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Additional Information

## Dense nanostructured zirconia compacts obtained by colloidal filtration of binary mixtures

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### Abstract

As starting materials two commercial nanosized zirconias doped with 3 mole% of Y<sub>2</sub>O<sub>3</sub> were used: a powder of about 100 nm (TZ3YE, Tosoh, Japan) and a colloidal suspension of about 15 nm (Mel Chemicals, UK). Colloidal stability in water was studied for both zirconias in terms of zeta potential as a function of deflocculant concentration and pH. Concentrated suspensions were prepared by dispersing the powder in the colloidal suspension to solids loadings ranging from 5 to 30 vol.% using a sonication probe to achieve dispersion. The rheological behavior was optimized in terms of solids content, deflocculant content and sonication time. Optimized suspensions with up to 25 vol.% solids showed a nearly Newtonian behavior and extremely low viscosities and maintain stable for long times (days) which is an important drawback of conventional nanoparticle suspensions. Samples obtained by slip casting in plaster moulds were used for dynamic sintering studies and dense, nanostructured specimens were obtained at temperatures of 1300-1400°C.

Keywords: Y-TZP, Suspensions, Rheology, Nanoparticles

### Introduction

Yttria stabilized tetragonal zirconia polycrystalline (YTZP) ceramics are used in a variety of structural and functional applications due to their high strength, high toughness and good wear resistance over a wide temperature range.<sup>1-3</sup> As a biomaterial

1 the most important application of Y-TZP was related to the manufacture of ball heads  
2 for total hip replacement, although this application has been stopped and it has received  
3 a growing interest for dental applications.<sup>4,5</sup>  
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5 Y-TZP ceramics belong to the family of transformation toughened ceramics,<sup>6,7</sup>  
6 which main feature consists in a microstructure completely formed by metastable  
7 tetragonal grains at room temperature that can undergo transformation to monoclinic.  
8 This is accomplished by a volume increase of 3-4 vol.%, which is the origin of a  
9 compressive stress field that operates inversely to the tensile stress that leads the crack  
10 and stops it. Factors affecting the phase transformation volume are the grain size of the  
11 tetragonal phase, the amount of stabilizer, and the restraining conditions.<sup>8-10</sup> One  
12 important feature of Y-TZP is the superplasticity, that is, the capacity of a polycrystal to  
13 support great elongation at high temperature and low stress.<sup>11,12</sup>  
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22 TZP materials were largely studied over the 80's and 90's, where the main  
23 objective was to obtain fully dense tetragonal zirconia at typical temperatures of 1400°-  
24 1500°C. Typical grain sizes of the sintered materials were by 0.5-2.0  $\mu\text{m}$ , depending on  
25 sintering temperature, holding time, and physicochemical properties of the starting  
26 powders.<sup>8,9,13,14</sup> Fracture toughness as high as 12-14  $\text{MPa}\cdot\text{m}^{1/2}$  were obtained and  
27 hardness values were by 12-14 GPa.  
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32 Moreover, a great effort was devoted to the production of pure and controlled  
33 Y-TZP powders in order to obtain dense, fine grained materials at lower sintering  
34 temperatures. Different chemical routes, such as sol-gel technology, coprecipitation  
35 methods, etc., were optimized to achieve dense materials by pressureless sintering  
36 methods.<sup>15,16</sup> Nanostructured ceramics display a range of enhanced properties compared  
37 to those obtained with submicrometer counterparts.<sup>17,18</sup> However the processing of  
38 nanopowders and its further densification to obtain nanostructured dense is difficult  
39 because of the strong tendency to agglomeration and spontaneous grain growth during  
40 sintering.<sup>19,20</sup> To overcome the densification problems pressure assisted sintering  
41 techniques have been used, such as hot pressing, and more recently, electric field-  
42 assisted sintering (FAST), also known as spark plasma sintering (SPS)<sup>21,22</sup> Other  
43 authors have investigated the possibility of using two-step sintering to achieve high  
44 densities while maintaining fine grain sizes.<sup>23-25</sup>  
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56 Colloidal processing is a powerful way for the processing of nanoparticles that  
57 can be maintained far apart each other using deflocculants that help to control the  
58 interparticle forces during all processing stages.<sup>26-28</sup> Thus, the colloidal approach has  
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1 demonstrated its suitability for the production of uniform, dense green bulk bodies from  
2 nanopowders.<sup>29,30</sup>

