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Additional Information

1 **Application of electric fields to clean ultrafiltration membranes fouled**
2 **with whey model solutions**

3
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15
16 **Abstract**

17
18 In this work, the effectiveness of electric fields to clean two ZrO₂-TiO₂ ultrafiltration (UF)
19 membranes fouled with three types of whey model solutions was investigated. Membranes
20 tested had different molecular weight cut-offs (MWCOs) (15 and 50 kDa). Whey model
21 solutions consisted of aqueous solutions of bovine serum albumin (BSA) at 10 g·L⁻¹, a
22 mixture of BSA (10 g·L⁻¹) and CaCl₂ (1.65 g·L⁻¹) and whey protein concentrate (WPC)
23 (total protein content 45 %) solutions at different concentrations (22.2, 33.3 and 150.0 g·L⁻¹).
24 ¹). The hydraulic cleaning efficiency (HCE) achieved by means of the application of the
25 electric fields was evaluated as a function of the membrane MWCO and the operating

26 conditions of the cleaning technique (applied potential, temperature of the cleaning
27 solution and concentration of NaCl). The results demonstrated that the presence of NaCl
28 favoured the removal of protein deposits on the membrane layer. On the other hand, the
29 higher the temperature of the cleaning solution and the applied potential were, the higher
30 HCE was achieved. Regarding the membrane MWCO, the permselective properties of the
31 15 kDa membrane were completely recovered after the cleaning procedure by electric field
32 for all the feed fouling solutions tested, whereas this technique could not completely
33 remove the protein deposits on the 50 kDa membrane when BSA solutions were used as
34 feed.

35

36 *Keywords:* Ultrafiltration; membrane cleaning; electric fields; whey model solutions.

37

38 **1. Introduction**

39

40 Ultrafiltration (UF) is one of the most widely used techniques in dairy industries to
41 dehydrate milk, concentrate whey and fractionate and purify proteins [1, 2]. However, the
42 implementation of membrane separation processes at industrial scale has a major
43 limitation: membrane fouling. This drawback is due to the combination of several
44 phenomena, such as concentration polarization, pore blocking or cake formation, among
45 others [3].

46

47 In dairy industries, proteins are one of the compounds mainly responsible for membrane
48 fouling, because they can deposit on membrane surface and also, be adsorbed inside the
49 membrane porous structure [4]. In addition, when whey and WPC solutions are
50 ultrafiltered, the salts present in these solutions (especially calcium salts) can act as binding

51 agents between proteins, favouring their aggregation and accumulation onto the membrane
52 surface [5]. In order to minimize membrane fouling, several researchers have investigated
53 the interaction among proteins, between proteins and membranes and also, protein-
54 inorganic compounds interactions [4 – 6]. Other authors studied different pretreatments
55 focused on increasing protein solubility and limiting salt-protein bridging during the UF
56 process [7].

57

58 Since pretreating the feed solutions used during the UF may not be enough to completely
59 avoid membrane fouling, membranes have to be cleaned to remove the foulant deposits
60 and restore their initial permeation properties. The conventional cleaning protocol
61 employed when treating dairy solutions includes an alkali cleaning step followed by an
62 acid cleaning stage. If this cleaning procedure cannot completely remove the protein
63 deposits, a subsequent cleaning step using sodium hypochlorite or sodium dodecyl sulphate
64 can be carried out [1, 2, 4]. However, as these procedures may be performed even once per
65 day in dairy industries [8], the abovementioned conventional cleaning agents may damage
66 the membranes, reducing their lifetime and causing morphological modifications. In
67 addition, the discharge of these chemicals as wastewaters results in a negative
68 environmental impact. For all these reasons, during the last years several researchers have
69 focused their studies on the development and implementation of non conventional cleaning
70 techniques, for instance, ultrasounds [9], saline solutions [10, 11] or electric fields.

71

72 This last technique, the application of electric fields, has been used by other authors to
73 improve permeate flux during the UF of different feed solutions. They demonstrated that
74 the total hydraulic resistance achieved at the end of this process is reduced and
75 concentration polarization is minimized [3, 12 – 14]. This technique is based on two

76 electrokinetic phenomena: on one hand, the charged particles move towards the electrode
77 with opposite sign when the electric field is applied (electrophoresis) and, on the other
78 hand, a liquid (usually water, as most of the times aqueous solutions are ultrafiltered) is
79 forced to move to a charged surface (for example, the membrane pores), which is known as
80 electro-osmosis. Both effects, electrophoresis and electro-osmosis, are achieved by placing
81 two electrodes at both sides of the membrane or using only one electrode, being the
82 membrane the other one. This last case is very often used in the case of ceramic
83 membranes, as they are made of electrically conductive materials [15].

84

85 Zumbusch *et al.* [3] investigated the utilization of alternating electrical fields to reduce
86 membrane fouling during the UF of biological suspensions and studied the effect of several
87 operating conditions (field strength, protein concentration and conductivity) on fouling
88 decrease. Although both direct and alternating current can be used, the former is suitable
89 only when the particles in the feed fouling solution have a uniform charge. They reported
90 that high field strength and an increase in conductivity up to the limiting electrolytic
91 current led to a more effective cleaning procedure. However, the increase in protein
92 concentration reduced the effect of the electric field applied. Tarazaga *et al.* [12] used
93 electric field pulses of 2-3 min to restore the initial membrane permeate flux during the
94 filtration of bovine plasma at a concentration of 0.5 %w/w at a pH of 7.8. They applied
95 three different potentials (10, 15 and 30 V) and demonstrated that the higher the electric
96 potential was, the greater the permeate flux was after the electric pulses. Holder *et al.* [14]
97 investigated the effect of electric fields on the fractionation of bio-functional peptides from
98 micellar casein hydrolysate. After the UF experiments, these authors reversed the polarity
99 of the electrodes in order to study the effectiveness of electric fields to clean the
100 membranes. They indicated that this technique was able to completely remove some

101 peptides deposited on membrane surfaces because Van der Waals forces also influenced
102 the fouling process.

