

Document downloaded from:

<http://hdl.handle.net/10251/83530>

This paper must be cited as:

Berenguer Verdú, A.J.; Fusco, V.; Zelenchuk, D.E.; Sánchez-Escuderos, D.; Baquero Escudero, M.; Boria Esbert, V.E. (2016). Propagation Characteristics of Groove Gap Waveguide Below and Above Cutoff. IEEE Transactions on Microwave Theory and Techniques. 64(1):27-36. doi:10.1109/TMTT.2015.2504501.



The final publication is available at

<http://dx.doi.org/10.1109/TMTT.2015.2504501>

Copyright Institute of Electrical and Electronics Engineers (IEEE)

Additional Information

(c) 2015 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other users, including reprinting/ republishing this material for advertising or promotional purposes, creating new collective works for resale or redistribution to servers or lists, or reuse of any copyrighted components of this work in other works.

Propagation Characteristics of Groove Gap Waveguide Below and Above Cutoff

Antonio Berenguer, *Student Member, IEEE*, Vincent Fusco, *Fellow, IEEE*, Dmitry E. Zelenchuk, *Member, IEEE*, Daniel Sánchez-Escuderos, *Member, IEEE*, Mariano Baquero-Escudero, *Member, IEEE*, Vicente E. Boria-Esbert, *Senior Member, IEEE*

Abstract—Recently, gap waveguides have been shown as a potential alternative to conventional waveguides in the millimeter-wave band. Until now, Groove Gap Waveguide (GGW) has been studied through direct correspondence with rectangular waveguide with the same physical dimensions. However there have been observed differences in the above cutoff propagation characteristics between these two waveguide types. Furthermore, the behavior of GGW below cutoff remains unknown. This work presents a discussion of both below and above cutoff propagation characteristics of GGW, and introduces a simple model that explains the observed GGW behavior and establishes well its propagation characteristics. Two TRL calibration kits have been manufactured, and the measurements have good agreement with the proposed analysis model results.

Index Terms—groove gap waveguide, transmission lines, characteristic impedance, evanescent propagation.

I. INTRODUCTION

The millimeter-wave band [1]-[4] continues to attract the interest of the research community, as new applications consistently demand the development of suitable components operating in this high frequency range. At millimeter-wave bands, dielectric materials can have high losses [5]-[6] and coupling to substrate modes [7]-[8] can be problematical. Recently, as an attempt to solve these problems, Gap Waveguides (GW) were proposed [9]-[10]. GW are based on the use of a periodic structure, usually realized by square metal pins, shown in Fig. 1. The pin lattice introduces a high impedance condition at the plane above the pins. Thus, by placing a metal plate at a distance $h_a < \lambda/4$ from the top of the pins, no wave can propagate in this region over a certain frequency range, defined by the periodic lattice parameters. Groove Gap Waveguide (GGW) can take two versions, vertical polarization (VP), Fig. 1(a), and horizontal polarization (HP), Fig. 1(b). Both

Manuscript received May 14, 2015; revised November 18, 2015; accepted X xx, xxxx. Date of publication January xx, xxxx; date of current version X xx, xxxx. This work was supported by the Spanish Ministerio de Economía y Competitividad under projects TEC2013-47360-C3-3-P and TEC2013-47037-C5-1-R, and by the Spanish Ministerio de Educación under FPU research fellowship program AP2010-4227.

A. Berenguer, D. Sánchez-Escuderos, M. Baquero-Escudero and V. E. Boria-Esbert are with the Instituto de Telecomunicaciones y Aplicaciones Multimedia (ITEAM) of the Universitat Politècnica de València, c/ Cami de Vera s/n, 46022 Valencia, Spain (e-mail: anbever@iteam.upv.es, dasanes1@iteam.upv.es, mbaquero@dcom.upv.es, vboria@dcom.upv.es)

V. Fusco and D. E. Zelenchuk are with The Institute of Electronics, Communications and Information Technology, Queen's University Belfast, Queen's Island, Belfast BT3 9DT, Northern Ireland, U.K. (e-mail: v.fusco@ecit.qub.ac.uk, d.zelenchuk@qub.ac.uk)

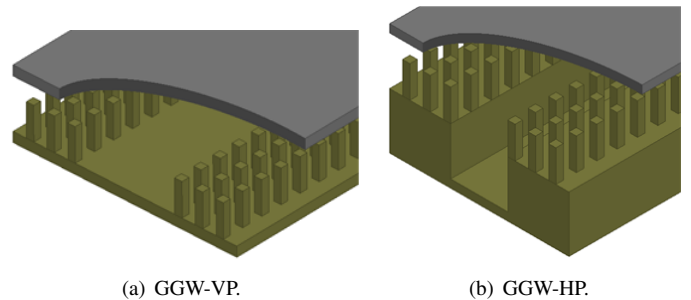


Fig. 1. Groove Gap waveguides.

versions behave in a similar way as a rectangular waveguide, propagating a quasi-TE mode [9]-[11].

GGWs have shown their potential advantage versus conventional waveguides through prototypes, including couplers, filters and antennas [12]-[15]. However, at this moment, although the behavior of the periodic lattice is characterized [16], it seems that more efforts are necessary in the development of simple models that explain better GGW propagation characteristics especially close to, and below, cutoff.

The difficulty in characterizing gap waveguides arises from the presence of the periodic pin structure, which leads to a waveguide which is not homogeneous in the transversal direction, is periodic in the propagation direction, and has many design parameters. Homogenization of the structure based on metamaterial analogies has led to analytical models [17]-[19]. However, unlike metamaterials, the periodic structure in GGW is comparable with the operating wavelength, thus calling into question their general applicability.

In fact, the propagation characteristics of GGW have not been analyzed in great detail. Usually, it is assumed that GGW behaves like a rectangular waveguide with the same propagation channel dimensions [20]. However, in this paper, important differences between GGW and rectangular waveguide will be shown to exist. To the authors' knowledge, the GGW behavior near to, or below, the cutoff frequency has not been reported up to now. There are many practical applications using below-cutoff waveguides, since evanescent-mode components can be very compact, and are very appropriate to exhibit spurious free response [21]-[24].

Therefore, the main aim of this paper is to address the aforementioned questions. In particular, a simple model that shows very good agreement with full-wave results, and provides a simple explanation of how GGW operates, is presented. This

model is also useful as a tool for extracting the influence of the different waveguide parameters in the dispersion diagram through fast parametric analysis, thus avoiding the need for very time consuming full-wave EM simulations. The remainder of this paper will focus on GGW-VP (for simplicity, in the rest of the text, the term VP will be omitted), which is the option, to date, chosen to implement high quality resonators [25] and low insertion loss filters [13], [14].

The paper is organized as follows. In section II the Groove Gap Waveguide is reminded, and its propagation characteristics are studied both below and above cutoff. The observed differences between GGW and classical rectangular waveguide are discussed. In section III, a simple propagation model is proposed and the results obtained are shown. In Section IV, the proposed model is successfully validated by means of experimental measurements of two GGW prototypes. Finally, conclusions and overall remarks are given.

II. THE GROOVE GAP WAVEGUIDE

The Groove Gap Waveguide was firstly proposed in [9]. Fig. 2 shows the transverse view of this type of waveguide, its main geometrical parameters and the field distribution of its fundamental propagating mode. The periodic structure inhibits propagation in lateral directions, and imposes a propagation mode similar to the TE_{10} mode of standard rectangular waveguide.

Although the lateral periodic structures should be of infinite extension ideally, in practice they can be significantly truncated without significant loss of performance. Three rows of pins have been shown to be enough in order to achieve the desired effect of forbidden propagation in the lateral regions [13], [25].

