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Additional Information

1 **IMPROVEMENT OF CONVECTIVE DRYING OF CARROT BY APPLYING POWER**
2 **ULTRASOUND. INFLUENCE OF MASS LOAD DENSITY.**

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19 **ABSTRACT**

20 Power ultrasound is considered to be a novel and promising technology with which to improve
21 heat and mass transfer phenomena in drying processes. The aim of this work was to contribute
22 to the knowledge of ultrasound application to air drying by addressing the influence of mass
23 load density on the ultrasonically assisted air drying of carrot. Drying kinetics of carrot cubes
24 were carried out (in triplicate) with or without power ultrasound application (75 W, 21.7 kHz) at
25 40 °C, 1 m/s and several mass load densities: 12, 24, 36, 42, 48, 60, 72, 84, 96, 108 and 120
26 kg/m³. The experimental results showed a significant ($p < 0.05$) influence of both factors, mass
27 load density and power ultrasound application, on drying kinetics. As expected, the increase of
28 mass load density did not affect the effective moisture diffusivity (D_e , m²/s) but produced a
29 reduction of the mass transfer coefficient (k , kg water/m²/s). This was explained by considering
30 perturbations in the air flow through the drying chamber thus creating preferential pathways
31 and, as a consequence, increasing external mass transfer resistance. On the other hand, it was
32 found that the power ultrasound application increased the mass transfer coefficient and the
33 effective moisture diffusivity regardless of the mass load density used. However, the influence
34 of power ultrasound was not significant at the highest mass load densities tested (108 and 120
35 kg/m³), which may be explained from the high ratio (acoustic energy/sample mass) found under
36 those experimental conditions. Therefore, the application of ultrasound was considered as a
37 useful technology with which to improve the convective drying, although its effects may be
38 reduced at high mass load densities.

39 **Keywords: High intensity ultrasound, dehydration, modeling, effective diffusivity.**

40 1. INTRODUCTION

41 Nowadays, the efficient use of energy appears to be a must. Applying power ultrasound in order
42 to accelerate the mass transfer process is a promising technology with which to improve yields
43 or reduce energy demand [1, 2]. Ultrasonic applications in solid-liquid systems are the most
44 commonly employed in food processing [1,3], and among the most recent may be found the
45 extraction of natural products [4, 5], osmotic dehydration [6] and meat [7] and cheese brining [8]
46 or their application as pre-treatment in solid-liquid systems prior to the air drying of fruits [9-12]
47 and vegetables [13]. Ultrasound applications in solid-gas systems are less frequent due to some
48 practical difficulties involving energy transfer. The high impedance mismatch between the
49 application systems and the air, which makes the acoustic wave transmission difficult, and the
50 high attenuation of the air must be considered [14,15].

51 Acoustic energy assisted food drying constitutes an application of power ultrasound in solid-gas
52 systems. The improvements associated with acoustic energy may involve several effects that
53 lead to an increase in mass transfer during convective drying [16]. The effects may be classified
54 according to their influence on external and/or internal resistance to mass transfer. On the one
55 hand, boundary layer thickness may be reduced by pressure variations, oscillating velocities
56 and microstreaming affecting the solid-gas interfaces. The aforementioned effects would involve
57 an improvement of the water transfer rate from the solid surface to the air medium [17]. On the
58 other hand, internal water transfer may be mainly affected by alternating expansion and
59 compression waves produced by ultrasound in the material (a phenomenon referred to as the
60 "sponge effect"). Ultrasound energy causes cavitation which may also affect the strongest
61 attached moisture in the solid matrix [18]. Despite the promising effects produced by applying
62 ultrasound in drying processes, the technical drawback of the application has made the full
63 development of ultrasonic drying very difficult, as demonstrated by the fact that there is very
64 little published in this issue.

65 Early references using sonication to improve the dehydration process date from the middle of
66 the XX Century, promoted by the interest in the drying of heat sensitive materials [19], due to
67 the limited heating effect of ultrasound on gas systems. Borisov and Ginkina [20] reported a
68 series of experiments carried out in the Academy of Science of the USSR to determine the
69 influence of the main process variables using fluid driven transducers. Da Mota and Palau [21]

70 used a siren system to improve onion drying. A low frequency (1.6 and 3.2 kHz) was used in
71 these experiments to partially avoid the acoustic energy attenuation; this action however, may
72 involve an intense noise that could be an obstacle to its use.

73 Subsequent attempts have been made to develop new strategies for reducing not only
74 attenuation but also impedance mismatch during drying. In this sense, Gallego-Juarez et al. [14]
75 developed a stepped plate ultrasonic transducer to apply power ultrasound during drying.
76 Prototypes were developed for the 10-40 kHz frequency range and power capacities of between
77 100 W and 1 kW for the application of airborne ultrasonic energy in different processes. For
78 both forced-air dehydration assisted by airborne ultrasound and by direct coupling of the
79 ultrasonic vibration to the vegetable, circular and rectangular plate transducers with a power
80 capacity of about 100 W were used to dehydrate carrots, potatoes and mushrooms [17, 22].
81 When there was direct contact between the vibrating elements and the materials being dried a
82 very intense effect was observed, which even increased when applying a low static pressure.
83 The effect of power ultrasound on drying was reduced when the application was carried out
84 using an air borne technique. The application to traditional convective drying technologies
85 constitutes the main drawback of direct contact systems. Therefore, more in-depth research is
86 needed to develop efficient ultrasonic devices that can be applied in drying.

87 A new air borne ultrasonic device was developed and described in previous works [23]. The
88 design was based on the idea of using the drying chamber as the element to irradiate acoustic
89 energy to the material being dried. In this way, no additional elements are needed to apply
90 ultrasound during drying. The system has been found to be very effective at improving the
91 drying rate of different products. Nevertheless, the ultrasonic effects depended on the
92 magnitude of the process variables, such as air velocity [24, 25] and temperature [26], applied
93 acoustic energy [27] and product properties [25]. As a consequence, the study of the influence
94 of the process variables on the acoustic application during drying may be considered a relevant
95 subject for research.