103

104 Although there are several works available in the literature focused on the application of
105 electric fields, they applied electric pulses during the feed solution filtration to recover the
106 permeate flux once it decreased up to a certain value or to minimize the concentration
107 polarization phenomenon. However, only a few papers deal with the application of this
108 technique during the cleaning step, i.e. after the membrane was fouled by the feed solution
109 treatment [14]. The main goal of this work is to evaluate the effectiveness of a physical
110 cleaning procedure based on the application of electric fields to clean membranes
111 previously fouled with whey model solutions. In addition, the effect of different cleaning
112 operating conditions, such as applied potential, temperature of the cleaning solution and
113 concentration of NaCl used as electrolyte, on the efficiency of the cleaning procedure was
114 determined. The novelty of this work lies in the application of the electric fields during the
115 cleaning step in order to remove the irreversible fouling caused on the membranes and not
116 during the fouling stage as other authors reported to minimize fouling and the
117 concentration polarization phenomena [12, 16].

118

119 **2. Materials and methods**

120

121 **2.1. Chemicals**

122

123 Whey model solutions used during the fouling step consisted of BSA ($10 \text{ g}\cdot\text{L}^{-1}$), BSA (10
124 $\text{g}\cdot\text{L}^{-1}$) with CaCl_2 ($1.65 \text{ g}\cdot\text{L}^{-1}$) and WPC (22.2 , 33.3 and $150.0 \text{ g}\cdot\text{L}^{-1}$) aqueous solutions. As
125 these products were supplied in powder form, a certain amount was weighted and

126 dissolved in deionized water until the desired concentration was achieved. Renylat WPC
127 solutions were supplied by (Industrias Lácteas Asturianas S.A., Spain), BSA (lyophilized
128 powder after heat shock fractionation, 98 % purity, A3733) was provided by Sigma-
129 Aldrich (Germany) and CaCl_2 (95 % purity) was purchased from Panreac (Spain). The
130 main components of the WPC used are shown in Table 1. The methods employed for
131 determining the concentration of each component are described elsewhere [17]. The
132 evolution of zeta potential with pH is depicted in Fig. 1 for both BSA and WPC solutions.
133 As it can be inferred from this figure, the isoelectric points of BSA and WPC are,
134 respectively, 4.9 ± 1.42 mV and 4.6 ± 0.47 mV. These values are in a very good agreement
135 with those reported by the BSA manufacturer and in the literature for both solutes [18-20].
136 As it can also be observed from Fig. 1, BSA and the main proteins in WPC were
137 negatively charged at the pH values of the feed solutions used in the experiments (around
138 7).

139

140 Previous authors [21, 22] reported the utilization of BSA and WPC solutions as whey
141 model solutions for UF tests. In order to study the influence of salt presence on protein
142 behaviour, CaCl_2 was one of the salts most often used as calcium ion favours protein-
143 protein interactions and Cl^- is the main anion in whey and WPC [5, 6, 11].

144

145 Finally, NaCl (Panreac, Spain) aqueous solutions were used to clean the membranes in
146 combination with the application of electric fields. In addition, NaOH (98 % purity,
147 Panreac, Spain) aqueous solutions were used to clean the UF membranes if the
148 permselective properties of the original membranes were not recovered at the end of the
149 cleaning protocol.

150

151 **2.2. Membranes**

152

153 Two monotubular ZrO₂-TiO₂ INSIDE CéRAM™ membranes of 15 and 50 kDa (TAMI
154 Industries, France) were used to perform the experiments. The dimensions of these
155 membranes were a length of 20 cm, an internal diameter of 0.6 cm and an external
156 diameter of 1 cm. Their effective area was 35.5 cm². It is important to highlight that these
157 membranes acted as a cathode during the cleaning step.

158

159 **2.3. Experimental set-up**

160

161 All fouling and cleaning tests were carried out in a VF-S11 UF plant (Orelis, France). This
162 plant was equipped with a 10 L feed tank, a variable speed volumetric pump that allowed
163 the crossflow velocity to be maintained constant, two manometers placed at the inlet and
164 outlet streams of the membrane module to measure the transmembrane pressure, a
165 temperature regulating system to control the temperature during the fouling and cleaning
166 stages and a scale (± 0.001 g accuracy) to gravimetrically determine the permeate flux.

167

168 The abovementioned membranes were placed in a Plexiglas GS® tubular membrane
169 module (Metaval Abella S.L., Spain) and rolled on their external surface by a copper wire
170 to ensure a constant potential distribution on this membrane side. Then, the external
171 membrane surface was connected to the cathode. The second electrode (anode) consisted
172 of a titanium electrode with an iridium coating (MAGNETO Special Anodes B.V., The
173 Netherlands). The anode was placed inside the membrane, crossing it along the tubular
174 channel. Both cathode and anode were connected to a direct current supplier (Konstanter
175 SSP, Gossen, Germany). It is important to highlight that both electrodes were situated in

176 the position aforementioned in order to promote protein migration from the membrane
177 active layer to the bulk solution, due to the negative charge of most of the whey proteins in
178 the feed fouling solutions. Experiments with the electric fields were performed in
179 potentiostatic mode. The experimental set-up is shown in Fig. 2.

180

181 **2.4. Experimental procedure**

182

183 Firstly, membranes were fouled with the different feed solutions at a transmembrane
184 pressure of 2 bar, a crossflow velocity of $2 \text{ m}\cdot\text{s}^{-1}$ and a temperature of $25 \text{ }^\circ\text{C}$, according to
185 previous studies about protein UF [17, 23].