A. Operation above cutoff

To analyse the dispersion diagram of the structure, the dimensions used in [13] are taken as a reference. These dimensions are $h_p = 2.4$ mm $w = 0.3$ mm, $p = 0.9$ mm, $h_a = 0.375$ mm and $a = 4.7$ mm. The full-wave simulations are carried out using CST[®]. The simulated model includes three rows of pins at each lateral side, following the conclusions of [13] and [25], and PEC is placed as lateral boundary condition at a distance $s = p - w$ from the third row on each side. The authors have checked that, although no difference is obtained between using a PEC wall or leaving the structure open (the field is noticeably attenuated after the third row of pins), the employed model is more suitable in terms of computation time.

Fig. 3 shows the propagation constant of the first modes for this structure. The dashed curve is the propagation constant of a plane wave, solid curves correspond to unwanted modes and the curve with square marks is the desired mode. The propagation constant of a rectangular waveguide with same dimensions of the propagation channel of the GGW (i.e., $a_{RW} = 4.72$ mm, $b_{RW} = 2.775$ mm) is displayed for comparison (solid line with crosses). It can be seen that the band [28.1 GHz - 52.9 GHz] represents the stopband of the

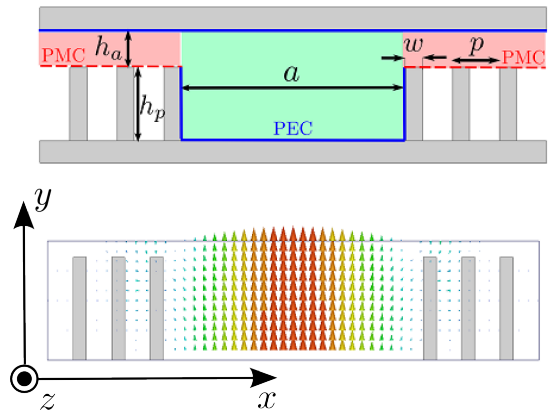


Fig. 2. GGW cross-section and E-field distribution of its fundamental mode.

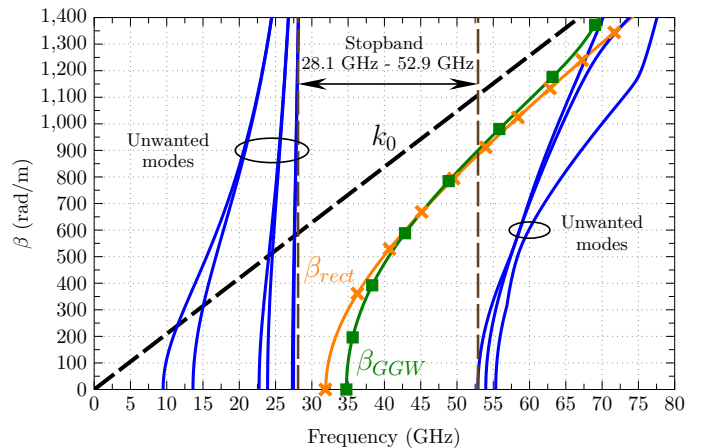


Fig. 3. GGW dispersion diagram. Three lateral rows of pins are used.

periodic structure, so that in such frequency range only the desired mode is propagating in the GGW.

In previous works, [13], [14], [20], it has been assumed that the equivalent of a GGW is a rectangular waveguide having the same propagation channel dimensions (i.e. $a_{RW} = a_{GGW}$, $b_{RW} = h_{a,GGW} + h_{p,GGW}$). However, when comparing the curves of the GGW with those of the rectangular waveguide (see Fig. 3) it can be seen that in the upper half of the stopband both curves are similar, but that this is not true near cutoff. In fact, both waveguides present a different cutoff frequency, and even for frequencies where they are similar, a greater dispersive behavior is observed for the GGW structure.

To further characterize the propagation properties of GGW, the width of the propagation channel a is parameterized. To cover the possible cases of having cutoff going from near the minimum frequency of the stopband to the maximum frequency of the stopband, six values of a equally distributed between $a = 2.8$ mm and $a = 6$ mm are considered. The standard waveguide configuration is represented by the curve $a = 4.72$ mm [13].

The results of this parameterization are shown in Fig. 4. Here, in most cases, the GGW presents a higher cutoff frequency than its rectangular waveguide counterpart, especially as a increases. This means that GGW is effectively smaller in terms of propagating aperture than the equivalent rectangular

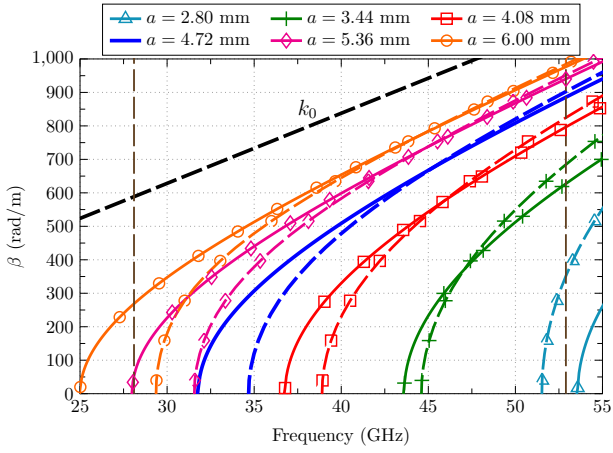


Fig. 4. Propagation constant of the GGW and of the rectangular waveguide for different values of a . Solid lines correspond to the rectangular waveguide and dashed lines correspond to the GGW.

waveguide assumed for each case (same physical aperture). The contrary could be expected since in GGW the fields are not strictly transmitted in the channel, but spread evanescently through the lateral pin regions. As a decreases, this difference becomes smaller and, if a is small enough ($a = 2.8$ mm) the GGW has lower cutoff frequency than the rectangular waveguide, and becomes effectively larger than the equivalent rectangular waveguide.

In general, simulation reveals GGW to exhibit a greater dispersive behavior when compared with the equivalent rectangular waveguide. For the cases of larger a values, the GGW curve grows faster with frequency and reaches the rectangular waveguide curve, and, although β_{GGW} surpasses β_{rect} , both curves are quite similar from that point. For the cases of smaller a values, this difference is greater and both curves diverge having only a very narrow band of coincidence, or even no coincidence (e.g., at $a = 2.8$ mm where a very dispersive curve is observed). This behavior will be understood through the analysis of section III.

From the above study, it can be concluded that the standard assumption of equivalence between rectangular waveguide and GGW is only valid in certain bandwidth, which are determined by specific range values of a .

B. Operation below cutoff

In a below cutoff rectangular waveguide the lateral conditions are electric walls, and evanescent energy is delivered along the axial direction only. In the GGW, the condition of forbidden propagation into the pins regions also permits exponential decay as a lateral condition [17]. Consider the following example; the electric field is simulated for the case of a GGW with $a = 4.72$ mm, which implies a cutoff of $f_c = 34.68$ GHz. Since the stopband of the GGW structure starts at $f = 28.1$ GHz, three frequencies are analyzed, $f = 28$ GHz (outside the stopband, mode below cutoff), $f = 29$ GHz (inside the stopband, mode below cutoff), and $f = 40$ GHz (inside the stopband, mode under usual operation above cutoff). The results of this comparison are shown in

Fig. 5. As can be seen, outside the stopband, the field spreads into the pin structure, whereas inside the stopband energy is delivered along the axial direction in a similar manner to the rectangular waveguide in both cases, below and above cutoff. It is observed, however, that the field spreads more in the lateral directions when the mode is below cutoff.

