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Ruiz Rico, M.; Daubenschütz, H.; Pérez Esteve, E.; Marcos Martínez, MD.; Amorós, P.; Martínez Mañez, R.; Barat Baviera, JM. (2016). Protective effect of mesoporous silica particles on encapsulated folates. *European Journal of Pharmaceutics and Biopharmaceutics*. 105:9-17. doi:10.1016/j.ejpb.2016.05.016.



The final publication is available at

<http://dx.doi.org/10.1016/j.ejpb.2016.05.016>

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Additional Information

1 ***Protective effect of mesoporous silica particles on encapsulated folates***

2

3 María Ruiz-Rico<sup>1,\*</sup>, Hanna Daubenschütz<sup>1</sup>, Édgar Pérez-Esteve<sup>1</sup>, María D. Marcos<sup>2,3</sup>, Pedro  
4 Amorós<sup>4</sup>, Ramón Martínez-Máñez<sup>2,3</sup>, José M. Barat<sup>1</sup>

5

6 <sup>1</sup> Grupo de Investigación e Innovación Alimentaria. Departamento de Tecnología de Alimentos,  
7 Universitat Politècnica de València. Camino de Vera s/n, 46022, Valencia, Spain

8 <sup>2</sup> Centro de Reconocimiento Molecular y Desarrollo Tecnológico (IDM), Unidad Mixta  
9 Universitat Politècnica de València y Universitat de València. Departamento de Química,  
10 Universitat Politècnica de València, Camino de Vera s/n, 46022, Valencia, Spain

11 <sup>3</sup> CIBER de Bioingeniería, Biomateriales y Nanomedicina (CIBER-BBN)

12 <sup>4</sup> Institut de Ciència dels Materials (ICMUV), Universitat de València, P.O. Box 22085, 46071  
13 Valencia, Spain

14 \*(M.R.R.) Phone: +34963877000 ext.83612. E-mail: [maruiri@etsia.upv.es](mailto:maruiri@etsia.upv.es).

15 **Abstract**

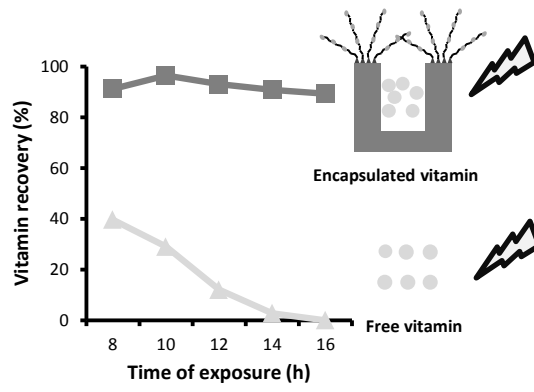
16 Mesoporous silica particles (MSPs) are considered suitable supports to design gated materials  
17 for the encapsulation of bioactive molecules. Folates are essential micronutrients which are  
18 sensitive to external agents that provoke nutritional deficiencies. Folates encapsulation in MSPs  
19 to prevent degradation and to allow their controlled delivery is a promising strategy.  
20 Nevertheless, no information exists about the protective effect of MSPs encapsulation to prevent  
21 their degradation. In this work, 5-formyltetrahydrofolate (FO) and folic acid (FA) were  
22 entrapped in MSPs functionalized with polyamines, which acted as pH-dependent molecular  
23 gates. The stability of free and entrapped vitamins after acidic pH, high temperature and light  
24 exposure was studied. The results showed the degradation of FO after high temperature and  
25 acidic pH, whereas entrapped FO displayed enhanced stability. Free FA was degraded by light,  
26 but MSPs stabilized the vitamin. The obtained results point towards the potential use of MSPs  
27 as candidates to enhance stability and to improve the bioavailability of functional biomolecules.

28  
29 **Keywords:** 5-formyltetrahydrofolate; controlled release; encapsulation; folic acid; mesoporous  
30 silica particles; stability

31  
32  
33  
34 **Abbreviations used**

35 5-formyltetrahydrofolate (FO), ascorbic acid (AA), encapsulated 5-formyltetrahydrofolate (**E-**  
36 **FO**), encapsulated folic acid (**E-FA**), European Food Safety Authority (EFSA), folic acid (FA),  
37 free 5-formyltetrahydrofolate (**F-FO**), free folic acid (**F-FA**), least significant difference (LSD),  
38 mesoporous silica particles (MSPs), N-(3-trimethoxysilylpropyl)diethylenetriamine (N3), N-  
39 cetyltrimethylammonium bromide (CTABr), phosphate-buffered saline (PBS), powder X-ray  
40 diffraction (PXRD), tetrabutylammonium hydrogen sulphate (TBAHS), tetraethylorthosilicate  
41 (TEOS), transmission electron microscopy (TEM), triethanolamine (TEAH<sub>3</sub>), ultraviolet (UV).

42 Graphical abstract



43

## 44 **Introduction**

45 In the last years hybrid organic–inorganic materials have attracted considerable interest due to  
46 the combination of the beneficial characteristic of organic chemistry and material science in  
47 order to develop smart nanodevices. Among different hybrid solids, mesoporous silica particles  
48 (MSPs) offer several unique features that allow the design of gated materials for controlled  
49 release and sensing/recognition protocols [1]. The first family of MSPs called MCM-X was  
50 described in the early 1990s by the Mobil Corporation Laboratories. This family of silica  
51 supports include different porous silica exhibiting hexagonal (MCM-41), cubic (MCM-48) and  
52 lamellar (MCM-50) pore shapes. After these developments, new ordered materials (e. i. MSU,  
53 KIT, FDU, AMS, SBA...) with a wide range of textural properties have been described by  
54 different authors [2]. MSPs possess broadly advantageous properties such as biocompatibility,  
55 thermal and chemical stability, huge loading capacity, high surface areas, tunable morphologies  
56 and pore sizes, as well as facile functionalization of surfaces and pores [3-7]. The surface  
57 functionalization of MSPs for the development of gated materials allows that the delivery of the  
58 cargo stored in the inorganic support can be triggered by applying selected external stimulus [1].  
59 Furthermore, it is considered that the inorganic framework can effectively protect the payload  
60 molecules from enzymatic degradation or denaturation caused by environmental changes [7].  
61 However, there are few studies in the literature about the protective effect of MSPs on the  
62 stability of biomolecules in biological solutions.

63 The functionalized MSPs have been used to encapsulate drugs mainly for the biomedical field,  
64 but also to encapsulate bioactive molecules for other sectors such as food technology. Different  
65 food ingredients and nutraceuticals including vitamins [8-12], antioxidants [13,14],  
66 antimicrobials [15-17], aromas [18] or enzymes [19] have been entrapped in gated mesoporous  
67 materials. Most studies have been focused on the development and optimization of the  
68 encapsulation systems for controlled delivery, but it is expected that the MPSs may be able to  
69 enhance the stability of the entrapped bioactive compound.

