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Density of κ -Box-Products and the existence of generalized independent families

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Abstract

In this paper we will prove a slight generalisation of the Hewitt-Marczewski-Pondiczery theorem (theorem 2.3 below) concerning the density of κ -box-products. With this result we will prove the existence of generalized independent families of big cardinality (corollary 2.5 below) which were introduced by Wanjun Hu.

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1. Introduction

Let d(X) denote the density and w(X) the weight of the topological space X.

Definition 1.1. Let μ, κ be two cardinals with $\aleph_0 \leq \kappa \leq \mu$ and $\{X_i\}_{i \in \mu}$ be a family of topological spaces.

 $\Box_{i\in\mu}^{\kappa}X_i$ denotes the κ -box-product which is induced on the full cartesian product $\prod_{i\in\mu}X_i$ by the canonical base

$$\mathfrak{B} = \left\{ \bigcap_{i \in I} pr_i^{-1}(U_i); I \in P_{<\kappa}(\mu) \text{ and } U_i \text{ is open in } X_i \right\}$$

where $P_{<\kappa}(\mu) := \{ I \subseteq \mu; |I| < \kappa \}.$

For $\kappa = \aleph_0$ the κ -box-product is the usual Tychonoff-product [8] and for $\kappa^+ = \mu$ the κ -box-product is the full box-product mentioned by Kelley [5] and Bourbaki [1].

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In this paper we will discuss the density of κ -box-products and the connection with infinite combinatorics. The classical Hewitt-Marczewski-Pondiczery theorem states:

$$d\left(\Box_{i\in 2^{\mu}}^{\aleph_0}X_i\right)\leq \mu$$
 for all spaces X_i with $d(X_i)\leq \mu$

This has been proven for separable spaces by E. Marczewski [6] in 1941. In 1944 E. S. Pondiczery [7] proved a slighty weaker version for Hausdorff spaces and in 1947 E. Hewitt [3] proved the general version as stated above. In theorem 2.4 we will prove:

$$d\left(\square_{i\in 2^{\mu}}^{\kappa}X_{i}\right)\leq\mu^{<\kappa}$$
 for all spaces X_{i} with $d(X_{i})\leq\mu$

2. Density of κ -Box-Products

In this section we will prove a generalisation of Theorem 1 in [2]. To do so we start with the following definition and proposition:

Definition 2.1. Let κ, μ be two infinite cardinals with $\mu \geq \kappa$, $\{X_i\}_{i \in I}$ a family of topological spaces and for all $i \in I$ let \mathfrak{B}_i be a base of the topology on X_i . $W \subseteq \prod_{i \in I} X_i$ is called a μ -cube if for every $i \in I$ there exists $\mathfrak{W}_i \subseteq \mathfrak{B}_i$ with $W = \prod_{i \in I} (\bigcap \mathfrak{W}_i)$.

Proposition 2.2. Let X be a set, $\mu \geq \kappa$ two infinite cardinals, $\{X_i\}_{i\in I}$ a family of topological spaces, $\{f_i: X \to X_i\}_{i\in I}$ a family of functions and let W be a subset of $\prod_{i\in I} X_i$ which is a union of μ -cubes.

For every cardinal $\lambda < \kappa$ and every tuple $\langle \{x_i\}_{i \in \lambda}; \{J_i\}_{i \in \lambda} \rangle$ of families $\{x_i\}_{i \in \lambda} \subseteq X$ and $\{J_i\}_{i \in \lambda} \subseteq P(I)$, where all J_i are pairwise disjunct and not empty, there exists a subset $Q \subseteq W$ of cardinality less or equal to $\mu^{<\kappa}$ so that for all families $\{j_i; j_i \in J_i\}_{i \in \lambda}$ the following holds:

$$\left(W \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}\left(f_{j_i}\left(x_{j_i}\right)\right) \neq \varnothing\right) \Rightarrow \left(Q \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}\left(f_{j_i}\left(x_{j_i}\right)\right) \neq \varnothing\right).$$

Proof. For every tuple $\langle \{x_i\}_{i\in\lambda}; \{J_i\}_{i\in\lambda} \rangle$ with $|\{i\in\lambda; |J_i|>1\}|=0$ the claim is pretty obvious.

So we assume that the proposition is valid for cardinals less than ν and let $\langle \{x_i\}_{i\in\lambda}; \{J_i\}_{i\in\lambda} \rangle$ be a tuple with $|\{i\in\lambda; |J_i|>1\}|=\nu$.

Without loss of generality we may assume that $|J_i| > 1$ for all $i \in \nu$ and $|J_i| = 1$ for all other $i \geq \nu$ and that there exists at least one family $\{j_i; j_i \in J_i\}_{i \in \lambda}$ with $W \cap \bigcap_{i \in \lambda} pr_i^{-1}(f_{j_i}(x_{j_i})) \neq \emptyset$.