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4 The synthesis and colloidal processing of nanozirconia powders has been largely  
5 studied.<sup>31-35</sup> Some of these studies have reported the isoelectric point of different  
6 powders and the effect of different kinds of deflocculants on the stability, as well as the  
7 rheological behavior of concentrated suspensions. In these studies, the dispersion with  
8 anionic polyelectrolytes like those based on polyacrylic acid,<sup>32,33</sup> cationic  
9 polyelectrolytes like polyethylenimine,<sup>34</sup> or rhamnolipid biosurfactants,<sup>35</sup> for example,  
10 have been described in detail for different nanozirconias with average sizes ranging  
11 from 15 to 60 nm.  
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18 The aim of this work was to study the colloidal processing and the rheological  
19 behavior of concentrated bimodal suspensions consisting of a mixture of a commercial  
20 nanosized powder of Y-TZP and a colloidal suspension of undoped zirconia to produce  
21 homogeneous green bodies with high density by slip casting. The obtained compacts  
22 were sintered to high density while maintaining controlled grain size.  
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## 28 **Experimental**

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32 As starting materials two commercial nanosized zirconias doped with 3 mole%  
33 of Y<sub>2</sub>O<sub>3</sub> were used: a powder (TZ3YE, Tosoh, Japan), and a colloidal suspension (Mel  
34 Chemicals, UK). The former is a powder supplied in the form of spherical granules with  
35 a typical diameter below 100 nm. The last is formed by nanoparticles of 15 nm and it is  
36 supplied as an aqueous colloidal suspension with pH ~ 3, and a solids content of 5  
37 vol.% (23 wt%).  
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44 The physicochemical characteristics of the TZ3Y powder are the following. The  
45 specific surface area, determined using the single-point BET method ((Monosorb  
46 Surface Area Analyser, MS-13, Quantachrome Corporation, Boynton Beach, USA)  
47 after degassing at 150 °C, was  $14.5 \pm 0.5 \text{ m}^2/\text{g}$ . The density (measured by He-  
48 pycnometry, with a Multipycnometer, Quantachrome Co., USA), was  $5.9 \pm 0.2 \text{ g/cm}^3$ .  
49 The BET diameter calculated from the surface area was 70 nm. The colloidal  
50 suspension contains particles with an average particle size of 15 nm, and the specific  
51 surface area of dried powders was  $\sim 15 \text{ m}^2/\text{g}$ . The thermo-gravimetric analysis of the  
52 TZ3Y nanopowders revealed a weight loss of ~ 0.5%, whereas the dry solids obtained  
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1 from the colloidal suspension revealed a weight loss of ~ 6%, thus demonstrating the  
2 presence of some organic additive that could difficult the adsorption of deflocculants.  
3 The morphology of the as-received powder was observed by field emission gun  
4 scanning electron microscopy (FE-SEM, Hitachi S-4700 type I, Tokyo, Japan), and  
5 transmission electron microscopy (TEM, H7100, Hitachi, Japan). The crystalline phases  
6 were identified by X-ray Diffraction (XRD) (Bruker D8 Advance, Karlsruhe, Germany)  
7 and using the Garvie's approach the ratio of tetragonal phase (density = 6.07 g/cm<sup>3</sup>,  
8 ASTM 83-113) and monoclinic phase (density = 5.82 g/cm<sup>3</sup>, ASTM 37-1484), was  
9 found to be 82/18 the resulting density being 6.01 g/cm<sup>3</sup>. Thermogravimetric analysis  
10 was performed using a Netzsch apparatus (STA 409, Germany).  
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18 All suspensions were prepared in deionised water. The colloidal stability of the  
19 nanosuspensions was studied measuring the zeta potential as a function of pH using a  
20 Zetasizer NanoZS instrument (Malvern, UK), based in the laser Doppler velocimetry  
21 technique. Different dilutions were tested to measure the zeta potential with the best  
22 accuracy, which was reached for a concentration of 0.01 wt%, using KCl 10<sup>-2</sup> M as inert  
23 electrolyte. pH values were determined with a pH-meter (716 DMS Titrino, Metrohm,  
24 Switzerland) and were adjusted with HCl and KOH solutions (10<sup>-2</sup> M). To improve the  
25 dispersion state, some sonication times were tested using an ultrasounds probe (UP  
26 400S, Dr. Hielscher GmbH, Germany) in order to avoid agglomerates. A sonication  
27 time of 2 min was used for the preparation of diluted suspensions for zeta potential  
28 measurements.  
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38 According to previous tests, the TZ3Y suspension can be prepared to high solids  
39 loadings without any deflocculant. However, to enhance the dispersion of the powder in  
40 the colloidal suspension a polyacrylic-acid based deflocculant (Duramax D3005, Rohm  
41 & Haas, USA) was successfully added to concentrations of 1, 2, and 3 wt% on a dry  
42 solids basis.  
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47 The rheological behaviour of the TZ3Y nanopowder was optimized for a solids  
48 loading of 30 vol.% (72 wt%). Then, suspensions of the mixtures (Mel + Tosoh) were  
49 prepared by adding the TZ3Y nanopowder into the colloidal suspension to final solids  
50 loadings of 10, 15, 20, 25, and 30 vol.% (i.e. 40, 51, 60, 66, and 72 wt.%, respectively).  
51 The rheological behaviour of these nanosuspensions was determined using a rheometer  
52 (Haake RS50, Thermo, Karlsruhe, Germany) operating at controlled shear rate (CR) by  
53 loading the shear rate from 0 to 1000 s<sup>-1</sup> in 5 min, maintaining at 1000 s<sup>-1</sup> for 1 minute  
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1 and uploading from 1000 to 0 in 5 min. The measurements were performed at 25°C  
2 using a double-cone and plate system.