70 Water-soluble vitamins, like folates, are labile compounds in the presence of environmental  
71 agents, such as extreme pH values or high temperatures [20]. As folates are essential for the  
72 human body and cannot be synthesized *de novo* by the organism, this indispensable vitamin  
73 needs to be obtained from food or dietary supplements [21]. Thus the stability of this vitamin  
74 after storage and processing in food products or supplements should be taken into account. Loss  
75 of the biochemical activity of natural folates can occur during harvest, storage and food  
76 processing [22,23]. In general, pH, temperature, pressure, light and antioxidants, among others,  
77 can affect the stability of the natural folates and the synthetic folic acid (FA) [24-30]. FA, with a  
78 fully oxidized pteridine ring system, exhibits greater stability than folates. Among folates, large  
79 differences in stability exist in susceptibility to oxidative degradation, and 5-  
80 formyltetrahydrofolate (FO) is the most stable [31]. Moreover, the stability of folates is  
81 influenced by pH and oxygen, which provokes their oxidation [30,32]. The inclusion of  
82 antioxidant compounds, such as ascorbic acid (AA) or mercaptoethanol, is required to prevent  
83 the destruction of labile folates from thermal exposure and photodegradation during food  
84 processing [20,23,33].

85 Bearing in mind these factors, it is of interest to create folates encapsulation systems which can  
86 ensure the required dose and fully guarantee the stability and bioavailability of this vitamin.  
87 Therefore, the objective of this study was the encapsulation of FO and FA in a mesoporous  
88 silica support (MCM-41) functionalized with amines to create a system to be used in orally  
89 delivered applications, and to study the stability of entrapped vitamins to test the efficacy of the  
90 MCM-41 support as a protector against external agents, such as acidic pH, high temperature and  
91 light.

92

## 93 **Materials and methods**

### 94 *Chemicals*

95 Tetraethylorthosilicate (TEOS), *N*-cetyltrimethylammonium bromide (CTABr), sodium  
96 hydroxide (NaOH), triethanolamine (TEAH<sub>3</sub>), *N*-(3-trimethoxysilylpropyl)diethylenetriamine  
97 (N3), sodium phosphate monobasic (NaH<sub>2</sub>PO<sub>4</sub>), sodium phosphate dibasic (Na<sub>2</sub>HPO<sub>4</sub>) and  
98 tetrabutylammonium hydrogen sulphate (TBAHS) were provided by Sigma-Aldrich (Madrid,  
99 Spain). 5-formyltetrahydrofolate (FO) and folic acid (FA) were purchased from Schircks  
100 Laboratories (Jona, Switzerland). Acetonitrile HPLC grade was provided by Scharlab  
101 (Barcelona, Spain).

102

### 103 *Mesoporous silica particles synthesis*

104 Synthesis of microparticulated MCM-41 particles was carried out following the so-called  
105 “atrane route”, where CTABr was used as the structure-directing agent. A molar ratio, fixed at 7  
106 TEAH<sub>3</sub>: 2 TEOS:0.52 CTABr:0.5 NaOH:180 H<sub>2</sub>O. CTABr, was added to a TEAH<sub>3</sub> and NaOH  
107 solution, which contained TEOS at 118 °C. After dissolving CTABr in the solution, water was  
108 slowly added along with vigorous stirring at 70 °C to form a white suspension. This mixture was  
109 aged at 100 °C for 24 h. Following synthesis, the solid was recovered, washed with deionized  
110 water and dried at 70 °C. The as-synthesized microparticles were calcined at 550 °C in an  
111 oxidant atmosphere for 5 h to remove the template phase [16].

112

### 113 *Synthesis of encapsulated folates*

114 The design of the encapsulation system was based on a previous work, in which FA was  
115 entrapped in a MSP functionalized with amines to deliver FA during a simulated digestion  
116 process [12]. Dissolutions of FO and FA (10 mg/mL) were prepared in distilled water and  
117 phosphate-buffered saline (PBS), respectively. Solutions were added to 300 mg of MCM-41 in  
118 3 addition cycles (1.5 mL per cycle). After each addition cycle, solids were dried at 37 °C to

119 remove water content. After loading and drying, solids were collected and functionalized with  
120 1.29 mL of N3 using different media; i.e. acetonitrile (**E-FO**) or acetate buffer at pH 2 (**E-FA**).  
121 The final mixtures were stirred for 5.5 h at room temperature, isolated by vacuum filtration,  
122 washed with 300 mL of water adjusted to pH 2, and dried at room temperature for 24 h.

123

#### 124 *Characterization of solids*

125 Powder X-ray diffraction (PXRD), transmission electron microscopy (TEM), N<sub>2</sub> adsorption-  
126 desorption isotherms and zeta potential were used to characterize the synthesized materials.  
127 PXRD was performed in a BrukerD8 Advance diffractometer using CuK $\alpha$  radiation (Bruker,  
128 Coventry, UK). For the TEM analysis, particles were dispersed in dichloromethane and  
129 sonicated for 2 min to preclude aggregates. The suspension was then deposited onto copper  
130 grids coated with a carbon film (Aname SL, Madrid, Spain). The MSPs samples were imaged  
131 by JEOL JEM-1010 (JEOL Europe SAS, Croissy-sur-Seine, France) at an acceleration voltage  
132 of 80 kV. The single-particle size was estimated by averaging the measured size values of 50  
133 particles. The N<sub>2</sub> adsorption-desorption isotherms were recorded with a Micromeritics  
134 ASAP2010 automated sorption analyzer (Micromeritics Instrument Corporation, Norcross,  
135 USA). Samples were degassed at 90 °C in vacuum overnight. Specific surface areas were  
136 calculated from the adsorption data within the low pressure range by the BET model. Pore size  
137 was determined following the BJH method. To determine the zeta potential of the materials, a  
138 Zetasizer Nano ZS (Malvern Instruments, UK) was employed. Samples were dispersed in water  
139 at a concentration of 1 mg/mL. Before taking each measurement, samples were sonicated for 2  
140 min to preclude aggregation. The zeta potential was calculated from the particle mobility values  
141 by applying the Smoluchowski model. The average of five recordings was reported as the zeta  
142 potential. Measurements were taken at 25 °C in triplicate.

143



144 *Release studies*

145 Delivery studies were conducted to test the release capacity of the encapsulation system and to  
146 confirm the functionality of the gates to modulate the release of vitamins according to the pH of  
147 the medium (closed gates at pH 2, opened gates at pH 7.5). To determine the release of FO and  
148 FA from the amine-gated mesoporous support (**E-FO** and **E-FA**), 10 mg of the solids were  
149 placed in 25 mL of PBS at pH 2 and pH 7.5. At certain time points (0, 2, 5, 15, 30, 60, 120, 180  
150 min), aliquots were separated, the suspension was filtered and the solution was analyzed by  
151 HPLC.

