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Additional Information



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12 **Abstract**

13 The antimicrobial activity of essential oils (EO) is very well-known and it has been  
14 reported that incorporating them into edible films based on biopolymers extends the  
15 food's shelf-life. In this study, cinnamon, clove and oregano EO, at 25% with respect to  
16 the polymer, were incorporated into glycerol plasticized starch-gelatin blend films (ratio  
17 1:1) in order to elucidate their effect on the physical (barrier, mechanical and optical),  
18 structural and antifungal properties of the films. Whereas EOs exhibited no significant  
19 effect on tensile behavior in the case of casting films conditioned at 53% relative  
20 humidity and 25° C, the EO compounds did significantly reduce the water vapor and  
21 oxygen permeability of the films. Likewise, the EOs increased the films' transparency  
22 but reduced their gloss. Despite the fact that about 60 % of the incorporated EOs were  
23 lost during the film drying step, they exhibited antifungal activity against the two tested  
24 fungal species, *Colletotrichum gloesporoides* (CG) and *Fusarium oxysporum* (FOG), as  
25 revealed by the in vitro agar diffusion method.

26 Keywords: *Colletotrichum gloesporoides*, *Fusarium oxysporum*, antifungal, tensile, microstructural,  
27 barrier, oregano, clove, cinnamon.

28

## 29 **1. Introduction**

30 Starch is widely available from renewable sources and has a great ability to form edible  
31 films with reasonable mechanical resistance, which can be used to coat food products in  
32 order to prevent moisture loss or to protect them from oxidation. Starch films are  
33 transparent, tasteless, odorless and have very good oxygen barrier properties.  
34 Nevertheless, some drawbacks must be improved, such as the water sensitivity and  
35 retrogradation phenomena, both giving rise to changes in the film barrier and  
36 mechanical properties during storage (Cano et al., 2014; Jiménez et al., 2012; Jiménez  
37 et al., 2013). Blending starch with other compatible (such as chitosan and caseinate) or  
38 non-compatible polymers (such as poly-caprolactona) is a commonly-used strategy by  
39 which to improve the properties and stability of starch-based films (Averous &  
40 Boquillon, 2004).

41 Starch-gelatin blend films have been studied by different authors (Al-Hassan &  
42 Norziah, 2012; Fakhouri et al., 2013) who report that these blends offer advantages in  
43 terms of oxygen and water vapor barrier properties, mechanical behavior and optical  
44 parameters (Wang et al., 2003). Even though gelatin may improve the performance of  
45 starch-based materials, both polymers are highly hydrophilic, which limits the water  
46 vapor barrier capacity of the blend films.

47 Recently, Acosta et al. 2015, have reported the benefits of the addition of lipids on the  
48 starch-gelatin blend films with different polymer ratios. They report that cassava starch  
49 films with 50 % gelatin exhibited highly adequate properties for the purposes of food  
50 coating or packaging. Gelatin blending gave rise to more resistant, harder and more  
51 extensible films than pure starch material. In this blend, although lipid incorporation  
52 improved the film's stretchability and water barrier capacity, it enhanced oxygen  
53 permeability. In this sense, essential oils (EOs) are interesting lipids that can be used in

54 starch-gelatin blend films, due to their antimicrobial and antioxidant capacity which  
55 adds functionality to the film materials. Likewise, some essential oils have been  
56 effective at reducing the oxygen permeability of different polymer films in line with  
57 their oxygen scavenging activity (Bonilla et al. 2013).

58 The antibacterial activity of EOs has been reported by many authors (Burt, 2004; Alves-  
59 Silva et al., 2013), but few studies analyse the antifungal effect of these compounds  
60 (Avila-Sosa et al., 2012 Sánchez-González et al. 2010; [Saggiorato et al., 2012](#); [Avila-  
61 Sosa et al., 2012](#), Perdonés et al., 2012 and 2014; Alves Silva et al., 2013; Roselló et al.,  
62 2015). The EOs affect the microbial cells via different mechanisms, including  
63 interactions with the phospholipid bilayer of the cell membrane, the disruption of  
64 enzyme systems and compromising the genetic material of bacteria (Burt et al., 2007).

