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1 **Mesoporous Silica-Based Supports for the Controlled and Targeted Release of**  
2 **Bioactive Molecules in the Gastrointestinal Tract**

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13 **Short version of title:** Smart delivery systems based on MSPs

14 **Keywords:** controlled delivery, targeted delivery, porous silica, molecular gates,  
15 gastrointestinal tract

16

17

18 **Abstract**

19 Mesoporous silica particles (MSPs) have attracted increasing interest as supports in the design  
20 of controlled delivery materials. Besides their excellent properties as loading supports (i.e.  
21 large surface area and pore volume), the modification of their external surface with  
22 molecular/supramolecular ensembles allows the design of gated MSPs. Delivery systems based  
23 on gated MSPs show “zero delivery” until an adequate stimulus is present and triggers gate  
24 opening and the cargo is released. Encapsulation of bioactive molecules in gated MSPs may  
25 improve biological stability, facilitate component handling, mask unpleasant sensorial  
26 properties and modulate the bioaccessibility of target molecules along the gastrointestinal  
27 tract. These properties make gated MSPs excellent candidates for encapsulating bioactive  
28 molecules and their subsequent utilization in the formulation of functional foods. This text  
29 highlights the most significant endogenous triggering stimuli that might be applied to design  
30 these site-specific delivery systems, as well as the strategies to develop them. Given the  
31 novelty of using MSPs in the food sector, the benefits and current potential limitations of  
32 employing MSPs in human food have been identified and discussed.

33

## 34 1. Mesoporous silica particles as encapsulation supports

35 Mesoporous silica particles (MSPs) are structures of silicon dioxide (SiO<sub>2</sub>) which are arranged  
36 so that they create pores of 2-50 nm (Zhao 2006). The first described porous silica with a  
37 uniform pore size, called folded sheet mesoporous material (FSM-16), was reported by Kuroda  
38 and co-workers in 1990 (Yanagisawa and others 1990). A few years later, in 1992, researchers  
39 of the Mobil Company reported the synthesis of a family of mesoporous silica materials called  
40 M41S (Beck and others 1992), which include hexagonal MCM-41, cubic MCM-48 and lamellar  
41 MCM-50.

42 Since its discovery, applications of MSPs have grown exponentially as a result of their unique  
43 properties. Specifically, MSPs have demonstrated to have huge applications in the food sector,  
44 where they could be employed as catalysts in the synthesis of nutrients and bioactive  
45 molecules (Márquez-Ávarez and others 2004), in sensor technology (Climent and others 2009)  
46 and also as carriers in the design of smart delivery systems (Bernardos and others 2008, Pérez-  
47 Esteve and others 2015). Of these applications, the design of smart delivery systems is viewed  
48 as challenging given the possibility of improving the handling and utilization of different  
49 bioactive molecules or functional ingredients, and the subsequent formulation of functional  
50 food (Bernardos and Kourimská 2013).

51 Although there is neither a regulatory nor a standard definition of “functional foods” (Aryee  
52 and Boye 2015), this term refers to the foods and food components that may offer health  
53 benefits beyond basic nutrition (Bech-Larsen and Grunert 2003). The terms food components  
54 and bioactive ingredients with beneficial biological activity include basic nutrients (i.e.  
55 carbohydrates, proteins, lipids, vitamins, minerals, etc.), bioactive components (i.e., omega-3  
56 fatty acids, amino acids and peptides, and phytochemicals), sensory appeal compounds (i.e.  
57 organic acids, flavors and pigments), as well as pre- and probiotics, healthy oils, spices and  
58 herbs (Fang and Bhandari 2012).

59 Despite the increase in functional products in markets and the scientific literature, the  
60 incorporation of these functional ingredients into existing food formulations is still viewed as  
61 challenging. On the one hand, most studies on the functionality of food compounds have been  
62 done *in vitro*, which thus excludes studying changes in potential active compounds during food  
63 processing, storage, ingestion and interaction with gut microflora. On the other hand, some  
64 bioactive components are most complicated to be handled or are not compatible with the  
65 food matrix in terms of solubility (lipophilic compounds), sensorial properties (i.e. fish oils or  
66 garlic extracts), or are very susceptible to degradation (vitamins, antioxidants). The desire to  
67 overcome these limitations has increased the interest in the encapsulation of bioactive  
68 components because after encapsulation, they could be released in a particular site-of-action  
69 of the digestive tract and/or be absorbed in their native form, which thus avoids problems  
70 related to instability or to unpleasant sensory properties (McClements 2012).

71 Typically, food applicable encapsulating systems are based on carbohydrates, proteins or lipids  
72 (Fathi and others 2012; Wang and others 2012; Fathi and others 2014). However, these  
73 systems exhibit low structure stability while food is processed and stored, a poor capability to  
74 control the release rate or to provide a targeted delivery, and a very poor effect on the  
75 protection of the encapsulated substance while it passes through the stomach. Some of these

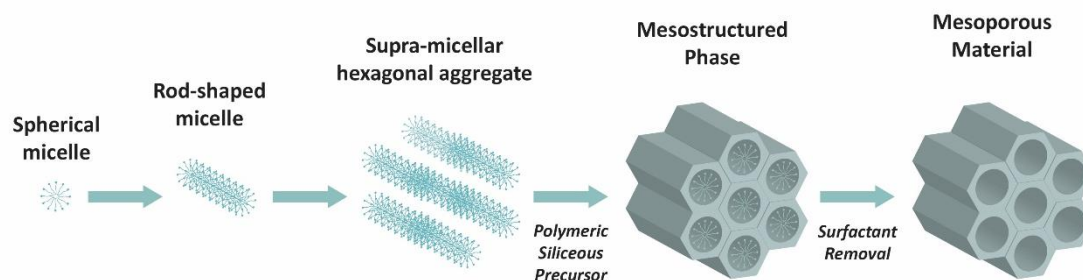
76 problems could be avoided if mesoporous silica particles (MSPs) are used as encapsulating  
77 supports. Compared to other organic polymer-based carriers, MSPs are more stable, rigid and  
78 biocompatible. They also better resist the harsh conditions of the stomach and microbial  
79 attack. MSPs are also able to protect entrapped guest molecules against enzymatic  
80 degradation or denaturation induced by pH or temperature (Arcos and Vallet-Regí 2013).

81 This review critically assesses the possible use of mesoporous silica materials to design site-  
82 specific smart delivery systems capable of encapsulating, protecting, transporting and  
83 releasing bioactive molecules in a controlled fashion in the gastrointestinal tract (GIT).

## 84 2. Fabrication of gated MSPs

### 85 2.1 Synthesis and features of the inorganic support

86 MSPs are synthesized using two main elements: a) a template whose function is to direct the  
87 construction of the high ordered (crystalline) porous net; b) a polymeric precursor which self-  
88 organizes around the template and, upon polymerization, builds up the final rigid structure.  
89 Synthesis starts with the polymerization, in an aqueous solution, of the inorganic siliceous  
90 precursor (i.e. tetraethyl orthosilicate) around surfactant micelles (i.e. *N*-  
91 cetyltrimethylammonium bromide -CTAB-). The mesoporous inorganic scaffold obtained under  
92 these conditions presents cylindrical unidirectional empty channels of approximately 3 nm in  
93 diameter (when CTAB is used as a surfactant), arranged in a hexagonal distribution.  
94 Mesoporous materials are obtained by the subsequent removal of the surfactant by extraction  
95 with adequate solvents, or by aerobic high temperature calcination (500-600°C) (Hoffman and  
96 others 2006). Figure 1 schematically represents the complete synthesis procedure.