186

187 After the fouling step, membranes were rinsed with deionized water during 30 min at a
188 transmembrane pressure of 1 bar and $4.2 \text{ m}\cdot\text{s}^{-1}$. Several studies reported that low
189 transmembrane pressures and high crossflow velocities favour the removal of proteins
190 deposited on membrane surfaces [8, 24]. Then, a cleaning procedure was carried out at the
191 same transmembrane pressure and crossflow velocities as the rinsing step and varying the
192 applied potential (0, 15 and 30 V), the NaCl concentration (0 and 5 mM) and the
193 temperature of the cleaning solution ($25\text{-}50 \text{ }^\circ\text{C}$) at a pH of 7. In order to avoid the use of
194 conventional cleaning agents during the cleaning step as much as possible, pH of the
195 cleaning solutions used (deionized water and NaCl solutions) was not adjusted nor varied.
196 These conditions were selected according to other works about membrane cleaning by
197 means of electric fields and saline solutions [12, 25]. Finally, membranes were rinsed again
198 with deionized water to remove the loose protein deposits from the membrane surface as
199 well as the cleaning agents. During all these steps, both the permeate flux and the hydraulic
200 resistance were determined.

201

202 An additional conventional cleaning step was performed when needed if the initial
203 membrane hydraulic resistance was not completely recovered after the cleaning procedure.
204 This step was performed with NaOH at a temperature of 50 °C and pH values about 8.5-9.
205 These conditions were selected to avoid damage of the electrodes or the membrane
206 module.

207

208 **2.5. Evaluation of the cleaning efficiency**

209

210 Due to the destructive nature of the chemical methods to evaluate the efficiency of the
211 cleaning procedure, which consist of the determination of chemical species on the
212 membrane structure by spectroscopic techniques, a hydraulic method was used to calculate
213 the efficiency of the cleaning protocol (HCE). Several authors reported different equations
214 to determine the HCE from the resistance of the membrane after the rinsing and cleaning
215 steps and to the original membrane resistance [9, 23, 26]. The values of the membrane
216 resistances after the abovementioned steps were calculated by the Darcy's law (Eq. 1).

217

$$218 \quad J = \frac{\Delta P}{\mu R_m} \quad \text{Eq. 1}$$

219

220 The efficiency after the end of the cleaning protocol was estimated using Eq. 2.

221

$$222 \quad \text{HCE (\%)} = \left(\frac{R_f - R_{r2}}{R_f - R_m} \right) \cdot 100 \quad \text{Eq. 2}$$

223

224 Where HCE is the hydraulic cleaning efficiency, R_f is the resistance at the end of the
225 fouling step, R_{r2} is the resistance at the end of the second rinsing and R_m is the resistance of
226 the original membrane.

227

228

229 **3. Results and discussion**

230

231 *3.1. Cleaning of membranes fouled with BSA solutions*

232

233 3.1.1. Results of the 15 kDa membrane

234 Fig. 3 shows the values of HCE obtained for the 15 kDa membrane using two different
235 cleaning solutions: deionized water at different temperatures (25 and 50 °C) and NaCl
236 solutions at a concentration of 5 mM and three different temperatures (25, 37.5 and 50 °C).
237 NaCl concentration was selected according to a previous work by the authors dealing with
238 membrane cleaning by means of saline solutions. These experiments were performed at
239 three different electric field potentials (0, 15 and 30 V) in order to check the influence of
240 both temperature and applied potential on the HCE.

241

242 As it can be observed from Fig. 3, an increase in temperature and applied potential during
243 the cleaning step resulted in an increase in the values of HCE achieved. This pattern was
244 previously confirmed by other authors [12, 15, 25, 27, 28].

245

246 Tarazaga *et al.* [12] demonstrated that an increase in the electric field potential caused an
247 increase in the permeate flux obtained during the membrane fouling with bovine plasma
248 solutions. Chen *et al.* [27] reported that electric field strengths greater than 15 V resulted in

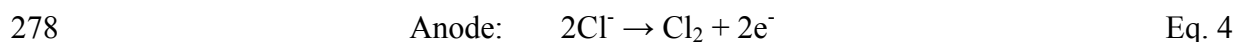
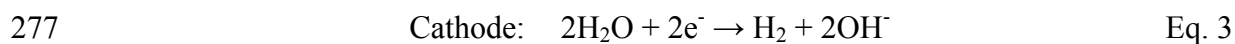
249 a dramatic decrease of the hydraulic resistance when membranes were fouled with sewage
250 water. This should be due to the greater amount of charged particles migrating from one
251 electrode to another when high electric field potential was applied. This effect was also
252 demonstrated by Shi *et al.* [15] and Huotari *et al.* [28] using oily wastewaters during the
253 membrane fouling. According to these authors, the electrophoretic forces increase as the
254 electric field potential increases. These forces are ascribed to the movement of charged
255 particles towards the electrode of opposite sign. In their works, this electrode is placed on
256 the bulk solution channel. For all these reasons, HCE increased as the electric potential
257 increased.

258

259 On the other hand, Corbatón-Báguena *et al.* [25] tested different temperatures during the
260 cleaning of several UF membranes with deionized water as well as NaCl solutions. In all
261 cases, an increase in temperature resulted in an increase in the values of HCE achieved.
262 This trend was corroborated by Lee and Elimelech [10], who demonstrated that the mass
263 transfer process as well as the chemical reactions velocity increased when temperature
264 increased, favouring the weakness of the fouling layer on the membrane surface and easing
265 its removal. In addition, temperature has a great effect on solution viscosity [29, 30]. For
266 instance, Jawor and Hoek [30] demonstrated that an increase in temperature of the feed
267 solution from 25 to 35 °C decreased solution viscosity while increased the diffusion rate of
268 salt ions through the membrane and back to the bulk solutions. This increase in
269 temperature also loosened membrane structure and thus, it became more permeable and
270 solute diffusion was enhanced. Therefore, concentration polarization effect was minimized
271 when increasing temperature and thus, membrane cleaning was favoured at high
272 temperatures. Regarding the effect of the saline solutions, results shown in Fig. 3 indicated
273 that higher HCE values were obtained when cleaning was carried out in presence of NaCl

274 at low concentrations (5 mM). When an electric field is applied on NaCl aqueous solutions,
275 different cathodic and anodic reactions occurred on the electrode surfaces [31]:

