated in a wave-to-wave fashion. CTM is a quantitative measure of variability computed from second-order difference plots [16]. Given a time series x[n], the second-order difference plot, i.e., the graph x[n+2] - x[n+1] versus x[n+1] - x[n], centred around the origin represents graphically its rate of variability [16]. Thus, by selecting a circular region of radius  $\rho$  around the origin, CTM is computed by counting the number of points that fall within the radius and dividing by the total number of points. In this way, a low CTM value indicates a large amount of dispersion and a high value indicates concentration near the centre.

The outcome of CTM for a concrete application depends strongly on the selected radius. However, in contrast to other non-linear methods [21], no guidelines exist for optimising its value. Hence, it is usually chosen depending upon the character of the data [16]. In the present study an approach similar to the developed in previous works [22, 23] was used to select the optimal  $\rho$  for each single P-wave feature. Thus, CTM was computed for radius of 0.1, 0.2, ..., 10 times the standard deviation of the analysed data. Normalising  $\rho$  in this way provides translation and scale invariance, in the sense that CTM remains unchanged under uniform process magnification, reduction or constant shift to higher or lower values. Thereafter, for each considered  $\rho$ , statistical differences between CTM values for ECG segments far from PAF and close to PAF were assessed by a Student's t-test or a U Mann-Whitney test depending on the normality and homoscedasticity of the data, respectively. To evaluate these conditions, the Kolmogorov-Smirnov and Levene tests were used, respectively. Finally, the selected radius was determined as the one providing the lowest statistical significance (p-value).

## 2.4 Linear estimation of P-wave variability

To better contextualising the results obtained by CTM, the P-wave variability over time was also estimated in a linear fashion from the three most significant metrics reported in previous studies, i.e.  $P_{dur}$ ,  $P_{al}$  and PP [8, 9]. In brief, groups of 10 samples were formed from the data series for each parameter. Then, for every group the difference between its 90- and 10-quantiles was obtained to estimate its variability. Finally, the groups variability time course for each parameter, i.e. their slope  $\alpha$ , was computed from a linear least-squares fitting.

#### 2.5 Performance assessment

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A stratified 2-fold cross-validation was used to assess the ability of CTM and the slope  $\alpha$  computed 130 from each single metric in discerning between ECG segments far from PAF and close to PAF. In 131 this approach, the database is randomly partitioned into two equal size subsamples. For each fold, 132 approximately the same number of ECG segments from each group is considered. Then, a single 133 subsample is used for training and the other one for testing. The process is then repeated changing 134 the role of each fold. Finally, classification results are separately averaged for both iterations. In 135 order to assess the discriminant ability of each parameter, a receiver operating characteristic (ROC) curve was used in each training process. The rate of ECG segments far from PAF properly identified 137 was considered as the true positive rate (i.e., sensitivity). Similarly, the percentage of ECG segments 138 close to PAF successfully classified were considered as the true negative rate (i.e., specificity). The 139 optimum threshold discriminating both groups was finally selected as the CTM or  $\alpha$  value providing 140 the highest accuracy, i.e., the greatest rate of ECG segments correctly discerned. 14

Additionally, the relationships among the variability estimated from single P-wave parameters through CTM and the slope  $\alpha$  were analysed by means of a decision tree. Thus, the optimal combination of CTM computed from every single parameter with the slope  $\alpha$  obtained from  $P_{dur}$ ,  $P_{al}$  and PP was analysed. The tree growth was stopped when each node only contained ECGs from a group or less than 20% of all ECGs. Moreover, every node was split by using an impurity-based Gini index [24]. A stratified 2-fold cross-validation was also used to evaluate the classification result of each tree.