152

153 *Stability assays*

154 The influence of diverse external agents, such as acidic pH, high temperature and light, on the  
155 stability of the free and entrapped vitamin was studied. Free FO and FA were treated, whenever  
156 possible, as the encapsulated vitamin in order to ensure reproducibility. In order to simulate not  
157 only the 3 day-loading (72 h drying at 37°C) of the particles with the vitamin, but also a further  
158 24-hour drying period after functionalization, compounds in their free form were incubated for  
159 96 h at 37°C. The stability assays with the free vitamin were all conducted with these incubated  
160 samples (**F-FO** and **F-FA**).

161 For the stability assays, 4 mg of the entrapped vitamins (**E-FO** and **E-FA**) and the  
162 correspondent amounts of the free forms (ca. 0.02 mg for FO and ca. 0.3 mg for FA) were  
163 dissolved in 10 mL of PBS (pH 2 or pH 7.5). All the stability experiments were performed in  
164 triplicate. The vitamin recoveries were presented by assuming the percentage recovered under  
165 optimal conditions to be 100% (pH 7.5, no treatment).

166

167 *pH stability*

168 These experiments were carried out to study the stability and solubility of vitamins at different  
169 pH values; e.g., the acidic pH at which FO and FA exhibited very low solubility [30,32]. These

170 assays allow us to confirm the mechanism of polyamines as molecular gates due to the  
171 transformation of amines (open gate at a neutral/basic pH) into polyammonium groups (closed  
172 gate at an acidic pH).

173 Firstly, the recovery of free compounds under different pH conditions (pH 1, 2, 3, 4, 5, 6, 7, 8,  
174 9, 10) was examined. Depending on the pH value, PBS was adjusted with H<sub>2</sub>SO<sub>4</sub> and NaOH 1  
175 M according to Wu et al. [32]. Then the correspondent volume of a stock solution of vitamins  
176 was added. After stirring samples for 1 h, they were taken for the HPLC analysis. The second  
177 part of the pH assays was conducted to test the stability behavior of vitamins after neutralization  
178 and to prove the functionality of ascorbic acid and the pH-responsive gated support to protect  
179 vitamins. The encapsulated vitamins were mixed with PBS (pH 2), stirred for 1 h and samples  
180 were taken. pH was adjusted to neutral pH with NaOH 5 M and vitamins were released. The  
181 same procedure was carried out with the free forms in the presence or absence of ascorbic acid.

182

183 Temperature stability

184 Temperature experiments were performed in an autoclave. Free vitamins were dissolved in PBS  
185 (pH 7.5) and equivalent amounts of encapsulated vitamin were suspended in PBS (pH 2) to  
186 keep the gates closed, and to then undergo the sterilization process. Treatment was conducted at  
187 121°C and 1 bar at different times: 5, 10, 15 min. After treatment, vessels were cooled in an ice  
188 bath before taking samples to be analyzed. The encapsulated samples were released by adjusting  
189 the pH of the suspension from pH 2 to a neutral pH. Delivery was done as previously described.

190

191 Light stability

192 Two different light sources (visible and ultraviolet (UV) lamps) were used in the stability  
193 assays. Samples were prepared in their free forms (dissolved in PBS pH 7.5) and the impact of  
194 ascorbic acid as an antioxidant (0.1% AA dissolved in PBS) was partially examined.  
195 Experiments were conducted on encapsulated vitamins in PBS (pH 2) and were adjusted to pH

196 7.5 after the experiments, as reported above. All the samples were kept inside closed transparent  
197 borosilicate glass vessels ( $\varnothing$  24 mm, h 45 mm) for different times in order to simulate an  
198 indirect light-induced stress, which can actually occur in real food products. Release of vitamins  
199 was conducted as explained above.

200

#### 201 *Folate and folic acid quantification*

202 FO and FA were determined by reversed-phase HPLC following the method described by  
203 Pérez-Esteve et al. [12]. The HPLC instrument consisted in a Hitachi LaChrom Elite liquid  
204 chromatograph (Hitachi Ltd., Tokyo, Japan), equipped with an auto-sampler (modul L-2200)  
205 and an UV detector (model L-2400). A Kromaphase 100 C18 (250 mm x 4.6 mm i.d., 5  $\mu$ m  
206 particle size analytical column) (Scharlab, Barcelona, Spain) was used for separations. The  
207 wavelength of the UV detector was set at 280 nm. The mobile phase consisted in (A) 0.125 mM  
208 of  $\text{NaH}_2\text{PO}_4$ , 0.875 mM of  $\text{Na}_2\text{HPO}_4$  and 0.4 mM of TBAHS in water and (B) an acetonitrile-  
209 mobile phase A 65:35 (v/v). The gradient program was: the mobile phase was run isocratically  
210 for the first 5 min with 90% A and 10% B. The percentage of B was linearly increased to reach  
211 36% at 15 min and 60% at 30 min. The percentage of B was lowered linearly to the original  
212 composition in 5 min, and remained under the initial conditions for 5 min. FO and FA were  
213 quantified according to the external standard method, in which a calibration curve of the peak  
214 area was used against the compound concentration.

215

#### 216 *Data analysis*

217 Statistical data processing was performed using Statgraphics Centurion XVI (Statpoint  
218 Technologies, Inc., Warrenton, VA, USA). The influence of the different factors on the release  
219 and stability of the vitamin was analyzed by one-way analysis of variance (One-way ANOVA).  
220 The LSD procedure (least significant difference) was used to test for the differences between  
221 means at the 5% significance level.

