

“Numerical modeling of the mechanical behaviour of an osteon with microcracks”

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HIGHLIGHTS:

- Two strategies for the FE modeling of microdamage within an osteon are presented
- A failure criteria for delamination in composites is applied (Brewer and Lagacé)
- Elastic properties take into account the orientation of fibrils in each lamella
- Good agreement with experimental evidence reported by other authors is attained
- Interlaminar shear stresses dominate failure under compressive diametral load

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4 Numerical modeling of the mechanical behaviour of an  
5 osteon with microcracks  
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18 **Abstract**  
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20 In this work, we present two strategies for the numerical modeling of mi-  
21 crocracks and damage within an osteon. A numerical model of a single  
22 osteon under compressive diametral load is developed, including lamellae  
23 organized concentrically around the haversian canal and the presence of la-  
24 cunae. Elastic properties have been estimated from micromechanical models  
25 that consider the mineralized collagen fibrils reinforced with hydroxyapatite  
26 crystals and the dominating orientation of the fibrils in each lamella. Micro-  
27 cracks are simulated through the node release technique, enabling propagation  
28 along the lamellae interfaces by application of failure criteria initially con-  
29 ceived for composite materials, in particular the Brewer and Lagacé criterion  
30 for delamination. A second approach is also presented, which is based on  
31 the progressive degradation of the stiffness at the element level as the dam-  
32 age increases. Both strategies are discussed, showing a good agreement with  
33 experimental evidence reported by other authors. It is concluded that in-  
34 terlaminar shear stresses are the main cause of failure of an osteon under  
35 compressive diametral load.  
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41 *Keywords:* Cortical bone, microcracks, finite element method  
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## 1. INTRODUCTION

From a structural viewpoint, cortical bone tissue can be considered as a composite material hierarchically structured at different scales, see e.g. Cowin (2001); Rho et al. (1998); Taylor et al. (2007). At the nanostructural level (about  $10\text{--}10^2$  nm) is formed by type I collagen fibrils, other organic substances (mainly proteins) and a mineral phase of hydroxyapatite, HA, (Rho et al., 1998; Ritchie et al., 2005). At the microstructural scale (between  $1\text{--}10^3$   $\mu\text{m}$ ), mineralized collagen fibrils are grouped together within inter-fibrillar matrix in lamellar structures that are about  $3\text{--}7$   $\mu\text{m}$  thick. These lamellae are arranged concentrically around the haversian canal, forming the secondary osteons (see Fig. 1), which are the basic microstructural unit of the cortical bone tissue and whose diameter ranges from  $50$  to  $500$   $\mu\text{m}$ . A less organized structure with a high mineral content is found in the interstitial matrix filling the space among osteons. The interstitial matrix is in fact remaining tissue associated with old osteons. The microstructural level constitutes the working scale of this study, as the analysis is focused on the mechanical behaviour of a single osteon. There exist other morphological elements at this microstructural level (Rho et al., 1998; Ritchie et al., 2005): the haversian canal; the cement line, i.e. the outer osteon boundary of about  $1$   $\mu\text{m}$  thick (Prendergast and Huiskes, 1996); the lacunae, located mainly between lamellae and that contain the osteocytes, and the Volkmann canals that connect transversally the haversian canals. There is also a very fine network of canaliculi that connect the osteocytes, the so-called syncytium (Taylor et al., 2007). Some of these morphological elements will not be included in the numerical model here presented, since it is deemed that their relevance in the mechanical behavior at the micro scale is secondary.

There is consensus in the literature (Taylor et al., 2007; Vashishth, 2007; Yang et al., 2006) regarding the two basic microdamage modes that can be found in cortical bone tissue: on one hand, the existence of microcracks (about  $50\text{--}200$   $\mu\text{m}$  long) and, on the other hand, the presence of diffuse damage zones, associated with a lower hierarchical scale, at the collagen fibril levels. The mechanisms that relate the fracture risk level and the microdamage level are not yet well defined, but it is clear the relationship between the microdamage levels and the reduction of the tissue fracture toughness (Yang et al., 2006). It is also well known the essential role played by the microdamage level in activating the remodelling process (Taylor et al., 2007; Ritchie et al., 2005; Taylor, 2007; Martínez-Reina et al., 2009). Therefore,

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5 the analysis of these mechanisms is of especial relevance.

6 In this work, we follow a mechanistic approach (Taylor et al., 2007) to  
7 study bone microdamage. This approach takes into consideration the mi-  
8 crostructural details for a better understanding of the microdamage processes  
9 (Ritchie et al., 2005; Nalla et al., 2003). The numerical models developed in  
10 this work aim at presenting strategies for analyzing the mechanical behaviour  
11 of cortical tissue in presence of microcracks.  
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14 The objective of the work is to present two numerical approaches (the  
15 node release technique and the progressive damage approach) to simulate the  
16 experimentally observed behaviour reported by other authors. The aim of  
17 the analysis is to simulate the experimental work carried out by Ascenzi and  
18 Bonucci in single osteons at the microscale (Ascenzi et al., 1973) and other  
19 more recent experimental results reported by Ebacher and Wang (2009);  
20 Ebacher et al. (2012). More precisely, the behaviour of a single osteon under  
21 transverse compressive loading is modelled, i.e. compressive loading in radial  
22 direction of the osteon. Both Ascenzi et al. (1973) and Ebacher et al. (2012)  
23 observed that circumferential microcracks appear in those lamellae whose  
24 fibrils are aligned in the axial direction of the osteon. In Section 2, we give  
25 a brief description of the tests performed by Ascenzi et al. (1973) and the  
26 damage observed by Ascenzi et al. (1973) and Ebacher et al. (2012). In order  
27 to define the numerical model, it is necessary to provide a characterization  
28 of the elastic and strength properties of the tissue at this scale, as detailed in  
29 Sections 3 and 4, respectively. The numerical model analyzed with the finite  
30 element code Abaqus<sup>TM</sup> (2012) is described in Section 5, along with the two  
31 techniques proposed for modeling the microdamage behavior in Section 6:  
32 a node-release technique (NRT) to simulate advancing microcracks and a  
33 progressive damage approach. Both approaches are compared and correlated  
34 with the experimental evidence in Section 7. The analyses show that the  
35 main cause of failure under compressive diametral load is the existence of  
36 interlaminar shear stresses that lead to lamellae separation.  
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## 45 **2. STRENGTH BEHAVIOR OF AN OSTEON UNDER COM-** 46 **PRESSIVE RADIAL LOADING**

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48 Ascenzi et al. (1973) proposed an experimental setting for studying the  
49 strength behavior of an osteon and its lamellae under compressive radial  
50 loads. The sketch in Fig. 2 shows the simple configuration used, in which a  
51 section of an osteon (30-40  $\mu\text{m}$  thick) is placed on a slide and pressed against  
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5 the side of a coverslip (160  $\mu\text{m}$  thick) using a spatula, thus being subjected  
6 to radial loading.

7 Ascenzi et al. (1973) gave detailed indications about the experimental  
8 procedure. In a previous work, Ascenzi and Bonucci (1968) also described  
9 the procedure followed to extract microsamples of single osteons from cortical  
10 tissue. The tissue corresponded to the diaphysis of human femurs of different  
11 ages (between 18 and 31 years old) with no apparent skeletal defects. The  
12 number of samples was sixty and the samples were kept wet by hydration  
13 with saline solution. Due to the sample extracting procedure, the osteon  
14 geometry can be assumed to be cylindrical in practice. In fact, Ascenzi et  
15 al. (1973) selected those osteons whose geometry was essentially circular on  
16 a transverse plane.  
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18 We will focus on the dominant type of osteon that can be found in the  
19 cortical tissue of long bones, named type I in Ascenzi et al. (1973). Its main  
20 feature is an alternated lamellar arrangement, sketched in Fig. 3: lamellae  
21 with fibrils essentially aligned in the axial direction of the osteon alternated  
22 with lamellae whose fibrils are mainly aligned in the circumferential direc-  
23 tion. Hence, fibrils in one lamella make an angle of nearly  $90^\circ$  with the fibrils  
24 in the next. Ascenzi and Bonucci arrived to this conclusion by observing the  
25 osteons under polarized light (Ascenzi et al., 1973), which exhibits an alter-  
26 nate pattern, and the corresponding correlation with electron microscopy. In  
27 this work, a more recent approach to the sublamellar structure of an osteon  
28 will be considered in Section 3.  
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30 In addition, Ascenzi et al. (1973) referred that the fibrils of the innermost  
31 and outermost lamellae are essentially oriented in a circumferential direc-  
32 tion. We note in passing that the cement line (1  $\mu\text{m}$  thick) is not considered  
33 in the numerical models of this work because it is expected that this layer  
34 was fully damaged or eliminated during the osteon extraction process. In  
35 their work, these authors also consider another type of osteon (type 2), with  
36 lamellae showing a spiral course fibril arrangement, close to the axial direc-  
37 tion of the osteon (see Fig. 3). Even in this type of osteon, Ascenzi and  
38 Bonucci reported that the fibrils of the innermost and outermost lamellae  
39 are essentially oriented in a circumferential direction.  
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41 Experimentally, and for type I osteons (the type analyzed in this work),  
42 it is verified that the application of a compressive radial load leads to the  
43 generation of microcracks in circumferential direction, as described in a com-  
44 prehensive way by Ascenzi et al. (1973). These authors reported the following  
45 experimental evidences:  
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- Microcracks are circumferential and they appear mainly in the lamellae whose fibrils are aligned in the axial direction of the osteon (longitudinal lamellae). Some of them are located along the interfaces of the lamellae. In addition, microcracks extend through the whole thickness of the analyzed section.
- Microcracks begin in the longitudinal lamellae that are near the Haversian canal.
- Microcracks appear in the four quadrants and concentrate in circular sectors located in a region between  $20^\circ$  and  $50^\circ$  with respect to the loading direction.
- The lamellae with fibrils essentially arranged in the circumferential direction (transverse lamellae) do not show apparent damage in this process.

Fig. 4 shows a portion of a tested osteon before the load application (left) and under the application of the load that causes the circumferential microcracks (right). Further analysis with electron microscopy shows that the microcracks within the longitudinal lamellae advance through the interfibrillar substance (that acts as a matrix), indicating that the strength of this substance is clearly lower than the fibril strength.

Recently, Ebacher et al. (2012) also carried out experimental tests by compressing a portion of cortical tissue in the radial direction. As will be commented in Section 7, their results are in full agreement with those observed by Ascenzi et al. (1973).

From all the above experimental observations, it can be inferred that the matrix failure that appears in the longitudinal lamellae is caused by either a normal tensile traction that acts in the radial direction, or a shear traction, or a combination of both. This behavior is analogous to the delamination processes that can be found in structural fiber-reinforced composite materials due to the existence of interlaminar stresses. Hence, in the numerical simulations of this work, we propose the application of failure criteria initially conceived for the delamination of composite laminates in order to explain the failure mechanisms of an osteon under this type of load.

### 3. ESTIMATION OF ELASTIC PROPERTIES OF LAMELLAE

There is a vast literature regarding the elastic properties of bone (Cowin, 2001), which have been mainly obtained through mechanical tests at the macroscopic level (Turner and Burr, 1993, e.g.). On the other hand, at the microscopic level, nanoindentation procedures (Zysset et al., 1999; Rho et al., 2002; Faingold et al., 2012) and ultrasound techniques (Rho et al., 1993; Katz et al., 1984), enable the characterization of local elastic constants for both cortical and trabecular bone tissues. In general, results show that the elastic behavior is clearly non-isotropic. There are also some approaches that estimate the elastic constants using hierarchical analytical models that consider the microstructure and the constituent properties (Cowin, 2001; Yoon and Cowin, 2008a,b; Reisinger et al., 2010; Martínez-Reina et al., 2011).

Currey (1962) and Bondfield and Li (1967) are among the first researchers that recognized a lamella as a two-phase composite, being the main constituent the mineralized collagen fibril, plus certain water content. Under this assumption, the collagen fibril can be regarded as a matrix in which the reinforcement crystals of hydroxyapatite (HA) are embedded. These crystals are arranged in a highly-orientated distribution, and hence the elastic behavior of the mineralized collagen fibrils can be considered approximately orthotropic. In the literature, different crystal shapes and dimensions are reported (Rubin et al., 2003, e.g.), which have allowed the development of micromechanical models based on analytical approaches. For example, Wagner and Weiner (1992) and Akiva et al. (1998) apply the Halpin-Tsai equations (often used in structural composites) to the estimation of the micromechanical elastic properties as a function of the hydroxyapatite crystal size.

Experimental evidence commented in previous Section 2 shows that the collagen fibril orientation plays an important role. There are many works in the literature that address the relevance of the orientation of the collagen fibrils at the lamellar level. Gebhardt (1906) observed that collagen fibrils change suddenly their orientation between adjacent lamellae. As did Ascenzi et al. (1973), a similar hypothesis was suggested by Weiner et al. (1991) and Wagner and Weiner (1992), differentiating between alternating thick and thin lamellae arranged concentrically around the haversian canal. In more recent works, each lamella is considered as a layered arrangement with a different fibril orientation pattern in each adjacent layer (Giraud-Guille, 1988; Akiva et al., 1998; Weiner et al., 1999; Wagermaier et al., 2006). Reisinger et al. (2011) have developed a detailed finite element analysis of a unit cell of the

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5 microstructure to estimate the elastic properties at the lamellar level. These  
6 authors analyze several orientation patterns and conclude that the model  
7 proposed by Weiner et al. (1999), based on a 5-layered structure in each  
8 individual lamella, is in good agreement with experimental results.  
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### 10 3.1. Elastic properties of the mineralized collagen fibril

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12 In this work, we will follow the approach presented by the authors in  
13 Vercher et al. (2013). At the level of a single mineralized collagen fibril,  
14 a staggered arrangement of platelets is considered, according to the spatial  
15 distribution reported in the literature, e.g. by Rho et al. (1998); Orgel et  
16 al. (2001). A representative volume element (RVE) of a typical staggered  
17 structure of the mineralized fibril has been modelled using finite elements, see  
18 Fig. 5, imposing periodical boundary conditions. This enables the estimation  
19 of the constitutive elastic matrix of a collagen fibril by application of six  
20 independent unit-strain load cases.  
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23 The input parameters for the FE model of the fibril structure are taken  
24 from Reisinger et al. (2011). Collagen and HA mineral phases are assumed to  
25 be elastic isotropic with Young's modulus  $E_{\text{col}} = 5$  GPa (Cusak and Miller,  
26 1979),  $E_{\text{ap}} = 110.5$  GPa (Yao et al., 2007), and Poisson's ratios  $\nu_{\text{col}} = 0.3$   
27 and  $\nu_{\text{ap}} = 0.28$  (Yao et al., 2007). The resulting constitutive matrix for the  
28 homogenized behaviour of the mineralized fibril corresponds to a monoclinic  
29 material behavior, because there is only a single symmetry plane in the stag-  
30 gered crystal pattern. The platelet dimensions are  $132 \times 30 \times 5$  nm, which  
31 are within the ranges reported by Rubin et al. (2003). The assumed volume  
32 fraction is  $V_f = 0.3$  and the RVE dimensions are  $4.4d$  long (being  $d$  the pe-  
33 riodic distance of 67 nm), 154 nm width and 32 nm thick (Vercher et al.,  
34 2013). Considering all these data, the 3D homogenized stiffness matrix of  
35 the mineralized collagen fibril  $\mathbf{C}_{\text{fib}}$  is:  
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$$42 \quad \mathbf{C}_{\text{fib}} = \begin{pmatrix} 31.790 & 7.008 & 4.115 & 0 & 1.066 & 0 \\ 43 & & 25.050 & 3.666 & 0 & 0.162 & 0 \\ 44 & & & 9.706 & 0 & 0.001 & 0 \\ 45 & & & & 2.789 & 0 & 0.219 \\ 46 & & & & & 2.888 & 0 \\ 47 & & \text{symm} & & & & 7.745 \end{pmatrix} \text{ GPa} \quad (1)$$

48 The volume fraction of 0.3 can be considered as a representative value of  
49 the total mineral content in both fibril and extrafibrillar matrix (Reisinger et  
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5 al., 2011). For the above constitutive matrix, the cartesian reference system  
6 is the local system (1, 2, 3) shown in Figs. 5 and 6. Therefore, the monoclinic  
7 symmetry plane is the 1-3 plane. The order of the components of the stress  
8 vector associated with (1) is  $\boldsymbol{\sigma} = \{\sigma_{11}, \sigma_{22}, \sigma_{33}, \sigma_{23}, \sigma_{31}, \sigma_{12}\}^T$  and analogously  
9 for the engineering strain vector  $\boldsymbol{\varepsilon}$ .  
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### 11 3.2. Elastic properties at the lamellar level