### 3 Results

The optimal radius  $\rho$  providing the highest statistical differences between ECG segment groups as well as the mean and standard deviation of the computed CTM values are shown in Table 2. As can be observed, all the metrics provided statistically significant differences between groups, revealing higher mean values for ECG segments far from PAF, such as Fig. 1 shows for  $P_{al}$ ,  $P_{dur}$  and  $P_{ini}$ . As another significant example, Fig. 2 shows the second-order difference plot associated to  $P_{dur}$  for a

typical patient, presenting higher dispersion in ECG segments close to PAF. In contrast, the slope  $\alpha$ 155 for P<sub>dur</sub>, P<sub>al</sub> and PP presented an increase in the mean values associated to ECG segments close to 156 PAF, such as shown in Fig. 3. Anyway, statistically significant differences were also found between 157 groups. 158

In order to estimate the diagnostic accuracy of each studied metric in a more robust way, the 159 stratified 2-fold cross-validation was run five times. Thus, Tables 3 and 4 present the average values of sensitivity, specificity and accuracy obtained for the 10 iterations of learning and test carried out 16 from the CTM and  $\alpha$  values, respectively. Regarding CTM, the highest classification rates (around 162 80%) were achieved with the metrics P<sub>dur</sub>, P<sub>ini</sub> and P<sub>al</sub>, such as Table 3 shows. However, as can be 163 seen from Table 4, the slope  $\alpha$  from  $P_{al}$  and  $P_{dur}$  reached accuracy values slightly higher than 80%. 164 Finally, a decision tree modelled by two metrics was obtained for every 4-parameter subset con-165 stituted by the CTM, corresponding to one of the studied P-wave features, and the slope  $\alpha$  for  $P_{al}$ , 166  $P_{dur}$  and PP. As can be observed in Table 5, the slope  $\alpha$  for  $P_{al}$  was the most frequently chosen 167 parameter to complement the CTM. Moreover, for all the metrics excepting Pter and PP, the decision 168 tree results outperformed the slope  $\alpha$  for  $P_{al}$ , reaching accuracy values around 87%. Nonetheless, it is 169 worth noting that for every generated decision tree the combination of the same two P-wave metrics 170

remained unaltered from the 10 learning iterations in the cross-validation analysis. To this respect, 17 Fig. 4 shows the tree structure obtained for one of the learning iterations from the combination of

CTM for  $P_{ini}$  and the slope  $\alpha$  for  $P_{al}$ . As can be seen, ECG segments far from PAF were identified by 173

the lowest degrees of both linear and non-linear variability. 174

# **Discussion**

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According to the intermittently disturbed conduction observed in the atrial tissue susceptible to PAF [5], the present study has shown that CTM is able to quantify the P-wave variability progression over the 177 two hours preceding the onset of PAF. To this respect, a higher variability was observed as the ar-178 rhythmia onset approximates, thus suggesting that non-linear dynamics exist in the transition from 179 sinus rhythm to PAF. This finding agrees with previous works demonstrating that steep conduction 180

velocity dispersion represents one way to form a spatially heterogeneous pattern in a completely ho-18 mogeneous tissue [25], the theory of such pattern formation being a well-described part of non-linear 182 systems theory [11]. 183

Compared to the P-wave variability linear estimation, CTM did not improve PAF onset prediction. 184 Thus, the two highest classification rates were obtained by the slope  $\alpha$  for  $P_{al}$  and  $P_{dur}$ , respectively. 185 Nonetheless, CTM for the same P-wave features reported a slightly lower diagnostic accuracy only worsened by less than 3%. A similar result was also observed for CTM of the P-wave initial portion, 187 i.e., P<sub>ini</sub>. Anyway, it has to be remarked that the determination of CTM requires a notable lower 188 computational burden than a linear least-squares fitting. Indeed, only comparisons between points and one division are required to compute CTM, whereas numerous additions and multiplications are used in a linear regression. Therefore, CTM could be more easily implemented on real-time ECG monitoring systems. On the other hand, the combination of linear and non-linear estimates by a decision tree improved considerably the diagnostic accuracy of every single parameter, thus validating 193 our initial hypothesis. Moreover, this result also suggests that the P-wave variability before the onset 194 of PAF is a complex process, in which linear and non-linear dynamics coexist simultaneously within 195 the disrupted atrial depolarisation. 196