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4 These suspensions were slip cast in plaster moulds to obtain discs with 20 mm in  
5 thickness. Green densities were measured by Hg immersion after drying for 48 h. Static  
6 sintering experiments were done to temperatures of 1300, 1350 and 1400°C with  
7 heating and cooling rates of 5°C/min. The annealing time selected was 2h. The  
8 microstructures of diamond polished (down to 1 µm) samples were characterized by  
9 field emission gun-scanning electron microscopy. The crystallinity of the sintered  
10 specimens was determined by X-ray diffraction (XRD) (Bruker Advance D8, USA)  
11 with Cu K $\alpha$  radiation. The sintered density was measured by Archimedes' method in  
12 water.  
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## 22 **Results and discussion**

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25 Figure 1 shows the morphology of the starting powders observed by TEM. The  
26 colloidal suspension shows regular, well-dispersed particles of nanometric size and  
27 without large agglomerates. The powder TZ3Y is supplied in the form of spherical  
28 granules with nanometric size (< 100 nm) in good agreement with the calculated BET  
29 mean diameter. TEM pictures show that primary particles are readily dispersed with  
30 some small agglomerates formed by several units.  
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36 The variation of zeta potential of TZ3Y powders as a function of pH is shown in  
37 figure 2 for suspensions dispersed with different concentrations of deflocculant. The  
38 isoelectric point (IEP) occurs at pH 3.2, and the zeta potential values are higher than 30  
39 mV at pH > 7. The addition of PAA shifts the isoelectric point very slightly, until pH  
40 2.6 for 3 wt% PAA. However, high absolute zeta potential values are reached at pH  $\geq$  4.  
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46 The variation of zeta potential versus pH for the colloidal suspension (MEL)  
47 without PAA and with PAA contents of 1, 2, and 3 wt% is shown in figure 3. The  
48 isoelectric point without adsorbed deflocculant occurs at pH 6.5, and decreases to near  
49 6, 3.5, and 2.5 for 1, 2, and 3 wt% PAA, respectively. In addition to zeta potential, the  
50 particle size distribution of the colloidal suspensions was determined for all dispersing  
51 conditions. Figure 4 shows the variation of average size as a function of pH for  
52 suspensions prepared with different PAA contents. It can be observed that for any  
53 deflocculant content the particle size strongly increases at a pH value that corresponds  
54 very accurately with the corresponding IEP. This illustrates the agglomeration of  
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1 particles occurring at the vicinity of the IEP. It is also observed that the values of these  
2 maxima are lower as the PAA content increases thus demonstrating that the  
3 agglomeration level is lower for higher PAA concentration.  
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5 Concentrated suspensions of TZ3Y powder were prepared to solids loadings of  
6 30 vol.% without PAA and with 1 wt% PAA. For both concentrations the effect of  
7 sonication time on suspension stability was studied. Figures 5 and 6 show the flow  
8 curves of suspensions without and with PAA, respectively, homogenized by mechanical  
9 mixing without ultrasounds first, and with sonication times of 2, 4, and 6 min. In both  
10 cases there is a similar tendency according to which the suspensions prepared without  
11 ultrasounds exhibit a high viscosity and a broad thixotropic cycle, demonstrating that  
12 these suspensions have a complex behavior and particles develop some structure at rest.  
13 The application of ultrasounds strongly reduces both the viscosity and the time  
14 dependency, which becomes negligible in the case of suspensions without PAA. The  
15 comparison of both figures clearly shows that the addition of PAA increases both the  
16 viscosity and the thixotropy, that is, it has a deleterious effect on the suspensions  
17 stability.  
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19 According to these results concentrated suspensions of mixtures of both types of  
20 zirconia (colloidal and powder) were prepared without any deflocculant addition.  
21 Suspensions were prepared by adding TZ3Y powder to the colloidal suspension. The  
22 colloidal suspension has a solids content of 5 vol.% and TZ3Y powder was added until  
23 total zirconia concentrations of 10, 15, 20, and 30 vol.%. As in the case of TZ3Y  
24 suspensions the effect of sonication on the rheological behavior was studied. Figures 7  
25 and 8 show the flow curves for the different solids loading for as-prepared suspensions  
26 without sonication and the same suspensions homogenized with 2 min US.  
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28 Suspensions prepared by mechanical mixing without sonication had some  
29 thixotropy above solid concentrations of 15 vol.%. However, optimized suspensions,  
30 i.e. those prepared with 2 min sonication, showed a nearly Newtonian behavior,  
31 excepting the most concentrated one that exhibited a shear thinning response with a  
32 broad thixotropic cycle. In spite of the high solids loadings achieved for these  
33 suspensions of nanoparticles viscosities are extremely low, thus facilitating shape  
34 forming. It is worthy to note that the pH value increased progressively from 2.8 to 5.2  
35 as the solids loading increased from 5 to 30 vol.%, but the application of ultrasounds did  
36 not change the pH.  
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1 From these curves it is possible to draw the variation of viscosity with solids  
2 loading, as it is shown in figure 9 for suspensions with and without sonication.  
3 Viscosity values were taken at a shear rate of  $1000 \text{ s}^{-1}$ , which can be assimilated to  
4 infinite shear rate extrapolation. The non-sonicated suspensions show an increase of  
5 viscosity at very low solids loadings, whereas suspensions sonicated for 2 min maintain  
6 very low viscosity until the maximum solids loading, where a sharp increase is also  
7 detected.  
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12 Sonication has an important effect on the rheological behavior of the  
13 suspensions and changes significantly their aging behavior. Figure 10 compares the  
14 variation of viscosity with aging time for suspensions with 25 vol.% solids prepared  
15 without and with 2 min sonication. Viscosity significantly increases for non-sonicated  
16 suspensions but maintains nearly constant for sonicated suspensions, thus meaning that  
17 the stability of these suspensions is preserved for longer times. This is an important  
18 point as it is frequently observed that concentrated suspensions of nanoparticles  
19 destabilize after short aging times of even a few hours.  
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27 These suspensions were slip cast and dried before sintering tests. The green  
28 density of the cast bodies seems to slightly increase with solids content from 55% to  
29 56.8% of theoretical. In figure 11 the FEG-SEM microstructure of specimens treated at  
30 temperatures of  $1300^\circ$ ,  $1350^\circ$ , and  $1400^\circ\text{C}$  are shown. The XRD patterns for the sintered  
31 specimens showed that tetragonal phase was the unique phase detected, Y-TZP. The  
32 particle size maintains in the nanometer size region, but a clear tendency to coarsening  
33 with increasing sintering temperature is observed. The average grain size (evaluated by  
34 the intercepting line method) increases from  $160 \pm 15 \text{ nm}$  to  $180 \pm 30 \text{ nm}$  and  $230 \pm 60$   
35  $\text{nm}$  for temperatures of  $1300^\circ$ ,  $1350^\circ$  and  $1400^\circ\text{C}$ . As it can be seen not only the average  
36 size but also the deviation increases, that is, the distribution becomes broader as coarse  
37 grains are being formed at expenses of the smallest grains.  
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## 49 **Conclusions**