96 The main aim of this work was to address the influence of the mass load density on the acoustic
97 drying of carrot.

98

100 **2. MATERIALS AND METHODS**

101 **2.1. Ultrasonic assisted convective drier**

102 Drying experiments were carried out using an ultrasonic assisted convective drier (Fig. 1). It
103 involves a pilot scale convective drier [28] modified to apply ultrasound. The main modification
104 was found in the drying chamber, since the original one (made of methacrylate) was replaced
105 by an air borne ultrasonic device. It includes an aluminum vibrating cylinder (internal diameter
106 100 mm, height 310 mm and thickness 10 mm) (number 10, Fig. 1) driven by a piezoelectric
107 composite transducer (21.8 kHz) (number 9, Fig. 1). This device was able to generate a high-
108 intensity ultrasonic field in the air medium, reaching an average sound pressure level of 154.3
109 dB in stagnant air conditions. An impedance matching unit (number 13, Fig. 1) was included to
110 electrically optimize the electric signal generated at high frequency (number 15, Fig. 1). The
111 most important electrical parameters of the acoustic signal (voltage, intensity, frequency, power
112 and phase) were measured using a digital power meter (WT210, Yokogawa, Japan) (number
113 14, Fig. 1) and logged using an application developed on LabVIEW™ (National Instruments).
114 Samples were placed in the drying chamber using a device made up of 10 trays (84 mm in
115 diameter) 34 mm apart and made of a square wire mesh (side 3 mm) (number 11, Fig. 1). The
116 dimensions were chosen in order to avoid any perturbation in the acoustic field. The device is
117 hooked by its central axis to a sample loading chamber (number 6, Fig. 1) made of methacrylate
118 (diameter 100 mm and height 100 mm). A sponge was used as coupling material (number 7,
119 Fig. 1) and placed between the vibrating cylinder and the sample loading chamber in order to
120 achieve an optimal vibration mode and avoid air losses.
121 The drier operated automatically; the air velocity and temperature were controlled using a PID
122 algorithm. A balance (number 12, Fig. 1) wired to the sample loading chamber allowed the
123 samples to be weighed at preset times by using two pneumatic moving arms (number 8, Fig. 1).
124 The drying air was deviated from the drying chamber during weighing by using a 3-way valve
125 (number 4, Fig. 1) to avoid any perturbation in the balance. A PC (number 16, Fig. 1)
126 supervised the whole process.

127

128

129

130 **2.2. Drying experiments**

131 Since carrot drying has been the matter of many published articles, it was chosen as the raw
132 material [29-31], and as such, it may be a reference material to evaluate the ultrasonic influence
133 on mass transfer processes. Carrots (*Daucus carota* var. Nantesa) were purchased in a local
134 market (Spanish origin). Cubic samples were obtained using a household tool. Carrot cubes
135 were sealed in plastic films to avoid moisture loss, and maintained at 4 ± 1 °C until processing
136 (storage time of under 24 hours).

137 The drying experiments of carrot cubes (side 8.5 mm) were carried out at 1 m/s and 40 °C (AIR
138 experiments). A moderate magnitude of both variables was chosen according to previous
139 results [24-26]. The use of high air velocities could disrupt the ultrasonic field preventing the
140 ultrasonic waves from reaching the samples [34,25]. High temperatures also reduce the relative
141 effect of ultrasound on drying rate [26] and could affect product quality. Thus, the mild drying
142 conditions chosen will lead not only to an increase in the drying kinetic produced by ultrasound
143 application, but also to the preservation of product quality and energy saving. Experiments were
144 carried out at several mass load densities; this variable was defined as the initial sample mass
145 per unit of volume of the drying chamber. Thus, 11 levels of mass load density were tested: 12,
146 24, 36, 42, 48, 60, 72, 84, 96, 108 and 120 kg/m³. In order to test the influence of power
147 ultrasound, a batch of experiments was carried out without ultrasound application (AIR
148 experiments) and another one with ultrasound (US experiments) by applying an electric power
149 of 75 W to the ultrasonic transducer during air drying (Fig. 1, number 9). AIR and US
150 experiments were replicated at least three times.

151 AIR and US experiments were also performed using carrot cubes (side 17 mm) to validate the
152 modeling subsequently described in Section 2.3. In these tests, the following experimental
153 conditions were maintained: mass load density of 36 kg/m³ at 40 °C and 1 m/s.

154 Before starting the experiments, the sealed samples were warmed for 15 min at the drying
155 temperature. Then, the samples were unwrapped, placed on the trays and inserted in the drying
156 chamber. Sample weight was measured at preset times (5 min).

157 The initial moisture content of carrots was determined according to AOAC method n^o 934.06
158 [32] at 70 °C and 200 mbar until constant weight.

159

160 2.3. Modeling

161 Water transfer during drying was described by considering the diffusion theory. The governing
162 equation (Eq. 1) was obtained not only by considering the temperature to be uniform, but also
163 the effective moisture diffusivity and sample volume during drying to be constant [33].

$$164 \frac{\partial W_p(x,y,z,t)}{\partial t} = D_e \left(\frac{\partial^2 W_p(x,y,z,t)}{\partial x^2} + \frac{\partial^2 W_p(x,y,z,t)}{\partial y^2} + \frac{\partial^2 W_p(x,y,z,t)}{\partial z^2} \right) \quad (\text{Eq. 1})$$

165 where W_p is the local moisture content (kg w/kg dry matter), D_e is the average effective moisture
166 diffusivity (m^2/s), t time (s) and x, y, z represent characteristic coordinates of cubic geometry.

167 In order to solve Eq. 1, uniform initial moisture content was assumed as the initial condition.
168 Three boundary conditions were derived from the solid symmetry. By considering previous
169 articles addressing the influence of air velocity on ultrasonic assisted air forced drying [24, 25], it
170 was concluded that, at low air velocities like the one considered in this work (1 m/s), the
171 external resistance to mass transfer needs to be considered to solve Eq. 1. As a consequence,
172 three additional boundary conditions arise (Eqs. 2 to 4) from the external resistance to mass
173 transfer in cubic geometry:

$$174 \quad t > 0 \quad x = L \quad -D_e \rho_{ds} \frac{\partial W_p(L,y,z,t)}{\partial x} = k(a_w(L,y,z,t) - \phi_{air}) \quad (\text{Eq. 2})$$

$$175 \quad t > 0 \quad y = L \quad -D_e \rho_{ds} \frac{\partial W_p(x,L,z,t)}{\partial y} = k(a_w(x,L,z,t) - \phi_{air}) \quad (\text{Eq. 3})$$

$$176 \quad t > 0 \quad z = L \quad -D_e \rho_{ds} \frac{\partial W_p(x,y,L,t)}{\partial z} = k(a_w(x,y,L,t) - \phi_{air}) \quad (\text{Eq. 4})$$

177 where L represents the half length of the cubic side (m), ρ_{ds} is the dry solid density (kg dry
178 matter/ m^3), k the mass transfer coefficient (kg w/ m^2/s), a_w the water activity in the solid surface
179 and ϕ_{air} the relative humidity of drying air. Sorption data obtained from the bibliography were
180 used to estimate the relationship between water activity and average moisture content for
181 carrots [34].

