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Additional Information

1 **Effects of elevated ozone on physiological, anatomical and ultrastructural**
2 **characteristics of four common urban tree species in China**

3

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21 **Abstract**

22

23 Fast urbanization has led to ozone (O₃) being the main pollutant in summer in most of
24 China. To assess future ground-level O₃ effects on the service of urban greening
25 species and clarify the underlying mechanism of O₃ damage, four common urban
26 greening species of *Ailanthus altissima* (AA), *Fraxinus chinensis* (FC), *Platanus*
27 *orientalis* (PO) and *Robinia pseudoacacia* (RP) were exposed to non-filtered air (NF)
28 and to elevated O₃ (E-O₃) in open-top chambers. E-O₃ induced visible injury in all
29 species as well as microscopic alterations such as collapse of the palisade parenchyma
30 cells, callose accumulation, or chloroplast and mitochondrial accelerated senescence.
31 E-O₃ significantly reduced light-saturated CO₂ assimilation (A_{sat}), the maximum
32 activity of Rubisco ($V_{C_{\text{max}}}$), the maximum electron transport rate (J_{max}), and
33 fluorescence parameters such as the quantum yield of noncyclic electron transport
34 (ϕ_{PSII}), and the quenching of photochemical efficiency of PSII (qP). It also increased
35 total antioxidant capacity, phenolics and ascorbate contents. No significant interaction
36 between O₃ and species was found in photosynthetic performance and antioxidant
37 systems, suggesting that the four species selected were sensitive to O₃. Of all four
38 species, AA was the most sensitive species due to a combination of earlier injury
39 onset, anatomical features, lower antioxidant and higher stomatal conductance. The
40 sensitivity of tree species to O₃ is a factor to be considered for urban greening. Ozone
41 may affect important urban forest ecosystem services by reducing CO₂ assimilation.

42

43 **Keywords**

44 Antioxidant system, Ozone, Photosynthesis, Ultrastructure, Urban greening species

45

46 **Capsule**

47 Ground-level ozone negatively affects common greening tree species in China

48 **Abbreviations**

49 A = photosynthetic rate

50 AA = *Ailanthus altissima*

51 AOT40 = accumulated hourly O_3 concentration over a threshold of 40 ppb during
52 daytime

53 AsA = ascorbate

54 A_{sat} = light-saturated photosynthesis

55 BVOC = biogenic volatile organic compounds

56 C_a = ambient CO_2 concentration

57 Car = carotenoid

58 Chl = chlorophyll

59 C_i = intercellular CO_2 concentration

60 F_v'/F_m' = actual photochemical efficiency of PSII in the saturated light

61 FC = *Fraxinus chinensis*

62 ϕ_{PSII} = the quantum yield of noncyclic electron transport.

63 g_s = stomatal conductance

64 J_{max} = the maximum rate of electron transport

65 LM = light microscopy

66 LMA = leaf mass per area

67 L_s = stomatal limitation to photosynthesis

68 O_3 = ozone

69 OTC = open-top chambers

70 PBS = phosphate buffered saline solution

71 PO = *Platanus orientalis*

72 RP = *Robinia pseudoacacia*

73 TEM = Transmission Electron Microscopy

74 qP = quenching of photochemical efficiency of PSII

75 $V_{c_{\text{max}}}$ = the maximum carboxylation efficiency

76 WUE = water use efficiency

77

78 **1. Introduction**

79 Tropospheric ozone (O₃) levels are of great concern as this pollutant affects human
80 health, ecosystem services and food security besides being a greenhouse gas (The
81 Royal Society, 2008; IPCC, 2013). For sensitive plants, high O₃ concentration is
82 known to induce visible injury, impair photosynthesis, produce reductions in growth
83 and yield, and alter plant interactions with pests and diseases (Krupa et al., 2000).

84 Projected changes of the annual daily mean maximum eight-hour (DM8H)
85 surface O₃ concentrations are expected to be in the range of 2 to 8 ppb, -3 to 8 ppb,
86 and -7 to 9 ppb for the 2020s, the 2050s, and the 2090s in summertime for the whole
87 East Asia (Lee et al., 2015). Ozone concentrations in China are rising at a higher rate
88 than in other countries because O₃ precursors (mainly NO₂) have steadily increased at
89 annual growth rate of 5% caused by its fast industrialization and urbanization (Wang
90 and Mauzerall, 2004; Feng et al., 2015a). In Beijing, the monthly average of peak O₃
91 concentrations is currently 100 ppb in July, while the AOT40 (accumulated hourly O₃
92 concentration over a threshold of 40 ppb during daytime) from June to August is 29
93 ppm.h (Feng et al., 2015b; Yuan et al., 2015). The yearly average of O₃ concentration
94 in Beijing cities reaches more than 60 ppb during May 2014 to April 2015 (Chen et al.,
95 2015), and short-term projected emissions suggest that O₃ concentration will further
96 increase (Yamaji et al. 2008). Therefore, current O₃ levels are, and future O₃ levels are
97 expected to be by far above the threshold value of 40 ppb and AOT40 critical level of
98 5 ppm.h, which have been established to protect sensitive plants against O₃ (LRTAP,
99 2010).

100 Cities are characterized by higher levels of pollutant emissions, energy
101 consumption and higher temperatures (heat island effect) than surrounding areas. One
102 of the multiple environmental benefits of vegetation is the improvement in air quality
103 (Nowak et al., 2014). In the central part of Beijing, the removal of pollutants by trees
104 was quantified to be 1261.4 tons in 2002, mostly particles (61%), with O₃ accounting
105 for 20% (Yang et al., 2005). However, biogenic volatile organic compounds (BVOCs)
106 emitted from vegetation such as isoprene and monoterpenes are precursors of O₃ (The

107 Royal Society, 2008), so the contribution of urban trees to O₃ formation can even
108 offset their removal capacity (Yang et al., 2005). Therefore, it is important to select
109 plants with low BVOC emission rates, high pollutant removal capacity and also
110 tolerant to air pollutants when planting trees in cities. The present paper focuses on
111 the latter aspect.

112 In Beijing, O₃ concentrations are high enough to induce visible injury in
113 sensitive species and cultivars, including several ornamental trees (Feng et al., 2014).
114 These symptoms are observed in large gardens or urban forests in parks inside the city,
115 or in crop areas or tree plantation surrounding the city rather than in streets where
116 high NO traffic emissions locally scavenge O₃ (due to the titration effect). Ozone
117 effect on plants depends both on the O₃ dose entering the plant through the stomata
118 which is directly related to water vapor stomatal conductance (g_s), and also on their
119 defense ability to cope with oxidative stress (Matyssek et al., 2007; Paoletti et al.,
120 2008). On the other hand, leaf functional traits are considered to play a role in O₃
121 sensitivity, e.g. plants with higher leaf mass per area (LMA), or higher thickness or
122 density of mesophyll tissues being tolerant to O₃ (Bussotti, 2008; Zhang et al., 2012).

123 However, information on the effects of current and predicted future O₃ levels on
124 urban greening species is still very scarce in China. In the present study, we exposed
125 four commonly planted urban greening tree species to elevated O₃ level which is the
126 representative of a future scenario by 2050 on the basis of annual increase rate of 0.73
127 ppb/year at Shangdianzi station observation nearby Beijing city (Dr. XB Xu, personal
128 communication) and an increase rate of 0.5-2% at a global scale (Vingarzan, 2004).
129 The four species are the tree of heaven (*Ailanthus altissima* (Mill.) Swingle, AA), the
130 Chinese ash (*Fraxinus chinensis* Roxb., FC), the American sycamore (*Platanus*
131 *orientalis* L., PO) and the black locust (*Robinia pseudoacacia* L., RP) . Three of them
132 (AA, FC, RP) are regarded as O₃ sensitive. This study tests the following two
133 hypotheses: (1) O₃ sensitivity differs among investigated species, considering
134 anatomical and ultrastructural changes, photosynthetic performance and antioxidant

135 systems; (2) plants with a higher stomatal conductance, lower antioxidant capacity
136 and thinner leaves are more sensitive to O₃.

137

138 **2. Materials and methods**

139 *2.1. Plant materials*

140 One-year-old seedlings of AA, FC, PO and RP were obtained from a commercial
141 nursery near the experimental site. Bare rooted seedlings were planted in 20 L circular
142 plastic pots on 31 March 2013 and grown at ambient field condition. Pots were filled
143 with native light loamy soil (pH 7.96, Organic C 14.7 g/kg; total N 1.64 g/kg,
144 available P 6.59 mg/kg, available K 139.8 mg/kg) randomly selected from a nearby
145 farmland. Plants with similar height and basal diameter were selected. Ten days
146 before O₃ fumigation, they were pre-adapted to open-top chamber (OTC, octagonal
147 base, 12.5 m² of growth space with a diameter of 4 m, and 3.0 m in height). All plants
148 were watered at field capacity at 1-3 day intervals to avoid water stress. Solid,
149 slow-release fertilizer (N/P/K = 17,17,17) was applied at a rate of 300 kg ha⁻¹ to each
150 plant at July during the experiment.

151

152 *2.2. O₃ treatments*

153 The experiment was carried out at Changping (40°19'N, 116°13'E), Northwest
154 Beijing. The area has a semi-humid continental climate, with a yearly precipitation of
155 550 mm, and an annual mean temperature of 11.8 °C. Plants were exposed to two O₃
156 treatments in OTCs for four and a half months (from 1 June to 15 October):
157 non-filtered ambient air (NF, averaged O₃ concentration of 42 ppb from 09:00 to
158 18:00), and NF supplied with 40 ppb of O₃ (E-O₃, averaged O₃ concentration of 69
159 ppb from 09:00 to 18:00). The four species and two O₃ treatments were selected for
160 the present study from a wider investigation involving a total of 10 species and six
161 different O₃ regimes in six OTCs. Positional effects were avoided by changing plant
162 positions within each OTC weekly, and by switching them randomly among six OTCs
163 monthly (Feng et al., 2011a). For each O₃ treatment, 4-6 plant replicates were used for

164 each species. Ozone was generated from pure oxygen using an O₃ generator (HY003,
165 Chuangcheng Co., Jinan, China), mixed with ambient air and then piped into OTCs
166 through a PVC tube (11 cm in diameter) using a fan (1.1 kW, 1080 Pa, 19 m³ min⁻¹,
167 CZR, Fengda, China). The flow rate of pure oxygen was regulated by mass flow
168 controllers so as to achieve the target O₃ concentration at the top of the canopy in the
169 fumigation treatments. An O₃ analyzer (Model 49i-Thermo, USA) was used to
170 continuously monitor O₃ concentrations inside the OTCs via a Teflon solenoid valve
171 switch system connected to a set of Teflon tubes (4 mm in diameter), which collected
172 air from sampling points at approximately 10 cm above the plant canopy in each
173 chamber. The monitors were calibrated by a 49i-PS calibrator (Thermo Scientific,
174 USA) before the experiment and once a month during the experiment. The daily
175 maximum fumigation period was 9 h (from 09:00 to 18:00) through a fan running
176 when there was no rain, fog, mist, or dew, according to the protocols in free air O₃
177 concentration enrichment system (Feng et al., 2011b). The monthly ambient O₃
178 concentration (from 09:00 to 18:00) in the open field was ranged from 43 ppb
179 (September) to 69 ppb (June), with the highest one hour peak being 153 ppb (at 16 h
180 on 20 September) .