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53 The preparation of dense Y-TZP nanostructured ceramics has been studied using  
54 two nanosized zirconia powders with different particles sizes. The colloidal stability  
55 was studied through zeta potential measurements using diluted suspensions and  
56 optimizing the rheological behavior of concentrated suspensions in terms of  
57 deflocculant contents, pH and sonication time. Suspensions of the mixtures were  
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1 prepared by adding the TZ3Y nanopowder into the colloidal suspension up to final  
2 solids loadings of 30 vol.% (i.e. 72 wt.%) using 2 min sonication time and then cast into  
3 plaster moulds. Dense specimens with controlled size and homogeneous microstructure  
4 were obtained after sintering at temperatures of 1300°, 1350° and 1400°C.  
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## 8 **Acknowledgements**

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Figure 1. Morphology of the starting zirconia powders as observed by transmission electron microscopy: a) colloidal zirconia Mel, b) Y-TZP powder Tosoh

Figure 2. Variation of zeta potential of TZ3Y suspension (Tosoh) as a function of pH for suspensions dispersed with different concentrations of deflocculant.

Figure 3. Variation of zeta potential of colloidal zirconia (Mel) as a function of pH for suspensions dispersed with different concentrations of deflocculant.

Figure 4. Variation of mean particle size of colloidal zirconia (Mel) as a function of pH for suspensions dispersed with different concentrations of deflocculant.

Figure 5. Flow curves of 30 vol.% suspensions of TZ3Yzirconia (Tosoh) without deflocculant prepared without and with sonication for 2, 4, and 6 min.

Figure 6. Flow curves of 30 vol.% suspensions of TZ3Yzirconia (Tosoh) with 1 wt% of deflocculant prepared without and with sonication for 2, 4, and 6 min.