182 Heat and mass balance in the drying air were considered in order to estimate the change in the
183 psychrometric properties of air through the bed and evaluate the degree of saturation [35]. The
184 increase of air moisture through the bed will depend on the water evaporation rate, which is a
185 function of drying time as well as mass load density. Thus, the maximum water evaporation rate
186 for the early drying stages was found at the highest mass load density tested (average 0.02×10^{-3}
187 kg/s). At this value, an increase of the air's relative humidity from 19.7 to 34% was obtained,
188 which does not constitute a significant enough increase to slow down drying kinetics. As a
189 consequence and in order to simplify modeling, a multilayer configuration was neglected and
190 drying was assumed to take place in monolayer. More complex models, which take
191 psychrometric evolution through the bed into account, would be necessary in driers with higher
192 load capacities or in ones with lower air flow rates, where higher evaporation rates could lead to
193 air saturation.

194 An implicit finite difference method was used to solve Eq. 1 [36]. The set of implicit equations for
195 the whole sub volume net was solved by programming a series of functions in Matlab® 7.1 SP3
196 (The MathWorks, Inc., Natick, MA, USA). The program provided both the local moisture
197 distribution inside the solid and the average moisture content (W) of the solid, both as functions
198 of drying time, characteristic dimension (L), effective moisture diffusivity and mass transfer
199 coefficient.

200 The effective moisture diffusivity and mass transfer coefficient were simultaneously identified
201 from the experimental data using the SIMPLEX method [35]. The objective function to be
202 minimized was the sum of the squared differences between the experimental and the calculated
203 average moisture content.

204 In order to evaluate the fit of the models, the explained variance (VAR , Eq. 5) and the mean
205 relative error were computed (MRE , Eq. 6). The joint interval confidences (95 % statistical
206 significance) were also calculated in order to estimate the consistency of the simultaneous
207 identification of both parameters [37].

$$208 \quad VAR = \left[1 - \frac{S_{tW}^2}{S_W^2} \right] \cdot 100 \quad (\text{Eq. 5})$$

209
$$\text{MRE} = \frac{100}{N} \left[\sum_{i=1}^N \frac{|W_{ei} - W_{ci}|}{W_{ei}} \right] \quad (\text{Eq. 6})$$

210 where S^2_w and S^2_{tw} are the variance of the sample and the estimation, respectively, W_{ei} and W_{ci}
 211 are the experimental and calculated average moisture contents and N the number of
 212 experimental data.

213 The analysis of variance (ANOVA) was carried out and LSD intervals ($p < 0.05$) were estimated
 214 using Statgraphics® Plus 5.1 (StatPoint, Inc., Warrenton, VI, USA) to evaluate the significant
 215 influence of sonication on D_e and k parameters.

216

217 **3. RESULTS AND DISCUSSION**

218 **3.1. Experimental drying kinetics**

219 AIR and US drying kinetics of carrot cubes obtained at several mass load densities are plotted
 220 in Fig. 2 and Fig. 3, respectively. Experimental results showed an influence of mass load
 221 density on drying rate. The effect was similar regardless of whether AIR or US experiments
 222 were considered; the lower the mass load density, the higher the drying rate. In AIR
 223 experiments, when mass load density was increased from 12 to 120 kg/m³, the drying time
 224 needed to reach a moisture content of 1 (kg w/kg dm) went up by 50 %. An identical rise was
 225 found for sonicated samples (US experiments). A similar influence of mass load density was
 226 found on the microwave drying of carrot slices [38].

227 Once the influence of mass load density on air drying saturation through the bed (see Section
 228 2.3) is neglected, the influence on the drying rate may be explained by considering its effect on
 229 the external resistance to mass transfer. The increase in the amount of samples on the trays
 230 may introduce fluctuations in the air flow creating preferential pathways and, therefore,
 231 increasing this resistance. Indeed, this effect would increase as the mass load density got
 232 higher.

233 The application of power ultrasound affected the drying kinetics (Fig. 4). Sonicated samples
 234 presented lower moisture contents at the same experimental time. Therefore, the drying rate

235 increased when ultrasound was applied. It seems that the influence of power ultrasound was
236 similar at high and low mass load densities (Fig. 4), representing a reduction of approximately
237 30 % in the total drying time. The improvement in drying kinetics when power ultrasound is
238 applied during drying has already been reported for carrots [15-16,25-27], as well as for other
239 products, such as potatoes and mushrooms [17,22], persimmon [24,25] and lemon peel [25,27].
240 To improve convective drying, it may be also highlighted the application of power ultrasound in
241 liquid media [13]. This technology may be considered a product's pre-treatment prior to the
242 drying process, the structural changes induced in the material by the ultrasonic application [39]
243 facilitate the subsequent convective drying process. The drying kinetics of melons [9], sapota
244 [10], banana [11], pineapple [12], mushrooms, Brussels sprouts, and cauliflower [13] have been
245 accelerated by the application of power ultrasound as a pre-treatment prior to the drying
246 process. The ultrasonic pre-treatment involved an average reduction (10 %) of the total drying
247 time for banana [11] and pineapple [12]. This reduction was lower than both that reported in
248 this work when using ultrasonic assisted drying for carrots (30 %) or also that previously
249 reported in the literature for a fruit like persimmon (40 %) [25]. Ultrasonic assisted drying does
250 not only affect the product's microstructure, but also the mass transfer processes that take
251 place during drying. Therefore, the effects are more intense than when ultrasound is applied as
252 a pre-treatment, where the effect on the drying kinetic is linked to the microstructural changes
253 induced by ultrasound. Finally, it should be pointed out that in order to optimize the drying
254 process thus reducing drying time, both ultrasonic alternatives may be combined. Thereby, an
255 ultrasonic pre-treatment followed by ultrasonic assisted drying could bring about a drastic
256 reduction in drying time.