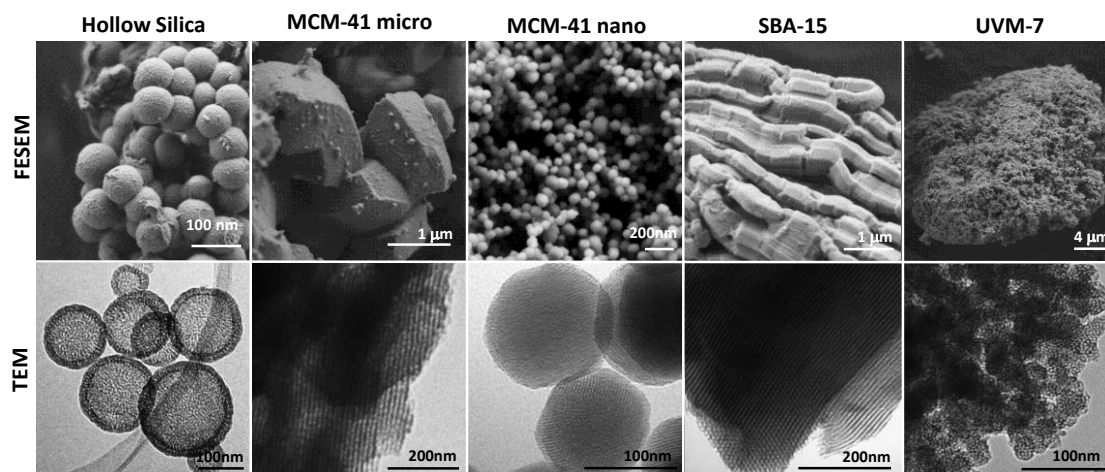


97

98 **Figure 1.** Schematic representation of the synthesis of mesoporous silica particles by structure-  
99 directing agents

100

101 Minor changes in the synthesis route make it possible to modify final key features in the solid  
102 to produce other types of mesoporous silica, such as hexagonal mesoporous silica (HMS)  
103 (Tanev and Pinnavaia 1995), Michigan State University material (MSU) (Bagshaw and others  
104 1995), Santa Barbara Amorphous Silica (i.e. SBA-15) (Zhao and others 1998 a,b), Technische  
105 Universiteit Delft material (i.e. TUD-1) (Jansen and others 2001), Universidad Valencia Material  
106 (i.e. UVM-7) (el Haskouri and others 2002), and a wide variety of hollow silica spheres (Li and  
107 others 2004; Zhang and others 2009; Cao and others 2013). TEM and FESEM pictures of some  
108 of these particles are provided in Figure 2.



109

110 **Figure 2.** TEM and FESEM images of different mesoporous silica particles.

111

112 Given the potential application of MSPs to develop oral controlled delivery systems, different  
 113 attempts to synthesize MSPs from food-like precursors have been successfully made. On the  
 114 one hand, rice husk ashes have been employed as a silica source for the synthesis of different  
 115 mesoporous silicas (Jang and others 2009; Bhagiyalakshmi 2010). On the other hand,  
 116 polyglycerol esters of fatty acids, myristic acid ester of pentaglycerol and oleic acid have also  
 117 been employed as food grade structures directing agents (Kapoor and others 2010; Han and  
 118 others 2011; Ishii and others 2012).

119 In any case, different MSPs share their composition, which is based on a SiO<sub>2</sub>-network, an  
 120 ordered mesostructure and the presence of silanol groups on the particle surface. Some differ  
 121 from others in size, shape, porous size and volume, specific surface area and density of silanol  
 122 groups on the surface to provide different surface charges (Pérez-Esteve and others 2014). The  
 123 morphology and porosity of different MSPs are determined by processing parameters: type of  
 124 surfactant template, silica source, pH, temperature, aging time, additives, and solvents (Kierys  
 125 and others 2010). The textural properties of different MSPs have been previously revised and  
 126 compared in different publications (Wang and others 2011; Wright 2008).

127 In general, MSPs stand out for being supports that can be synthesized with a controlled size  
 128 from 50 nm to a few microns. This range in size is important in scope. While small MSPs can  
 129 cross epitheliums and can be distributed in the body to be non specifically internalized by  
 130 certain cells, oversized particles cannot easily cross physical membranes in the body. As  
 131 particle size has been demonstrated to play a key role in the distribution and behavior of  
 132 particles in living systems, large particle sizes are preferred for developing orally administrated  
 133 controlled release devices (Arcos and Vallet-Regí 2013).

134 MSPs can also be synthesized with uniform tunable porosity. Pore size can be tailored between  
 135 2-10 nm (Aznar and others 2009a). The presence of a mesoporous network provides large  
 136 surface areas (700-1000 m<sup>2</sup>g<sup>-1</sup>) and a great loading capacity compared to large pore volumes  
 137 (0.6-1 cm<sup>3</sup>g<sup>-1</sup>) (Colilla and others 2013). Pore size, pore volume and a proper surface charge are  
 138 essential for encapsulating a sufficiently large amount of a certain bioactive component and  
 139 for efficiently retaining it during storage. Adsorption of bioactive molecules into mesoporous

140 silica is governed by size and charge selectivity. Only the molecules with a size smaller than the  
141 porous size of the silica support can be entrapped by the porous structure (Arcos and others  
142 2013). Other factors that determine adsorption and the release kinetics of a bioactive  
143 compound in a certain media are pore length and pore ordering (Izquierdo-Barba and others  
144 2009a; Burguete and others 2012), particle morphology (Manzano and others 2008), surface  
145 area (Balas and others 2006), macroscopic form (Izquierdo-Barba, 2009b) and modification or  
146 functionalization of the silica surface with functional groups (Nieto and others 2008).

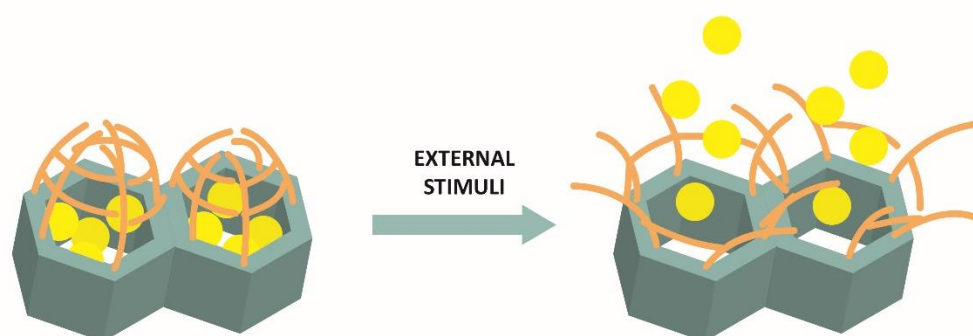
147 Finally, the surface of MSPs can be easily functionalized with molecular/supramolecular  
148 ensembles to develop gated MSPs that show “zero delivery” and are capable of releasing their  
149 cargo on-command in response to specifically designed external stimuli (Mondragón and  
150 others 2014). These unique features of MSPs make them excellent candidates for developing  
151 smart delivery systems.

152

## 153 **2.2 Functionalization of MSPs to develop triggered delivery systems**

154 The surface of MSPs presents a high concentration of structural defects in the form of silanol  
155 (Si-OH) groups that can easily react with trialkoxysilane derivatives ((R'O)3-Si-R) and allow the  
156 possibility of generating organic-inorganic hybrid materials (Vinu and others 2005).

157 In this area, one appealing concept is the development of “molecular gates”. Molecular or  
158 supramolecular gates are defined as nanoscopic supramolecular-based devices that are  
159 attached to certain solid supports, in which mass transport can be triggered by a target  
160 external stimulus that can control the state of the gate (closed or open) at will (Aznar and  
161 others 2009). In particular, and depending on the type of stimulus applied, it is possible to  
162 modify the properties of anchored molecules (i.e. polarity, conformation, size, interaction with  
163 other species, bond hydrolysis etc.) which, in turn, results in controlled delivery (Coll and  
164 others 2007, Casasús and others 2008, Aznar and others 2009b, Bernardos and others 2012). A  
165 schematic representation of a gate-like superstructure is shown in **Figure 3**.



166

167 **Figure 3.** Schematic representation of the operation principle of a molecular gate in a  
168 mesoporous support. Molecular gates (orange lines) hinder the release of a guest molecule  
169 (yellow spheres) entrapped in the mesoporous supports (gray container) since a suitable  
170 external stimulus changes the structure/size of the gate and the guest can be delivered.