222

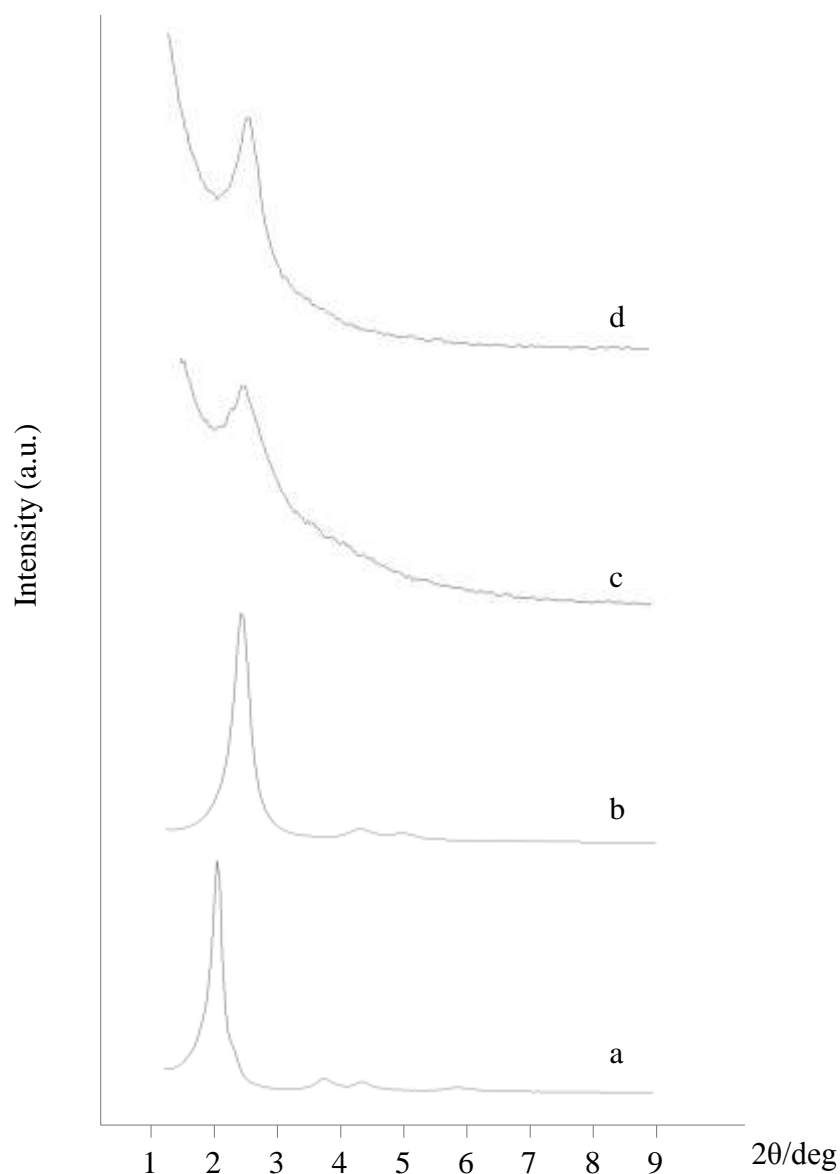
## 223 **Results and discussion**

### 224 *Synthesis and material characterization*

225 FO and FA were encapsulated in the MSPs that contained gate-like ensembles as devices for  
226 controlled delivery applications. In this work, diethylenetriamine moiety was chosen as the  
227 capping ensemble given its proven properties to control the delivery of cargo molecules from  
228 the voids of MSPs in response to changes in pH [8,12,34]. In a first step, the support was  
229 synthesized by using CTABr as a structure director agent and TEOS as a silica source. After  
230 removing the surfactant by calcination the starting MCM-41 support was obtained. The pores of  
231 MCM-41 were loaded with FO and FA. To obtain the final materials (**E-FO** and **E-FA**), the  
232 loaded solids were reacted with *N*-(3-trimethoxysilylpropyl)diethylenetriamine.

233 The different supports were characterized by standard techniques. The X-ray patterns of solids  
234 MCM-41 as synthesized (a), calcined (b), loaded with FO and functionalized with amines (c)  
235 and loaded with FA and functionalized with amines (d) can be found in Figure 1. Curve a shows  
236 the expected four peaks of a hexagonal ordered array indexed as (100), (110), (200) and (210)  
237 Bragg reflections. A significant shift in the (100) reflection in the PXRD spectrum of the MCM-  
238 41 calcined sample is clearly seen on curve b, which corresponds to a cell contraction related to  
239 the condensation of silanols in the calcination step. Curves c and d show that reflections (110),  
240 (200) and (210) were lost, probably due to a reduced contrast, which can be attributed to the  
241 presence of FO or FA in the pores, and to the anchored N<sub>3</sub> molecule. Nevertheless, the  
242 existence of the (100) peak in the PXRD patterns in all cases indicated that the process of pore  
243 loading with FO and FA, and functionalization did not basically modify the typical porosity of  
244 the mesoporous MCM-41 scaffold.

245



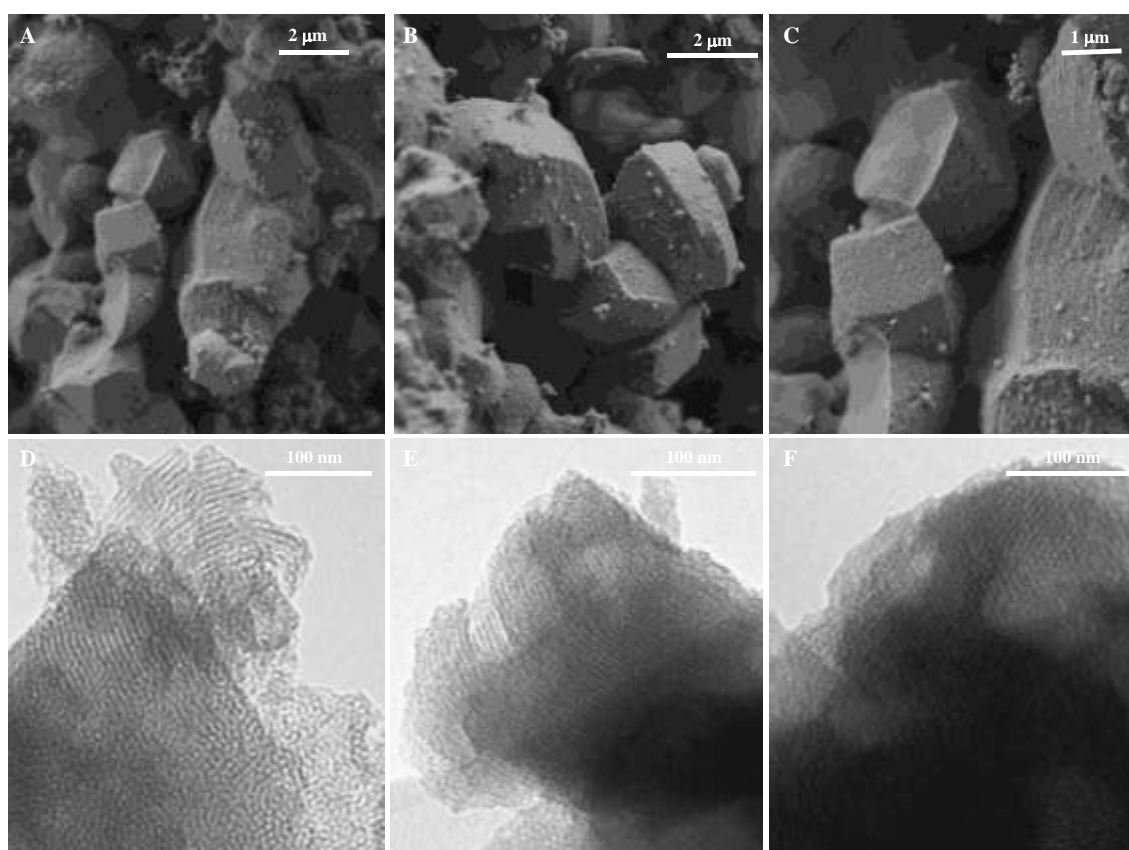
246

247 **Figure 1.** Powder X-ray patterns of the solids (a) MCM-41 as-synthesized, (b) MCM-41  
 248 calcined, (c) **E-FO** and (d) **E-FA**.