12 At this stage, we have considered the 5 sublamellae structure proposed  
13 by Weiner et al. (1999). The different mineralized fibril orientation pattern  
14 in each sublamellae is sketched in Fig. 7. In this work, these sublamellae  
15 have been condensed into two types of alternating lamellae: thin and thick  
16 lamellae. This simplified structure is in agreement with Weiner et al. (1991)  
17 and Wagner and Weiner (1992).  
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20 Currently, it is accepted that the fibril orientation pattern is an impor-  
21 tant feature because mechanical properties depend on bone structure at the  
22 very small scale (Reisinger et al., 2011). In this work, fibrils orientated cir-  
23 cumferentially around the osteon are defined by the angle  $\psi_1 = 0^\circ$  and fibrils  
24 aligned with the osteon axis by the angle  $\psi_1 = 90^\circ$  (see angle definitions  
25 in Fig. 6). Starting from the thin lamella shown in Fig. 7, the orientation  
26 sequence of the five sublamellae is  $0^\circ, 30^\circ, 60^\circ, 90^\circ$  and  $120^\circ$ , according to  
27 Weiner et al. (1999). There is an additional rotation  $\psi_2$  around the fibril axis  
28 (axis 1) of the 4th and 5th sublayers, estimated as  $\psi_2 = 70^\circ$  and  $30^\circ$ , respec-  
29 tively (Vercher et al., 2013). The thickness  $T_i$  for each sublayer is assumed  
30 to be  $T_i = (0.4, 0.2, 0.2, 1.8, 0.6) \mu\text{m}$  (Akiva et al., 1998). The grouping of  
31 the sublamellae into thin and thick lamellae yields the thicknesses  $T_{\text{thin}} = 0.8$   
32  $\mu\text{m}$  for the thin lamellae and  $T_{\text{thick}} = 2.4 \mu\text{m}$  for the thick lamellae.  
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35 To obtain the equivalent elastic properties of each sublamellae, the Lekhnit-  
36 skii transformation for non-isotropic constitutive matrices is applied. The  
37 stiffness matrix given in (1) in the local system (1, 2, 3) is transformed ac-  
38 cording to the rotations  $\psi_1$  and  $\psi_2$  for each sublamellae into the local carte-  
39 sian system  $(x, y, z)$  shown in Fig. 6, which is common for all sublamellae.  
40 Note that the directions  $(x, y, z)$  defined at each point are coincident with  
41 directions  $(\theta, z, r)$ , respectively, of the osteon cylindrical system.  
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44 Subsequently, the equivalent properties for thin and thick lamellae are  
45 obtained following a rule of mixtures approach. For each elastic property,  
46 denoted generically as  $D$ , the equivalent property is calculated as a weighted  
47 average proportional to the sublamellae thickness:  
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$$D_{\text{thin}} = \frac{1}{T_{\text{thin}}} (T_1 D_1 + T_2 D_2 + T_3 D_3) \quad (2)$$

$$D_{\text{thick}} = \frac{1}{T_{\text{thick}}} (T_4 D_4 + T_5 D_5) \quad (3)$$

As a result, the equivalent stiffness matrices of the thin and thick lamellae are:

$$\mathbf{C}_{\text{thin}} = \begin{pmatrix} 28.995 & 8.119 & 4.003 & -0.254 & 0.699 & 0.730 \\ & 25.625 & 3.779 & -0.166 & 0.335 & 0.730 \\ & & 9.706 & -0.0004 & 0.001 & 0.097 \\ & & & 2.814 & -0.021 & -0.014 \\ & \text{symm} & & & 2.863 & 0.124 \\ & & & & & 8.855 \end{pmatrix} \text{GPa} \quad (4)$$

$$\mathbf{C}_{\text{thick}} = \begin{pmatrix} 12.206 & 5.370 & 5.532 & -0.079 & -1.492 & -0.388 \\ & 30.572 & 6.369 & 0.251 & -1.065 & -0.304 \\ & & 18.804 & 0.270 & -3.682 & 0.363 \\ & & & 6.516 & -0.056 & 1.682 \\ & \text{symm} & & & 4.704 & -0.196 \\ & & & & & 4.684 \end{pmatrix} \text{GPa} \quad (5)$$

These matrices are expressed in the local system  $(x, y, z)$  of Fig. 6. The order of the components of the stress vector is  $\boldsymbol{\sigma} = \{\sigma_{xx}, \sigma_{yy}, \sigma_{zz}, \sigma_{yz}, \sigma_{zx}, \sigma_{xy}\}^T$ . By identifying the local system  $(x, y, z)$  with the directions of the global cylindrical system of the osteon, the order of the components of the stress vector is  $\boldsymbol{\sigma} = \{\sigma_{\theta\theta}, \sigma_{zz}, \sigma_{rr}, \sigma_{zr}, \sigma_{r\theta}, \sigma_{\theta z}\}^T$  and analogously for the engineering strain vector  $\boldsymbol{\varepsilon}$ . It is important to point out that the linear elastic material behaviour considered in this work is valid under certain conditions of bone humidity and calcification (Ascenzi and Bonucci, 1967), as will be further commented in the following section.

#### 4. ESTIMATION OF STRENGTH PROPERTIES OF LAMELLAE. FAILURE CRITERIA

Since the load condition analyzed in this work (compressive diametral loading, see Fig. 2) is essentially an in-plane loading state, it is expected

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5 that the failure is governed by the plane stress state shown in Fig. 7 in  
6 regions where circumferential (or hoop)  $\sigma_{\theta\theta}$ , radial  $\sigma_{rr}$  or shear  $\sigma_{r\theta}$  stresses  
7 are high compared to their respective strength limits. Following customary  
8 terminology in structural composite materials, the circumferential stress  $\sigma_{\theta\theta}$   
9 can be considered an intralaminar stress, whereas  $\sigma_{rr}$ ,  $\sigma_{r\theta}$  correspond to  
10 interlaminar stresses that cause eventual delamination.

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12 It is also expected that the thick lamellae exhibit a low strength to these  
13 stresses, because the mineralized collagen fibrils are essentially aligned in  
14 the out-of-plane direction (i.e. the osteon  $z$ -axis direction, see Fig. 7). For  
15 thick lamellae and for in-plane loads, the interfibrillar matrix is the main  
16 load-bearing material and its relative low strength can lead to matrix micro-  
17 cracking. This is in accordance with the experimental evidences commented  
18 in Section 2 and it is verified numerically in the following sections.

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20 Ascenzi and Bonucci (1967, 1968, 1972) carried out extensive experimen-  
21 tal testing on isolated osteons to characterize the tensile, compressive and  
22 shear properties of an osteon. In this work, we will estimate the strength  
23 properties from their tests on osteons subjected to tensile load in the osteon  
24  $z$ -axis and from shear tests performed by application of a punch centered  
25 on the osteon in the  $z$ -axis. From all available data, we have considered the  
26 results for 25-30 year-old donors with a high calcification degree, determined  
27 by microradiography and tested on wet conditions. Osteons with a high cal-  
28 cification degree are stiffer, stronger and with a more linear elastic behaviour  
29 up to rupture than those with low calcification (Ascenzi and Bonucci, 1972).

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31 It is well known that the stiffness and strength properties depend not  
32 only on the calcification degree, but also on the humidity condition and age  
33 (Ascenzi et al., 1973). The change in tensile stiffness and strength in the axial  
34 direction due to humidity is very significant, being larger for dry samples than  
35 for wet samples. The effect of the calcification degree is not so important  
36 although, as expected, it is shown that the stiffness and strength values are  
37 smaller for a low calcification degree. Finally, the influence of age is not so  
38 considerable.

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40 Despite the fracture planes intersected some lacunae in their experimental  
41 tests, it is worth remarking that Ascenzi and Bonucci (1967, 1972) did not  
42 find a clear evidence that may correlate the lacunae density with the tensile  
43 or shear strengths. Note that cortical bone exhibits a considerable inelas-  
44 tic deformation, relaxing stress concentrations and increasing its toughness  
45 (Ebacher et al., 2012). It is often suggested that the strain amplification at  
46 the osteocyte lacunae increases the strain perceived by the osteocytes and the  
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5 subsequent bone remodelling signaling (e.g. Prendergast and Huiskes, 1996;  
6 M.G. Ascenzi et al., 2013).

#### 7 8 4.1. Circumferential tensile strength $S_{\theta\theta,t}$ 9

10 Given the microfibril arrangement in the thin lamellae (see Fig. 7), the  
11 circumferential tensile strength of the thin lamellae must be clearly greater  
12 than for thick lamellae, i.e.  $S_{\theta\theta,t}^{\text{thin}} > S_{\theta\theta,t}^{\text{thick}}$ . As expected, Ascenzi and Bonucci  
13 reported that the maximum stiffness and strength for an osteon loaded in  
14 the axial  $z$ -axis is found for type II osteons (following the nomenclature of  
15 Fig. 3). With the exception of the innermost and outermost lamellae, type  
16 II osteons have lamellae oriented mainly in the axial direction of the osteon.  
17 The strength value of this type of osteons loaded in a tensile test was reported  
18 as 120 MPa in Ascenzi and Bonucci (1967) (for a dry condition, this value  
19 increases up to 193 MPa). Therefore, it seems reasonable to assume that  
20 the strength of a sublamella loaded in the fibril direction is about this value.  
21 Hence, the circumferential tensile strength of thin lamellae will be estimated  
22 as  $S_{\theta\theta,t}^{\text{thin}} = 120$  MPa.  
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26 The estimation of the circumferential tensile strength for the thick lamel-  
27 lae is more elusive. From tensile tests carried out in the  $z$ -axis for type I  
28 osteons, Fig. 3, we have considered in this work that the onset of the failure  
29 of the weakest lamellae under the tensile test corresponds to a clear depart  
30 from the linear response in a  $\sigma$ - $\varepsilon$  diagram. These diagrams are available in  
31 Ascenzi and Bonucci (1967) for type I osteons. Under a tensile test in  $z$ -axis  
32 for type I osteons, the first failure will occur for lamellae whose fibrils are  
33 orientated perpendicularly to the loading direction and this will introduce a  
34 loss of linear behaviour in the  $\sigma$ - $\varepsilon$  response. This value has been estimated  
35 in an approximated way from Fig. 8, reproduced from Ascenzi and Bonucci  
36 (1967), and is about 50 MPa, that can be assumed to be the strength of a thin  
37 lamella when loaded in the osteon  $z$ -direction. We make a further assumption  
38 by considering that this strength is equal to the strength of a thick lamella  
39 when loaded in the circumferential direction and hence  $S_{\theta\theta,t}^{\text{thick}} = 50$  MPa.  
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#### 45 4.2. Radial tensile strength $S_{rr,t}$ 46

47 A tensile failure in the radial direction is an interlaminar failure that im-  
48 plies the fracture of the interfibrillar matrix without affecting the mineralized  
49 collagen fibrils. Therefore, the radial tensile strength will be approximately  
50 the same for all sublamellae or their grouping into either thin or thick lamel-  
51 lae. Thus, we can write  $S_{rr,t}^{\text{thin}} \approx S_{rr,t}^{\text{thick}}$  and it will simply denoted as  $S_{rr,t}$ .  
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5 Since this failure mode is similar to the failure mode of a thick lamella loaded  
6 circumferentially (both modes imply the damaging of the interfibrillar ma-  
7 trix), it is reasonable to assume that  $S_{rr,t} \approx S_{\theta\theta,t}^{\text{thick}} = 50$  MPa. When no  
8 better estimates are available, this hypothesis is also usual in the analysis of  
9 delamination of structural composite materials (Brewer and Lagacé, 1988).  
10

#### 11 4.3. Shear strength $S_{r\theta,s}$

12 Ascenzi and Bonucci (1972) carried out shear tests by micropunching the  
13 center of osteons in the axial direction. This type of test led to the separation,  
14 almost cylindrical, of a set of inner lamellae with respect the outer. Their  
15 results show that the shear stiffness and strength depend slightly on the type  
16 of osteon (I or II): the shear strength  $S_{s,\text{punch}}$  varies between 56 MPa for  
17 type I and 46 MPa for type II. It can be assumed that the shear strength  
18 of a thick lamella in the  $r$ - $z$  plane (see reference system in Fig. 7) under the  
19 micropunch test is similar to the shear strength in the plane  $r$ - $\theta$  of a thin  
20 lamella. Therefore, we will assume that  $S_{r\theta,s}^{\text{thin}} = 46$  MPa.  
21

22 The shear strength in the plane  $r$ - $\theta$  for thick lamellae must be clearly  
23 lower than 46 MPa, since this shear mode does not involve the shearing  
24 of mineralized fibrils, which are essentially normal to the plane  $r$ - $\theta$ . The  
25 shearing failure will involve mainly shearing of the interfibrillar matrix. This  
26 value has been estimated as  $S_{r\theta,s}^{\text{thick}} \approx 20$  MPa.  
27

28 It is worth emphasizing that the estimated values given above must be  
29 considered as a first approximation, being the deviations large in practice  
30 due to several factors, such as calcification degree or water content. Another  
31 aspect not considered here is the variation of properties from inner lamellae  
32 to outer lamellae, which has been reported for elastic behaviour, e.g. in  
33 Faingold et al. (2012). The literature on strength properties is scarce and  
34 further research is necessary to have better characterization of the strength  
35 properties of these tissues.  
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#### 37 4.4. Intralaminar and interlaminar failure criteria

38 Two independent failure criteria, intralaminar and interlaminar, will be  
39 considered for the in-plane analysis of an osteon under diametral compressive  
40 load. The intralaminar failure of a lamella requires the individual verification  
41 of the following relationship for each lamella:  
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$$43 \sigma_{\theta\theta}^{\text{thin}} \geq S_{\theta\theta,t}^{\text{thin}} \quad (6a)$$

$$\sigma_{\theta\theta}^{\text{thick}} \geq S_{\theta\theta,t}^{\text{thick}}, \quad (6b)$$

which simply checks whether the lamella fails under circumferential tensile stress or not. Note that circumferential compressive stresses are considered not to lead to intralamellar failure. For the interlaminar failure, our proposal is to use the interactive quadratic criterion of Brewer and Lagacé (1988):

$$\left( \frac{\langle \sigma_{rr} \rangle}{S_{rr,t}} \right)^2 + \left( \frac{\sigma_{r\theta}}{S_{r\theta,s}} \right)^2 \geq 1 \quad (7)$$

The Macaulay bracket operator  $\langle \rangle$  in the first term denotes the positive part and indicates that the radial stress must be included only if  $\sigma_{rr} > 0$ , because compressive radial stresses tend to close any eventual microcrack and therefore make no contribution to the interlaminar failure criterion. The criterion is interactive in the sense that accounts for the simultaneous contribution of the radial and shear stresses. In the context of structural composites, this criterion is used to predict delamination between laminate plies.

## 5. NUMERICAL MODEL

The aim of the proposed finite element model is to determine the in-plane stress distribution and predict the location of microcrack initiation and further propagation. The geometry has been simplified to a half circular ring, including 17 thin lamellae and thick lamellae, see Fig. 9. The alternating arrangement of these lamella resembles the type I osteon described by Ascenzi et al. (1973), see Fig. 3. The first lamella around the haversian canal is a thin lamella, with a dominant fibril orientation in the circumferential direction (Ascenzi et al., 1973). Although it is well known that lamellae have thickness variations, we have assumed that the thin and thick lamellae have a constant thickness of  $0.8 \mu\text{m}$  and  $2.4 \mu\text{m}$ , respectively, according to the grouping described in Section 3. The diameter of the haversian canal is  $40 \mu\text{m}$  and hence the total diameter of the osteon is  $148.8 \mu\text{m}$ . All these dimensions are in agreement with the secondary osteon dimensions reported in Cowin (2001). Due to the small thickness of the specimen tested by Ascenzi et al. (1973), sketched in Fig. 2, a plane stress condition has been assumed, with unit thickness. The applied pressure  $p$  is distributed along a  $60^\circ$  circular arc, being its magnitude increased through the analysis.

A structured mesh has been generated that facilitates the definition of the lamellae boundaries. Since some contact surface procedures are involved

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5 in the analysis, a 4-node bilinear element has been used (CPS4 in Abaqus).  
6 To ease the introduction of anisotropic properties and application of failure  
7 criteria, an essential feature of the model is the alignment of the material  
8 axes with the circumferential and radial directions of the osteon reference  
9 system, see Fig. 7. All the resulting stresses are also referred to the axes  $r$   
10 and  $\theta$  of Fig. 7.  
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12 The model also includes the presence of lacunae as ellipses, whose major  
13 and minor axis are  $10 \mu\text{m}$  and  $4 \mu\text{m}$ , respectively. The geometry of the  
14 lacunae is based on the features given by Prendergast and Huiskes (1996),  
15 where the lacunae are described as ellipsoids of dimensions  $22\mu\text{m} \times 9\mu\text{m} \times$   
16  $4\mu\text{m}$ , with a major axis forming about  $26^\circ$  with the osteon axis and located  
17 in the boundary between lamellae (Currey, 1962). Therefore, the ellipses  
18 modelled in this work are a section of the 3D ellipsoids, assuming all the  
19 intersected lacunae are located in the same transverse plane.  
20

21 The spatial distribution of the lacunae is based on the data found in  
22 Cowin (2001), that report an average density in cortical bone of 460 lacunae  
23 per  $\text{mm}^2$  and an average lacunae area of about 30 to  $40 \mu\text{m}^2$ . From these data  
24 and the correlation with some images in the literature, we have included 10  
25 lacunae in the half-model of an osteon shown in Fig. 9. A dedicated routine  
26 has been programmed to modify the structured mesh in order to include the  
27 lacunae. The routine eliminates the elements intersected by the lacunae and  
28 modifies the node location of the neighboring elements so as to match the  
29 lacuna boundary. Note that the material axes of the modified elements are  
30 also defined in accordance to the global circumferential and radial directions.  
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## 37 **6. NUMERICAL PROCEDURES AND RESULTS**

### 38 *6.1. Failure initiation*

39 In order to determine the interlaminar failure, the Brewer and Lagacé  
40 criterion (7) has been implemented in Abaqus and applied to the stress evo-  
41 lution resulting from increasing the applied pressure  $p$ . Fig. 10 shows a  
42 contour map of the value given by the left hand side of (7). The interlaminar  
43 failure initiates when this value is 1 and the first occurrence takes place in  
44 a thick lamella (indicated by an arrow), about  $45^\circ$  with respect the vertical  
45 axis and in the neighborhood of a lacuna that acts as a local stress raiser.  
46 The applied load at this instant is  $p \approx 14 \text{ MPa}$ . The region where the failure  
47 initiates is in agreement with the experimental evidences commented in Sec-  
48 tion 2. The failure mode is mainly by interlaminar shear, since  $\sigma_{r\theta}$  reaches  
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5 its strength limit  $S_{r\theta,s}^{\text{thick}} \approx 20$  MPa, as shown in Fig. 11(c). In Fig. 11(a) it  
6 can be observed that, in the regions of high  $\sigma_{r\theta}$ , the interlaminar radial stress  
7  $\sigma_{rr}$  is small compared with its strength limit  $S_{rr,t} = 50$  MPa, and therefore  
8 contributes very little to the failure criterion (7). The different shear limits  
9 in thick and thin lamellae and their interaction due to their different stiff-  
10 nesses, tend to concentrate the failure initiation in regions at about  $45^\circ$  with  
11 the vertical axis. Note in Fig. 11(c) that the lacuna presence exacerbates  
12 the stress concentration locally, causing the failure initiation. However, this  
13 is not the fundamental cause of failure in these regions, which is ultimately  
14 due to the high level of shear stress.  
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17 The numerical model predicts the failure initiation in a thick lamella  
18 and near the boundary between the thick and the successive thin lamellae.  
19 Experimentally some microcracks are found inside the lamellae with fibrils  
20 aligned in the osteon direction (thick lamellae) and not necessarily at the  
21 boundary between lamellae. A plausible explanation of this discrepancy is  
22 that strength properties are not homogeneous inside each lamella, and that  
23 transitions in stiffness and strength between lamellae is not so abrupt as  
24 considered in our numerical model.  
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27 The intralaminar failure criterion given in (6) must also be checked.  
28 Fig. 11 (b) shows the contour plot for  $\sigma_{\theta\theta}$  and it can be seen that the max-  
29 imum hoop stress is reached at the innermost thin lamella and is slightly  
30 less than 60 MPa. Since the strength limit for this potential failure mode is  
31  $S_{\theta\theta,t}^{\text{thin}} = 120$  MPa, no failure is expected in this zone, as reported by Ascenzi  
32 et al. (1973). The hoop stress in the adjacent thick lamella is about 20 MPa,  
33 which is also less than the respective limit  $S_{\theta\theta,t}^{\text{thick}} = 50$  MPa. Therefore, no  
34 failure is predicted by application of (6).  
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### 39 *6.2. Interlaminar failure propagation using the node release technique*

40 After determining the initiation of failure and its location, the propaga-  
41 tion has been carried out using two different techniques: the node release  
42 technique and the progressive damage approach. In the node release tech-  
43 nique (NRT), contact surfaces are defined along the prospective crack propa-  
44 gation direction. This technique is often used to model debonding of surfaces  
45 along a specified direction. It involves the definition of master and slave con-  
46 tact surfaces. This has been accomplished by duplication of nodes along the  
47 interfaces between thick and thin lamellae, where microcracks are expected  
48 to grow. The two surfaces are initially tied and act as a single surface until  
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5 a prescribed failure or fracture criterion is satisfied. When the criterion is  
6 reached, the connection between the surfaces is released.