Figure 7. Flow curves suspensions of mixtures of TZ3Yzirconia (Tosoh) and colloidal zirconia (MEL) prepared without sonication to different solids loadings (5-30 vol.% ).

Figure 8. Flow curves suspensions of mixtures of TZ3Yzirconia (Tosoh) and colloidal zirconia (MEL) prepared with 2 min sonication to different solids loadings (5-30 vol.%).

Figure 9. Variation of high shear viscosity of suspensions of the mixtures as a function of solids loading, as-prepared and after 2 min sonication.

Figure 10. Variation of viscosity with aging time for suspensions with 25 vol.% solids prepared without and with 2 min sonication.

Figure 11. FEG-SEM microstructure of cast specimens sintered at temperatures of 1300°C (a), 1350°C (b), and 1400°C (c).

**Figure**

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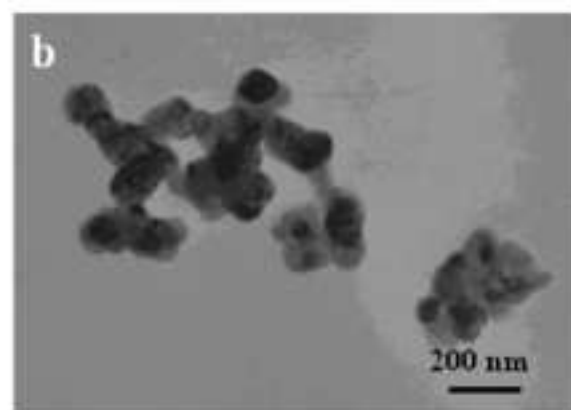
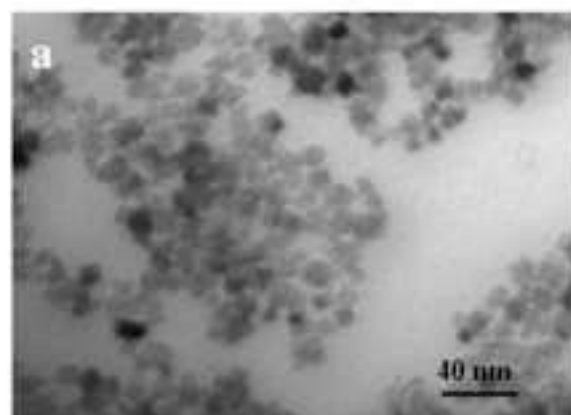


Figure 1. Morphology of the starting zirconia powders as observed by transmission electron microscopy: a) colloidal zirconia Mcl, b) Y-TZP powder Tosoh

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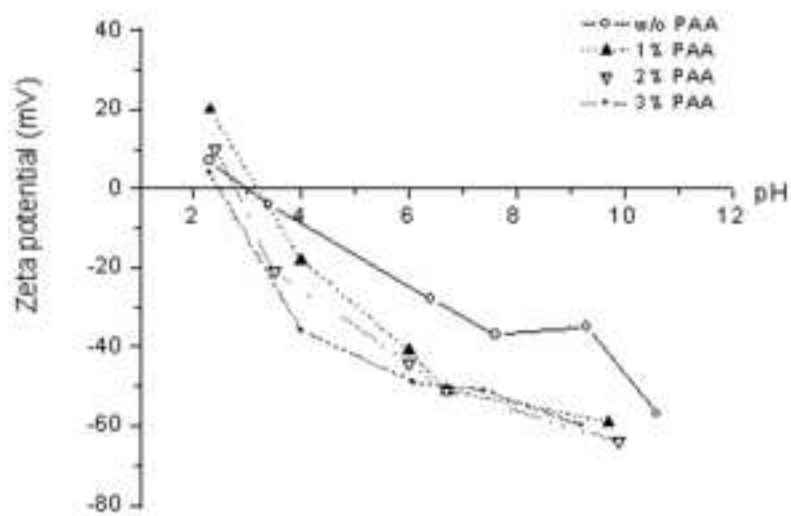


Figure 2. Variation of zeta potential of TZ3Y suspension (Tosoh) as a function of pH for suspensions dispersed with different concentrations of deflocculant.

