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Additional Information

1 **The effects of experimental thinning on throughfall and stemflow: a contribution**  
2 **towards hydrology-oriented silviculture in Aleppo pine plantations**

3

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14 **Abstract**

15 Rainfall interception by the forest cover causes an important reduction in bulk rainfall in  
16 semiarid climates, such as the Mediterranean. Unmanaged, dense pine stands in this  
17 area are expected to have an important impact on water resources. This paper studies the  
18 effect of forest management on the partitioning of rainfall into throughfall and stemflow  
19 in an Aleppo pine plantation in eastern Spain. Three thinning-intensity treatments were  
20 compared with unmanaged stands that served as the control. The results revealed a very  
21 low throughfall in the control treatments during the study period of 55.9 %, whereas  
22 throughfall increased significantly after thinning to 83.8, 67.7 and 61.3 % of the bulk  
23 rainfall for high-, moderate- and low-intensity treatments, respectively. Total  
24 throughfall was negatively and linearly related to the tree density, forest cover, basal  
25 area and leaf area index. In contrast, weekly throughfall was modelled by considering  
26 these variables together with bulk rainfall in a multiple exponential expression; the  
27 weekly throughfall  $R^2$  values (corrected Pearson coefficient) were above 0.9. These  
28 models would facilitate the implementation of hydrology-oriented silviculture via a  
29 more accurate prediction of the impacts of thinning on throughfall in this type of forest.

30

31 **Keywords:** *Pinus halepensis* Mill., forest management, rainfall partitioning,  
32 interception, hydrology

33

34 **1. Introduction**

35 Interception is one of the major components of the water cycle in forest ecosystems and  
36 has been the central topic of several studies and reviews in forest hydrology in recent  
37 decades (Bosch and Hewlett, 1982; Brown et al., 2005; Llorens and Domingo, 2007).

38 Interception losses may account for, on average, 8 % to 60 % of bulk rainfall depending  
39 on the forest type and structure and on climatic conditions (David et al., 2011). In

40 Mediterranean climates, a mean interception value of approximately 20 % has been  
41 determined in an extensive review of the topic (Llorens and Domingo, 2007), although  
42 values of approximately 30 % were reported in the most semiarid conditions. In these  
43 drier areas, annual water consumption in forests can be nearly equal to the total annual  
44 rainfall (Schiller and Cohen, 1998), with transpiration leading interception in total forest  
45 evapotranspiration (David et al., 2011).

46 Special attention needs to be paid to the forest-water relationships in the Mediterranean  
47 due to the natural scarcity of water, on one hand, and the important values associated  
48 with forests in a region where physiographic, climatic, geological and historical land-  
49 use factors have caused soil erosion and degradation, on the other hand. In this context,  
50 Aleppo pine (*Pinus halepensis* Mill.) forests provide landscape quality, soil protection  
51 and hydrological cycle stabilisation over approximately  $3.5 \times 10^5$  ha in the  
52 Mediterranean basin (Fady et al., 2003; Zavala et al., 2000), being one of the tree  
53 species best adapted to the most arid habitat in the region. The suitability of Aleppo  
54 pine trees to these climates has encouraged reforestation efforts utilising this species  
55 since the nineteenth century, accounting for approximately  $5 \times 10^5$  ha of reforested land  
56 in Spain (Pemán García and Vadell Guiral, 2009). However, most of the Aleppo pine  
57 stands growing as part of these reforestation programmes suffer from a lack of  
58 management, which is a result of the absence of sufficient economic incentives from  
59 their conventional forest products. This management vacuum leads to high-density  
60 forest stands that can exacerbate rainfall interception losses and contribute to a  
61 substantial decrease of river flows in forested watersheds (Gallart and Llorens, 2003).

62 The balance between blue and green water (the total water in liquid state and the water  
63 used by vegetation in a particular ecosystem) in forest ecosystems is receiving increased  
64 attention as a consequence of expected changes in the global climate (Biro et al., 2011)

65 and the subsequent mitigation/adaptation measures that need to be implemented  
66 (Ganatsios et al., 2010; Planisek et al., 2011). Studying the effects of vegetation  
67 management on the water cycle should, thus, become a priority for policy makers  
68 because adaptive forest management policies in Mediterranean ecosystems should have  
69 a strong hydrological foundation. Therefore, it is necessary to develop appropriate  
70 quantitative tools.

71 The quantification of hydrological processes in forests is an important component of  
72 studies aiming to increase water yields (Bosch and Hewlett, 1982; Stednick, 1996)  
73 because a reduction in forest cover increases water yield due to the subsequent  
74 reduction in evapotranspiration (Brooks et al., 2003; Hibbert, 1983; Zhang et al., 2001).  
75 This general rule, however, may have exceptions depending on the specific conditions  
76 of the forested watershed in question (Andreassian, 2004; Brooks et al., 2003; Cosandey  
77 et al., 2005; Lewis et al., 2000). Forests growing in dry areas have been considered less  
78 suitable for water-yield silviculture (Hibbert, 1985, in Brooks et al., 2003); with  
79 examples showing that the water increase is after used by residual trees and understory  
80 plants or easily evaporated from soil surface (Andreassian, 2004). However, there is a  
81 widely recognised need for an adaptive water-saving silviculture in these forests (Biot  
82 et al., 2011; Breda 2008). Several studies have been conducted at the stand level in the  
83 Mediterranean basin of Europe, although very few have focused on the effects of  
84 thinning on rainfall partitioning (Ganatsios et al., 2010; Limousin et al., 2008; Llorens  
85 and Domingo, 2007), with a specific lack of knowledge in *Pinus halepensis* Mill and in  
86 poorly managed plantations.