7 In combination with the contact procedures available in Abaqus, the same  
8 master and slave surfaces are used to enable the contact between crack faces  
9 after the microcrack growth. This contact exists due to the compressive na-  
10 ture of the applied load. The analysis of the proposed model is computationally  
11 expensive, especially because the number of potentially debonding and  
12 contacting surfaces is high (all interfaces between thick and thin lamellae).  
13 An implicit incremental approach has been used for the analysis of propa-  
14 gation, where debonding, contact and loss of stiffness is expected during the  
15 nonlinear analysis.

16 As explained, the initiation conditions at an interface are determined us-  
17 ing the Brewer and Lagacé criterion (Fig. 10). Initially, only one node is  
18 released at this point. The eventual propagation of the microcrack (equiv-  
19 alent to a surface delamination) is also governed by the Brewer and Lagacé  
20 criterion. The Abaqus command `*Debond` is used to release the initially  
21 tied nodes as the load increases. In the simulation, initiation points of new  
22 microcracks depend on the previous microcrack evolution.

23 In order to evaluate the crack propagation condition, an approach based  
24 on energetic considerations, such as the strain energy release rate  $G$  should be  
25 considered. However, critical values for the specific energy at fracture  $G_c$  are  
26 not available at the interlamellar and intralamellar level. Hence, we resolved  
27 to use a stress-based approach considering the Brewer and Lagacé criterion  
28 at a certain characteristic distance  $d$ . The Abaqus command `*Debond` in-  
29 volves the values of contact pressure `CPRESS` and contact shear `CSHEAR`. The  
30 criterion is then evaluated at a distance  $d$  ahead the crack tips of the gener-  
31 ated microcracks, thus avoiding the theoretical singularity at the crack tips.  
32 The problem turns out to be the choice of the distance  $d$ . We have chosen  
33 a distance  $d = 1.5 \mu\text{m}$  ahead the crack tip, which provides reasonable re-  
34 sults. A sensitivity analysis has been carried out regarding this distance, as  
35 commented at the end of this subsection.

36 In Fig. 12, the variation of `CSHEAR` for an interface surface is represented  
37 at three instants. The solid black lines represent the value of the shear stress  
38 along an interface between a thick and a thin lamella. The coloured blue-to-  
39 red lines are simply marker scales that quantify the value of the shear stress,  
40 from minimum (blue) to maximum (red) passing through zero. The three  
41 subfigures represent different states of failure initiation and propagation.

42 The first plot Fig. 12(a) represents a continuous distribution of the inter-

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5 face shear before failure initiation (a surface without intersecting lacunae is  
6 represented for simplicity). Once the variable CSHEAR reaches a value close  
7 to the limit strength  $\pm 20$  MPa, a node is released at this point. Hence, the  
8 interface shear stress drops locally to 0, as shown in Fig. 12(b). Two incipi-  
9 ent cracks along the interface have been formed (each with two crack tips),  
10 one on the left quadrant and the other one on the right quadrant. The shear  
11 stress drops to zero between the crack tips, because the crack faces are free  
12 from shear stresses (it has been assumed that there is no friction between  
13 crack faces). A further increase of the load causes the interface cracks to  
14 propagate, as in Fig. 12(c), where CSHEAR is 0 inside the cracks. Both cracks  
15 have grown along the interface surface. The region where the shear stress is  
16 zero has increased, i.e. the crack faces have become longer since both crack  
17 tips at each crack become apart during the crack growth.

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19 Fig. 13 shows the contour maps for the Brewer and Lagacé where the red  
20 colour has 1.0 as an upper limit value. Values exceeding 1.0 are plotted in  
21 grey and represent the regions in which failure is initiated and propagated  
22 as the load is increased. From left to right and top to bottom, the plot  
23 (a) in Fig. 13 corresponds to the initiation location, in a state similar to  
24 the represented in Fig. 10,  $p \approx 14.0$  MPa. Next plot (b) shows an advancing  
25 crack on the left quadrant and a new crack just initiated on the right quadrant  
26 when the load has been increased to  $p \approx 16.6$  MPa. In successive plots, both  
27 cracks grow and other microcracks are initiated and eventually grow up to  
28 a generalized state of failure with several propagated microcracks. For the  
29 last plot (f),  $p \approx 20.1$  MPa, the innermost thin lamella also starts failing due  
30 to high circumferential stresses. It has been verified that the intralaminar  
31 criterion (6) is not achieved at earlier stages. From Fig. 13, it can be observed  
32 that the numerical analysis here presented is in good agreement with the  
33 experimental behavior observed by Ascenzi et al. (1973).

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35 Fig. 14 plots the applied pressure  $p$  versus the displacement of the load  
36 application point located on the vertical radius. The expected loss of stiff-  
37 ness under a compressive load is evidenced by the progressive reduction of the  
38 slope in the diagram. It can be observed that once the microdamage starts  
39 due essentially to the interlaminar shear stresses, the load bearing capacity  
40 of the system is notably reduced. Fig. 14 shows the results of a sensitivity  
41 analysis for three characteristic distances  $d$ , showing that qualitative differ-  
42 ences are not large within a reasonable distance  $d$  compared to geometric  
43 dimensions.

### 6.3. Failure propagation using the progressive damage approach

The previous analysis using NRT leads to the propagation of explicit microcracks. Despite this is similar to the real behaviour shown in Fig. 4, this approach is computationally expensive and difficult to generalize to a representative volume with several osteons or to 3D models.

As an alternative, the failure propagation after initiation has also been simulated through a progressive damage approach. This approach is based on a nonlinear FE analysis in which the stiffness properties are reduced at the element level as the stress state reaches a failure condition (Tay et al., 2008). This approach has been successfully applied in structural composite materials, such as fiber reinforced laminates (e.g. Chang and Chang, 1987; Hou et al., 2000; Lapczyk and Hurtado, 2007; Tay et al., 2008). In the progressive damage approach, it is assumed that the material behavior is elastic-brittle, in the sense that there is no significative plastic deformation (Lapczyk and Hurtado, 2007). One important advantage is its relatively simple extension to 3D models, in contrast to the numerical modelling of explicit cracks using fracture mechanics, even using the extended finite element method XFEM. Obviously, the local solution in the vicinity of the damaged zone will not be as accurate as in a fracture mechanics approach, but the technique captures the global loss of stiffness and has proven to be very efficient for diffuse damage and for models with a large number of microcracks, provided the discretization is sufficiently refined.

The simplest approach to carry out the reduction of the elastic properties is the direct material property degradation, MPD (Chang and Chang, 1987; Hou et al., 2000; Tay et al., 2008). This method will be used in this work and consists in reducing the elastic properties by a fixed factor that can depend on the mode failure. Although its implementation is simple, it needs an *a priori* specification of the reduction factors. It is customary to assume that the stiffness in certain directions is reduced to 0 (Chang and Chang, 1987; Hou et al., 2000), although the analysis can lead to excessively conservative results and numerical difficulties. Tay et al. (2008) suggest that a constant reduction factor of 0.1 is common practice in the literature due to its simplicity and convergence advantages. Other approaches are based on continuum damage mechanics (CDM), with a less arbitrary formulation, often based on thermodynamic principles. In CDM, the damage variable can take a value in the continuous range  $[0, 1]$ , and therefore the softening introduced is not abrupt.

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5 The MPD procedure used in this work to model the progressive damage  
6 of an osteon has been implemented in Abaqus and it is similar to the im-  
7 plementation of Chang and Chang (1987) for structural composites. Two  
8 field variables (FV1 and FV2) have been defined by means of the user subrou-  
9 tine USDFLD (User Defined Field). The field variables are solution-dependent  
10 variables that enable the assignment of different material properties accord-  
11 ing to their values. Thus, FV1=0 and FV2=0 indicate no failure, FV1=1 and  
12 FV2=0 indicate interlaminar failure and FV1=0 and FV2=1 indicate intralam-  
13 inar failure, see Table 1. For the no failure state the elastic moduli are not  
14 reduced and for the failed state all the elastic moduli are reduced to 5% of  
15 their original values.  
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18 The field variables are solution-dependent variables in the sense that they  
19 are functions of the FE solution at the integration points (in our case, func-  
20 tion of the stresses). In the user subroutine USDFLD, the failure criteria (6)  
21 and (7) are evaluated at each increment considering the strength limits de-  
22 fined in Section 4 as input parameters. The values on the left hand side of the  
23 criteria are stored at each increment as state variables (STATEV in Abaqus).  
24 On the other hand, the field variables FV are initialized to 0 when the analysis  
25 starts and only when any state variable STATEV is equal or greater than 1.0,  
26 the corresponding field variable FV is changed to 1 (damaged state). The  
27 field variable FV will remain as 1, even when the local stresses are reduced  
28 significantly, indicating the irreversibility of the damage process.  
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31 Fig. 15 shows the sequence of damaged elements according to the Brewer  
32 and Lagacé criterion (7). As explained above, the damage initiation is gov-  
33 erned by interlaminar shear stresses in a thick lamella at about  $p \approx 14$  MPa.  
34 The damage is mainly located near the stress concentration region next to a  
35 lacuna on the left quadrant. When the load is increased to  $p \approx 20.1$  MPa,  
36 propagation of damage follows the elements in thick lamellae in a very similar  
37 pattern to the one predicted with the NRT, Fig. 13.  
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40 The progressive damage approach easily allows for computing advanced  
41 states of damage. Fig. 16 shows a generalized state of damage for applied  
42 pressures that are higher than the loads considered in Figs. 13 and 15. It can  
43 be observed that damage due to interlaminar stresses tends to concentrate  
44 in the thick lamellae. It is worth noting that damaged zones approximately  
45 match the observed experimental regions, located at about  $20^\circ$  and  $50^\circ$  with  
46 respect the vertical radius. Eventually, for the highest load, damage is gen-  
47 eralized and extends also across thin lamellae.  
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50 As far as the intralaminar criterion (6) is concerned, damaged elements  
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5 are shown in Fig. 17. Tensile circumferential stresses cause damage in the  
6 innermost lamellae, being the first a thin lamellae. The load for the initiation  
7 of this damage is relatively high (about 24 MPa), and therefore this type of  
8 damage is expected to occur only when the interlaminar failure shown in  
9 Fig. 15 is well developed. As a consequence, we can conclude that interlam-  
10 inar shear stresses are the main cause of failure for this type of osteon under  
11 compressive diametral load, causing a separation of thin and thick lamellae.  
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## 14 15 **7. DISCUSSION**

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17 In the previous analyses using either NRT or a progressive damage ap-  
18 proach, the global effect of the microdamage process is the loss of stiffness  
19 of the system. In order to show this behaviour, Fig. 18 plots the applied  
20 pressure  $p$  versus the displacement of the load application point located on  
21 the vertical radius for both analyses.  
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23 Both procedures tend to yield similar behaviour curves in a global sense,  
24 although some differences can be appreciated just after damage initiation  
25 (about  $p = 14$  MPa) due to the different approach used to introduce the de-  
26 fects: interface microcracks versus whole element damage. The reason of this  
27 difference is that the loss of stiffness under compressive load with the micro-  
28 crack approach (NRT) is only in the circumferential direction but not in the  
29 radial direction, because crack face contact is allowed during the analysis.  
30 On the other hand, the reduction of the elastic properties in the progressive  
31 damage approach is applied to all elastic moduli equally, experiencing a loss  
32 of stiffness in all directions. In this sense, we can say that the NRT is more  
33 accurate than the progressive damage approach, but computationally much  
34 more expensive (NRT computation time is about eight times greater than  
35 with progressive damage). Other important disadvantages of the NRT ap-  
36 proach are the difficulties for preprocessing (generation of contact surfaces)  
37 and the intrinsic difficulties for extending the procedure to 3D models or  
38 larger models that contain a representative volume of bone tissue. Given  
39 the similar global response shown in Fig. 18, we consider that the progres-  
40 sive damage approach can be an appealing approach to address this type of  
41 analysis.  
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48 The models and procedures here presented reproduce the trend observed  
49 experimentally by Ascenzi et al. (1973). This is confirmed by other recent  
50 experimental evidences reported by Ebacher et al. (2012). These authors  
51 examined the microcracks that appear at the lamellar and sublamellar lev-  
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5 els in human cortical bone samples under compressive tests, see Fig. 19 (a)  
6 and (b). Their samples were analyzed by laser scanning confocal microscopy  
7 (LSCM) and reveal the great importance of the lamellar microstructure for  
8 the damage pattern observed. The damage pattern exhibits an alternate  
9 presence of circumferential microcracks that is related to the lamellar struc-  
10 ture, thus confirming the behaviour observed by Ascenzi et al. (1973) and  
11 the predictions performed by our numerical models. This pattern is in line  
12 with the observations of Ebacher and Wang (2009), by which the stable de-  
13 velopment of multiple lamellar microcracks redistributes the stress around  
14 each haversian canal.  
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17 In their studies, Ebacher et al. (2012) state that all cracks start at lower  
18 hierarchical levels of bone and that the observed high density of microcracks  
19 improves the inelastic strain capacity of the lamellae. The role of canaliculi,  
20 widely spread over the lamellar tissue, in the microdamage initiation is also  
21 emphasized. Therefore, a smeared damage approach to model microcracks,  
22 such as the one proposed here, seems to be a convenient way to numerically  
23 simulate the real behaviour.  
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## 28 8. CONCLUSIONS

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30 Two numerical approaches have been proposed for the analysis of failure  
31 at the lamellar level in osteons. The first is based on modelling explicitly  
32 interface microcracks and predicting their propagation using the so-called  
33 node release technique. The second approach follows a progressive degrada-  
34 tion of elastic properties at the element level to simulate the loss of stiffness  
35 of damaged elements. The procedures have been applied to the mechanical  
36 behaviour of tests of an osteon under compressive diametral load, for which  
37 damage patterns are available in the literature. The elastic properties at the  
38 lamellar level have been estimated considering the equivalent elastic proper-  
39 ties of a mineralized collagen fibril and the 5-sublamellar structure described  
40 in Weiner et al. (1999), whereas the strength properties have been inferred  
41 from different mechanical tests performed by Ascenzi and Bonucci on single  
42 osteons. In addition, intralaminar and interlaminar failure criteria have been  
43 implemented to ascertain the failure initiation and propagation under both  
44 mode failures.  
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49 From the numerical analyses, the dominant failure mode under compres-  
50 sive diametral load can be attributed to interlaminar shear stresses that  
51 affect the thin and thick lamellae in a different way. As a result, microcracks  
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and damage initiate and spread circumferentially along lamellae whose reinforcement is essentially aligned with the osteon axis. The obtained damage patterns correlate well with the experimental images obtained by (Ascenzi et al., 1973; Ebacher et al., 2012), as regards its alternate character and the angular and radial location.

Both methodologies show promising strategies for further microdamage models of cortical tissue and its analysis under a mechanistic approach, especially the progressive damage approach for its reduced computational cost. **This reduced computational cost becomes crucial when dealing with more complicated models, such as 3D models that include several osteons, interstitial matrix, different loading conditions, etc.** Given that micromechanical strength properties are generally elusive to determine, numerical models of this kind can be of interest to simulate experimental tests and hence estimate strength properties using inverse identification procedures.

## ACKNOWLEDGEMENTS

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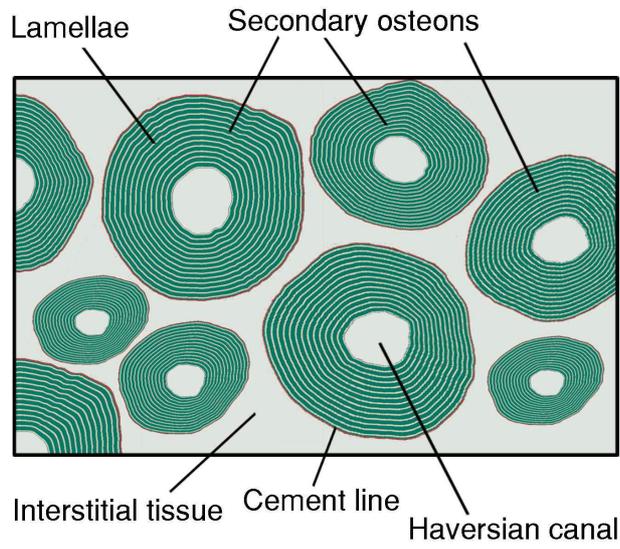


Figure 1: Schematic representation of the main morphological features of the cortical bone tissue at the microstructural level.

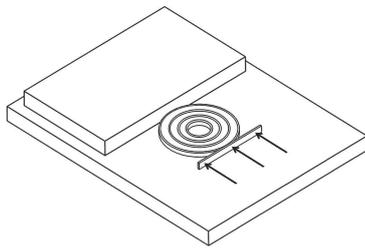


Figure 2: Radial compressive loading test (Ascenzi et al., 1973)

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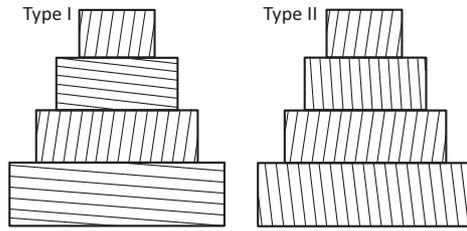


Figure 3: Sketch of the laminated structure of osteon types I and II, following Ascenzi et al. (1973).

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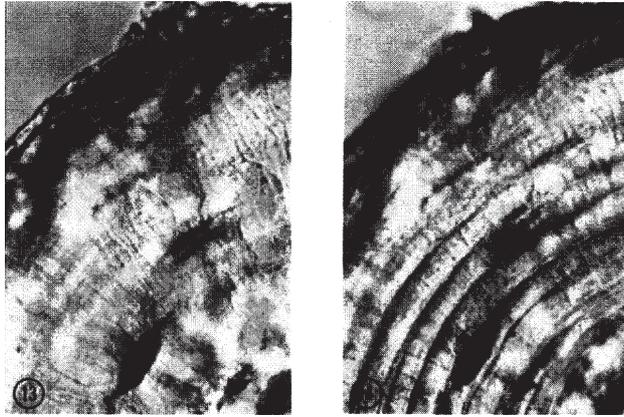


Figure 4: Behavior under compressive load of an osteon type I: left, before the loading; right, after the loading, showing the generation of microcracks. Reprinted from Ascenzi et al. (1973) with permission of Elsevier.