171

172 As observed, smart delivery systems based on gated MSPs contain two components: a suitable  
173 inorganic support which acts as a nanocontainer (for loading the cargo); a switchable “gate-  
174 like” ensemble capable of being opened or closed when certain external stimuli are applied.  
175 Both components are important, and their selection determines the controlled release  
176 performance of the hybrid support (Bernardos and others 2010; Burguete and others 2012).

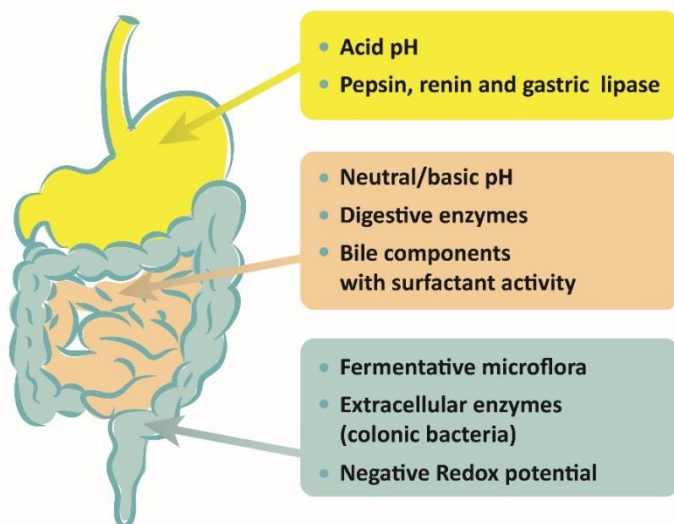
177 The first example of a molecular gate was reported by Fujiwara and co-workers in 2003 (Mal  
178 and others 2003). Since then, a number of gated systems that have used mesoporous silica  
179 supports which respond to a wide variety of stimuli have been described (Aznar and others  
180 2009a; Coll and others 2013; Arcos and Vallet-Regí 2013).

181

### 182 3. Design of site-specific delivery systems that act along the gastrointestinal tract through 183 gated MSPs

184 As previously stated, the encapsulation and later administration of bioactive molecules at a  
185 particular site-of-action of the digestive tract (mouth, stomach, intestine or colon) offer huge  
186 possibilities to develop new functional foods or medical therapies. Hence the design of  
187 systems capable of controlling the release of basic nutrients, bioactive components, sensory  
188 appeal compounds, and pre- and probiotics, and even drugs, is a very challenging strategy that  
189 can be easily achieved by using capped MSPs.

190 When designing a site-specific delivery system based on hybrid organic-inorganic supports,  
191 there are two factors that should be taken into account. On the one hand, the porous system  
192 of the inorganic support should be able to entrap the target molecule. On the other hand, the  
193 capping molecule should be responsive to a triggering stimulus, and is present in a particular  
194 cavity of the gastrointestinal tract. Moreover, it must remain unchanged in the cavities that  
195 proceed. An overview of these stimuli is provided in Figure 4.



196

197 **Figure 4.** Summary of the chemical and biological stimuli able to trigger capped-MSPs during  
198 digestion.



199 This section describes the suitable stimuli found along the gastrointestinal tract that could be  
200 employed in developing site-specific delivery systems and all the approaches developed to  
201 date to design molecular gates responsive to these stimuli.

202

### 203 **3.1 A brief physicochemical description of the digestive system**

#### 204 3.1.1 Mouth

205 Gastrointestinal tract activity begins in the mouth where the ingested food is chewed and  
206 mixed with saliva to allow bolus formation and to enhance taste (Humphrey and Williamson  
207 2001; Chen 2009). Saliva is a complex heterogeneous clear fluid (pH 5.6-7.6) that consists in  
208 roughly 98% water and 2% organic and inorganic substances, including electrolytes, mucus,  
209 glycoproteins, proteins, antibacterial compounds, enzymes, and others (Levine and others  
210 1987).

211 Of all the enzymes contained in saliva,  $\alpha$ -amylase is the most important. The interaction of  
212 amylase with starch-based ingredients produces a breakdown of starch into simpler sugars (i.e.  
213 maltose and dextrans), which can be further broken down in the small intestine. Despite this  
214 enzymatic action of saliva, it should be stated that salivary  $\alpha$ -amylase is most active at its  
215 optimum pH of 7.4, and is inactivated in the stomach because of gastric acid. Thus even  
216 though enzyme interaction begins almost immediately after food ingestion, its contribution to  
217 full starch breakdown is relatively insignificant. Most starch digestion results from pancreatic  
218 amylase rather than from salivary amylase (Chen 2009). Salivary glands also secrete salivary  
219 lipase that starts the degradation of dietary triglycerides into fatty acids and diglycerides that  
220 start with fat digestion. However, salivary lipase does not play a digestive role in adult humans.  
221 Recent studies have suggested that it plays only a role in fat taste and texture perception  
222 (Drewnowski and Almiron-Roig 1997).

223 The residence time in the oral cavity is short, and varies by 2-5 min seconds depending on  
224 saliva swallowing and water intake. Thus the main suitable triggering stimuli in the buccal  
225 cavity are pH (neutral) and presence of  $\alpha$ -amylase and salivary lipase. However, due to the  
226 short residence time and low enzyme activity, the influence of the mouth on the action of  
227 molecular gates could be considered negligible.

#### 228 3.1.2 Stomach

229 Once food is swallowed, it passes into the stomach. In the stomach, food stuffs find gastric  
230 juice secretion. Gastric juice provides a harsh environment characterized by a very acid media  
231 (pH 1-2) that is rich in electrolytes, proteases (pepsin, renin and gastric lipase) and lipases  
232 (Chiras 2015). Microflora in the stomach is predominantly Gram-positive and aerobic, and the  
233 bacterial concentration is usually  $<10^3$  colony-forming units CFU/mL (Campieri and Gionchetti,  
234 1999). The redox potential in the stomach is +150 mV (Friend 1992). The residence time of  
235 food in the stomach depends on the digestibility of meals; while light meals based on  
236 carbohydrates may be ready to pass into the small intestine through the pyloric valve in 2 h,  
237 heavy meals that contain proteins and fats may require up to 6 h to perform the same action.  
238 After this period, proteins are transformed into large polypeptides, and about 10-30% of

239 dietary fat has been hydrolyzed (Krohn and others 2008). The digestion process is thus  
240 completed in the small intestine.

### 241 3.1.3 Small intestine

242 In the small intestine, the hydrolysis of all the majority food structures and macronutrients  
243 occurs by the combined action of small intestine and accessory organs (pancreas and liver)  
244 secretions.

245 Once the chyme arrives to the duodenum, the pancreas secretes pancreatic juice. Pancreatic  
246 juice is a liquid that contains water, sodium chloride, sodium bicarbonate and a number of  
247 digestive enzymes (i.e. amylases, lipases, proteases, ribonucleases and deoxyribonucleases)  
248 that help finish the digestive process that started in the stomach. Sodium bicarbonate  
249 neutralizes the high acidity of the chyme. In this manner, the duodenum pH is 6.0 (within the  
250 5.7-6.2 range) and gradually increases through the small intestine to pH 7.5 (within the 7.3-7.7  
251 range) (Fallingborg 1999). This difference with the stomach pH allows the design of pH-  
252 responsive devices. The enzymatic profile of pancreatic juice is completed by enzymes of  
253 microvilli that constitute the brush border (i.e. saccharidases, peptidases and nucleases).  
254 Working together, both types of enzymes are able to hydrolyze almost all large molecules into  
255 absorbable food components.

256 The duodenum also receives a fluid through the bile duct which is produced in the liver and  
257 stored in the gallbladder, and is known as bile. Bile is composed of water, cholesterol, lecithin  
258 (a phospholipid), bile pigments (with no digestive function), bile salts (sodium glycocholate and  
259 sodium taurocholate) and bicarbonate ions. The powerful surfactant activity of bile  
260 components helps with the digestion and adsorption of lipophilic components.

261 Regarding microflora, the proximal small bowel is similar to that of the stomach. The bacterial  
262 concentration is  $10^3$ - $10^4$  CFU/mL. However, the distal ileum is able to support anaerobic  
263 bacterial flora. Consequently, the concentration of microorganisms increases in the distal  
264 ileum to levels of  $10^5$ - $10^9$  CFU/mL and the redox potential in the small intestine lowers from -  
265 50 mV in the duodenum or jejunum to -150 mV in the ileum (Friend 1992; Campieri and  
266 Bionchetti 1999).

