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Additional Information

# On topological properties of Fréchet locally convex spaces with the weak topology<sup>☆,☆☆</sup>

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## Abstract

We describe the topology of any cosmic space and any  $\aleph_0$ -space in terms of special bases defined by partially ordered sets. Using this description we show that a Baire cosmic group is metrizable. Next, we study those locally convex spaces (lcs)  $E$  which under the weak topology  $\sigma(E, E')$  are  $\aleph_0$ -spaces. For a metrizable and complete lcs  $E$  not containing (an isomorphic copy of)  $\ell_1$  and satisfying the Heinrich density condition we prove that  $(E, \sigma(E, E'))$  is an  $\aleph_0$ -space if and only if the strong dual of  $E$  is separable. In particular, if a Banach space  $E$  does not contain  $\ell_1$ , then  $(E, \sigma(E, E'))$  is an  $\aleph_0$ -space if and only if  $E'$  is separable. The last part of the paper studies the question: Which spaces  $(E, \sigma(E, E'))$  are  $\aleph_0$ -spaces? We extend, among the others, Michael's results by showing: If  $E$  is a metrizable lcs or a  $(DF)$ -space whose strong dual  $E'$  is separable, then  $(E, \sigma(E, E'))$  is an  $\aleph_0$ -space. Supplementing an old result of Corson we show that, for a Čech-complete Lindelöf space  $X$  the following are equivalent: (a)  $X$  is Polish, (b)  $C_c(X)$  is cosmic in the weak topology, (c) the weak\*-dual of  $C_c(X)$  is an  $\aleph_0$ -space.

*Keywords:* locally convex Fréchet space, weak topology,  $\aleph_0$ -space,  $k$ -network, Banach space

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*In honour of Ofelia T. Alas on the occasion of her 70th birthday*

## 1. Introduction

The class of  $\aleph_0$ -spaces in sense of Michael [30] is the most immediate extension of the class of separable metrizable spaces.

**Definition 1.1.** (see [30]) A topological space  $X$  is called

- (i) *cosmic*, if  $X$  is a regular space with a countable network (a family  $\mathcal{N}$  of subsets of  $X$  is called a *network* in  $X$  if, whenever  $x \in U$  with  $U$  open in  $X$ , then  $x \in N \subset U$  for some  $N \in \mathcal{N}$ );
- (ii) an  $\aleph_0$ -*space*, if  $X$  is a regular space with a countable  $k$ -network (a family  $\mathcal{N}$  of subsets of  $X$  is called a  $k$ -*network* in  $X$  if, whenever  $K \subset U$  with  $K$  compact and  $U$  open in  $X$ , then  $K \subset \bigcup \mathcal{F} \subset U$  for some finite family  $\mathcal{F} \subset \mathcal{N}$ ).

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Note that the both of these classes of topological spaces are closed under taking subspaces, countable Tychonoff products, countable direct sums, etc. [30] (see also [2]). The concept of network is one of a well recognized good tool, coming from the pure set-topology, which turned out to be of great importance to study successfully renorming theory in Banach spaces, we refer the reader to the recent survey of Cascales and Orihuela [12].

Michael [30] characterized cosmic and  $\aleph_0$ -spaces: *A regular space is a cosmic (resp.  $\aleph_0$ -) space if and only if  $X$  is a continuous (resp. continuous compact-covering) image of a separable metric space.* Consequently, every cosmic space (hence  $\aleph_0$ -space as well) is Lindelöf. Another characterization of  $\aleph_0$ -spaces is given by Guthrie in [24]. It is known [33] that an  $\aleph_0$ -space (even an  $\aleph$ -space) which is either first countable or locally compact is metrizable. For further properties of  $\aleph_0$ -spaces we refer to papers [19, 22, 31]. Although Michael's (above) result provides a nice characterization of cosmic and  $\aleph_0$ -spaces, it seems that there does not exist an appropriate description of the topology of cosmic and  $\aleph_0$ -spaces. For example, each countable regular space  $X$  is cosmic as a continuous image of the discrete underlying countable space  $X$ . However, this does not describe the topology of  $X$ .

In the first part of the paper we describe the topology of cosmic and  $\aleph_0$ -spaces in terms of special bases defined by partially ordered sets (Theorem 2.2). The second part of the paper deals with  $\aleph_0$ -spaces in the class of locally convex spaces (lcs)  $E$ . We examine the following two natural problems being well motivated both from topology and functional analysis.

**Problem 1.2.** *Characterize those lcs  $E$  which are weakly  $\aleph_0$ -spaces, i.e.  $E$  with the weak topology  $\sigma(E, E')$  is an  $\aleph_0$ -space.*

**Problem 1.3.** *Describe possible large class of lcs which are weakly or weakly\*  $\aleph_0$ -spaces, i.e. the topological dual  $E'$  of  $E$  with the weak\* topology  $\sigma(E', E)$  is an  $\aleph_0$ -space.*

Michael [30, §7] proved the following two facts for a Banach space  $E$ : (i) If  $E$  is separable, the normed dual  $E'$  is a weakly\*  $\aleph_0$ -space. (ii) If  $E'$  is also separable,  $E$  is a weakly  $\aleph_0$ -space. Problems 1.2 and 1.3 have been also studied for Banach spaces and for  $E$  being a separable metrizable lcs with  $E'$  endowed with the compact-open topology in [3, Sections 11 and 12].

If  $E$  is a Banach space with separable normed dual  $E'$ , then, by [14, Theorem (1)-(4), p.215], the space  $E$  does not contain (an isomorphic copy of)  $\ell_1$ , but  $E$  is a weakly  $\aleph_0$ -space. Let now  $(E, \xi)$  be a separable Banach space with the Schur property (i.e., every weakly null-sequence in  $E$  converges in the original topology  $\xi$ ), for example  $\ell_1$ . Then the Eberlein-Šmulian theorem implies that  $\sigma(E, E')$  and  $\xi$  have the same compact sets. So,  $(E, \sigma(E, E'))$  is an  $\aleph_0$ -space trivially because  $E$  is an  $\aleph_0$ -space. Hence each of the following two conditions guarantees that a separable Banach space  $E$  is a weakly  $\aleph_0$ -space: (1) the normed dual  $E'$  is separable, and (2)  $E$  has the Schur property. Note that the space  $E := \ell_1 \times \ell_2$  is a weakly  $\aleph_0$ -space, but  $E$  does not have the Schur property and its normed dual is nonseparable.

For a lcs  $E$  by the *strong dual* of  $E$  we mean the dual  $E'$  endowed with the strong topology  $\beta(E', E)$ . By a *Fréchet lcs* space we mean a metrizable and complete lcs. Having in mind Problem 1.2 first we prove the following general fact for any lcs which is a weakly  $\aleph_0$ -space.

**Proposition 1.4.** *Let  $E$  be a lcs which is a weakly  $\aleph_0$ -space. Then the strong dual  $E'$  of  $E$  is trans-separable if and only if every bounded set in  $E$  is Fréchet-Urysohn in the weak topology of  $E$ .*

Next, we extend some recent results of Barroso, Kalenda and Lin [4], which with Proposition 1.4 provide the following

**Theorem 1.5.** *Let  $E$  be a Fréchet lcs and  $E'$  be its strong dual. Then*

(i) If  $E'$  is separable, then  $E$  is a weakly  $\aleph_0$ -space.

(ii) If  $E$  is a weakly  $\aleph_0$ -space not containing  $\ell_1$ , then  $E'$  is trans-separable.

It is natural to ask whether the trans-separability in Theorem 1.5(ii) can be strengthened to separability. Since for metrizable spaces trans-separability and separability coincide, the space whose strong dual has bounded sets metrizable is of interest. It is known that the class of Fréchet lcs  $E$  for which the strong dual  $E'$  has bounded sets metrizable coincides with the class of Fréchet lcs which satisfy the *density condition of Heinrich*; it contains every Fréchet-Montel lcs and every quasinormable Fréchet lcs (in sense of Grothendieck). The latter class of lcs contains the most usual function spaces, all Banach spaces, as well as every  $(FS)$ -space. These spaces were studied in [6], [7]. We prove the following

**Theorem 1.6.** *Let  $E$  be a Fréchet lcs satisfying the Heinrich density condition and not containing  $\ell_1$ . Then  $E$  is a weakly  $\aleph_0$ -space if and only if the strong dual of  $E$  is separable.*

Consequently, for a Banach space  $E$  not containing  $\ell_1$  the normed dual  $E'$  is separable if and only if  $E$  is a weakly  $\aleph_0$ -space (noticed also in [3, Theorem 12.3]). The James tree space  $JT$  (see [14]) is a separable Banach space having a nonseparable normed dual and containing no isomorphic copy of  $\ell_1$ . So  $JT$  is not a weakly  $\aleph_0$ -space (also mentioned in [3, §12]).