87 As an alternative to directly measuring the components of the water cycle and using  
88 empirical models based on these measurements, interception can be estimated from  
89 conceptual physically based models. The Rutter and Gash models, in both their original

90 and improved versions, are the most widely used for the purpose of estimating  
91 interception (see the review by Muzylo et al., 2009). One of the main practical  
92 drawbacks of using these models is the lack of data with sufficient temporal definition  
93 and the need for a large number of parameters to apply the models. These limitations are  
94 even greater when the models are applied for practical purposes, such as those related to  
95 forest management.

96 Thus, two types of information are needed to develop and apply a hydrology-based  
97 silviculture aimed at the manipulation and quantification of the water cycle in forests.  
98 Additional studies at the stand scale (management unit) are required to clarify the  
99 hydrological behaviour of Mediterranean forest structures and their possible  
100 modification after vegetation treatments are applied (Ganatsios et al., 2010; Grünzweig  
101 et al., 2003, in Shachnovich et al., 2008). These studies should be applicable beyond  
102 their specific locations through the fitting of their empirically derived results with  
103 physical models and the consideration of easily measured forest structure variables and  
104 parameters.

105 The aim of this study is to investigate the relationships between rainfall partitioning into  
106 throughfall and stemflow and the management of a typical Mediterranean *Pinus*  
107 *halepensis* plantation with high tree density and low management input. This led us to  
108 establish the following objectives: a) to quantify the effects of forest thinning at  
109 different intensities on the partitioning of rainfall and b) to develop and contrast simple  
110 modelling tools for addressing rainfall partitioning as a function of forest management  
111 to facilitate the implementation of hydrology-based silviculture.

112

## 113 **2. Materials and methods**

### 114 **2.1. The study site**

115 The study area, *Monte de La Hunda y Palomeras* (39° 05' 30" N, 1° 12' 30" W), is  
116 located in the southwest of Valencia Province, Spain, at 950 m a.s.l. The climate is  
117 Mediterranean with a mean annual rainfall of 466 mm and a mean annual temperature  
118 of 13.7°C (1960-2007). The annual potential evapotranspiration is 749 mm. Soils have a  
119 high concentration of carbonate (26-38 %, pH 7.7-8.2), are approximately 50-60 cm  
120 deep and have a sandy-silty loam texture. The study site, like many Mediterranean areas  
121 in eastern Spain, is characterised by large areas of *Pinus halepensis* originating from the  
122 national reforestation program developed during the 1950s and 1960s. Presently, several  
123 of these forests are almost monospecific ecosystems with scant presence of other tree  
124 species either in forest gaps or as understory species (e.g., *Quercus ilex* sbsp. *ballota*).

## 125 **2.2. Experimental design and thinning treatments**

126 The experimental design was randomised and included 3 blocks with the same  
127 orientation (I, II and III) and area (0.36 ha) that were less than 200 m apart. All of the  
128 blocks were on a slope of less than 5 % and were laid out in a homogeneous Aleppo  
129 pine plantation established during the late 1940s. No significant evidence of  
130 silvicultural intervention has been observed since the 1940s, corroborated by the  
131 personnel at the nearby forest nursery. Each block was divided into four experimental  
132 plots with equal areas of 30 × 30 m. One plot in each block was not thinned (control, C)  
133 and the other plots were thinned at three different intensities: high (H), moderate (M)  
134 and low (L) (Figure 1). Thinning was conducted in February 2008 following two  
135 criteria: to eliminate the less developed trees and to achieve a relatively homogeneous  
136 tree distribution (based on forest cover) in the plots. Thinning was conducted and  
137 supervised by the province of Valencia's publically owned forest service; timber and  
138 debris were removed and piled outside the plots.

139 Most of the trees from the moderately thinned plot (M) in Block I were felled by  
140 sustained 140 km/h winds of the January 2009 Klaus cyclone; this plot was not  
141 considered further.

### 142 ***2.3. Forest structure characterisation***

143 Plots were inventoried in March 2009 to determine the following forest structure  
144 variables: Leaf Area Index (LAI,  $\text{m}^2 \text{m}^{-2}$ ), forest cover (FC, %), basal area (BA,  $\text{m}^2 \text{ha}^{-1}$ )  
145 and tree density (D, trees per ha) (Table 1). All measurements were made within areas  
146 at least 2 m away from the plot limits to avoid edge effects.

147 LAI was estimated with a LAI-2000 sensor (Li-Cor, 1991) that recorded 6 “B” type  
148 measurements per plot along 2 perpendicular axes, 3 per axis. 4 “A” type measurements  
149 were made in nearby clearings, 2 for each axis. Readings were taken under direct solar  
150 radiation (Molina and Del Campo, 2011) with a  $270^\circ$  view cap and with the sensor  
151 always shaded to avoid light dispersions affecting sensor readings (Li-Cor, 1991). LAI  
152 estimation was performed by taking into account only the fourth ring by means of C-  
153 2000 software, following the protocols of Leblanc and Chen (2001). Forest cover was  
154 measured in all of the plots with a vertical densitometer (GRS, USA) with 50 readings  
155 per plot in a  $4 \times 4$  m grid. Basal area and tree density were estimated by measuring tree  
156 diameters and counting all trees in the plots (Molina and Del Campo, 2011).