267 After this complete digestive process, which lasts between 2-5 h, most food structures have  
268 been disintegrated into absorbable molecules. Undigested food remains pass through the  
269 ileocaecal valve to the large intestine.

### 270 3.1.4 Large intestine

271 The large intestine, which comprises the caecum, colon and rectum, is the last part of the  
272 digestive tract. Its main objectives are to absorb the water and electrolytes that escape from  
273 absorption in the small intestine, and to store and remove feces during defecation.  
274 Understanding the last part of the GIT offers different possibilities to design triggered  
275 responsive MSPs for controlled release in the large intestine.

276 The large intestine pH varies according to the food ingested. In general, the pH in the  
277 ascending colon is 6-7.1 due to fermentation processes, and varies along the large intestine

278 length. The transverse colon exhibits a pH of 7.4, descending colon, pH 7.5, sigmoidal colon, pH  
279 7.4, and rectum, pH 7.2. The shallow pH gradient between the small intestine and the colon  
280 does not allow the design of colonic delivery drug carriers based on pH changes (Milabuer and  
281 others 2010).

282 However, the large intestine is the natural habitat for a huge microbial community. The colon  
283 contains  $10^{11}$  to  $10^{12}$  CFU/mL. Predominant species include *Bacteroides*, *Bifidobacterium* and  
284 *Eubacterium*. Anaerobic gram-positive cocci, as well as *Clostridium*, enterococci, and various  
285 species of *Enterobacteriaceae* are also present. It allows us to talk about a final digestion stage  
286 carried out by a wide variety of metabolic processes, including fermentation, enzyme-  
287 mediated reactions, and the reduction of a wide range of organic functional groups. Among  
288 the different extracellular enzymes produced by colonic bacteria, azoreductases,  
289 oxidoreductases, ureases, dextranases and a number of saccharidases capable of breaking  
290 indigestible carbohydrates, stand out.

291 The total metabolic and bacterial activity in the large intestine generates a characteristic redox  
292 potential (-200 mV) that can be used as a highly selective mechanism for targeting in the colon  
293 (Friend 1992; Chourasia and Jain 2003). The residence time in the large intestine ranges from  
294 2–72 h. In most individuals, mouth-to-anus transit times are usually longer than 24 h. More  
295 detailed information is provided in Table 1.

296 **Table 1.** Summary of suitable digestive stimuli for designing triggered MSPs-based delivery systems

	Chemical		Enzymatic		
			Enzyme	Substrate	Origin
Mouth	Neutral pH		$\alpha$ -amylase (ptyalin)	Starch	Salivary glandules
			Salivary lipase	Triacylglycerids	Salivary glandules
Stomach	Acid pH		Gastric lipase	Triacylglycerids	Gastric chief cells
			Pepsin	Proteins and polipeptids	Gastric chief cells
			Renin	Casein	Gastric chief cells
Small intestine	Neutral/basic pH  Bile acids (cholic and deoxycholic acid) Phospholipids		Chymotrypsin (endopeptidase)	Proteins (endopeptidase)	Pancreas
			Carboxypeptidase A & B (exopectidase)	Proteins	Pancreas
			Cholesterol esterase	Cholesterol esters	Pancreas
			Colipase	Favours the action of the lipase	Pancreas
			Deoxyribonuclease	<i>Deoxyribonucleic acid (DNA)</i>	<i>Pancreas</i>
			Elastase	Elastin fibres	Pancreas
			$\beta$ -fructofuranosidase (Sucrase or Isomaltase)	<i>Sucrose</i>	<i>Brush border</i>
			Pancreatic $\alpha$ -amylase	Starch	Pancreas
			Pancreatic lipase	Fat and triglycerides	Pancreas
			Phospholipase A2	Phospholipids	Pancreas
			Ribonuclease	Ribonucleic acid (RNA)	Pancreas
			Trypsin ( <i>endopeptidase</i> )	<i>Proteins</i>	<i>Pancreas</i>
			$\beta$ -1-4 galactosidase (Lactase)	<i>Lactose</i>	<i>Brush border</i>
			$\alpha$ -glucosidase (Maltase)	<i>Maltose</i>	<i>Brush border</i>
			$\alpha$ -limit dextrinase	<i>Limit dextrines</i>	<i>Brush border</i>
	Nucleosidase	<i>Nucleosides</i>	<i>Brush border</i>		
	Peptidases	Small peptides	<i>Brush border and mucosal cells</i>		

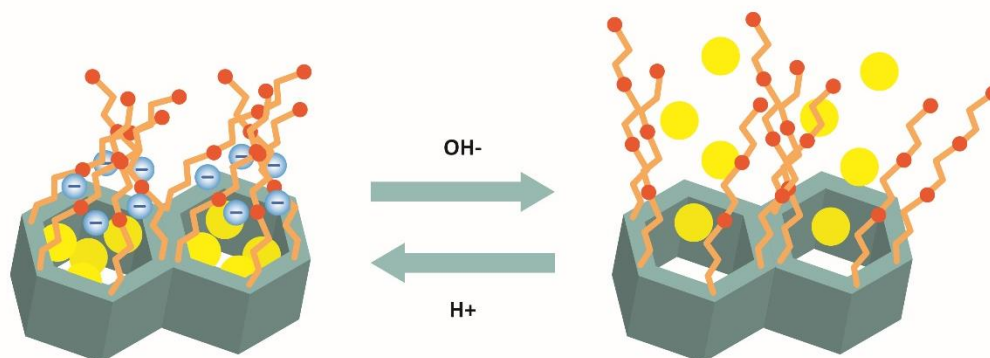
Large intestine	Basic pH	$\alpha$ -L-arabinosidase	$\alpha$ -L-arabinofuranosides, arabinoxylans and arabinogalactans	<i>Colonic bacteria</i>
	Redox potential	Azoreductases	Azo ( $N=N$ ) bonds	<i>Colonic bacteria</i>
		Dextranase	Dextran	<i>Colonic bacteria</i>
		$\beta$ -D-galactosidase	$\beta$ -D-galactosides (i.e. galactooligosaccharides)	<i>Colonic bacteria</i>
		$\beta$ -D-glucosidase	$\beta$ -glucosides (i.e. cellulose and hemicellulose)	<i>Colonic bacteria</i>
		$\beta$ -glucuronidase	$\beta$ -D-glucuronic acid residues	<i>Colonic bacteria</i>
		Oxidoreductase	Transfer of electrons (i.e. pyruvate oxidation)	<i>Colonic bacteria</i>
		Polysaccharidases	Indigestible polysaccharides (i.e. amylose, chitosan, dextrans...)	<i>Colonic bacteria</i>
		Urease	Urea	<i>Colonic bacteria</i>
$\beta$ -D-xylosidase	$\beta$ -D-xylans, xylobiose	<i>Colonic bacteria</i>		

## 297 3.2 Strategies to develop site-specific smart delivery devices

298 After discussing the most significant digestive stimuli that could be used to design capped  
299 MSPs for controlled release purposes in the gastrointestinal tract, the current MSP-based  
300 systems that can be opened using these triggering principles are presented in this section.

### 301 3.2.1 pH-responsive molecular gates

302 The first strategy to develop pH-responsive gated materials was based on using ionizable  
303 simple molecules anchored to the material surface, which undergo conformational and/or  
304 solubility changes in response to environmental pH variation, which modifies its conformation.  
305 Based on this approach, Martínez-Máñez and co-workers developed the first pH-driven  
306 molecular gate in 2004 (Casasús and others 2004). Their mechanism was based on the  
307 protonation/deprotonation processes of polyamines grafted onto the pore outlets of the  
308 mesoporous inorganic scaffolds. At an acid pH, the columbic repulsions between the  
309 protonated amino groups hinders pore access (gate closed), while at a neutral pH,  
310 unprotonated amines tend to interact with each other, which favors pore access (gate open).  
311 Figure 5 illustrates the action mechanism of this reversible smart delivery system. Bearing in  
312 mind all these concepts, Bernardos and others (2008), developed the first controlled release  
313 system mediated by a gastrointestinal stimulus. Given the objective of protecting riboflavin  
314 from acidic stomach conditions and of releasing the load in the intestine, these authors  
315 encapsulated vitamin riboflavin in an MCM-41 type support and functionalized its surface with  
316 the described pH-controlled gate-like scaffolding. They found a zero release under the  
317 stomach-like conditions (acid pH, gate closed) and a time-modulated delivery under the  
318 intestine-like conditions (neutral pH, gate open).