65 The use of EOs as food preservatives is limited by their strong flavour, but embedding  
66 them into a polymer matrix represents an alternative means of reducing their sensory  
67 impact while the diffusion of the antimicrobials to the product may be modulated (Ruiz-  
68 Navajas et al., 2013). Nevertheless, the non-polar nature of the EO components requires  
69 the homogenization techniques in order to incorporate them into the aqueous film  
70 forming dispersions (FFD) of hydrocolloids, such as starch or gelatin. During the drying  
71 of the FFD cast to obtain the films, a part of the EO is lost as the water evaporates , the  
72 amount depending on the stability of the initial emulsion and the extension of the  
73 coalescence and creaming phenomena that occur in this step (Sánchez-González et al.  
74 2011b; Perdonés, et al., 2014), which affects the final bioactivity of the film. Likewise,  
75 the presence of a lipid dispersed phase and the potential interactions of lipid molecules  
76 with the polymer also affect the film's functional properties (Chiralt et al. 2015).

77 The aim of this study was to analyze the effect of three different essential oils  
78 (cinnamon bark, clove and oregano) on the functional properties of cassava starch-

79 bovine gelatin (ratio 1:1) blend films, in terms of the tensile, barrier, optical and  
80 structural properties, as well as their antifungal effect against two fruit fungal pathogens  
81 (*Colletotrichum gloesporoides*: CG and *Fusarium oxysporum f.sp. gladiolo*: FOG)  
82 through in vitro tests.

## 83 **2. Materials and methods**

### 84 **2.1. Raw materials**

85 Cassava starch, with 9.28 wt. % amylose and an amylose:amylopectin ratio of 1:9.8,  
86 was produced by Asia CO, LDT (Kalasin, Thailand) and purchased by Quimidroga SA  
87 (Barcelona, Spain). Bovin skin type A gelatin (Bloom 220-240°), used in combination  
88 with starch, was supplied by Sancho de Borja, S.L. (Zaragoza, Spain), and glycerol,  
89 used as plasticizer, was provided by Panreac Química, SA (Barcelona, Spain). Essential  
90 oils (oregano, clove and cinnamon bark) were acquired from Herbes del Moli  
91 (Benimarfull, Alicante, Spain). Table 1 shows the main components of EO used in this  
92 study and their boiling point. Stock culture of *Colletotrichum gloesporoides* (CG),  
93 isolated from citrus trees from Valencia (Spain), was provided by the Mediterranean  
94 Agroforest Institute (Universitat Politècnica of Valencia, Spain). *Fusarium oxysporum*  
95 *f.sp. gladiolo* (FOG) CECT 2868 was supplied by the Colección Española de Cultivo  
96 Tipo (CECT, Burjassot, Spain). These were preserved frozen in Agar Potato Dextrose  
97 (PDA, Scharlab, Barcelona, Spain), then incubated at 25°C until sporulation, and were  
98 used after 7 days of active growth.

99

### 100 **2.2. Preparation of film-forming dispersions**

101 The film forming dispersions were prepared from a 2% (w/w) polymer aqueous  
102 solution, using the same proportion of cassava starch (S) and gelatin (G). Every

103 formulation contained glycerol as plasticizer (polymer:glycerol ratio of 1:0.25).  
104 Furthermore, three more formulations were prepared by adding essential oils as  
105 antimicrobial agents (polymer:essential oil ratio of 1:0.25). So, four formulations were  
106 considered with the following codes: SG for the control starch-gelatin blend films, SG-  
107 C, SG-CI and SG-O, respectively for films containing cinnamon, clove, and oregano  
108 essential oils.

