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Additional Information

1 **Utilization of NaCl solutions to clean ultrafiltration membranes fouled by**  
2 **whey protein concentrates**

3  
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13  
14 **Abstract**

15  
16 In this work, whey protein concentrate (WPC) solutions at different concentrations (22.2,  
17 33.3 and 150 g·L<sup>-1</sup>) were used to foul three ultrafiltration (UF) membranes of different  
18 materials and molecular weight cut-offs (MWCOs): a polyethersulfone (PES) membrane of  
19 5 kDa, a ceramic ZrO<sub>2</sub>-TiO<sub>2</sub> membrane of 15 kDa and a permanently hydrophilic  
20 polyethersulfone (PESH) membrane of 30 kDa. NaCl solutions at different salt  
21 concentrations, temperatures and crossflow velocities were used to clean the UF  
22 membranes tested. The cleaning efficiency was related to the MWCO, membrane material  
23 and operating conditions during fouling and cleaning steps. NaCl solutions were able to  
24 completely clean the membranes fouled with the WPC solutions at the lowest  
25 concentration tested. As WPC concentration increased, the hydraulic cleaning efficiency

26 (HCE) decreased. The results demonstrated that an increase in temperature and crossflow  
27 velocity of the cleaning solution caused an increase in the HCE. Regarding NaCl  
28 concentration, the HCE increased up to an optimal value. As the concentration was greater  
29 than this value, the cleaning efficiency decreased. In addition, an equation that correlates  
30 the cleaning efficiency to the operating parameters studied in this work (temperature, NaCl  
31 concentration, crossflow velocity in the cleaning procedure and WPC concentration during  
32 the fouling step) was developed and then, an optimization analysis was performed to  
33 determine the values of the parameters that lead to a 100 % cleaning efficiency.

34

35 *Keywords:* Ultrafiltration; membrane cleaning; whey protein concentrate; NaCl solutions

36

## 37 **1. Introduction**

38

39 Nowadays, whey is one of the most important by-products in dairy industries during  
40 cheese and casein production: 8-9 kg of whey are produced per each 1-2 kg of cheese [1].  
41 Whey is rich in proteins, lactose, minerals and water-soluble vitamins. Thus, it is  
42 considered a valuable product for applications in food and pharmaceutical industries rather  
43 than a wastewater [2]. Among whey components, proteins have a high nutritional and  
44 functional value due to their high content of essential amino acids and their gelatinization  
45 and emulsifying properties [3].

46

47 Because of the interest of its protein fraction, whey is usually transformed to obtain whey  
48 protein concentrates (WPC) with a protein content of 35-80 % w/w in dry basis (31.23 –  
49 234.3 g·L<sup>-1</sup>) and whey protein isolates (WPI) with more than 85 % w/w in dry basis (237.1  
50 g·L<sup>-1</sup>) of protein content [2]. The manufacture of these products involves different

51 processes: ultrafiltration (UF), diafiltration, concentration by evaporation under reduced  
52 pressure and spray drying [4]. However, during the UF process, the production efficiency  
53 is limited because of membrane fouling, which results in a decline in permeate flux. As  
54 proteins and minerals are the main foulants in whey and WPC solutions, several  
55 pretreatments can be performed in order to increase protein solubility and limit calcium  
56 phosphate precipitation and calcium bridging during the UF process [5].

57

58 As pretreatments are not enough to avoid membrane fouling, membranes have to be  
59 cleaned with conventional and non conventional techniques. In dairy industries,  
60 conventional cleaning agents as alkalis, acids and disinfectants are used in several washing  
61 steps [6-9]. However, in some cases, membrane lifetime may be reduced and a negative  
62 impact on the environment may be caused when these aggressive agents are used. To  
63 overcome these problems, some non conventional cleaning techniques have been  
64 developed in the last years [10-12]. For instance, the use of enzymes as cleaning agents has  
65 been reported by other authors as an effective alternative cleaning technique on membranes  
66 used for whey treatment [12, 13]. The main advantage of this technique is the utilization of  
67 mild pH values, so that the membranes may not be affected by acids and/or alkalis.  
68 Another innovative cleaning protocol is based on the utilization of saline solutions. Some  
69 authors [14-16] have reported the effect of cations and anions on the interactions among  
70 proteins. According to their capability to increase or decrease protein solubility,  
71 Hofmeister [14] proposed a ranking of salts. Based on the Hofmeister series, Tsumoto *et*  
72 *al.* [15] reported that some salts (such as NaCl) caused an increase in protein solubility  
73 (salting-in effect) while other salts (such as Na<sub>2</sub>SO<sub>4</sub>) decreased it (salting-out effect). Nucci  
74 and Vanderkooi [16] studied the ability of divalent and monovalent cations to precipitate  
75 proteins. They demonstrated that calcium is one of the most salting-out cations. This is in a

76 good agreement with other works about the influence of calcium on protein bridging and  
77 membrane fouling [8, 17].

78

79 However, only a few papers are focused on the utilization of salts as membrane cleaning  
80 agents. Lee and Elimelech [18] tested NaCl solutions at different concentrations to clean  
81 reverse osmosis membranes fouled with alginate and calcium solutions. They achieved  
82 values of cleaning efficiency of about 90 % when a salt concentration of 50 mM was used.  
83 In a previous work, Corbatón-Báguena *et al.* [19] studied the influence of several salts  
84 ( $\text{Na}_2\text{SO}_4$ , NaCl,  $\text{NaNO}_3$ ,  $\text{NH}_4\text{Cl}$  and KCl) on the cleaning efficiency of a 15 kDa ceramic  
85 UF membrane fouled with protein solutions. They demonstrated that chloride and nitrate  
86 salts were the most effective.

87

88 The aim of this work was to investigate the effectiveness of NaCl solutions to clean three  
89 different UF membranes fouled with WPC solutions at different concentrations. The effect  
90 of membrane material and MWCO on the effectiveness of the cleaning protocol was  
91 studied by testing a 15 kDa monotubular ceramic membrane, a 5 kDa flat-sheet  
92 polyethersulfone (PES) membrane and a 30 kDa flat-sheet permanently hydrophilic  
93 polyethersulfone (PESH) membrane. The influence of the operating conditions during the  
94 cleaning procedure (temperature, NaCl concentration and crossflow velocity) was also  
95 investigated. The best experimental cleaning conditions to achieve the highest cleaning  
96 efficiency were estimated by a statistical analysis.