 $W \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}(f_{j_i}(x_{j_i})) \neq \varnothing.$ Let $p \in W$ be an point so that $pr_{j_i}(p) \in f_{j_i}(x_i)$ for all $\nu \leq i \in \lambda$. Then there exists an $J \in P_{\leq \mu}(I)$ with

$$\left\{q \in \prod_{i \in I} X_i; \forall j \in J : pr_j(q) = pr_j(p)\right\} \subseteq W.$$

We choose for all $i \in \nu$ and $j_i \in (J_i - J)$ a point $q_{j_i} \in f_{j_i}(x_i)$ and we define a point $q \in W$ as follows:

$$pr_i(q) := \begin{cases} pr_i(p) & \text{, if } i \in (I - \bigcup_{l \in \nu} (J_l - J)) \\ q_{j_l} & \text{, if } i = j_l \text{ and } j_l \in (J_l - J) \end{cases}$$

By the definition of q we have $q \in (W \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}(f_{j_i}(x_i)))$ for every family $\{j_i; j_i \in J_i\}_{i \in \lambda}$ such that for all $i \in \nu$: $j_i \in (J_i - J)$.

Now we have to consider families $\{j_i; j_i \in J_i\}_{i \in \lambda}$ with $j_i \in (J_i \cap J)$ for at least one $i \in \lambda$.

We define

$$\Sigma := \left\{ \{J_i^*\}_{i \in \nu} : |\{i \in \kappa; J_i^* = J_i\}| < \nu \land (J_i^* \neq J_i \Rightarrow J_i^* \in P_1(J_i \cap J)) \right\}.$$

$$\Rightarrow |\Sigma| \le \mu^{\nu} \le \mu^{\lambda} \le \mu^{<\kappa}$$

For all $\sigma = \{J_i^*\}_{i \in \mathcal{V}} \in \Sigma$ we define a family $\{J_i^{\sigma}\}_{i \in \lambda}$ as follows:

$$J_i^{\sigma} := \begin{cases} J_i^* & \text{, if } i \in \nu \\ J_i & \text{, if } i \geq \nu \end{cases}$$

For all these $\{J_i^{\sigma}\}_{i\in\lambda}$ the proposition already holds, so we can choose a set $Q_{\sigma}\subseteq W$ with $|Q_{\sigma}|\leq \mu^{<\kappa}$ and for all families $\{j_i;j_i\in J_i^{\sigma}\}_{i\in\lambda}$ the following holds:

$$\left(W \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}\left(f_{j_i}\left(x_{j_i}\right)\right) \neq \varnothing\right) \Rightarrow \left(Q_{\sigma} \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}\left(f_{j_i}\left(x_{j_i}\right)\right) \neq \varnothing\right).$$

Let $\sigma = \{j_i; j_i \in J_i\}_{i \in \nu}$ be a family with $W \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}(f_{j_i}(x_{j_i})) \neq \varnothing$. Then $\sigma \in \Sigma$ and $Q_{\sigma} \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}(f_{j_i}(x_{j_i})) \neq \varnothing$. We define

$$Q := \{q\} \cup \bigcup_{\sigma \in \Sigma} Q_{\sigma}$$

and because $|Q| \leq \mu^{<\kappa}$ this is the set we were looking for.

Theorem 2.3. Let κ and μ be two infinite cardinals with $\mu \geq \kappa$ and let $\Box_{i \in I}^{\kappa} X_i$ be a κ -box-product with $|I| \leq 2^{\mu}$ and $w(X_i) \leq \mu$ for all $i \in I$. Then $d(W) \leq \mu^{<\kappa}$ holds for every subset $W \subseteq \prod_{i \in I} X_i$ which is a union of μ -cubes

Proof. Let $|I|=2^{\mu}$, so we may assume that $I=2^{\mu}$.

Let \mathfrak{B}^* be a base of the κ -box-product $\Box_{i\in\mu}^{\kappa}D$ of the discrete space $D=\{0;1\}$ with $|\mathfrak{B}^*|=\mu^{<\kappa}$.

For all $i \in 2^{\mu}$ let \mathfrak{B}_i be a base of the topology on X_i with $|\mathfrak{B}_i| = \mu$, X be a set with $|X| = \mu$, $\{f_i; f_i : X \to \mathfrak{B}_i\}_{i \in 2^{\mu}}$ be a family of surjective functions and $\psi : 2^{\mu} \to \prod_{i \in \mu} D$ be a bijection. We define

$$\Sigma := \{ \langle \{x_i\}_{i \in \lambda}; \{J_i\}_{i \in \lambda} \rangle; \lambda < \kappa \land \forall i, j \in \lambda : \\ x_i \in X \land \varnothing \neq J_i \subseteq 2^{\mu} \land \psi(J_i) \in \mathfrak{B}^* \land (i \neq j \Rightarrow J_i \cap J_j = \varnothing) \}$$

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and choose for every $\sigma \in \Sigma$ a set $Q_{\sigma} \subseteq W$ with all the properties as stated in proposition 2.2. We define $Q := \bigcup_{\sigma \in \Sigma} Q_{\sigma}$. Because of $|\mathfrak{B}^*| = \mu$ we have $|\Sigma| \le \mu^{<\kappa}$ and therefore $|Q| \le \mu^{<\kappa}$. We will now show that Q is dense in W.