In Fig. 6 the E_y component is plotted as a function of x on two transverse planes: $z = z_1$ (transversal plane cutting the pins at the middle) and $z = z_2$ (transversal plane located between two rows of pins) for different heights. The frequencies considered are $f = 29$ GHz (far below cutoff), $f = 34$ GHz (near below cutoff), $f = 40$ GHz (above, but near, cutoff), and $f = 52$ GHz (far above cutoff). These graphs allow to quantify better the propagation differences in terms of the operating frequency. It is seen that the field is better confined in the propagation channel as frequency increases. As it is well-known, under below cutoff operation, the axial attenuation is higher when the operating frequency is further from the cutoff (lower frequencies). At those frequencies, relative to the axial attenuation, the lateral attenuation imposed by the pins becomes comparable (i.e., the mode is not clearly forced to follow the axial direction as it occurs in other cases where the axial attenuation is lower). This explains why the field spreads more laterally.

Above cutoff lower interaction with the GGW lateral walls occur, since the mode is not longer evanescent. The higher the frequency, the lower the interaction with the lateral walls imposed by the pins is, what can be explained if one thinks in the usual two plane wave decomposition of the fundamental mode of a rectangular waveguide: the closer β to k_0 , the lower the angle of incidence on the walls is. It is worth to mention that in most of the structure (from $y = 0$ to $y = h_p$) the field correspond approximately to the solid curve with square marks. These results suggest that GGW can operate below cutoff in an analogous manner to rectangular waveguide. However the differences between both waveguides types are accentuated and local effects near or above the first row of pins must be taken into account. In fact, the influence of the pins is clearly appreciated in Fig. 6, comparing the two positions in z considered. Concentration of the E_y is observed near the pins, specially for lower frequencies. This should be taken into account if elements such as irises or radiating slots are disposed close to the pins.

We now study the dispersion diagram of the structure under below cutoff operation. Above cutoff, it is sufficient to calculate the propagation constant of the GGW fundamental mode as indicated in [26], i.e., solving the corresponding eigen-value problem. Below cutoff we must simulate the whole structure, the one shown in Fig. 5(a). Rectangular waveguide ports with the dimensions of the GGW propagation channel are employed as suggested in [20]. Once the structure is solved, the following expression is evaluated:

$$\alpha(\text{Np/m}) = \frac{\ln\left(\frac{E_y(z_1)}{E_y(z_2)}\right)}{z_1 - z_2} \quad (1)$$

here $z_1 > z_2$ and $E_y(z_i)$ is the amplitude of the E_y field component in the center of the waveguide ($x = a/2$) at the

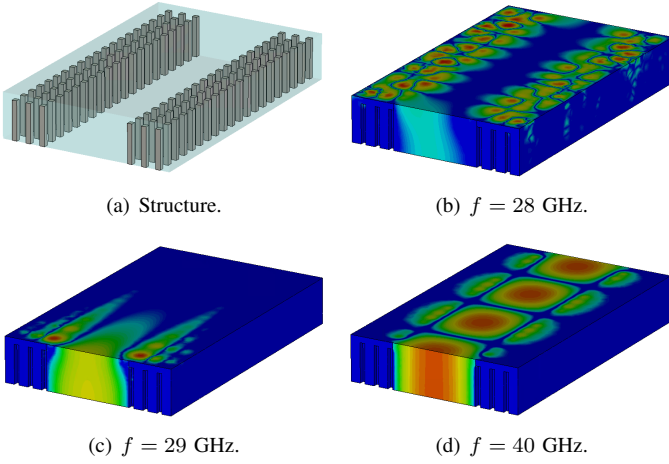


Fig. 5. E_y field inside a GGW with $a = 4.72$ mm for different cases of propagation.

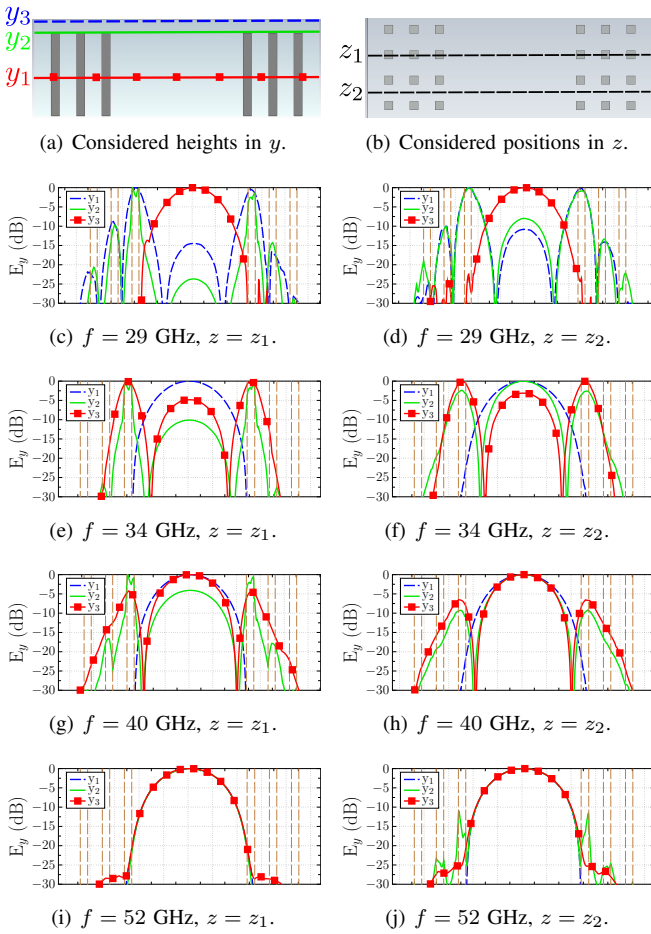


Fig. 6. $E_y(x)$ GGW with $a = 4.72$ mm on a transversal plane at three different heights y_i and at two different positions in z . Solid line with square marks is $y_1 = h_p/2$, solid line is $y_2 = h_p$, and dashed line is $y_3 = h_p + h_a$. Left column of graphs correspond to position $z = z_1$ (transversal plane cutting the pins at the middle) and the right column of graphs correspond to position $z = z_2$ (transversal plane located between two rows of pins). Vertical dashed lines are included to indicate the position of the pins in x for clarity purposes.

corresponding z -position.

The results of this study are shown in Fig. 7, which displays the attenuation and propagation constants of a rectangular

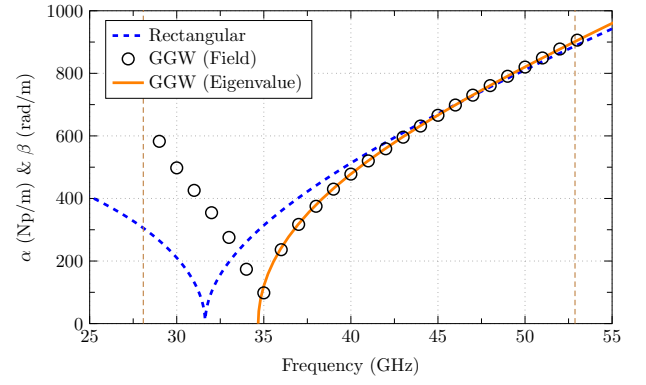


Fig. 7. Propagation and attenuation constant for a rectangular waveguide and a GGW of $a = 4.72$ mm.

waveguide (analytical) and a GGW (eigenvalue computation, and field computation of the full structure) for the case of $a = 4.72$ mm.

Fig. 7 indicates that the difference between curves for both waveguide types continue increasing when the frequency goes below cutoff. It is seen that as the frequency decreases, the attenuation in the GGW grows faster than in the rectangular waveguide. Furthermore GGW exhibits growth as the stopband limit approaches instead of the expected slope decrease (as it happens with the rectangular waveguide). Similar results have been observed for other values of a , indicating that rectangular waveguide and GGW behave noticeably different below cutoff.

III. PROPOSED MODEL

From the previous study, it is clear that the assumption of an equivalent behavior in a GGW and a rectangular waveguide with identical width a implies errors, unless f_c is near to the low stopband limit and the operation frequency is far enough from cutoff. Moreover, modelling this behavior is not possible by scaling the rectangular waveguide by a constant factor depending on the geometry (as it occurs with the Substrate Integrated Waveguide -SIW- [27]), since both waveguides possess different dispersion characteristics. The shape of the obtained curves indicates that a mechanism is occurring within the structure, which involves the presence of a reactance due to the periodic lateral lattice. This reactance modifies the effective width of the GGW with the frequency.