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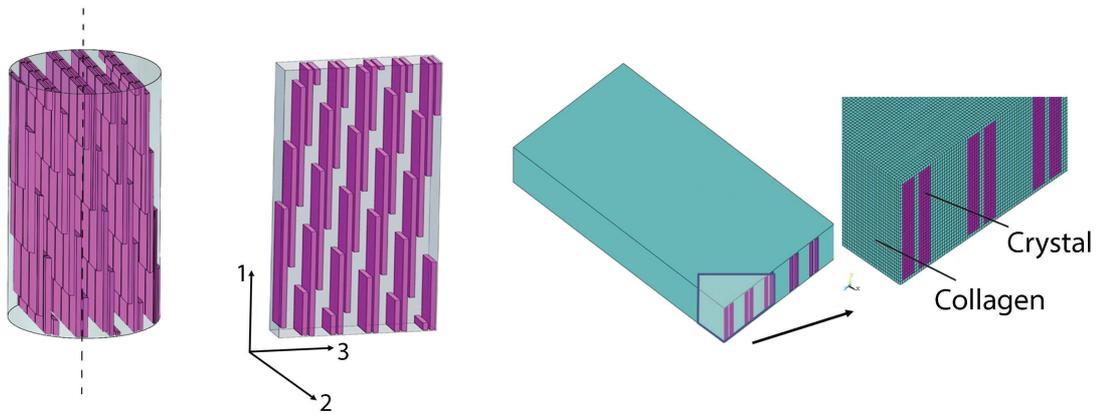


Figure 5: Representative volume element of a typical fibril structure modelled using finite elements by imposing periodical boundary conditions. The local coordinate system (1, 2, 3) is associated with the fibril orientation.

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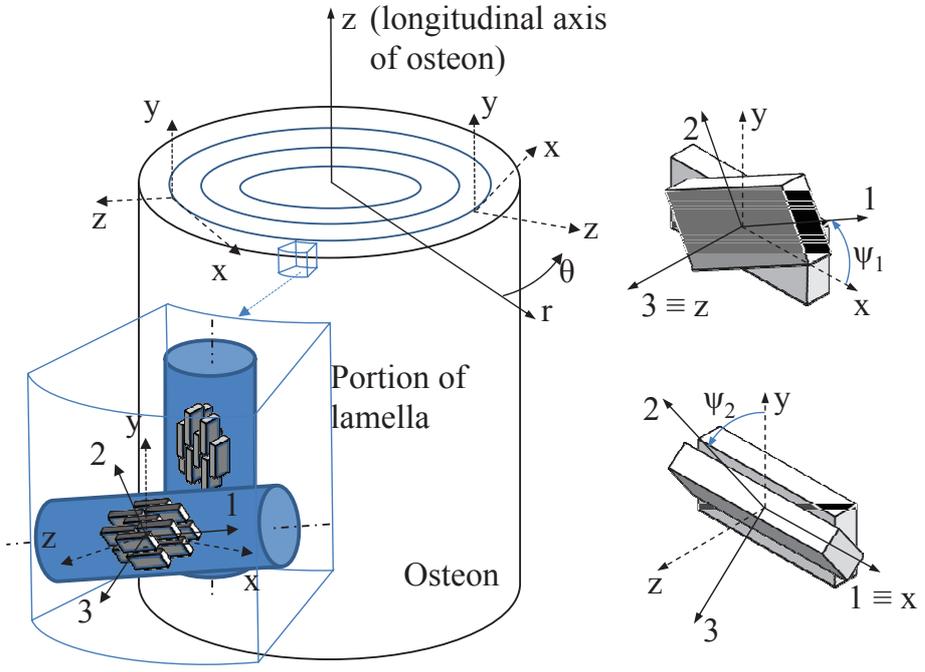


Figure 6: Coordinate system scheme. The local coordinate system (1,2,3) is aligned with each mineralized fibril. The cylindrical coordinate system  $(r, \theta, z)$  is used as a global coordinate system and a local auxiliary coordinate system  $(x, y, z)$  is defined at any point, being  $(x, y, z)$  coincident with  $(\theta, z, r)$  respectively. Definition of angles  $\psi_1$  and  $\psi_2$  that determine the crystal orientation.

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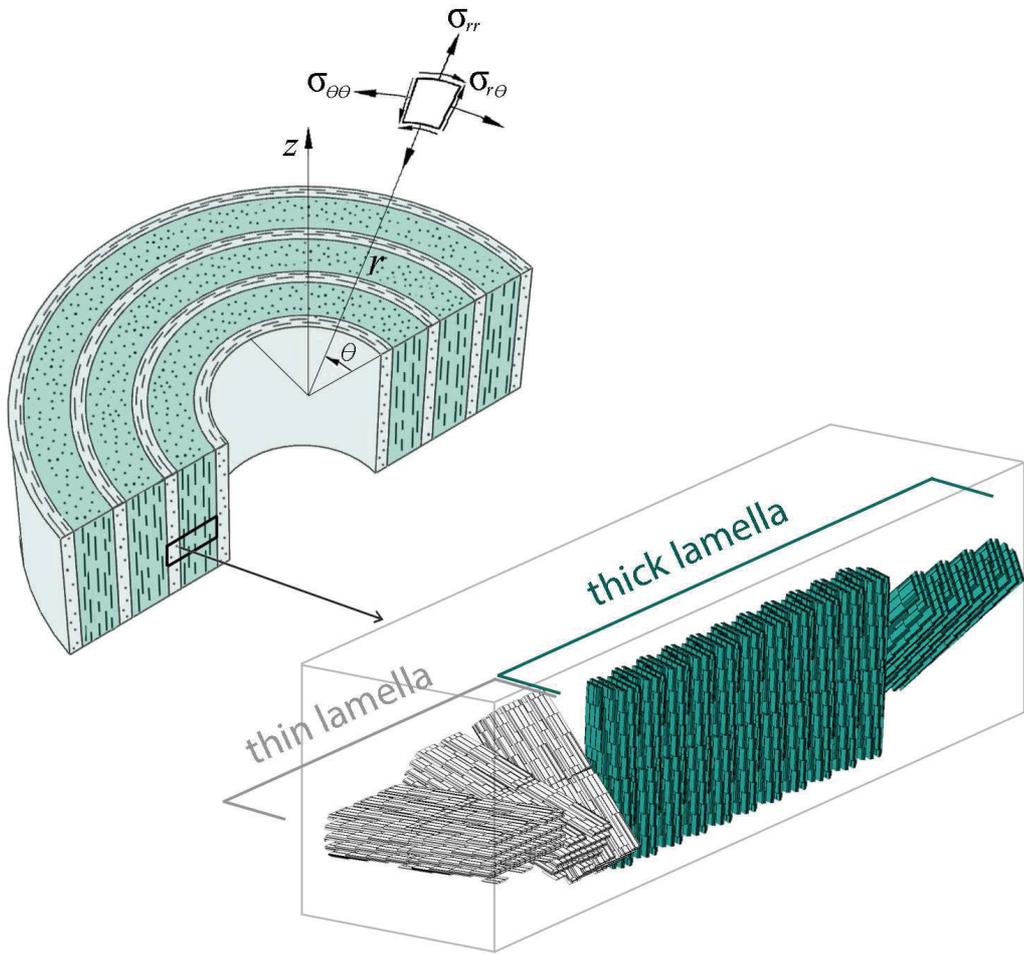


Figure 7: Model of an osteon with thin and thick lamellae, showing the cylindrical reference system  $(r, \theta, z)$  aligned with the osteon axis. Condensation of the 5 sublamellar structure, with different mineralized fibril orientations, into two equivalent layers: thin and thick lamellae.

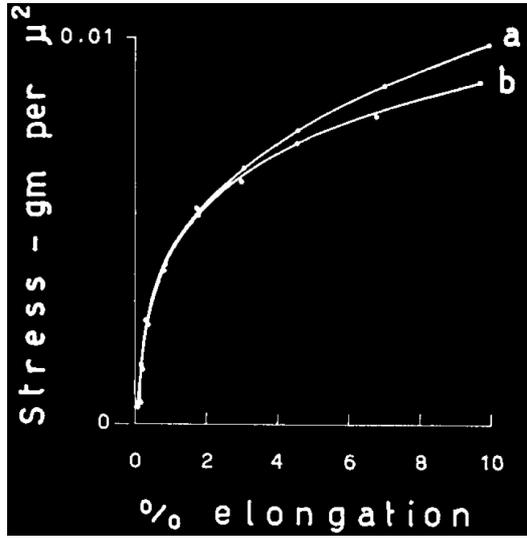


Figure 8: Stress-strain curves for tensile tests in the  $z$ -axis of single osteons. Curve (a) corresponds to a wet and fully calcified type I osteon, taken from a man of 30. Curve (b) Same from a man of 80. Note:  $1 \text{ gr}/\mu\text{m}^2 \approx 10^4 \text{ MPa}$ . Reprinted from Ascenzi and Bonucci (1967) with permission of John Wiley and Sons.

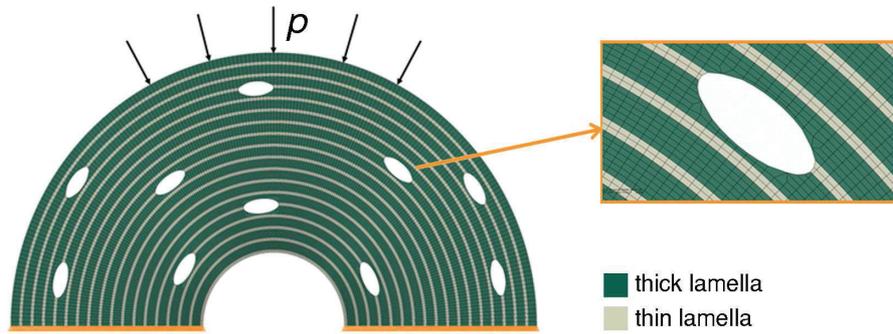


Figure 9: Finite element model, showing the applied load, the displacement constraints, the lamellae and the lacunae considered. The mesh is structured, enabling the arrangement into thin and thick lamellae of constant thickness and the cylindrical orientation of the material axis for each lamella. The detailed view shows the modification of the structured mesh to allow for the presence of lacunae.

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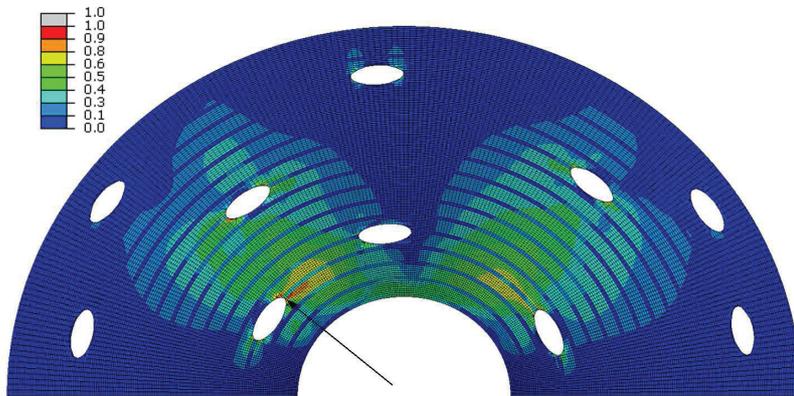


Figure 10: Initiation criterion. Application of the failure criterion of Brewer and Lagacé to determine the initiation of failure, reached at a load of  $p \approx 14$  MPa.

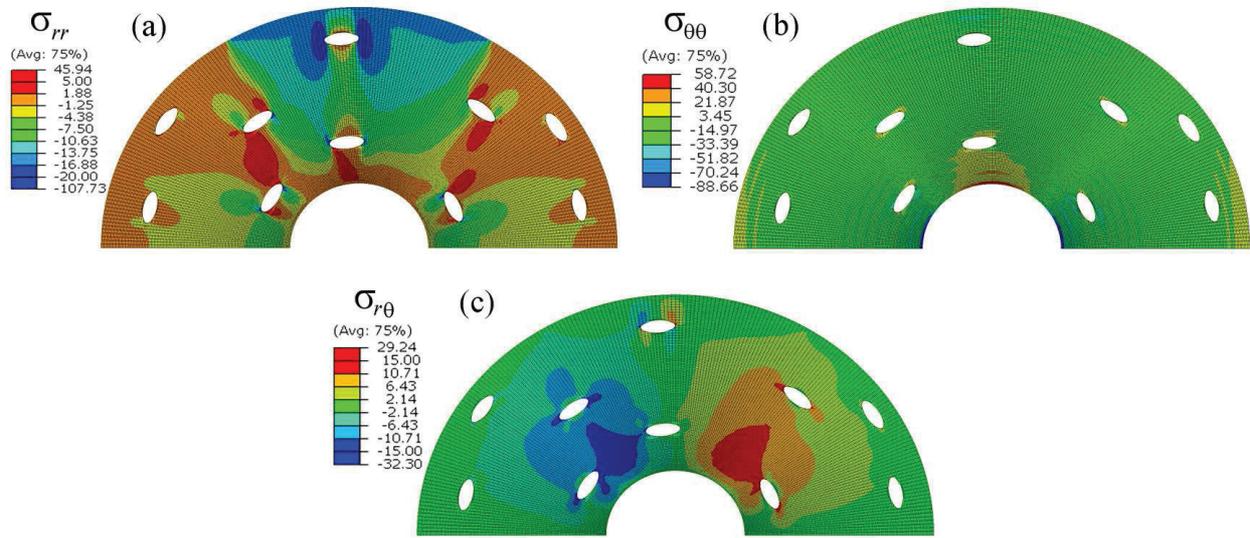


Figure 11: Stress fields (a)  $\sigma_{rr}$ , (b)  $\sigma_{\theta\theta}$  and (c)  $\sigma_{r\theta}$  for the failure initiation load of  $p \approx 14$  MPa, shown in Fig. 10.

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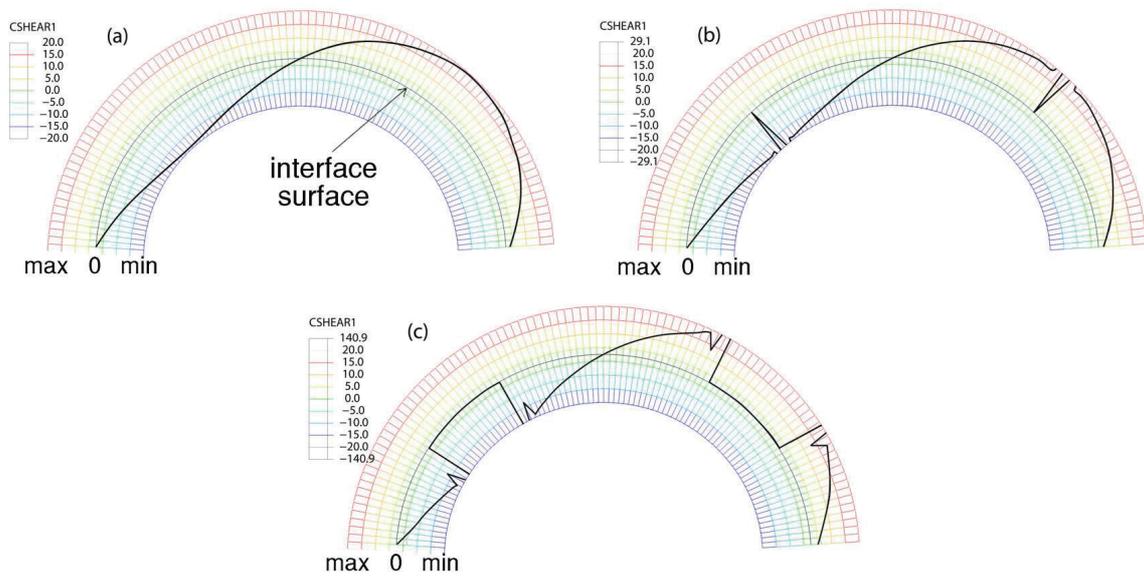


Figure 12: Node release technique. Evolution of shear stress along one of the contact surfaces (CSHEAR in Abaqus). For the sake of clarity, the surface does not intersect any lacunae. (a) Stress state before microcrack initiation; (b) microcrack initiation; (c) microcrack propagation.

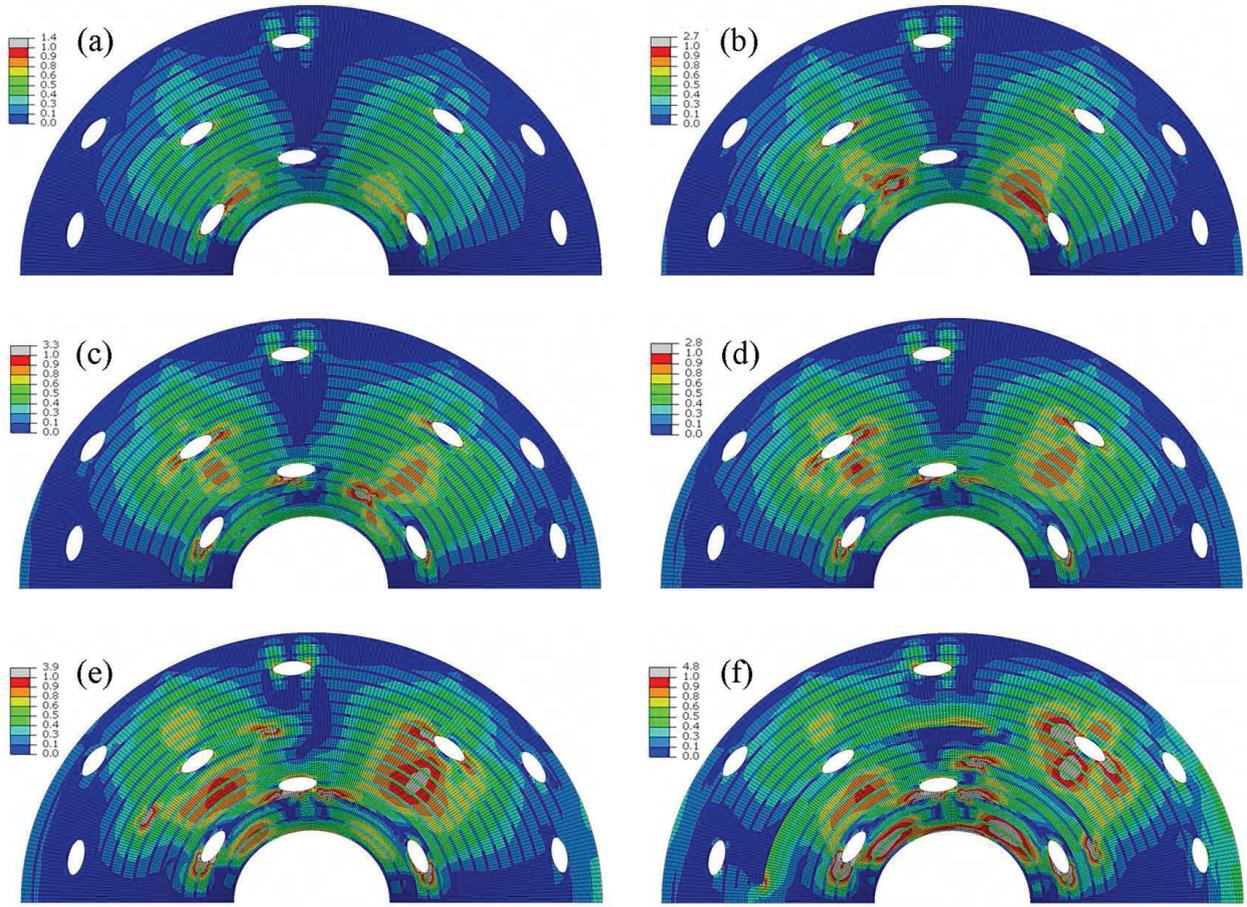


Figure 13: Node release technique. Evolution of the Brewer and Lagacé criterion for six instants of the microcracks initiation and propagation sequence. The instants correspond to the following applied pressures: (a)  $p \approx 14.0$  MPa, (b)  $p \approx 16.6$  MPa, (c)  $p \approx 18.0$  MPa, (d)  $p \approx 18.1$  MPa, (e)  $p \approx 19.1$  MPa, (f)  $p \approx 20.1$  MPa.