249

250 In addition to PXRD patterns, Fig. 2 shows FESEM and TEM images of the different bare and  
 251 functionalized materials. By means of FESEM observation, characterization of the shape and  
 252 size of the particles was performed. MCM-41 microparticles showed a size in the microscale  
 253 and irregular morphology. The comparison of the images before and after loading with FO and  
 254 FA and functionalization with N3 allowed concluding that neither loading nor functionalization  
 255 significantly modified the external surface suggesting a complete encapsulation of the vitamins

256 in the support. After loading with FO and FA and functionalization with polyamines, the MCM-  
257 41 mesostructure was also confirmed by the TEM images (Figure 2). The particles are irregular  
258 in shape, with a particle size of  $708\pm 102$ ,  $779\pm 131$  and  $752\pm 89$  nm for bare calcined MCM-41,  
259 **E-FO** and **E-FA**, respectively. Moreover, the typical channels of the mesoporous matrix are  
260 seen as alternate black and white stripes, or as a pseudo hexagonal array of pore voids.  
261



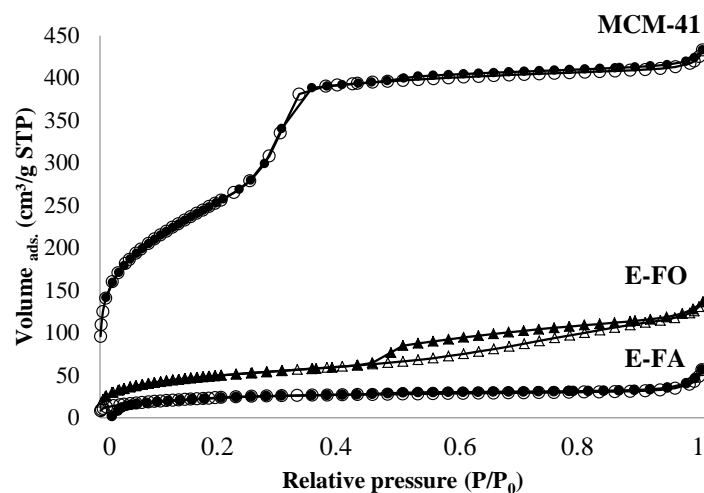
262  
263 **Figure 2.** Characterization of particle size, particle shape and pore system by means of FESEM  
264 (A-C) and TEM (D-F). (A, D) calcined MCM-41; (B, E) **E-FO** and (C, F) **E-FA**.

265

266 The  $N_2$  adsorption-desorption isotherms of the starting MCM-41 calcined material, and of the  
267 loaded and functionalized solids, can be found in Figure 3. The MCM-41 material curve shows  
268 a well-defined adsorption step at intermediate  $P/P_0$  values, which corresponds to a type IV  
269 isotherm that is typical of mesoporous materials. The isotherms of **E-FO** and **E-FA** show  
270 mesoporous system curves with partially filled mesopores. The entrapment of FA in mesopores

271 seemed more efficient than FO, and in exactly the same way as the release studies, which  
 272 showed greater FA release.

273



274

275 **Figure 3.** Nitrogen adsorption-desorption isotherms for MCM-41 mesoporous material, **E-FO**  
 276 and **E-FA** materials.

277

278 Table 1 displays the change in the structural properties of the starting material after the loading  
 279 and functionalization processes. The values of specific surface, pore volume and pore size in **E-**  
 280 **FO** and **E-FA** indicate significant pore blocking and the subsequent absence of appreciable  
 281 mesoporosity due to the incorporation of vitamins into the mesopores, as well as a reduced  
 282 surface area because of the attachment of amine gates.

283

284 **Table 1.** Analytical and structural parameters from N<sub>2</sub> adsorption-desorption isotherms.

	SBET (m <sup>2</sup> /g)	Pore volume (cm <sup>3</sup> /g)	Pore size (nm)
MCM-41	932.61	0.46	2.72
<b>E-FA</b>	88.75	0.06	-
<b>E-FO</b>	183.39	0.19	-

285

286 Functionalization efficiency was verified by the zeta potential determinations of bare MCM-41,  
287 MCM-41 loaded with FO/FA, and MCM-41 loaded and functionalized with amines. Bare  
288 particles revealed an average negative zeta potential of -31 mV. After loading particles with  
289 FO/FA, the zeta potential changed slightly to values of ca. -30 mV. Yet after functionalization  
290 with N3, the zeta potential changed positively to values of ca. 50 mV for **E-FO** and **E-FA**,  
291 which confirmed the attachment of amines to the particle surface.

292

### 293 *Release studies*

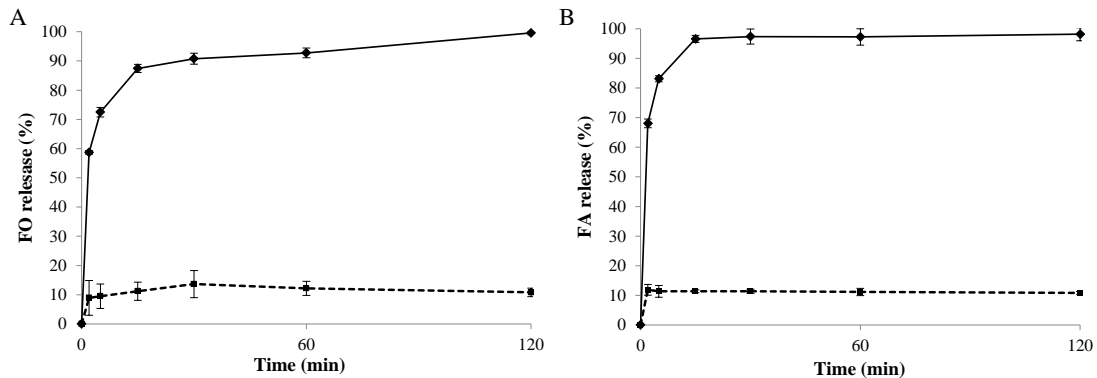
294 The release studies confirmed the mechanism of the amine-gated MSPs to modulate vitamin  
295 release according to the pH of the medium. The pH-dependent releases of the encapsulated FO  
296 and FA are shown in Figure 4. Gates were largely closed at pH 2 and the vitamin was barely  
297 detected, which confirmed that vitamin delivery was hindered by the combination of the low  
298 solubility of the vitamins under acidic conditions, the effect of the amines anchored to the  
299 surface of MSPs and the polyammonium groups-anionic species interaction. At an acidic pH,  
300 polyamines were transformed into polyammonium groups, which adopted a rigid-like  
301 conformation due to Coulombic repulsions and coordinate anions (phosphates present in  
302 solution), which blocked pores and avoided vitamin release [8,34].

303 In contrast, FO and FA showed a progressive release among time at pH 7.5. After 2 h,  
304 maximum vitamin releases were obtained at pH 7.5, with  $41.9 \pm 7.2$  mg FO/g solid for **E-FO** and  
305  $84.3 \pm 7.8$  mg FA/g solid for **E-FA**. The maximum released amounts were used to calculate the  
306 equivalent amount of solids needed in the stability assays to make a comparison between the  
307 free and encapsulated FO and FA. A sustained release was produced because polyamines were  
308 less protonated at a neutral pH, and the Coulombic repulsion between them and the affinity for  
309 anions significantly reduced. These effects, along with increased vitamin solubility, allowed the  
310 delivery of FO and FA from pores. This pH-responsive delivery effect has been suggested to be



311 suitable for releasing vitamins in the gastrointestinal tract (closed gates in the stomach, opened  
312 gates in the intestine) [12]. Encapsulation was also expected to protect vitamins from  
313 degradation after exposure to environmental agents (*vide infra*).