### 157 ***2.4. Rainfall and rainfall partitioning***

158 Bulk rainfall (R) was measured in an open area 150 m away from the experimental plots  
159 by means of a tipping-bucket rain gauge (Davis, USA) programmed to measure at 1-  
160 minute intervals. Throughfall was measured at 6- to 12-day intervals (considered as  
161 “weekly” periods in further analyses) from April 2008 to June 2008 (4 weekly intervals)  
162 and from March 2009 to February 2010 (14 weekly intervals). 12 throughfall devices per  
163 treatment (4 per block) were systematically arranged along both diagonals of the square



164 plot and maintained in the same positions throughout the study. The devices used were  
165 PVC gutters 400 cm long  $\times$  13.17 cm wide, set at 50 cm above the soil and sloping at 5°  
166 towards a 25-L plastic container, providing a total collecting area per treatment of 6.3  
167 m<sup>2</sup>, considered to be a suitable area to obtain an estimate of the mean with a 95 %  
168 probability (Rodrigo and Avila, 2001).

169 Stemflow was also measured at 6- to 12-day intervals from March 2009 to September  
170 2010. In this case, we decided to keep measuring until September 2010 in order to study  
171 stemflow in the treatments during a period with similar accumulated rainfall than that of  
172 throughfall. Thus, interception was assessed from March 2009 to February 2010. In  
173 each treatment (Block I; Block II for the moderate-intensity treatment), 4 diameter  
174 classes were defined after thinning, and a representative sample tree with a diameter  
175 close to mean was selected for each (n = 4, N = 16). The bark on each sample tree was  
176 scraped off to smooth the surface in preparation for the fitting of a plastic collar with  
177 silicon at a height of 1.3 m. After the plastic collars were attached, plastic tubes were  
178 inserted into small holes located in the lowest part of the collars to collect the water in  
179 15-L containers. Extrapolation to the entire treatment (mm) was conducted according to  
180 the tree density for each treatment.

### 181 ***2.5. Statistical analysis***

182 Differences in the accumulated values of throughfall and stemflow among the  
183 treatments were analysed with ANOVA (Steel and Torrie, 1988) with treatment and  
184 block considered as fixed factors (no block in the case of stemflow) and the throughfall  
185 data or stemflow data as dependent variables. In every case, the data were examined to  
186 ensure normality using the Kolmogorov-Smirnoff test and the homogeneity of variance  
187 using the Levene test. When these assumptions were violated, the variables were  
188 transformed with power functions to achieve homoscedasticity. When ANOVA

189 indicated significant differences between treatments, the Tukey post-hoc test was  
190 selected for the comparison of multiple means.  
191 Differences in mean weekly values of throughfall and stemflow (n =18 and 25,  
192 respectively) among treatments were tested by ANOVA or by the Kruskal–Wallis test  
193 based on the chi-squared statistic when ANOVA assumptions were violated. Tamhane’s  
194 T2 test was used to compare multiple means for the Kruskal–Wallis results.  
195 Relationships between throughfall (dependent) and forest structure (independent) were  
196 investigated through linear and exponential regression models. In the first case, simple  
197 models were used (with forest structure as the single independent variable), whereas in  
198 the second case, bulk rainfall was added as an independent variable (a multiple  
199 regression of two independent variables) to achieve a greater fit. In all of the models,  
200 the residuals were examined for normality and independence (Steel and Torrie, 1988). A  
201 significance level of  $P \leq 0.05$  was used for all analyses. Data were analysed with SPSS©  
202 16.0.

203

### 204 **3. Results**

#### 205 ***3.1. Rainfall partitioning in the different treatments***

206 The bulk rainfall during the 18 weekly intervals of throughfall measurements was 310.4  
207 mm whereas it reached a total of 340 mm during the 25 intervals of stemflow. The  
208 average depth of gross rainfall per weekly period during throughfall measurements was  
209 18.2 mm ( $\pm 11.4$ ), with a maximum of 46 mm and a minimum of 1 mm. In the case of  
210 stemflow, the average was 13.4 mm ( $\pm 8.2$ ), with maximum and minimum values of 36.8  
211 and 0.4 mm respectively.

212 Thinning had a clear effect on the rainfall partitioning in the stands studied here. The  
213 total accumulated values of throughfall increased gradually with thinning intensity  
214 (Table 2). The control treatment was found to be significantly different from both the

215 high- and moderate-intensity treatments and exhibited higher throughfall values of 28 %  
216 and 12 %, respectively. In contrast, the low-intensity treatment was not significantly  
217 different from the control, indicating that a mean reduction in basal area of  $9.3 \text{ m}^2 \text{ ha}^{-1}$   
218 ( $0.76 \text{ m}^{-2} \text{ m}^{-2}$  in terms of LAI; Table 1) was insufficient to increase the rainfall reaching  
219 the soil surface via throughfall. The differences between the moderate- and low-  
220 intensity thinning treatments were not significant (Table 2). In the case of the total  
221 accumulated values for stemflow, the most remarkable result was the relatively low  
222 value found in comparison to throughfall (Table 2). In contrast to throughfall, there was  
223 a progressive increase of stemflow with tree density, accounting for a mean difference  
224 of 1.4 %, or 4.7 mm, between the unmanaged forest and the high-intensity thinning  
225 treatment. Subsequent statistical analyses identified all of the thinning treatments as  
226 being different from the control.

227 The throughfall differences among the treatments during each of the different weekly  
228 periods paralleled the pattern described for the accumulated values during the entire  
229 study period, with the ranking  $H > L > M > C$  maintained in most of the cases (Figure 2).