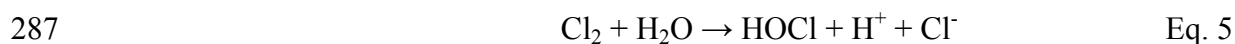
276



280

281 Regarding the electrochemical reactions of the electrolysis of water, the cathodic reaction
282 of hydrogen formation (Eq. 3) is the only one that takes place in the system previously
283 described. This means that the anodic reaction that preferentially occurs is the chlorine
284 formation (Eq. 4). When chlorine molecules are in contact with water molecules, the
285 formation of hypochlorite occurs, as in the following reaction [31]:

286



288

289 Hypochlorite formed in this last reaction oxidizes the organic pollutant species (proteins in
290 this work), breaking their bonds to partially decompose them and favouring their removal
291 from the system [32]. This technique is known as indirect electrochemical oxidation and
292 has been successfully implemented in the treatment of different organic effluents [33, 34].
293 Therefore, the electrochemical effect due to the presence of NaCl 5 mM in the cleaning
294 solution that enables the formation of chlorine in the anodic reaction (Eq. 4) and thus, the
295 formation of hypochlorite (Eq. 5), is added to the electrophoretic effect due to the
296 application of electric fields. As a consequence of the combination of both electrochemical
297 and electrophoretic effects, the HCE values obtained using NaCl 5 mM as electrolyte were
298 greater than those obtained with deionized water.

299

300 Therefore, the best operating conditions to clean the 15 kDa membrane fouled with BSA
301 solutions were a temperature of 37.5 °C, an electric field potential of 30 V and a NaCl
302 concentration of 5 mM, taking into account the boundary experimental conditions tested.
303 When cleaning with NaCl solutions was performed without applying electric fields, the
304 salting-in behaviour of NaCl was the only effect that promotes the protein removal from
305 membrane surface [25]. For this reason, harder operating cleaning conditions in terms of
306 temperature (50 °C) were required to achieve the same HCE than that obtained when
307 electric fields and salt addition were combined. This fact confirms that the combination of
308 electric fields and NaCl addition is a more efficient membrane cleaning procedure because
309 it has two main advantages: firstly, the application of an electric field promotes the
310 movement of charged proteins from the membrane surface to the bulk cleaning solution,
311 favouring their removal; and secondly, the in-situ formation of hypochlorite in the anode
312 (Eq. 5) due to the presence of NaCl in the cleaning solution results in the oxidation of such
313 proteins.

314

315 3.1.2. Results of the 50 kDa membrane

316

317 Fig. 4 shows the effect of temperature of the cleaning solution on the HCE values obtained
318 when the 50 kDa membrane was fouled with BSA solutions and cleaned with different
319 cleaning agents and different electric potentials: NaCl at a concentration of 7.5 mM at 0
320 and 30 V and NaOH at a concentration of 5 g·L⁻¹. These cleaning agent concentrations
321 were selected according to the range of pH recommended by the manufacturer to clean this
322 membrane and previous works about salt cleaning of UF membranes by the authors [25].

323

324 Figs. 3b and 4 show the influence of temperature and electric field potential on the HCE
325 for the 15 and 50 kDa membranes, respectively. Thus, the effect of the same parameters on
326 the cleaning efficiency of both membranes can be compared. As it is shown in Fig. 3b,
327 greater HCE was obtained for the 15 kDa membrane when the cleaning procedure was
328 performed at 30 V and 37.5 °C in presence of NaCl (HCE around 100 %) than that
329 obtained for the 50 kDa membrane at the same electric field voltage and temperature,
330 achieving HCE values of 85 % in this case (see Fig. 4). Therefore, harder experimental
331 cleaning conditions in terms of electric field potential and temperature are necessary to
332 clean the 50 kDa membrane. It is worthy to note here that, greater NaCl concentration was
333 considered in the case of the 50 kDa membrane. As the authors reported in a previous work
334 about membrane cleaning with salt solutions [25], the optimal NaCl concentration to clean
335 the 15 kDa membrane fouled with 10 g·L⁻¹ BSA solutions was between 2.5 and 5 mM.
336 However, in the case of the 50 kDa membrane fouled with the same solutions, a NaCl
337 concentration of 7.5 mM was required to clean the membrane. This difference in NaCl
338 concentration is due to the more severe fouling that proteins cause on the 50 kDa
339 membrane. The reason for that is the similar size between membrane pores (50 kDa) and
340 BSA molecules (67 kDa), which favours that these molecules completely block the
341 membrane pores and/or penetrate inside its porous structure, as it was reported by other
342 authors [35]. However, permeate flux decline was much lower for the 15 kDa membrane
343 [25]. Therefore, fouling was less severe for this membrane and easier to remove. For all
344 these reasons, greater values of HCE were obtained for the 15 kDa membrane at the same
345 electric field potential and temperature and at lower NaCl concentrations than in the case
346 of the 50 kDa membrane.
347

348 As it can be observed, the highest cleaning efficiency was achieved when the conventional
349 cleaning with NaOH solutions was performed. Regarding the cleaning with NaCl
350 solutions, negligible differences in HCE were observed between the cleaning protocol
351 carried out at 37.5 °C and 30 V and that performed at 80 °C and 0 V. This fact
352 demonstrated the greater efficiency reached when electric fields were applied, as it was
353 reported for the 15 kDa membrane (Fig. 3). Higher temperatures are required if no electric
354 fields are used to reach the same HCE. Despite the good results obtained when the
355 electrochemical oxidation took place, this technique was not as efficient as the
356 conventional cleaning protocol, even when higher temperatures were used to facilitate
357 protein removal. This may be due to the fact that the amount of hypochlorite formed when
358 an electric field was applied was too low to completely clean this membrane. In a previous
359 work by the authors where this membrane was used to ultrafilter BSA solutions, it was
360 observed that, this membrane shows a very sharp permeate flux decrease at the beginning
361 of the UF process, which indicates severe membrane fouling [25]. As it was above
362 reported, fouling was less severe for the 15 kDa membrane and easier to remove in
363 comparison with the 50 kDa membrane.