181

182 *2.3. Visible injury*

183 Visible injury was assessed weekly in all plants (4-6 per O₃ treatment). The
184 percentage of injured leaves (for PO, with simple leaves) or leaflets (for the rest of
185 species, with composite leaves) per plant was scored, in order to classify each plant
186 according to the following classes: 0, no leaves injured; 1, ≤1% leaves injured; 2, >1%
187 – ≤10% leaves injured; 3, >10% – ≤50% leaves injured; 4, >50% leaves injured.

188

189 *2.4. Leaf traits*

190 Twenty-five asymptomatic mature leaves from NF plants were collected studying the
191 leaf traits using a scanner and ImageJ software (Gao et al., 2011). LMA was
192 calculated as dry mass (mg) / leaf surface area (cm²). Leaf dry mass was determined

193 by oven-drying leaves at 60 °C for 40 h until steady weight. To estimate the leaf traits,
194 paraffin-embedded sections of six leaves or leaflets (five sections per leaf or leaflet,
195 and five measurements made per section) were examined under the microscope
196 following the methods described below.

197

198 *2.5. Microscopy examinations*

199 To study the effects of O₃, six symptomatic and six asymptomatic leaves or leaflets
200 from the upper canopy layer (6-8th fully expanded leaves from the top) of three plants
201 for each species were collected in August from E-O₃ and NF plants, respectively,
202 before the onset of senescence. Samples were fixed in 2% Karnovsky fixative for 8 h
203 at 4°C, then washed three times for 15 min with 0.01 M PBS (pH 7.4). For
204 microscopy examinations, leaf portions were submitted to freeze-cut, paraffin- and
205 resin-embedded sections. For freeze-cut sections (~30 µm), a freezing microtome
206 (CM 1325; Leica, Germany) was used, paraffin-embedded sections (~10 µm) were
207 cut with an Anglia Scientific microtome, and Spurr's resin-embedded samplers were
208 cut with a diamond knife (DIATOME Histo 45°) and an ultramicrotome (Ultratome
209 Nova LKB Bromma) (~1.5 µm). Freeze-cut sections were observed under
210 epifluorescence BV (autofluorescence) or stained with aniline blue and observed
211 under epifluorescence UV to detect callose depositions. For fluorescence microscopy,
212 an Olympus U-ULS 100 HG epifluorescence system with U-MWU (excitation filter
213 330–385 nm, dichroic mirror 400 nm, barrier filter 420 nm) and U-MWBV (excitation
214 filter 400–440 nm, dichroic mirror 455 nm, barrier filter 475 nm) cubes was used.
215 Paraffin-embedded sections were stained with safranin-fast green, or with trichromic
216 FSA for observation of the different structures and for identifying their composition.
217 Semi-thin sections were stained with toluidine blue. Pectinaceous drops were detected
218 with this stain. All light microscope (LM) observations were carried out by an
219 Olympus Provis AX 70 fluorescence microscope equipped with an Infinity 2-3C
220 Lumenera® digital camera and analyzed with “Infinity Analyze” Software v.6.4.1.

221 For transmission electron microscopy (TEM), samples were fixed as LM, then

222 washed three times with 0.02 M PBS (pH 7.4) for 15 min and fixed again with 2%
223 osmium tetroxide (OsO_4) in 0.01 M PBS (pH 7.4) for 2 h at room temperature. After
224 washing in buffer, samples were dehydrated and embedded as indicated for LM.
225 Ultrathin sections 80 nm thick were made with a diamond knife (mod. DIATOME
226 ultra 458 ; DIATOME, Hatfield, PA, USA), mounted on copper grids of 100 mesh,
227 and then stained with 10 % uranyl acetate and 0.1% lead citrate using the ‘Synaptek
228 Grid-Stick Kit’ (EMS;
229 <http://www.ems-diasum.com/microscopy/technical/datasheet/71175.aspx>). Sections
230 were observed at 80 kV under the JEOL JEM-1010 microscope (JEOL USA Inc,
231 Peabody, MA, USA). Images were obtained using an Olympus MegaView III camera
232 and processed by Olympus analysis getIT software (Olympus Corp., Japan). In total,
233 40–50 root segments were examined through TEM.

234

235 *2.6. Leaf gas exchange and chlorophyll a fluorescence measurements*

236 Two upper canopy leaves (6-8th fully expanded leaves from the top) from each plant,
237 i.e. eight leaves from four plants for each species, were selected randomly. Gas
238 exchange and chlorophyll *a* fluorescence were determined simultaneously from 9:00
239 to 11:00 at both August and September using a LiCor-6400 photosynthesis system
240 (LICOR, Lincoln, NE, USA) fitted with a 6400-40 leaf chamber fluorometer (LCF).
241 During the measurements, photosynthetic active radiation (PAR) was set at 1200
242 $\mu\text{mol m}^{-2} \text{s}^{-1}$, CO_2 levels at 380 ppm, block temperature at 32 ± 0.5 °C and relative
243 humidity between 50% and 70%. Fluorescence parameters include actual
244 photochemical efficiency of PSII in the saturated light (F_v'/F_m'), quenching of
245 photochemical efficiency of PSII (qP), and the quantum yield of noncyclic electron
246 transport (ϕ_{PSII}). Water Use Efficiency (WUE) was calculated as the ratio between
247 light-saturated photosynthesis rate (A_{sat}) and transpiration rate.

248 During the experiment, photosynthesis and intercellular CO_2 concentration (A/C_i)
249 curves were performed in four plants (one leaf per plant, selected from the 6-8th fully
250 expanded leaves from the top) for either O_3 treatment, using the automatic program in

251 the LiCor-6400 photosynthesis system. When the stomatal conductance (g_s) reached
252 equilibrium, subsequent measurements were made following step-wise changes in
253 reference CO₂ concentration: 380, 300, 200, 100, 50, 400, 600, 900, 1200, 1500 ppm,
254 under PAR of 1200 $\mu\text{mol m}^{-2} \text{s}^{-1}$, block temperature of 32 ± 0.5 °C and relative
255 humidity of 50-70%. The maximum carboxylation efficiency ($V_{C_{\max}}$) and the
256 maximum rate of electron transport (J_{\max}) were derived by iteratively fitting curves to
257 A/Ci response data according to the program of Sharkey et al. (2007). Stomatal
258 limitation (L_s) was calculated as $L_s = 1 - A_{Ca380}/A_{Ci380}$, where A_{Ca380} and A_{Ci380} represent
259 net CO₂ assimilation rate at ambient CO₂ concentration (C_a) of 380 ppm and at $C_i =$
260 380 ppm, respectively.

261

262 *2.7 Pigment and antioxidant content*

263 Those leaves (6-8th fully expanded leaves from the top) for photosynthesis
264 measurements were used again for pigment and antioxidant assays in both NF and
265 E-O₃ plants in September. Leaf discs (~10 mm diameter) in two leaves per plant were
266 plunged from 4-5 plants of each species between 11:30 and 12:30, wrapped tinfoil,
267 frozen in liquid nitrogen, and stored at -80°C until physiological and biochemical
268 analysis.

269

270 *2.7.1. Pigments content*

271 The pigment content of two leaf discs (~10 mm diameter) from two leaves per plant
272 in either O₃ treatment was extracted with 4 ml 95% ethanol in the dark for 72 h at 4°C.
273 The absorbance of leaf pigment extracts was measured at 646 nm, 663 nm and 470
274 nm. Total chlorophyll (Chl) and carotenoid (Car) contents were calculated according
275 to the specific absorption coefficients provided by Lichtenthaler (1987).

276

277 *2.7.2. Ascorbate (AsA) content*

278 Two leaf discs from two leaves per plant (~30 mg) were homogenized in a pre-chilled
279 mortar and extracted in 2 ml ice-cold 6% (w/v) trichloroacetic acid, and then

280 centrifuged at 13,000 g for 5 min at 4°C. Reduced and total ascorbate (AsA)
281 contents were determined following the protocol of Gillespie and Ainsworth (2007).

282

283 *2.7.3. Total antioxidant capacity (TAC) and total phenolics content*

284 For total antioxidant capacity (TAC), 2 ml cold 70% (v/v) ethanol was added to leaf
285 disc samples (~ 30 mg) and homogenized in darkness. The mixture was centrifuged at
286 13,000 g for 10 min. Subsequently, 0.1 ml of supernatant was taken for the ferric
287 reducing antioxidant power (FRAP) assay to express the total antioxidant activity. The
288 principle of the assay was conducted following the method of Benzie and Strain
289 (1996), expressed as Fe³⁺ equivalents (mmol Fe²⁺/g fresh mass).

290 For phenolics content, 2 ml cold 95% (v/v) methanol was added to leaf disc
291 samples (~ 30 mg). The mixture was incubated for 48 h in darkness, then centrifuged
292 at 13,000 g for 10 min in darkness. 0.1 ml of supernatant was taken for total phenolics
293 analysis, using Folin-Ciocalteu reagent as described by Ainsworth & Gillespie
294 (2007), and expressed as mg of gallic acid equivalents per unit of fresh mass.

295

296 *2.8. Statistical analyses*

297 In this study, each plant was treated as the statistical unit, i.e. plant means were firstly
298 obtained and statistical analyses were applied subsequently. In order to test the effects
299 of O₃, species, sampling date and their interactions, the data of each dependent
300 variable was subjected to the analysis of variance with a Mixed Lineal Model using
301 JMP software (SAS Institute, USA). Except reduced ASC, TAC and *qP* with square
302 root transformation, all variables in the original data passed the homogeneity test of
303 variance. In case of significant interactions between O₃ and species, differences
304 among species in combination with O₃ treatment were tested with an ANOVA
305 followed by Tukey's HSD. Otherwise, Student *t*-test was applied to compare the
306 difference between O₃ treatments for any variable at any sampling date. $P \leq 0.05$ was
307 considered statistically significant. Data shown in Tables and Figures are means \pm SE
308 ($n = 4-6$, excluding Table 1 with $n = 6-25$).