109 Firstly, starch and gelatin dispersions were prepared separately. Starch aqueous  
110 dispersions were maintained at 95°C for 30 min to induce starch gelatinization.  
111 Meanwhile, gelatin was dissolved in water at 40 °C. Then, the glycerol was added to the  
112 dispersion blend and homogenized by using a rotor-stator homogenizer (Ultraturrax  
113 D125, Janke and Kunkel, Germany) at 13,500 rpm for 1 min and 20,500 rpm for 3 min  
114 at 95 °C under vacuum, in agreement with previous studies (Jiménez et al., 2012). For  
115 formulations containing essential oils, these were added prior to the homogenization  
116 step.

117 Controlled volumes of film-forming aqueous dispersions (equivalent to 1.5 g of solids)  
118 were cast into leveled Teflon ® casting plates (15 cm diameter) and dried at 25°C and  
119 45% RH for 48 h. Then, they were peeled intact from the plates and conditioned at 53%  
120 RH and 25°C in a chamber with magnesium nitrate-6-hydrate saturated solution  
121 (Panreac Química, S.A., Barcelona, Spain).

122

## 123 **2.3. Characterization of the films**

### 124 2.3.1. Microstructure

125 The microstructural analysis of the films was carried out by scanning electron  
126 microscopy (SEM), using a JEOL microscope model JSM-5410 (Japan). Prior to  
127 testing, films were equilibrated in desiccators with P<sub>2</sub>O<sub>5</sub> in order to remove any water  
128 present in the samples. SEM observations were carried out by considering the surfaces  
129 and cross sections of film samples. Films were frozen in liquid nitrogen, and then  
130 cryofractured to observe the cross section. Samples were fixed on copper stubs, gold  
131 coated to make them conductive (for 1.5 minutes) and observed directly with an  
132 accelerating voltage of 10 KV.

### 133 2.3.2. Water vapor permeability

134 The water vapor permeability (WVP) of films was determined following the gravimetric  
135 method ASTM E96-95 (1995) for a 53-100% relative humidity gradient at 25°C by  
136 using Payne permeability cups (Payne, Elcometer SPRL, Hermelle/s Argenteau,  
137 Belgium) of 3.5 cm diameter. The RH gradient of 53-100% of the cabinet was obtained  
138 using oversaturated solutions of Mg(NO<sub>3</sub>)<sub>2</sub> and distilled water, respectively. The weight  
139 of the cup was measured every 2 h using an analytical balance ( $\pm 0.00001$  g). The water  
140 vapor transmission (WVTR) was determined from the slope obtained from the  
141 regression analysis of weight loss data versus time, once the steady state had been  
142 reached, divided by the film area. Water vapor permeability (WVP) values were  
143 obtained, in quadruplicate, according to previous studies (Jiménez et al. 2012).

### 144 2.3.3. Oxygen permeability

145 The oxygen permeability (OP) of the films was analyzed in film samples (50 cm<sup>2</sup>) by  
146 using an Oxtran system (Mocon, Minneapolis, USA), following the standard method  
147 (ASTM D3985-05, 2002) at 53% RH and 25°C. Films were exposed to pure nitrogen  
148 flow on one side and pure oxygen flow on the other side. OP was calculated by dividing



149 the oxygen transmission rate by the difference in oxygen partial pressure between the  
150 two sides of the film, and multiplying by the average film thickness. At least two  
151 replicates per formulation were taken.

#### 152 2.3.4. Tensile behavior

153 The tensile properties were measured using a universal test machine (TA.XT plus  
154 model, Stable Micro Systems, Haslemere, England) and following the ASTM standard  
155 method D882 (ASTM, 2001). Equilibrated samples (8 per formulation) were mounted  
156 in the film-extension grips (model A/TG, Stable Micro System, Haslemere, England) of  
157 the testing machine and stretched at a rate of 50 mm·min<sup>-1</sup> until breaking. The relative  
158 humidity of the environment was held at nearly 53% during the tests, which were  
159 performed at 25 °C.