364

365 *3.2. Cleaning of the 15 kDa membrane fouled with whey model solutions*

366

367 As electric fields were not able to completely restore the initial membrane permselective
368 properties in the case of the 50 kDa membrane, only the 15 kDa membrane was used to test
369 the effectiveness of the electrochemical process when whey model solutions (BSA with
370 CaCl₂ and WPC solutions at different concentrations) were employed as feed during the
371 fouling step. It is expected that, according to the pattern observed for HCE when the 15
372 kDa membrane was fouled with BSA + CaCl₂ and WPC solutions (see Fig. 5), even lower

373 values of HCE were going to be achieved if these feed solutions were used to foul the 50
374 kDa membrane. When these feed solutions (BSA + CaCl₂ and WPC solutions) are
375 ultrafiltered using the 50 kDa membrane, a more severe membrane fouling is expected to
376 occur due to the presence of inorganic salts and two major whey proteins (α -lactalbumin
377 and β -lactoglobulin). These proteins have molecular sizes of 14 and 18 kDa, respectively,
378 and tend to form dimers at the neutral pH of the WPC solutions tested [36, 37]. This fact
379 causes their molecular sizes to increase and thus, their size is more similar to that of the
380 membrane pores (50 kDa) and may seal the pore entrance or block the pores internally.
381 Therefore, the study of the influence of the feed fouling solution on HCE was not
382 continued with the 50 kDa membrane.

383

384 The same cleaning operating conditions that resulted in the best HCE values when the
385 membrane was fouled by BSA (1 bar, 4.2 m·s⁻¹, 37.5 °C, 30 V and a NaCl concentration of
386 5 mM) were tested with the 15 kDa membrane once it was fouled with BSA and CaCl₂ and
387 WPC (22.2 g·L⁻¹) solutions. The results shown in Fig. 5 demonstrated that the maximum
388 HCE achieved was about 90 % in both cases at the experimental conditions tested. This is
389 due to the more severe membrane fouling caused when salts are introduced in the protein
390 solution. These salts can act as bridging agents between proteins, aggregating them and
391 favouring its deposition on the membrane surface. This behaviour was previously reported
392 by other authors [5, 38].

393

394 In order to improve the efficiency of the cleaning process, the 15 kDa membrane was
395 cleaned at three different temperatures within the range 37.5-50 °C. Fig. 6 shows the
396 evolution of HCE with temperature for the BSA with CaCl₂ and WPC (22.2, 33.3 and
397 150.0 g·L⁻¹) solutions.

398

399 As it can be observed, HCE values about 100 % were obtained at temperatures of 43.8 and
400 50 °C. Therefore, an increase in the cleaning solution temperature caused an increase in the
401 HCE as it had been previously observed when this membrane was fouled with BSA
402 solutions (Fig. 3). Therefore, the best operating conditions to carry out the cleaning
403 protocol of the 15 kDa membrane fouled with whey model solutions were 1 bar, $4.2 \text{ m}\cdot\text{s}^{-1}$,
404 30 V, 43.8 °C and a NaCl concentration of 5 mM. As it was expected, the higher the
405 protein concentration in the feed solution was, the more severe the membrane fouling was
406 due to the greater protein aggregation and accumulation on the membrane surface. This
407 fact was previously reported by the authors in works about membrane fouling
408 characterization and modelling in the case of UF membranes fouled with whey model
409 solutions [17]. Therefore, the more severe fouling caused by an increase in protein
410 concentration decreased the HCE achieved with the cleaning procedure, requiring harsher
411 operating conditions (higher temperature) to achieve similar HCE values [3].

412

413 *3.3. Analysis of cost*

414

415 In order to ensure that the proposed physical cleaning procedure by means of electric fields
416 was competitive compared to a conventional chemical cleaning, an analysis of costs was
417 performed for both cleaning methods. For the conventional cleaning, as it can be observed
418 in Fig. 4, the best operating conditions (HCE values of around 100 %) were a
419 transmembrane pressure of 1 bar, a crossflow velocity of $4.2 \text{ m}\cdot\text{s}^{-1}$, a NaOH concentration
420 of $5 \text{ g}\cdot\text{L}^{-1}$ and a temperature of the NaOH solution of 50 °C during an hour. These last
421 conditions (NaOH concentration and temperature of the cleaning solution) were
422 recommended by the membrane manufacturer to completely clean both ceramic

423 membranes (15 and 50 kDa). On the other hand, the optimal operating conditions to
424 perform the physical cleaning by electric fields were an electric field potential of 30 V, a
425 NaCl concentration of 5 mM and a temperature of the NaCl solution of 43.8 °C as
426 maximum. The rest of operating conditions remained the same (transmembrane pressure,
427 crossflow velocity and duration of the cleaning step). Therefore, in order to compare both
428 cleaning procedures, only the influence of cleaning agents, heating and electric fields
429 generation costs were considered. It is worthy to note that the heating cost was calculated
430 from the energy consumed by the electrical resistance to heat the feed solution from room
431 temperature to the cleaning solution one (50 and 43.8 °C for the conventional cleaning and
432 physical cleaning, respectively). In the same way, the electric fields generation costs were
433 determined considering the energy consumed to apply the selected electric field potential
434 (30 V) and the maximum current intensity achieved at those conditions (2 A). The results
435 of this comparison are summarized in Table 2. As it can be observed, the chemicals cost
436 was the key item. As it was above mentioned, the NaOH concentration required to perform
437 the conventional cleaning ($5 \text{ g}\cdot\text{L}^{-1}$) was much higher than that of the NaCl needed to carry
438 out the physical cleaning (5 mM, i.e. $0.24 \text{ g}\cdot\text{L}^{-1}$). In addition, the cost of NaOH is greater
439 than that of NaCl, according to the provider of both chemicals. Therefore, performing a
440 physical membrane cleaning by means of electric fields and using NaCl as electrolyte is a
441 cost-effective procedure in comparison with the conventional NaOH membrane cleaning,
442 at the experimental conditions considered for each type of cleaning.