97

## 98 **2. Materials and methods**

99

### 100 *2.1. Materials*

101

102 Renylat WPC solutions (Industrias Lácteas Asturianas S.A., Spain) at different  
103 concentrations (22.2, 33.3 and 150 g·L<sup>-1</sup>) were used as feed solutions during the fouling  
104 steps. WPC was supplied in powder form and it was dissolved in deionized water until the  
105 final concentration was achieved. Table 1 shows the composition of the WPC.  
106 Determination of each component in the WPC was performed as follows: total protein  
107 concentration was determined by means of the Bradford method (Sigma Aldrich,  
108 Germany) [20], lactose amount was estimated by reaction with 3,5-dinitrosalicylic acid  
109 (DNS, Sigma Aldrich, Germany) [21], ash content was calculated by using a muffle  
110 furnace at 540 °C (AOAC method 930.30) [22], cations concentration was determined  
111 using a “790 Personal IC” chromatograph with a Metrosep C 2 150 column (both from  
112 Metrohm, Switzerland), anions concentration was obtained by using Spectroquant chloride  
113 and phosphate testing kits (Merck Millipore, Spain) [23] and fat content was measured by  
114 a MilkoScan FT120 (Gerber Instruments, Switzerland) [24]. Absorbance at 595 nm was  
115 measured by means of an UV-visible spectrophotometer (Hewlett-Packard 8453).

116

117 If initial membrane permeability was not completely recovered after the salt cleaning  
118 procedure, NaClO aqueous solutions (10 % w/v, Panreac, Spain) at pH 11 and 45 °C and  
119 NaOH aqueous solutions (98 % purity, Panreac, Spain) at pH 11 and 45 °C were used to  
120 clean the ceramic and polymeric membranes, respectively. These conventional cleaning  
121 protocols are in accordance with those suggested by the manufacturers.

122

123 *2.2. Membranes*

124

125 Three different UF membranes were used to perform the experiments: a monotubular  
126 ZrO<sub>2</sub>-TiO<sub>2</sub> membrane of 15 kDa (TAMI Industries, France), a flat-sheet PES membrane of  
127 5 kDa (UP005, Microdyn Nadir, Germany) and a flat-sheet PESH membrane of 30 kDa  
128 (UH030, Microdyn Nadir, Germany). The effective area of these membranes was 35.5 cm<sup>2</sup>  
129 for the ceramic membrane and 100 cm<sup>2</sup> for the polymeric membranes. These materials and  
130 MWCOs were selected in order to study their influence on the membrane cleaning  
131 efficiency. In addition, the MWCOs selected in this work are in the range of the typical  
132 MWCOs used in the manufacture and treatment of whey and WPC [25, 26].

133

### 134 *2.3. Experimental set-up*

135

136 Fouling and cleaning experiments were carried out in a VF-S11 UF plant (Orelis, France)  
137 with a stainless steel feed tank of 10 L. Crossflow velocity and pressure drop across the  
138 module were controlled by a variable speed volumetric pump and two manometers placed  
139 at the inlet and outlet sides of the module. Permeate flux was measured gravimetrically  
140 using a scale (0.001 g accuracy). All the experiments were performed in total recirculation  
141 mode, except the rinsing steps. The experimental set-up was described elsewhere [19].

142

### 143 *2.4. Experimental procedure*

144

#### 145 *2.4.1. Fouling experiments*

146

147 Fouling experiments were performed in total recirculation mode at a transmembrane  
148 pressure of 2 bar, a crossflow velocity of 2 m·s<sup>-1</sup> and a temperature of 25 °C. In addition,  
149 different WPC concentrations were used to simulate the effect of the increase in protein

150 concentration during the UF process. These operating conditions were selected according  
151 to the literature about whey protein UF [19, 27]. Permeate flux and rejection values were  
152 measured during the fouling step to ensure the reproducibility of all the runs with each feed  
153 solution. Each fouling test was repeated a minimum of 10 times.

154

155 Protein rejection was determined by Eq. 1 for all the membranes tested.

156

$$157 \quad \text{Rejection (\%)} = \left(1 - \frac{C_p}{C_b}\right) \cdot 100 \quad \text{Eq. 1}$$

158

159 Where  $C_b$  is protein concentration in the WPC feed solution and  $C_p$  is protein concentration  
160 in the permeate.

161

#### 162 2.4.2. Rinsing and cleaning experiments

163

164 Reversible fouling was removed from the membrane surface by rinsing the membranes  
165 with deionized water after the fouling step at a transmembrane pressure of 1 bar, different  
166 crossflow velocities ( $1.2\text{-}4.2 \text{ m}\cdot\text{s}^{-1}$ ) and  $25 \text{ }^\circ\text{C}$  with the permeate valve opened. Then, NaCl  
167 cleaning step was carried out to allow the removal of the irreversible fouling. Operating  
168 conditions during the cleaning step were the following: four different NaCl concentrations  
169 ( $0\text{-}7.5 \text{ mM}$ ), four temperatures ( $50\text{-}80 \text{ }^\circ\text{C}$ ) and the same transmembrane pressure and  
170 crossflow velocity as those considered for the rinsing step. The pH values of all the saline  
171 solutions ranged from 6.8 to 7. After the saline cleaning procedure, another washing step  
172 with deionized water was performed to completely remove the loose foulant molecules as  
173 well as the cleaning agent molecules from the membrane surface.

174



175 When permeate flux achieved the steady-state value, cleaning and rinsing steps ended.  
176 Duration of these steps was 45 min for the rinsing steps and 70-80 min for the cleaning  
177 step.

178

179 After the last rinsing step, a conventional chemical cleaning with alkaline solutions was  
180 performed if the initial permeability conditions were not achieved, as it was mentioned and  
181 described in the “Materials” section.

182

### 183 *2.5. Evaluation of membrane cleanliness*

184

185 The hydraulic efficiencies of the first rinsing step (HRE) and of the complete cleaning  
186 procedure (HCE), i.e. after the second rinsing step, were calculated using Eq. 2 and 3.  
187 Other authors [27, 28] reported equations to determine the efficiency of rinsing and  
188 cleaning steps when alkaline solutions were used to restore the initial permeability of the  
189 membranes. Their equations were based on a relation among the membrane hydraulic  
190 resistance obtained after each step (fouling, first rinsing, cleaning and second rinsing) by  
191 means of the Darcy’s law. In this work, similar equations (Eq. 2 and 3) were proposed to  
192 calculate the hydraulic rinsing and cleaning efficiencies (HRE and HCE, respectively).

193

$$194 \quad \text{HRE (\%)} = \left( \frac{R_f - R_{r1}}{R_f - R_m} \right) \cdot 100 \quad \text{Eq. 2}$$

$$195 \quad \text{HCE (\%)} = \left( \frac{R_f - R_{r2}}{R_f - R_m} \right) \cdot 100 \quad \text{Eq. 3}$$

196

197 Where  $R_f$  is the fouling resistance,  $R_{r1}$  is the hydraulic resistance after the first rinsing step,  
198  $R_{r2}$  is the hydraulic resistance after the second rinsing step and  $R_m$  is the resistance of the  
199 new membrane, which were calculated by means of the Darcy's law [19].

200

201 When HCE values obtained at the end of the cleaning procedure were of 100 %, the saline  
202 cleaning can substitute the conventional alkaline/acid cleaning, as the membrane  
203 permselective properties were completely restored.