Applying recent results of Cascales, Orihuela and Tkachuk [10], we extend Michael's results [30, §7] by showing, among the others, that if  $E$  is a metrizable lcs or a  $(DF)$ -space whose *strong dual*  $E'$  is separable, then  $(E, \sigma(E, E'))$  is an  $\aleph_0$ -space (Theorem 4.5).

By  $C_c(X)$  and  $C_p(X)$  we denote the space  $C(X)$  of all real-valued continuous functions on a completely regular Hausdorff space  $X$  endowed with the compact-open topology and the pointwise topology, respectively. Corson proved [30, Proposition 10.8] that for an uncountable compact metrizable space  $X$ , the Banach space  $C_c(X)$  (clearly the normed dual is not separable) is not a weakly  $\aleph_0$ -space. Nevertheless, we show that for a Čech-complete Lindelöf space  $X$  the following are equivalent (Proposition 4.7): (a)  $X$  is Polish, (b)  $C_c(X)$  is cosmic in the weak topology, (c) the weak\*-dual of  $C_c(X)$  is an  $\aleph_0$ -space. As an application we prove that if there exists a continuous linear surjection from  $C_c(X)$  onto  $C_p(Y)$ , every closed first countable subspace  $Z$  of  $Y$  is Polish provided  $X$  is Polish (Corollary 4.8); this extends a Pelant's result [2, Theorem 3.27].

## 2. Description of the topology of cosmic and $\aleph_0$ -spaces

Let  $\Omega$  be a set and  $I$  a partially ordered set with an order  $\leq$ . We say that a family  $\{A_i\}_{i \in I}$  of subsets of  $\Omega$  is *I-decreasing* (respectively, *I-increasing*) if  $A_j \subset A_i$  (respectively,  $A_i \subset A_j$ ) for every  $i \leq j$  in  $I$ . One of the most important example of partially ordered sets is the product  $\mathbb{N}^{\mathbb{N}}$  endowed with the natural partial order, i.e.,  $\alpha \leq \beta$  if  $\alpha_i \leq \beta_i$  for all  $i \in \mathbb{N}$ , where  $\alpha = (\alpha_i)_{i \in \mathbb{N}}$  and  $\beta = (\beta_i)_{i \in \mathbb{N}}$ . For every  $\alpha = (\alpha_i)_{i \in \mathbb{N}} \in \mathbb{N}^{\mathbb{N}}$  and each  $k \in \mathbb{N}$ , set

$$I_k(\alpha) := \left\{ \beta \in \mathbb{N}^{\mathbb{N}} : \beta_i = \alpha_i \text{ for } i = 1, \dots, k \right\}.$$

The following concept is used in our description.

**Definition 2.1.** A topological space  $(X, \tau)$  has a *small base* if there exists an  $\mathbf{M}$ -decreasing base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  of  $\tau$  for some  $\mathbf{M} \subseteq \mathbb{N}^{\mathbb{N}}$ .

If a topological space  $X$  has a small base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  in  $X$ , we define the countable family  $\mathcal{D}_\mathcal{U}$  of subsets of  $X$  by

$$\mathcal{D}_\mathcal{U} := \{D_k(\alpha) : \alpha \in \mathbf{M}, k \in \mathbb{N}\}, \text{ where } D_k(\alpha) = \bigcap_{\beta \in I_k(\alpha) \cap \mathbf{M}} U_\beta,$$

and say that  $\mathcal{U}$  satisfies the *condition (D)* if  $U_\alpha = \bigcup_{k \in \mathbb{N}} D_k(\alpha)$  for every  $\alpha \in \mathbf{M}$ . A similar condition naturally appears and is essentially used in [21].

Next theorem describes the topology of cosmic and  $\aleph_0$ -spaces.

**Theorem 2.2.** *Let  $(X, \tau)$  be a topological space. Then:*

- (i)  *$X$  has a countable network (and is cosmic) if and only if  $X$  has a small base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  satisfying the condition (D) (and is regular). In that case the family  $\mathcal{D}_\mathcal{U}$  is a countable network in  $X$ .*
- (ii)  *$X$  has a countable  $k$ -network (and is an  $\aleph_0$ -space) if and only if  $X$  has a small base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  satisfying the condition (D) such that the family  $\mathcal{D}_\mathcal{U}$  is a countable  $k$ -network in  $X$  (and is regular).*

In both cases we can find a small base  $\mathcal{U}$  such that  $U_\alpha \neq U_\beta$  for  $\alpha \neq \beta$  and  $\mathcal{U} = \tau$ , what means that for every  $W \in \tau$  there exists  $\alpha \in \mathbf{M}$  such that  $W = U_\alpha$ .

*Proof.* (i) Assume that  $X$  is cosmic with a countable network  $\mathcal{D} = \{D_i : i \in \mathbb{N}\}$ . Let  $f : \tau \rightarrow \mathbb{N}^\mathbb{N}$  be the map defined by  $f(W) = (a_n)_n$ , where  $a_n = 1$  if  $D_n \subseteq W$ , and  $a_n = 2$  if  $D_n \not\subseteq W$ , for each  $W \in \tau$ . Let  $\mathbf{M} := \{f(W) : W \in \tau\}$  and for each  $\alpha = (a_n)_n \in \mathbf{M}$  let

$$U_\alpha := \bigcup \{D_n : n \in \mathbb{N}, a_n = 1\}.$$

Note that  $W = U_{f(W)}$  for each  $W \in \tau$  because  $\mathcal{D}$  is a network. Now it is clear that the family  $\mathcal{U} := \{U_\alpha : \alpha \in \mathbf{M}\}$  is a small base,  $U_\alpha \neq U_\beta$  for  $\alpha \neq \beta$  and  $\mathcal{U} = \tau$ .

Let  $\alpha = (a_n) \in \mathbf{M}$  and  $k \in \mathbb{N}$ . If  $\beta \in I_k(\alpha) \cap \mathbf{M}$ , then from the formula

$$\bigcup \{D_n : n \in \mathbb{N}, n \leq k, a_n = 1\} \subset U_\beta$$

it follows that

$$\bigcup \{D_n : n \in \mathbb{N}, n \leq k, a_n = 1\} \subset \bigcap \{U_\beta : \beta \in I_k(\alpha) \cap \mathbf{M}\} = D_k(\alpha) \subset U_\alpha.$$

On the other hand, from

$$U_\alpha = \bigcup_k \left[ \bigcup \{D_n : n \in \mathbb{N}, n \leq k, a_n = 1\} \right] \subset \bigcup_k D_k(\alpha) \subset U_\alpha$$

we deduce the equality  $U_\alpha = \bigcup_k D_k(\alpha)$ . It proves that  $\mathcal{U}$  verifies the condition (D). Conversely, if  $X$  has a small base satisfying the condition (D) it is clear that the family  $\mathcal{D}_\mathcal{U}$  is a countable network of  $X$ .

(ii) Assume that  $X$  is an  $\aleph_0$ -space with a countable  $k$ -network  $\mathcal{D} = \{D_i : i \in \mathbb{N}\}$ , and let  $\mathcal{U} := \{U_\alpha : \alpha \in \mathbf{M}\}$  be the small base constructed as in (i) satisfying the condition (D). We show that the countable family  $\mathcal{D}_\mathcal{U}$  is also a  $k$ -network in  $X$ .