160 The force-distance data obtained in the test were transformed into true stress-Henky  
161 strain curves, which allow the mechanical parameters to be calculated: elastic modulus  
162 (EM), tensile strength at break (TS) and percentage of elongation at break (E).

#### 163 2.3.5. Optical properties

164 The transparency of the films was determined by applying the Kubelka-Munk theory  
165 (Hutchings, 1999) for multiple scattering to the reflection spectra. The surface  
166 reflectance spectra of the films were determined from 400 to 700 nm with a  
167 spectrophotometer CM-3600d (Minolta Co., Tokyo, Japan) on both a white and a black  
168 background. Internal transmittance ( $T_i$ ) of the films was quantified using eq 1. In this  
169 equation,  $R_0$  is the reflectance of the film on an ideal black background. Parameters  $a$   
170 and  $b$  were calculated by eqs 2 and 3, where  $R$  is the reflectance of the sample layer  
171 backed by a known reflectance  $R_g$ . Measurements were taken in triplicate for each  
172 sample on the free film surface during its drying.

173 
$$T_i = \sqrt{(a - R_0)^2 - b^2}$$
 1

174 
$$a = \frac{1}{2} \cdot \left( R + \frac{R_0 - R + R_{fg}}{R_0 R_g} \right)$$
 2

175 
$$b = (a^2 - 1)^{1/2}$$
 3

176 The gloss of the films was measured at a 60° incidence angle, using a flat surface gloss  
177 meter (Multi.Gloss 268, Minolta, Germany), according to the ASTM standard D-523  
178 (ASTM, 1999). Three films of each formulation were measured over a black matte  
179 standard plate. Results were expressed as gloss units, relative to a highly polished  
180 surface of standard black glass with a gloss value close to 100.

181

#### 182 2.3.6. Antifungal properties. In vitro assays

183 Antifungal properties of films against FOG and CG were determined by the agar  
184 diffusion method using film discs of 2.4 cm diameter, which were placed on the plate  
185 with the fungus spores. To this end, for each fungus, a suspension of 5x10<sup>6</sup> CFU/mL  
186 spores in water with Tween 80 (0.1%) was prepared from a colony of 7 days' active  
187 growth in PDA medium. This suspension was distributed in plates and left to solidify.  
188 Then, the film discs were deposited on the different plates and they were incubated  
189 under the optimal conditions for each fungal species. The diameter of the growth  
190 inhibition halo was measured at 48 and 72 hours in all cases. Six replicates per  
191 treatment and control sample (without film) were made.

#### 192 2.3.8. Statistical analysis

193 The analysis of data was performed through the analysis of variance (ANOVA) using  
194 the Statgraphics Plus 5.1. software (ManugisticsCorp., Rockville, MD). Fisher's least  
195 significant difference (LSD) procedure was used at the 95% confidence level.

196

### 197 **3. Results and discussion**

#### 198 3.1. Film microstructure and losses of essential oils during film formation.

199 The microstructure of films, which depends on the interactions between film  
200 components and drying conditions, seriously affects the physical properties of the final  
201 materials, such as barrier, mechanical and optical properties. In films containing lipids,  
202 such as essential oils, the final structure is also affected by the structural characteristics  
203 and stability of the film-forming emulsion. The different emulsion destabilization  
204 mechanisms (flocculation, creaming and coalescence) that occur during the film drying  
205 step determine the final distribution and size of lipid droplets in the matrix (Villalobos,  
206 et al. 2005; Fabra et al. 2009).