230 The throughfall in the high-intensity treatment (H) was found to be the highest in all the  
231 periods analysed. In contrast, control treatments had the lowest values in most of the  
232 periods, except for 2 weekly periods when values in the low-intensity thinning treatment  
233 (L) were slightly lower. In 15 out of the 18 weekly periods, throughfall values were  
234 found to be different between the control and the high-intensity thinning treatments. In  
235 contrast, control and low-intensity thinning treatments were not found to be different  
236 during any weekly period, indicating that specific rainfall characteristics and  
237 environmental conditions did not allow this treatment to be differentiated. The  
238 throughfall in the control treatment was significantly lower than in the moderate-  
239 intensity treatment in 5 of the weekly periods. In summary, the means of the C, L, M

240 and H treatments, with their corresponding standard deviations, expressed as percents of  
241 bulk rainfall, were  $56.0 \pm 16.7 \%$ ,  $61.5 \pm 19 \%$ ,  $69.8 \pm 18.01 \%$  and  $86.2 \pm 18.5 \%$ ,  
242 respectively. The significant differences between the control and the thinning intensity  
243 treatments for stemflow measured during the weekly periods were related to thinning  
244 intensity (Figure 3). The control and the high-intensity thinning treatments were found  
245 to be different in 10 out of the 25 weekly periods, whereas moderate- and low-intensity  
246 thinning treatments were differentiated in 9 and 6 weekly periods, respectively. In  
247 summary, all of the thinned treatment values were different than the control values  
248 during 5 weekly periods.

249 Finally, the means and the standard deviation values of interception during the 14  
250 weekly periods in 2009 (bulk rainfall = 179 mm) were  $47.4 \pm 13.9 \%$  for the control and  
251 decreased with increasing intensity of thinning to  $37.4 \pm 13.8 \%$ ,  $40.6 \pm 13.2 \%$  and  $16.8$   
252  $\pm 12.9 \%$  for L, M and H, respectively.

253

### 254 ***3.2. Throughfall as a function of forest structure and bulk rainfall***

255 Linear regressions between the data for accumulated throughfall (%) for the entire study  
256 period in the plots and the four forest-structure variables considered (Leaf Area Index,  
257 LAI; forest cover, FC; tree density, D; and basal area, BA) were significant ( $p < 0.001$ ) in  
258 all cases. The basal area was found to be the best variable to explain throughfall, with a  
259 total explained variance of 79 % and a standard error of 5.53 %. The other variables had  
260 R values of between 76 and 62 % (Figure 4).

261 Linear regressions between the weekly values of throughfall (% of bulk rainfall) and the  
262 forest structural variables were statistically significant. These regressions maintained  
263 very similar slopes and intercept values to those observed in the throughfall for the  
264 entire study period for all the forest-structure variables considered. However, these

265 expressions were determined not to be suitable to estimate weekly throughfall values  
266 because of their more unexplained variation ( $R^2$  values between 25 % and 20 % and  
267 standard errors > 14 % in all cases). We also examined throughfall depth (mm) for this  
268 time scale. First, we observed that the relationship between throughfall and bulk rainfall  
269 (mm) was significantly linear in all the treatments (explained variation from 87 to 94  
270 %), with most of the linear regressions differing from one another except for the control  
271 and the low-intensity treatments, in which intercepts and slope were not significantly  
272 different. Second, after testing different mathematical forms, we found that the function  
273 that achieved the best fit in all cases was an exponential expression in the form of  
274  $T=R*e^{aX}$ , where  $T$  is the value of weekly throughfall (mm),  $R$  is the bulk rainfall (mm),  
275  $a$  is the exponential decay constant (negative) and  $X$  is the value of the considered  
276 forest-structure variable. Table 3 depicts the  $a$  value adjusted for the different forest-  
277 structure variables considered. LAI, FC and BA had very similar results with negligible  
278 differences in the root mean square error (RMSE). The tree density was not suitable for  
279 predicting throughfall as a result of the near-zero value in the exponential decay  
280 constant. Figure 5 provides a comparison between modelled and measured throughfall  
281 values for FC (chosen because it had the best results in terms of error estimate), with a  
282 close fit to the 1:1 line and a relatively low RMSE. The total cumulative FC values (11  
283 experimental plots for 18 weekly periods) observed and modelled for weekly  
284 throughfall were 2,428.2 and 2,564.1 mm, respectively, indicating a general  
285 overestimation of 5.6 %.

286

#### 287 **4. Discussion**

288 This work examined the effect of differential thinning intensities on rainfall interception  
289 in a dense, growth-depressed stand of *Pinus halepensis*.

290 The results reveal high interception losses in the untreated control plots, accounting for  
291 approximately 43 % of the bulk rainfall. This interception value is in the upper range  
292 presented in the review by Llorens and Domingo (2007) for Mediterranean conditions  
293 in Europe and higher than when their results are filtered by applying certain quality  
294 criteria (such as minimum total collection area for a good estimate of throughfall). In  
295 contrast, the value presented in this study (1.5 %) for stemflow is close to the mean for  
296 Mediterranean conifer stands (1.74 %) found in the review by Llorens and Domingo  
297 (2007). The principal characteristic of our control plots is reduced throughfall when  
298 compared to either the mean for Mediterranean conifers (77 %) or with previous values  
299 reported for this species (Llorens and Domingo, 2007; Shachnovich et al., 2008).