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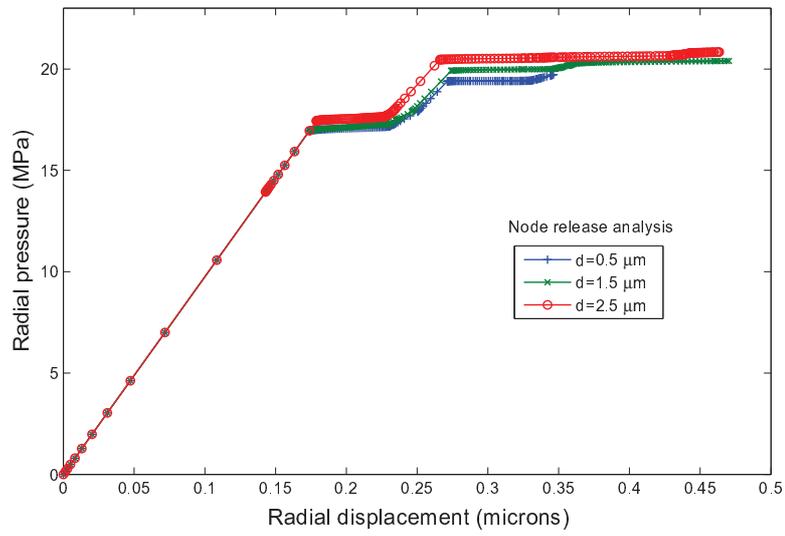


Figure 14: Node release technique. Sensitivity analysis to the characteristic length  $d$  used in the application of the procedure.

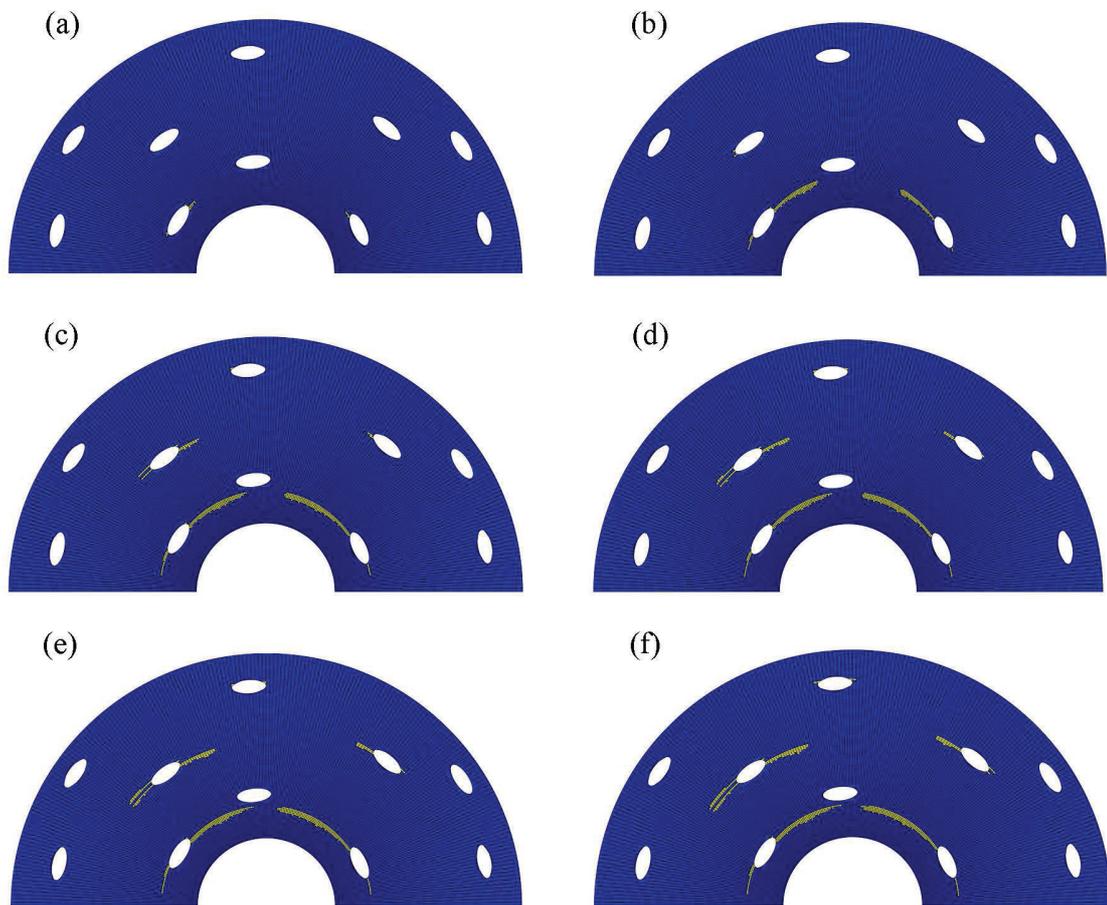


Figure 15: Progressive damage approach. Evolution of damaged elements (shown in yellow) by application of the interlaminar failure criterion of Brewer and Lagacé (7) for six instants of the damage propagation sequence. The instants correspond to the following applied pressures: (a)  $p \approx 14.0$  MPa, (b)  $p \approx 16.6$  MPa, (c)  $p \approx 18.0$  MPa, (d)  $p \approx 18.1$  MPa, (e)  $p \approx 19.1$  MPa, (f)  $p \approx 20.1$  MPa.

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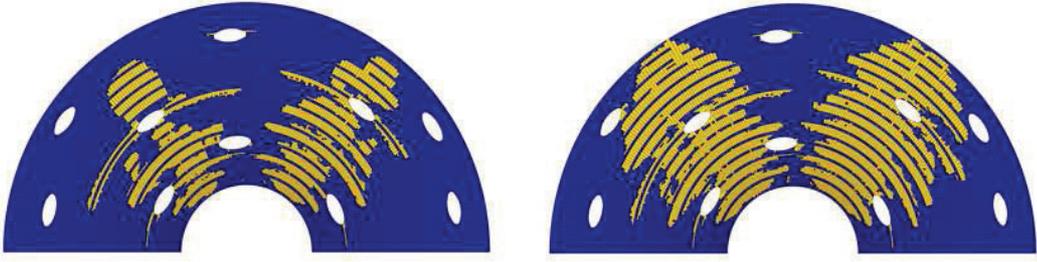
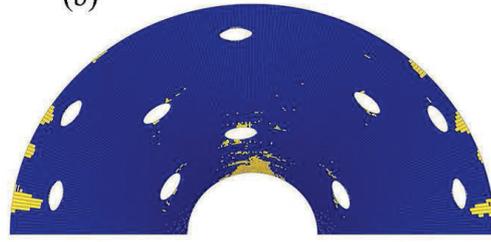


Figure 16: Progressive damage approach. Damaged elements (shown in yellow) by application of the interlaminar failure criterion of Brewer and Lagacé (7) for two advanced loading states (left,  $p \approx 29.3$  MPa; right,  $p \approx 31.5$  MPa).

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6 (b)



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14 Figure 17: Progressive damage approach. Evolution of damaged elements (shown in yellow) by application of the intralaminar failure criterion (6) for two instants of the damage propagation sequence.  
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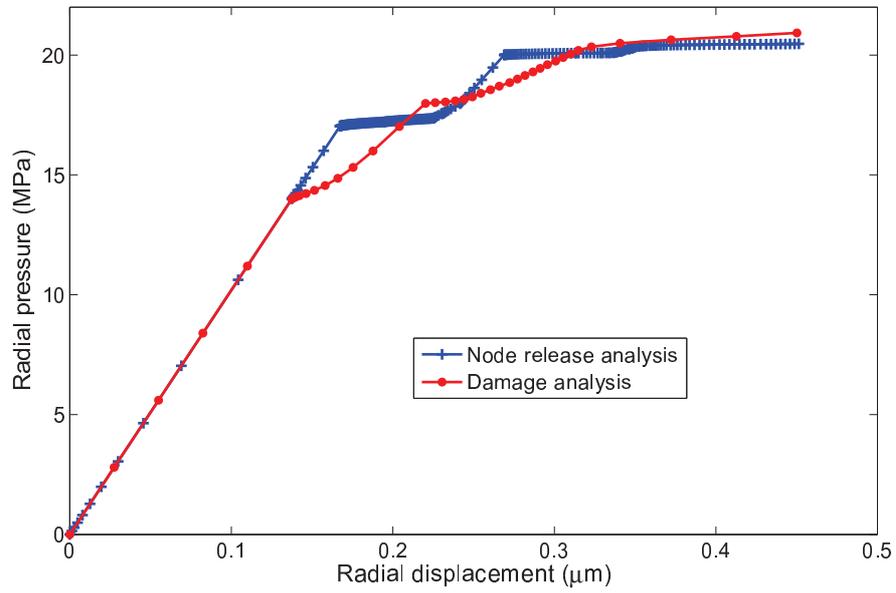


Figure 18: Comparative evolution of the applied pressure vs. the displacement of the load application point located on the vertical radius. Results for both techniques: node release technique and progressive damage approach.

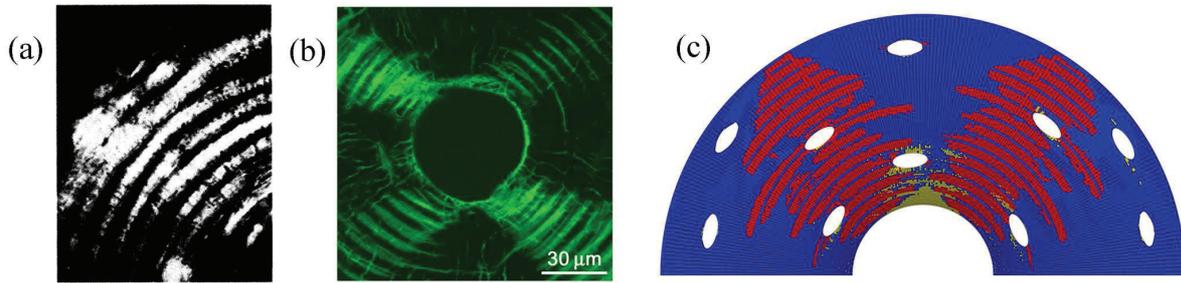


Figure 19: (a) and (b) Experimental images showing the damage in an osteon resulting from a radial compressive load, reported in Ascenzi et al. (1973) and Ebacher et al. (2012), both reprinted with permission of Elsevier. (c) Fields of progressive damaged elements for an advanced load state. In red, damaged elements according to the interlaminar failure criterion of Brewer and Lagacé (7); in yellow, damaged elements according to the intralaminar criterion (6).

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Table 1: Setting of field variables according to the material state.

Material state	Stiffness	FV1	FV2
No failure	100%	0	0
Interlaminar failure (due to $\sigma_{rr}, \sigma_{r\theta}$ )	5%	1	0
Intralaminar failure (due to $\sigma_{\theta\theta}$ )	5%	0	1