443

444 **4. Conclusions**

445

- 446 • Cleaning by means of the application of electric fields combined with the addition
447 of NaCl solutions was effective to completely restore the 15 kDa membrane initial

448 permeation properties when it was used to treat different whey model solutions.
449 However, the 50 kDa membrane could not be completely cleaned by this cleaning
450 procedure, probably due to the more severe fouling that proteins caused in this
451 membrane.

- 452 • Results demonstrated that the higher the temperature of the cleaning solution as
453 well as the electric potential were, the higher HCE values were achieved.
- 454 • The presence of NaCl at low concentrations (5 mM) favoured membrane cleaning,
455 obtaining HCE values about 100 % at mild temperatures (37.5-50 °C) for the 15
456 kDa membrane. This fact is due to the electrochemical oxidation process that
457 occurs when NaCl is used as electrolyte and transformed to hypochlorite by the
458 application of electric fields.
- 459 • The best operating conditions to clean the 15 kDa membrane fouled by whey model
460 solutions were a NaCl concentration of 5 mM, a transmembrane pressure of 1 bar, a
461 crossflow velocity of $4.2 \text{ m}\cdot\text{s}^{-1}$, a electric field potential of 30 V and a temperature
462 around 43.8 °C.

463

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465

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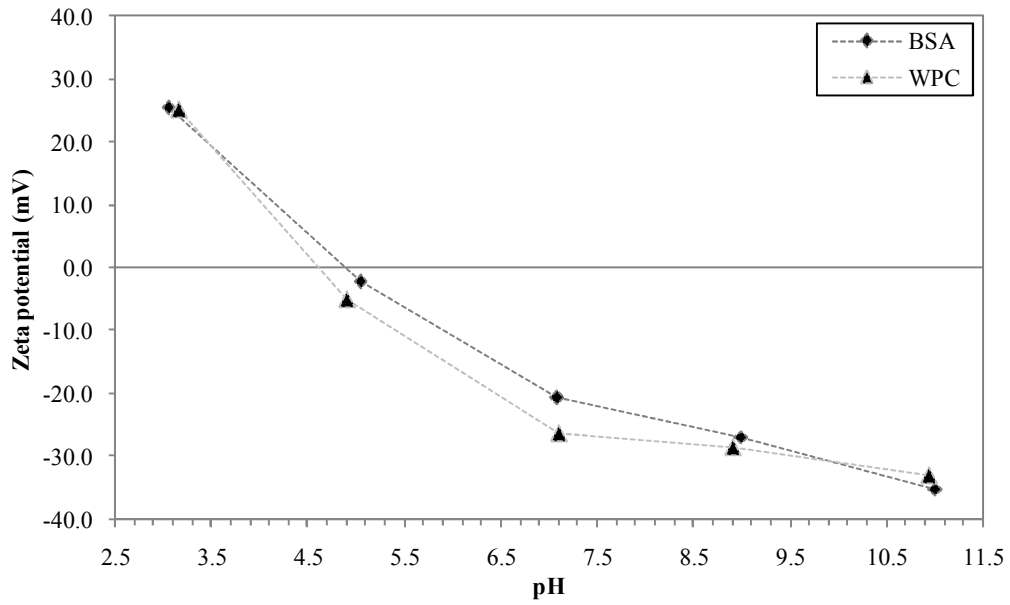


Fig. 1. Evolution of zeta potential with pH for BSA and WPC solutions.

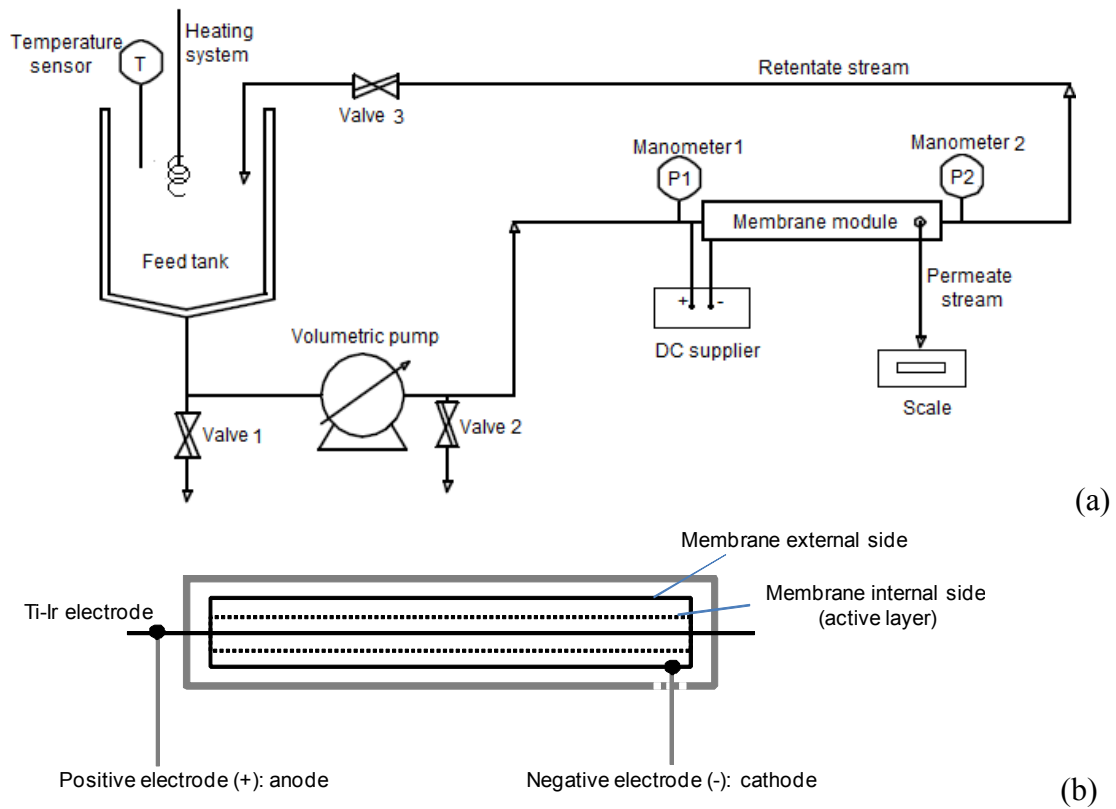


Fig. 2. Schematic representation of the VF-S11 UF plant connected to a direct current (DC) supplier (a) and electrodes connection in the membrane module (b).