257 From the experimental results, the influence of power ultrasound on the mass transfer process
258 which takes place during the convective drying of carrot cubes cannot be fully addressed, since
259 ultrasonic influence may affect external or/and internal resistance. In addition, the ultrasonic
260 influence needs to be quantified. Modeling may be considered not only a useful tool with which
261 to clarify these issues, but also one that may be used to predict the behaviour of the system
262 under different operational conditions [40], which is very useful in drier design and optimization.

263

264

265 **3.2. Modeling**

266 **3.2.1. Results**

267 The drying kinetics of carrot cubes were modeled following the diffusion theory. External
268 resistance to mass transfer was considered in the model, as previously noted. Average effective
269 moisture diffusivities (D_e) and mass transfer coefficients (k) identified from the non-linear
270 optimization method considered are presented in Table 1 according to the mass load in
271 question.

272 The D_e values obtained were close to others found in literature for the convective drying of this
273 product [26,29-31]. A wide dispersion was found for the D_e figures within the same kind of
274 experiment, AIR or US (Fig. 5), which may be explained by considering the particular structure
275 of carrots. Srikiatden and Roberts [29] reported significant differences for the D_e figures in the
276 hot air drying of carrot core and cortex. Therefore, carrot is considered to be a heterogeneous
277 material not only due to its variable vegetal matrix but also to its structure, which explains the
278 variability observed in the experimental results. There was no observed pattern of the values
279 linked to mass load.

280 In the case of the mass transfer coefficient (k), the values found are in the same order of
281 magnitude as those identified when modeling the drying of other foodstuffs assuming external
282 resistance and similar air flow rates [36,41,42].

283 A multifactor ANOVA was carried out to evaluate whether the D_e values identified for AIR and
284 US experiments were significantly different ($p < 0.05$). The factors considered in the analysis
285 were the mass load density (11 levels: 12, 24, 36, 42, 48, 60, 72, 84, 96, 108 and 120 kg/m³)
286 and the application of power ultrasound (2 levels: with or without ultrasound application). A
287 similar ANOVA was carried out for the mass transfer coefficient (k). The LSD (least significance
288 difference) intervals were estimated in order to identify significantly different ($p < 0.05$) groups.

289

290 **3.2.2. Influence of mass load density**

291 Mass load density did not significantly ($p < 0.05$) influence effective moisture diffusivity in either
292 AIR experiments or US experiments (Table 1). Indeed, internal resistance cannot be affected by

293 this variable since internal water movement does not depend on particles loaded in the drying
294 chamber.

295 The influence of mass load on the convective drying rate may be considered in the external
296 resistance to mass transfer, as was previously noticed from experimental data. The identified
297 mass transfer coefficients confirmed this assumption since they showed there was a significant
298 ($p < 0.05$) influence of mass load density (Table 1; Fig. 6). This parameter was observed to
299 behave in a similar way in both AIR and US experiments: the higher the k figures, the lower the
300 mass load densities (Fig. 6). Nevertheless, there is a seeming tendency of the values to remain
301 constant for mass load densities higher than 90 kg/m^3 . Higher mass load densities could not be
302 tested since 120 kg/m^3 corresponds to the maximum amount of particles on the trays for a
303 monolayer distribution.

304 3.2.3. ***Influence of power ultrasound application.***

305 Power ultrasound application had a significant ($p < 0.05$) influence on effective moisture
306 diffusivity (Table 1; Fig. 5). Sonicated samples (US experiments) presented an average D_e
307 figure of $2.88 \times 10^{-10} \text{ m}^2/\text{s}$, which was significantly ($p < 0.05$) higher than that found in AIR
308 experiments ($2.06 \times 10^{-10} \text{ m}^2/\text{s}$) (Fig. 5). Sonication led to a 40 % improvement in this parameter,
309 regardless of the mass load at the amounts tested. Therefore, ultrasound application reduced
310 the internal resistance to mass transfer in the convective drying of carrots, thus improving
311 internal water movement. Alternating expansion and contraction cycles produced by ultrasonic
312 waves in the material (sponge effect) [14] may contribute to water leaving the solid matrix. The
313 cavitation phenomenon may even help to remove the strongest attached moisture.

314 Previous results reported that the influence of power ultrasound on the internal resistance to
315 mass transfer is heavily dependent on the internal structure of the material [25,27]. Porosity is
316 one of the variables which most heavily influences the effects of the acoustic energy on drying
317 processes. High porosity products present a low internal resistance due to large intercellular
318 spaces; as a consequence, the acoustic energy levels needed to affect water removal during
319 drying are lower than in low porosity products [25,27]. Carrot is considered a low porosity (0.04)
320 material if compared with other biological products like lemon peel (porosity 0.40) [43],
321 therefore, if the same acoustic intensity level is applied, the acoustic effects are more intense in

322 drying kinetics of lemon peel than carrot. This fact has already been reported in the literature
323 [25, 27].

324 External resistance was also affected by power ultrasound application. The mass transfer
325 coefficients identified in US experiments were significantly higher than those found in AIR
326 experiments at the mass load densities tested (Table 1; Fig. 6). However, LSD intervals
327 ($p < 0.05$) in both AIR and US experiments overlapped for mass load densities of over 90 kg/m^3
328 (Fig. 6). This fact could be linked to the reduction of the ratio (acoustic energy/sample mass) as
329 the load density was higher, thus providing less intense acoustic effects. Another aspect to take
330 into consideration is that mass transfer is proportional to transfer area, which is affected by
331 loading, due to the fact that particles being in contact also perturb the transport process. Further
332 research would be needed in order to test these hypotheses.