Fix  $U_\alpha \in \mathcal{U}$  and a compact subset  $K$ , with  $K \subset U_\alpha$ . As  $\mathcal{D}$  is a countable  $k$ -network, there exists a finite increasing set  $\{n_i : 1 \leq i \leq h\}$  such that

$$K \subset \bigcup \{D_{n_i} : 1 \leq i \leq h\} \subset U_\alpha.$$

If  $\beta \in I_{n_h}(\alpha) \cap \mathbf{M}$ , then

$$K \subset \bigcup \{D_{n_i} : 1 \leq i \leq h\} \subset U_\beta,$$

and therefore

$$K \subset \bigcap \{U_\beta : \beta \in I_{n_h}(\alpha) \cap \mathbf{M}\} = D_{n_h}(\alpha) \subset U_\alpha.$$

Hence the family  $\mathcal{D}_\mathcal{U}$  is a countable  $k$ -network of  $X$ . The converse assertion is trivial.  $\square$

We were kindly informed by Prof. Tkachenko that the following Corollary 2.3 has been also proved in [38, Corollary 3.23].

**Corollary 2.3.** *Let  $G$  be a Baire topological group. Then  $G$  is cosmic if and only if  $G$  is metrizable and separable.*

*Proof.* It is enough to show that, if  $G$  is a Baire and cosmic, then  $G$  is metrizable. We prove that  $G$  has a countable base of neighborhoods at the unit  $e$ . By Theorem 2.2 there exists a small base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  satisfying the condition **(D)**. We show that the countable family  $\{\overline{D_k(\alpha)} \cdot \overline{D_k(\alpha)}^{-1} : \alpha \in \mathbf{M}, k \in \mathbb{N}\}$  contains a base of neighborhoods at  $e$  in  $G$ . Let  $W$  be an open neighborhood of  $e$ . Choose  $V$ , a symmetric open neighborhood of  $e$  such that  $V \cdot V \subset \overline{V} \cdot \overline{V} \subset W$ . There exists  $\alpha \in \mathbf{M}$  with  $V = U_\alpha = \bigcup_k D_k(\alpha)$ . Since  $U_\alpha$  is open in  $G$ , there exists  $k \in \mathbb{N}$  such that  $U_\alpha \cap \overline{D_k(\alpha)}$  has a non-empty interior in  $U_\alpha$ , so also in  $G$ . Therefore  $\overline{D_k(\alpha)} \cdot \overline{D_k(\alpha)}^{-1}$  is a neighborhood of  $e$ , contained in  $W$ .  $\square$

The cofinality of a partially ordered set  $P$  we denote by  $\text{cf}(P)$ . The cofinality of  $\mathbb{N}^\mathbb{N}$  is denoted by  $\mathfrak{d}$ . It is well known that  $\aleph_1 \leq \mathfrak{d} \leq \mathfrak{c}$  and that the hypothesis  $\mathfrak{d} < \mathfrak{c}$  is consistent with ZFC.

**Example 2.4.** There is a subset  $P$  of  $\mathbb{N}^\mathbb{N}$  such that  $\text{cf}(P) = \mathfrak{c}$ .

*Proof.* Let  $G = (\mathbb{Z}, \tau_b)$  be the group of integers  $\mathbb{Z}$  endowed with the Bohr topology  $\tau_b$ . It is well-known that  $\chi(G) = \mathfrak{c}$ . Since  $G$  is countable it is a cosmic space. Now Theorem 2.2(i) implies that  $G$  has a small base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  with  $U_\alpha \neq U_\beta$  for  $\alpha \neq \beta$  and  $\mathcal{U} = \tau_b$ . Set  $P := \{\alpha \in \mathbf{M} : 0 \in U_\alpha\}$ . Then  $P$  is a base at 0. Hence  $\mathfrak{c} = \chi(G) \leq \text{cf}(P) \leq |P| \leq \mathfrak{c}$ . Thus  $\text{cf}(P) = \mathfrak{c}$ .  $\square$

Note that the condition **(D)** is essential in Theorem 2.2, since there is a compact noncosmic abelian group  $(H, \tau)$  with a small base  $\mathcal{U}$  satisfying  $\mathcal{U} = \tau$ , see Example 2.6. First we prove the following useful

**Proposition 2.5.** *If a regular topological space  $(X, \tau)$  has a dense subset  $A$  with a small base  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbf{M}\}$  such that  $U_\alpha \neq U_\beta$  for  $\alpha \neq \beta$  and  $\mathcal{U} = \tau|_A$ , then  $X$  also has a small base  $\mathcal{V}$  such that  $\mathcal{V} = \tau$ .*

*Proof.* Since  $A$  is dense, the assumption on  $\mathcal{U}$  implies that, for every  $V \in \tau$  there exists a unique  $\alpha \in \mathbf{M}$  such that  $U_\alpha = V \cap A$ . Set  $V_\alpha := V$ . Then the family  $\{V_\alpha : \alpha \in \mathbf{M}\}$  is as required.  $\square$

**Example 2.6.** There is a compact abelian group with a small base which is not a cosmic space.

*Proof.* Let  $H = b\mathbb{Z}$  be the Bohr compactification of  $\mathbb{Z}$  with discrete topology. So  $H$  is the completion of the group  $G$  defined in Example 2.4. Now the proof of Example 2.4 and Proposition 2.5 imply that  $H$  has a small base. Since  $H$  is not metrizable, it is not cosmic by Corollary 2.3.  $\square$

It is well known that any Baire lcs is barrelled. Next example shows that Corollary 2.3 cannot be extended to barrelled  $\aleph_0$ -spaces. Recall that  $E$  is *Fréchet-Montel* if  $E$  is a metrizable and complete lcs whose every closed bounded set is compact; we refer to [34, 8.5.8, p.283] for concrete examples.

**Example 2.7.** The strong dual  $E'$  of an infinite-dimensional Fréchet-Montel space  $E$  is a barrelled nonmetrizable lcs which is an  $\aleph_0$ -space.

*Proof.* Let  $(U_n)_n$  be a decreasing basis of neighbourhoods of zero in  $E$ ; set  $K_n := U_n^\circ$  for each  $n \in \mathbb{N}$ . Being a Fréchet-Montel space,  $E$  is separable and every null-sequence in  $\sigma(E', E)$  is a null-sequence in  $\beta(E', E)$  by [25, 11.6.2]. So  $(E', \sigma(E', E))$  is submetrizable and every  $\sigma(E', E)$ -compact set is  $\beta(E', E)$ -compact and metrizable. Hence each  $K_n$  is  $\beta(E', E)$ -compact and metrizable, so  $E'$  is an  $\aleph_0$ -space (as every  $\beta(E', E)$ -compact set is contained in some  $K_n$  and we apply [30, Proposition 7.7]). The strong dual  $E'$  is barrelled by [25, 11.5.4]. Also  $E'$  is nonmetrizable since, otherwise,  $E$  has a fundamental sequence of bounded sets. So  $E$  is normable [25, 12.4.4] and hence finite-dimensional, a contradiction.  $\square$

### 3. Weakly $\aleph_0$ -spaces not containing a copy of $\ell_1$

Recall that a topological space  $X$  has the *property*  $(\alpha_4)$  if for any  $\{x_{m,n} : (m,n) \in \mathbb{N} \times \mathbb{N}\} \subset X$  with  $\lim_n x_{m,n} = x \in X$ ,  $m \in \mathbb{N}$ , there exists a sequence  $(m_k)_k$  of distinct natural numbers and a sequence  $(n_k)_k$  of natural numbers such that  $\lim_k x_{m_k, n_k} = x$ .

Recall also that a topological space  $X$  is *strongly Fréchet-Urysohn* if for every  $x \in X$  and for each decreasing family  $(A_n)$  of  $X$  with  $x \in \bigcap_n \overline{A_n}$ , there are  $x_n \in A_n$  ( $n \in \mathbb{N}$ ) with  $\lim_n x_n = x$  (see [13]). A topological group  $G$  is Fréchet-Urysohn if and only if it is strongly Fréchet-Urysohn (see [1] or [13]).

Recall that a uniform space  $(X, \mathcal{N})$  is *trans-separable* (see [26] or [34]), if for every entourage  $N$  in  $\mathcal{N}$  there exists a countable subset  $Q$  of  $X$  such that  $X = \bigcup_{x \in Q} U_N(x)$ , where  $U_N(x) := \{y \in X : (x, y) \in N\}$ . Every metrizable trans-separable uniform space is separable. A lcs  $E$  is trans-separable if and only if for each neighbourhood of zero  $U$  in  $E$  there exists a countable subset  $N$  of  $E$  with  $E = N + U$ . Note that a lcs  $E$  does not contain  $\ell_1$  provided the strong dual  $E'$  is trans-separable. In order to prove Theorem 1.5 we recall the following result from [16] (see also [26, Corollary 6.8]).