204

### 205 **3. Results and discussion**

206

207 In order to calculate HCE for each membrane tested, the values of  $R_m$  were necessary.  
208 These values were:  $9.453 \cdot 10^{12}$ ,  $5.001 \cdot 10^{12}$  and  $3.794 \cdot 10^{12} \text{ m}^{-1}$ , for the membranes of 5, 15  
209 and 30 kDa, respectively.

210

#### 211 *3.1. Fouling experiments*

212

213 Fig. 1 shows the evolution of permeate flux with time for each membrane and feed solution  
214 tested. As it was expected, the higher the WPC concentration in the feed solution was, the  
215 lower the steady-state permeate flux was. This is due to the fact that an increase in protein  
216 concentration results in a more severe membrane fouling due to an increase in  
217 concentration polarization and adsorption phenomena as protein concentration increases.  
218 Regarding the permeate flux decline, the PESH 30 kDa membrane showed the lowest one  
219 for all the feed solutions tested compared with the other membranes. For instance, for the  
220 most severe fouling conditions (WPC concentration of  $150 \text{ g} \cdot \text{L}^{-1}$ ), the percentage of  
221 permeate flux decline was 44.73, 56.64 and 26.84 % for the 5, 15 and 30 kDa membranes,

222 respectively. The reason for that is the combination of low membrane surface roughness  
223 and high hydrophilicity of the PESH membrane in comparison with the PES and the  
224 ceramic membrane [29].

225

226 According to other authors [30-32], both high hydrophilicity and low surface roughness  
227 result in membranes with better antifouling properties. Evans *et al.* [31] studied the  
228 influence of surface roughness and membrane hydrophobicity on the UF of black tea using  
229 membranes made of different materials. They found that fouling was more severe in the  
230 case of the rougher and more hydrophobic membranes. Rahimpour and Madaeni [30]  
231 investigated the effect of the modification of the membrane with different hydrophilic  
232 monomers on the performance of several PES membranes during the filtration of non-skim  
233 milk. They demonstrated that, among all the modified and unmodified membranes tested,  
234 the highest protein rejection and lowest fouling resistances were obtained with the  
235 membranes that showed the most hydrophilic and smooth surfaces. García-Ivars *et al.* [32]  
236 also tested modified and unmodified PES membranes with different hydrophilicity and  
237 surface roughness in several fouling/rinsing cycles. They obtained better performances for  
238 the more hydrophilic and less rougher membranes. All these results are in good agreement  
239 with the results obtained in this work. According to the AFM measurements for the new  
240 membranes described by the authors elsewhere [19], the values of Root Mean Square  
241 roughness ( $R_q$ ) were 0.487, 17.900 and 1.657 nm for the 5, 15 and 30 kDa membranes,  
242 respectively. On the other hand, while the 5 kDa membrane was hydrophobic, the 15 and  
243 30 kDa membranes were hydrophilic. Therefore, the lowest permeate flux decline was  
244 obtained for the 30 kDa membrane, followed by the 5 and 15 kDa membranes for all the  
245 feed solutions tested.

246

247 Fig. 2 shows the changes on protein rejection values with time for all the membranes and  
248 feed solutions considered. As WPC concentration increased, the steady-state rejection  
249 values slightly decreased for all the membranes tested. Mathew *et al.* [33] also studied the  
250 influence of protein concentration on the percentage of rejection. They demonstrated that  
251 an increase in protein concentration resulted in a decrease in the rejection values using  
252 multilayer membranes with the same number of bilayers.

253

### 254 3.2. *Cleaning experiments*

255

#### 256 3.2.1. Effect of NaCl concentration on HCE

257

258 The influence of NaCl concentration on the effectiveness of the cleaning protocol is shown  
259 in Fig. 3. The rest of experimental conditions were set at 50 °C and 2.18 m·s<sup>-1</sup> (for the 5  
260 and 30 kDa membranes) and 4.2 m·s<sup>-1</sup> (for the 15 kDa membrane). These different  
261 crossflow velocities were selected due to the higher surface roughness of the ceramic  
262 membrane in comparison with the polymeric ones. The rougher the membrane surface was,  
263 the more severe the fouling was and thus, the highest crossflow velocity that can be  
264 achieved in the experimental set-up was selected in order to remove the foulant deposits.

265

266 As it can be observed in Fig. 3, an increase in salt concentration resulted in an increase in  
267 the values of HCE for each membrane tested when a WPC concentration of 22.2 g·L<sup>-1</sup> was  
268 used. NaCl concentration ranged from 0 (deionized water) to 7.5 mM, according to  
269 previous studies about salt cleaning of protein fouled membranes [34], and the highest  
270 values of HCE were obtained at a NaCl concentration of 5 mM in all the cases. The  
271 efficiency of NaCl to clean membranes fouled with protein solutions was also reported in

272 the literature. Lee and Elimelech [18] investigated the effect of NaCl concentration on the  
273 cleaning efficiency of reverse osmosis membranes that were fouled with feed solutions  
274 containing alginate and calcium. They reported that values of cleaning efficiency of 90 %  
275 were achieved at NaCl concentrations of 50 mM due to a decrease in foulant-foulant  
276 adhesion forces caused by the salt solutions, while using higher salt concentrations (100-  
277 300 mM) did not result in higher efficiency values.

278

279 It can also be observed in Fig. 3 that a greater increase in the concentration of NaCl above  
280 5 mM caused a decrease in HCE. This may be due to the fact that fouling and cleaning  
281 mechanisms became competitive and the experimental conditions used did not favour the  
282 effective mass transfer of foulant molecules from the membrane surface back to the bulk  
283 solution [35]. In addition, other authors demonstrated the effect of salt solutions and their  
284 concentration on protein solubility. Hofmeister [14] ranked different cations and anions  
285 depending of their ability to act as protein stabilizers. As a consequence, ions were divided  
286 into salting-in or salting-out depending on the increase or decrease in protein solubility that  
287 they caused, respectively. Based on the Hofmeister series, Tsumoto *et al.* [15] observed  
288 that low surface tension favours the salting-in effects of salt solutions. Since surface  
289 tension decreases when salt concentration increases at low salt concentrations, the  
290 effectiveness of NaCl as a cleaning agent is enhanced at low NaCl concentrations. On the  
291 other hand, Zhang [36] demonstrated that Cl<sup>-</sup> can specifically bind to the protein surface  
292 and proposed a mechanism to explain why this phenomenon takes place. The law of  
293 matching water affinities states that ions with similar water affinity tend to bond each  
294 others. According to this law, Cl<sup>-</sup> is a weakly hydrated monovalent anion and thus, it  
295 preferably binds to the positive-charged side chains of the proteins as well as the non-polar

296 groups. As a result,  $\text{Cl}^-$  may act as a binding agent to the protein surface and facilitates  
297 their removal from the membrane surface.