PAF onset prediction has also been addressed in several previous studies. Most of them have 197 analysed the RR series or the atrial premature contractions (APCs) preceding the arrhythmia. These 198 studies were mainly performed within the Computers in Cardiology (CinC) Challenge 2001 and made 199 use of a database designed for that purpose [26] freely available at PhysioNet [27]. Although this 200 database contained 53 patients, it presented serious disadvantages. First, no clinical data were provided by PhysioNet. Therefore, the effect of confounding factors such as age, gender or heart rate on 202 P-wave features [28] cannot be controlled in the study. Second, the ECGs were sampled at 128 Hz, 203 which hinders an accurate P-wave characterisation [29]. Finally, only 30 minute-length ECG intervals 204 were provided, thus turning impossible the analysis of longer time intervals before PAF onset, such 205 as the presented in this study. 206

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Nonetheless, with the aim to compare the proposed algorithm with previous works, the CinC's Challenge 2001 database was also used. More precisely, the 28 test recording sets provided for the

so called "Event 2" were analysed. Each set contained two recordings, one immediately prior to an 209 episode of PAF and another distant ( $\geq 45$  minutes) from any such episode, the event consisting in the 210 identification of which segment immediately preceded PAF. The proposed algorithm reported a score 21 of 93% (26 out of 28), thus improving all the methods presented at CinC's Challenge, because no 212 scores higher than 79% (22 out of 28) were reported [26]. Additionally, this result outperforms later 213 works, such as the one reported by Thong et al [30], who reached a score of 89% (25 out of 28) by 214 studying the number of APCs and the subsequent rhythms in the RR series. Others have also studied 215 the same database, but without respecting its organisation. Thus, learning and test sets were taken 216 together and no statistical validation of the results was considered in later works [31, 32]. In these 217 cases, classification rates higher than 90% were reached. However, a wide variety of time, frequency and complexity features of the RR series were combined through highly complex classifiers [31, 32], thus hindering the clinical interpretation of each single parameter within the predictive model. In contrast to these works, the proposed tree-based model yielded a comparable diagnostic accuracy by 22 just combining two parameters, thus making the clinical understading of its outcomes easier. To this 222 respect, the higher the P-wave variability estimated both by the linear and non-linear methods, the higher the risk of an early onset of PAF. 224

On the other hand, some of these previous works also tried to quantify the electrophysiological alterations preceding the onset of PAF. Thus, a decrease of complexity indices and a increase in the spectral energy of the RR dynamics some minutes before the onset of PAF have been reported [33,34]. Similarly, the detection of a high number of APCs has proven to be a good harbinger of the imminent onset of PAF [30]. However, this high concentration of APCs only happens some minutes before the onset [33,34]. As a consequence, these previous works can anticipate a PAF episode only few minutes before its onset, thus making the administration of a feasible antiarrythmic drug treatment impossible. In contrast, CTM has revealed the ability to identify atrial alterations and anticipate a PAF episode at least two hours before its onset.

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Finally, several study limitations have to be mentioned. First, a reduced database was only analysed, thus further prospective studies would be needed to confirm the proposed methodology robustness as well as obtained results reproducibility. Second, the earliest mark of PAF onset has not been

determined because the two hours preceding the arrhythmia were only considered. Thus, further analysis leading to reach this significant event have to be developed. Finally, standard lead V1 was only studied, thus rejecting potential information contained in other leads.

## 5 Conclusions

Alteration of the temporal and morphological P-wave non-linear dynamics over time has been suc-24 cessfully quantified through the CTM, noticing higher variability in the P-wave features when the 242 arrhythmia was closer to its onset. This P-wave non-linear variability analysis has also reported a 243 similar diagnostic accuracy than its linear counterpart in the discrimination between ECG segments 244 far from PAF and close to PAF. However, the computational cost of the proposed non-linear CTM-245 based analysis is notably lower. Finally, the combination of both linear and non-linear estimates 246 of P-wave variability through a decision tree has improved significantly the discrimination ability, 247 thus suggesting that linear and non-linear dynamics coexist at the same time in the disturbed atrial 248 depolarisation preceding the onset of PAF.