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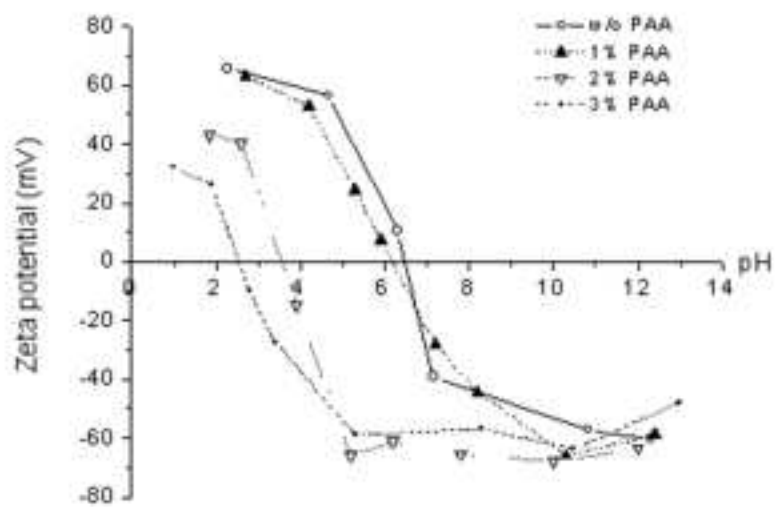


Figure 3. Variation of zeta potential of colloidal zirconia (Mel) as a function of pH for suspensions dispersed with different concentrations of deflocculant.



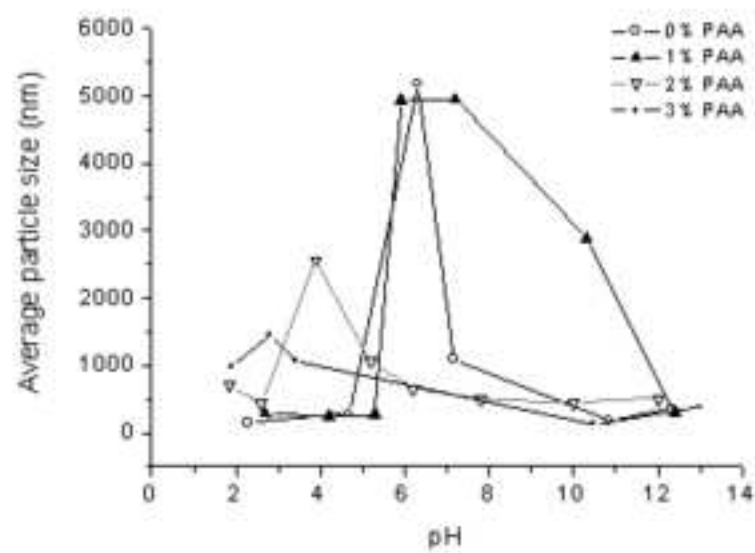
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Figure 4. Variation of mean particle size of colloidal zirconia (Mel) as a function of pH for suspensions dispersed with different concentrations of deflocculant.

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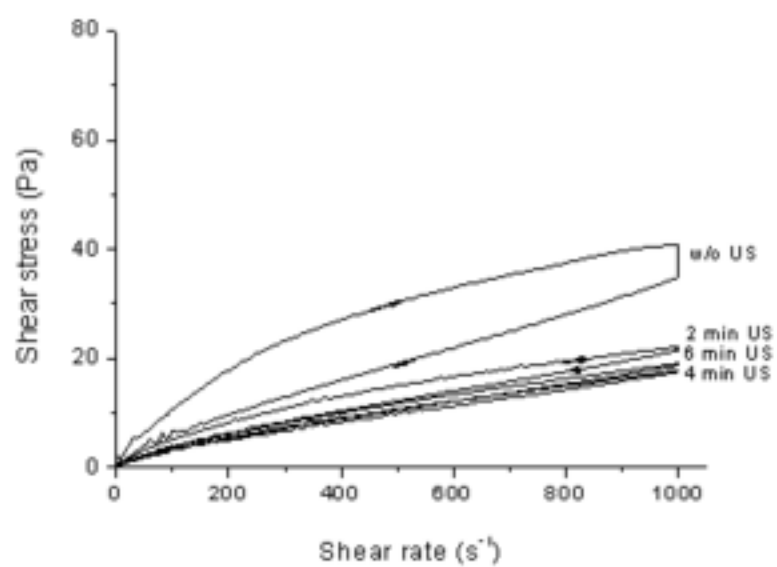


Figure 5. Flow curves of 30 vol.% suspensions of TZ3Y zirconia (Tosoh) without deflocculant prepared without and with sonication for 2, 4, and 6 min.