207 Figure 1 shows the SEM micrographs corresponding to the surfaces and cross-sections  
208 of the studied films. SEM observations show that starch-gelatin composite films  
209 exhibited heterogeneous structures and irregularities in both surface and cross-section  
210 images. Round formations are observed on the surface of these films, while in film  
211 cross-sections, fibrous regions appear. These formations have been related with the lack  
212 of total miscibility of starch and gelatin and the polymer phase separation, giving rise to  
213 a starch rich phase interpenetrated with a gelatin rich phase (Acosta et al. 2015). In the  
214 gelatin phase, helical chain conformation and association (triple helix) occur in a similar  
215 way to in the original collagen structure, as observed by Hassan et al. (2009) and Al  
216 Hassan and Norziah, (2012) in gels of starch-gelatin. Gelatin association domains  
217 appear as fibrous zones differentiated in the amorphous starch matrix. On the surface of  
218 the film, the rounded formations (droplets in non-dried film) reflect the migration of  
219 gelatin-rich liquid phase to the film surface during the film drying step due to the phase

220 separation of polymer solution and the lower density of the protein phase with respect to  
221 the starch-rich solution (Acosta et al. 2015).

222 The addition of EOs also promoted irregularities on the film surface due to the low  
223 miscibility of these lipid compounds in the polymer matrix. EOs are dispersed in the  
224 film-forming formulations and, during the film drying process, flocculation,  
225 coalescence and creaming phenomena occur, leading part of the oil droplets to the film  
226 surface, where the EO evaporates. The footprints of oil droplets are clearly appreciated  
227 in the film containing CL oil, whereas wider traces can be seen for films with O  
228 essential oil. Oil could be evaporated during the film drying and conditioning steps or  
229 under the microscopic observation at high vacuum. However, for cinnamon oil,  
230 although the lipid footprint at the surface level is not evident, a different surface  
231 topography can be observed, as compared with control films without essential oils,  
232 which suggests the presence of oil on the surface at some time during the film  
233 preparation. The cross-sections of films containing EOs also reveal the presence of  
234 numerous holes that correspond to the location of oil droplets. These could evaporate  
235 partially on the cryo-fractured surface during SEM observations due to the high  
236 vacuum. They appeared elongated in shape, which can be justified by their deformation  
237 produced during the film drying and subsequent packing of the polymer chains, as has  
238 been previously described (Sánchez-González et al., 2010; Bonilla et al. 2013). Films  
239 with O essential oil exhibit a porous structure, but without well differentiated drops,  
240 which suggests their coalescence during film drying and the formation of essential oil  
241 channels that become entrapped in the polymer matrix. The proportion of porous zones  
242 is higher near the free surface of the film during drying, in agreement with the oil  
243 creaming to the top of the film. These results highlight a greater instability of oregano  
244 oil emulsion during film drying.

245 The appearance of films with essential oils is similar to that previously described for  
246 hydrocolloid matrices with essential oils (Perdones et al., 2012). Differences in the  
247 distribution of essential oils in the polymer matrix are related with the different  
248 composition, volatility and affinity (interactions) between them and the polymers of  
249 matrix, which, in turn, affect the stability of the initial emulsion and its development  
250 during the film drying step. Notable differences in the structure and distribution of oil  
251 droplets in the film matrix have previously been observed for gelatin films with  
252 essential oils (Ahmad et al., 2012), starch films with fatty acids (Jiménez et al., 2012) or  
253 chitosan and HPMC films with essential oils (Sánchez-González et al., 2010). In this  
254 sense, the quantification of the total EO present in the film after the drying step is  
255 relevant, not only because of its effect on the functional film properties, such as  
256 mechanical or barrier performance, but also due to its active properties, such as  
257 antimicrobial or antioxidant activity.