A. Proposed Method

Consider GGW, but now with regard to propagation in the lateral direction \hat{x} . The most interesting parameter in this configuration is the impedance Z_l that is seen when looking into the first row of pins (as shown in Fig. 8), where Z_l is the impedance of the waves incident on the side wall.

The reference plane is placed on the first pin with the rest of the arrangement terminated with a perfect electric conductor (PEC), located at a distance of value $(p - w)$ with regard to the third pin. Periodicity is considered and only one period is analyzed, using PMC at the laterals (i.e., at $z = z_0$ and $z = z_0 + p$). It has not been appreciated difference in the results when using more pins or adding more space after the

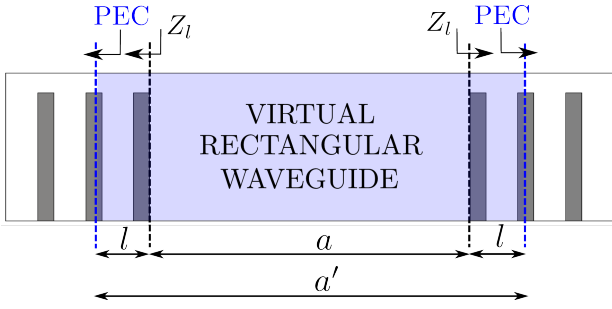


Fig. 8. Schematic of the proposed method.

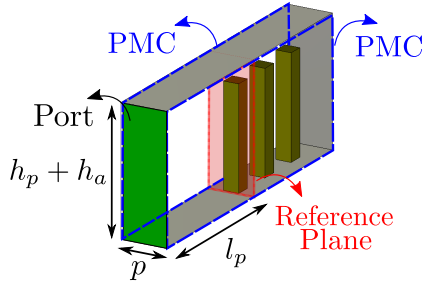


Fig. 9. Schematic of the structure solved with CST to obtain Z_l .

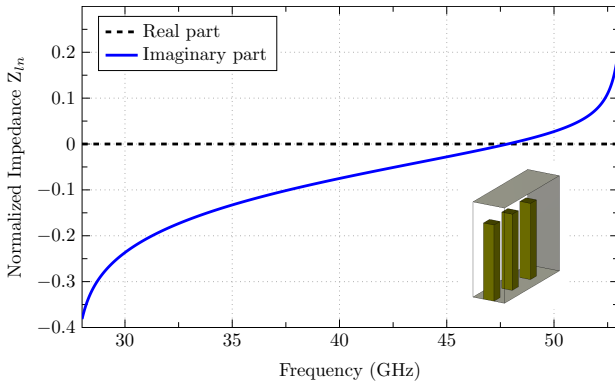


Fig. 10. Lateral impedance viewed at the first pin row plane.

third pin, and only a slight difference when using one pin less. Again, in terms of accuracy and time three rows seems to be the optimum choice.

Referred to this port the normalized input impedance of the structure is

$$Z_{ln} = \frac{1 + S_{11}}{1 - S_{11}} \quad (2)$$

In order to obtain the required S_{11} parameter, the structure depicted in Fig. 9 has been simulated. In this figure it can be seen the PMC planes at the lateral sides of the structure, which provide periodicity by means of image theory, the unique port of the structure, and the reference plane. The S_{11} parameter is easily obtained at the reference plane by de-embedding, and using (2), the normalized impedance Z_{ln} is calculated. For canonical shapes further reductions in computing time are possible using the methods proposed in [28]-[29].

With the model of Fig. 9 only a lateral row of three

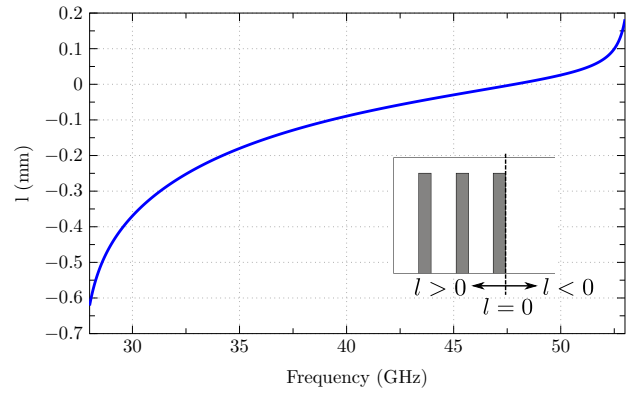


Fig. 11. Distance l at which a PEC wall would produce the same impedance as the one of the periodic structure.

pins and the vacuum volume of the auxiliary feeding parallel-plate $l_p \times p \times (h_p + h_a)$ are discretized and solved to determine its scattering parameters. Note that l_p can be made as small as desired and the discretizing cost of the auxiliary waveguide is negligible. The resulting normalized impedance obtained with this approach is shown in Fig. 10. We can see that the periodic structure presents a reactance that exhibits capacitive behavior at the beginning of the stopband, changing to inductive behavior at the end of the stopband and crossing zero at $f = 47,94$ GHz.

Let us now consider the normalized input impedance of transmission line of characteristic impedance Z_0 loaded with an impedance Z_L . If the transmission line is terminated with a short-circuit ($Z_L = 0$), the input impedance becomes

$$Z_{ln} = j \tan(\beta_p l) \quad (3)$$

hence

$$l = -\frac{1}{\beta_p} \tan^{-1}(jZ_{ln}) \quad (4)$$

where β_p is the propagation constant of the lateral parallel plate waveguide feeding the structure with three pins. Thus, the GGW is equivalent to a rectangular waveguide having lateral walls positioned at a distance which depends on Z_{ln} (and therefore on the frequency value). We have therefore a virtual rectangular waveguide with $a' = a + 2l$ (see Fig. 8).

Since for small arguments the function $\tan^{-1}(x)$ is almost linear and the β_p variation with frequency is small compared Z_l frequency variation, the behaviour of both Z_l and l is quite similar. Depending on the sign of l , the GGW will be equivalent to a smaller ($l < 0$) or a larger ($l > 0$) rectangular waveguide, as shown in the inset of Fig. 11.

B. Results

The cutoff frequency of the fundamental mode in a rectangular waveguide is:

$$f_c = \frac{c}{2a} \quad (5)$$

Since in the proposed model for the GGW the equivalent width a' depends on the frequency, the term f_c will also have

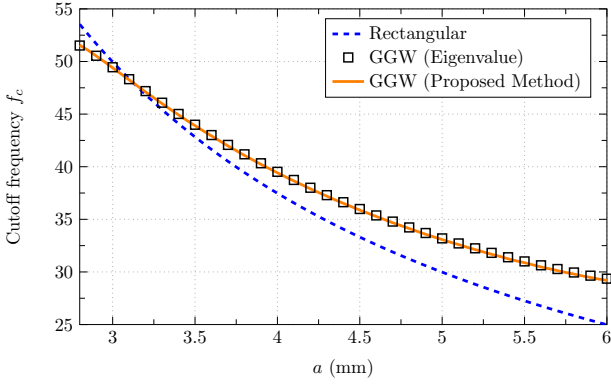


Fig. 12. Cutoff frequency f_c vs. waveguide width a .

this dependence. For a given frequency f_0 , one has $a'(f_0)$ and from (5), $f_c(f_0)$. In order to obtain the cutoff frequency for the GGW, a zero-finding routine is applied to $y(f) = f_c(f) - f$. Fig. 12 shows the cutoff frequency f_c as a function of a for both rectangular waveguide and GGW, comparing the solutions obtained with the eigenvalue method and the proposed model for the GGW. The CPU effort spent in the zero-finding routine is negligible.