**Lemma 3.1** ([16]). *The strong dual of a lcs  $E$  is trans-separable if and only if every bounded set in  $E$  is metrizable in the weak topology  $\sigma(E, E')$  of  $E$ .*

We need the following lemma; its proof uses some technics from [13, Lemma 1.3].

**Lemma 3.2.** *Let  $E$  be a topological vector space (resp. topological group) such that every bounded (resp. precompact) set is Fréchet-Urysohn. Then, every bounded (resp. precompact) set has the property  $(\alpha_4)$  and therefore it is strongly Fréchet-Urysohn.*

*Proof.* For the case when  $E$  is a topological group, we assume that  $E$  is not discrete; otherwise, the conclusion holds trivially. By 0 we will denote the neutral element of  $E$ .

Let  $B$  be a bounded (resp. precompact) subset and suppose that  $x_{m,n} \in B$ , for each  $(m,n) \in \mathbb{N} \times \mathbb{N}$ , and that  $\lim_n x_{m,n} = x \in B$  for every  $m \in \mathbb{N}$ . Then  $B' = B - x$  contains each  $z_{m,n} := x_{m,n} - x$  and  $\lim_n z_{m,n} = 0 \in B'$  for every  $m \in \mathbb{N}$ . To prove that  $B$  has the property  $(\alpha_4)$  it is enough to find sequences  $(p_k)_k$  and  $(n_k)_k$  in  $\mathbb{N}$ , with  $p_k < p_{k+1}$  for each  $k \in \mathbb{N}$ , such that  $\lim_k z_{p_k, n_k} = 0$ . The proof is obvious if the set  $\{m \in \mathbb{N} : z_{m,n} = 0 \text{ for some } n \in \mathbb{N}\}$  is infinite. Therefore we assume that  $z_{m,n} \neq 0$  for each  $(m,n) \in \mathbb{N} \times \mathbb{N}$ .

Choose any sequence  $(v_m)_m \subset B' \setminus \{0\}$  with  $\lim_m v_m = 0$ . Define

$$y_{m,n} := \begin{cases} v_m, & \text{if } z_{m,n+m} = v_m \\ z_{m,n+m} - v_m, & \text{if } z_{m,n+m} \neq v_m \end{cases} \quad (m, n \in \mathbb{N}).$$

Clearly,  $0 \neq y_{m,n} \in B' - B'$  for all  $n, m \in \mathbb{N}$ . It follows from  $\lim_m v_m = 0$  and  $\lim_m \lim_n (z_{m,n+m} - v_m) = 0$  that 0 belongs to the closure of the bounded (resp. precompact) set  $\{y_{m,n} : (m, n) \in \mathbb{N} \times \mathbb{N}\}$  (note that  $B' - B'$  is bounded (resp. precompact)). Therefore there exist two sequences  $(p_k)_k$  and  $(s_k)_k$  such that  $\lim_k y_{p_k, s_k} = 0$ .

Since  $v_m \rightarrow 0$  if  $m \rightarrow \infty$ , we have to show that the sequence  $(p_k)_k$  is unbounded. Suppose for a contradiction that  $(p_k)_k$  is bounded. We may suppose (taking a subsequence if it was necessary) that  $p_k = p$  for every  $k \in \mathbb{N}$ . If the sequence  $(s_k)_k$  is unbounded we may assume (taking a subsequence if it was necessary) that  $s_k < s_{k+1}$  for each  $k \in \mathbb{N}$ . Since  $v_p = z_{p, s_k+p}$  or  $v_p = z_{p, s_k+p} - y_{p, s_k}$ , from the facts  $\lim_k y_{p, s_k} = \lim_k y_{p_k, s_k} = 0$  and  $\lim_k z_{p, s_k+p} = 0$  we deduce that  $v_p = 0$ , a contradiction.

If the sequence  $(s_k)_k$  is bounded we may suppose (taking a subsequence if it was necessary) that  $s_k = s$  for each  $k \in \mathbb{N}$ . Then  $y_{p, s} = \lim_k y_{p_k, s_k} = 0$ , that contradicts the choice of  $y_{p, s}$ . So  $(p_k)_k$  is unbounded. Thus  $B$  has the property  $(\alpha_4)$ .

The set  $B$  is strongly Fréchet-Urysohn by [13, Proposition 1.4].  $\square$

We are ready for the proof of Proposition 1.4.

*Proof of Proposition 1.4.* If  $E'$  is trans-separable, then every bounded set in  $E$  is metrizable in  $\sigma(E, E')$  by Lemma 3.1. Conversely, if every bounded set in  $E$  is Fréchet-Urysohn in  $\sigma(E, E')$ , we apply Lemma 3.2 to see that every bounded set  $B$  in  $E$  is strongly Fréchet-Urysohn in  $\sigma(E, E')$ . As a subspace of the  $\aleph_0$ -space  $(E, \sigma(E, E'))$ , the set  $B$  is also an  $\aleph_0$ -space. By [31, Theorem 9.11],  $B$  is second countable, hence metrizable. Finally, again Lemma 3.1 applies to get the trans-separability of  $E'$ .  $\square$

A lcs  $E$  will be said to have *the Rosenthal property* if every bounded sequence in  $E$  either  $(R_1)$  has a subsequence which is Cauchy in the weak topology  $\sigma(E, E')$ , or  $(R_2)$  has a subsequence which is equivalent to the unit vector basis of  $\ell_1$ . Recently, Ruess [36, Proposition 3.3] proved the following

**Proposition 3.3** ([36]). *Every sequentially complete lcs  $E$  whose every bounded set is metrizable has the Rosenthal property.*

Note that there is a quite large class of spaces  $E$  satisfying the assumptions quoted by Ruess: The strong dual of any metrizable lcs with *the Heinrich density condition* is an example of a space  $E$  of this type (see [6, Theorem 2]). In particular all quasinormable metrizable lcs satisfy the Heinrich density condition (see [6] for more details about this class).

A family  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of subsets of a set  $E$  covering  $E$  is called a *resolution* if  $A_\alpha \subset A_\beta$  whenever  $\alpha \leq \beta$ . Following Cascales and Orihuela [9], a lcs  $E$  is said to be in *class  $\mathfrak{G}$*  if  $E'$  admits a  $\sigma(E', E)$ -resolution  $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  (called a  $\mathfrak{G}$ -representation for  $E$ ) such that every sequence in any  $A_\alpha$  is equicontinuous, see [26] for several results about this class. The class  $\mathfrak{G}$  contains “almost all” important lcs (including  $(LM)$ -spaces (hence metrizable lcs),  $(DF)$ -spaces, etc.), and it is stable under taking subspaces, Hausdorff quotients, countable direct sums and products.

A family  $\mathcal{U} = \{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of neighborhoods of zero in  $E$  is called a  *$\mathfrak{G}$ -base* if  $\mathcal{U}$  is an  $\mathbb{N}^{\mathbb{N}}$ -decreasing base of neighborhoods of zero [9, 26]. Topological groups with a  $\mathfrak{G}$ -base were considered in [20]. A lcs  $E$  is *quasibarrelled* (*barrelled*) if every  $\beta(E', E)$ -bounded ( $\sigma(E', E)$ -bounded) set in  $E'$  is equicontinuous [34]. Metrizable lcs are quasibarrelled. By [11], a quasibarrelled lcs  $E$  has a  $\mathfrak{G}$ -base if and only if  $E$  is in class  $\mathfrak{G}$ .



Recall that a lcs  $E$  is called a  $(DF)$ -space if  $E$  has a fundamental sequence of bounded absolutely convex sets and  $E$  is  $\aleph_0$ -quasibarrelled (see [34, 8.3]). Every normed space is a  $(DF)$ -space. The strong dual of a metrizable lcs is a complete  $(DF)$ -space [34, 8.3.9] and the strong dual of a  $(DF)$ -space is a metrizable and complete lcs [34, 8.3.7].

The following lemmas extend [27, Theorem 2.4] and [4, Lemma 3.6, Proposition 4.4], although the main ideas for the proofs are similar.