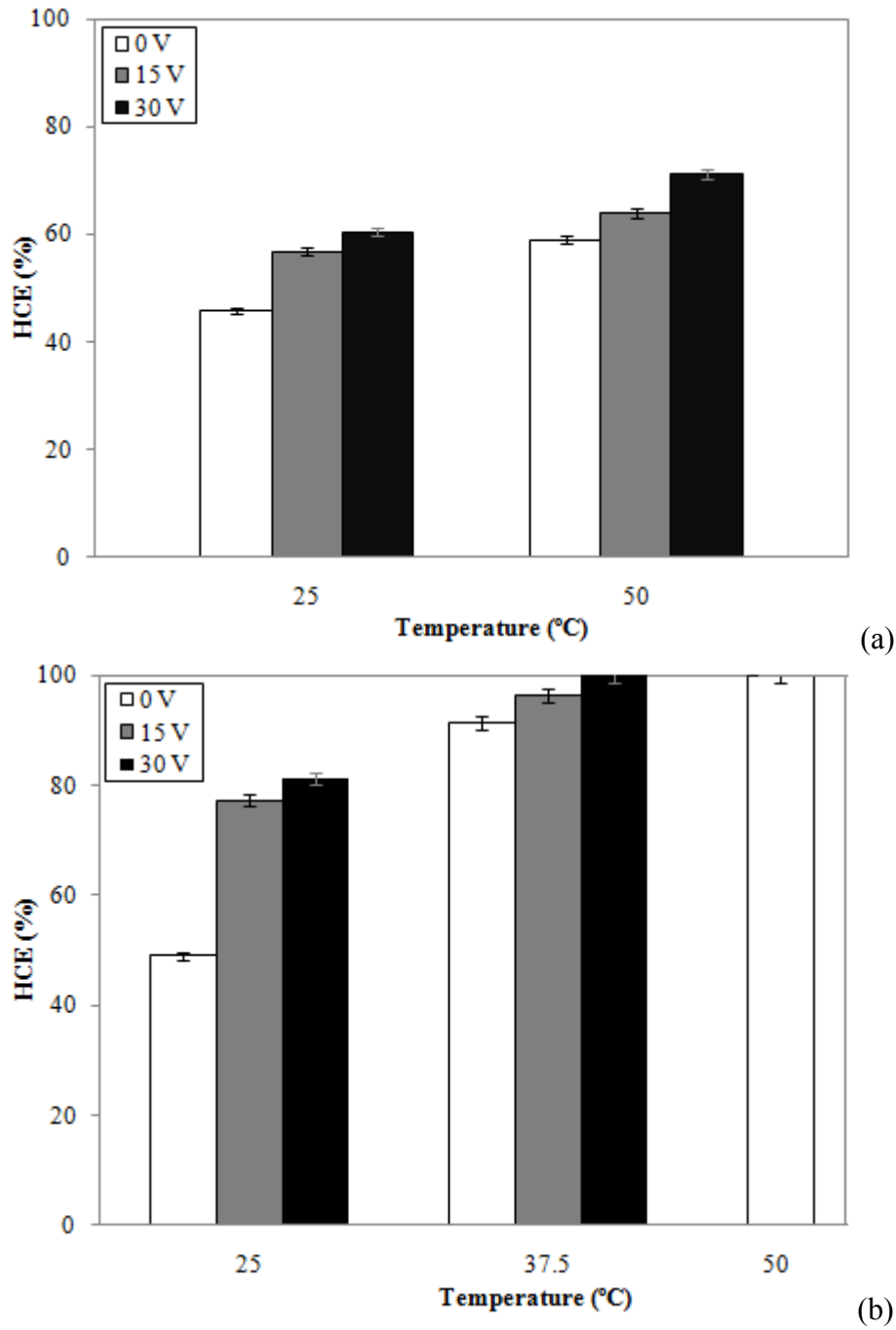


Fig.3. Influence of temperature of the cleaning solution and electric field potential on HCE for the 15 kDa membrane using (a) deionized water and (b) NaCl at a concentration of 5 mM as cleaning solution (fouling solutions: BSA; operating conditions during cleaning: 1 bar and $4.2 \text{ m}\cdot\text{s}^{-1}$).

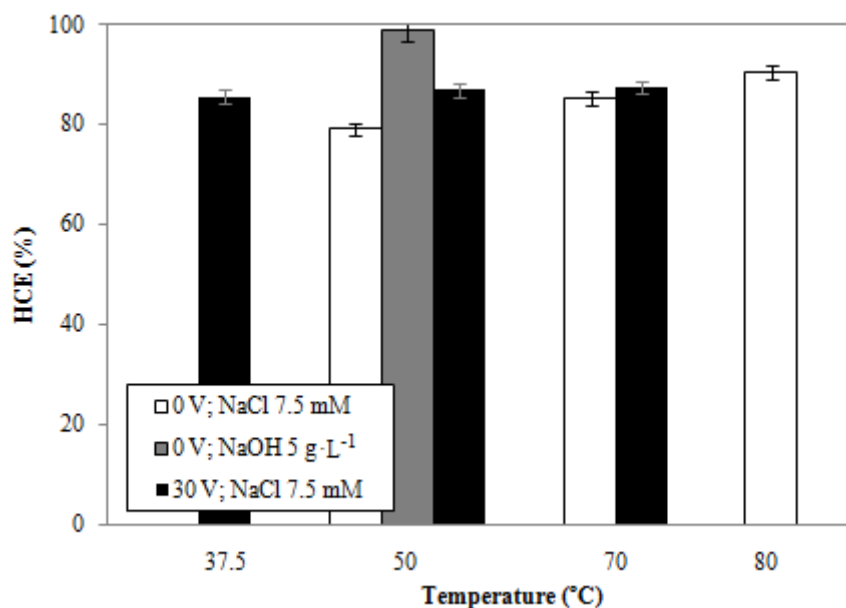


Fig. 4. Influence of temperature of the cleaning solution and electric field potential on HCE for the 50 kDa membrane using different cleaning agents (fouling solution: BSA; operating conditions during cleaning: 1 bar and $4.2 \text{ m}\cdot\text{s}^{-1}$).