314



315

316 **Figure 4.** Release profiles of vitamin from the pores of **E-FO** (A) and **E-FA** (B) in PBS at pH  
317 2.0 (dotted lines) and pH 7.5 (solid lines). Values are Means  $\pm$  SD, n = 3.

318

### 319 *Stability assays*

320 The influence of diverse external agents related to food processing or storage, such as pH,  
321 temperature and light, on the stability of free 5-formyltetrahydrofolate and folic acid (**F-FO** and  
322 **F-FA**) and the corresponding entrapped vitamins (**E-FO** and **E-FA**) was studied.

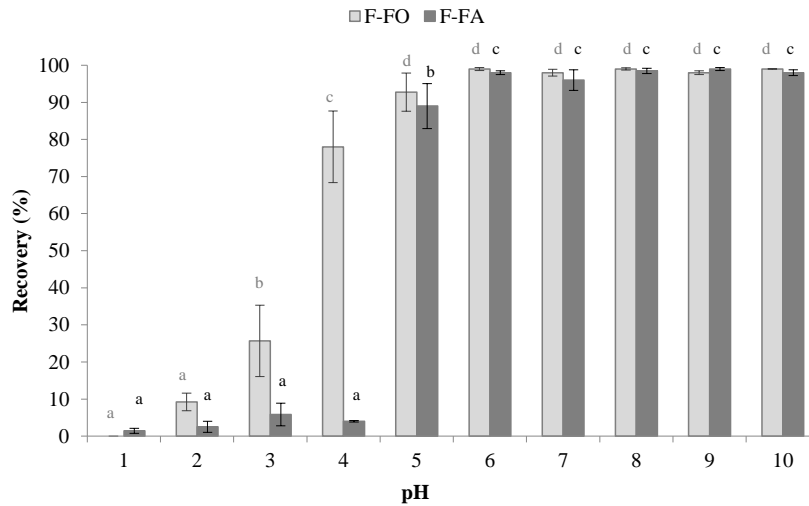
323

### 324 pH

325 The study of the effect of pH on the stability of FO and FA at different pH values was  
326 conducted in two steps. In the first step, water solutions of free FA and FO were adjusted to  
327 different pHs and stirred for 1 h before being analyzed by HPLC. Figure 5 shows the detected  
328 concentrations of FA and FO (in terms of recovery) in the aqueous solutions under all the study  
329 conditions (i.e. pH 1-10). As observed, recoveries reached values of ca. 100% from pH 5 to 10,  
330 which confirms the stability of both molecules at these pH values. Below this pH range, the

331 concentrations of both molecules lowered. The FO concentration in water gradually lowered  
332 from ca. pH 4 to pH 1, whereas this effect was observed for FA below ca. pH 3.

333



334

335 **Figure 5. F-FO and F-FA recoveries at different pH values.** Different letters in the bars indicate  
336 statistically significant differences ( $p < 0.05$ ) from levels of pH. Values are Means  $\pm$  SD,  $n = 3$ .

337

338 The drop in the recovery of FO and FA at an acidic pH can be explained by three phenomena:

339 (a) loss of solubility; (b) interconversion into other derivatives; (c) oxidative degradation.

340 Folates are slightly soluble at an acidic pH, and are highly soluble under neutral/basic  
341 conditions due to the protonation and deprotonation of molecules in aqueous environments [32].

342 In addition to oxidative degradation, FO can nonenzymatically interconvert with 5,10-  
343 methenyltetrahydrofolate through changes in pH, temperature and oxygen [35]. 5,10-

344 methenyltetrahydrofolate is formed by the acidification of 5-formyltetrahydrofolate because one  
345 molecule of water is lost (dehydration), which leads to the cyclization of the molecule in a

346 reversible manner. The equilibrium gradually shifts toward 5,10-methenyltetrahydrofolate, and  
347 its formation becomes faster the lower pH becomes [36].

348 Bearing all these factors in mind, which could explain loss of recovery at an acidic pH, in a

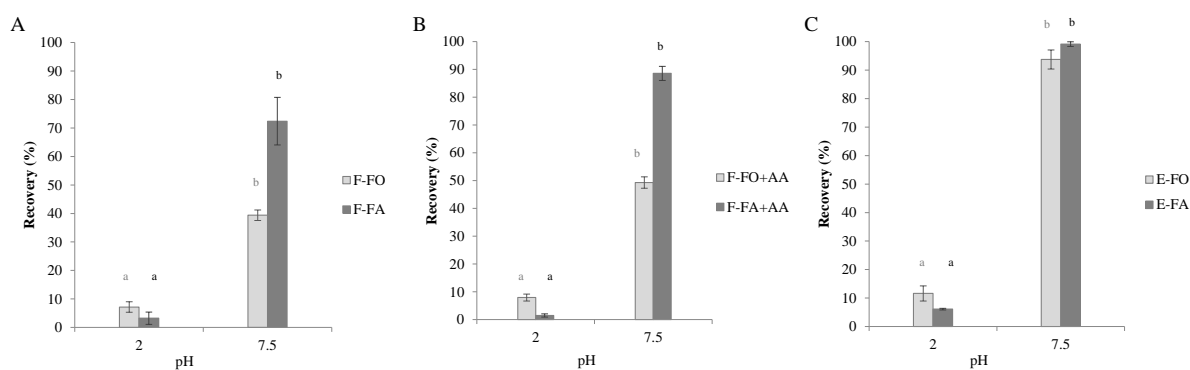
349 second step, experiments were run to determine the amount of vitamins lost at an acidic pH. In

350 them aqueous solutions of free FA and FO were adjusted to pH 2, stirred for 1 h and then pH  
351 was adjusted to 7.5 before the HPLC analysis. The percentage of vitamins determined at pH 2  
352 and after neutralization to pH 7.5 is shown in Fig. 6A, where almost no recovery of vitamins is  
353 detected after stirring them for 1 h at pH 2 (which agrees with Fig. 5). However, the vitamins  
354 reappeared with a percentage of ca. 40% for **F-FO** and of ca. 72% for **F-FA** after neutralizing  
355 the pH. **F-FA** gave higher values after adjusting to the neutral value than free FO, but none of  
356 them achieved complete recovery. Some studies have revealed that FA might not be soluble at a  
357 low pH [12], but other authors have reported its degradation at an acidic pH [29]. The natural  
358 folate showed less stability at an acidic pH than FA, probably due to degradation [36].

359 Similar studies to those shown above have been conducted in the presence of ascorbic acid  
360 (AA), and their results are shown in Fig. 6B. This antioxidant was included because previous  
361 studies have demonstrated that its incorporation increases folate stability as oxidation reactions  
362 are prevented [35]. As for the vitamins supplemented with AA, the **F-FO** concentration only  
363 slightly increased (from 40% in the absence of AA to 50%). However, AA remarkably  
364 influenced the stability of **F-FA**, and revealed a recovery of almost 90%.