319

320 **Figure 5.** Schematic representation of a pH-driven molecular gate-like material based on the  
321 use of polyamines. Amines (orange lines) are protonated at a low pH. Deprotonation favors  
322 coulombic repulsions among different chains and coordination with anionic species (blue dots)  
323 than block pores. Under this condition, the guest molecule (yellow spheres) cannot escape  
324 from the porous support (gray container). At a neutral, pH amines are unprotonated, which  
325 allows cargo delivery.

326 A second strategy involved modifying the chemical interactions among the molecules  
327 covalently anchored to the surface of the mesoporous silica as a result of changes in pH.  
328 Following this approach, Lee and others (2008) described the use of mesoporous silica

329 nanoparticles loaded with sulfasalazine (an anti-inflammatory prodrug used for bowel disease)  
330 functionalized with trimethylammonium functional groups via the direct co-condensation of a  
331 trimethylammonium silane. Under acidic conditions, the cargo remained inside the voids of  
332 the porous support. However under neutral conditions, the deprotonation of the silanol  
333 groups generated a strong electrostatic repulsion, which triggered the sustained release of the  
334 loaded molecules.

335 The third strategy comprised the design of devices capped with molecules anchored with acid-  
336 sensitive bonds, whose cleavage enabled the release of cargo molecules. By bearing this  
337 principle in mind, Zhao and others (2010) developed a pH-responsive nanoparticle capable of  
338 being opened under acid conditions. The design strategy involved using mesoporous silica  
339 nanoparticles loaded with rhodamine B and functionalized with  $\beta$ -cyclodextrins through imine  
340 double bonds. The  $\beta$ -cyclodextrin rings on the surface of nanoparticles served as gates to store  
341 cargo molecules (i.e., rhodamine B) inside the nanopores of nanoparticles under neutral  
342 conditions. At an acidic pH the cleavable imine bonds that attached  $\beta$ -cyclodextrins to the  
343 particle's surface were hydrolyzed and the cargo was released.

344 Besides polyamines, trimethylammonium groups and cyclodextrins, other capping molecules  
345 (such as polymers, peptides, proteins and DNA) have been used as gatekeepers in pH-triggered  
346 capped materials based on mesoporous silica (see Table 2).

347 **Table 2.** Selected examples of gated materials responsive to changes in pH.

Gating molecule or system	Closed	Opened	Cargo	Suitable location	delivery	Reference
Carboxylic acid	Neutral	Acid	Vancomycin	Stomach		Yang and others 2005
Chitosan	Neutral	Acid	Ibuprofen	Stomach		Popat and others 2012a
$\alpha$ -cyclodextrine	Neutral	Acid	Propidium iodide	Stomach		Du and others 2009
$\beta$ -cyclodextrine	Neutral	Acid	Rhodamine B	Stomach		Guo and others 2010
Peptide K <sub>8</sub>	Neutral	Acid	Doxorubicin	Stomach		Luo and others 2013
Polydopamine	Neutral	Acid	Doxorubicin	Stomach		Zheng and others 2014
Poly(4-vinyl pyridine)	Neutral	Acid	Tris(bipyridine)ruthenium(II) chloride	Stomach		Liu et al 2011
3-aminopropyltrimethoxysilane and 4-sulfophenyl isothiocyanate	Acid	Neutral	Ibuprofen	Small Intestine		Cauda and others 2010
$\beta$ -lactoglobulin	Acid	Neutral	Ibuprofen	Small Intestine		Guillet-Nicolas and others 2013
Bovine serum albumin conjugated with lactobionic acid	Acid	Neutral	Doxorubicin	Small Intestine		Luo and others 2012
Hydroxypropyl methylcellulose phthalate	Acid	Neutral	Famotidine	Small Intestine		Xu and others 2009
Lysozyme	Acid	Neutral	Rhodamine B	Small Intestine		Xue and others 2012



Oligonucleotide	Acid	Neutral/Basic	Rhodamine B	Small Intestine	Chen and others 2011
Poly(acrylic acid)	Acid	Neutral/Basic	Salidroside	Small Intestine	Peng and others 2013
Polyamines	Acid	Neutral	Squaraine	Small Intestine	Casasús and others 2004
			Tris(bipyridine)ruthenium(II) chloride		Casasús and others 2008
			Riboflavine		Bernardos and others 2008
			Folic acid		Pérez-Esteve and others 2015
Trimethylammonium groups	Acid	Neutral	Sulfasalazine	Small Intestine	Lee and others 2008 Cheng and others 2011

---

348 **3.2.2 Redox-responsive molecular gates**

349 As occurred with changes in pH, the evolution of the redox potential along the gastrointestinal  
350 tract might allow the design of redox-driven gated mesoporous materials, especially for colon-  
351 targeted delivery. To date, no specific system based on naturally-occurring changes in redox  
352 potential changes along the GI to modulate the delivery of bioactive molecules has been  
353 provided. However, there are a number of approaches that could be the basis for future  
354 developments.

355 Lai and others (2003) prepared a controlled delivery system to encapsulate several  
356 pharmaceutical drug molecules and neurotransmitters inside an organically functionalized  
357 mesoporous silica framework. In particular, this nano-device was prepared using MCM-41-type  
358 mesoporous silica nanospheres as an inorganic support and cadmium sulfide (CdS)  
359 nanocrystals as chemically removable caps. Addition of disulfide-reducing molecules, such as  
360 dithiothreitol (DTT) and mercaptoethanol (ME), to the aqueous suspension of the particles  
361 triggered a rapid release of the mesopore-entrapped cargo by breaking the chemically labile  
362 disulfide linkages between the MSP and CdS nanoparticles. Also based on disulfide linkages, Liu  
363 and others (2008) prepared a calcined MCM-41 solid support loaded with dye molecules, with  
364 the surface functionalized by the grafting of a poly(*N*-acryloxysuccinimide). The openings of  
365 the resulting hybrid material remained blocked due to the cross-linked reaction between the  
366 *N*-oxysuccinimide groups along the polymer chain and the cystamine of the media. In contrast,  
367 the presence of disulfide-reducing agents, such as (DTT) cleavage of the disulfide bond of  
368 cystamine, induced pore opening and controlled dye release.

369 A different approach was published by Hernandez and others (2004). These authors described  
370 the use of an MCM-41 mesoporous scaffold loaded with an iridium complex dye and  
371 functionalized with a 1,5-dioxynaphthalene derivative (DNPD) as a redox-responsive delivery  
372 system. The addition of cyclobis-(paraquat-*p*-phenylene) (CBPQT<sub>4+</sub>) induced the formation of a  
373 pseudorotaxane on the external surface of the solid. This new non covalent supramolecular  
374 ensemble blocked pores and prevented dye delivery. When a reductive agent was added to  
375 the mixture (cyanoborohydride in this case), the reduction in DPND started a spontaneous  
376 dethreading of the CBPQT<sub>4+</sub> ring to allow guest release. The evolution of that gated system was  
377 the achievement of a total reversible hybrid material capable of being open or closed on  
378 command in a reversible manner. In this case, Nguyen and others (2005) firstly synthesized a  
379 [2]rotaxane-containing DNPD and a tetrathiafulvalene moiety (TTF) as a redox centre to link  
380 each other through a oligoethylenglycol chain. Rotaxane was completed by the presence of a  
381 rigid spacer and a CBPQT<sub>4+</sub> as the movable molecule. Preference for CBPQT<sub>4+</sub> for TTF or DNPD  
382 groups as a result of the oxidation state of TTF (dependent on the addition of oxidant or  
383 reducing species) caused gate movement, which changed from a closed to an open  
384 conformation.