309 **3. Results**

310 *3.1. Leaf traits*

311 Three species (AA, FC and RP) have composite leaves, and the other one (PO) has
312 large single leaves. LMA was on average higher in AA and FC, and lower in PO and
313 RP. AA was the species showing the smallest palisade parenchyma. Upper epidermis
314 was thicker in AA and PO than in the other two species, and mesophyll thickness
315 ranked as FC and PO > RP and AA (Table 1).

316

317

318 Table 1. Leaf traits of the four species. For AA, FC and RP with composite leaves,
 319 measurements are based on leaflets. Different letter indicates significant difference
 320 between species.

321

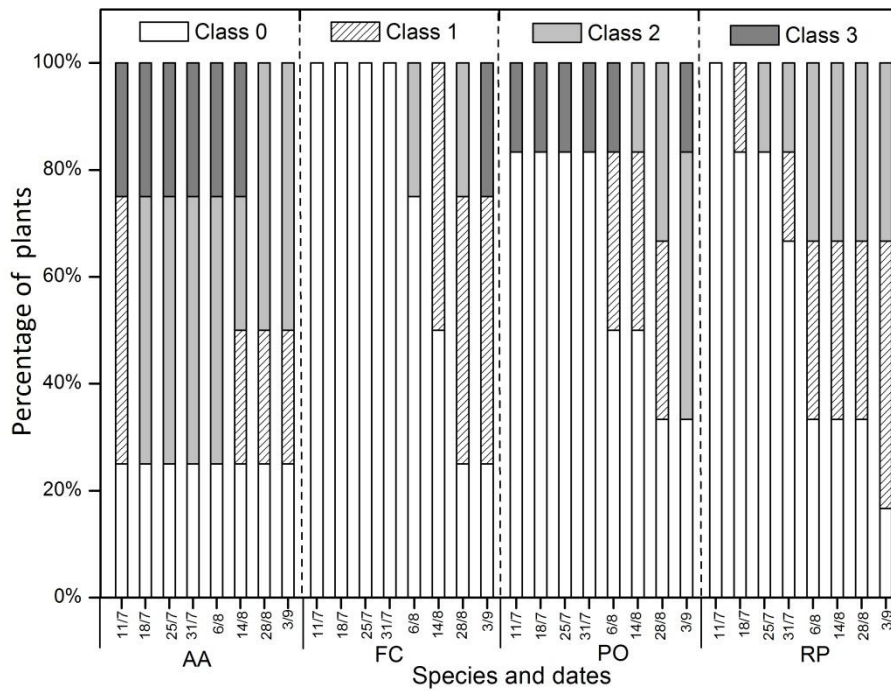
	Units	AA	FC	PO	RP
Length	cm	16.7 ± 0.3a	10.1 ± 0.2b	15.9 ± 0.7a	5.3 ± 0.1c
Width	cm	4.7 ± 0.1b	4.5 ± 0.1b	18.6 ± 1.4a	2.3 ± 0.0c
Perimeter	cm	38.1 ± 0.7b	23.3 ± 0.4c	82.0 ± 5.8a	12.6 ± 0.1d
Leaf area	cm ²	56.2 ± 1.8b	30.8 ± 1.1c	231.7 ± 21.4a	10.1 ± 0.2d
Leaf mass per area	mg cm ⁻²	9.1 ± 0.9a	8.8 ± 0.2a	5.6 ± 0.2b	5.0 ± 0.3b
Upper epidermis	µm	19.5 ± 0.2a	10.2 ± 0.2c	20.3 ± 0.6a	13.4 ± 0.4b
Lower epidermis	µm	10.1 ± 0.2b	10.4 ± 0.2b	15.0 ± 0.5a	11.3 ± 0.3b
Palisade parenchyma thickness	µm	49.0 ± 1.6c	68.5 ± 0.9a	71.2 ± 2.1a	60.8 ± 0.7b
Spongy parenchyma thickness	µm	49.9 ± 1.3b	72.7 ± 2.5a	68.4 ± 1.9a	43.7 ± 1.2b
Mesophyll thickness	µm	99.0 ± 2.7b	141.3 ± 3.2a	139.6 ± 3.6a	104.4 ± 1.2b

322

323 3.2. Visible injury

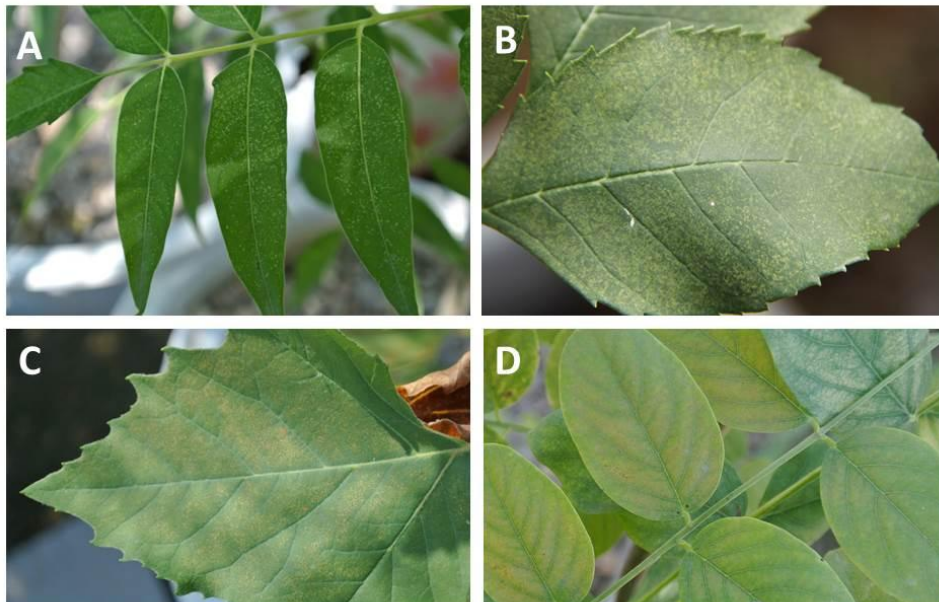
324 No visible injury was observed in any of the plants in NF treatment (control). The
 325 onset of O₃ symptoms in E-O₃ was at the following dates: AA at 04/07/2013, PO at
 326 11/07/2013, RP at 18/07/2013 and FC at 06/08/2013, and the corresponding AOT40
 327 values were 12.8, 14.2, 16.2, 23.2 ppm. h, respectively. From Fig. 1, AA was the
 328 species showing symptoms earliest, and was also the most severely affected from the
 329 beginning, although towards the end of the experiment the percentage of injured
 330 leaves was reduced due to the development of new, not injured leaves. Photos of
 331 E-O₃-induced visible injury are provided in Fig. 2.

332



333

334 **Figure 1.** Percentage of plants belonging to different classes. Classes: 0, no leaves
 335 injured; 1, $\leq 1\%$ leaves injured; 2, $>1\% - \leq 10\%$ leaves injured; 3, $>10\% - \leq 50\%$
 336 leaves injured.



337

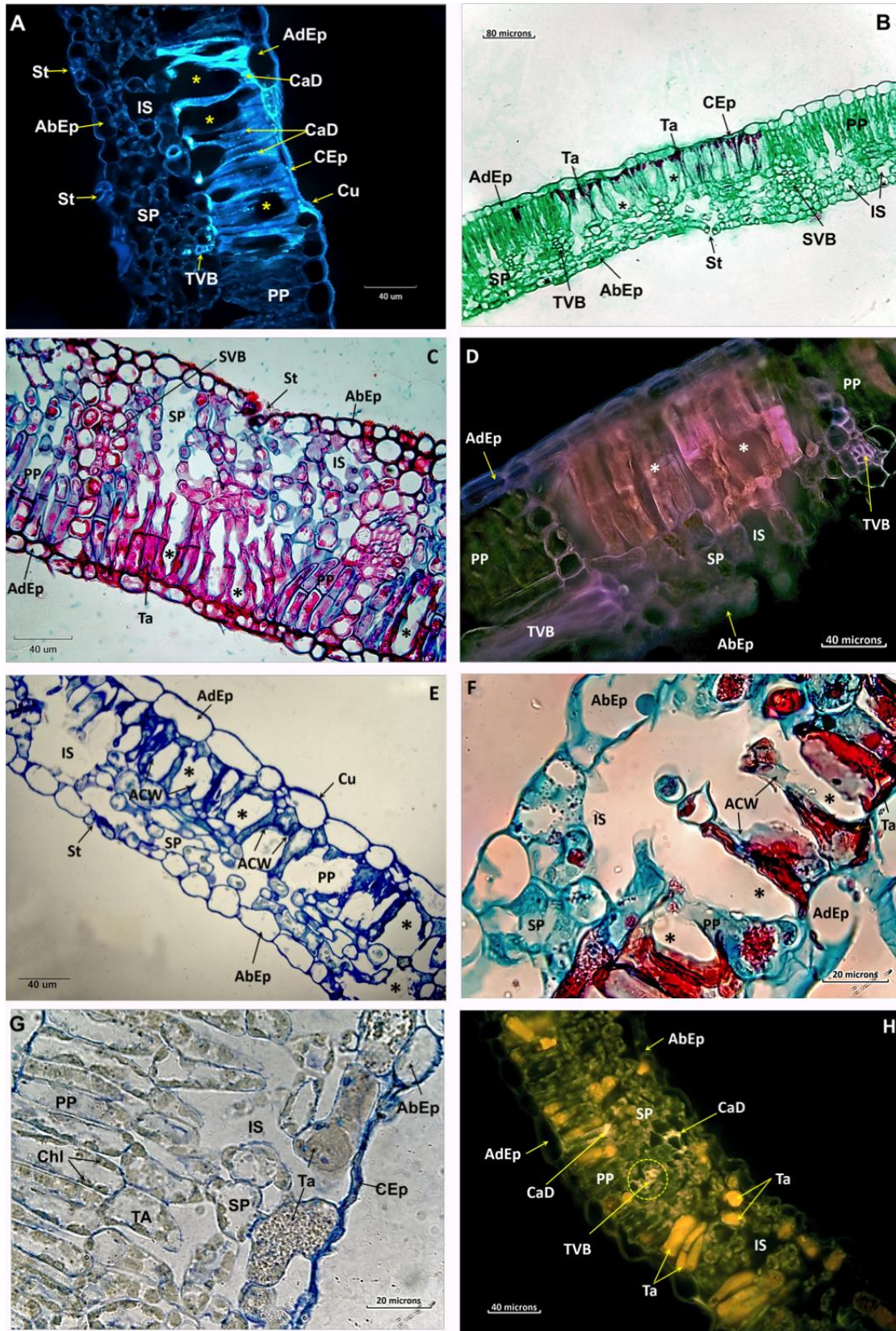
338 **Figure 2.** Visible symptoms in (A) *Ailanthus altissima*. (B) *Fraxinus chinensis*. (C)
 339 *Platanus orientalis*. (D) *Robinia pseudoacacia*.