298

299 In addition, the highest HCE values were achieved with the 30 kDa membrane for all the  
300 NaCl concentrations tested. As it was above mentioned, high hydrophilicity and low  
301 surface roughness favour the membrane antifouling properties and thus, milder  
302 experimental conditions have to be used in order to clean such membrane. For this reason,  
303 at the same salt concentration, temperature and crossflow velocity, the 30 kDa membrane  
304 showed the highest values of HCE.

305

### 306 3.2.2. Effect of temperature on HCE

307

308 In order to increase the HCE values obtained for the best NaCl concentration (see Fig. 3),  
309 several cleaning experiments at different temperatures were performed. In this way,  
310 temperatures ranging from 50 to 80 °C were tested to study the influence of this parameter  
311 on HCE, while the other experimental conditions were maintained constant for all the  
312 experiments at a NaCl concentration of 5 mM and crossflow velocities of  $2.18 \text{ m}\cdot\text{s}^{-1}$  (for  
313 the 5 and 30 kDa membranes) and  $4.2 \text{ m}\cdot\text{s}^{-1}$  (for the 15 kDa membrane).

314

315 Fig. 4 shows the values of HCE for the different temperatures and membranes tested.  
316 Increasing the temperature of the cleaning solution from 50 to 80 °C resulted in an increase  
317 in HCE, achieving efficiency values of 100 % at the highest temperature for all the  
318 membranes used when the fouling experiments were performed with a WPC concentration  
319 of  $22.2 \text{ g}\cdot\text{L}^{-1}$ . As it was above mentioned, the lower the surface tension is, the greater the  
320 salting-in effect is [15]. High temperatures lead to a decrease in the surface tension, which

321 enhances the effectiveness of NaCl as cleaning agent. The interactions salt-proteins also  
322 increased as the temperature of the cleaning solution increased, due to the effect of  
323 temperature on the diffusivity coefficient. In this way, an increase in temperature causes an  
324 increase in that coefficient, which results in an enhancement of the mass transfer process of  
325 protein molecules from the membrane surface to the bulk solution [18].

326

### 327 3.2.3. Effect of crossflow velocity on HCE

328

329 Membranes fouled with WPC solutions of  $22.2 \text{ g}\cdot\text{L}^{-1}$  were cleaned at a NaCl concentration  
330 of 5 mM, a temperature of  $80 \text{ }^\circ\text{C}$  and different crossflow velocities to study the influence of  
331 this operating parameter on the HCE values. As it is shown in Fig. 5, an increase in  
332 crossflow velocity from  $1.2$  to  $2.18 \text{ m}\cdot\text{s}^{-1}$  caused an increase in the HCE values obtained  
333 for all the membranes tested. The greatest HCE (about 100 %) was achieved at a crossflow  
334 velocity of  $2.18 \text{ m}\cdot\text{s}^{-1}$ .

335

336 As Lee *et al.* [37] demonstrated, the higher the crossflow velocity during the cleaning  
337 procedure of a PES UF membrane was, the higher the flux recovery was. These authors  
338 achieved approximately the same permeate flux as that at the beginning of the UF process,  
339 removing the gel layer formed by natural organic matter on the membrane surface. This is  
340 in accordance with the fact that a crossflow velocity value about  $2.18 \text{ m}\cdot\text{s}^{-1}$  was the optimal  
341 to effectively clean the membranes tested in this work.

342

### 343 3.2.4. Effect of WPC concentration on HCE

344

345 Fig. 6 shows the effect of WPC concentration during the fouling step on the HCE values  
346 obtained at the end of the cleaning procedure. Firstly, membranes fouled with WPC  
347 solutions at 22.2 and 33.3 g·L<sup>-1</sup> were cleaned with NaCl solutions at the best cleaning  
348 conditions above mentioned (NaCl concentration of 5 mM, temperature of 80 °C and a  
349 crossflow velocity of 2.18 m·s<sup>-1</sup>). As it can be observed in Fig. 6, the HRE and HCE values  
350 decreased for all the membranes tested as the WPC concentration in the feed solution  
351 increased, due to the more severe fouling caused on the membranes. In a previous work,  
352 Corbatón-Báguena *et al.* [29] investigated the fouling mechanisms dominating the UF of  
353 WPC solutions on ceramic and polymeric membranes by fitting several mathematical  
354 models. They confirmed that both complete blocking and cake formation were the main  
355 fouling mechanisms responsible for membrane fouling and that an increase in WPC  
356 concentration in the feed solution during the fouling step caused a more severe fouling on  
357 the membrane surface because the values of the model parameters increased as the WPC  
358 concentration increased. They observed that the resistance due to concentration  
359 polarization and adsorption as well as the resistance due to cake formation increased for all  
360 the membranes tested when WPC concentration increased from 22.2 to 33.3 g·L<sup>-1</sup>.

361

362 In order to obtain higher HCE results, the crossflow velocity during the cleaning step was  
363 increased at 4.2 m·s<sup>-1</sup>. At this new value, two different WPC concentrations were tested  
364 (33.3 and 150.0 g·L<sup>-1</sup>). Comparing the HRE and HCE values achieved at 2.18 and 4.2 m·s<sup>-1</sup>  
365 when a WPC concentration of 33.3 g·L<sup>-1</sup> was used in the fouling step, it can be observed  
366 that, although slightly higher HRE was obtained when crossflow velocity increased, almost  
367 identical HCE results were obtained for all the membranes tested. This indicated that this  
368 increase in crossflow velocity could not completely remove the protein deposits on the  
369 membrane surface and thus, did not result in an increase in the HCE values. This pattern



370 also occurred when the WPC concentration increased up to 150.0 g·L<sup>-1</sup>. In this case, the  
 371 HCE achieved was the same as that obtained for all the membranes fouled with a WPC  
 372 concentration of 33.3 g·L<sup>-1</sup>. Therefore, there is a maximum quantity of proteins that can be  
 373 removed from the membrane surface when NaCl solutions were used as cleaning agents  
 374 and as a consequence, a maximum HCE of about 90-95 % can be achieved with this  
 375 cleaning method at the highest WPC concentration tested.

376

### 377 3.2.5. Statistical and optimization analysis

378

379 An equation that relates HCE to the operating conditions and their interactions was  
 380 developed by means of the Statgraphics software (Eq. 4). These conditions were:  
 381 temperature during cleaning step, T; NaCl concentration, C<sub>NaCl</sub>; crossflow velocity, v;  
 382 membrane surface roughness, R<sub>q</sub> and WPC concentration during the fouling step, C<sub>WPC</sub>.  
 383 The regression coefficient R<sup>2</sup> for Eq. 4 was 0.980 at a confidence level of 95 % (p-values  
 384 lower than 0.05).