Figure 1

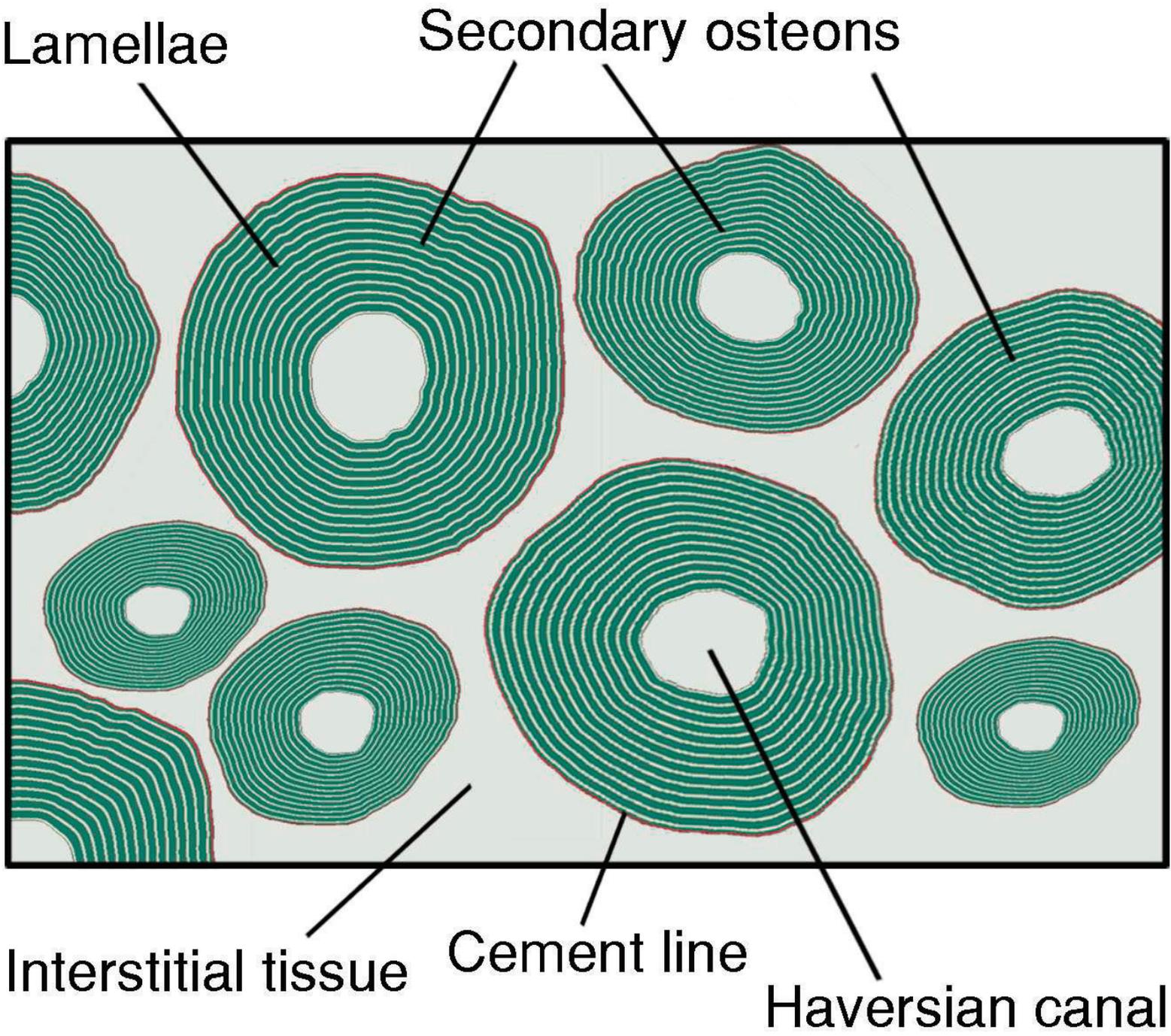


Figure 2

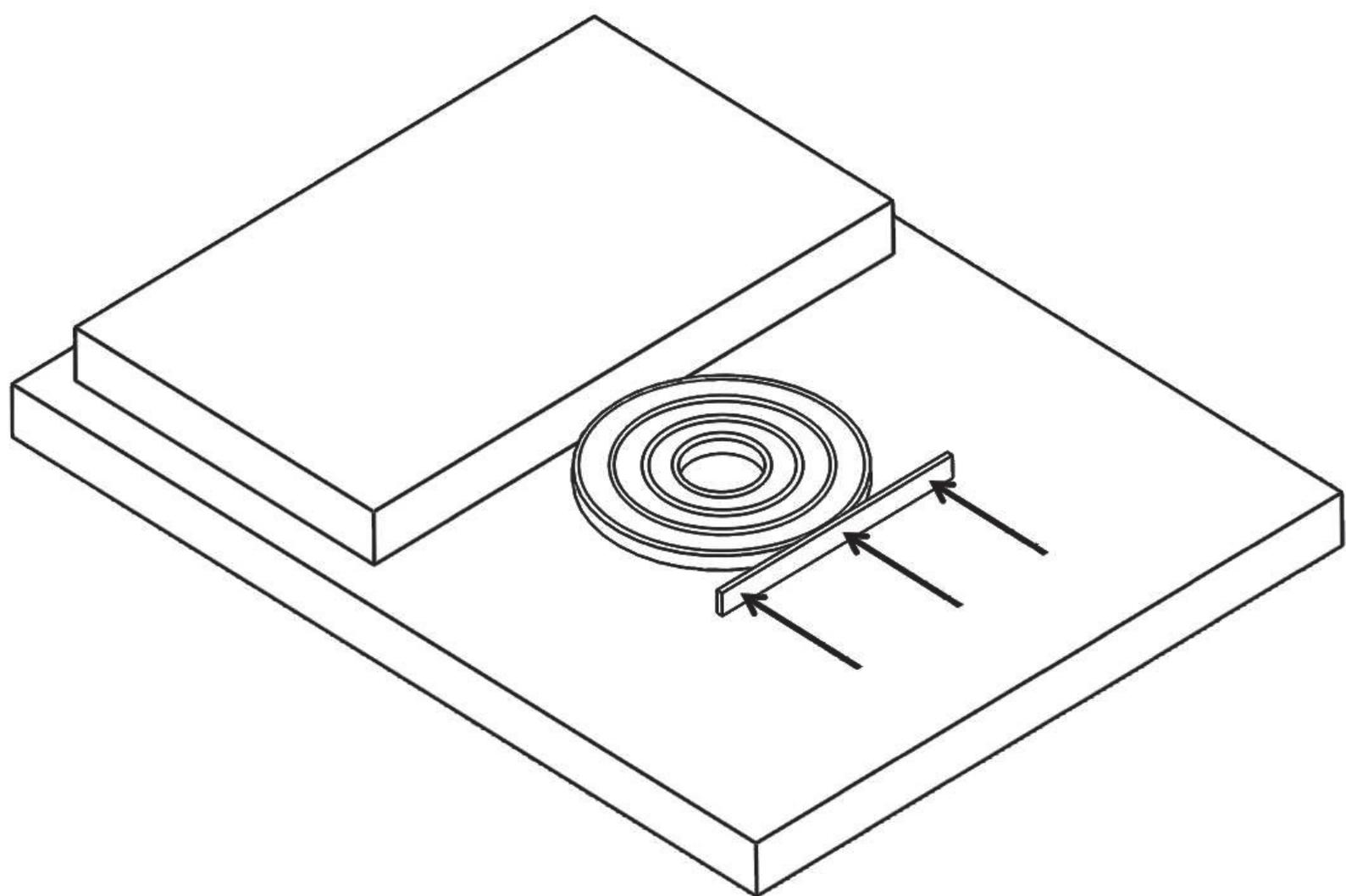
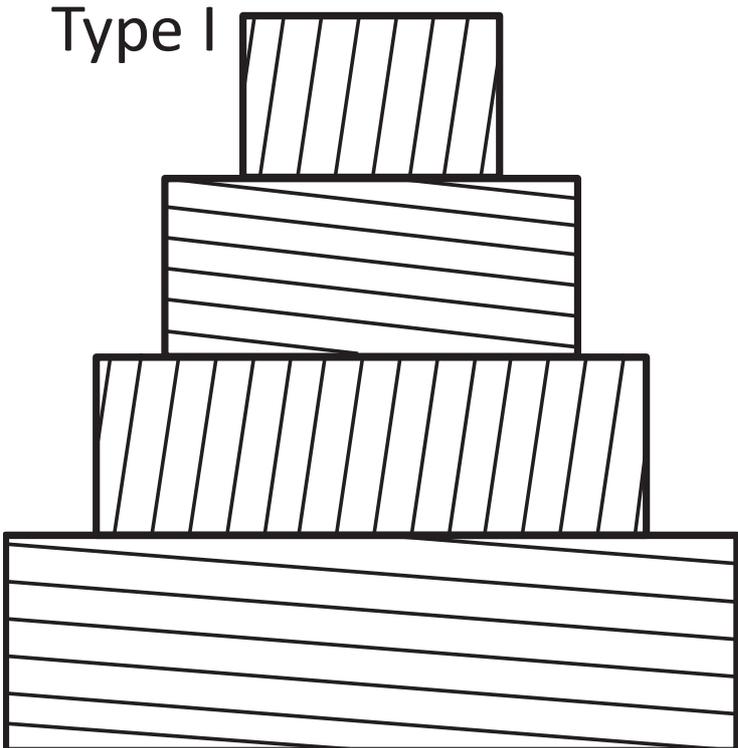


Figure 3

Type I



Type II

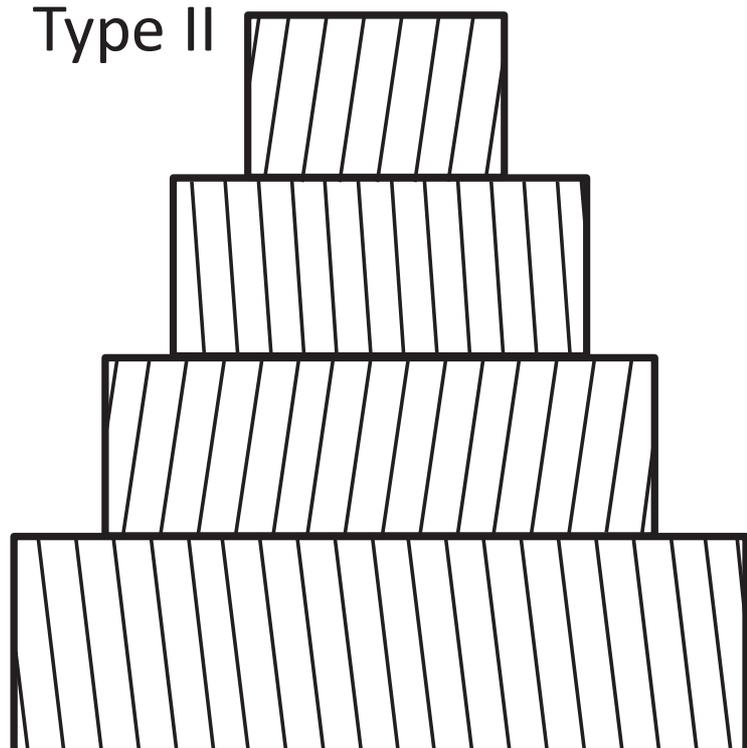


Figure 4

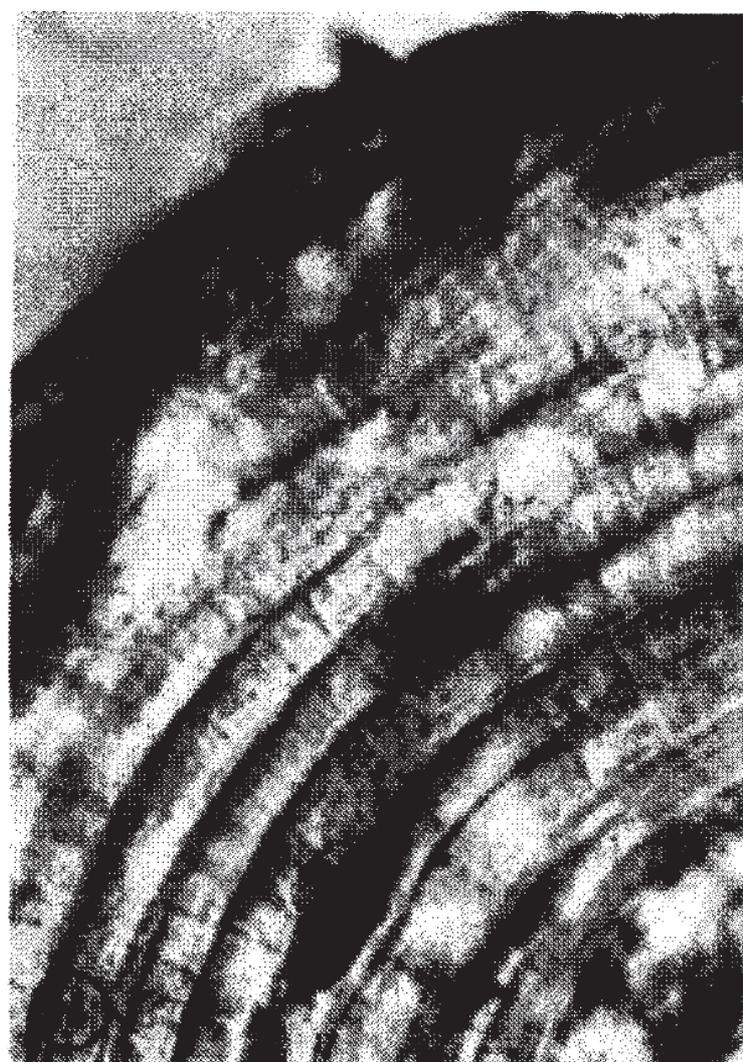
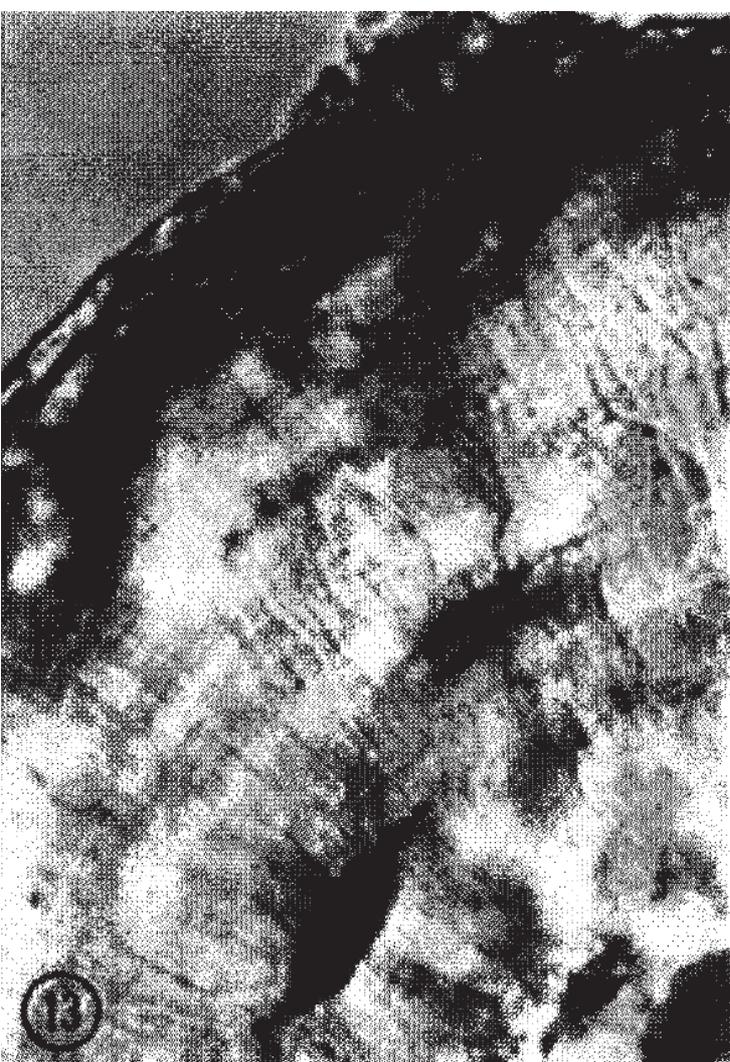