340

341 *3.3 Anatomical and ultrastructural changes induced by E-O₃*

342 LM and TEM studies showed the effects of O₃ as well as plant responses against
343 oxidative stress. Palisade parenchyma cells were in general the most affected part of
344 the mesophyll (AA > PO > FC > RP; see Fig. 3). The middle lamella of the cell wall
345 was degraded (Figs 4A, 5B) and small pectinaceous drops produced by its
346 degradation were observed (Figs. 4A, 5A, 5E, 5F, 6A). Cell walls were progressively
347 altered (Figs. 3C,3F, 4B, 4C, 5B, 5C, 7C, 7E), and fluorescence LM (Fig. 3A) and
348 TEM (Figs. 5A, 5B, 6B) showed that callose was accumulated between the membrane
349 and cell wall, especially in AA and PO. Inside the cells, vacuole content becomes
350 altered. In AA and RP, vacuolar content of the affected cells became denser by the
351 accumulation of tannins (Figs. 3B, 3H), and in PO, the normal cells of which have
352 vacuoles with abundant tannic content, a gradual coagulation of tannins in the
353 affected cells was observed (Figs. 5E, 5F), as well as a large accumulations of crystals
354 in their cytoplasm (Figs. 5D, 5D, 6C). Finally, tonoplast broke and cells lost turgor
355 (Fig. 7B). In a later stage, cells collapsed (Figs. 3, 4A, 4C, 5B, 5E, 5F, 7E) leading to
356 an increase of intercellular spaces (AA, PO > FC > RP). Chloroplasts were also
357 strongly affected in all species, increasing electron dense material and changing their
358 shape. Accumulation of big starch grains (Figs. 4A, 5B, 5C, 7A), abundant
359 plastoglobuli (Figs. 4A, 7A, 7B, 7C, 7E), and lipid-protein bodies (especially in AA,
360 Figs. 4A, 4B, 4D) were also observed. Finally, thylakoid membranes were partly or
361 totally disaggregated (Fig. 7B). Mitochondria also experienced degradation processes.
362 In some cases, the accumulation of lipid droplets similar to plastoglobuli was
363 observed, especially in AA (Fig. 4D). Similar but much less conspicuous changes
364 were observed in the spongy parenchyma. Upper and lower epidermises were not
365 distinctly affected in any of the species with an exception of AA and RP, in which
366 some epidermal cells can collapse (Figs. 3A, 3G, 4C). In some cases, chloroplast of
367 the guard cells of stomata were also affected, as indicated by large starch
368 accumulations (Fig. 7A). In the vascular bundles, xylem was never affected but

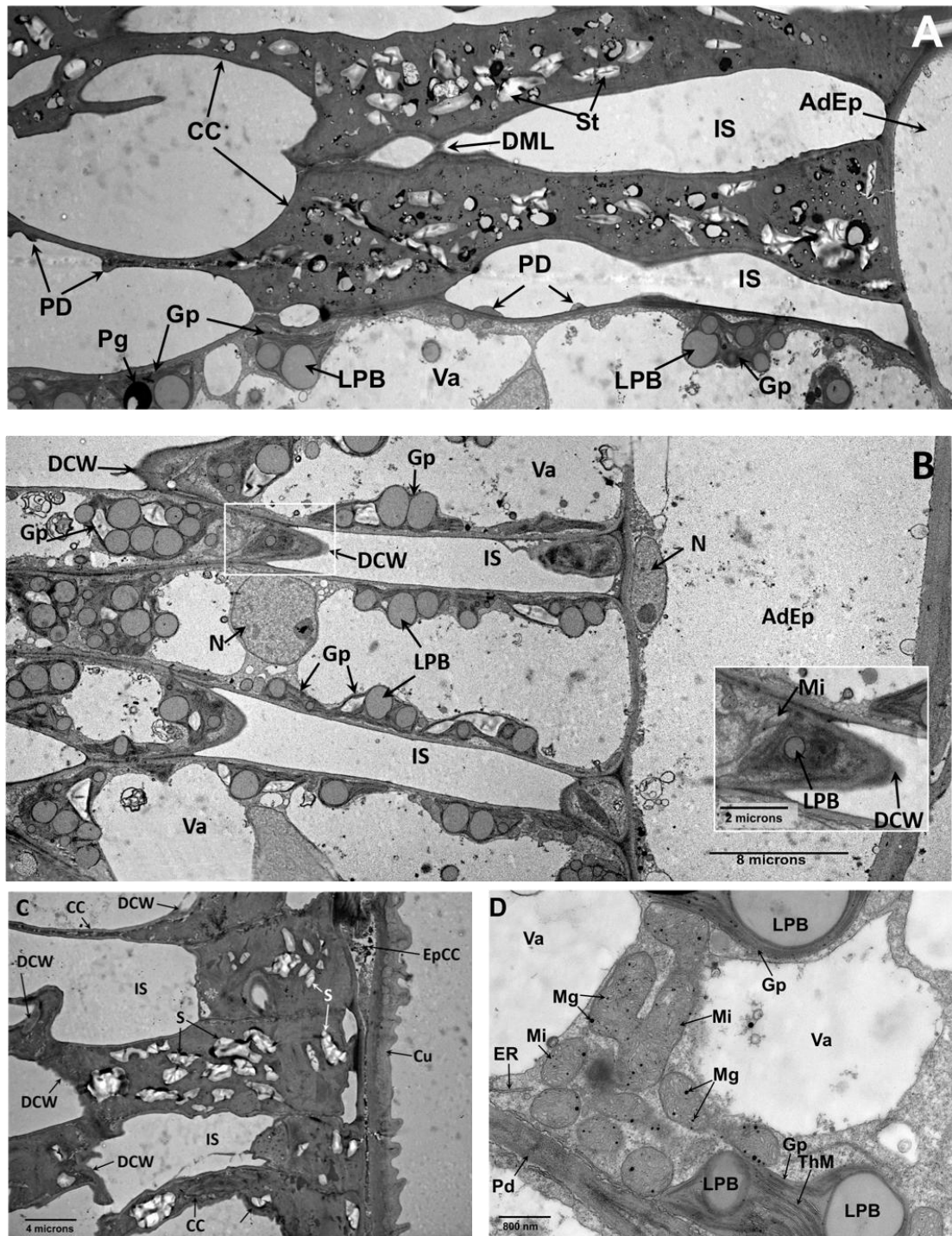
369 phloem cells can experience changes in shape and callose deposition may increase in
 370 the sieve tubes (Figs. 3H, 6E, 7D).



371
 372 **Figure 3.** (A) Fluorescence micrograph (UV) of a cross section of *Ailanthus*

373 *altissima* leaf stained with aniline blue showing an affected area (**asterisks**) with
374 numerous collapsed cells and with callose depositions (**CaD**) on its cell walls. (**B**)
375 Micrograph of a cross section of an *Ailanthus altissima* affected leaf stained with
376 safranin-fast green showing numerous collapsed cells (**asterisks**) with tannic (**Ta**)
377 contents inside. (**C**) Micrograph of a cross section of a *Fraxinus chinensis* affected leaf
378 stained with trichromic FSA showing numerous collapsed cells (**asterisks**) with tannic
379 (**Ta**) contents inside. (**D**) Autofluorescence micrograph (BV) of a cross section of
380 *Fraxinus chinensis* leaf showing an affected area (**asterisks**) of palisade parenchyma
381 (**PP**) without chlorophylls. (**E**) Micrograph of a semithin cross section of a *Platanus*
382 *orientalis* affected leaf stained with toluidine blue. Numerous collapsed cells
383 (**asterisks**) leaving between them large intercellular spaces (**IS**) are observed. (**F**)
384 Micrograph of a cross section of a *Platanus orientalis* affected leaf stained with
385 trichromic FSA showing collapsed cells (**asterisks**) with affected cell wall (**ACW**)
386 and tannic (**Ta**) contents inside. (G) Micrograph of a semithin cross section of a
387 *Robinia pseudoacacia* affected leaf stained with toluidine blue. A collapsed epidermis
388 (**CEp**) is observed. (**H**) Autofluorescence micrograph (BV) of a cross section of a
389 *Robinia pseudoacacia* affected leaf. Numerous cells filled with tannin content (**Ta**)
390 are observed. Others abbreviations. **AbEp**: abaxial epidermis; **AdEp**: Adaxial
391 epidermis; **Cu**: cuticle; **TVB**: tertiary vascular bundle; **SP**: spongy parenchyma; **St**:
392 stomata; **SVB**: secondary vascular bundle.

393



394

395 **Figure 4.** Details of TEM micrographs of cross sections of *Ailanthus altissima* leaves.