365 Lastly, the effect of pH changes on the encapsulated vitamins was studied in order to prove the  
366 protective function of the support. As seen in Fig. 6C, minor vitamin recoveries took place at  
367 pH 2. When pH was adjusted to 7.5, **E-FO** and **E-FA** were almost fully detectable with a  
368 recovery of 94% and 99%, respectively. Both encapsulated vitamins were highly preserved in  
369 the acidic environment by the pH-responsive gated material. As a result, the highly protective  
370 function of the MSPs functionalized with amines at a low pH was evidenced by both vitamins.  
371 This approach better improved the stability of vitamins than the strategy reported to enhance the  
372 stability of natural folates (addition of antioxidants). Neither the free form nor the vitamins  
373 supplemented with AA were as stable at an acidic pH as they were inside the pores of MSPs.

374



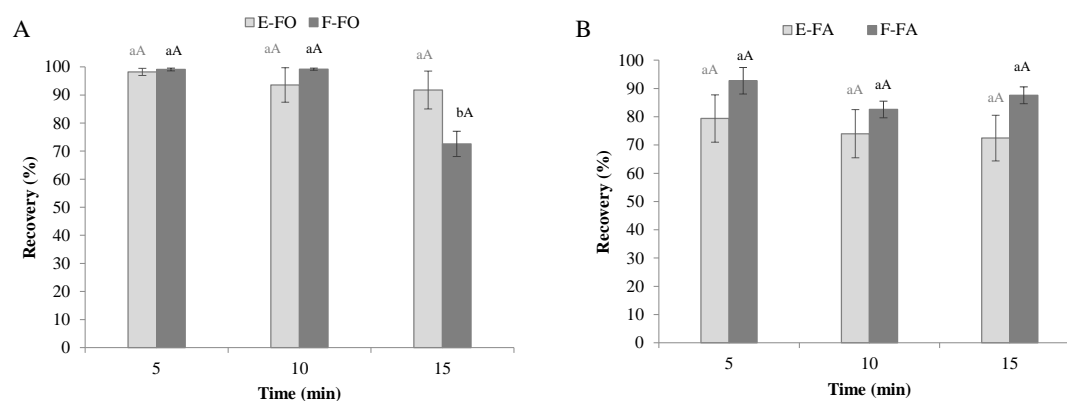
375

376 **Figure 6.** FO and FA recoveries after pH changes for free vitamins (A), free vitamins in  
 377 presence of ascorbic acid (B) and encapsulated vitamins (C). Different letters in the bars  
 378 indicate statistically significant differences ( $p < 0.05$ ) from levels of pH. Values are Means  $\pm$  SD,  
 379  $n = 3$ .

380

381 Temperature

382 Previous experiments conducted with vitamins dissolved in PBS at temperatures below 100 °C  
 383 had no impact on their stability (data not shown). In order to investigate the impact of higher  
 384 temperatures on the vitamins, a study was carried out by simulating sterilization conditions (121  
 385 °C, 1 bar) at different times. The temperature assays performed in the autoclave are presented in  
 386 Figure 7. The results showed that encapsulated folate did not significantly reduce vitamin  
 387 content at various exposure times. In contrast, **F-FO** revealed a significant loss of ca. 27% after  
 388 15 min, probably due to the formation of interconversion products [30]. With FA, no significant  
 389 differences were obtained for both the **E-FA** and **F-FA** results. These results are in accordance  
 390 with previous studies that have reported good FA stability after thermal exposure in the solid  
 391 state and with dissolution [24,26]. Synthetic vitamin has been suggested to be the most stable  
 392 type in the folate group because of its oxidized p-teridin ring [37]. The thermostability of FA  
 393 and FO has been previously reported as being similar at a neutral pH [23]. The results obtained  
 394 with the encapsulated vitamins revealed that entrapped FO could bear up under thermal pressure  
 395 exposure and greater stability after proving FO encapsulation. However, encapsulated FA could  
 396 also resist the burden of thermal pressure as well as its free form.



397

398 **Figure 7.** Influence of temperature exposure on the stability of encapsulated (E-) and free (F-)  
 399 FO (A) and FA (B) vitamins. Different letters in the bars indicate statistically significant  
 400 differences ( $p < 0.05$ ) from levels of time exposure (small letters) and differences between the  
 401 encapsulated FO/FA or in their free form (capital letters). Values are Means  $\pm$  SD,  $n = 3$ .

402

403 Light

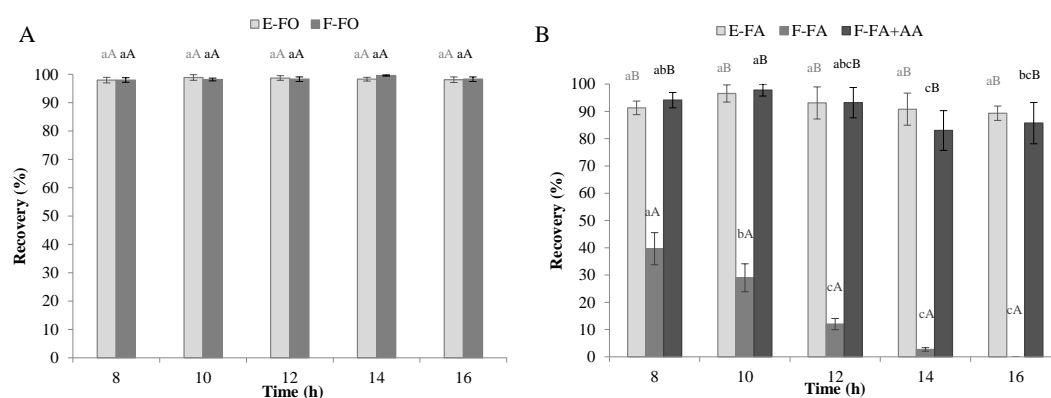
404 Previous articles, which have reported the influence of various light sources on the degradation  
 405 of synthetic FA, have investigated the impact of visible and UV light on both vitamins [25].  
 406 Preliminary experiments revealed no or very little degradation after 6 h (data not shown).  
 407 Therefore, assays were carried out from 8 h to 16 h.

408 Visible light assays were conducted with a lamp, which generated visible light with an intensity  
 409 ca. 8 mW/cm<sup>2</sup>. The results obtained from visible light experiments are presented in Figure 8.  
 410 The good stability of FO (Fig. 8A) after light exposure was evidenced. Neither **F-FO** nor **E-FO**  
 411 showed degradation during visible light exposure.

412 With FA (Fig. 8B), **F-FA** showed considerable loss after 8 h of visible light exposure, with a  
 413 remaining averaged amount of 40%. Gradual reduction of **F-FA** was detected up to 12 h  
 414 irradiation, with a low value of 12%, and total degradation occurred after 16 h with an average  
 415 remaining amount of 3%. Conversely, **E-FA** was well-protected by the functionalized support  
 416 and a non-significant decrease was detected.