300 Rainfall interception by forests is a well-known and documented process that depends  
301 on several factors related to the forest structure and climate (Crockford and Richardson,  
302 2000). The minimal management of forests in reforested Aleppo pine stands is typical  
303 as a result of their lack of profitability and because of protection objectives, which have  
304 resulted in tree growth slowdown and stand stagnation (Montero et al., 2001). Minimal  
305 forest management has resulted in a simple tree structure where excessive competition  
306 does not allow for other vegetation strata and results in an excessive tree density,  
307 leading to a reduced and degraded hydrological performance. Aleppo pine density in  
308 50-60-year-old reforested stands depends on site quality; however, it is expected to be  
309 in the range of 736-653 trees ha<sup>-1</sup> for site quality Q14 (Montero et al., 2001), values that  
310 represent approximately 55% of the density found in our control plots (1,289 ± 174 tree  
311 ha<sup>-1</sup>). This may be the case in many plantations established in the Mediterranean areas  
312 of Spain during the second half of the last century. This structure may have a direct  
313 impact on the canopy storage capacity of water, with a value of 3.6 ± 2.3 mm for our  
314 unmanaged control treatments (estimated using the method of Rutter, 1963). This high

315 storage value is probably caused by the low leaf/wood ratio in the control stand (Llorens  
316 and Gallart, 2000), another consequence of an excessive competition among individual  
317 trees. Other studies on rainfall partitioning in *Pinus halepensis* at the stand scale  
318 reported a lower interception value and lower stand densities, i.e., 360-660 trees ha<sup>-1</sup>  
319 (Rapp and Romane, 1968, in Llorens and Domingo, 2007; Shachnovich et al., 2008).  
320 Thus, minimal management could be part of the explanation for our low throughfall  
321 results, indicating that these stands are capable of holding high amounts of rainfall once  
322 saturating rainfall ends. Climate may also be a determinant factor in our results, as the  
323 mean annual potential evapotranspiration (749 mm, Thornthwaite) and reference  
324 evapotranspiration (1,200 mm, Hargreaves) values for the site (Pérez-Cueva, 1994) are  
325 considerable and in the higher range of previously reported values (Llorens and  
326 Domingo, 2007). This implies that evaporation, either during or after rainfall, plays a  
327 very important role in the hydrologic cycle of our unmanaged stands, which is an  
328 important factor in semiarid climates (Dunkerley, 2000; Llorens et al., 1997).  
329 Forest management can be an important tool to modify the forest water cycle (Bosch  
330 and Hewlett, 1982; Stednick, 1996). In the present study, thinning treatments caused  
331 throughfall to increase and stemflow to decrease for the entire period of the study and  
332 for most of the weekly periods considered. These results support the hypothesis that  
333 thinning reduces interception in the short term (see Aboal et al., 2000 for a review of  
334 studies), although the opposite trend has also been found in *Pinus canariensis* forests  
335 because of increased fog entrapment (Aboal et al., 2000). However, the differences  
336 found in our work stand out in their magnitude with respect to the control. Thus,  
337 considering the moderate-intensity treatment (the removal of 41 % of the basal area, an  
338 amount in the range of that found in other studies), throughfall has been enhanced to 12  
339 % of the bulk rainfall, which is higher than the increases achieved in other studies

340 (Aussenac and Granier, 1988; Breda et al., 1995; Crockford and Richardson, 1990;  
341 Ganatsios et al., 2010; Limousin et al., 2008; Teklehaimanot et al., 1991), who reported  
342 increases of generally less than 10% after the removal of 50% of the basal area.  
343 Therefore, a reduction of forest structure in a dense forest could produce a greater effect  
344 than a comparable reduction in a less dense stand. However, the absence of significant  
345 differences found between low-intensity treatments and the control indicates that a  
346 minor silvicultural intervention (in our case, a reduction of 26.2 % in basal area) is not  
347 enough to increase the water reaching the soil surface via throughfall. This implies that  
348 there is an optimum value of forest structure reduction of between 41 and 26.2 % of  
349 basal area (from moderate- to low-intensity treatments) to attain a significant increase in  
350 throughfall.

351 The lack of appreciable differences in throughfall found between the control and the  
352 low-intensity treatments may be the result of the increase in the ventilation of the  
353 residual trees and the higher evaporation rates during rainfall (Teklehaimanot et al.,  
354 1991). Thus, the reduction of the storage capacity of the control stand through low-  
355 intensity thinning does not appear to be enough to compensate for the increase in the  
356 evaporation rate when trees grow farther apart (Dunkerley, 2000). However, a drastic  
357 reduction in basal area (77% in our high-intensity thinned plots), which frequently  
358 occurs in forest-fire preventive silviculture or shelterwood systems, would enhance  
359 throughfall to 25-30% of the bulk rainfall.

360 In the case of stemflow, results for the entire study period indicate that stemflow in the  
361 control was different than in the treatments. This means that even the lowest reduction  
362 of forest biomass (from control to low-intensity treatment) reduced this water cycle  
363 component during the entire study period. The results at the tree level (this variable was  
364 scaled up according to the plot density) showed higher stemflow volume per tree in the



365 control treatment as well (60 to 40% higher according to thinning intensity, data not  
366 shown). Because all of the trees belong to the same initial population, this difference  
367 could arise from differences in the evaporation rate rather than in tree structure. A  
368 number of tree structure parameters (tree crown projected surface, tree volume,  
369 competition index, height, etc.) were not significantly correlated with stemflow (not  
370 shown), supporting the hypothesis that more closely spaced trees evaporate less water  
371 than identical trees that are farther apart (Dunkerley, 2000). The study by Dunkerley  
372 (2000) proposes that a higher canopy roughness is expected in the wetted surfaces of the  
373 thinned trees in response to an improved efficiency of water vapour transfer from the  
374 wet vegetation to the atmosphere. This effect can be important in dryland plant  
375 communities and reinforce the abovementioned effect of canopy evaporation in the  
376 context of our experiment.