396 (A) Two collapsed cells (CC) of palisade parenchyma with great intercellular spaces

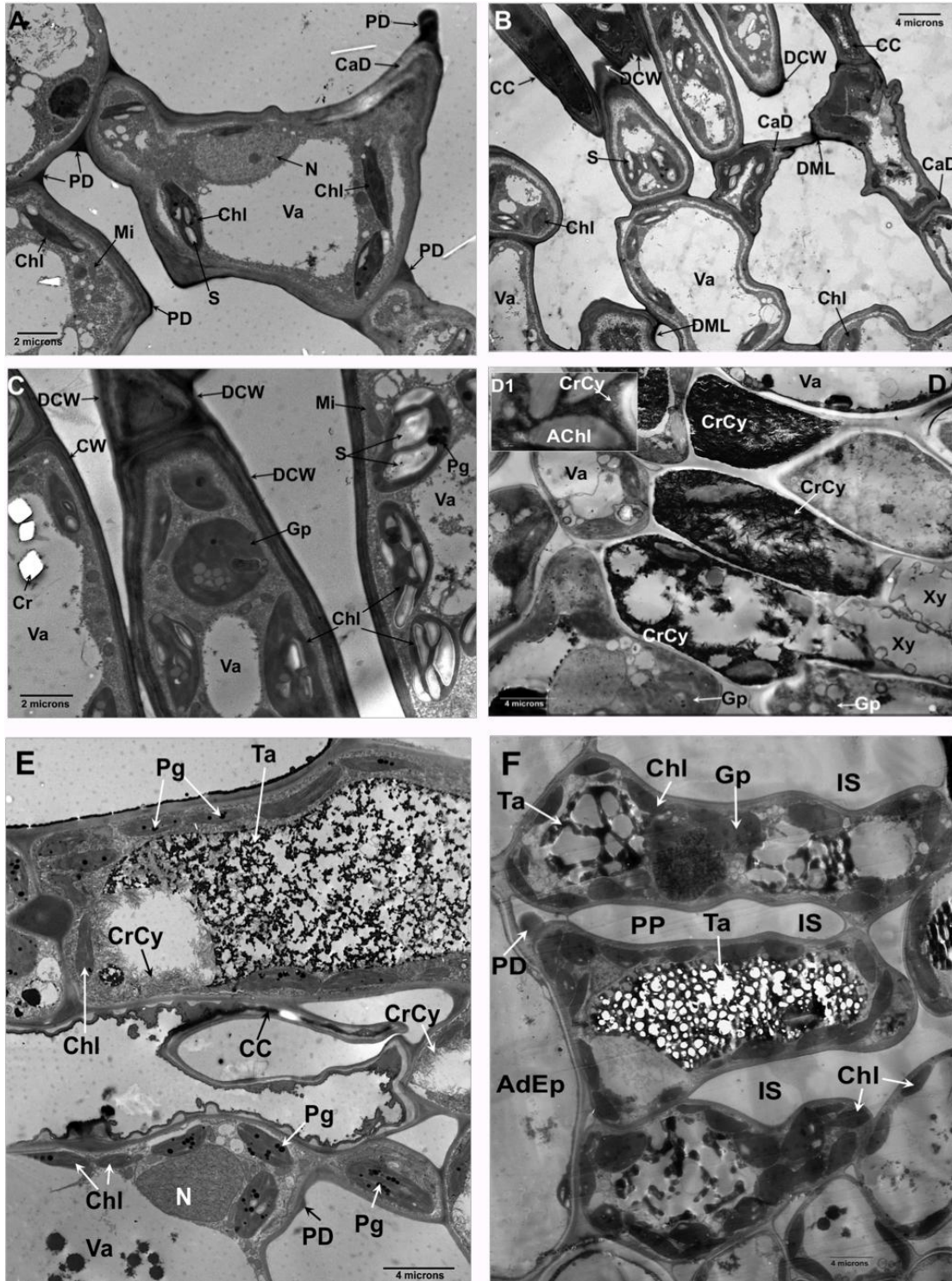
397 (IS). Pectinacious drops (PD) and degraded middle lamella (DML) are observed.

398 (B) Cells of palisade parenchyma with abundant gerontoplasts (Gp) filled with

399 abundant lipid-protein bodies (LPB), degraded cell wall (DCW) and great

400 intercellular spaces (IS). The area marked with a white frame is shown magnified at

401 the bottom right of the image. (C) Collapsed cells (CC) of palisade parenchyma and
 402 adaxial epidermis (EpCC) showing a degraded cell wall (DCW). (D) Detail of a cell
 403 with several mitochondria (Mi) containing some mitoglobuli (Mg). Other
 404 abbreviations. AdEp: adaxial epidermis; N: nucleus; Pd: plasmodesma; Pg:
 405 plastoglobuli; St: starch; ThM: thylakoidal membranes; Va: Vacuole.

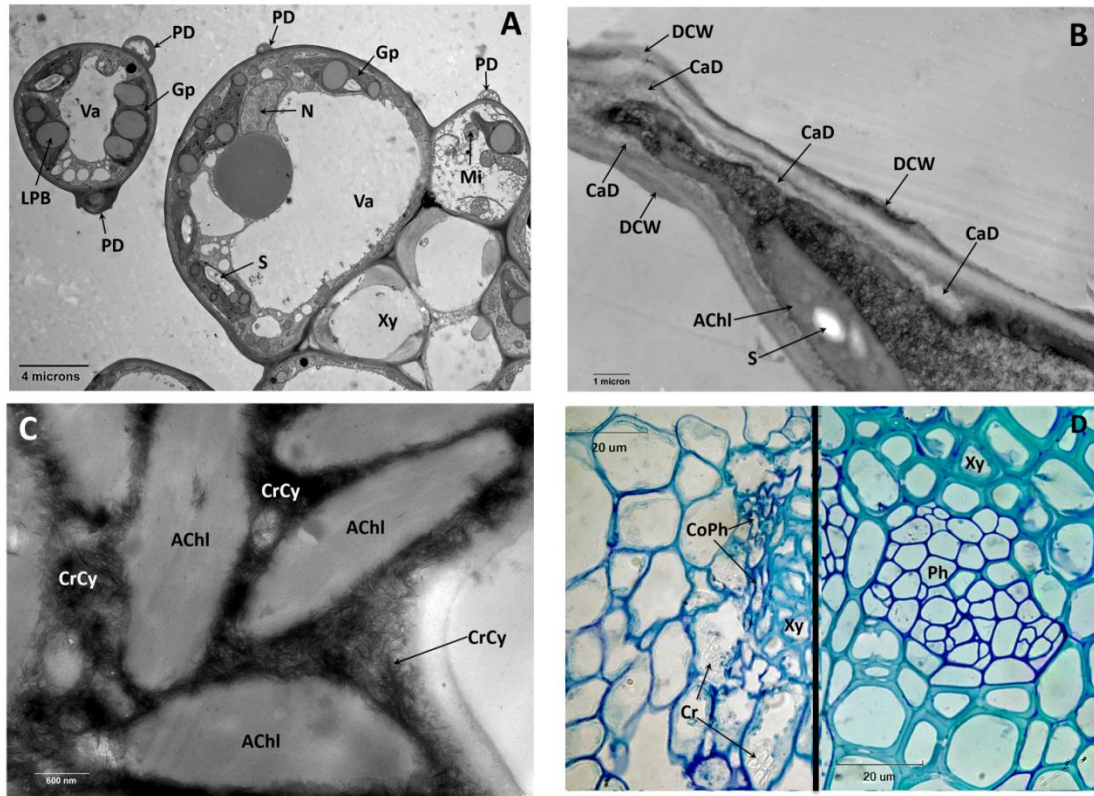


406

407 **Figure 5. A to B:** Details of TEM micrographs of cross sections of *Fraxinus chinensis*
408 leaves. **D to F:** Details of TEM micrographs of cross sections of *Platanus orientalis*
409 leaves. **(A)** Spongy parenchyma cell showing an altered medium lamella. Some
410 pertinacious drops (**PD**) and callose depositions (**CaD**) between the cell membrane
411 and cell wall are observed. **(B)** Cells of spongy and palisade parenchyma showing
412 different alterations. Some of them are collapsed (**CC**). **(C)** Detail of palisade
413 parenchyma cells. Degraded cell wall (**DCW**) and gerontoplasts (**Gp**) are observed.
414 **(D)** Cells showing a crystalized cytoplasm (**CrCy**). In **(D1)** a detail of this
415 crystallization is observed. **(E)** Affected palisade parenchyma cells. Collapsed cells
416 (**CC**), vacuoles with tannins (**Ta**), crystalized cytoplasm (**CrCy**) and numerous
417 chloroplasts (**Chl**) with plastoglobuli (**Pg**) are observed. **(F)** Cells of palisade
418 parenchyma showing varying degrees of decomposition of tannins (**Ta**) within the
419 vacuoles (**Va**). Other abbreviations. **AChl**: Altered chloroplast; **AdEp**: adaxial
420 epidermis; **Cr**: (calcium oxalate) crystal; **DML**: degraded medium lamella; **N**:
421 nucleus; **S**: starch; **Xy**: xylem.

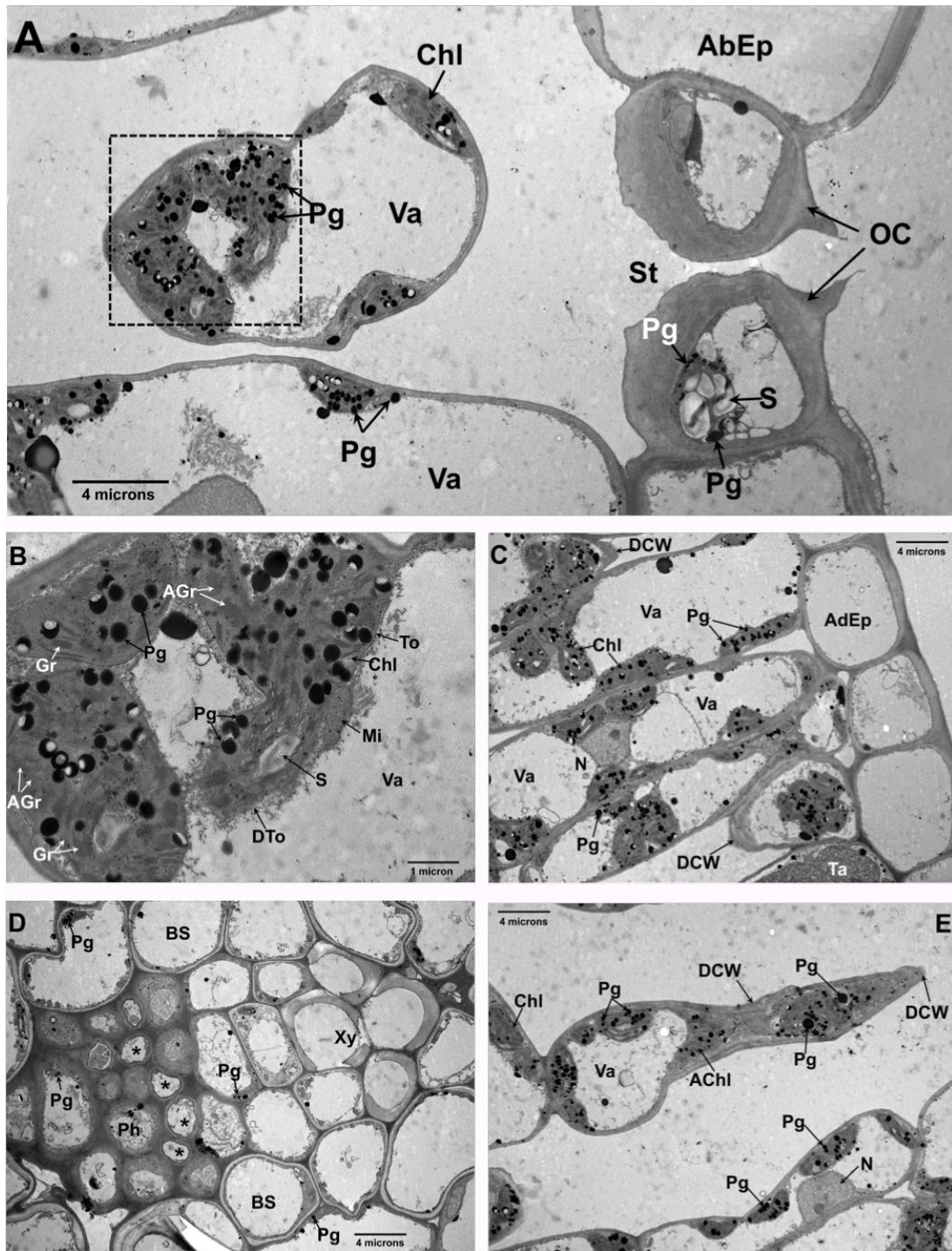
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423