333 The influence of power ultrasound on the external resistance to mass transfer may be explained
334 from its effect on the diffusion boundary layer. Power ultrasound introduces pressure variations,
335 oscillating velocities and microstreaming at the gas-solid interfaces thus reducing boundary
336 layer thickness and, therefore, improving water transfer from the solid surface to air medium [14,
337 17]. This effect would only be significant during drying if external resistance was involved in
338 drying control, which is the case under the experimental conditions used in these experiments
339 [24, 25].

340 3.2.4. ***Model fitting and validation***

341 The proposed diffusion model adequately described the drying kinetics under the different
342 experimental conditions used in this work, thus providing relevant information about the
343 influence of mass load density and power ultrasound application on the convective drying of
344 carrots. Modeling provided percentages of explained variance of over 99% and mean relative
345 errors of under 6% in every case. Both parameters confirm an adequate description of drying
346 kinetics (Table 1). Fig. 4 shows how the model fitted the experimental data and how it provided
347 a good description of the moisture behavior during drying under different experimental
348 conditions. Both experimental moisture contents and those calculated using the diffusion model
349 are depicted together for a specific experiment in Fig. 7. The similarity between the
350 experimental and calculated data may be observed from both Fig. 4 and 7, which again shows

351 the suitability of the diffusion model to describe the drying kinetics under these experimental
352 conditions.

353 To test the robustness of the results and, therefore, the ability of the diffusion model used in this
354 work to extrapolate the drying of carrots under other conditions, drying experiments were
355 carried out using 17 mm long carrot cubes at 40 °C, 1 m/s and 36 kg/m³. Since the kinetic
356 parameters are independent of particle size, the drying kinetics were simulated using the
357 proposed diffusion model, considering a thickness of 17 mm, from the average k and D_e figures
358 identified for carrot cubes of side 8.5 mm (Table 1) for AIR and US experiments and the same
359 mass load density (36 kg/m³). The simulated curves are compared to experimental data in Fig.
360 8 and it can be observed that the model allowed the drying of these samples to be accurately
361 predicted. The simulated results are close to the experimental data in both AIR and US curves.
362 This result shows the ability of the results found in this work to simulate the drying of carrot at
363 40 °C and 1 m/s with or without ultrasonic application over a wide range of mass load densities
364 (from 12 to 120 kg/m³). Furthermore, the proposed model could be very useful for optimization
365 stages.

366

367 **4. CONCLUSION**

368 The sonication involved a significant improvement of mass transfer processes during the
369 convective drying of carrot cubes over a wide range of mass load densities (12-120 kg/m³). As
370 expected, effective moisture diffusivity (an internal property of the material) remained constant
371 in line with mass load density and the average value in the US experiments was significantly
372 higher than in the AIR experiments. An increase in the mass load density reduced the mass
373 transfer coefficient, which was probably linked to an increase in the external resistance to mass
374 transfer. Sonication produced a significant increase in the mass transfer coefficient. This
375 increase is reduced at high mass load densities, in all likelihood due to the reduction of the ratio
376 (acoustic energy/sample mass) or the transfer area available in the particles.

377

378

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383

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485 **7. FIGURE CAPTIONS**

486 **Fig. 1.** Diagram of the ultrasonic assisted drier. 1. Fan, 2. Heating unit, 3. Anemometer, 4.
487 3-Way valve, 5. Thermocouple, 6. Sample loading chamber, 7. Coupling material, 8.
488 Pneumatic moving arms, 9. Ultrasonic transducer, 10. Vibrating cylinder, 11. Trays, 12.
489 Balance. 13. Impedance matching unit, 14. Wattmeter, 15. High power ultrasonic generator,
490 16. PC.

491 **Fig 2.** AIR drying kinetics of carrot cubes (side 8.5 mm) at 40 °C, 1 m/s and different mass
492 load densities: (▲) 12; (-) 24; (Δ) 36; (◇) 72; (□) 84; (■) 108; (x) 120 kg/m³.

493 **Fig 3.** US (75 W, 21.7 kHz) drying kinetics of carrot cubes (side 8.5 mm) at 40 °C, 1 m/s
494 and different mass load densities: (▲) 12; (-) 24; (Δ) 36; (◇) 72; (□) 84; (■) 108; (x) 120
495 kg/m³.

496 **Fig. 4.** AIR and US (75 W, 21.7 kHz) drying kinetics of carrot cubes (side 8.5 mm) at 40 °C,
497 1 m/s and the same mass load density: (Δ US; ▲ AIR) 12 kg/m³; (□ US, ■ AIR) 120 kg/m³,
498 (___) model.

499 **Fig. 5.** Effective moisture diffusivities (D_e) identified using the diffusion model considering
500 external resistance for AIR (Δ -----) and US (▲ —) experiments.

501 **Fig. 6.** Mass transfer coefficients (k) identified using the diffusion model considering
502 external resistance for AIR (Δ) and US (▲) experiments.

503 **Fig. 7.** Experimental moisture content versus that calculated using the diffusion model. AIR
504 experiment carried out at 40 °C, 1 m/s and 60 kg/m³.

505 **Fig. 8.** Simulation of AIR and US drying kinetics of carrot cubes (side 17 mm) and
506 comparison with experimental data. Simulation (___), AIR (□) and US (o).