417 Given **F-FA**'s tendency to be degraded by light in solution, the effect of antioxidant AA was  
 418 also evaluated as a strategy to improve stability and to confirm the mechanism of degradation  
 419 (i.e. oxidation). Free FA dissolution supplemented with AA showed marginal fluctuation after  
 420 16 h of visible light exposure, but appeared to stabilize FA substantially in the same way as the  
 421 encapsulation system.

422



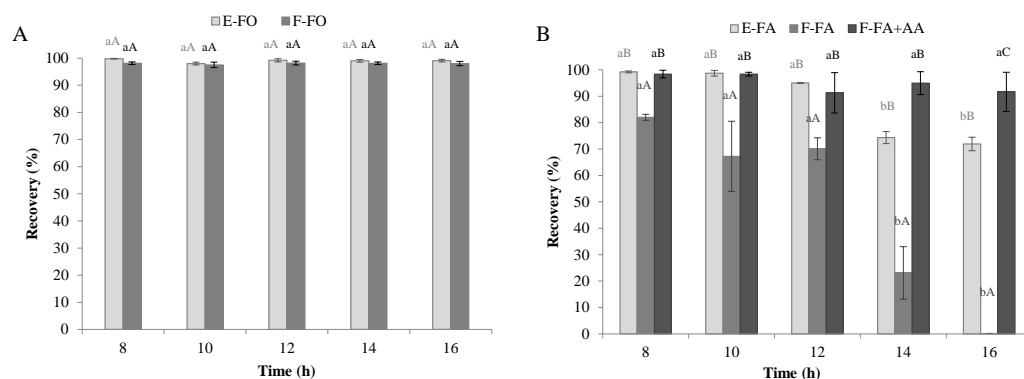
423

424 **Figure 8.** Influence of visible light exposure on the stability of encapsulated (E-) and free (F-)  
 425 FO (A) and FA (B) in presence or not of ascorbic acid. Different letters in the bars indicate  
 426 statistically significant differences ( $p < 0.05$ ) from levels of visible light exposure (small letters)  
 427 and differences between the encapsulated FO/FA or in their free form (capital letters). Values  
 428 are Means  $\pm$  SD,  $n = 3$ .

429

430 Figure 9 shows the free and encapsulated FO and FA recoveries after UV light exposure with an  
 431 estimated intensity of 4 mW/cm<sup>2</sup>. The results revealed that **E-FO** and **F-FO** exhibited good  
 432 stability under UV light (Fig. 9A). Hence natural folate was highly stable in both the free and  
 433 encapsulated forms. FA stability was affected by UV light (Fig. 9B) and **F-FA** showed losses  
 434 after 8 h of UV exposure. As in the visible light assays, the impact of AA enhanced the good  
 435 stability of the synthetic vitamin and demonstrated more effective protection than the  
 436 mesoporous system after 16 h of light exposure.

437



438

439 **Figure 9.** Influence of UV light exposure on the stability of encapsulated (E-) and free (F-) FO  
 440 (A) and FA (B) in presence or not of ascorbic acid. Different letters in the bars indicate  
 441 statistically significant differences ( $p < 0.05$ ) from levels of visible light exposure (small letters)  
 442 and differences between the encapsulated FO/FA or in their free form (capital letters). Values  
 443 are Means  $\pm$  SD,  $n = 3$ .

444

445 Summing up the two light experiments, it was noted that FO did not degrade under either visible  
 446 light exposure or UV light stress, but FA was susceptible to visible and UV light exposure.  
 447 However, the mesoporous system was able to efficiently protect the vitamin and stability was  
 448 greatly enhanced.

449 This study simulated indirect light-induced stress and, therefore, intensities until degradation  
 450 occurred. We were unable to compare these results with previous studies as they all used direct  
 451 exposures [25,27,28]. Even though it has been suggested to be considerably more stable at pH  
 452 7.5 than in acidic media [29], we detected complete oxidative degradation after 16 h of visible  
 453 light and UV exposure. Akhtar et al. [25] suggested the mechanism of this oxidative  
 454 degradation as they hinted at degradation being produced in the C9-N10 position. Aqueous  
 455 solution can form various radiolytic products, which initiate oxidative dehydrogenation and lead  
 456 to an enamine compound. This intermediate form is highly susceptible in acidic media and can  
 457 undergo fast degradation. In alkaline media, this decomposition process has been proposed to  
 458 take place more slowly, but it also led to irreversible cleavage between C9-N10 bonding after 16

459 h of light exposure. This caused final decay in the separation of the p-teridin moiety from p-  
460 aminobenzoylglutamate [25,27].

461 Encapsulated FA, which was solved in PBS (pH 2) in the stability assays, showed good  
462 stability. Not even the degradation-favorable acidic surrounding could hardly affect **E-FA**  
463 compared to the free form. Apart from successful FA improvement through encapsulation,  
464 antioxidant AA enhanced stability in the same way. Compared to the well-known protective  
465 mechanism of antioxidants [38], the protective function of the mesoporous support has not been  
466 reported to date, and the stabilizing mechanism remains unclear. Although it is fully accepted  
467 that MSPs show very little absorption within the visible and ultraviolet range [39,40], enhanced  
468 encapsulated vitamin recovery was confirmed herein. The possible role of MSPs as a stability  
469 enhancer could hinder access to weak points (C9-N10 bonding) by entrapping the vitamin in  
470 mesopores in such a way that conformational transformations of the molecule are avoided.

471

## 472 **Conclusions**

473 The successful entrapment of natural FO and synthetic FA in pH-responsive MSPs and the  
474 controlled release of the compounds that mimic the gastrointestinal tract were accomplished  
475 herein. The ability of MSPs to protect vitamins after environmental degradation was clearly  
476 evidenced. The stability assays revealed that encapsulated FO and FA were effectively protected  
477 against degradation at an acidic pH compared to their free form. The sterilization studies  
478 showed that encapsulation allowed vitamins to withstand thermal exposure and enhanced their  
479 stability. The results obtained after exposure to visible and UV light displayed good stability for  
480 free FO, which was not influenced by encapsulation, but improved FA stability after entrapment  
481 in MSPs. When considering the protective effect of MSPs against external agents and acidic  
482 stomach conditions, and progressive delivery with time under the intestinal conditions, the FO-  
483 and FA-loaded supports proposed herein can be considered promising potential systems as  
484 supplements for food systems.

485



486 **Acknowledgements**

487 Authors gratefully acknowledge the financial support from the Ministerio de Economía y  
488 Competitividad (Projects AGL2012-39597-C02-01, AGL2012-39597-C02-02 and MAT2012-  
489 38429-C04-01), FEDER founding and the Generalitat Valenciana (Project  
490 PROMETEOII/2014/047). M.R.R. and E.P.E. are grateful to the Ministerio de Ciencia e  
491 Innovación for their grants (AP2010-4369 and AP2008-0620).

492

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