424

425 **Figure 6.** (A). TEM micrograph of cross sections of *Platanus orientalis* leaf. Detail of
 426 spongy parenchyma cells showing pertinacious drops (**PD**). (B). TEM micrograph of
 427 cross sections of *Platanus orientalis* leaf. Callose depositions (**CaD**) between the cell
 428 membrane and cell wall are observed. (C). TEM micrograph of cross sections of
 429 *Platanus orientalis* leaf. Cell showing a crystalized cytoplasm (**CrCy**). (D).
 430 Micrograph of a cross section of a *Fraxinus chinensis* affected leaf (left) and a control
 431 leaf (right) stained with toluidine blue. The affected leaf shows a collapsed phloem
 432 (**CoPh**). Other abbreviations. **AChl**: altered chloroplast; **Cr**: crystals; **CrCy**:
 433 crystalized cytoplasm; **DCW**: degraded cell wall; **Gp**: gerontoplast; **LPB**:
 434 lipid-protein body; **Mi**: mitochondria; **N**: nucleus; **Ph**: Phloem; **S**: starch **Va**: Vacuole;
 435 **Xy**: xylem.



436

437 **Figure 7.** Details of TEM micrographs of cross sections of *Robinia pseudoacacia*
 438 leaves. (A) Detail of a stoma (ST) with affected chloroplast and spongy parenchyma.
 439 Chloroplast (Chl) in cells of spongy parenchyma are affected and contain numerous
 440 plastoglobuli (Pg). The area marked with the dashed square is shown in Figure (B). (B)
 441 Detail of affected chloroplasts containing numerous plastoglobuli and many grana
 442 disorganized (AGr). The tonoplast (To) is disintegrated into many areas (DTo). (C)

443 Palisade parenchyma cells containing chloroplasts with numerous plastoglobuli and
444 degraded cell walls (**DCW**) in some areas. (**D**) Detail of a tertiary vascular bundle
445 with the affected phloem. Callose depositions (**asterisks**) are observed in some
446 phloem (**Ph**) vessels. (**E**) Cells affected in the spongy parenchyma. Their cell walls
447 are degraded (**DCW**) and contain chloroplasts with numerous plastoglobuli (**Pg**).
448 Other abbreviations. **AbEp**: abaxial epidermis; **AdEp**: Adaxial epidermis; **BS**: Bundle
449 sheath; **Gr**: grana; **Mi**: mitochondria; **N**: nucleus; **OC**: occlusive cells; **S**: starch; **Ta**:
450 tannins; **Va**: vacuole; **Xy**: xylem.

451

452 *3.4. Leaf gas exchange and chlorophyll a fluorescence*

453 Effects of E-O₃ on gas exchange and fluorescence parameters were significant for A_{sat} ,
454 ϕ_{PSII} , and qP (Table 2). There were no significant interactions between species and O₃,
455 suggesting the responses to E-O₃ were the same among all species (Table 2). Effects
456 on A_{sat} increased with O₃ exposure, as indicated that there was a significant reduction
457 in A_{sat} by 27%, 21%, 17%, and 31% for AA, FC, PO and RP, respectively, in
458 September (Tables 2 and 3). For the same month ϕ_{PSII} was significantly reduced by 13%
459 and 21% in PO and RP, respectively (Table 3). C_i and WUE were not significantly
460 affected by O₃, while g_s was significantly reduced in AA and RP in September.
461 Notably, there was significant difference among species in g_s (Table 2), as shown by
462 highest g_s values in AA (0.15 mol m⁻² s⁻¹) and lowest in RP (0.07 mol m⁻² s⁻¹), with FC
463 and PO showing intermediate values (0.13 mol m⁻² s⁻¹).

464 **Table 2.** Analysis of variance of the effects of O₃, species and sampling date, and their
 465 interactions on gas exchange and chlorophyll *a* fluorescence parameters, pigment and
 466 antioxidant contents.

467

	O ₃	Species	O ₃ ×Species	Date	O ₃ ×Date	×Species
<i>A_{sat}</i>	0.0002	<0.0001	0.9983	0.2049	0.0096	0.8472
<i>g_s</i>	0.5411	0.0002	0.5788	0.8176	0.0096	0.2809
<i>C_i</i>	0.7889	<0.0001	0.2548	0.7265	0.3888	0.3006
WUE	0.9437	0.1959	0.5503	<0.0001	0.3258	0.3105
<i>F_v'/F_m'</i>	0.6297	0.0002	0.0773	0.1689	0.3076	0.8374
<i>ϕ</i> PSII	0.0427	<0.0001	0.5481	<0.0001	0.4844	0.7984
<i>qP</i>	0.0033	0.0065	0.6313	<0.0001	0.7380	0.3661
<i>V_{cmax}</i>	<0.0001	0.4209	0.5318	0.0337	0.8005	0.2668
<i>J_{max}</i>	<0.0001	0.0896	0.3419	0.1311	0.9928	0.3479
<i>L_s</i>	0.0006	0.2542	0.4295	0.2473	0.0148	0.9018
TAC	<0.0001	<0.0001	0.0965			
Phenolics	<0.0001	<0.0001	0.7386			
Total AsA	<0.0001	<0.0001	0.0908			
Reduced AsA	<0.0001	<0.0001	0.0799			
Chl a	0.0825	0.0991	0.8684			
Chl b	0.081	0.0403	0.9558			
Chl a+b	0.0815	0.0822	0.891			
Car	0.0715	0.0183	0.7867			
Chla/Chlb	0.2442	0.0200	0.816			

468

469 **Table 3.** Gas exchange and chlorophyll *a* fluorescence variables in plants grown in non-filtered air (NF) and in elevated O₃ (E-O₃) treatments in
 470 August and September. Different letter indicates significant difference between O₃ treatments.

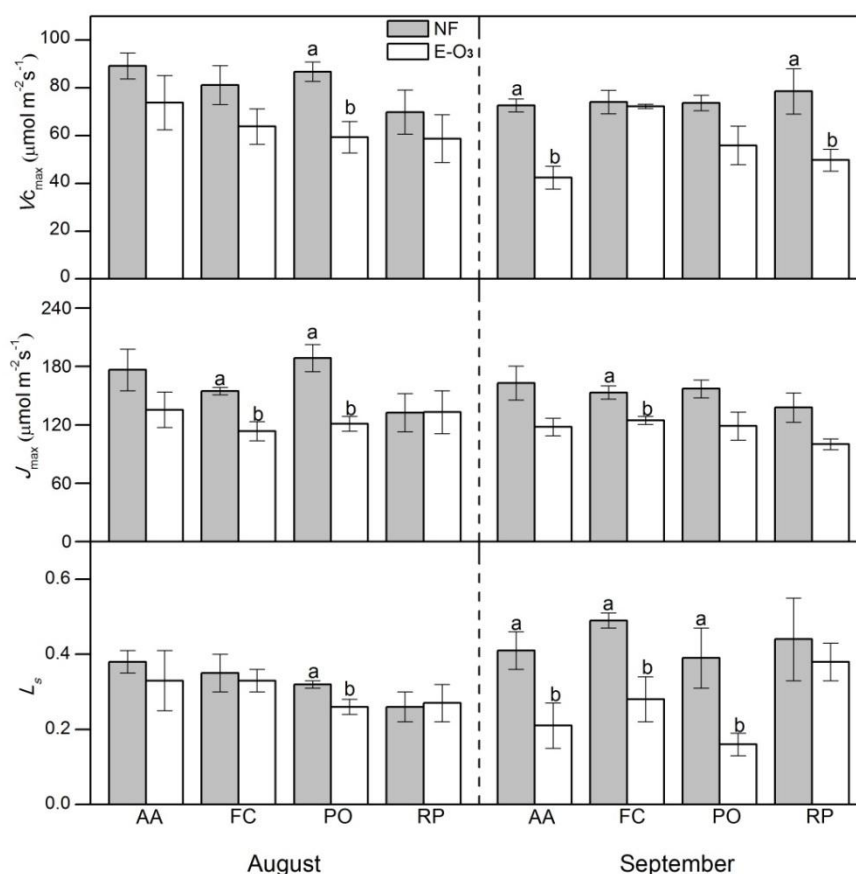
471

			A_{sat}	g_s	C_i	WUE	F_v'/F_m'	ϕ_{PSII}	qP
			($\mu\text{mol m}^{-2} \text{s}^{-1}$)	($\text{mol m}^{-2} \text{s}^{-1}$)	(ppm)	($\mu\text{mol CO}_2$ /mmol H ₂ O)			
Aug.	AA	NF	11.33 ± 1.28	0.14 ± 0.03	236.32 ± 15.13	3.79 ± 0.33	0.38 ± 0.03	0.22 ± 0.03	0.56 ± 0.03
		E-O ₃	11.33 ± 1.05	0.23 ± 0.07	276.32 ± 27.95	2.84 ± 0.62	0.46 ± 0.01	0.22 ± 0.02	0.47 ± 0.05
	FC	NF	12.79 ± 1.30	0.12 ± 0.02	205.87 ± 13.83	3.60 ± 0.26	0.44 ± 0.01	0.28 ± 0.01	0.62 ± 0.01
		E-O ₃	12.07 ± 0.61	0.12 ± 0.02	206.27 ± 22.41	3.86 ± 0.47	0.46 ± 0.04	0.24 ± 0.02	0.53 ± 0.05
	PO	NF	8.91 ± 0.82	0.11 ± 0.01	242.29 ± 22.64	3.67 ± 0.55	0.45 ± 0.01	0.22 ± 0.00	0.48 ± 0.02
		E-O ₃	7.98 ± 0.89	0.14 ± 0.02	284.54 ± 19.85	2.56 ± 0.50	0.45 ± 0.02	0.22 ± 0.02	0.49 ± 0.04
	RP	NF	7.99 ± 0.52	0.08 ± 0.01	214.51 ± 7.13	3.34 ± 0.18	0.36 ± 0.02	0.19 ± 0.01	0.52 ± 0.02
		E-O ₃	7.53 ± 1.27	0.06 ± 0.02	156.39 ± 39.80	4.22 ± 0.71	0.37 ± 0.02	0.18 ± 0.03	0.48 ± 0.06
Sep.	AA	NF	12.47 ± 0.58a	0.15 ± 0.02a	236.36 ± 14.53	6.11 ± 0.79	0.40 ± 0.02	0.14 ± 0.01	0.36 ± 0.01
		E-O ₃	9.14 ± 0.08b	0.09 ± 0.01b	221.73 ± 16.98	6.35 ± 0.71	0.48 ± 0.08	0.14 ± 0.00	0.33 ± 0.02
	FC	NF	13.32 ± 0.77a	0.17 ± 0.02	252.58 ± 14.25	5.54 ± 0.28	0.50 ± 0.03	0.20 ± 0.01	0.40 ± 0.01