507

1 **8. TABLES**

2

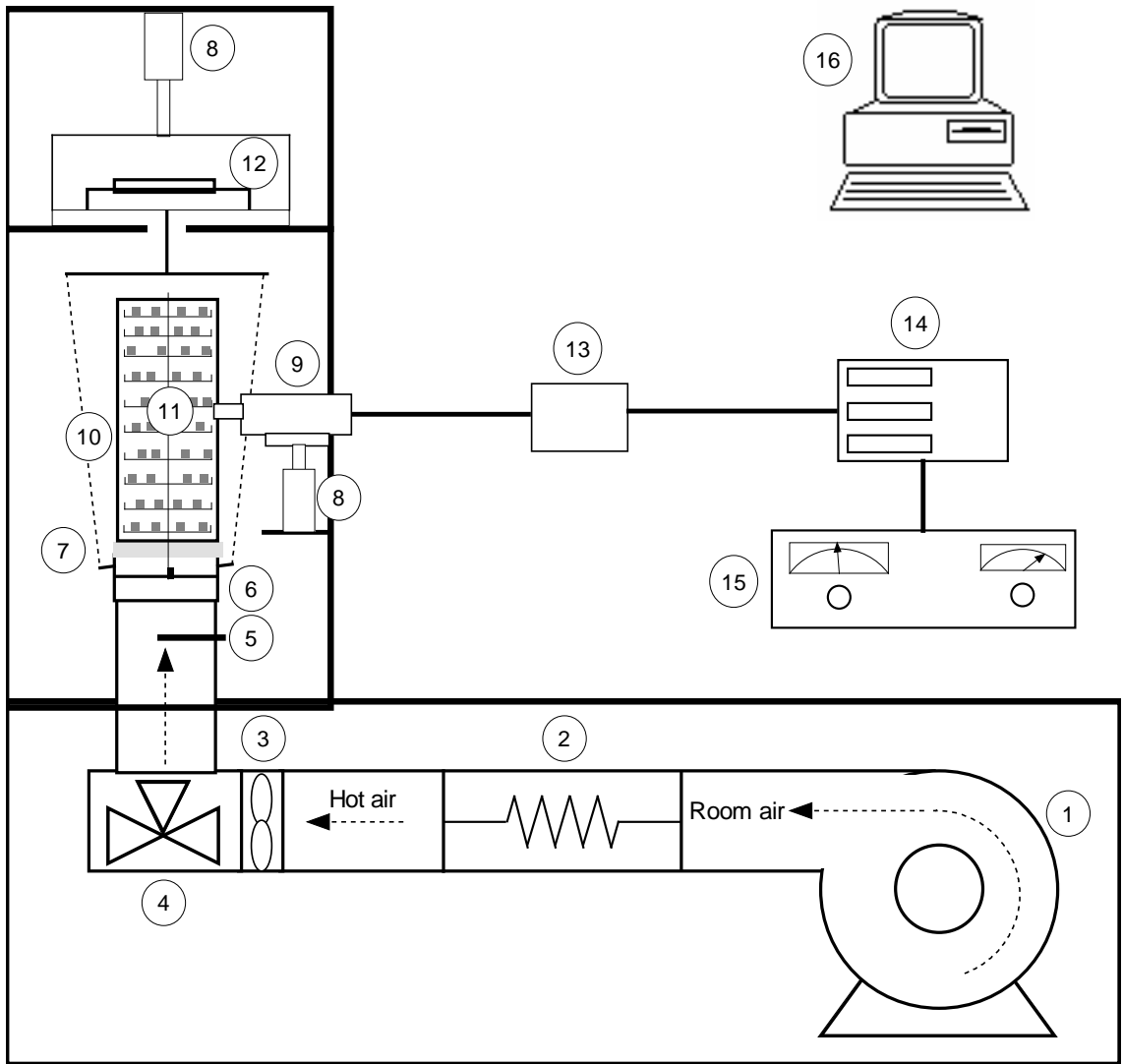
3 Table 1. Modeling drying kinetics of carrot cubes. Parameters estimated and statistical results obtained.

4

| Mass load density (kg/m ³) | AIR | | | | US | | | |
|--|---|--|------------|------------|---|--|------------|------------|
| | D _e (10 ⁻¹⁰ m ² /s) | k (10 ⁻⁴ kg w/m ² /s) | VAR (%) | MRE (%) | D _e (10 ⁻¹⁰ m ² /s) | k (10 ⁻⁴ kg w/m ² /s) | VAR (%) | MRE (%) |
| 12 | 1.84±0.28 _a | 5.28±0.46 _{uv} | 99.4 | 5.5 | 2.51±0.50 _{ab} | 6.24±0.99 _t | 99.6 | 4.0 |
| 24 | 2.11±0.80 _a | 4.37±1.07 _{uvw} | 99.9 | 2.7 | 2.76±0.37 _{ab} | 5.33±0.54 _{tuz} | 99.6 | 2.7 |
| 36 | 2.20±0.28 _{ab} | 3.80±0.80 _{vwxz} | 99.9 | 1.5 | 3.06±0.27 _b | 4.94±0.83 _{uvz} | 99.9 | 1.6 |
| 42 | 2.32±0.50 _{ab} | 3.51±0.20 _{vwxyz} | 99.9 | 1.4 | 2.82±0.66 _{ab} | 4.82±0.69 _{uvz} | 99.9 | 1.2 |
| 48 | 1.97±0.24 _a | 3.58±0.71 _{vwxyz} | 99.9 | 1.7 | 2.54±0.08 _{ab} | 4.71±0.91 _{uvz} | 99.9 | 1.9 |
| 60 | 1.87±0.38 _a | 2.92±0.51 _{wxy} | 99.9 | 1.4 | 2.53±0.26 _{ab} | 4.39±0.28 _{uvwz} | 99.9 | 1.4 |
| 72 | 1.78±0.22 _a | 2.90±0.46 _{wxy} | 99.9 | 1.9 | 2.69±0.03 _{ab} | 3.93±0.22 _{uvwz} | 99.9 | 1.1 |
| 84 | 1.66±0.34 _a | 2.59±0.20 _{wxy} | 99.8 | 2.5 | 3.20±0.21 _b | 3.42±0.55 _{vwxyz} | 99.9 | 1.6 |
| 96 | 2.37±0.17 _{ab} | 2.81±0.36 _{wxy} | 99.9 | 1.0 | 3.46±0.37 _b | 3.11±0.60 _{wxy} | 99.8 | 1.9 |
| 108 | 2.31±0.65 _{ab} | 2.38±0.18 _{wxy} | 99.9 | 1.5 | 3.27±0.37 _b | 2.77±0.21 _{wxy} | 99.8 | 2.3 |