**Lemma 3.4.** *Let  $E$  be a lcs in class  $\mathfrak{G}$  having the Rosenthal property  $(R_1)$ . Then every bounded, separable set of  $E$  is Fréchet-Urysohn in the weak topology.*

*Proof.* Let  $B \subset E$  be a bounded, separable set having the Rosenthal property  $(R_1)$ . Since the linear span of  $B$  is separable, and every linear subspace of  $E$  is in class  $\mathfrak{G}$ , we can assume that  $E$  is separable. So, there exists a resolution  $\{V_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of  $(E', \sigma(E', E))$  consisting of relatively countably  $\sigma(E', E)$ -compact sets. Since  $E$  is separable,  $E'$  admits a coarser metrizable locally convex topology. Then Šmulyan's theorem [18, 3.2 Theorem] guarantees that every  $V_\alpha$  is relatively  $\sigma(E', E)$ -compact. Hence,  $\{\bar{V}_\alpha^{\sigma(E', E)} : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a  $\sigma(E', E)$ -compact resolution of  $(E', \sigma(E', E))$ . By Talagrand's theorem (see [9, Theorem 15]) the space  $(E', \sigma(E', E))$  is analytic. Thus, there exists a continuous surjection  $G : \mathbb{N}^{\mathbb{N}} \rightarrow (E', \sigma(E', E))$ .

Now, similarly as in the proof of [4, Lemma 3.6], define the map  $H : E \rightarrow \mathbb{R}^{\mathbb{N}^{\mathbb{N}}}$  by the formula  $H(x)(\alpha) = G(\alpha)(x)$ , where  $x \in E$ ,  $\alpha \in \mathbb{N}^{\mathbb{N}}$ . We can easily verify that  $H$  is a linear homeomorphism of  $(E, \sigma(E, E'))$  onto  $H(E)$  and elements of  $H(E)$  are continuous functions defined on  $\mathbb{N}^{\mathbb{N}}$ . As every sequence of  $B$  has a  $\sigma(E, E')$ -Cauchy subsequence, each sequence of  $H(B)$  has a Cauchy subsequence in the topology induced from  $\mathbb{R}^{\mathbb{N}^{\mathbb{N}}}$ . Hence, by [27, Corollary 2.2], the closure of  $H(B)$  is Fréchet-Urysohn. Thus,  $B$  is Fréchet-Urysohn in the weak topology.  $\square$

**Lemma 3.5.** *Let  $E$  be a quasibarrelled lcs in class  $\mathfrak{G}$ . Then the following assertions are equivalent.*

- (i) *Any bounded subset of  $E$  is Fréchet-Urysohn in the weak topology.*
- (ii) *Any bounded sequence in  $E$  has a weakly Cauchy subsequence.*

*Proof.* (i)  $\Rightarrow$  (ii): See the proof of [4, Proposition 4.4, i) $\Rightarrow$ ii)].

(ii)  $\Rightarrow$  (i): Let  $B$  be a bounded set of  $E$ . By [26, Theorem 4.8] the space  $(E, \sigma(E, E'))$  has countable tightness. Hence for any  $x \in \bar{B}^{\sigma(E, E')}$  we can select a countable subset  $C \subset B$  such that  $x \in \bar{C}^{\sigma(E, E')}$ . Now Lemma 3.4 applies.  $\square$

The following corollary provides a nonmetrizable counterpart of [4, Proposition 4.4].

**Corollary 3.6.** *Let  $E$  be the strong dual of a metrizable lcs  $F$  with the Heinrich density condition. Then the following assertions are equivalent:*

- (i) *Every bounded set is Fréchet-Urysohn in the topology  $\sigma(E, E')$ .*
- (ii) *Every bounded sequence in  $E$  contains a weakly Cauchy subsequence.*
- (iii)  *$E$  does not contain  $\ell_1$ .*

*Proof.* By assumptions on  $F$ , every bounded set in  $E$  is metrizable and  $E$  is barrelled, see [6, § 1 and Theorem 2]. Further,  $E$  is a complete  $(DF)$ -space, so it belongs also to class  $\mathfrak{G}$ , see [26, 11.1]. Apply Lemma 3.5 and Proposition 3.3.  $\square$

*Proof of Theorem 1.5.* (i) follows from Theorem 4.5(ii) below.

(ii) Assume  $E$  does not contain  $\ell_1$  and  $E$  is a weakly  $\aleph_0$ -space. Since  $E$  is metrizable, it is quasibarrelled and has trivially a  $\mathfrak{G}$ -base; so  $E$  is in class  $\mathfrak{G}$ . Proposition 3.3 implies that  $E$  has the Rosenthal property  $(R_1)$ . So every bounded set in  $E$  is Fréchet-Urysohn in  $\sigma(E, E')$  by Lemma 3.5. Finally Proposition 1.4 yields that  $E'$  is trans-separable.  $\square$

**Remark 3.7.** The same conclusion as in Theorem 1.5 holds for  $E$  being the strong dual of a metrizable lcs with the Heinrich density condition. Indeed, Corollary 3.6 enables to apply the same argument as above.

#### 4. Weakly and weakly\* cosmic and $\aleph_0$ -spaces

Let  $\mathbb{P} := \mathbb{N}^{\mathbb{N}}$ . Following [10] we say that a topological space  $X$  is (*strongly*)  $\mathbb{P}$ -directed (in [39] called (strongly) dominated by irrationals) if  $X$  has a compact resolution covering  $X$  (and swallowing compact sets of  $X$ ), i.e., there exists a  $\mathbb{P}$ -increasing compact cover  $\{K_\alpha : \alpha \in \mathbb{P}\}$  of  $X$  (and every compact set is contained in some  $K_\alpha$ ).

Let  $\mathcal{K}(\mathbb{P})$  be the family of all compact subsets of  $\mathbb{P}$ . A space  $X$  is said to be (*strongly*)  $\mathbb{P}$ -dominated if there exists a family  $\mathcal{F} := \{F_K : K \in \mathcal{K}(\mathbb{P})\}$  of compact sets covering  $X$  such that  $F_K \subset F_L$  if  $K \subset L$  (and every compact set  $M$  in  $X$  is contained in some  $F_K$ ), where  $K, L \in \mathcal{K}(\mathbb{P})$ . If the same holds when  $\mathbb{P}$  is replaced by a Polish space, or a second countable space, we say that  $X$  is (*strongly*) dominated by a Polish space, or a second countable space.

Note the following easy fact; its proof is the same as for [10, Proposition 2.2].

**Lemma 4.1.** *The following conditions are equivalent for a topological space  $X$ : (i)  $X$  has a compact resolution swallowing compact sets; (ii)  $X$  is strongly  $\mathbb{P}$ -dominated; (iii)  $X$  is strongly dominated by a Polish space.*

Second part of Theorem 4.2 follows from the first one and Lemma 4.1. Recall that a topological space  $X$  is *submetrizable* if it admits a weaker metric topology.

**Theorem 4.2.** ([10, Theorem 3.6]) *A submetrizable space  $X$  is an  $\aleph_0$ -space if and only if  $X$  is strongly dominated by a second countable space. Consequently,  $X$  is an  $\aleph_0$ -space if  $X$  has a compact resolution swallowing compact sets.*

The submetrizabilty of  $X$  cannot be removed. Indeed, consider the locally compact space  $X = [0, \omega_1)$ . Under the assumption  $\omega_1 = \mathfrak{b}$ , the space  $X$  has a compact resolution swallowing compact sets (see [17]). Every compact set in  $X$ , being countable, is metrizable. As  $X$  is not separable, it is not an  $\aleph_0$ -space.

Note that each Polish space has a compact resolution swallowing compact sets (see [8]). Analogously, every metrizable topological vector space  $E$  has a bounded resolution swallowing bounded sets. Indeed, if  $(U_n)_n$  is a decreasing base of neighborhoods of zero in  $E$ , then the family  $\{B_\alpha : \alpha \in \mathbb{P}\}$ , where  $B_\alpha := \bigcap_k \alpha_k U_k$  for  $\alpha = (\alpha_k) \in \mathbb{P}$ , is as required.

Part (ii) of the next proposition is a substantial extension of Michael's [30, Corollary 7.10].