258 The mean percentages of EO lost during the film preparation processes are estimated  
259 for the obtained films. This was carried out from the difference between the weights of  
260 the dried film (without moisture) and the total solids of the cast film-forming  
261 dispersions with respect to the corresponding initial amount of EO. The losses of EO  
262 were about 56-59 % for C and CL, whereas they were significantly higher (66%) for the  
263 O EO. This could be due to the greater instability of the initial emulsion of this EO, as  
264 deduced from the SEM micrographs of the films, which leads to the coalescence and  
265 creaming of the oil droplets, which evaporate at the film's surface during the drying  
266 step. The greater volatility of the main compounds of oregano EO (50% carvacrol and  
267 21% thymol) in comparison with cinnamon (60% eugenol) and clove (90% eugenol)  
268 (Table 1) could also enhance the oil compounds lost. During the film's drying period,  
269 water and EO compounds evaporate together at a lower temperature than pure water

270 (immiscible blends) from the film surface until a certain level of concentration of the  
271 film solids was reached, when the oil droplets remain entrapped in the highly viscous  
272 aqueous system and do not cream to the surface. From this point, the oil droplets  
273 become encapsulated in the film matrix and the release of the oil components from the  
274 dried films due to evaporation takes place very slowly, as deduced by their very small  
275 weight loss throughout time.

### 276 3.2. Barrier properties

277 In Table 2, the average values of water vapor and oxygen permeabilities of starch-  
278 gelatin based films are also shown. The addition of EO to starch-gelatin films caused a  
279 decrease in the water vapor permeability, as can be expected from the increase in their  
280 hydrophobicity and the interruptions in the matrix continuity for mass transfer. Water  
281 molecules should diffuse mainly through the continuous polymeric phase, where the  
282 presence of lipid droplets represents discontinuities that cause an effective increase in  
283 the tortuosity factor for water transfer in the matrix. No significant differences between  
284 the WVP values of films with different EOs were obtained.

285 The effect of EO incorporation on the water barrier properties of the films has been  
286 analyzed in previous studies and different results have been reported. This effect  
287 depends on different structural factors, such as the kind of matrix, the composition and  
288 amount of oil added and the interactions with the matrix (Sánchez-González et al.,  
289 2011b). The process of water vapor transfer in films depends on the hydrophilic-  
290 hydrophobic balance in the matrix and the final film microstructure. Sánchez-González  
291 et al. (2011b) found a reduction in the WVP in chitosan and HPMC matrices when 0.5,  
292 1 and 2% of bergamot, tea tree, lemon and bergamot EO were added. In general, the  
293 higher the EO concentration, the lower the WVP values in both matrices. The kind of

294 EO significantly affected this property in HPMC matrices. The films containing citrus  
295 EO exhibited a greater WVP reduction, as compared with films based on tea tree EO.  
296 This was explained by the more hydrophobic nature of the main compounds of citrus  
297 EO (limonene) with respect to tea tree oil (terpineol). The kind of EO affected the WVP  
298 values to a lesser extent in the chitosan matrix due to its greater hydrophilic nature.  
299 Kechichian et al (2010) found a reduction in the WVP in cassava starch films when  
300 cinnamon and clove powder (0,2 g/100 g of film) were added to the matrix. At higher  
301 concentrations, the WVP values increased.

302 Ahmad et al (2012) obtained a different effect in gelatin-based films with bergamot and  
303 lemon EO. At EO concentrations higher of over 5%, the WVP slightly increased in the  
304 films with bergamot oil, while those containing lemon EO showed a WVP reduction.  
305 This was related with the different hydrophobic nature of these oils. Interactions  
306 between the EO compounds and the hydrophobic parts of proteins could promote an  
307 overall decrease in the hydrophobic nature of the matrix (Perez-Gago y Krochta, 2001).  
308 The oxygen permeability values (Table 2) also showed a significant decrease when EOs  
309 were added to the films, as compared to the values of the control films, but using  
310 different oils did not lead to any significant differences between the films. Lipid  
311 incorporation usually promotes higher oxygen permeability of the films due to the  
312 greater gas solubility in the lipid phase (Fabra et al., 2012). However, essential oils  
313 (from thyme and basil) and other antioxidants notably reduced the oxygen permeability  
314 of starch-chitosan blend films (Bonilla et al., 2013). The poorer oxygen solubility in  
315 these more polar oils and the presence of antioxidant compounds that can play an  
316 oxygen scavenging role are the reasons for the positive effect of EO on the oxygen  
317 barrier properties of films.