377 Previous attempts to relate interception and/or throughfall to forest structure have  
378 mainly focused on the canopy storage capacity as a key variable, and most rainfall  
379 partitioning models take this variable into account together with climate (approaches of  
380 Gash and Rutter, see the review by Muzylo et al., 2009). However, despite the profound  
381 hydrological implication of this variable, it is of limited applicability for a forest  
382 technician wanting to manage and implement hydrology-based silviculture. In addition,  
383 the requirement of complementary instrumentation to estimate the evaporation ratio  
384 during the rainfall events (largely based on the Penman equation) makes typical  
385 hydrological models difficult to implement in practice, although several of them have  
386 been satisfactorily tested under Mediterranean conditions (Limousin et al., 2008;  
387 Llorens, 1997). Therefore, the use of stand-structure variables, common in forest  
388 inventories, would be much more familiar to a forester (Gash et al., 1995; van Dijk and  
389 Bruinzeel, 2001). In our case, the good fit found between the throughfall and certain

390 typical forest structure variables has led to simple linear equations for the entire study  
391 period. These simple equations would be suitable for predicting the possible effects of  
392 thinning in reforested Aleppo pine stands under similar climatic conditions. These  
393 simple models are not, however, suitable when a more detailed temporal analysis is to  
394 be taken into account because a decrease in the temporal scale involves high variability.  
395 Consequently, additional factors should be considered to explain the hydrological  
396 processes in these cases (Llorens et al., 1997). The exponential models incorporating  
397 both the influence of bulk rainfall and one of the variables of forest structure have been  
398 developed in this study for predicting the effect of thinning on the throughfall process.  
399 These models are very close in form to the model proposed by Misson (2004) for the  
400 estimate of direct throughfall based on the Gash model for disperse vegetation (Gash et  
401 al., 1995). Thus, our empirical models are similar to more physical models, thereby  
402 giving them additional support in addition to their goodness of fit and low measured  
403 error. From the point of view of forest management, these models are easy to use  
404 (involving the measurement of a certain forest stand variable such as FC, LAI or G).  
405 Consequently, the hydrological effects of silvicultural interventions can be easily  
406 studied.

407

## 408 **5. Conclusions**

409 Excessive water loss via the process of interception has been identified in the  
410 unmanaged pine plantation studied as a result of both a high tree density and the  
411 severity of the semiarid climate in the region.

412 In the global and regional scope of climate change predictions, these stands should be  
413 managed to reduce their density and to increase throughfall, thereby facilitating a  
414 balance between green and blue water. However, forest management should be  
415 conducted in consideration of other site limitations such as slope, soil type and extreme

416 rain events. This work provides useful information for foresters in charge of such forest  
417 management. With the results and empirical models obtained in this study, these  
418 foresters can judge the level of intervention needed and quantify its impact on  
419 throughfall. No similar tool was previously available for foresters intending to apply an  
420 adaptive approach to silviculture in *Pinus halepensis* plantations in the Mediterranean.  
421 Our results represent the first effort to develop a hydrology-based silviculture in this  
422 type of forest. Complementary studies are needed to validate our models in other *Pinus*  
423 *halepensis* plantations. In addition, other studies considering the impact of other  
424 important water cycle elements (soil water variation, transpiration, etc.) are also needed  
425 to address the impact on the whole water cycle and to improve the implementation of  
426 hydrology-oriented silviculture in conjunction with fire-preventive silviculture. Also,  
427 the temporal duration of the efficiency of these intervention methods remains to be  
428 resolved (Aussenac and Granier, 1988).

429

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445

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590 **Table headings:**

591

592 Table 1. The means  $\pm$  standard deviations for the forest-structure variables in the  
593 treatments (from Molina and del Campo, 2011). LAI = Leaf Area Index, FC = Forest  
594 Cover, BA = Basal Area, D = Tree Density. Letters indicate significant differences at p-  
595 level $<0.5$ .

596

597 Table 2. The cumulative values (with standard deviations) of throughfall and stemflow  
598 expressed as depth (mm) and the percentage of bulk rainfall (%) in the different  
599 treatments. Letters indicate the results of the post-hoc analysis at p-level  $\leq 0.05$ .

600

601 Table 3. The parameters of the generic throughfall (T) regression  $T=R*e^{(a*X)}$  for the  
602 different forest-structure variables (X): LAI = Leaf Area Index, FC = Forest Cover, BA  
603 = Basal Area. Tree Density (D) was not considered because its exponential decay  
604 parameter “a” was found to be null. R is the corrected Pearson coefficient, and RMSE is  
605 the root mean squared error.

606

607

608 **Figure captions:**

609

610 Figure 1: a) control, b) thinning intervention c) high-intensity thinning treatment  
611 depicting the throughfall devices.

612

613 Figure 2. The means and standard deviations of weekly throughfall in the treatments  
614 together with the bulk rainfall (mm). Asterisks indicate a significant difference in the  
615 ANOVA test: \* =  $p \leq 0.05$ , \*\* =  $p \leq 0.01$ , \*\*\* =  $p \leq 0.001$ . Numbers summarise the  
616 homogeneous groups from the post-hoc tests: 1: C(a) H(b) M(b) L(a); 2: C(a) H(b)  
617 M(bc) L(ac); 3: C(a) H(b) M(c) L(ac); 4: C(a) H(b) M(a) L(a); 5: C(a) H(b) M(ab) L(a).  
618 C: control, L: low-intensity treatment, M: moderate-intensity treatment and H: high-  
619 intensity treatment.

620

621 Figure 3. The accumulated values of weekly stemflow (mm) in the treatments. C:  
622 control, L: low-intensity treatment, M: moderate-intensity treatment and H: high-  
623 intensity treatment.