**Proposition 4.3.** (i) *Let  $E$  be a separable lcs in class  $\mathfrak{G}$ . Then  $E$  is a weakly  $\aleph_0$ -space if and only if  $(E, \sigma(E, E'))$  is strongly dominated by a second countable space.*

(ii) *A (barrelled) lcs  $E$  in class  $\mathfrak{G}$  is separable if and only if  $(E', \sigma(E', E))$  is cosmic (an  $\aleph_0$ -space).*

*Proof.* (i): If  $E$  is a separable lcs in class  $\mathfrak{G}$ , then its weak\*-dual is separable [9, Theorem 14], so  $(E, \sigma(E, E'))$  is submetrizable. Now we apply Theorem 4.2.

(ii): Let  $\{A_\alpha : \alpha \in \mathbb{P}\}$  be a  $\mathfrak{G}$ -representation for  $E$ . By definition each set  $A_\alpha$  is  $\sigma(E', E)$ -relatively countably compact. Assume that  $E$  is separable. Then, the space  $E'_\sigma := (E', \sigma(E', E))$  admits a weaker metrizable topology. Therefore each set  $A_\alpha$  is  $\sigma(E', E)$ -relatively compact. Now [9, Theorem 15] implies that  $E'_\sigma$  is analytic, i.e. a continuous image of  $\mathbb{P}$ , so  $E'_\sigma$  is cosmic. Conversely, if  $E'_\sigma$  is cosmic,  $(E, \sigma(E, E'))$  is cosmic (see Theorem 4.5 (i)), so  $E$  is separable.

Now assume that  $E$  is barrelled and separable in class  $\mathfrak{G}$ . By the remark before Lemma 3.4,  $E$  admits a  $\mathfrak{G}$ -base  $\{U_\alpha : \alpha \in \mathbb{P}\}$ . For  $\alpha \in \mathbb{P}$ , let  $U_\alpha^\circ := \{f \in E' : |f(x)| \leq 1, x \in U_\alpha\}$  be the polar of

$U_\alpha$ . Then the family  $\mathcal{F} := \{U_\alpha^\circ : \alpha \in \mathbb{P}\}$  is a compact resolution in  $E'_\sigma$ . If  $K$  is a compact subset in  $E'_\sigma$ , then  $K$  is equicontinuous by [37, IV.5.2], so  $K \subset U_\alpha^\circ$  for some  $\alpha \in \mathbb{P}$ . Hence  $\mathcal{F}$  is a compact resolution in  $E'_\sigma$  swallowing compact sets of  $E'_\sigma$ . Now Theorem 4.2 applies.  $\square$

**Question 4.4.** *Does there exist a quasibarrelled separable lcs  $E$  in class  $\mathfrak{G}$  whose weak\*-dual is not an  $\aleph_0$ -space?*

Recall also that  $E$  is a (strict)  $(LF)$ -space if  $E$  is the (strict) inductive limit of an increasing sequence  $(E_n)_n$  of Fréchet spaces. We refer to [5] for concrete classes of (reflexive, strict, regular, etc)  $(LF)$ -spaces which applies to Theorem 4.5 below.

**Theorem 4.5.** *Let  $E$  be a lcs. Then the following statements hold.*

- (i)  $(E, \sigma(E, E'))$  is cosmic if and only if  $(E', \sigma(E', E))$  is cosmic.
- (ii) If  $E$  is metrizable such that the strong dual  $(E', \beta(E', E))$  of  $E$  is separable, then  $E$  is a weakly  $\aleph_0$ -space.
- (iii) If  $E$  is separable and metrizable, then  $(E', \sigma(E', E))$  is a cosmic space. If additionally  $E$  is barrelled, then  $(E', \sigma(E', E))$  is an  $\aleph_0$ -space.
- (iv) If  $E$  is a  $(DF)$ -space whose strong dual is separable, then  $E$  is a weakly  $\aleph_0$ -space.
- (v) If  $E$  is a separable  $(LF)$ -space, then  $(E', \sigma(E', E))$  is an  $\aleph_0$ -space. Moreover, if  $E$  is reflexive, the same holds for  $(E, \sigma(E, E'))$ .
- (vi) If  $E$  is a strict  $(LF)$ -space such that the strong dual of  $E$  is separable, then  $E$  is a weakly  $\aleph_0$ -space.

*Proof.* (i) follows from  $(E, \sigma(E, E')) \subset C_p(E', \sigma(E', E))$ ,  $(E', \sigma(E', E)) \subset C_p(E, \sigma(E, E'))$ , and [30, Proposition 10.5].

(ii): Let  $E$  be a metrizable lcs such that the strong dual space  $E'_\beta := (E', \beta(E', E))$  of  $E$  is separable. Then  $E'_\beta$  is a complete  $(DF)$ -space by [34, 8.3.9]. As  $E'_\beta$  is separable, it is quasibarrelled, hence barrelled by [34, 8.3.13, 8.3.44].

Let  $(U_n)_n$  be a decreasing base of absolutely convex neighborhoods of zero in  $E$ . For each  $\alpha = (\alpha_k)_{k \in \mathbb{N}} \in \mathbb{P}$ , set  $U_\alpha := \bigcap_k \alpha_k U_k$ . Then the family  $\{U_\alpha : \alpha \in \mathbb{P}\}$  is a bounded resolution swallowing bounded sets in  $E$ . Therefore the polars  $U_\alpha^\circ$  of the sets  $U_\alpha$  form a  $\mathfrak{G}$ -base in  $E'_\beta$ . We apply Proposition 4.3(ii) to conclude that the space  $(E'', \sigma(E'', E'))$  is an  $\aleph_0$ -space. Finally,  $E$  is a weakly  $\aleph_0$ -space.

(iii) follows from Proposition 4.3(ii).

(iv): Let  $E$  be a  $(DF)$ -space. Then  $E'_\beta$  is a metrizable and complete lcs by [34, 8.3.7]. Hence,  $E'_\beta$  is barrelled [34, 8.3.13, 8.3.44] and trivially has a  $\mathfrak{G}$ -base. Again Proposition 4.3(ii) applies to deduce that  $(E'', \sigma(E'', E'))$  is an  $\aleph_0$ -space. Thus  $E$  is a weakly  $\aleph_0$ -space.

(v): Let  $E$  be the inductive limit of a sequence  $(E_n)$  of Fréchet spaces. We claim that  $E$  has a  $\mathfrak{G}$ -base. Indeed, if  $(U_n^k)_n$  is a decreasing basis of neighborhoods of zero in  $E_k$  for each  $k \in \mathbb{N}$ , then the sets of the form  $U_\alpha := \bigcup_{k \in \mathbb{N}} (U_{\alpha_1}^1 + U_{\alpha_2}^2 + \cdots + U_{\alpha_k}^k)$ , where  $\alpha = (\alpha_i)_{i \in \mathbb{N}} \in \mathbb{P}$ , form a base of neighbourhoods of zero in  $E$ . Finally, as  $E$  is also barrelled [37, II.7], the space  $E'$  is a weakly\*  $\aleph_0$ -space by Proposition 4.3(ii).

Now assume that  $E$  is reflexive. Then  $(E, \sigma(E, E'))$  is locally complete [25, 11.2.4] and every bounded set in  $E$  is relatively  $\sigma(E, E')$ -compact [37, IV.5 Corollary 2]. Since every  $(LF)$ -space is a quasi- $(LB)$ -space in sense of Valdivia (see [26, 3.3 Example 1]), we apply Valdivia's [26, Theorem

3.5] to derive that  $E_\sigma := (E, \sigma(E, E'))$  has a compact resolution swallowing compact sets. So  $E_\sigma$  is separable in class  $\mathfrak{G}$ . Now Proposition 4.3(i) applies.