318

### 319 3.3. Mechanical and optical properties

320 The mechanical and optical properties of different films, with and without essential oils,  
321 are shown in Table 3. In general, the mechanical parameter exhibited few changes as a  
322 result of the EO incorporation. The values of elasticity modulus (EM), tensile strength  
323 (TS) and deformation at fracture point (%E) of the control and EO-containing films are  
324 not significantly different.

325 Previous studies have described different effects of the incorporation of EO into  
326 polymeric matrices. Sanchez-Gonzalez et al. (2011b) found similar tendencies in films  
327 based on HPMC and chitosan after additions of different EO concentrations (0.5- 1 and  
328 2%). The addition of EO caused a decrease in EM, TS and %E. The kind of EO only  
329 had a significant effect on chitosan films. Kechichian et al. (2010) also found that there  
330 was a decrease in the mechanical properties after incorporating powder of cinnamon and  
331 clove into starch films. However, Bonilla et al. (2013) found an increase in the film's  
332 rigidity and tensile strength in starch-chitosan blend films with basil essential oil; with  
333 thyme oil, meanwhile, the films only were slightly less extensible. In this study, authors  
334 found that in pure chitosan films, the incorporation of thyme and basil EO caused a  
335 significant decrease in the rigidity and tensile strength of the films (the higher the  
336 essential oil concentration, the more intense the effect), while the films gained  
337 extensibility.

338 All of the described results highlight that the effect of EO on the film's mechanical  
339 properties depends on the type and concentration of EO, the kind of polymer matrix and  
340 the specific interactions between components (Ahmad et al. 2012), which determine the  
341 effective adhesion forces at the polymer-oil interphase. In general, if essential oils, or  
342 other immiscible, non-polymeric components, are added in excessive concentrations,  
343 the films support a great quantity of dispersed phase and the structure becomes too



344 heterogeneous, with a great number of discontinuities, which imparts a highly fragile  
345 nature to the matrix. Nevertheless, if the optimal level of essential oil is incorporated,  
346 the film's properties can be enhanced depending on the specific interactions between  
347 components.

348 The few changes obtained in the mechanical properties of starch-gelatin films as a result  
349 of the incorporation of essential oils reveal that the concentrations used are suitable for  
350 preserving the mechanical behavior of the films based on starch:gelatin, while imparting  
351 other positive effects, such as the improvement in the barrier properties commented on  
352 above and potential active properties.

353 Table 3 also shows the values of the optical properties of the films. Film gloss is related  
354 with surface morphology (Villalobos et al. 2005) and, in general, the smoother, less  
355 irregular the film surface, the glossier the film. The gloss values revealed that the  
356 incorporation of EO caused a reduction in this parameter in comparison with the control  
357 films. As previously commented on, EOs introduce structural irregularities at the  
358 surface level of the films, which directly reduce film gloss. The kind of EO affected the  
359 gloss of the films; the oregano EO produced the glossiest films and the cinnamon oil the  
360 least glossy. The surface topography of the films, influenced by the oil creaming during  
361 the drying period, and possible oil exudation to the surface in the dried film, will be  
362 determining factors in the film's final gloss.

363 The control films exhibited lower transparency values, evaluated through  $T_i$ , than those  
364 that contain EO, despite the presence of an oil dispersed phase that could contribute to  
365 light dispersion and an increase in opacity. In fact, the incorporation of EO at different  
366 concentrations in chitosan and HPMC matrices caused a decrease in the transparency of  
367 all the films (Sánchez-González et al. 2011b). Nevertheless, in starch-gelatin films,  $T_i$   
368 values significantly increased as the EO was incorporated, regardless of the kind of oil .

369 This could be due to the less compact nature of the polymer matrix in the presence of  
370 the EOs and the possible inhibition of starch and gelatin crystallization phenomena. In  
371 fact, Jiménez et al. (2012), observed a decrease in the transparency and gloss of corn  
372 starch films during storage attributable to the progress of amylose crystallization. The  
373 presence of oils could help to limit these crystallization phenomena, leading to more  
374 transparent films.