Let O be a nonempty open set in W and U an element of the canonical base \mathfrak{B} of $\square_{i\in 2\mu}^{\kappa}X_i$ with $\varnothing\neq U\cap W\subseteq O$. Then there exists a set $\{j_i; i \in \lambda\} \in P_{<\kappa}(2^{\mu}) \text{ and a family } \{U_i; U_i \in \mathfrak{B}_i\}_{i \in \lambda} \text{ with } U = \bigcap_{i \in \lambda} pr_{j_i}^{-1}(U_i).$ We can choose for all $i \in \lambda$ pairwise disjunct open sets $B_i^* \in \mathfrak{B}^*$ with $\psi(j_i) \in B_i^*$ and $x_i \in X$ with $f_{j_i}(x_i) = U_i$.

Obviously $\sigma := \langle \{x_i\}_{i \in \lambda}; \{J_i\}_{i \in \lambda} \rangle$ is an element of Σ and we have the condition $\varnothing \neq W \cap \bigcap_{i \in \lambda} pr_{j_i}^{-1}(f_{j_i}(x_i))$, thus $Q_{\sigma} \cap U \neq \varnothing$ $\Rightarrow Q \cap O \supseteq O_{\sigma} \cap W \cap U = O_{\sigma} \cap U \neq \varnothing$

Therefore Q is dense in W and we have $d(W) \leq |Q| \leq \mu^{<\kappa}$.

The following is a slight generalisation of the Hewitt-Marczewski-Pondiczery theorem:

Theorem 2.4. Let κ and λ be two infinite cardinals with $\mu \geq \kappa$ and let $\Box_{i \in I}^{\kappa} X_i$ a κ -box-product with $|I| \leq 2^{\mu}$ and $d(X_i) \leq \mu$ for all $i \in I$. Then $d(\Box_{i\in I}^{\kappa}X_i) \leq \mu^{<\kappa}$.

Proof. Obviously there is a set D which is dense in $\square_{i\in I}^{\kappa} X_i$ and $|pr_i(D)| \leq \mu$ for all $i \in I$.

Let $\Box_{i\in I}^{\kappa}W_i$ be the κ -box-product of discrete spaces W_i with $|W_i|=\mu$ and let $f: \prod_{i\in I} W_i \to D$ be a continuous and surjective function.

Because $\prod_{i \in I} W_i$ itself is an union of μ -cubes and due to theorem 2.3 there is a dense subset Q of W with $|Q| \leq \mu^{<\kappa}$.

Let O be a nonempty open set in $\square_{i\in I}^{\kappa}X_i$. Then $D\cap O\neq\varnothing$ and $f^{-1}(D\cap O)$ is open in $\square_{i\in I}^{\kappa}W_i$.

So
$$Q \cap f^{-1}(D \cap O) \neq \emptyset$$
 and $\emptyset \neq f\left(Q \cap f^{-1}(D \cap O)\right) \subseteq f(Q) \cap O$.
Therefore $f(Q)$ is dense in $\square_{i \in I}^{\kappa} X_i$ and $d\left(\square_{i \in I}^{\kappa} X_i\right) \leq \mu^{<\kappa}$.

Following Wanjun Hu we define:

Definition 2.5. Let S be an infinite set, κ , λ and θ be three cardinals with $\kappa \geq \aleph_0$ and $\lambda \geq 2$. A family $\mathfrak{I} = \{\mathfrak{I}_{\alpha}\}_{\alpha \in \tau}$ of partitions $\mathfrak{I}_{\alpha} = \{I_{\alpha}^{\beta}; \beta \in \lambda\}$ of S is called a $(\kappa, \theta, \lambda)$ -generalized independent family, if following holds:

$$\forall J \in P_{<\kappa}(\tau) \forall f: J \to \lambda: \left| \left\{ \bigcap I_{\alpha}^{f(\alpha)}; \alpha \in J \right\} \right| \ge \theta$$

We can now apply 2.4 on this theorem and we receive the following:

Corollary 2.6. Let κ and λ be two infinite cardinals with $\mu \geq \kappa$. On every set with at least $\mu^{<\kappa}$ elements exists a $(\kappa, 1, \mu)$ -generalized independent family of cardinality 2^{μ} .

Proof. Let S be a set of cardinality $\mu^{<\kappa}$.

For every family $\{X_i\}_{i\in\mu}$ of topological spaces with $d(X_i) \leq \lambda$ the following

holds with theorem 2.4:

$$d\left(\Box_{i\in\mu}^{\kappa}X_i\right)\leq |S|$$

Wanjun Hu proved in theorem 3.2 in [4] that this is equivalent to the existence of a $(\kappa, 1, \mu)$ -generalized independent family of cardinality 2^{μ} on S.

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