385

386 **3.2.3 Surfactant-responsive molecular gates**

387 The surfactant-induced molecular gates concept was introduced by Giménez and others  
388 (2014). This new material consisted of nanoparticles of MCM-41 functionalized on the external

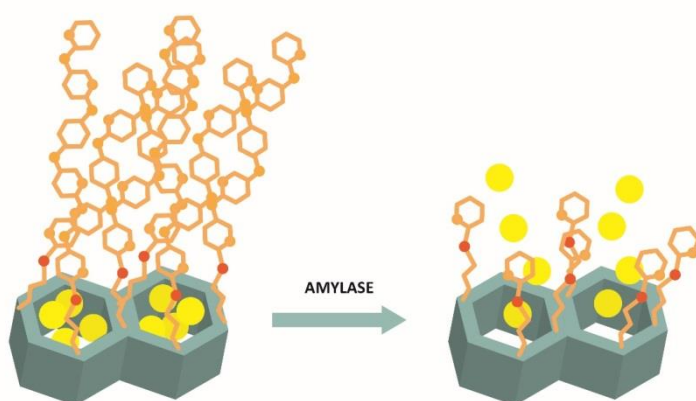
389 surface with 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC). The presence of DOPC created  
390 a lipid bilayer around pore outlets that inhibited cargo release. However, the system released  
391 its cargo after the addition of dodecyltrimethylammonium bromide (DTAB), a single-chain  
392 cationic surfactant whose activity is similar to phosphocholine (lecithin).

393

### 394 **3.2.4 Enzyme-responsive molecular gates**

395 The wide variety of enzymes present along the gastrointestinal tract, and their selective  
396 location (stomach, brush border, colon,) allowed the design of very specific site release  
397 systems. One of the first examples of gated MSPs capable of delivering an entrapped cargo in  
398 the presence of saccharases was described by Bernardos and others (2009). These authors  
399 designed a mesoporous silica particle capped with a covalently anchored lactose derivative.  
400 Cargo delivery from aqueous suspensions was negligible because the formation of a dense  
401 network of lactose groups linked through the hydrogen-bonding interaction around pore  
402 outlets. The addition of  $\beta$ -D-galactosidase enzyme (lactase) induced progressive cargo release,  
403 which was clearly related to the enzymatic hydrolysis of the glycosidic bond in disaccharide  
404 lactose. This is a clear example of the potential use of an enzyme-responsive molecular gate to  
405 hinder cargo release during food processing, storage and the first part of the digestion in the  
406 stomach, and one that is able to release the guest molecule in the small intestine in the  
407 presence of enzymes of brush border mucosa.

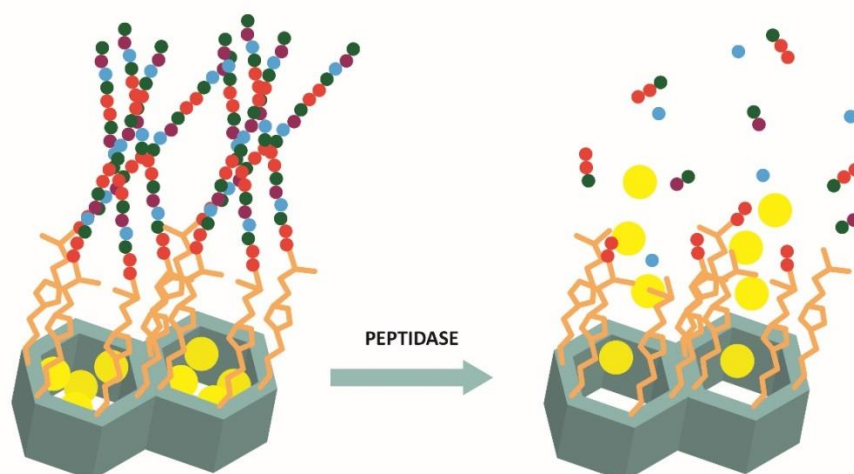
408 In line with this, the same authors functionalized the surface of a loaded MCM-41 support with  
409 three different commercially available hydrolyzed starches (Glucidex 47, 39 and 29) via the  
410 derivatization of starch with an alkoxy silane. Cargo release was achieved by enzymatic  
411 hydrolysis in the presence of pancreatin (an enzyme cocktail that contains pancreatic amylase),  
412 which showed different release kinetics according to the the degree of starch hydrolysis  
413 (Figure 6). The lower the hydrolysis rate of starch, the lower the delivery rate (Bernardos and  
414 others 2010).



415

416 **Figure 6.** Schematic representation of an enzyme-driven molecular gate-like material  
417 functionalized with hydrolyzed starch. In the absence of pancreatin, starch derivatives (orange  
418 chains) hinder the release of the guest molecule (yellow spheres) from the porous support  
419 (gray container) by steric hindrance. In the presence of amylases, starch is hydrolyzed, which  
420 allows cargo delivery.

421 Bein and co-workers prepared the first molecular gate opened by the presence of a protease  
422 (Schlossbauer and others 2009). Capping systems consisted in attaching avidin to a  
423 biotinylated MSP. The addition of protease trypsin induced the hydrolysis of the attached  
424 avidin and cargo release. Along the same lines, Coll and others (2011) employed a click  
425 chemistry reaction to functionalize the external surface of an MSP with a peptide to develop a  
426 nanodevice capable of hampering cargo release. Delivery was observed in the presence of  
427 proteases (Figure 7).



428

429 **Figure 7.** Schematic representation of an enzyme-driven molecular gate-like material capped  
430 with a peptide. In the absence of proteases, peptidic chains (dot chains) hinder the release of  
431 the guest molecules (yellow spheres) from the porous support (gray container) by steric  
432 hindrance. In the presence of peptidases, peptides are hydrolyzed and payload is delivered.

433

434 Some examples of deoxyribonuclease-triggered delivery systems have also been reported. Zhu  
435 and coworkers presented an oligodeoxynucleotide-capped material using hollow MSPs that  
436 was opened in the presence of DNase I (Zhu and others 2011a). Zhang and others (2014)  
437 reported the use of a porous material loaded with the drug colchicine and capped with  
438 oligodeoxynucleotides that was able to be uncapped also when DNase I was used.

439 The possibility of using enzymes secreted from colonic microflora to design smart delivery  
440 systems has been previously reported. Agostini and others (2012a) described an ethylene  
441 glycol-capped hybrid material for the controlled release of a certain cargo in the presence of  
442 esterase. In the absence of an esterase enzyme, the steric hindrance imposed by bulk ester  
443 glycol moieties inhibited cargo release. Upon the addition of the esterase enzyme, cargo  
444 delivery occurred due to the hydrolysis of the ester bond, which reduced the of the glycol  
445 derivative. In another work, the same authors prepared MSPs loaded with Rhodamine B and  
446 functionalized with an alkylgluconamine derivative of a galacto-oligosaccharide (GOS) capable  
447 of delivering its cargo in the presence of  $\beta$ -galactosidase (Agostini and others 2012b). Mas and  
448 others (2013) reported the synthesis of a hybrid material capped with an azopyridine  
449 derivative. This material was designed to show "zero delivery" in the absence of enzymes and

450 to display cargo release in the presence of azo-reductases, which are usually present in the  
451 colon.

452 More examples of enzyme-responsive gated materials are shown in Table 3. The profound  
453 analysis of all the reported examples allowed a conclusion to be drawn that the most extended  
454 enzymes used as triggering stimuli are amylases, proteases, peptidases and  
455 deoxyribonucleases (which can be used for delivery in the small intestine) and reductases,  
456 esterases and ureases (which can be used for controlled cargo delivery in the colon). However,  
457 the real development of enzyme-responsive gated materials with applications in the design of  
458 site-specific delivery systems that act along the gastrointestinal tract is still in its incipient  
459 steps.

460 **Table 3.** Selected examples of gated materials responsive to the presence of target enzymes.