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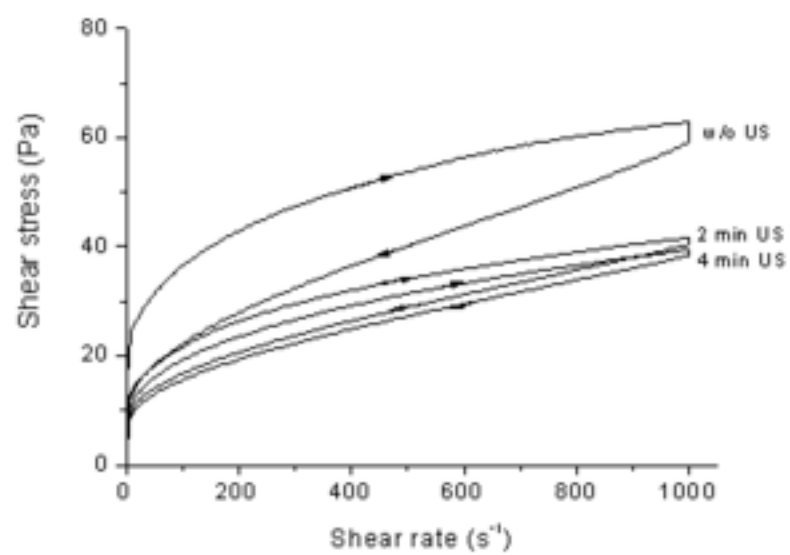


Figure 6. Flow curves of 30 vol.% suspensions of TZ3Y zirconia (Tosoh) with 1 wt% of deflocculant prepared without and with sonication for 2, and 4 min.

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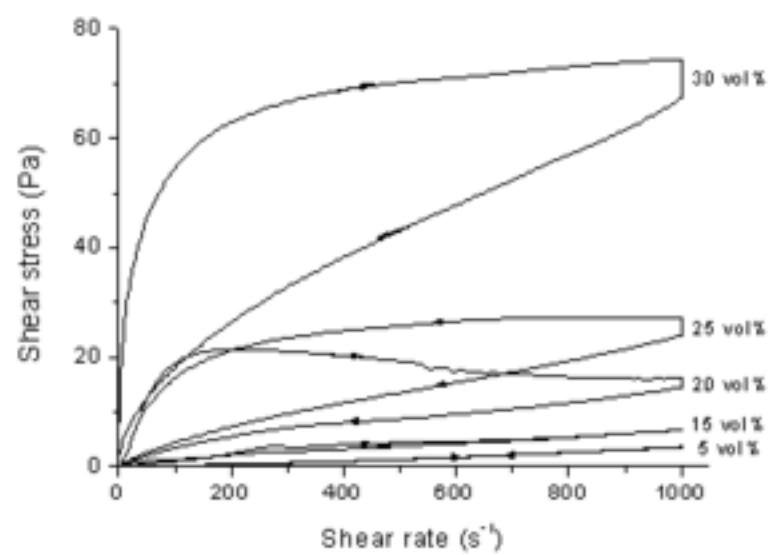


Figure 7. Flow curves suspensions of mixtures of TZ3Y zirconia (Tosoh) and colloidal zirconia (MEL) prepared without sonication to different solids loadings (5-30 vol.%).

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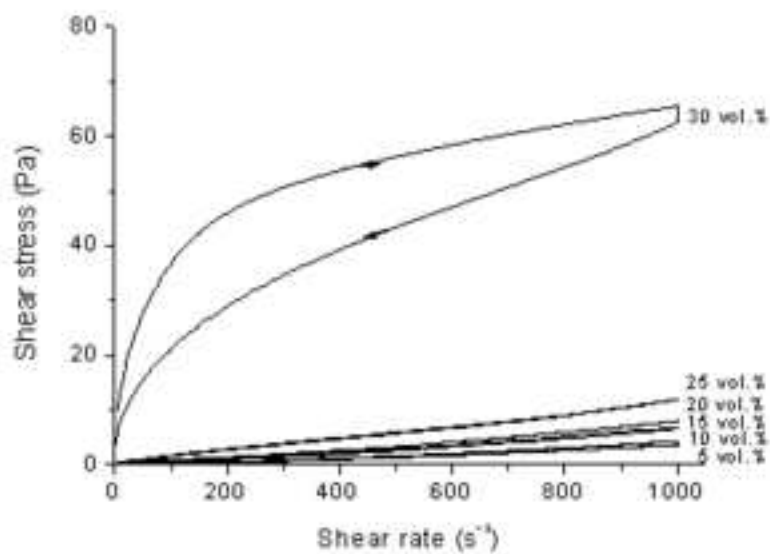


Figure 8. Flow curves suspensions of mixtures of TZ3Y zirconia (Tosoh) and colloidal zirconia (MEL) prepared with 2 min sonication to different solids loadings (5-30 vol.%).