385

$$\begin{aligned}
 \text{HCE (\%)} = & 303.028 - 3.392 \cdot T + 10.236 \cdot C_{NaCl} - 123.544 \cdot v + 17.930 \cdot R_q - 0.719 \cdot C_{NaCl}^2 - 2.197 \cdot v^2 - \\
 & - 0.183 \cdot R_q^2 + 0.006 \cdot C_{WPC}^2 + 1.864 \cdot T \cdot v - 0.181 \cdot T \cdot R_q - 0.636 \cdot C_{NaCl} \cdot v - 0.267 \cdot v \cdot C_{WPC}
 \end{aligned}$$

Eq. 4

388

389 To obtain the optimal conditions resulting in a HCE value of 100 %, the Microsoft Excel  
 390 Solver tool was used. Those optimal conditions were a temperature of 80.00 °C, a NaCl  
 391 concentration of 5.01 mM, a crossflow velocity of 2.23 m·s<sup>-1</sup>, a membrane surface  
 392 roughness of 2.02 nm and a WPC concentration of 22.19 g·L<sup>-1</sup>. These values are in a good  
 393 agreement with those related to the best conditions to obtain the highest HCE observed in  
 394 Figs. 2-5 for the PESH 30 kDa membrane used (R<sub>q</sub> = 1.657). Therefore, low membrane

395 roughness favours the cleaning process at milder conditions of crossflow velocity and  
396 cleaning agent concentration, while high temperatures result in greater cleaning efficiency  
397 values when low protein concentration in the fouling feed solution was used.

398

#### 399 **4. Conclusions**

400

401 NaCl solutions were able to effectively clean three UF membranes of different materials  
402 and MWCOs (a PES membrane of 5 kDa, a ceramic ZrO<sub>2</sub>-TiO<sub>2</sub> membrane of 15 kDa and a  
403 PESH membrane of 30 kDa) fouled with WPC solutions, resulting in high values of HCE  
404 for all the membranes and WPC solutions tested.

405

406 Cleaning results demonstrated that an increase in temperature and crossflow velocity of the  
407 cleaning solution caused an increase in the HCE. Regarding NaCl concentration, there was  
408 an optimal value up to which the HCE increased (about 5 mM for all the membranes  
409 tested). When the concentration was greater than this value, the cleaning efficiency  
410 decreased possibly due to the competition between cleaning and fouling mechanisms and  
411 the reduction in surface tension. On the other hand, the higher the WPC concentration in  
412 the feed solution during the fouling step was, the lower the HCE was, due to the more  
413 severe fouling caused when protein concentration in the feed solution increased. The  
414 highest values of the cleaning efficiency (100 %) were achieved for the lowest WPC  
415 concentration tested (22.2 g·L<sup>-1</sup>).

416

417 An equation that correlates the HCE to the operating parameters (temperature, NaCl  
418 concentration, crossflow velocity in the cleaning procedure and WPC concentration during  
419 the fouling step) was obtained with high accuracy ( $R^2 = 0.980$ ) at a confidence level of 95

420 %. The optimization analysis performed showed that a temperature of 80.00 °C, a NaCl  
421 concentration of 5.01 mM, a crossflow velocity of 2.23 m·s<sup>-1</sup>, a membrane surface  
422 roughness of 2.02 nm and a WPC concentration of 22.19 g·L<sup>-1</sup> resulted in a 100 % of HCE,  
423 which corresponded to the best conditions experimentally obtained for the 30 kDa  
424 membrane.

425

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427

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430

## 431 **Nomenclature**

432

### 433 *List of symbols*

434

435  $C_b$  Protein concentration in the feed solution (g·L<sup>-1</sup>)

436  $C_{NaCl}$  NaCl concentration (mM)

437  $C_p$  Protein concentration in the permeate (g·L<sup>-1</sup>)

438  $C_{WPC}$  WPC concentration in the feed solutions (g·L<sup>-1</sup>)

439  $J$  Permeate flux (m<sup>3</sup>·m<sup>-2</sup>·s<sup>-1</sup>)

440  $\Delta P$  Transmembrane pressure (bar)

441  $R$  Total hydraulic resistance (m<sup>-1</sup>)

442  $R_m$  Resistance of the new membrane (m<sup>-1</sup>)

443  $R_f$  Resistance after the fouling step (m<sup>-1</sup>)

444  $R_{r1}$  Resistance after the first rinsing step (m<sup>-1</sup>)

445	$R_{r2}$	Resistance after the second rinsing step ( $m^{-1}$ )
446	$R_q$	Root Mean Square Roughness (nm)
447	t	Filtration time (s)
448	T	Temperature of the cleaning solution ( $^{\circ}C$ )
449	v	Crossflow velocity ( $m \cdot s^{-1}$ )

450

451 Greek letters

452

453  $\mu$  Feed solution viscosity ( $kg \cdot m^{-1} \cdot s^{-1}$ )