The propagation or attenuation constant of the GGW can now be obtained through the standard rectangular waveguide formulas:

$$\beta = \sqrt{k^2 - \left(\frac{\pi}{a'}\right)^2} \quad f \geq f_c \quad (6)$$

$$\alpha = \sqrt{\left(\frac{\pi}{a'}\right)^2 - k^2} \quad f < f_c \quad (7)$$

In Fig. 13, it can be observed how the presented method shows good agreement with the other techniques based on intensive full-wave simulations (i.e., the one based on eigenvalues and the one using field evaluation).

Above cutoff, as a' grows with frequency (6) implies for GGW that β grows with the frequency faster than for the rectangular waveguide case. When the term k^2 is large compared with $(\pi/a')^2$ the variation of a' is less significant, and the propagation behavior is similar to that of a standard rectangular waveguide. This occurs for large values of a and high frequencies.

Below cutoff, as frequency is reduced, the term k^2 becomes small compared with $(\pi/a')^2$. Thus, with regard to (7), α exhibits growth with the decrease of a' with the frequency. This effect explains why the α curve of the GGW does not exhibit a reduction of its slope, as occurs with the rectangular waveguide when the frequency decreases. Therefore, below cutoff, the assumption of the rectangular waveguide as an equivalent GGW with the same propagation channel dimensions implies a considerable error. Finally, it is worth to note that, due to the Foster Reactance Theorem [30], the lateral reactance will always monotonically increase with the frequency. This, translated through (4) and (6) gives that GGW will always exhibit greater or equal dispersion than the equivalent rectangular waveguide.

TABLE I
COMPARISON OF CPU TIMES.

	Computation time	Recalculation for different a
Field (full structure)	1380 s	YES
Eigenvalue (only β)	4230 s	YES
Proposed Method	24 s	NO

The proposed method has shown good accuracy for the several cases of a considered. However, it is desirable to check its performance for different geometries of the periodic structure. The authors have checked several geometries, obtaining good results. In this paper, two extreme cases, whose dispersion curves are plotted in Fig. 14, are presented. The case A ($w = 0.1$ mm, $p = 1.3$ mm, $h_p = 2$ mm, and $h_a = 1.8$ mm) implies a narrow stopband going from 34 GHz to 39.4 GHz and the GGW becomes very dispersive under this condition. As can be observed, the rectangular waveguide becomes a quite poor approximation for the GGW in this case and, however, the proposed method is able to recover the dispersion curves with good accuracy.

The case B ($w = 0.1$ mm, $p = 1.3$ mm, $h_p = 2$ mm, and $h_a = 1.8$ mm) implies a wide stopband going from 15 GHz to 54.4 GHz. In this case, the GGW has a dispersion close to the rectangular waveguide. However, this geometry produces an inductive behaviour of Z_{ln} , which implies a larger GGW in terms of effective area than the corresponding rectangular waveguide. As a result, also in this case, the rectangular waveguide is not a good approximation, especially in the propagation region, whereas the proposed method keeps its good accuracy.

The presented method has shown good performance even for these extreme cases indicating that this method is not only fast and accurate, but also robust. Thus, it can be used for pin structure optimization purposes in a dispersion synthesis task, considering a wide range of geometries. Furthermore, with the different geometries of the periodic structure considered in this paper, they have been shown the promising dispersion synthesis possibilities of the GGW.

To end this section a computation efficiency evaluation is carried out. The used computing machine incorporates an Intel® Xenon® CPU E3-1245 @ 3.40 GHz and 16 GB of RAM memory. Results shown in the table I correspond to the computation time given by CST® for each case.

The efficiency of the proposed method with regard to the other cases is clear. The simulation of the whole structure results in a heavy task because of the size, and the solution of an eigenvalue problem is cumbersome, by nature [31]. In order to recover properly the requested data, several phase shifts and additional modes should be computed with the commercial software. Moreover, there is not possibility of solving the structure below cutoff with an eigenvalue simulation. In addition, a thoughtful post-processing is required, specially in the calculations involving the field at different frequencies (this additional effort has not been included in the comparison table).

Finally, a useful feature of this method is that, since the lateral impedance is independent of a , the same calculated

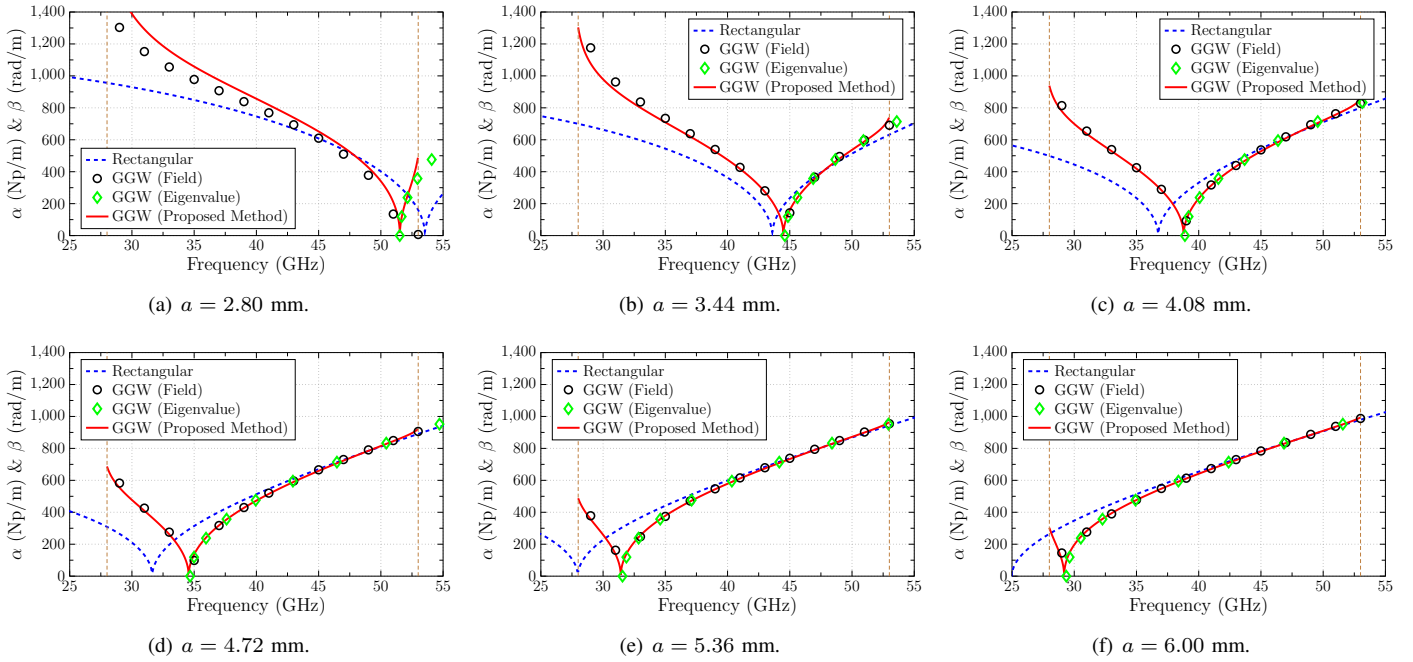


Fig. 13. Propagation and attenuation constants of the rectangular waveguide and the GGW, comparing several calculations methods for the GGW case.

values can be applied for different waveguide widths. This means that, for instance, the cost of obtaining the dispersion data of all the graphs of Fig. 13 is the same as the one requested for a graph if the proposed method is used. Contrarily, calculations corresponding to the field or the eigenvalue problem must be completely repeated for each case (value of a). This feature results interesting for the design of more advanced components, e.g., filters, where GGWs of different widths are involved, since the lateral impedance data can be incorporated into a commercial solver, and then use it for designing purposes considering the equivalent rectangular waveguide geometries, with expected noticeable reduction of related computational effort. Thus, due to its speed and additional physical insight, the proposed method appears to be an interesting tool for parametric analysis and optimized design of the GGW.