Figure 5

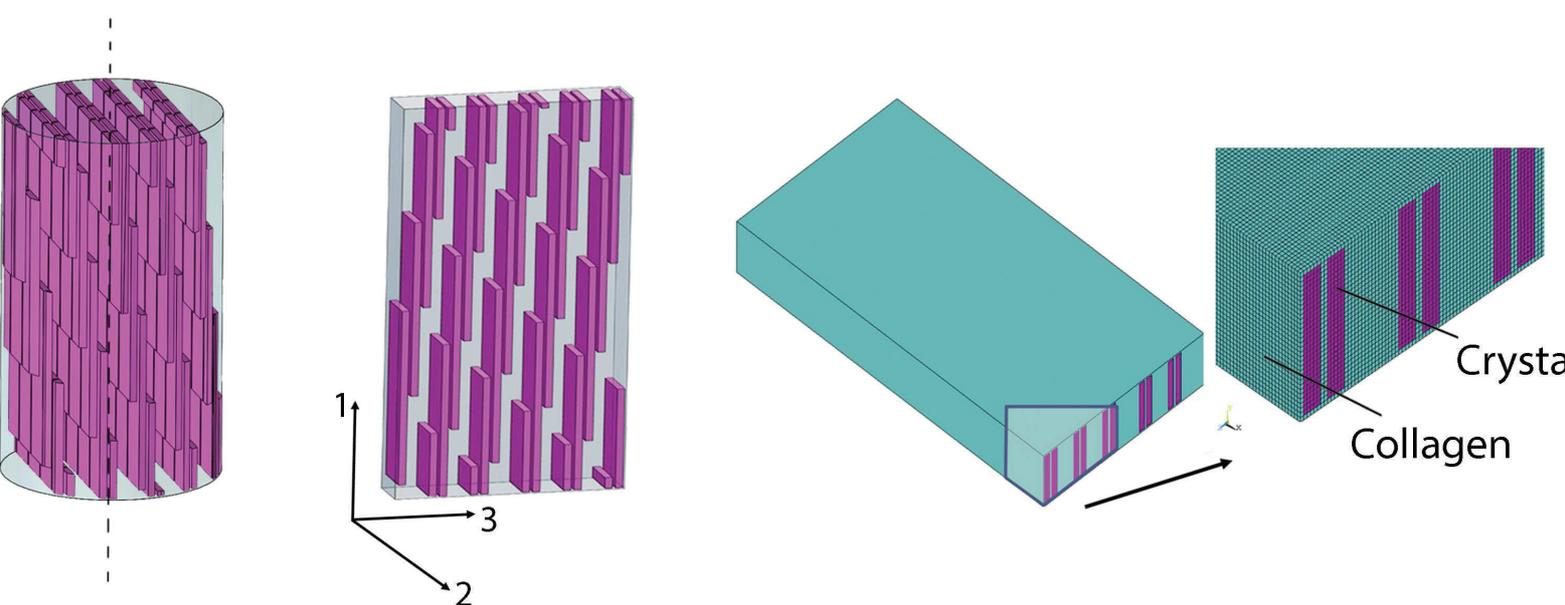


Figure 6

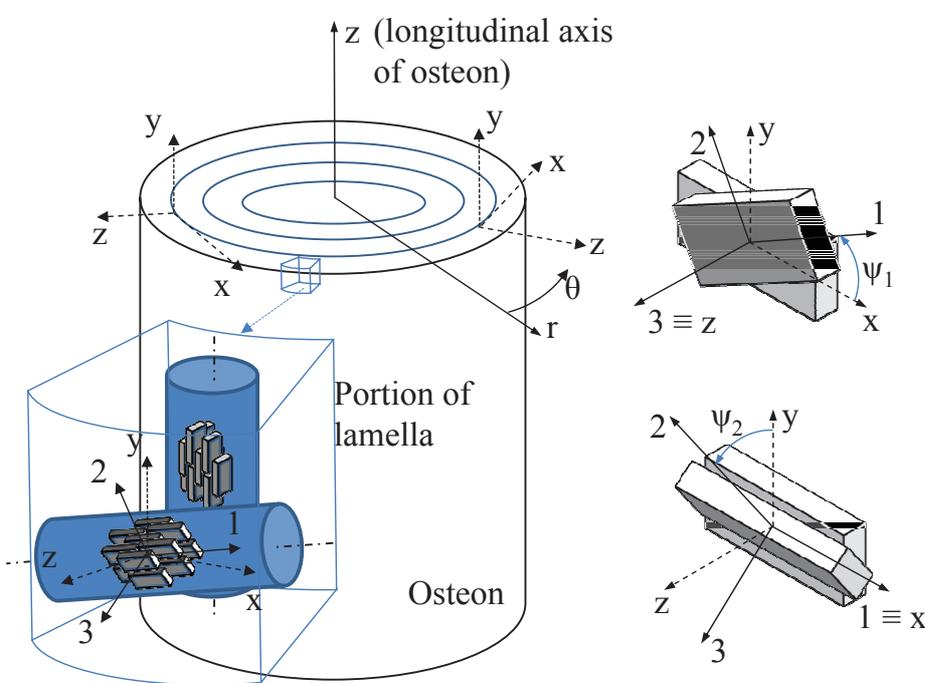


Figure 7

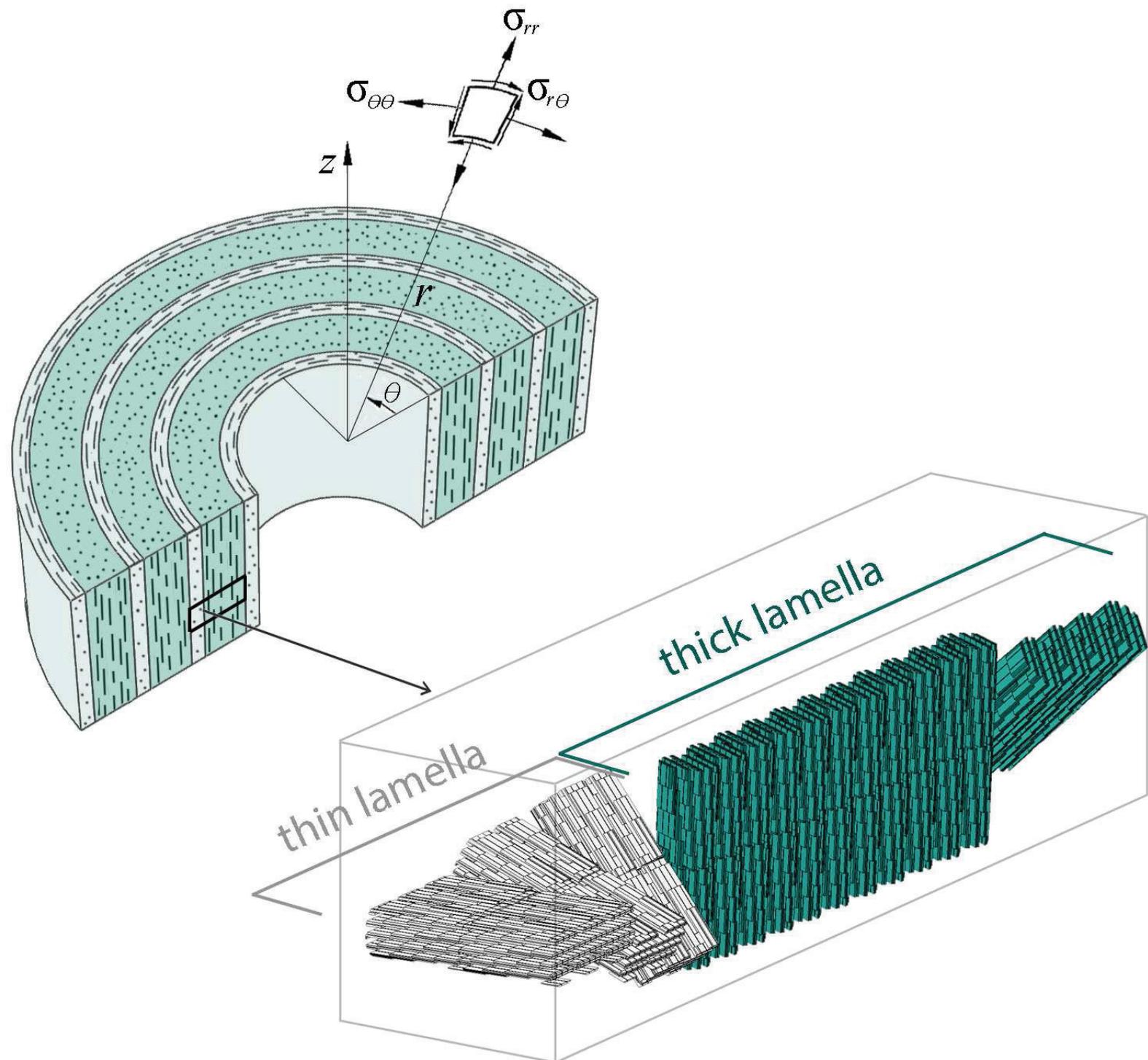


Figure 8

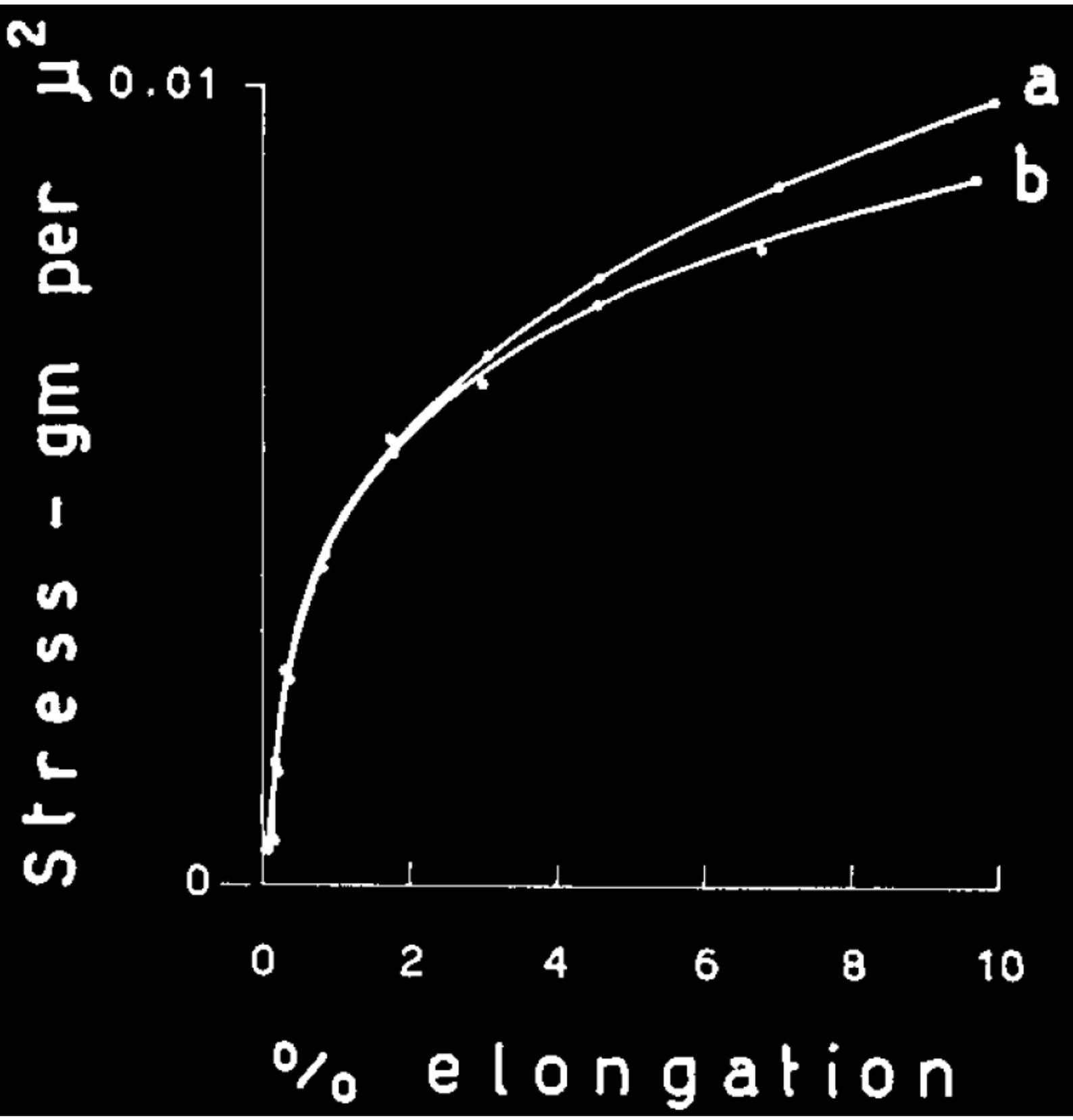


Figure 9

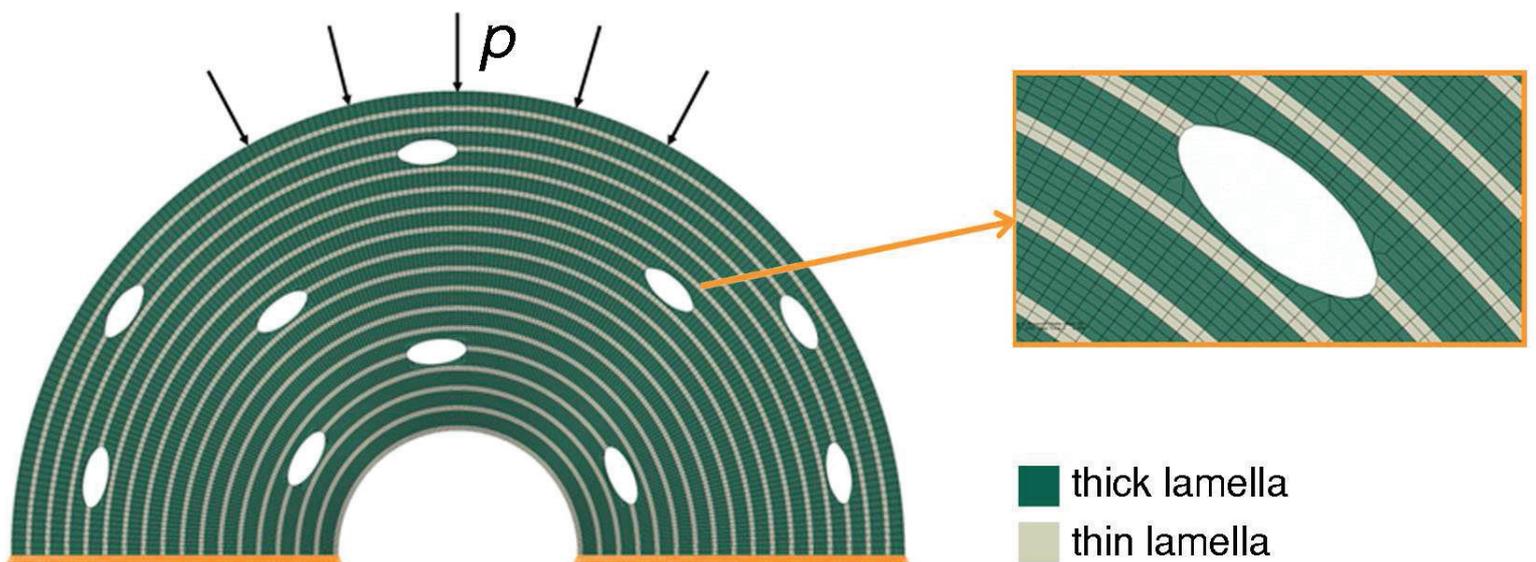


Figure 10

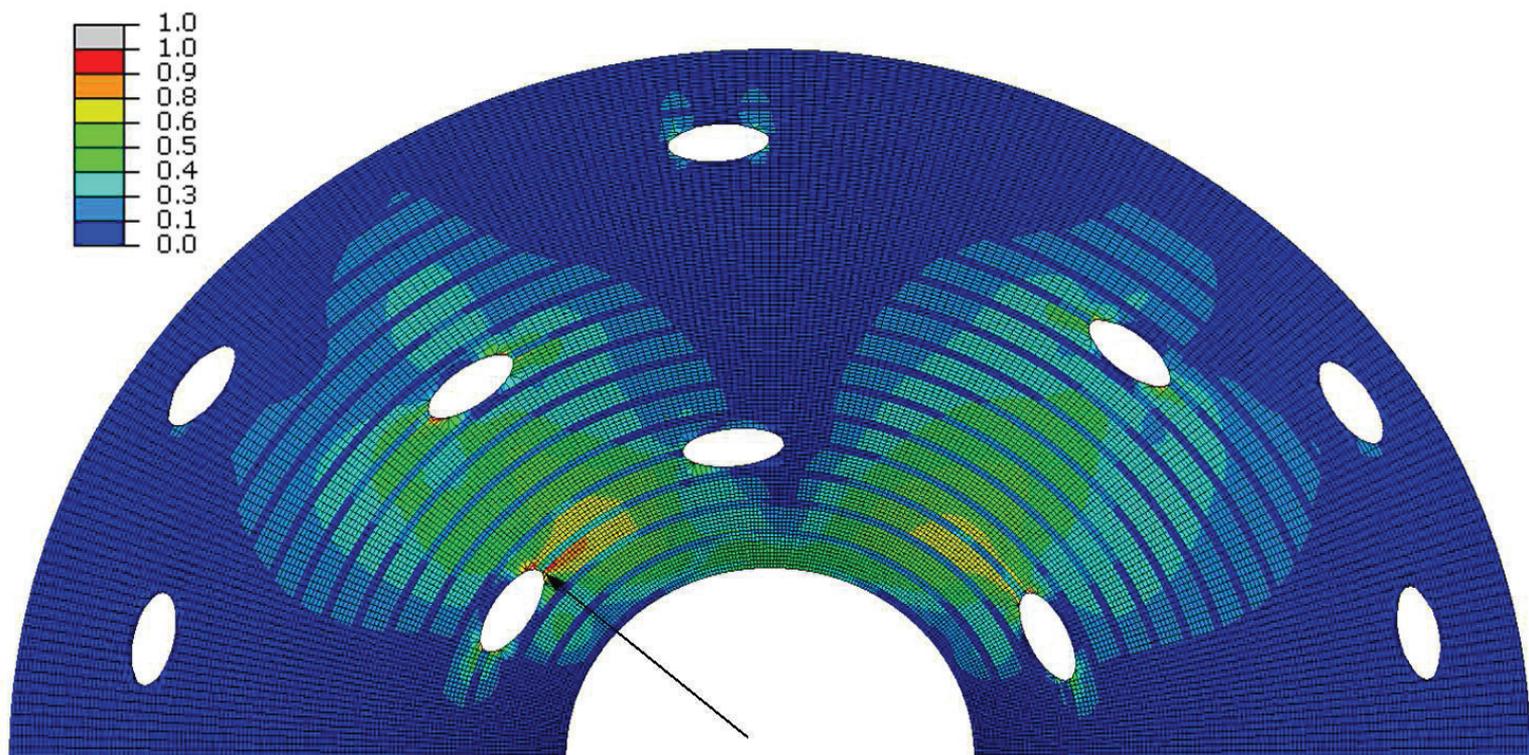


Figure 11

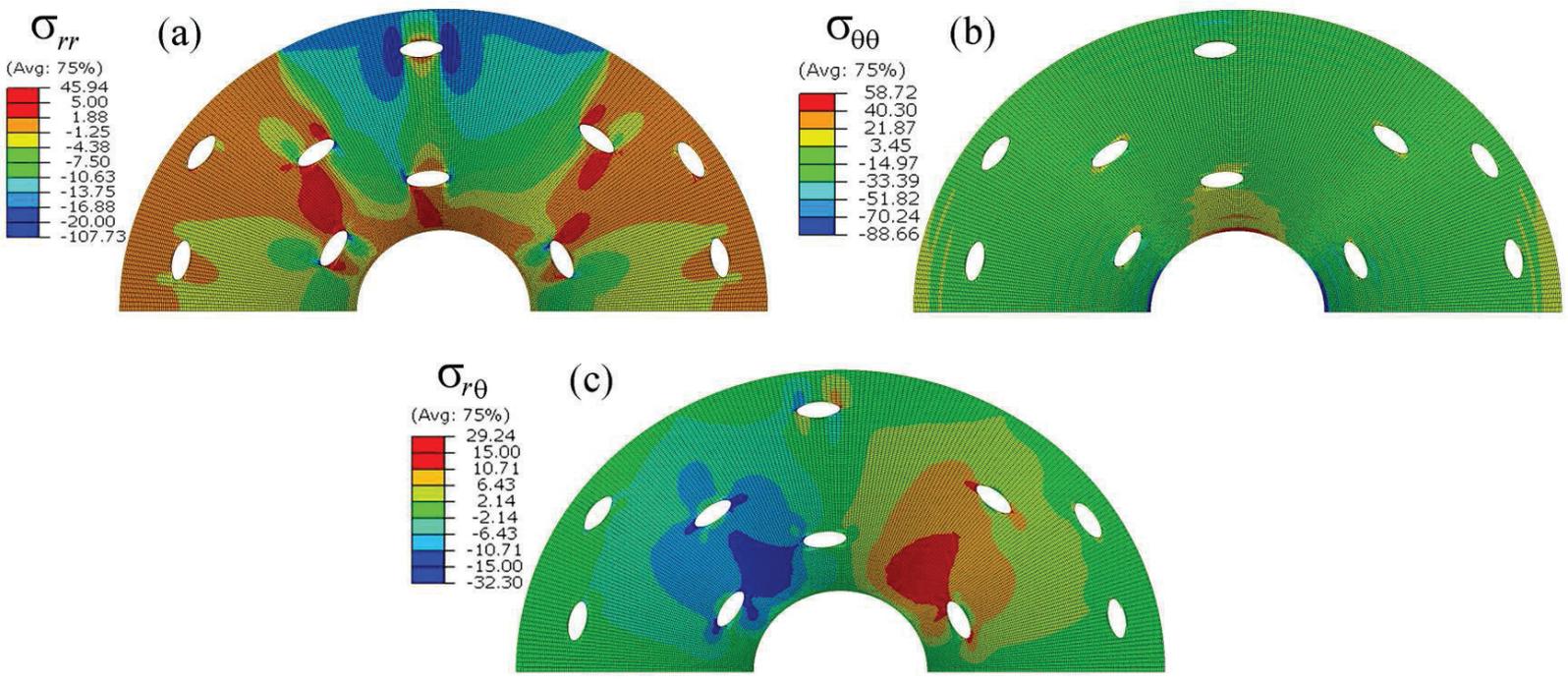


Figure 12

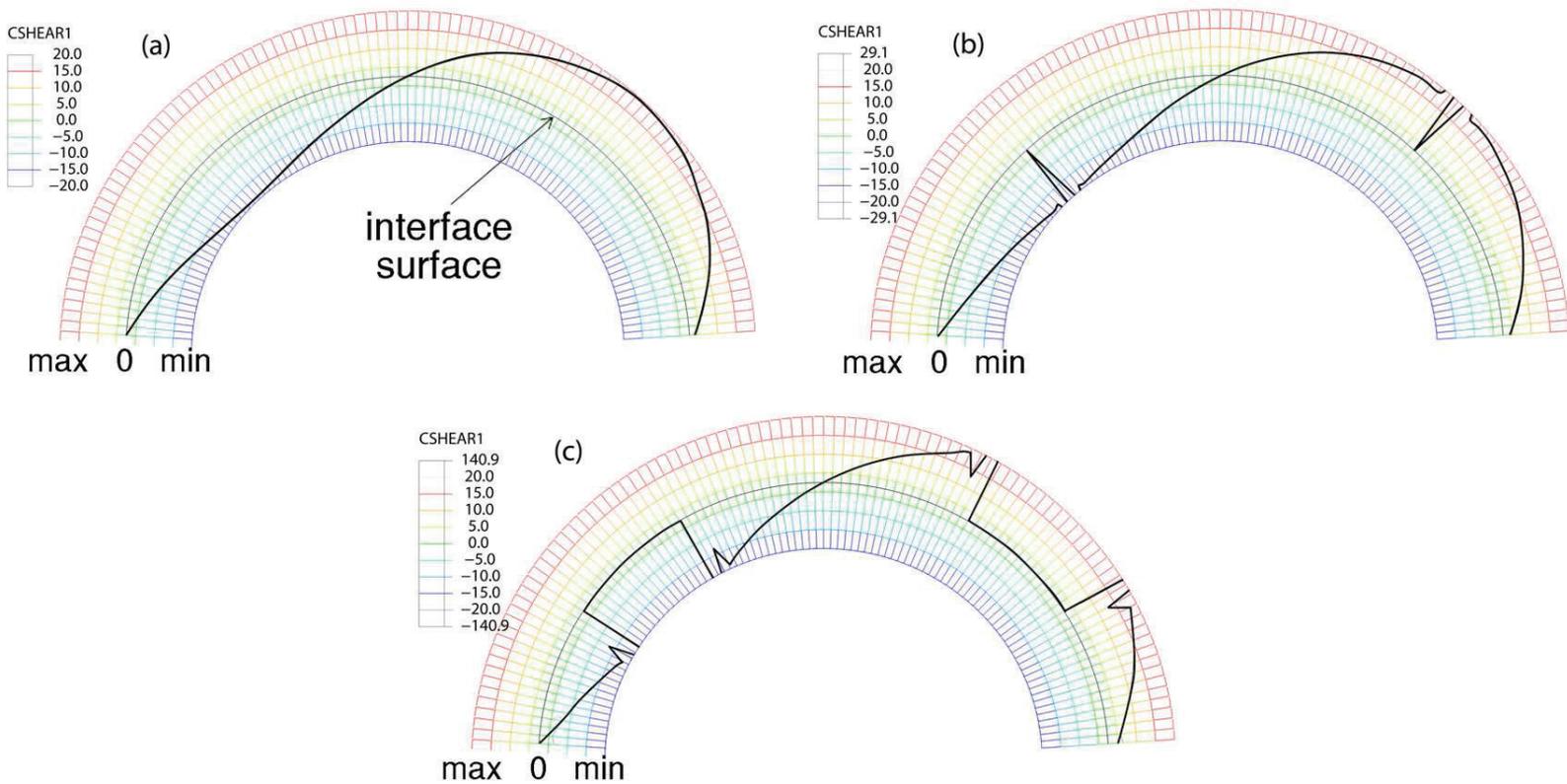


Figure 13

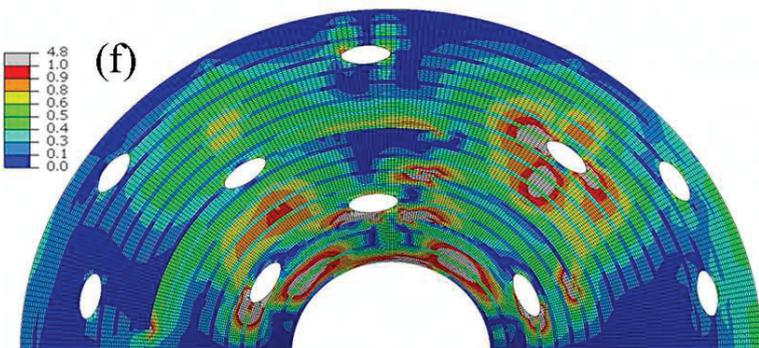
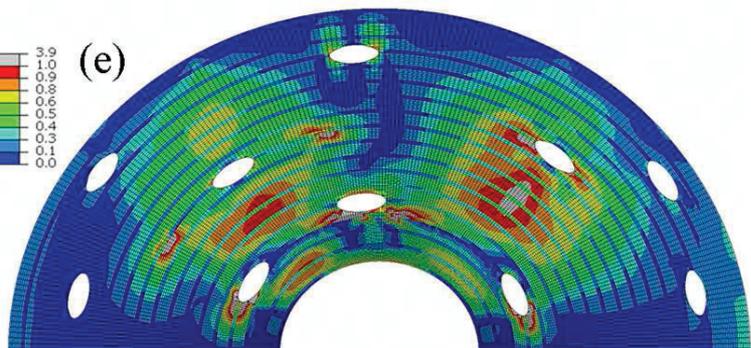
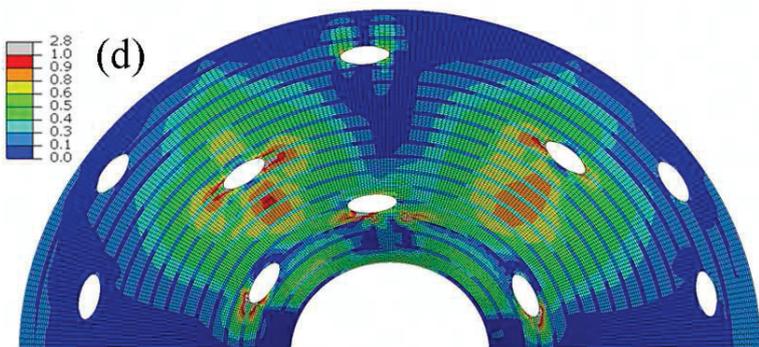