454

455 *Abbreviations*

456

457 AFM Atomic force microscopy

458 BSA Bovine serum albumin

459 HCE Hydraulic cleaning efficiency

460 HRE Hydraulic rinsing efficiency

461 MWCO Molecular weight cut off

462 PES Polyethersulfone

463 UF Ultrafiltration

464 WPC Whey protein concentrate

465

466 **References**

467

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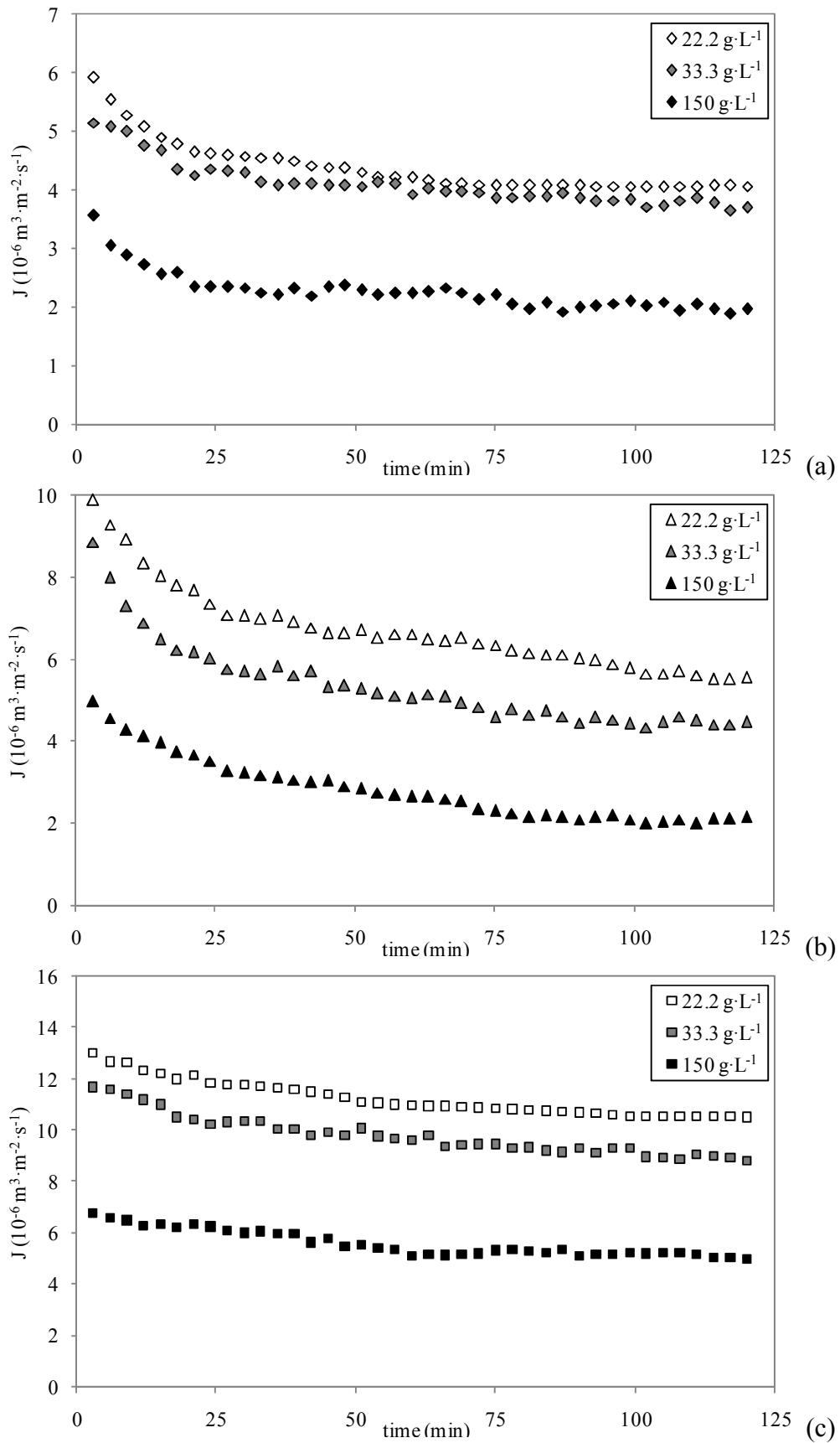


Fig.1. Evolution of permeate flux with time for the 5 kDa (a), 15 kDa (b) and 30 kDa (c) membranes with WPC solutions at different concentrations.

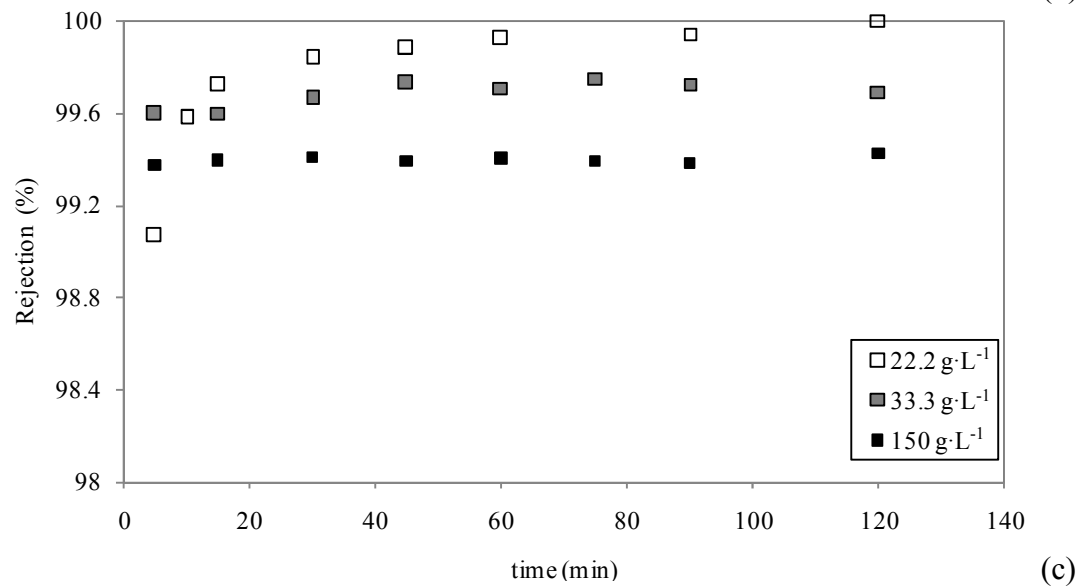
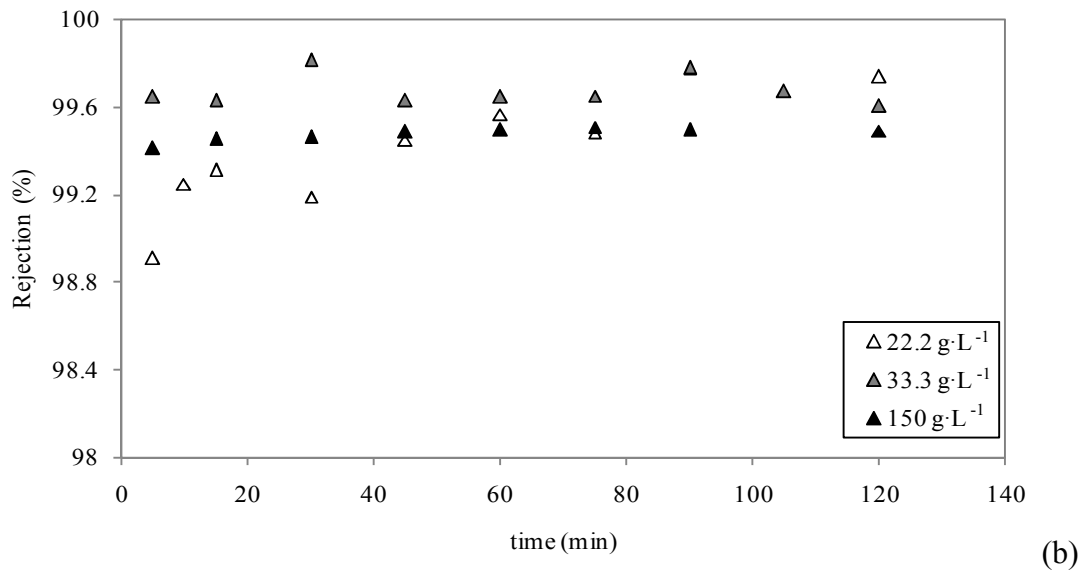
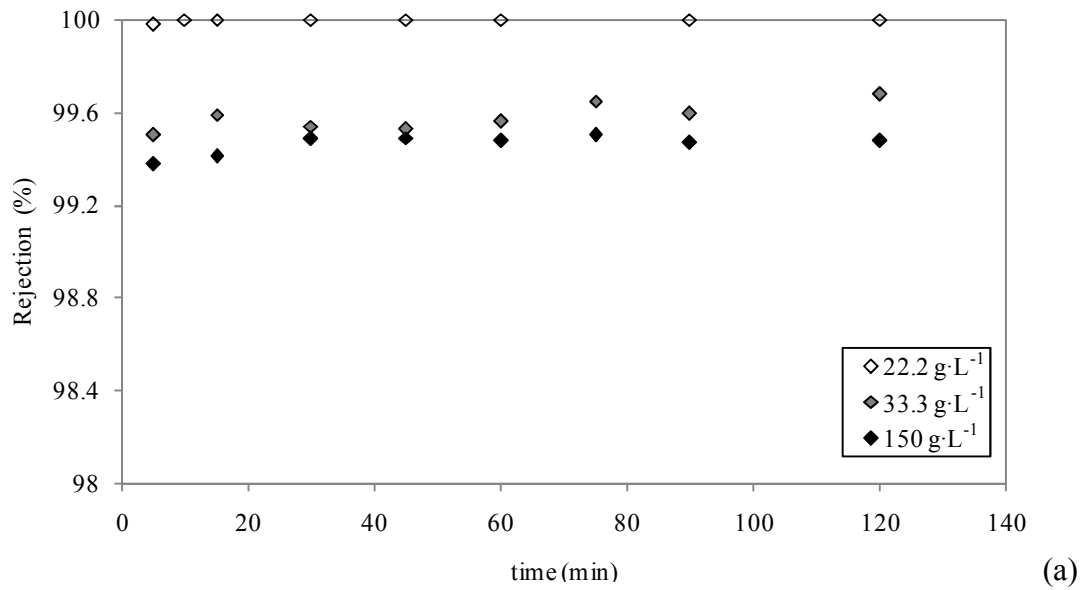