IV. EXPERIMENTAL RESULTS

In order to validate the presented results, two TRL [32] calibration kits corresponding to the GGW widths $a = 4.08$ mm and $a = 5.36$ mm have been manufactured through an in-house process using a DATRON[®] M25 milling system. Fig. 15 and 16 show the top and bottom piece, respectively, for one of the fabricated calibration kits. A perspective view of the bottom piece, where the metal pins can be clearly appreciated, is included in Fig. 17. Finally, the mounted calibration kit, including the transition from WR 22 to 2.4 mm coaxial is shown in Fig. 18. The use of the two different considered widths allows to further check that the presented method is properly obtaining the propagation constant in both cases, both below and above cutoff.

The corresponding measurements have been performed using a Keysight PNA N5227A Network Analyzer. The measure-

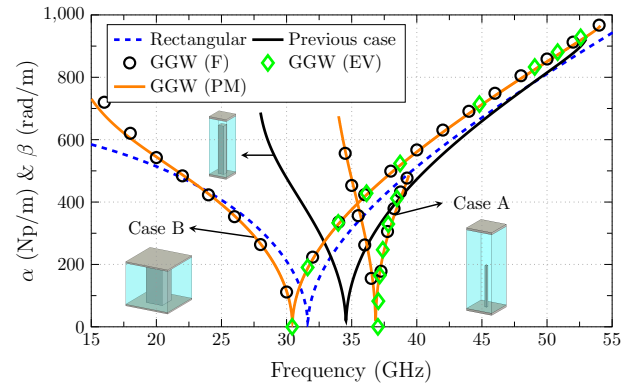


Fig. 14. Propagation and attenuation constants of a GGW with $a = 4.72$ mm for two different cases (A and B) of the pin structure, comparing several calculation methods (field -F-, eigenvalue -EV-, proposed method -PM-). Case A: $w = 0.1$ mm, $p = 1.3$ mm, $h_p = 2$ mm, and $h_a = 1.8$ mm. Case B: $w = 1.15$ mm, $p = 3$ mm, $h_p = 2.6$ mm, and $h_a = 0.1$ mm. Rectangular waveguide curves and previous GGW curves have been added for comparison. Also, images of the unit cells of the different geometries are included. GGW curves are only depicted inside the corresponding stopband.

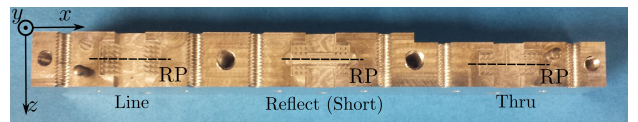


Fig. 15. TRL calibration kit. Bottom piece containing the pins.



Fig. 16. TRL calibration kit. Top piece.

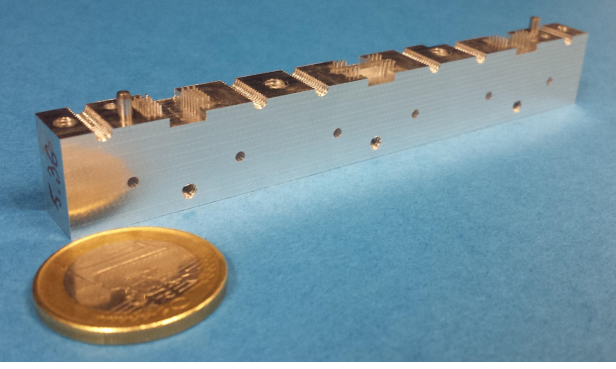


Fig. 17. TRL calibration kit. Perspective view.

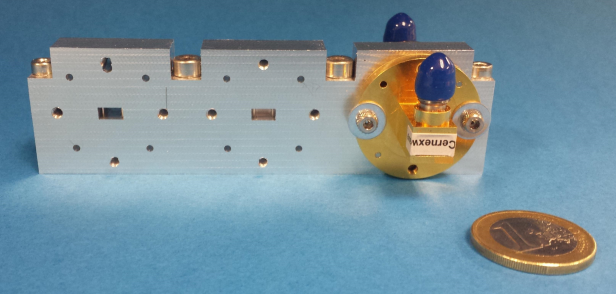


Fig. 18. TRL calibration kit. Complete piece and WR-22 rectangular to coaxial transitions.

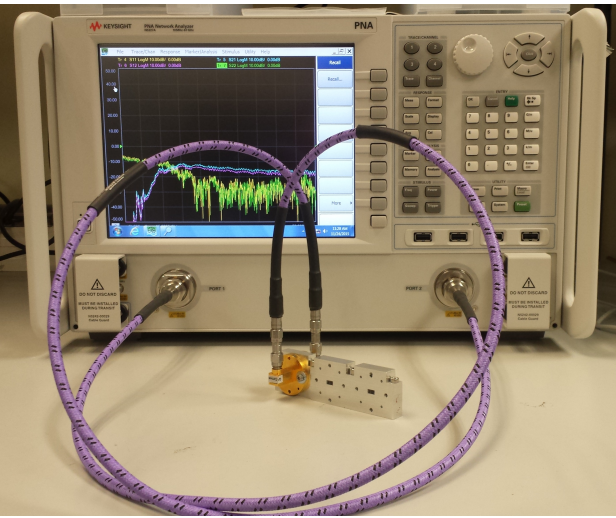


Fig. 19. Measurement setup.

ment setup is shown in Fig. 19. Note that the TRL calibration algorithm allows to recover the complex propagation constant $\gamma = \alpha + j\beta$ of each waveguide. Thus, the TRL calibration kits let us to recover the propagation constant (or attenuation constant if the waveguide is below cutoff) for the cases $a = 4.08$ mm and $a = 5.36$ mm. Comparisons between measured data and previous results (obtained with different methods) are shown in Fig. 20 and 21 for each case (a value). A good agreement is observed between the results obtained with the proposed method and the experimental curve. The slight frequency shift between both curves is justified by the precision of the in-house process, which is estimated to be

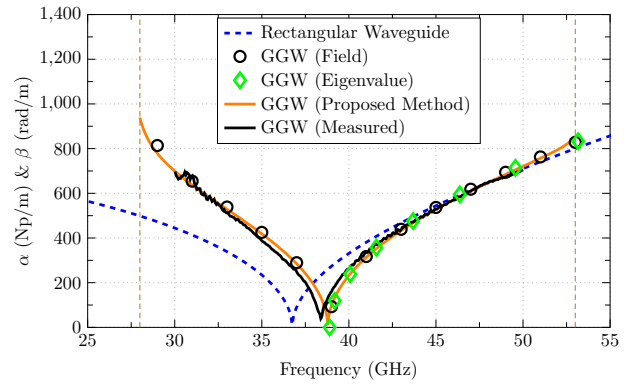


Fig. 20. Comparison between simulated and measured results, $a = 4.08$ mm.

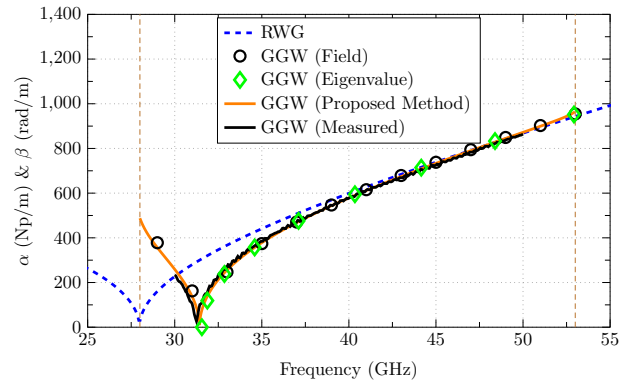


Fig. 21. Comparison between simulated and measured results, $a = 5.36$ mm.

about $\pm 10 \mu\text{m}$ in the horizontal plane and $\pm 30 \mu\text{m}$ in the vertical plane. The study performed in this section confirms that the novel proposed method provides a very accurate modelling of GGWs.