624

625 Figure 4. Linear regressions between throughfall (%) and the four forest-structure  
626 variables (LAI, FC, D and BA) for the accumulated values of throughfall. All  
627 regressions were statistically significant ( $p < 0.001$ ).

628

629 Figure 5. Plots of modelled (Y axis) versus measured (X axis) throughfall with  
630 independent variables of bulk rainfall and forest cover. Also presented is the 1:1 line.

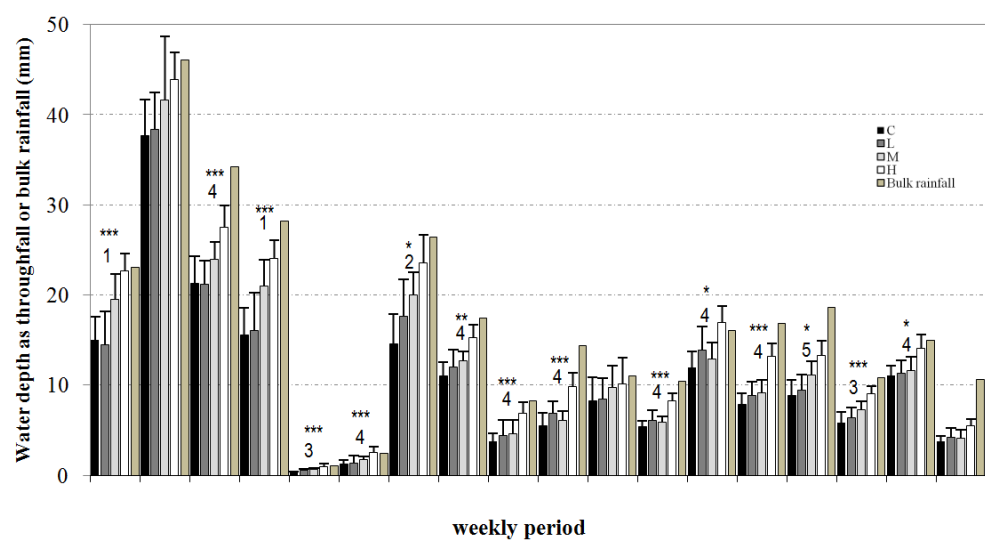
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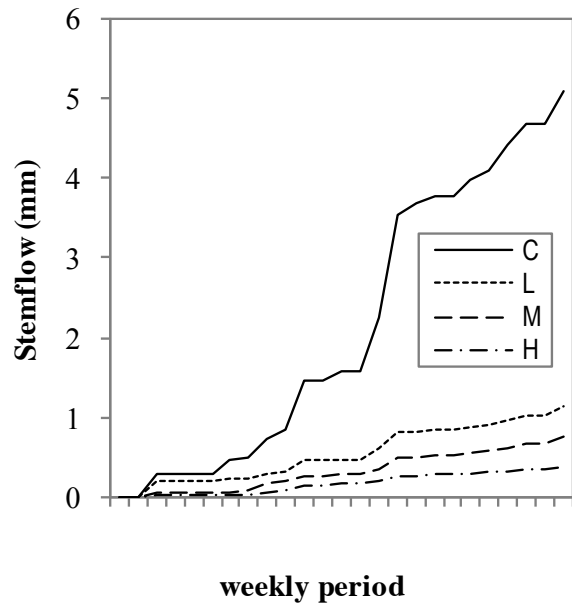
Figure