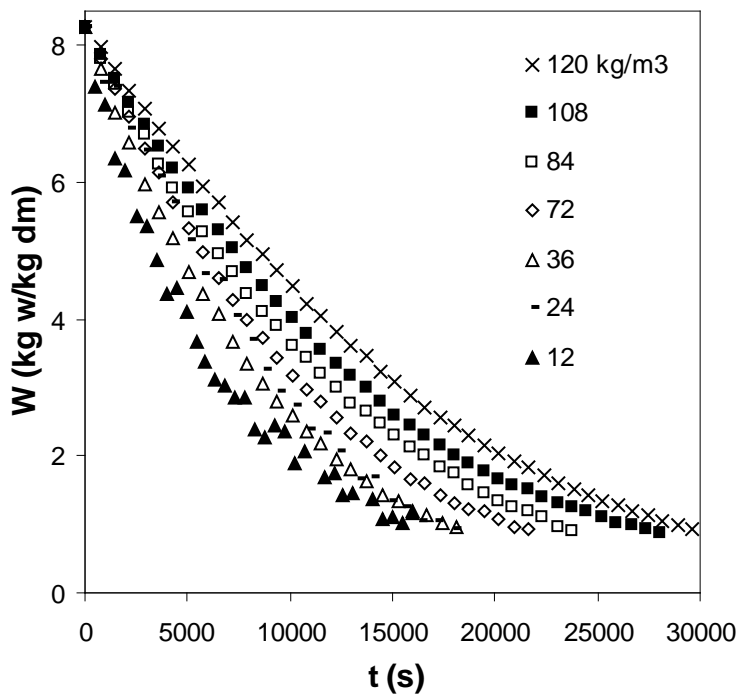
Subscripts (a, b) and (t, u, v, w, x, y, z) show homogeneous groups established from LSD intervals (p<0.05).

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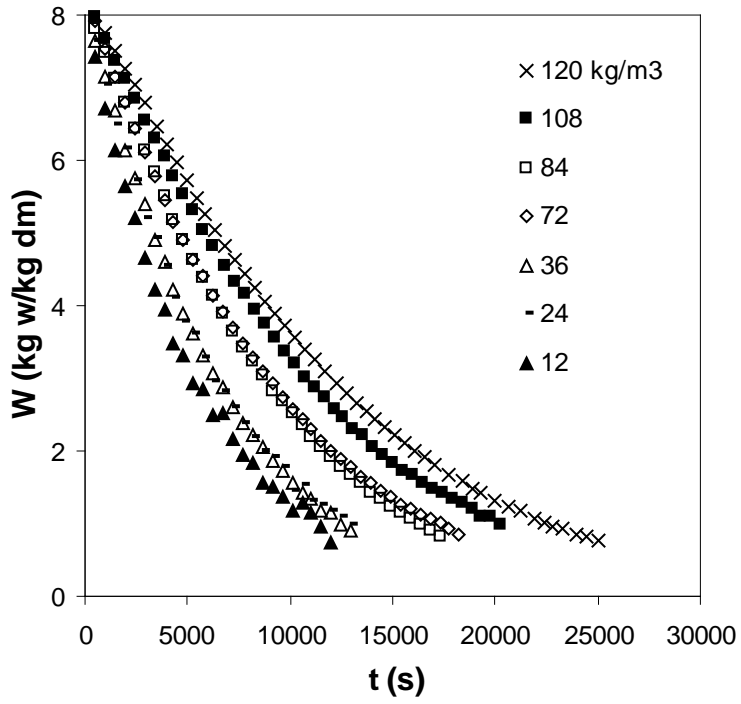
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Fig. 1.



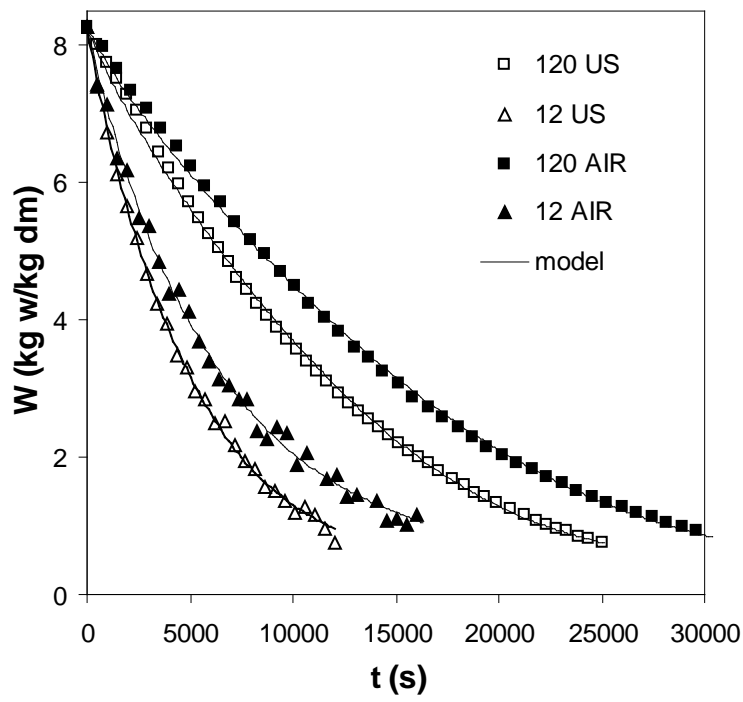
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Fig. 2



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Fig. 3



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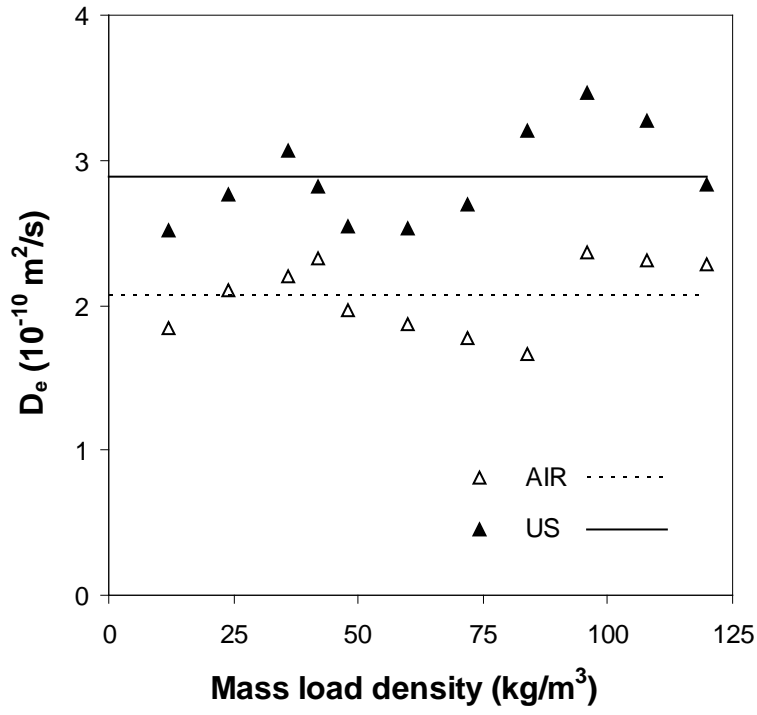
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Fig. 4