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# Declarations

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- 257 Ethical approval: The study was approved by our hospital's Ethics Committee and informed consent was ob-
- 258 tained from all the participants.

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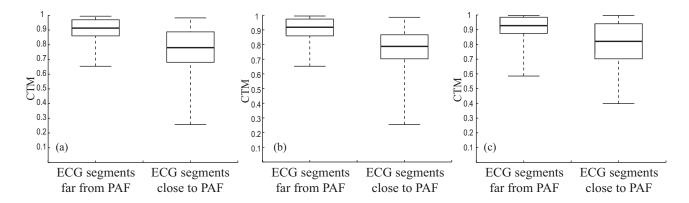


Figure 1: Boxplots of the CTM values computed from the most statistically significant features, i.e. (a)  $P_{al}$ , (b)  $P_{dur}$  and (c)  $P_{ini}$ .

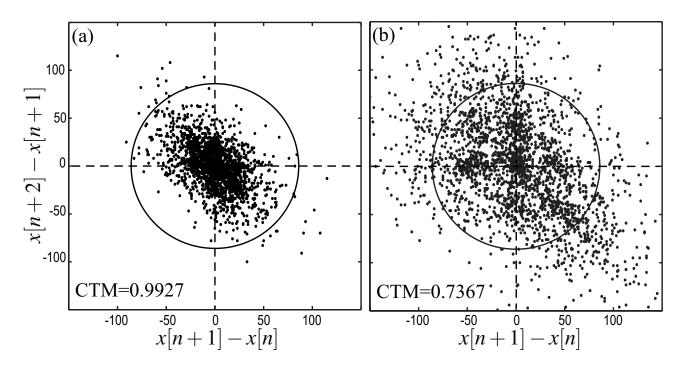


Figure 2: Second-order difference plot of the P-wave duration time course variability from a typical patient with segments (a) far from PAF and (b) close to PAF.

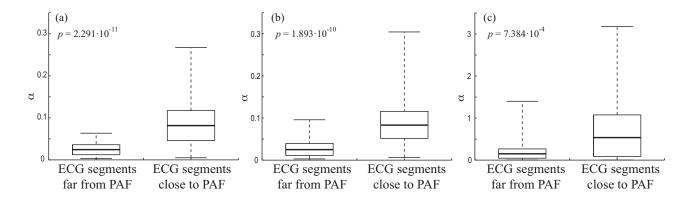


Figure 3: Boxplots of the slope  $\alpha$  values computed from (a)  $P_{dur}$ , (b)  $P_{al}$  and (c) PP. The statistical significance p was obtained using a Student's t-test.

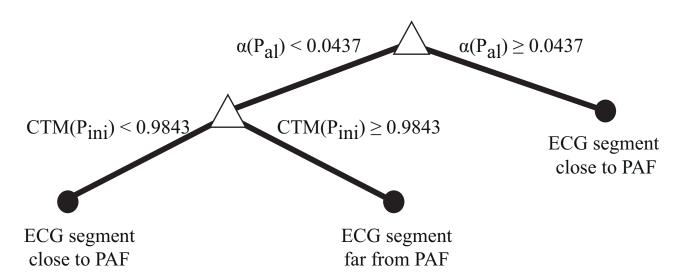


Figure 4: Combination of CTM for  $P_{ini}$  and the slope  $\alpha$  for  $P_{al}$  by means of a decision tree classifier in a training iteration.

Table 1: Baseline demographic and clinical characteristics of the studied PAF patients.