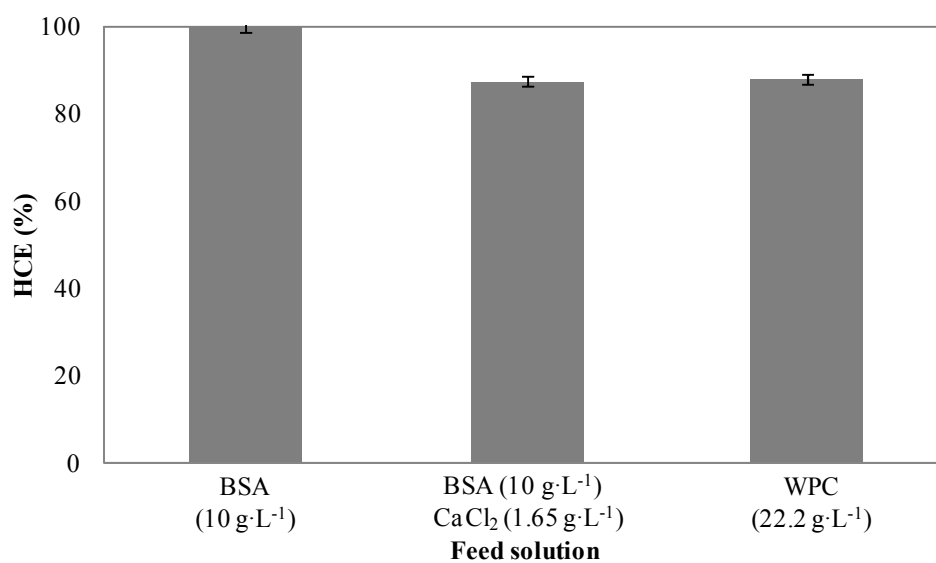


Fig. 5. Influence of feed solution composition during the fouling step on HCE for the 15 kDa membrane (operating conditions during cleaning: 1 bar, $4.2 \text{ m}\cdot\text{s}^{-1}$, $37.5 \text{ }^\circ\text{C}$, 30 V and 5 mM NaCl).

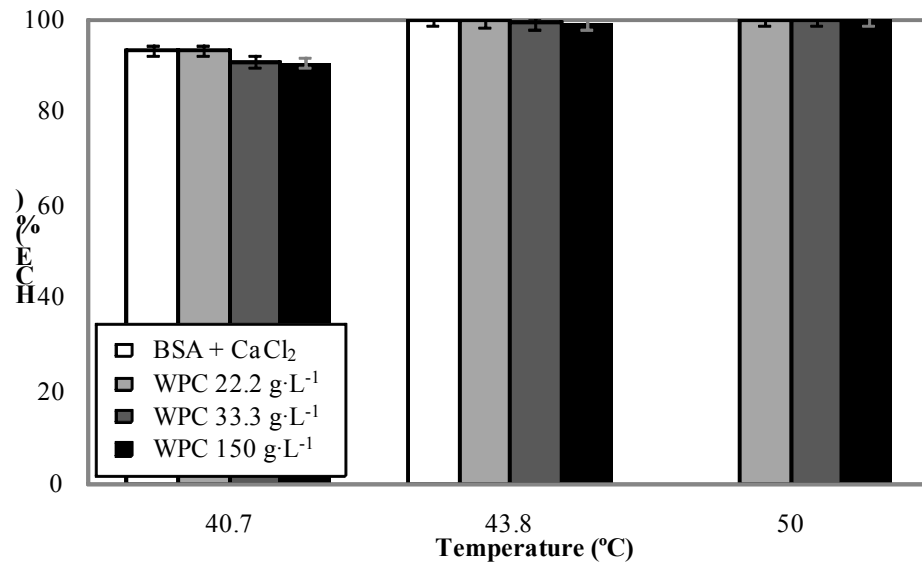


Fig. 6. Influence of temperature of the cleaning solution on HCE for the 15 kDa membrane (operating conditions during cleaning: 1 bar, $4.2 \text{ m}\cdot\text{s}^{-1}$, 30 V and 5 mM NaCl).

Table 1. Main components of the Renylat WPC used as feed solution

Component	Dry basis concentration (% w/w)
Dry matter	93.66 ± 0.95
Proteins	40.74 ± 0.79
Lactose	38.27 ± 0.49
Fat	8.14 ± 0.20
Ash	7.85 ± 0.07
<i>Ca</i>	0.79 ± 0.06
<i>Na</i>	1.21 ± 0.09
<i>K</i>	1.42 ± 0.02
<i>Cl</i>	4.07 ± 0.24
<i>PO₄-P</i>	0.37 ± 0.03

Table 2. Cost comparison between conventional chemical cleaning and physical cleaning

Item	Cost (€ per cleaning experiment)	
	Conventional chemical cleaning (NaOH 5 g/L at 50 °C)	Physical cleaning by electric fields (NaCl 5 mM at 43.8 °C and 30 V)
Chemicals	0.30	0.02
Heating	0.02	0.02
Electric field application	—	0.01
TOTAL	0.32	0.05