Gating molecule or system	Closed	Opened	Cargo	Suitable delivery location	Reference
Avidin–biotin complex	Absence of trypsin	Presence of trypsin	Fluorescein	Small intestine	Schlossbauer and others 2009
Bioactive peptide shell	Absence of thermolysin and elastase	Presence of thermolysin and elastase	Fluorescein isothiocyanate-labelled dextran	Small intestine	Thornton and Heise, 2010
$\beta$ -cyclodextrin	Absence of $\alpha$ -amylase and lipase	Presence of $\alpha$ -amylase and lipase	Calcein	Small intestine	Park and others 2009
Hydrolysed starch	Absence of pancreatine	Presence of pancreatine (amylases and $\beta$ -D-galactosidase)	Tris(bipyridine)ruthenium(II) chloride	Small intestine	Bernardos and others 2010
Lactose	Absence lactase ( $\beta$ -D-galactosidase)	Presence lactase ( $\beta$ -D-galactosidase)	Tris(bipyridine)ruthenium(II) chloride	Small Intestine	Bernardos and others 2009
Oligodeoxynucleotide	Absence of deoxyribonuclease	Presence of deoxyribonuclease	Fluorescein	Small intestine	Zhu and others 2011a
Peptide sequence	Absence of peptidases or acid pH	Presence of peptidases and neutral pH	Tris(bipyridine)ruthenium(II) chloride	Small Intestine	Coll and others 2011
Poly(L-lysine)	Absence of $\alpha$ -chymotrypsin	Presence of $\alpha$ -chymotrypsin	Fluorescein	Small intestine	Zhu and others 2011b

Protamine	Absence of trypsin	Presence of trypsin	Diclofenac	Small intestine	Radhakrishnan and others 2014
Single-stranded DNA	Absence of deoxyribonuclease	Presence of deoxyribonuclease	Colchicine	Small intestine	Zhang and others 2014
$\alpha$ -cyclodextrin included onto a polyethyleneglycol fragment	Absence of esterase	Presence of bacterial esterases	Rhodamine B	Colon	Patel and others 2008
Azobenzene-4,4'-dicarboxylic acid	Absence of azo-reductase	Presence of bacterial azo-reductase	Ibuprofen	Colon	Li and others 2014
Azopyridine derivative	Absence of azo-reductases and esterases	Presence of bacterial azo-reductases and esterases	Rhodamine B	Colon	Mas and others 2013
Choline-sulfonatocalix[4]arene [2]pseudorotaxane	Absence of urease	Presence of bacterial ureases	Rhodamine B	Colon	Sun and others 2013
Ethylene glycol	Absence of esterase	Presence of bacterial esterases	Tris(bipyridine)ruthenium(II) chloride	Colon	Agostini and others 2012a
Galacto-oligosaccharide (GOS)	Absence of $\beta$ -galactosidase	Presence of $\beta$ -galactosidase	Rhodamine B	Colon	Agostini and others 2012b
Sulfasalazine	Absence of bacterial azo-reductase	Presence of bacterial azo-reductase	Sulfasalazine	Colon	Popat and others 2012b

462

### 463 **3.2.5 Dual stimuli-controlled release**

464 One step forward in the design of gated mesoporous supports is the possibility of preparing  
465 gated materials that could be opened by using two different stimuli. For instance, Casasús and  
466 others (2008) studied pH- and anion-responsive gated-like ensembles in anion complex  
467 formation terms with polyamines. This study came to the conclusion that larger anions pushed  
468 tethered polyamines toward pore openings and reduced the pore aperture. More recently,  
469 Popat and others (2014) reported the use of silica nanoparticles that were responsive to  
470 multiple digestive stimuli (pH and enzymes). Their system consisted of an MCM-48-type  
471 structure loaded with sulfasalazine, and functionalized with amino groups coated with a  
472 succinylated soy protein isolate (SSPI). The resultant delivery system showed both pH and  
473 enzyme responsiveness, depending on the location of the nanoparticles in the GIT. In both the  
474 stomach and duodenum, the low environmental pH (pH 1.2 and ca. 5, respectively) restricted  
475 the release of sulfasalazine due to the capping effect of the SSPI. In contrast, when the delivery  
476 system reached the small intestine (pH 7.4) the change in pH induced the hydrolyzate  
477 destabilization, which favors protein hydrolysis by the pancreatin enzyme. The result was a  
478 controlled, slow and sustained drug release in the small intestine.

479

## 480 **4. Benefits and potential current limitations of MSPs for their use in human food**

481 As previous proved, delivery systems based on hybrid organic-inorganic MSPs show most of  
482 the desired properties for a smart delivery system: high loading capacity, controlled release  
483 rate of a bioactive molecule at a particular site in response to a particular trigger, good  
484 biocompatibility, low-cost fabrication given its composition and easy handling, etc. Yet given  
485 its novelty, some limitations (toxicological, technological, semantic, legal and sociological) still  
486 need to be overcome, which should be solved before starting to use MSP-based smart delivery  
487 systems in food and nutrition.

### 488 **4.1 Toxicological: lack of conclusive studies**

489 Despite silica not being considered harmful for humans, it is known that engineered  
490 nanomaterials are not governed by the same laws as larger particles (Pérez-Esteve and others  
491 2013). If we bear in mind that change in size affects the functionality of particles, it could also  
492 affect people exposed to newly developed particles. In this context, in recent years, several  
493 studies have addressed the toxicological and biocompatibility properties of MSPs.

494 The impact of nanoparticles general ly depends on certain properties, such as particle size, size  
495 distribution, shape, solubility, reactivity, mass, chemical composition, surface properties (area  
496 and charge) and aggregation state (Chau and others 2007; Athinarayanan and others 2014).

497 He and others (2009) studied the effect of particle size (nano- and microparticles),  
498 concentration, biodegradation products, and residual surfactant on the cytotoxicity of human  
499 breast-cancer cell lines (MDA-MB-468) and African green monkey kidney cell lines (COS-7).  
500 These authors observed that 190 nm and 420 nm particles showed significant cytotoxicity at



501 concentrations above 25 mg/mL, while microscale particles of 1220 nm showed only slight  
502 cytotoxicity due to reduced endocytosis. In line with this in an *in vivo* study with male nude  
503 mice, Souris and others (2010) confirmed that after oral administration, silica nanoparticles  
504 located in the liver could be excreted into the intestine by the hepatobiliary excretion process.  
505 Later, Fu and others (2013) demonstrated with female ICR mice that silica nanoparticles (110  
506 nm in size) are absorbed into the body at 24 h of oral administration. Yet once absorbed,  
507 particles are transported via the portal vein to the liver and are then eliminated during a 7-day  
508 period by fecal excretion, and also through urine, without changing the kidney microstructure.  
509 These results agree with the studies done into tissue distribution and excretion kinetics of  
510 orally administered silica nanoparticles in rats carried out by Lee and others (2014). These  
511 authors reported that after ingestion, particles are distributed to kidneys, liver, lungs and  
512 spleen. However, silica particles are easily decomposed and eliminated via urinary and fecal  
513 excretion after oral exposure. The smaller the particles, the more rapidly they are secreted,  
514 presumably because they are more easily decomposed.

515 As well as particle size, particle shape seems important when talking about potential  
516 toxicology. Tao and others (2008) evaluated the effect of two types of mesoporous silica  
517 particles on mitochondrial O<sub>2</sub> consumption. For this purpose, the effect of SBA-15 (irregular  
518 rods of ca. 1000 nm in length and aspect ratio of 1:5) and MCM-41 (spheres of 300-1000 nm in  
519 diameter) on mitochondrial O<sub>2</sub> consumption (respiration) was evaluated in HL-60 (myeloid)  
520 cells, Jurkat (lymphoid) cells, and isolated mitochondria. These authors observed that while  
521 SBA-15 inhibited cellular respiration at 25-500 µg/mL, MCM-41 had no noticeable effect on the  
522 respiration rate.

523 Finally, surface properties also seem relevant for potential toxicology (Tang and others 2012).  
524 Specifically, van Schooneveld and others (2008) reported the improved biocompatibility and  
525 pharmacokinetics of silica nanoparticles by means of a lipid coating. In their extensive study on  
526 bare and lipid-coated silica nanoparticles in mice, these authors concluded that coating porous  
527 silica with organic molecules can increase the biocompatibility and half-lives of cells by more  
528 than 10-fold compared to bare silica mesoporous supports.