(vi): Let  $E$  be the strict inductive limit of a sequence  $(E_n)$  of Fréchet spaces and the strong dual  $E'_\beta$  of  $E$  be separable. For each  $n \in \mathbb{N}$ , the strong dual  $(E'_n)_\beta$  of  $E_n$  is a  $(DF)$ -space. Since  $E$  is the strict inductive limit, the space  $E'_\beta$  is linearly homeomorphic with the *projective limit* of the sequence  $((E'_n)_\beta)_n$  of complete  $(DF)$ -spaces, see [23]. Moreover, as  $E'_\beta$  can be continuously mapped onto each  $(E'_n)_\beta$ , each  $(DF)$ -space  $(E'_n)_\beta$  is separable. Then any  $E_n$  is a weakly  $\aleph_0$ -space by the case (ii). By Michael's theorem [30], for each  $n \in \mathbb{N}$  there exists a metrizable and separable space  $X_n$  and a continuous compact covering map from  $X_n$  onto  $E_n(\sigma_n) := (E_n, \sigma(E_n, E'_n))$ . Since  $\sigma(E_n, E'_n) = \sigma(E, E')|_{E_n}$ , and every compact set in  $(E, \sigma(E, E'))$  is contained in some  $E_n$  (note that the inductive limit is strict), the composition of the induced maps  $\bigoplus_n X_n \rightarrow \bigoplus_n E_n(\sigma_n) \rightarrow \bigcup_n E_n$ , where the latest space is endowed with the topology  $\sigma(E, E')$ , is a continuous compact covering map. This proves that  $E$  is a weakly  $\aleph_0$ -space.  $\square$

It is well known that, if  $\Omega \subset \mathbb{R}^n$  is an open set, then the space of test functions  $D(\Omega)$  is a complete separable Montel strict  $(LF)$ -space. So its strong dual, the space of distributions  $D'(\Omega)$ , is a complete ultrabornological (hence barrelled) non-metrizable space (see [23]). Hence, by reflexivity and Theorem 4.5 (v) we note the following corollary (which completes the corresponding part of [3, Corollary 11.14] for  $D'(\Omega)$ ).

**Corollary 4.6.** *The space of distributions  $D'(\Omega)$  is a weakly  $\aleph_0$ -space.*

We know that if  $X$  is compact,  $C_c(X)$  is a weakly  $\aleph_0$ -space if and only if  $X$  is countable, [30, Proposition 10.8]. However,  $C_c(X)$  is weakly cosmic for every Polish space  $X$ .

**Proposition 4.7.** *Let  $X$  be a Čech-complete space. The following assertions are equivalent:*

- (i)  $X$  is Polish.
- (ii)  $C_c(X)$  is an  $\aleph_0$ -space.
- (iii)  $C_c(X)$  is a cosmic space.
- (iv)  $C_c(X)$  is a weakly cosmic space and  $X$  is Lindelöf.
- (v)  $C_c(X)$  is separable and  $X$  is Lindelöf.
- (vi) The weak\*-dual space of  $C_c(X)$  is an  $\aleph_0$ -space and  $X$  is Lindelöf.
- (vii) The weak\*-dual space of  $C_c(X)$  is a cosmic space and  $X$  is Lindelöf.
- (viii)  $C_c(X)$  is hereditarily separable.

*Proof.* Set  $E := C_c(X)$ ,  $E_\sigma := (E, \sigma(E, E'))$  and  $E'_\sigma := (E', \sigma(E', E))$ . Note also that Čech-complete spaces are completely regular. The implications (i) $\Rightarrow$ (ii) and (ii) $\Leftrightarrow$ (iii) follow from [30, (A) and 10.3].

(ii) $\Rightarrow$ (i): By [30, 10.3], the space  $X$  is an  $\aleph_0$ -space. Hence  $X$  is second countable by [15, 3.9.E(c)]. Thus  $X$  is a separable metrizable space. Being Čech-complete, the space  $X$  is Polish by [15, Theorem 4.3.26].

(iii) $\Rightarrow$ (iv): By [30, 10.2],  $E_\sigma$  is cosmic.  $X$  is Lindelöf by [30, (D) and 10.3].

(iv) $\Rightarrow$ (v): As any cosmic space is separable,  $E_\sigma$  is separable, so is  $E$ , as well.

In what follows we need the following two general facts.

*Fact 1:* (see [21]) Any Čech-complete Lindelöf space  $X$  has a compact resolution swallowing compact sets. Hence  $E = C_c(X)$  has a  $\mathfrak{G}$ -base. Indeed, it is well known that  $X$  is a Čech-complete Lindelöf space if and only if it is a pre-image of a Polish space under a perfect surjective map, see [22, Corollary 3.7] and [15, Theorem 3.9.10].

*A direct proof:* There exists a sequence  $(O^m)_m$  of open sets in  $\beta X$  such that  $\bigcap_m O^m = X$ . Since  $X$  is regular and Lindelöf, for each  $m \in \mathbb{N}$  there exists an increasing covering  $(O_n^m)_n$  of  $X$  such that  $\bigcup_n \overline{O_n^m} \subset O^m$ , where the closures are taken in  $\beta X$ . If  $K$  is a compact set in  $X$ , there exists  $\alpha = (n_m) \in \mathbb{P}$  with  $K \subset O_{n_m}^m$ , consequently  $K \subset K_\alpha$  and  $K_\alpha := \bigcap_m \overline{O_{n_m}^m}$  is  $\beta X$ -compact. Hence,  $\{K_\alpha : \alpha \in \mathbb{P}\}$  is as required. Finally, the space  $E$  has a  $\mathfrak{G}$ -base by [17, Theorem 2].

*Fact 2:* If  $X$  is Lindelöf it is realcompact [15, 3.11.12], and hence the space  $E = C_c(X)$  is barrelled by [34, 10.1.12].

(v) $\Rightarrow$ (vi): By Facts 1, 2 and Proposition 4.3(ii)  $E'_\sigma$  is an  $\aleph_0$ -space.

(vi) $\Rightarrow$ (vii) is clear.

(vii) $\Rightarrow$ (v) follows from Facts 1 and 2 and Proposition 4.3(ii).

(v) $\Rightarrow$ (ii): Since  $E = C_c(X)$  is separable,  $X$  admits a weaker separable metric topology [29, 4.4.2]. Now Fact 1 and Theorem 4.2 imply that the space  $X$  is an  $\aleph_0$ -space. Hence  $E$  is an  $\aleph_0$ -space by [30, 10.3].

(ii) $\Rightarrow$ (viii): If  $E$  is an  $\aleph_0$ -space, then  $E$  is hereditarily separable [30].

(viii) $\Rightarrow$ (v): Assume  $E$  is hereditarily separable. Then  $E$  has countable tightness, so  $X$  is Lindelöf.  $\square$

This proposition combined with Valov's [40, Corollary 4.5] extends Pelant's result, see [2, Theorem 3.27].

**Corollary 4.8.** *Let  $X$  be a Polish space and  $Y$  a regular space. If there exists a continuous linear surjection from  $C_c(X)$  onto  $C_p(Y)$ , then every closed first countable subspace  $Z$  of  $Y$  is Polish.*

*Proof.* Since  $C_c(X)$  is cosmic,  $C_p(Y)$  is cosmic as well. Hence  $Y$  is cosmic, [30, Proposition 10.5], so  $Y$  is Lindelöf. By Valov's [40, Corollary 4.5], the cosmic space  $Z$  is Čech-complete. Hence  $Z$  is second countable [15, 3.9E(c)], so metrizable. Consequently  $Z$  is Polish.  $\square$

Theorem 1.6 and Theorem 1.5 may suggest the question whether the *trans-separability* of the strong dual  $E'$  of  $E$  can be replaced by *separability* for any Fréchet lcs  $E$ . We propose only the following

**Proposition 4.9.** *(MA +  $\neg$ CH) Let  $E$  be a quasibarrelled lcs in class  $\mathfrak{G}$  which is trans-separable. Then  $(E, \sigma(E, E'))$  is cosmic. In particular,  $E$  is separable.*

*Proof.* The completion of a lcs in class  $\mathfrak{G}$  is still in class  $\mathfrak{G}$ , and the completion of a quasibarrelled lcs is barrelled. As a subset of a cosmic space is also cosmic, so we may assume that  $E$  is a (complete) barrelled lcs in class  $\mathfrak{G}$ . Since every quasibarrelled lcs in class  $\mathfrak{G}$  has a  $\mathfrak{G}$ -base by [26, Lemma 15.2], there exists a  $\mathfrak{G}$ -base  $\{U_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  in  $E$ . For each  $\alpha \in \mathbb{N}^{\mathbb{N}}$ , let  $K_\alpha$  be the polar of  $U_\alpha$  equipped with the topology  $\sigma(E', E)$ . Then  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  is a compact resolution of  $(E', \sigma(E', E))$ . As  $E$  is barrelled, every  $\sigma(E', E)$  compact set  $K$  in  $E'$  is equicontinuous, so  $K$  is contained in  $K_\alpha$  for some  $\alpha \in \mathbb{P}$ . Therefore  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  swallows compact sets. By assumption  $E$  is trans-separable, so every  $K_\alpha$  is  $\sigma(E', E)$ -metrizable by a result of Pfister, see [26, Proposition 6.8]. Consequently, every  $\sigma(E', E)$ -compact set is metrizable and  $(E', \sigma(E', E))$  is K-analytic by [26, Theorem 12.2, Theorem 12.3]. By MA +  $\neg$ CH the space  $(E', \sigma(E', E))$  is analytic (Fremlin [35, Theorem 5.5.3]), hence  $(E', \sigma(E', E))$  is submetrizable by Talagrand's [26, Proposition 6.3]. Finally, we apply Theorem 4.2 to derive that  $(E', \sigma(E', E))$  is an  $\aleph_0$ -space. Hence,  $(E, \sigma(E, E'))$  is cosmic by Theorem 4.5(i).  $\square$

The following example motivates also Proposition 4.9.