Fig.2. Evolution of rejection values with time for the 5 kDa (a), 15 kDa (b) and 30 kDa (c) membranes with WPC solutions at different concentrations.

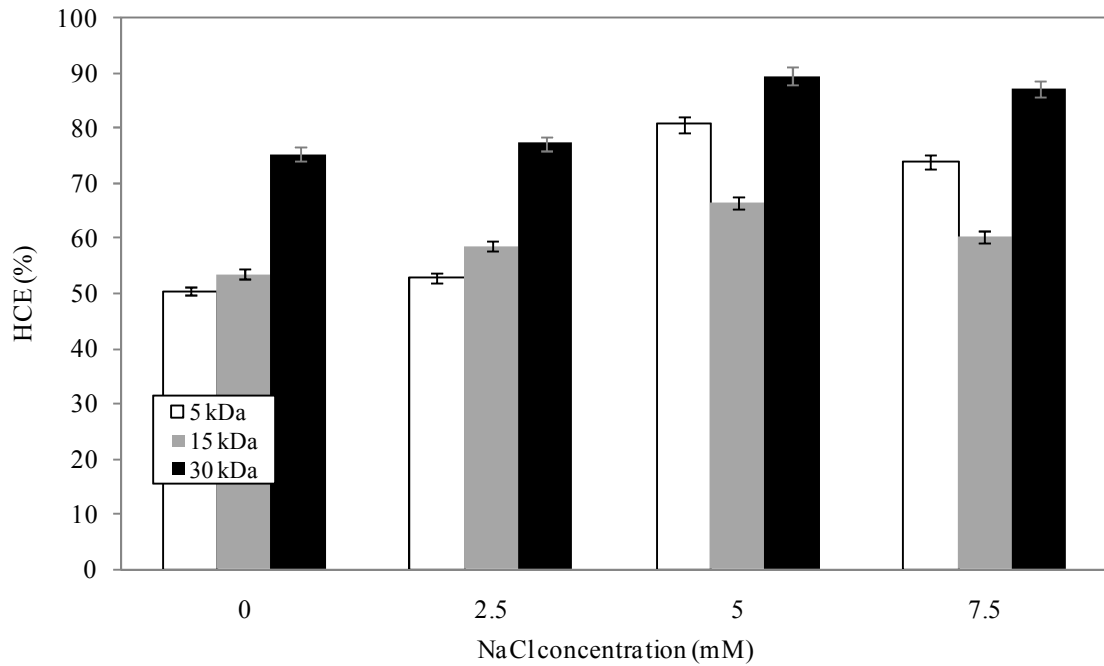


Fig. 3. Effect of NaCl concentration on HCE (WPC concentration:  $22.2 \text{ g}\cdot\text{L}^{-1}$ ; temperature:  $50 \text{ }^\circ\text{C}$ ; crossflow velocity:  $2.18 \text{ m}\cdot\text{s}^{-1}$  for the 5 and 30 kDa membranes and  $4.2 \text{ m}\cdot\text{s}^{-1}$  for the 15 kDa membrane).

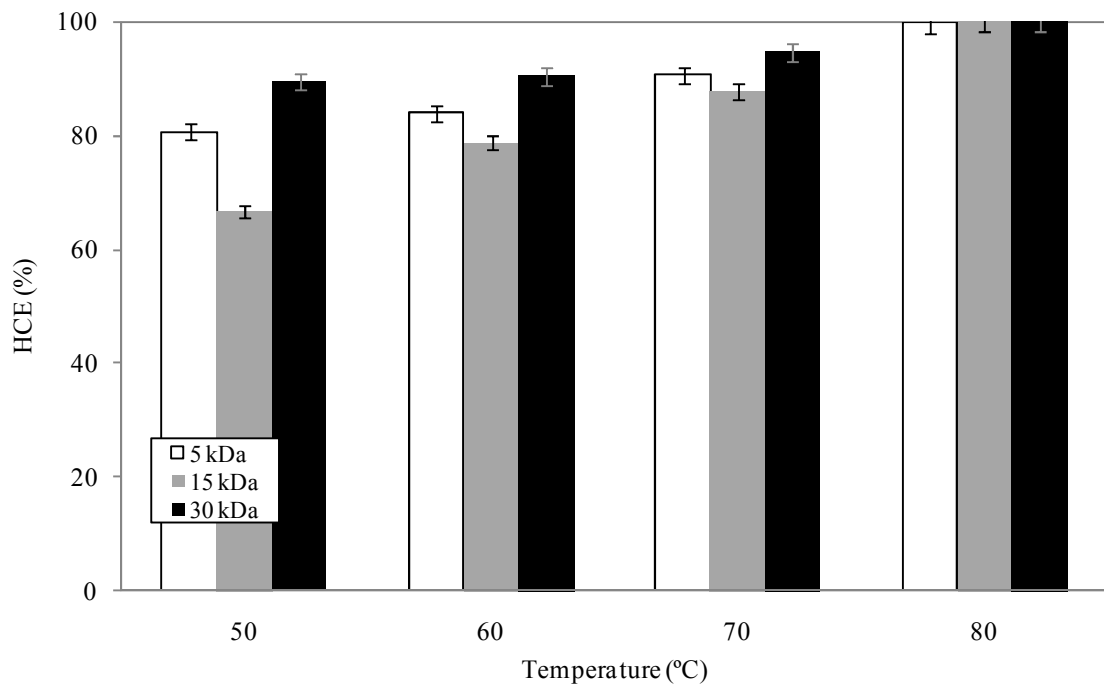


Fig. 4. Effect of temperature on HCE (WPC concentration:  $22.2 \text{ g}\cdot\text{L}^{-1}$ ; NaCl concentration:  $5 \text{ mM}$ ; crossflow velocity:  $2.18 \text{ m}\cdot\text{s}^{-1}$  for the 5 and 30 kDa membranes and  $4.2 \text{ m}\cdot\text{s}^{-1}$  for the 15 kDa membrane).