	E-O ₃	10.54 ± 0.65b	0.12 ± 0.01	244.34 ± 13.96	5.96 ± 0.33	0.44 ± 0.02	0.17 ± 0.01	0.40 ± 0.03
PO	NF	12.38 ± 0.10a	0.16 ± 0.01	258.47 ± 4.21	4.92 ± 0.23	0.51 ± 0.05	0.17 ± 0.00a	0.35 ± 0.03
	E-O ₃	10.23 ± 0.18b	0.13 ± 0.02	249.55 ± 17.47	5.36 ± 0.45	0.46 ± 0.01	0.14 ± 0.00b	0.32 ± 0.01
RP	NF	9.55 ± 0.10a	0.09 ± 0.01a	172.74 ± 18.89	5.79 ± 0.52	0.38 ± 0.00	0.17 ± 0.00a	0.44 ± 0.01
	E-O ₃	6.61 ± 0.09b	0.06 ± 0.01b	157.95 ± 17.30	5.76 ± 0.60	0.38 ± 0.03	0.13 ± 0.01b	0.36 ± 0.03

472

473 Ozone also induced significant reductions in carboxylation parameters across
 474 two measurements and four species (Table 2) , but for the gas exchange and
 475 fluorescence parameters, responses were similar between species as there were no
 476 significant interactions between species and O₃ (Table 2). In August, $V_{c_{max}}$ was
 477 significantly reduced by 32% in PO and in September by 42% and 37% in AA and RP,
 478 respectively. J_{max} was reduced by 27% and 36% in FC and PO in August, and by 19%
 479 for FC in September (Fig. 8). E-O₃ also significantly reduced the stomatal limitation
 480 (L_s), which increased significantly with time (Table 2): reductions were significant for
 481 PO by 20% in August, for AA, FC and PO by 48%, 43% and 59%, respectively, in
 482 September (Fig. 8). No significant difference between species was found in
 483 carboxylation parameters and L_s .



484

485 **Figure 8.** Carboxylation-related variables in plants grown in non-filtered air (NF) and

486 in elevated O₃ (E-O₃) treatment in August and September. Different letter indicates
 487 significant difference between O₃ treatments.

488

489 3.5. Pigment contents

490 Chlorophyll *b* (Chl *b*) and carotenoid (Car) contents as well as the Chl *a* / Chl *b* ratio
 491 differed significantly between species (Table 2) , as indicated by the highest Chl *b* and
 492 Car in FC and the lowest in PO, but both of them did not have significant difference
 493 from AA and RP (Table 4). Although mean values in chlorophyll and carotenoid
 494 contents were always lower in the E-O₃ treatment, these changes were not statistically
 495 significant at the measuring time (Tables 2 and 4).

496

497 **Table 4.** The Chlorophyll (Chl) and carotenoid (Car) contents in plants grown in
 498 non-filtered air (NF) and in elevated O₃ (E-O₃) treatments in September.

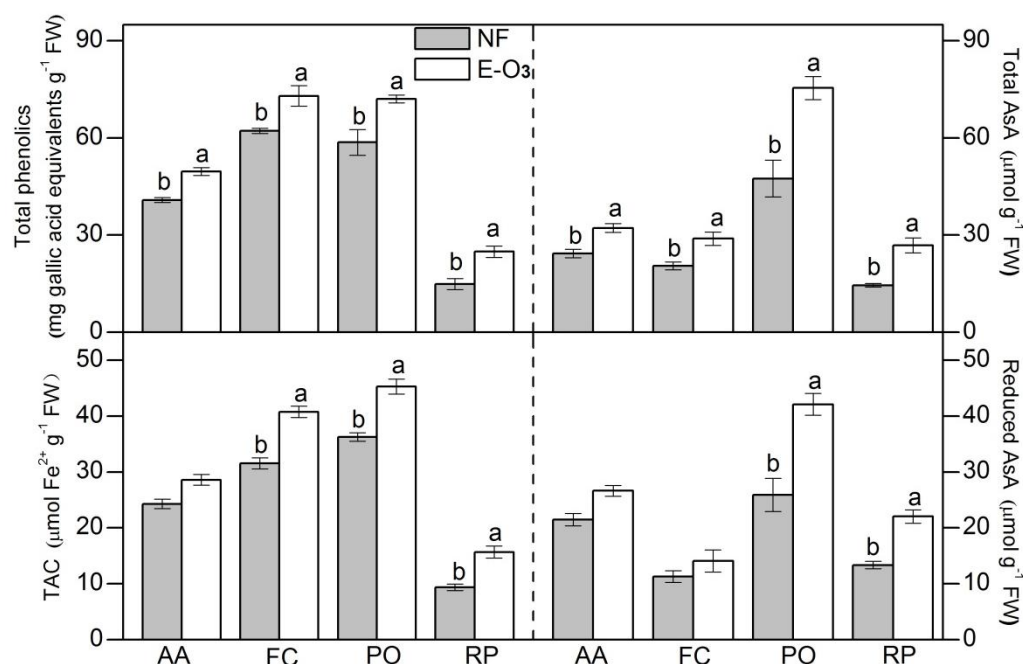
		Chla	Chlb	Chla+b	Car	Chla/Chlb
		(x10 mg m ⁻²)	(x10 mg m ⁻²)	(x10 mg m ⁻²)	(x10 mg m ⁻²)	
AA	NF	17.98 ± 1.89	4.46 ± 0.37	22.43 ± 2.26	8.67 ± 0.59	4.02 ± 0.11
	E-O ₃	13.23 ± 2.16	3.31 ± 0.55	16.54 ± 2.71	6.46 ± 0.98	4.00 ± 0.06
FC	NF	19.84 ± 2.09	5.52 ± 0.64	25.36 ± 2.72	9.72 ± 0.83	3.62 ± 0.07
	E-O ₃	18.69 ± 3.29	5.05 ± 0.97	23.73 ± 4.26	9.12 ± 1.23	3.76 ± 0.11
PO	NF	14.61 ± 1.07	3.81 ± 0.27	18.42 ± 1.32	6.72 ± 0.52	3.84 ± 0.10
	E-O ₃	12.63 ± 1.42	3.17 ± 0.38	15.80 ± 1.79	6.12 ± 0.59	4.00 ± 0.08
RP	NF	17.51 ± 2.97	4.49 ± 0.82	22.00 ± 3.79	8.10 ± 1.18	3.94 ± 0.05
	E-O ₃	14.39 ± 1.75	3.65 ± 0.53	18.05 ± 2.28	6.88 ± 0.81	4.00 ± 0.15

499

500 3.6. Antioxidants

501 At the end of the growing season, plants in E-O₃ significantly increased their contents
 502 in antioxidant compounds (TAC, phenolics, total and reduced AsA) with regard to
 503 control plants (Table 2). Responses of different species to O₃ were similar for all
 504 tested antioxidant variables as indicated by no significant interaction (Table 2). Across

505 O₃ treatments, there was significant difference between species in TAC, phenolics and
 506 reduce AsA contents (Table 2). Of all, PO had the largest antioxidant capacity, RP was
 507 the lowest, and FC and AA ranked in the middle level. RP was the species with lower
 508 constitutive levels of antioxidants, but it showed the strongest responses to O₃, with
 509 increases of 67% in TAC, 67% in total phenolics, 85% in total AsA, and 65% in
 510 reduced AsA in E-O₃ plants (Fig. 9). On the contrary, AA did not experience
 511 significant changes in TAC and in reduced AsA after O₃ exposure (Fig. 9).



512
 513 **Figure 9.** Total phenolics, total antioxidant capacity (TAC), total and reduced ascorbic
 514 acid (AsA) contents in plants grown in non-filtered air (NF) and in elevated O₃ (E-O₃)
 515 treatments in September. Different letter indicates significant difference between O₃
 516 treatments.

518 4. Discussion

519 E-O₃ induced visible injury in the four investigated species with more severe effects
 520 on AA, as 75% of the plants were affected before middle July. AA, FC and RP were
 521 among the tree species showing symptoms in the field (Feng et al., 2014). AA can be
 522 considered a good bio-indicator tree in Beijing area, because it was the tree species

523 showing symptoms at more sites and the symptoms were observed already in July
524 with AOT40 of 12.8 ppm.h. Symptoms of these 3 tree species in E-O₃ were consistent
525 with those observed in the field, supporting the validation of field observations in
526 Beijing area.

527 Many of the anatomical and ultrastructural changes induced by E-O₃ are
528 hypersensitive-like response (HR), in which oxidative burst (OB) plays a central role
529 (Rao et al., 2000). Both are considered to be plant defence reactions against O₃ as well
530 as other biotic and abiotic stresses (Sanderman et al., 1998; Vollenweider et al., 2003).
531 OB triggers programmed death, leading to a cell collapse as observed in stippling
532 areas in AA and PO. As shown in the present study, palisade parenchyma is usually
533 the most affected tissue by O₃ (Günthardt and Vollenweider, 2007; Paoletti et al.,
534 2009). Our observations suggest that reactive oxygen species (ROS) degrades the
535 pectins of the middle lamella inducing a separation of the mesophyll cells, and
536 increasing intercellular spaces. Hydrogen bonds between cellulose molecules and
537 other cell wall components may also be affected, finally softening the cell which
538 becomes deformed. Pectinaceous and polysaccharidic extrusions also result from the
539 breakdown of the middle lamella. These projections have been reported in several
540 species exposed to O₃ in fumigation chambers or in the field (e.g., Calatayud et al.,
541 2011; Vollenweider et al., 2003), but are not specific of O₃ as they can be induced by
542 other stress factors such as e.g. heavy metals (Günthard-Goerg and Vollenweider,
543 2007). Progressive degradation of the cell walls combined with the loss of turgor
544 finally leads to cell collapse.