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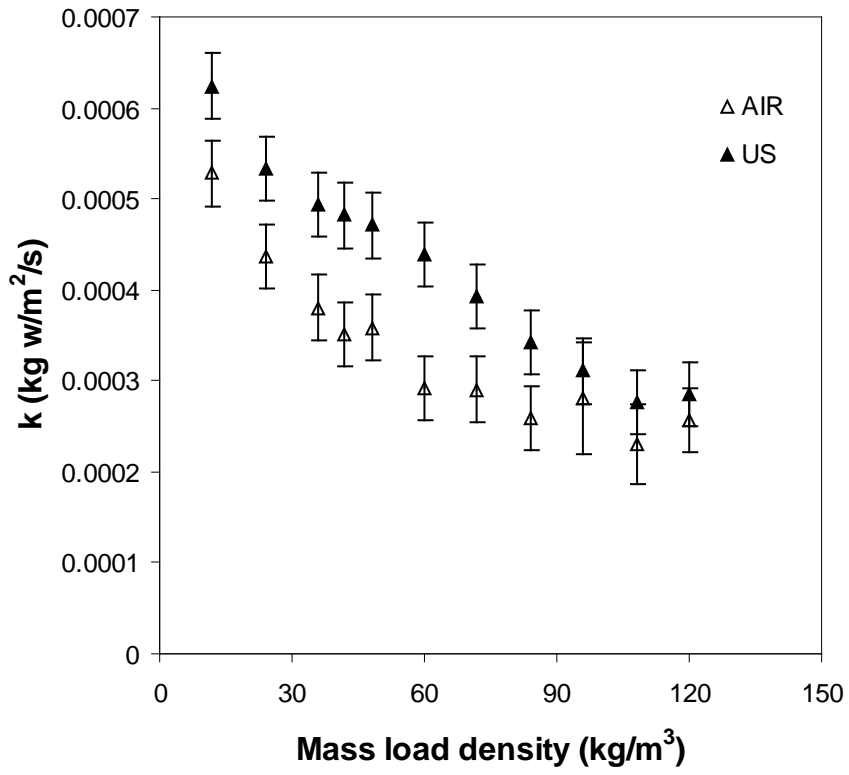
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Fig. 5

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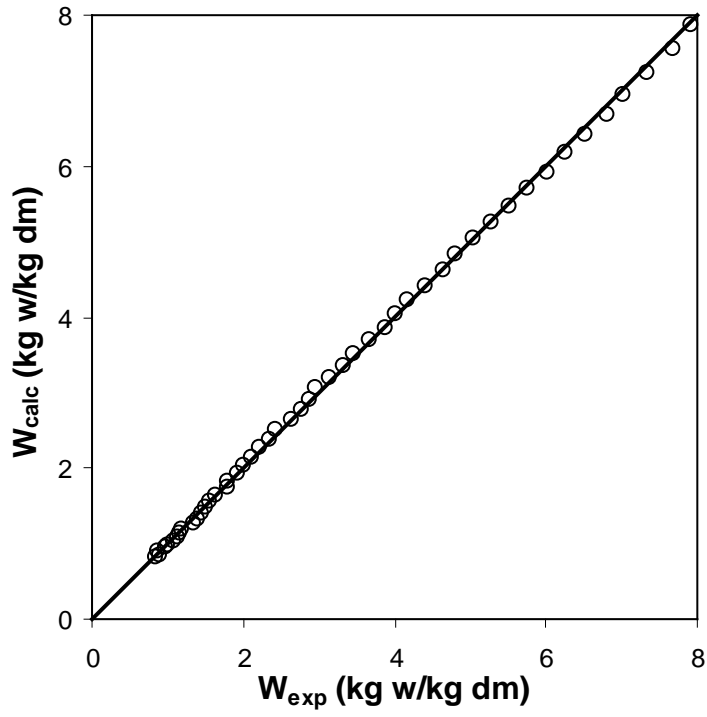
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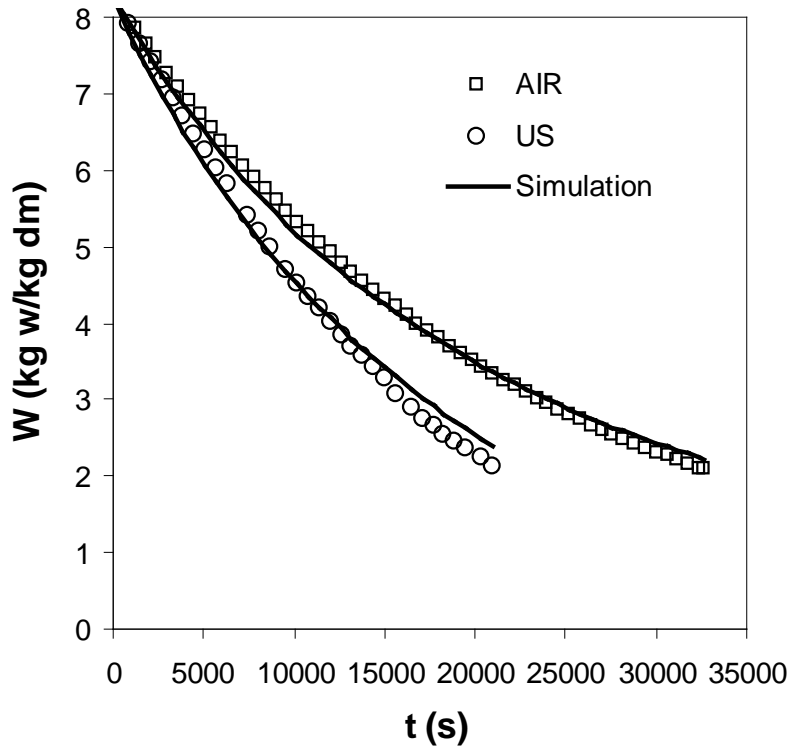
5 Fig. 6

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Fig. 7



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7 Fig. 8