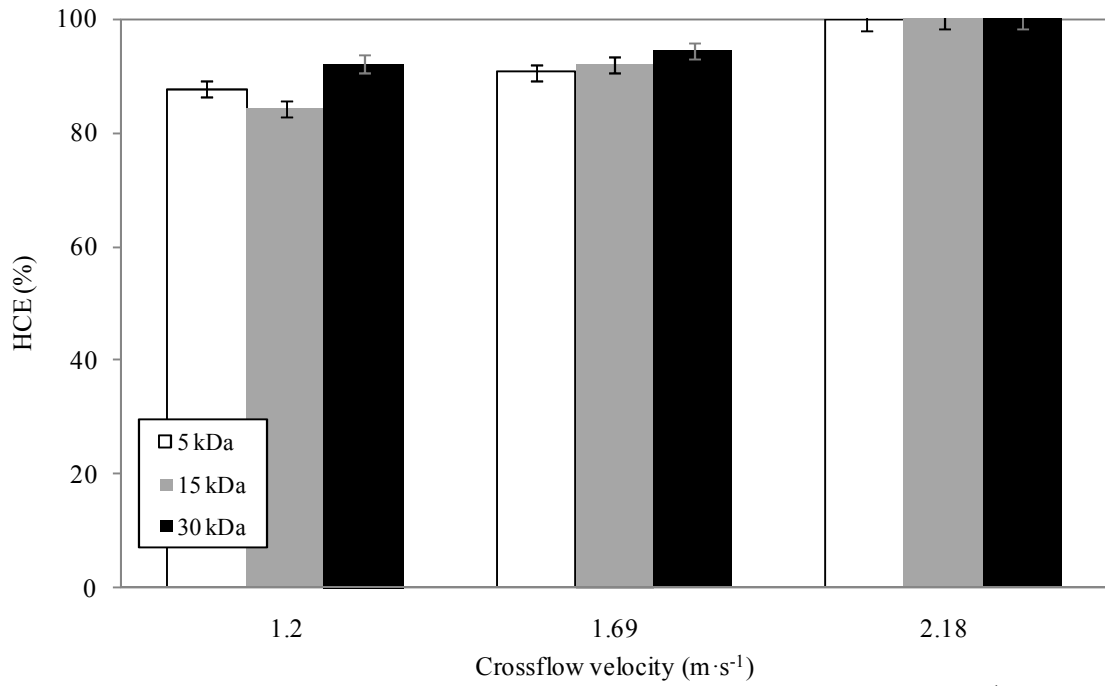


Fig. 5. Effect of crossflow velocity on HCE (WPC concentration: 22.2 g·L<sup>-1</sup>; NaCl concentration: 5 mM; temperature: 80 °C).

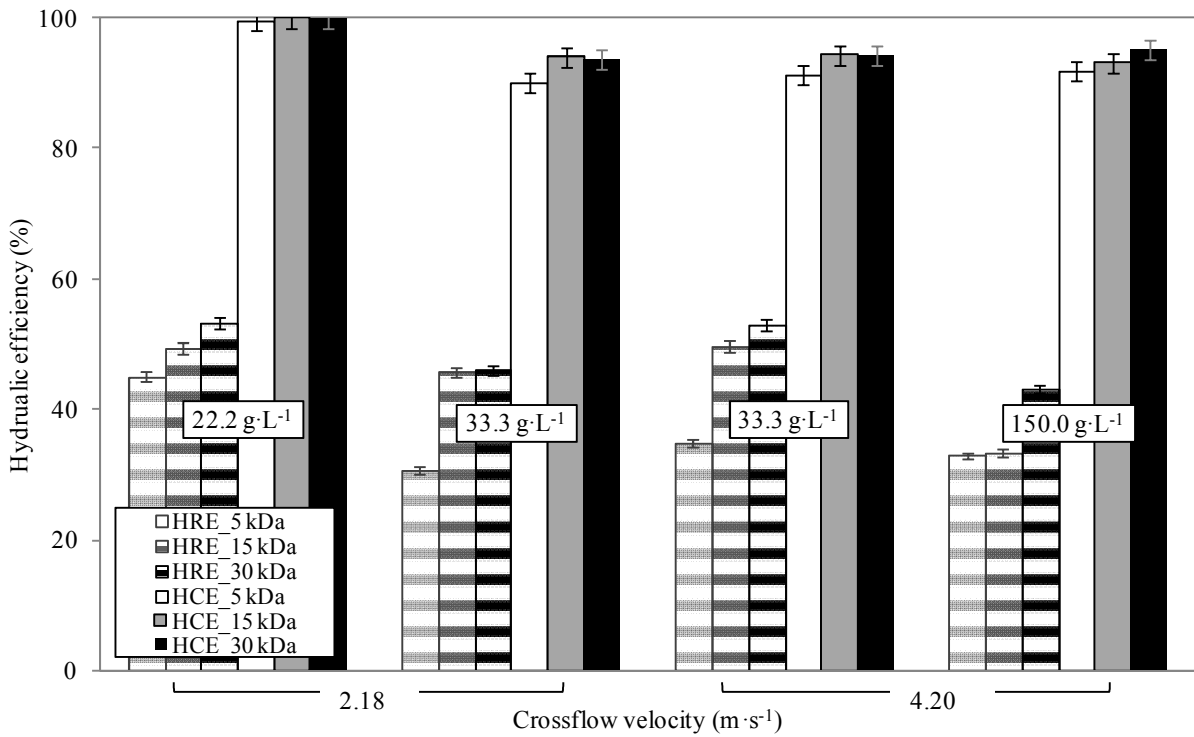


Fig. 6. Effect of WPC concentration during fouling step on HRE and HCE at different crossflow velocities (NaCl concentration: 5 mM; temperature: 80 °C).

**Table 1.**  
Composition of the commercial Renylat WPC used.

Component	Weight percentage in dry basis (% w/w)
Dry matter	93.66 ± 0.95
Proteins	40.74 ± 0.79
Lactose	38.27 ± 0.49
Fat	8.14 ± 0.20
Ash	7.85 ± 0.07
Ca	0.79 ± 0.06
Na	1.21 ± 0.09
K	1.42 ± 0.02
Cl	4.07 ± 0.24
PO <sub>4</sub> -P	0.37 ± 0.03