Age (years)	$63.2 \pm 10.2$
Gender (Male/Female)	18/28
Height (m)	$1.68 \pm 0.11$
Weight (kg)	$69.3 \pm 9.8$
Heart rate (beats/min)	$68.4 \pm 7.1$
Respiratory rate (breaths/min)	$13 \pm 1$
QRS duration (ms)	$82.3 \pm 20.2$
PQ duration (ms)	$164.1 \pm 1.6$

Table 2: CTM values computed in average from the ECG segments far from PAF and close to PAF.

Feature	Optimal $\rho$	Far from PAF	Close to PAF	p-value
P <sub>al</sub>	8.2	0.913±0.073	$0.780\pm0.179$	$2.671 \times 10^{-8}$ †
$P_{nrms}$	6.1	$0.985 \pm 0.036$	$0.942 \pm 0.093$	$7.022 \times 10^{-5}$ †
Parea	6.3	$0.985{\pm}0.025$	$0.918 \pm 0.110$	$4.771 \times 10^{-6}$ †
$P_{dur}$	8.5	$0.916 \pm 0.070$	$0.787 \pm 0.177$	$1.524 \times 10^{-7}$ †
$P_{ini}$	8.3	$0.927{\pm}0.084$	$0.821 \pm 0.162$	$1.301 \times 10^{-8}$ †
$P_{ter}$	7.9	$0.935 \pm 0.103$	$0.836 \pm 0.179$	$6.852 \times 10^{-6}$ †
PP	9.1	$0.941 \pm 0.101$	$0.876 \pm 0.139$	$2.307 \times 10^{-3}$ ‡

<sup>†</sup> Statistical significance computed with the U Mann-Whitney test ‡ Statistical significance computed with the Student's *t*-test

Table 3: Classification results provided by the CTM values computed from each analysed P-wave feature. Average values of sensitivity (Se), specificity (Sp) and accuracy (Acc) for the 10 iterations of learning and test carried out are presented.

Feature	Learning sets			Test sets			
reature	Se	Sp	Acc	Se	Sp	Acc	
P <sub>al</sub>	78%	82%	80%	80%	79%	80%	
P <sub>nrms</sub>	66%	71%	68%	66%	59%	63%	
Parea	79%	67%	73%	65%	79%	72%	
$P_{dur}$	80%	78%	79%	76%	83%	80%	
$P_{ini}$	82%	76%	79%	78%	81%	79%	
P <sub>ter</sub>	74%	82%	78%	73%	68%	71%	
PP	61%	71%	66%	65%	57%	61%	

Table 4: Classification results provided by the  $\alpha$  values computed from  $P_{dur}$ ,  $P_{al}$  and PP. Mean values of sensitivity (Se), specificity (Sp) and accuracy (Acc) for the 10 iterations of learning and test carried out are presented.

Feature	Learning sets			Test sets		
reature	Se	Sp	Acc	Se	Sp	Acc
P <sub>dur</sub>	84%	90%	87%	79%	85%	82%
$P_{al}$	87%	87%	87%	81%	85%	83%
PP	73%	75%	74%	65%	71%	68%

Table 5: Classification results provided by the decision tree obtained for each 4-feature subset constituted by CTM for one P-wave parameter and the slope  $\alpha$  for  $P_{al}$ ,  $P_{dur}$  and PP. Average values of sensitivity (Se), specificity (Sp) and accuracy (Acc) for the 10 iterations of learning and test carried out are presented.

Variability estimation		Lea	arning s	ets	Test sets		
CTM	α	Se	Sp	Acc	Se	Sp	Acc
P <sub>al</sub>	P <sub>dur</sub>	79%	92%	86%	93%	82%	87%
$P_{nrms}$	$P_{al}$	92%	96%	94%	81%	93%	87%
Parea	$P_{al}$	86%	94%	90%	81%	96%	89%
$P_{dur}$	$P_{al}$	89 %	89%	89%	89%	85%	87%
$P_{ini}$	$P_{al}$	89%	91%	90%	92%	88%	90%
P <sub>ter</sub>	$P_{dur}$	96%	91%	93%	78%	83%	80%
PP	$P_{al}$	91%	86%	89%	80%	83%	82%