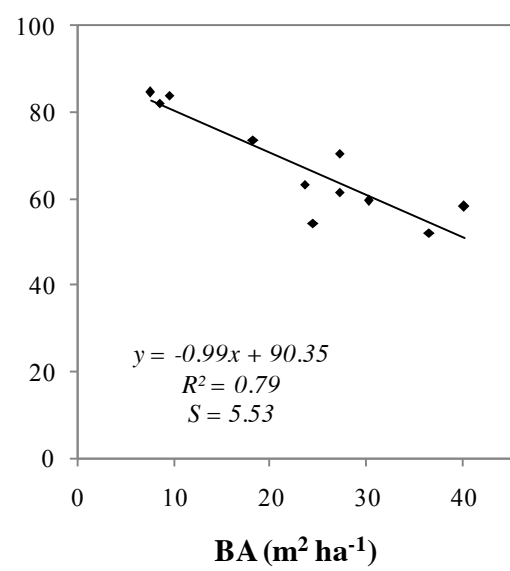
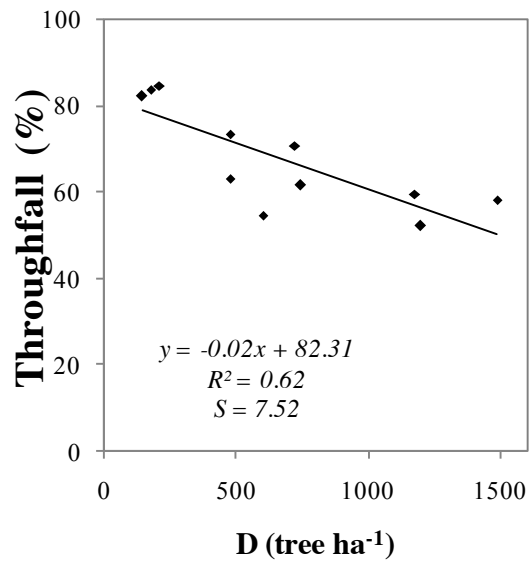
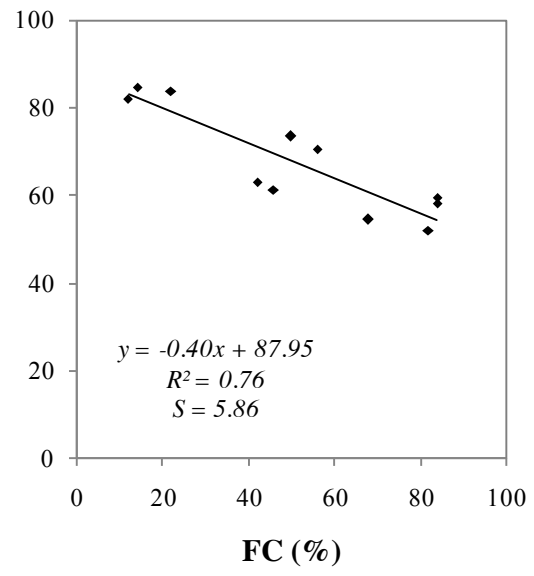
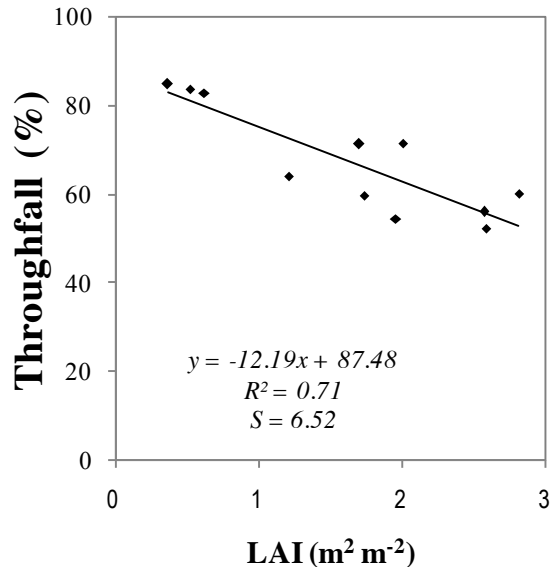
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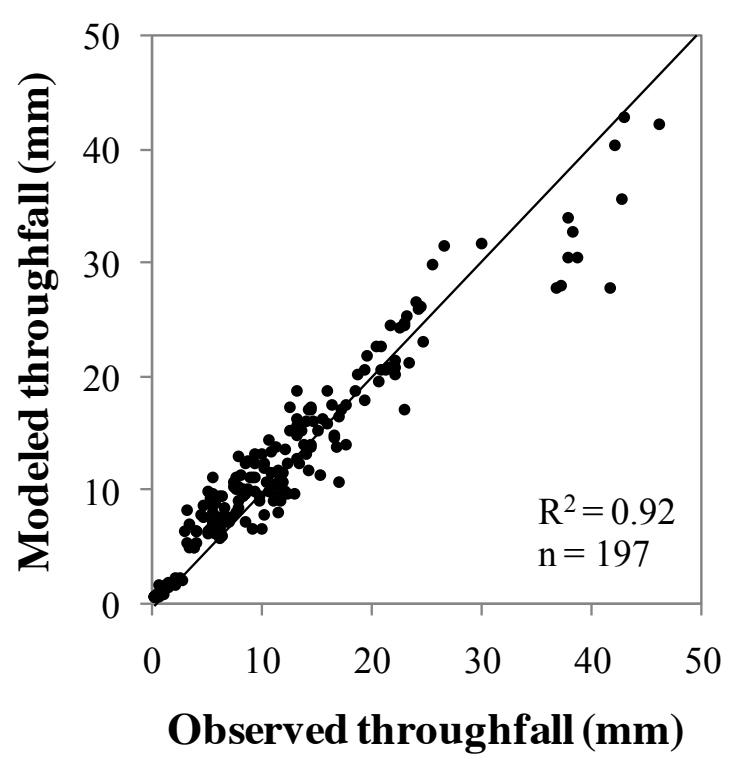
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Figure



Figure



**Table**

<b>Treatment</b>	<b>LAI (m<sup>2</sup> m<sup>-2</sup>)</b>	<b>FC (%)</b>	<b>BA (m<sup>2</sup> ha<sup>-1</sup>)</b>	<b>D (trees ha<sup>-1</sup>)</b>
Control (C)	2.6±0.1a	83.3±1.1a	35.6±5.1a	1289±173.6a
Low (L)	1.9 ± 0.1b	64 ± 6.9b	26.3±1.6b	688.7± 77.6b
Moderate (M)	1.5 ± 0.3b	46 ± 5.6b	20.9±3.8b	478±15b
High (H)	0.5 ± 0.1c	16 ± 5.3c	8.3 ± 1.0c	177.7±33.5c



**Table**

<b>Treatment</b>	<b>Throughfall</b>		<b>Stemflow</b>	
	<b>mm</b>	<b>%</b>	<b>mm</b>	<b>%</b>
Control (C)	173.4 (21.9)a	55.9 (7.0)a	5.04(1.3)a	1.5(0.3)a
Low (L)	190.1(30.0)ab	61.3(9.7)ab	0.98(0.3)b	0.30(0.1)b
Moderate (M)	210.3(18.7)b	67.7(6.0)b	0.66(0.3)b	0.21(0.1)b
High (H)	260.1(15.7)c	83.8(5.1)c	0.34(0.05)b	0.10(0.01)b

<b>Forest structure variable (X)</b>	<b>a</b>	<b>R<sup>2</sup></b>	<b>RMSE</b>
LAI	-0.179	0.91	1.58
FC	-0.006	0.91	1.52
BA	-0.013	0.91	1.54