V. CONCLUSIONS

Through the study performed in this paper, it is observed that the direct equivalent correspondence normally assumed between the GGW and rectangular waveguide, which is normally used in practice, is a rough approximation providing accurate results only for some specific cases. Also, it has been proved that the GGW is able to operate below cutoff in a similar manner than the rectangular waveguide does. Nonetheless, it has been confirmed that the GGW and the rectangular waveguide behave in a different way in terms of their dispersion characteristics. A simple method for the accurate analysis of GGW dispersion characteristics, based on equivalent short-circuited transmission lines, has been presented, and it has been shown to provide very good prediction capability for all frequencies (below and above cutoff) and for a wide range of geometries of the pin structure. The proposed model reduces significantly the computational effort, thus being suitable for fast parametric analysis of GGWs and their efficient design through optimization algorithms. By using the proposed model it can be concluded that, in dispersion terms, the GGW is equivalent to a virtual rectangular waveguide whose width grows with the frequency. The good

agreement observed between the two measured results of the TRL calibration kits and the simulated results fully validates the proposed analysis method.

ACKNOWLEDGMENT

The authors would like to thank B. Bernardo-Clemente and A. Vila for the construction of the prototypes.

REFERENCES

- [1] D. Lockie and D. Peck, "High-data-rate millimeter-wave radios," *IEEE Microwave Magazine*, vol. 10, no. 5, pp. 75–83, 2009.
- [2] J. Wells, "Faster than fiber: The future of multi-G/s wireless," *IEEE Microwave Magazine*, vol. 10, no. 3, pp. 104–112, 2009.
- [3] T. S. Rappaport, S. Sun, R. Mayzus, H. Zhao, Y. Azar, K. Wang, G. N. Wong, J. K. Schulz, M. Samimi, and F. Gutierrez, "Millimeter wave mobile communications for 5G cellular: It will work!" *IEEE Access*, vol. 1, pp. 335–349, 2013.
- [4] J. Hasch, E. Topak, R. Schnabel, T. Zwick, R. Weigel, and C. Waldschmidt, "Millimeter-wave technology for automotive radar sensors in the 77 GHz frequency band," *IEEE Transactions on Microwave Theory and Techniques*, vol. 60, no. 3, pp. 845–860, 2012.
- [5] C. Yeh and F. Shimabukuro, *The essence of dielectric waveguides*. Springer Verlag, 2008.
- [6] M. N. Afsar, "Dielectric measurements of millimeter-wave materials," *IEEE Transactions on Microwave Theory and Techniques*, vol. 32, no. 12, pp. 1598–1609, 1984.
- [7] H. Shigesawa, M. Tsuji, and A. A. Oliner, "Simultaneous propagation of bound and leaky dominant modes on printed-circuit lines: A new general effect," *IEEE Transactions on Microwave Theory and Techniques*, vol. 43, no. 12, pp. 3007–3019, 1995.
- [8] F. Mesa, A. Oliner, D. Jackson, and M. J. Freire, "The influence of a top cover on the leakage from microstrip line," *IEEE Transactions on Microwave Theory and Techniques*, vol. 48, no. 12, pp. 2240–2248, 2000.
- [9] P.-S. Kildal, "Three metamaterial-based gap waveguides between parallel metal plates for mm/submm waves," in *3rd European Conference on Antennas and Propagation (EuCAP)*, 2009, pp. 28–32.
- [10] A. Valero-Nogueira, M. Baquero, J. I. Herranz, J. Domenech, E. Alfonso, and A. Vila, "Gap waveguides using a suspended strip on a bed of nails," *IEEE Antennas and Wireless Propagation Letters*, vol. 10, pp. 1006–1009, 2011.
- [11] E. Rajo-Iglesias and P.-S. Kildal, "Groove gap waveguide: A rectangular waveguide between contactless metal plates enabled by parallel-plate cut-off," in *4th European Conference on Antennas and Propagation (EuCAP)*, 2010, pp. 1–4.
- [12] E. Alfonso, A. Zaman, and P. Kildal, "Ka-band gap waveguide coupled-resonator filter for radio link diplexer application," *IEEE Transactions on Components, Packaging and Manufacturing Technology*, vol. 3, no. 5, pp. 870–879, 2013.
- [13] A. del Olmo-Olmeda, M. Baquero-Escudero, V. E. Boria-Esbert, A. Valero-Nogueira, and A. Berenguer, "A novel band-pass filter topology for millimeter-wave applications based on the groove gap waveguide," in *IEEE International Microwave Symposium*, 2013, pp. 1–4.
- [14] A. U. Zaman, P.-S. Kildal, and A. A. Kishk, "Narrow-band microwave filter using high-Q groove gap waveguide resonators with manufacturing flexibility and no sidewalls," *IEEE Transactions on Components, Packaging and Manufacturing Technology*, vol. 2, no. 11, pp. 1882–1889, 2012.
- [15] S. Martinez Giner, A. Valero-Nogueira, J. Herranz Herruzo, and M. Baquero Escudero, "Excitation of untitled narrow-wall slot in groove gap waveguide by using a parasitic dipole," in *7th European Conference on Antennas and Propagation (EuCAP)*, 2013, pp. 3082–3085.
- [16] E. Rajo-Iglesias and P.-S. Kildal, "Numerical studies of bandwidth of parallel-plate cut-off realised by a bed of nails, corrugations and mushroom-type electromagnetic bandgap for use in gap waveguides," *IET microwaves, antennas & propagation*, vol. 5, no. 3, pp. 282–289, 2011.
- [17] A. Polemi and S. Maci, "Closed form expressions for the modal dispersion equations and for the characteristic impedance of a metamaterial-based gap waveguide," *IET Microwaves, Antennas & Propagation*, vol. 4, no. 8, pp. 1073–1080, 2010.
- [18] M. Bosiljevac, A. Polemi, S. Maci, and Z. Sipus, "Analytic approach to the analysis of ridge and groove gap waveguides-comparison of two methods," in *5th European Conference on Antennas and Propagation (EuCAP)*, 2011, pp. 1886–1889.
- [19] M. Bosiljevac, Z. Sipus, and P.-S. Kildal, "Construction of green's functions of parallel plates with periodic texture with application to gap waveguides - a plane-wave spectral-domain approach," *IET Microwaves, Antennas Propagation*, vol. 4, no. 11, pp. 1799–1810, 2010.
- [20] H. Raza, J. Yang, P.-S. Kildal, and E. Alfonso, "Resemblance between gap waveguides and hollow waveguides," *IET Microwaves, Antennas Propagation*, pp. 1–7, 2013.
- [21] G. F. Craven and C. Mok, "The design of evanescent mode waveguide bandpass filters for a prescribed insertion loss characteristic," *IEEE Transactions on Microwave Theory and Techniques*, vol. 19, no. 3, pp. 295–308, 1971.
- [22] G. F. Craven and R. F. Skedd, *Evanescent mode microwave components*, 1987, vol. 1.
- [23] P. Ludlow and V. Fusco, "Reconfigurable small-aperture evanescent waveguide antenna," *IEEE Transactions on Antennas and Propagation*, vol. 59, no. 12, pp. 4815–4819, 2011.
- [24] P. Ludlow, V. Fusco, G. Goussetis, and D. E. Zelenchuk, "Applying band-pass filter techniques to the design of small-aperture evanescent-mode waveguide antennas," *IEEE Transactions on Antennas and Propagation*, vol. 61, no. 1, pp. 134–142, 2013.
- [25] E. Pucci, A. Zaman, E. Rajo-Iglesias, P.-S. Kildal, and A. Kishk, "Study of q-factors of ridge and groove gap waveguide resonators," *IET Microwaves, Antennas Propagation*, vol. 7, no. 11, pp. 900–908, 2013.
- [26] P.-S. Kildal, A. Zaman, E. Rajo-Iglesias, E. Alfonso, and A. Valero-Nogueira, "Design and experimental verification of ridge gap waveguide in bed of nails for parallel-plate mode suppression," *IET Microwaves, Antennas Propagation*, vol. 5, no. 3, pp. 262–270, 2011.
- [27] W. Che, K. Deng, D. Wang, and Y. Chow, "Analytical equivalence between substrate-integrated waveguide and rectangular waveguide," *IET microwaves, antennas & propagation*, vol. 2, no. 1, pp. 35–41, 2008.
- [28] G. Concinauro, M. Bressan, and C. Zuffada, "Waveguide modes via an integral equation leading to a linear matrix eigenvalue problem," *IEEE Transactions on Microwave Theory and Techniques*, vol. 32, no. 11, pp. 1495–1504, 1984.
- [29] H. Auda and R. F. Harrington, "Inductive posts and diaphragms of arbitrary shape and number in a rectangular waveguide," *IEEE Transactions on Microwave Theory and Techniques*, vol. 32, no. 6, pp. 606–613, 1984.
- [30] R. M. Foster, "A reactance theorem," *Bell System Technical Journal*, vol. 3, no. 2, pp. 259–267, 1924.
- [31] L. N. Trefethen and D. Bau III, *Numerical linear algebra*. Siam, 1997, vol. 50.
- [32] G. F. Engen and C. A. Hoer, "Thru-reflect-line: An improved technique for calibrating the dual six-port automatic network analyzer," *IEEE Transactions on Microwave Theory and Techniques*, vol. 27, no. 12, pp. 987–993, 1979.