**Example 4.10.** Let  $X := [0, \omega_1)$ . The space  $C_c(X)$  is a non-separable but trans-separable space which is not quasibarrelled. Assuming  $(CH)$  the space  $C_c(X)$  is in class  $\mathfrak{G}$ . Under  $(MA + \neg CH)$  the space  $C_c(X)$  is not in class  $\mathfrak{G}$ .

*Proof.* Clearly  $C_c(X)$  is not separable, since  $C_p(X)$  is not separable. Moreover, as Morris and Wulbert observed,  $C_c(X)$  is not quasibarrelled [32]. As every compact set in  $X$  is metrizable,  $C_c(X)$  is trans-separable (by Schmets [26, Lemma 6.5]). Under  $(CH)$  the space  $X$  has a compact resolution swallowing compact sets by [39, Theorem 3.6]. Hence  $C_c(X)$  is in class  $\mathfrak{G}$  by [17]. The space  $C_c(X)$  is not in class  $\mathfrak{G}$  if we assume  $(MA + \neg CH)$ , since by mentioned [39, Theorem 3.6] the space  $X$  even does not have a compact resolution, so by the same reason as above (use again [17]) the space  $C_c(X)$  is not in class  $\mathfrak{G}$ .  $\square$

To prove Theorem 1.6 we need the following proposition (which provides another fact, more general than discussed in Köthe's [28, Proposition 28.5 (3)]).

**Proposition 4.11.** Let  $(E, \nu)$  be a lcs and  $\mathcal{N}$  be the uniformity on  $E$  generated by the locally convex structure of  $E$ . Let  $A \subset E$  be an absolutely convex bounded subset of  $E$  such that the set  $(4A, \nu|_{4A})$  is metrizable. Then there exists a metric  $d$  on  $4A$  such that

- (i)  $d(x - y, 0) = d(x, y)$  for all  $x, y \in 4A$  with  $x - y \in 4A$ ,
- (ii) the topology generated by  $d$  on  $2A$  coincides with  $\nu|_{2A}$ ,
- (iii) the uniformity  $\mathcal{M}$  on  $4A$  generated by the metric  $d$  and  $\mathcal{N}$  coincide on  $A$ .

*Proof.* Set  $P := 4A$ . Since  $P$  is metrizable,  $P$  has a decreasing basis  $\{U_m\}_m$  of absolutely convex neighbourhoods of zero such that  $2U_{m+1} \subset U_m$  for every  $m \in \mathbb{N}$ , see [25, 9.2.4] or [7, Corollary 3 (proof)]. Note that each  $U_m$  is absorbing in  $P$ . We show that, for every  $x \in 2A$ , the sequence  $\{(x + U_m) \cap 2A\}_m$  is a basis of neighbourhoods of  $x$ . Indeed, for every  $U_m$  in  $P$  choose an absolutely convex neighbourhood  $V \subset E$  of zero with  $V \cap P \subset U_m$ . Then  $(x + V) \cap 2A \subset (x + U_m) \cap 2A$ . Conversely, for an absolutely convex neighbourhood of zero  $W$  in  $E$  we have  $U_p \subset W \cap P$  for some  $p \in \mathbb{N}$ , so  $(x + U_p) \cap 2A \subset (x + W) \cap 2A$ .

If  $p_m$  denotes the gauge of  $U_m$ , we define

$$d(x, y) := \sum_m 2^{-m} \min\{2p_m(2^{-1}x - 2^{-1}y), 1\}$$

So  $d(x, y)$  is a metric on  $P$  satisfying the condition  $d(x - y, 0) = d(x, y)$  for all  $x, y \in P$  with  $x - y \in P$ . This proves (i).

To prove (ii), fix  $x \in 2A$ . If  $x \in U_m$ , then  $d(x, 0) < 2^{-m}$ . If  $x \notin U_{m-2}$  ( $m > 2$ ), then  $x/2 \notin U_{m-1}$  and  $d(x, 0) \geq 2^{-(m-1)}$ . Thus

$$U_m \cap 2A \subseteq H_m := \{x \in 2A : d(x, 0) < 2^{-m}\} \subseteq U_{m-2} \cap 2A.$$

Finally note that if  $x, y \in 2A$ , then  $y \in (x + H_m)$  if and only if  $d(x, y) < 2^{-m}$ . This implies that  $d$  induces the relative topology on  $2A$  inherited from  $E$ .

Now we prove (iii). As  $\mathcal{N}$  is the uniformity on  $E$  generated by its locally convex structure, the uniform topology  $\tau_{\mathcal{N}}$  generated by  $\mathcal{N}$  coincides with the locally convex topology of  $E$ . If  $\xi$  is the topology on  $2A$  induced by the metric  $d$ , we have  $\tau_{\mathcal{N}}|_{2A} = \xi$  by (ii). Let  $U$  be an absolutely convex neighbourhood of zero in  $E$ . There exists  $\epsilon > 0$  such that  $M_\epsilon(0) \subset U \cap 2A$ , where

$M_\epsilon(0) := \{y \in 2A : d(y, 0) < \epsilon\}$ . A base for the uniformity induced by the metric  $d$  on  $A$  is given by sets  $M_\epsilon := \{(x, y) \in A \times A : d(x, y) < \epsilon\}$ . If  $(x, y) \in M_\epsilon$ , then (i) implies  $x - y \in M_\epsilon(0) \subset U \cap 2A$ . Hence  $(x, y) \in N_U \cap (A \times A)$ , where

$$N_U := \{(x, y) \in E \times E : x - y \in U\}.$$

Conversely, if  $\delta > 0$ , there exists an absolutely convex neighbourhood of zero  $V$  in  $E$  such that  $V \cap 2A \subset M_\delta(0)$ . Hence, if  $(x, y) \in N_V$  with  $x, y \in A$ , then  $x - y \in V \cap 2A$ , so  $x - y \in M_\delta(0)$  and  $d(x, y) < \delta$ . This proves that  $N_V \cap (A \times A) \subset M_\delta \cap (A \times A)$ .  $\square$

**Corollary 4.12.** *Let  $(E, \nu)$  be a lcs having a sequence  $\{Q_n\}_{n \in \mathbb{N}}$  of absolutely convex bounded sets covering  $E$  such that  $(Q_n, \nu|_{Q_n})$  is metrizable for every  $n \in \mathbb{N}$ . Then  $E$  is trans-separable if and only if  $E$  is separable.*

*Proof.* Applying Proposition 4.11 to  $A = Q_n$ ,  $n \in \mathbb{N}$ , we obtain that the trans-separable uniformity on  $Q_n$  is metrizable, so  $Q_n$  is separable. Thus  $E = \bigcup_n Q_n$  is separable. The converse is trivial.  $\square$

*Proof of Theorem 1.6.* Clearly the strong dual  $E'$  is a  $(DF)$ -space with a fundamental sequence  $(Q_n)_n$  of absolutely convex bounded subsets of  $E'$ . Since  $E$  satisfies the density condition, every bounded set  $Q_n$  is metrizable by [7, Corollary 3].

Assume that  $E$  is a weakly  $\aleph_0$ -space. By Theorem 1.5 the strong dual  $E'$  is trans-separable. Now Corollary 4.12 implies that  $E$  is separable. Conversely, if  $E'$  is separable, we apply Theorem 4.5(ii) to complete the proof.  $\square$

We end with the following question.

**Question 4.13.** *Is there a weakly  $\aleph_0$  Fréchet lcs  $E$  not containing  $\ell_1$  whose strong dual  $E'$  is not separable?*

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