545 Callose accumulation is a defence response to abiotic and biotic factors such as
546 wounding, desiccation, metal toxicity or insect attacks, and it has been postulated that
547 it might act as a physical barrier against microbial and fungal attack (Stone and Clarke,
548 1992), isolating affected tissues from healthy cells. Ozone is considered to be an
549 abiotic elicitor inducing responses similar to plant defence responses to pathogen
550 attacks (Sandermann et al., 1998). Callose accumulation after O₃ exposure has been
551 reported in different species (Gravano et al., 2003; Bussotti et al., 2005; García-Breijo

552 et al., 2008; Calatayud et al., 2011). Phenolic metabolites are suggested to play a
553 protective role against oxidative stress as antioxidants (Kangasjärvi et al., 1994). In
554 the four species, E-O₃ increased accumulation of phenolic compounds. In the
555 vacuoles of AA and PO, rich in condensed tannins, these compounds changed their
556 even distribution by a coagulated aspect most likely due to oxidative processes
557 (Vollenweider et al., 2003). Changes in vacuole tannins due to O₃ have been observed
558 in the mastic plant (Reig-Armiñana et al. 2004). In RP, less rich in tannins, an
559 accumulation of phenolic compounds is observed. Previous microscopy studies have
560 shown that accumulation of phenolic compounds including tannins and anthocyanins
561 can be induced by O₃ in some species (Vollenweider et al., 2003; Bussotti et al.,
562 2005).

563 At the ultrastructural level, changes in organelles that may be considered as an
564 acceleration of the natural foliar senescence process can be observed. Foliar
565 senescence is characterized by a decline in whole leaf gas exchange and protein levels,
566 leaf yellowing, and the chloroplast-to-gerontoplast transition. Ultrastructurally,
567 gerontoplast development is seen primarily as a progressive unstacking of grana, a
568 loss of thylakoid membranes and a massive accumulation of plastoglobuli and other
569 lipid-protein inclusions (Harris & Schaefer, 1981). In our study, ultrastructural
570 changes in the chloroplast included an increase in electron-dense material, accumulation
571 of starch, plastoglobuli and lipid-protein bodies, thylakoid degradation, membrane
572 disruption and changes in shape. Starch accumulation may be related to a difficulty in
573 sucrose transport outside the chloroplasts and to other tissues (Calatayud et al., 2011).
574 Landolt et al. (1997) found enhanced content of soluble sugars in leaves of
575 O₃-exposed birch plants, and in the same species, Matyssek et al. (1992) observed
576 accumulation of starch along veins, which suggested a reduction of carbon export
577 from source leaves. This can be related with altered cell membranes and impaired
578 phloem loading induced by O₃ (Grantz, 2003). In O₃-injured leaves, phloem cells can
579 experience evident changes in shape, which may obviously impair their functionality
580 and affect sucrose translocation (Calatayud et al., 2011). On the other hand, an

581 increase in plastoglobuli can originate from the lipid-soluble degradation products
582 from the thylakoid membranes (Matile, 1992; Kivimäenpää et al., 2010). Overall, the
583 four species show partly similar anatomical and ultrastructural changes that are
584 related both to O₃ damage to different cell components, defence responses and
585 accelerated senescence. Notably, changes were more evident in AA, with many
586 palisade parenchyma cells collapsed leading to a large intercellular spaces, distinct
587 callose dipositions, denser vacuolar content due to tannin accumulation, and more
588 altered chloroplasts (with accumulation of abundant lipid-protein bodies). On the
589 contrary, much less evident changes were observed in RP, with FC and PO being
590 intermediate. The damage to chloroplast functionality has led to reduced
591 photosynthesis (Table 3). Investment in defence may, on the other hand, reduce
592 carbon availability for plant growth.

593 Compared to control, changes in leaf gas exchange, chlorophyll *a* fluorescence
594 and carboxylation parameters by E-O₃ showed similar responses in all species, as
595 indicated by no significant interactions between O₃ and species. Ozone induced
596 significant declines in A_{sat} and in chlorophyll *a* fluorescence parameters (ϕ_{PSII} and qP).
597 This decline in photosynthetic CO₂ assimilation was associated with significant
598 reductions in $V_{c_{\text{max}}}$ and J_{max} , suggesting that biochemical limitations play an early and
599 primary role in the decline of CO₂ assimilation by O₃ (e.g., Calatayud et al., 2010;
600 Feng et al., 2011b; Cho et al., 2011). Changes in fluorescence parameters under
601 steady-state illumination may reflect a down-regulation process for adjusting the
602 production of reductive power and chemical energy to a lower demand by the
603 Calvin-Benson cycle (Calatayud et al., 2007). In a complementary study conducted
604 with the same plants but restricted to only three of the species (AA, FC and PO), E-O₃
605 increased stomatal sluggishness (i.e. slowed stomatal response) with FC and PO being
606 the most and less affected species, respectively (Hoshika et al., 2014). Increased
607 sluggishness may be related to accelerated leaf senescence in the cell physiological
608 processes (Paoletti et al., 2009), although the underlying mechanisms are still under
609 investigation (Hoshika et al., 2014). In the present study, chloroplasts of the guard

610 cells are sometimes altered, which could partly impair the normal performance of
611 these cells.

612 E-O₃ significantly increased total and reduced AsA contents in the leaves.
613 Activation of the AsA synthesis is a defence response against oxidative stress, as AsA
614 is a central metabolite in plant antioxidant system. It serves as a chemical scavenger
615 to protect plants by reducing free radicals, and also as a substrate of extracellular
616 enzymes such as the ascorbate peroxidase (APX) which detoxify peroxides; therefore
617 propagation of oxidative signaling diminishes (Burkey et al., 2006; Dizengremel et al.,
618 2013). Besides, all species in the present study showed an increase in phenolics
619 contents. Similar results have been previously reported in other tree species (Oksanen
620 et al., 2013). Phenolic metabolites probably increase O₃ tolerance of plants due to its
621 effectiveness as radicals and ROS scavengers (Grace, 2005; Langebartels et al., 2002).
622 Increased TAC levels are consistent with increased phenolics content as phenolic
623 compounds have been found to be the major contributors to the antioxidant properties
624 in extracts of different parts of 30 plants (e.g. Dudonné et al., 2009). In the present
625 study, however, all four species showed a similar response to O₃ in antioxidant levels.
626 Besides the increases in AsA, phenols or TAC, the different species were not able to
627 counteract photosynthesis impairment, cellular and tissue damage, and visible injury,
628 suggesting that the possible contribution of these physiological responses was
629 insufficient to offset the high levels of oxidative stress.

630 Considering visible injury, anatomical and ultrastructural responses, AA is the
631 most sensitive of all species as it developed symptoms the earliest and the percentage
632 of injured leaves was the highest from the beginning. Anatomical and ultrastructural
633 alterations were also the severest in this species. On the other side, any of the RP
634 plants reached the higher injury classes, and visible injury was much less conspicuous
635 than the other species, with anatomical and ultrastructural changes being also
636 moderate. FC and PO were intermediate, with some of the leaves strongly affected by
637 a marked yellow or brown stippling. Several studies have shown that LMA is well
638 correlated with O₃ sensitivity of the species: plants with higher LMA are, in general,

639 more O₃ tolerant, partly due to the fact that they have a higher chlorophyll and
640 nitrogen content per area unit, supporting a more efficient photosynthesis activity,
641 which can better feed detoxification processes (Bussotti, 2008; Zhang et al., 2012).
642 Our results are not in line with such a hypothesis, probably because the investigated
643 species have a narrow LMA range (5.0-9.1 mg cm⁻²). LMA is better related with O₃
644 tolerance when a larger range among species is considered (e.g., comparing deciduous
645 with evergreen species, Calatayud et al., 2011). The higher sensitivity in AA can
646 rather be explained as a combination of factors including a thinner palisade
647 parenchyma layer, less active antioxidant responses to O₃, and the highest g_s. The
648 palisade parenchyma is the most photosynthetically active tissue, so a reduced
649 thickness may imply that less apoplast surface is available for defense reactions
650 (Dizengremel *et al.*, 2013). The less active antioxidant responses in combination with
651 higher g_s (related to a higher O₃ uptake), would make this species more prone to
652 oxidative imbalances. These features are consistent with the pioneering, fast-growing
653 strategy of this species. On the other hand, although RP is also a sensitive species, its
654 lower g_s and, probably also, its stronger antioxidant responses could better withstand
655 O₃ stress, besides the fact of having lower constitutive antioxidant levels. Besides
656 showing more or less marked macro- and microscopic responses, it is noteworthy that
657 photosynthesis was also impaired in all the species, with CO₂ assimilation being
658 reduced. On the long run, such reductions are expected to affect CO₂ fixation as plant
659 biomass, one of the services of urban forests. In the present study, however, only one
660 growing season was covered and the effects on biomass were negligible (data not
661 shown).

662

663 **5. Conclusions**

664 The investigated four species are sensitive to O₃, as indicated by an increase in foliar
665 damage, accumulation of phenolic compounds in the leaf tissues, degradation of the
666 cell walls and organelles such as the chloroplasts, changes in antioxidant levels and
667 reduced photosynthesis rate. These changes might also alter plant interactions with

668 other abiotic and biotic stresses (Karnosky et al., 2005; Bussotti et al., 2008). The
669 current study suggests that sensitivity to O₃ should be taken into account for urban
670 tree plantations in areas at risk of high ozone concentrations, avoiding very sensitive
671 species such as AA. This is especially relevant for urban forests and large tree
672 plantations in parks or in green belts around cities rather than for trees planted in
673 streets, where O₃ is locally scavenged by reacting with NO traffic emissions. Further
674 studies of O₃ exposure under controlled or semi-controlled conditions involving many
675 urban trees are still needed in order to support decision making for tree plantation in
676 ozone polluted cities of China. Other additional factors to be considered in urban
677 greening species in relation to air pollution are their rate of BVOC emission and
678 capacity to remove O₃ and particulate matter (Paoletti et al., 2009; Calfapietra et al.,
679 2013).

680

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691

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