Antonio Berenguer (S'14) was born in Onil (Alicante), Spain in May 1st, 1987. He received the Telecommunication Engineering degree in 2010 and M.S. degree in electrical engineering in 2011, both with honours from the Universitat Politècnica de València (UPV). He has been awarded with the Second Prize for the Best Final Degree Project in Security and Defense of the National College of Electrical Engineers (COIT) in 2010, and with the Best Academic Record of the Master's Degree in Communication Technologies, Systems and Networks (UPV) in 2011. In 2014, his paper was finalist of the Young Engineer Prize at the European Microwave Conference. He has been with the Institute of Telecommunications and Multimedia Applications (ITEAM) of the UPV since 2010. He is currently working towards the Ph.D. degree in electrical engineering with a FPU fellowship from the Spanish government, and towards the Bachelor Degree in Mathematics in the National Distance Education University (UNED). Since 2013, he has also held several lecturing positions at the UPV. His main research interests include terahertz technology, modal analysis of surface waveguides, numerical methods, and analysis and design of passive components on Gap Waveguide technology.



Vincent Fusco (S'87-M'82-SM'96-F'04) holds a personal chair in High Frequency Electronic Engineering at the Queens University of Belfast. His research interests include active antenna and front-end MMIC techniques. He is head of the High Frequency Laboratories at QUB where he is also director of the International Centre for System on Chip for Advanced Microwireless. Professor Fusco has published over 450 scientific papers in major journals and in referred international conferences. He has authored two text books, holds patents related to self-tracking antennas and has contributed many invited papers and book chapters. He serves on the technical programme committee for various international conferences including the European Microwave Conference. He is a Fellow of both the Institution of Engineering and Technology and the Institute of Electrical and Electronic Engineers. In addition he is a Fellow of the Royal Academy of Engineers and a member of the Royal Irish Academy.



Mariano Baquero-Escudero (S'87-M'90) was born in Murcia, Spain, on January 11, 1962. He received the degree in telecommunications engineering from the Polytechnic University of Catalonia (UPC), Barcelona, Spain, in 1986 and the Ph.D. degree from the Polytechnic University of Valencia (UPV), Valencia, Spain, in 1994. He became a Member (M) of IEEE in 1987. He was with the Antennas, Microwave and Radar Group, UPC, from 1986 to 1988, where he worked on the development of a cylindrical near-field facility to measure a 3-D radar antenna in CESELSA. Since 1989, he has been with the UPV where he became a Full Professor in 2003. During 1995, he held a postdoctoral grant at the Joint Research Centre, European Commission, Ispra, Italy, where he developed high-resolution algorithms for radar applications. From April 1996 to February 1998, he was a Vice-Dean of the Telecommunications Engineering School of Valencia. He is currently with the Communications Department and into the Institute of Telecommunications and Multimedia Application of the Polytechnic University of Valencia. His main research interests include microwave circuit and antenna analysis, design and measurement.



Dmitry E. Zelenchuk (S'02, M'05, SM'14) received his PhD in Radiophysics from Rostov State University (Russia) in 2004. From 2003 till 2005 he was a Lecturer at the Dept. of Applied Electrodynamics and Computer Modelling, Rostov State University, Russia. Currently he is with Queen's University Belfast, UK. His research interests include electromagnetic field theory, material characterization; mm-wave circuits, antennas and advanced packaging; propagation in complex environments; and various physical phenomena of plasmonic and nanostructures. He has published more than 80 journal and conference papers and a book chapter and been a session chair at scientific conferences. In 2001 Dr. Zelenchuk was awarded the medal of Ministry of Education of the Russian Federation "For the Best Scientific Student Paper".



Vicente E. Boria-Esbert (S'91-A'99-SM'02) was born in Valencia, Spain, on May 18, 1970. He received his "Ingeniero de Telecomunicación" degree (with first-class honors) and the "Doctor Ingeniero de Telecomunicación" degree from the Universidad Politécnica de Valencia, Valencia, Spain, in 1993 and 1997, respectively. In 1993 he joined the "Departamento de Comunicaciones", Universidad Politécnica de Valencia, where he has been Full Professor since 2003. In 1995 and 1996, he was holding a Spanish Trainee position with the European Space Research and Technology Centre, European Space Agency (ESTEC-ESA), Noordwijk, The Netherlands, where he was involved in the area of EM analysis and design of passive waveguide devices. He has authored or co-authored 7 chapters in technical textbooks, 75 papers in refereed international technical journals, and over 150 papers in international conference proceedings. His current research interests are focused on the analysis and automated design of passive components, left-handed and periodic structures, as well as on the simulation and measurement of power effects in passive waveguide systems. Dr. Boria has been a member of the IEEE Microwave Theory and Techniques Society (IEEE MTT-S) and the IEEE Antennas and Propagation Society (IEEE AP-S) since 1992. He is member of the Editorial Boards of the IEEE Transactions on Microwave Theory and Techniques, IEEE Microwave and Wireless Components Letters, Proceeding of the IET (Microwaves, Antennas and Propagation), IET Electronics Letters and Radio Science. Since 2013, he serves as Associate Editor of IEEE Microwave and Wireless Components Letters. He is also a member of the Technical Committees of the IEEE-MTT International Microwave Symposium and of the European Microwave Conference.



Daniel Sánchez-Escuderos (M'05) was born in Vila-real, Spain, on October 20, 1980. He received the degree in telecommunications engineering and the Ph.D. degree from the Universitat Politècnica de València (UPV), Valencia, Spain, in 2004 and 2009, respectively. He became a Member of the IEEE in 2005. Since 2005, he is with the Institute of Telecommunications and Multimedia Application of the Universitat Politècnica de València. In 2005, he was granted with a FPI national scholarship to course the doctorate studies, and in 2009 he was contracted as a post-doc in the framework of a national research project in THz technology. Since 2014 he is collaborating in a European project financed by the European Space Agency. His main research interests include antenna measurements, FSS structures, millimetre and submillimetre-wave technology and microwave filters.