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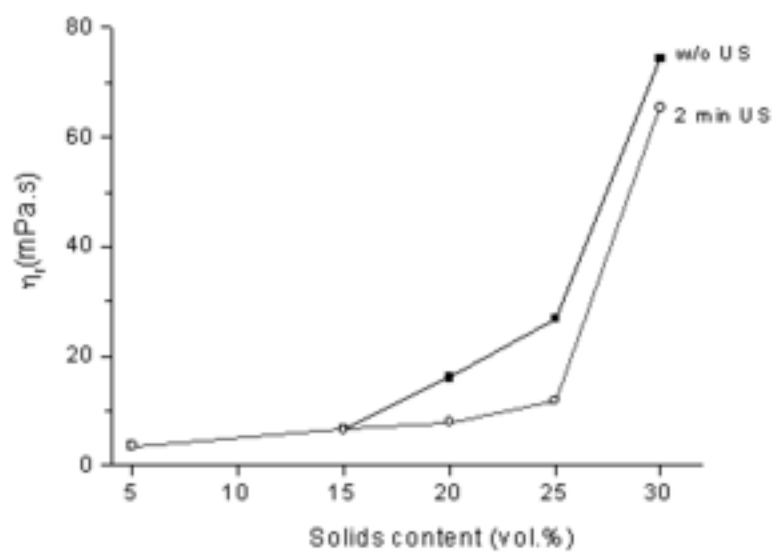


Figure 9. Variation of high shear viscosity of suspensions of the mixtures as a function of solids loading, as-prepared and after 2 min sonication (shear rate,  $1000 \text{ s}^{-1}$ ).

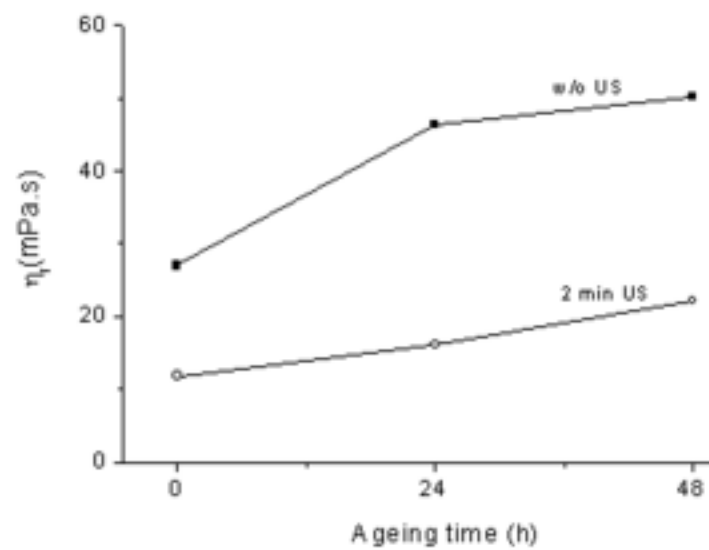
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Figure 10. Variation of viscosity with aging time for suspensions with 25 vol.% solids prepared without and with 2 min sonication (shear reate,  $1000 \text{ s}^{-1}$ ).

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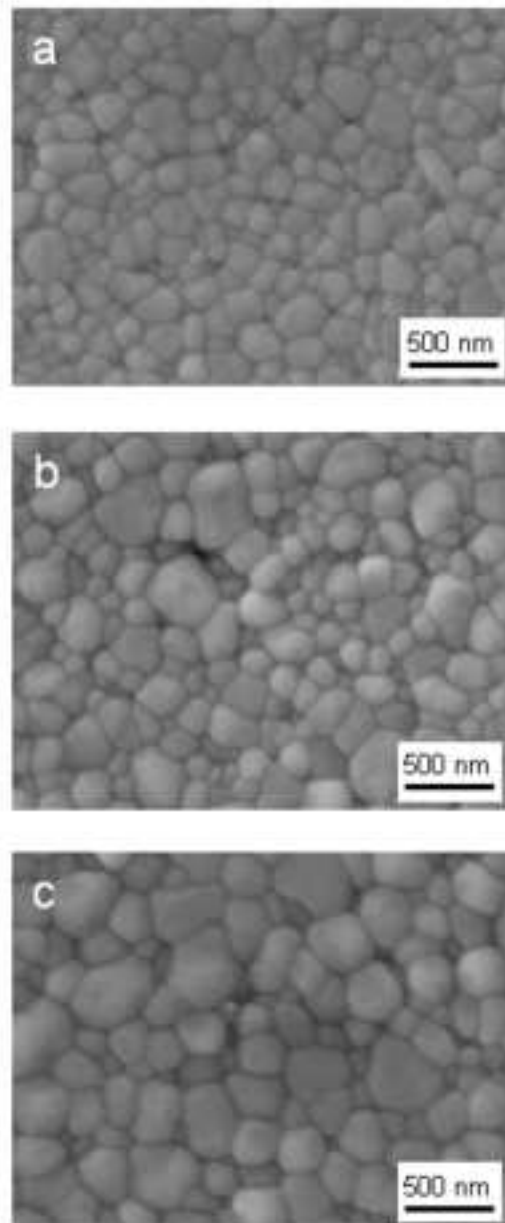


Figure 11. FEG-SEM microstructure of cast specimens sintered at temperatures of 1300°C (a), 1350°C (b), and 1400°C (c).