529 Thus despite adverse effects having been observed in some cells or animals treated with  
530 different concentrations of some MSPs, other *in vitro* and *in vivo* studies have suggested that  
531 certain particles are well tolerated by both cells and superior animals. Therefore, it is hard to  
532 draw conclusive conclusions about the biocompatibility and toxicity of MSPs as a unique  
533 concept. In any case, the use of mesoporous silica microparticles functionalized on their  
534 surface with biocompatible organic molecules seems a good strategy to minimize the risks  
535 associated with using MSPs as supports to develop smart delivery systems.

536

#### 537 **4.2 Technological problems: mass production and impact of MSPS-based delivery systems on** 538 **the food matrix**

539 There is no doubt that the application of MSP-based delivery systems to the formulation of  
540 novel functional foods opens up new strategies for the food industry. However, before

541 launching foods that contain MSPs to the market, some technological problems should be  
542 solved.

543 First, one problem is related with the mass production of MSPs. To date, processes for the  
544 synthesis, loading and functionalization of MSPs are being developed on a laboratory scale. As  
545 a result, production costs are high and mass production is practically underdeveloped.

546 The second technological problem is related to the compatibility of these devices with the  
547 food matrix. Generally, introducing new ingredients or additives to a food matrix can affect the  
548 physico-chemical and sensory properties of the product. However, it is considered that a  
549 delivery system suitable for a particular application should be compatible with the food or  
550 beverage matrix that it is to be incorporated into, and should cause no adverse effects on  
551 product appearance, flavor, texture, mouth feel or shelf life.

552 Despite the importance of this aspect, as far as we know, there is only one publication that has  
553 dealt with determining the influence of MSPs on physical properties of the food matrix to  
554 which they could be included (Pérez-Esteve and others 2014). However, since MSPs have a  
555 high load capacity and bioactive compounds exhibit their functional properties at very low  
556 concentrations, it is assumed that the amount of support needed to release an adequate  
557 concentration of the component is very low. Thus it is foreseeable that the physicochemical  
558 features of the matrix that is to incorporate these supports should not be affected by the  
559 presence of encapsulating systems.

560

#### 561 ***4.3 Semantic: Disharmonized and changing and denominations***

562 As previously described, the MSPs concept involves structures of silicon dioxide (SiO<sub>2</sub>) arranged  
563 in such a way that they are able to create pores of 2-50 nm. This structure on the nanoscale is  
564 the key to design molecular or supramolecular capped materials. Its design, fabrication,  
565 manipulation and characterization are possible thanks to nanotechnology. Therefore, should  
566 MSPs be considered nanomaterials? It is clear that mesoporous silica nanoparticles are  
567 nanomaterials. But what happens with mesoporous silica microparticles? By taking into  
568 account only European recommendations and regulations, denominations are disharmonized  
569 and have changed over the years.

570 Regulation (EC) No. 1169/2011, on the provision of food information to consumers, defined  
571 the engineered nanomaterial concept as intentionally produced materials that have one  
572 dimension or more in the order of 100 nm, or less, or is composed of discrete functional parts,  
573 either internally or on the surface, many of which have one dimension or more in the order of  
574 100 nm, or less, including structures, agglomerates or aggregates, whose size above the order  
575 may be 100 nm, but retain characteristic properties of the nanoscale. Characteristic of the  
576 nanoscale includes: (i) those related to the large specific surface area of the materials  
577 considered; and/or (ii) the specific physico-chemical properties that differ from those of the  
578 non nanoform of the same material. According to this definition, and regardless of size, MSPs  
579 can be considered nanomaterials as they are intentionally produced to modify their physico-

580 chemical properties and to create nanoporous structures to increase their specific surface  
581 area.

582 In the same year, the European Commission defined nanomaterials as natural, incidental or  
583 manufactured material that contains particles, in an unbound state, or as an aggregate or  
584 agglomerate, where for > 50% of the particles in the number size distribution, one external  
585 dimension or more falls within the 1 nm-100 nm size range (EU 2011). This definition is in line  
586 with the opinion of the Scientific Committee on Emerging and Newly Identified Health Risks  
587 (SCENIHR), included the size distribution of a material as a defining element, and excludes  
588 other types of nanostructured materials, such as nanoporous or nanocomposite materials,  
589 since there is not enough evidence to guide what materials should be included.

590 These definitions, apart from being technical, affect regulatory aspects and food labeling. Thus,  
591 they are vital for the future of these systems. The NanoDefine Project (FP7) is expected to  
592 deliver an implementable test scheme for regulatory purposes to distinguish nano from non  
593 nanomaterials by 2017.

594

#### 595 ***4.4 Legal: Lack of specific regulations***

596 According to their composition ( $\text{SiO}_2$ ), MSPs should be authorized for use in food.  $\text{SiO}_2$  is  
597 “Generally Recognized as Safe” (GRAS) by FDA regulations. It is also an authorized additive in  
598 Europe and achieves the E-551 classification (Contado and others 2013). In the food industry,  
599 synthetic amorphous silica has been used for many years to clear beers and wines, as an anti-  
600 caking agent to maintain the flow properties of powder products, and as a carrier agent for  
601 flavorings and aromas, and to thicken pastes.

602 However when we consider their physical features, MSPs could be classified as novel food  
603 ingredients based on engineered nanomaterials. Thus in order to place a specific MSP as a  
604 food ingredient in the Community market, the applicant should submit a request to the  
605 Member State in which the product would be placed (Regulation (EC) No. 258/97). If approved,  
606 the presence of the engineered nanomaterial should be clearly indicated in the list of  
607 ingredients by writing the word “nano” in brackets (Regulation (EC) No. 1169/2011).

#### 608 ***4.5 Sociological: in the face of the unknown, the precautionary principle***

609 The uncertainty in purely semantic aspects and in conclusive toxicological studies has not only  
610 consequences at a regulatory level, but also influences consumers’ risk perception and  
611 acceptance. Although very little research has been conducted in developing countries on  
612 consumer attitudes toward foods that contain nanostructured ingredients, recent studies  
613 point out that lack of information about the impact of nanotechnology on environmental and  
614 health consequences leads consumers to apply the precautionary principle and, therefore, to  
615 reject such products (Chau and others 2007).

616 For novel foods to be accepted, consumers must perceive that any potential benefits outweigh  
617 potential risks or negative effects (for example, potential for a negative impact on the

618 environment, human and animal health, or ethical concerns, such as animal welfare or social  
619 equity) (Frewer and Fischer 2010).

620 For this to happen, information about the potential benefits and potential risks should not only  
621 be accurate, but also very clear. This entails properly regulating the use of nanotechnologies in  
622 food and publishing conclusive studies about the potential risks of each type of MSP by  
623 considering all the variables that can affect their toxicity. Until this time comes,  
624 generalizations, doubts or risk perceptions will outweigh the real benefits.

## 625 **5. Conclusions**

626 Gated MSPs have the potential to encapsulate bioactive molecules and, consequently, to  
627 protect them from the environment during production, storage and digestion, to mask their  
628 odor and taste, to improve their compatibility with the food matrix, and to amend their  
629 bioaccessibility along the GIT. This review reports the most recent research into the design of  
630 gated mesoporous siliceous materials for controlled release along the GIT using physiologic  
631 stimuli. It also highlights the possibilities of naturally-occurring stimulus along the GIT that  
632 could be used to develop new gated systems. Applications for these capped materials can be  
633 found in the design of novel functional foods. Nevertheless, given their novelty, the  
634 incorporation of gated-MSPs into food still poses major challenges (i.e. technological,  
635 toxicological, legal, sociological, etc.) that need to be overcome by researchers and regulatory  
636 bodies. Researchers have the task of evaluating the potential hazards of MSPs-gated systems  
637 in human health and the environment, and to design specifically designed systems to be  
638 triggered in the gastrointestinal tract. Regulatory bodies should provide specific regulations  
639 and criteria to be followed when evaluating the safety of this new smart delivery system to be  
640 used in food applications. Collaborative work from those groups will be essential in  
641 forthcoming years to generate confidence in industry and consumers. Only then will functional  
642 foods developed by this new technology be available in the food chain.

643

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