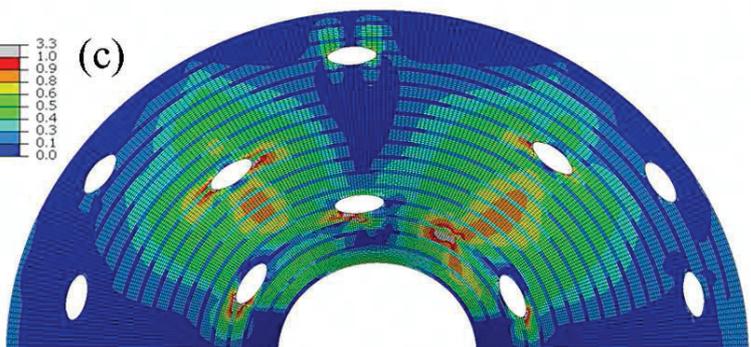
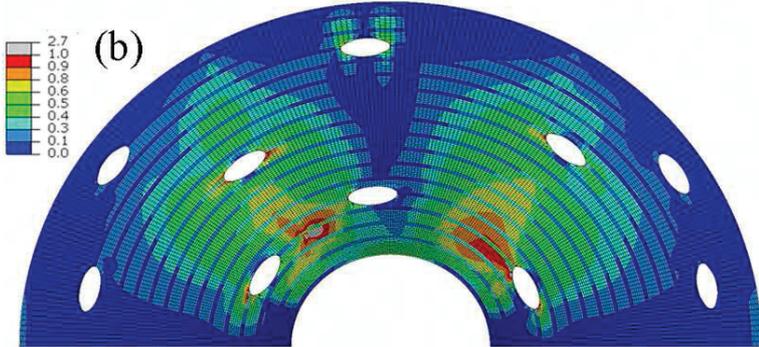
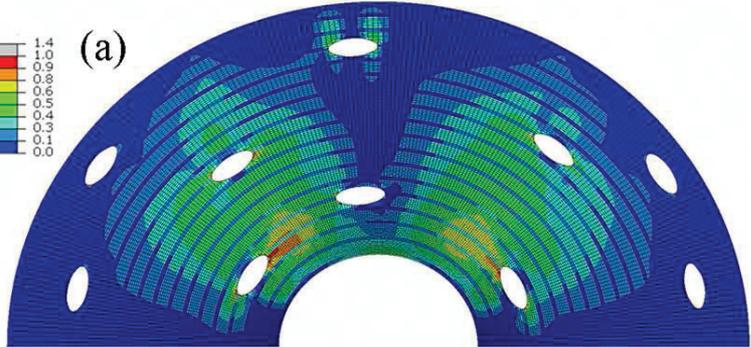


Figure 14

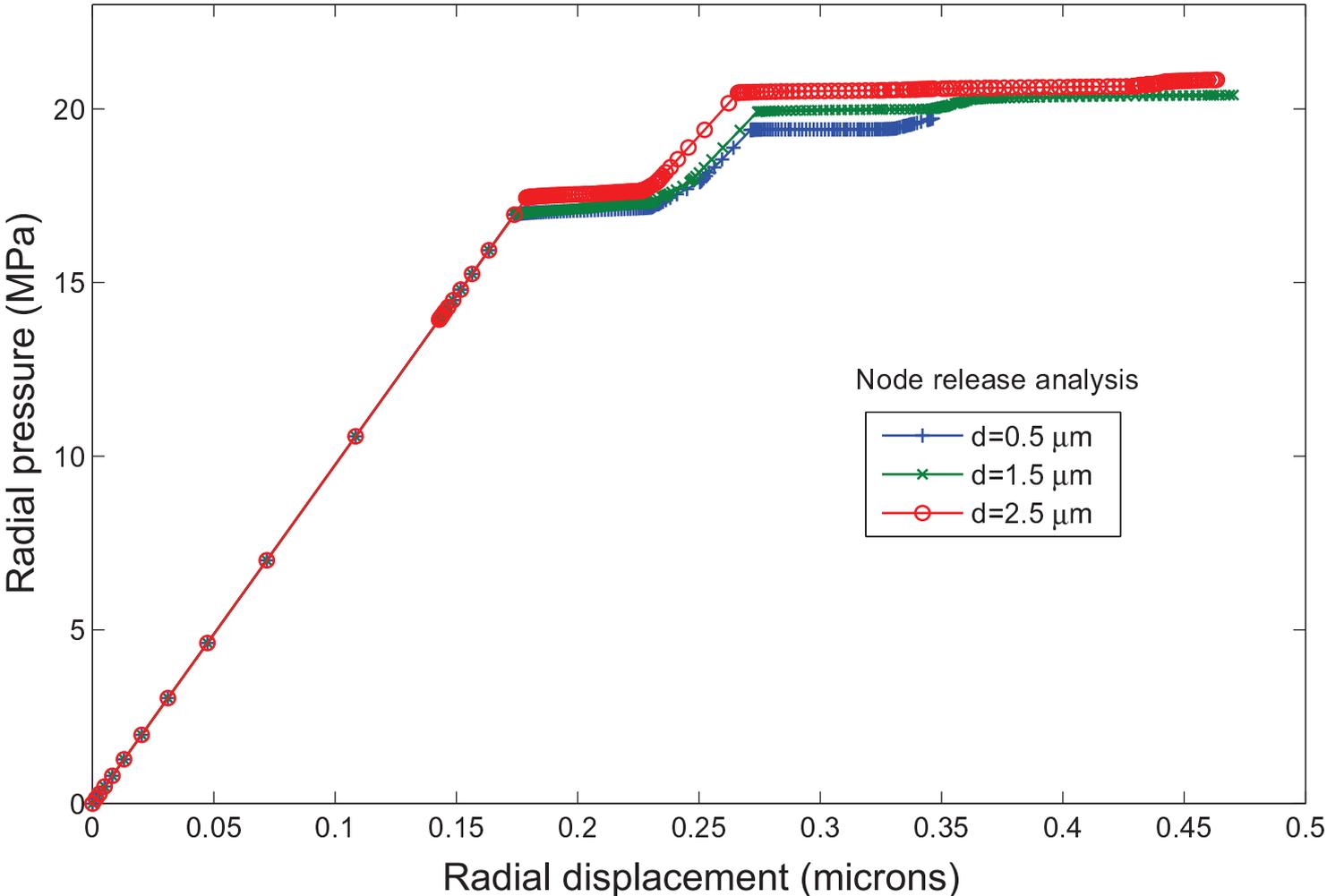


Figure 15

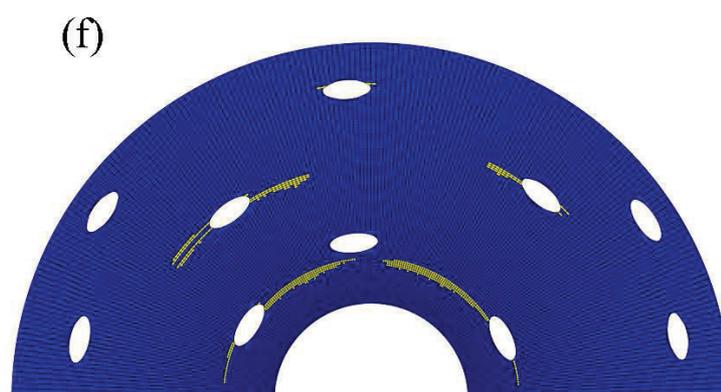
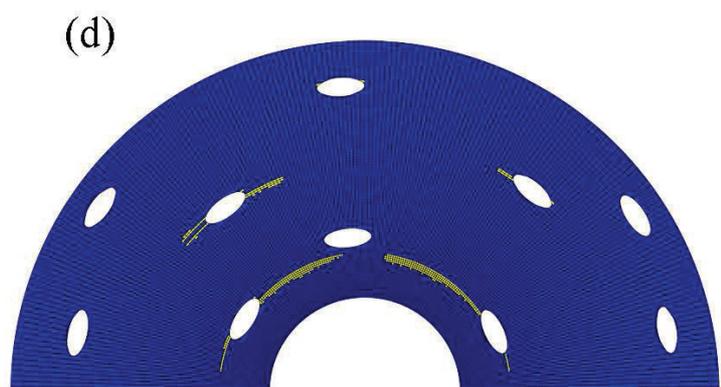
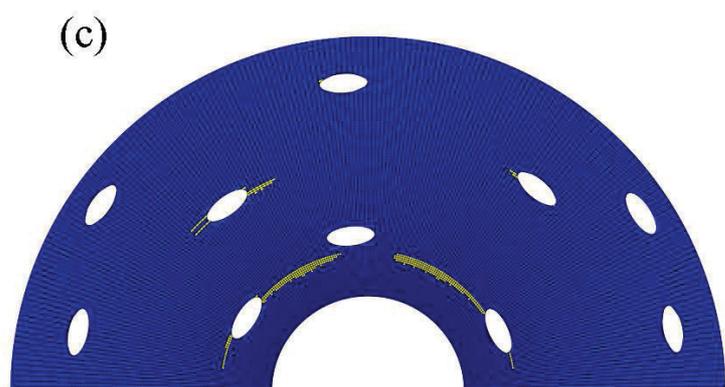


Figure 16

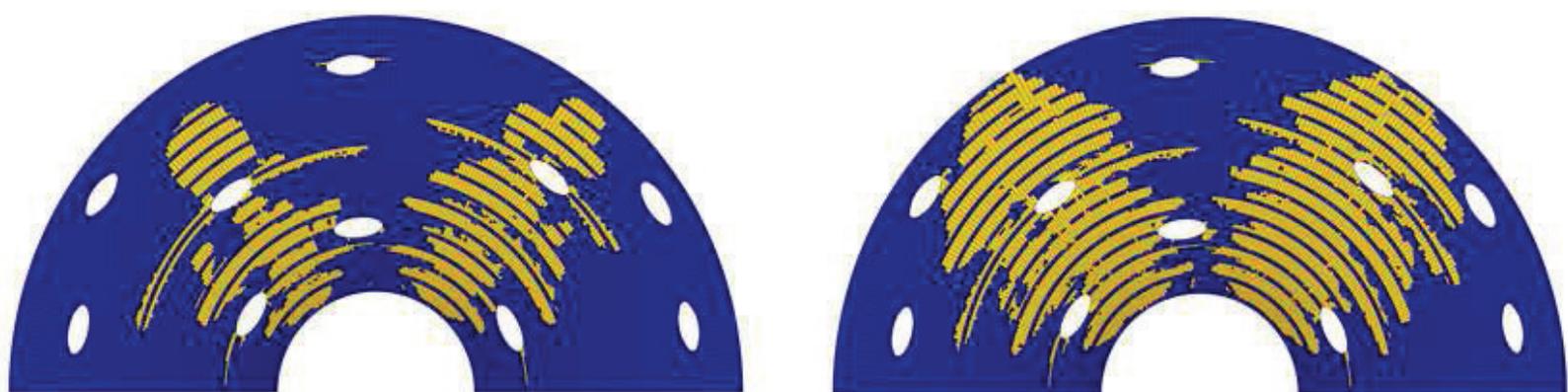


Figure 17

(a)



(b)



Figure 18

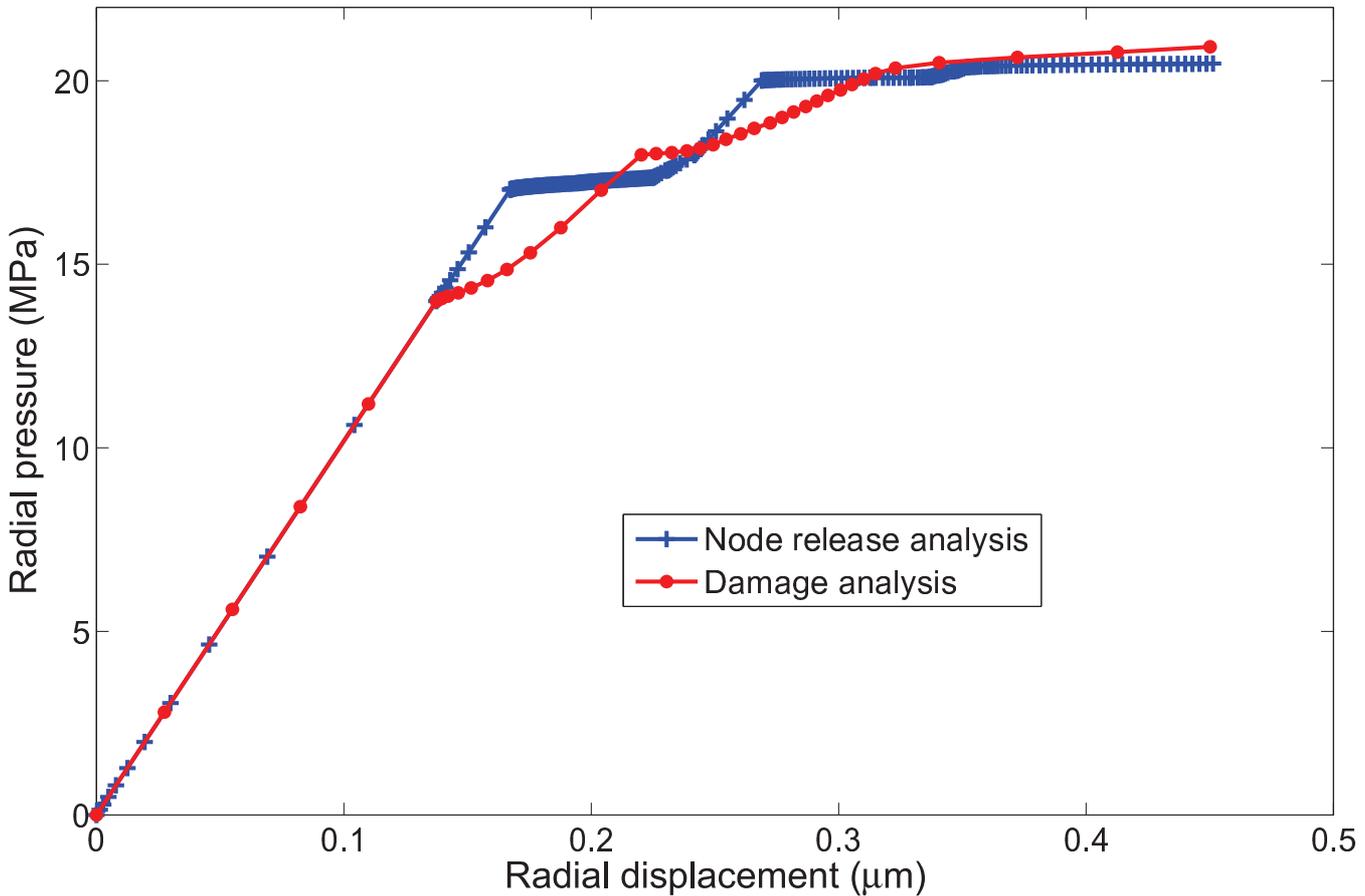


Figure 19

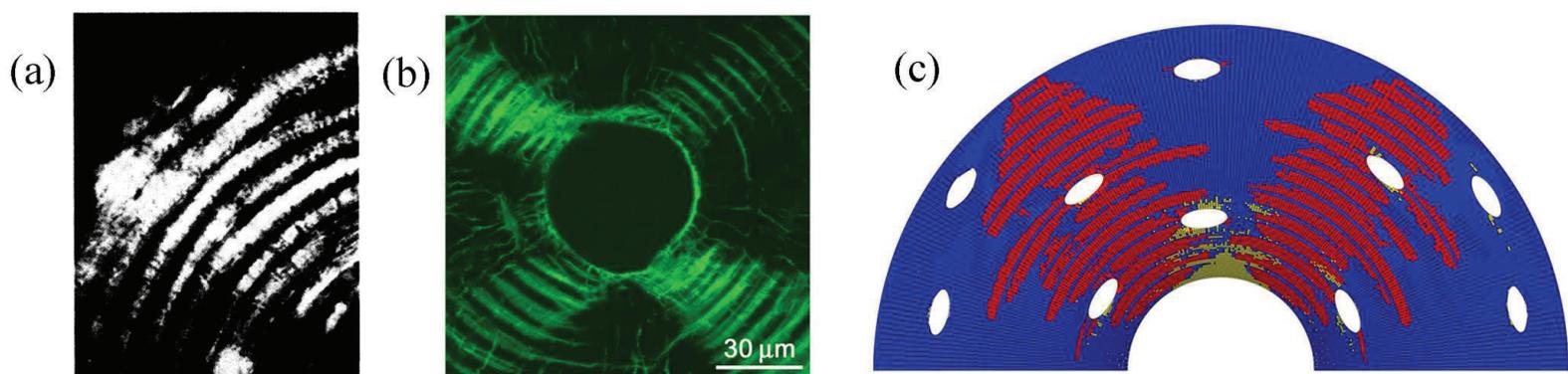


Table 1

Material state	Stiffness	FV1	FV2
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