#### 375 3.4. Antifungal activity in vitro tests

376 Table 4 shows the results of the antifungal effectiveness of the films containing EO  
377 through the diameter of inhibition of the fungal growth for *Fusarium oxysporum* (FOG)  
378 and *Colletotrichum Gloeosporiodes* (CG), after 48 and 72 hours of incubation. The  
379 control plates (without film) were used to compare the fungal growth. The results  
380 obtained in the control plates showed a growth equivalent to 2/3 of the total plate  
381 surface after 24 h, whereas at 72 h, the entire surface was covered by the fungus.

382 The three films containing EOs were effective against the fungal growth. In general, this  
383 effect was somewhat more marked in films containing cinnamon essential oil against  
384 the *Fusarium oxysporum* (FOG) fungus, while films with clove and cinnamon essential  
385 oils were more active against the *Colletotrichum Gloeosporiodes* (CG) fungus. The  
386 films with oregano essential oil were always less effective than the other two. These  
387 differences may be related, among other factors, with the nature and composition of the  
388 EOs, the ratio of EO:polymer in the film and possible interactions between polymers  
389 and active compounds which can affect their diffusion in the medium (Sánchez-  
390 González et al., 2011a). In these essential oils, eugenol, carvacrol and thymol are the  
391 main phenols. Eugenol is abundant in clove (90%) and cinnamon (60%), and is the  
392 main volatile compound of the oils; while in oregano oil, high percentages of carvacrol  
393 (50%) and thymol (21%) are usually found (Roselló, J.; Sempere, F.; Sanz-Berzosa, I.;

394 Chiralt, A.; Santamarin M. P. (2015). Moreover, the antimicrobial activity of essential  
395 oils is not only attributable to their main constituents. Different studies concluded that  
396 essential oils are more effective than isolated active compounds or mixtures of these,  
397 probably due to the development of synergistic effects (Mourey and Canillac, 2002;  
398 Ranasinghe et al., 2002).

399 The greater effectiveness of films containing cinnamon EO is coherent with previous  
400 studies about the in vitro analysis of the antimicrobial effectiveness of films based on  
401 Arabic gum against pathogens causing antracnosis (*C. musae* and *C. Gloeosporiodes*)  
402 (Maqbool et al., 2011). Films containing cinnamon EO were also reported to exert a  
403 good rot control when applied to papaya (Acosta, 2015-TESIS)

404

#### 405 **4. Conclusions**

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407 The incorporation of cinnamon bark, clove and oregano essential oils (25% with respect  
408 to polymer) into cassava starch-bovine gelatin films in a 1:1 ratio was effective at  
409 providing the films with antifungal activity. 35-45 % of the essential oil initially  
410 incorporated into the film-forming dispersion was retained in the film matrix of the  
411 films obtained by casting. The remaining essential oils were dispersed in the polymer  
412 matrix due to their lack of miscibility. Their presence in the film led to a significant  
413 reduction in the water vapor permeability of starch-gelatin films, a 17-30% reduction in  
414 oxygen permeability (depending on the essential oil) and an increase in transparency,  
415 without causing any negative effects in the film's mechanical behavior, apart from the  
416 fact that it does reduce the film's gloss. Films with EOs exhibited a notable antifungal  
417 activity against the two tested fungus species; this was particularly true in the cases of

418 those containing cinnamon EO against *Fusarium oxysporum f.sp. gladiolo* and those  
419 containing clove EO against *Colletotrichum gloesporoides*.

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541 FIGURE CAPTIONS

542 Figure 1. SEM images of the surfaces (left column) and the cross-sections (right  
543 column) of starch-gelatin films, containing or not different essential oils (cinnamon (C),  
544 clove (CL) and (O) oregano).

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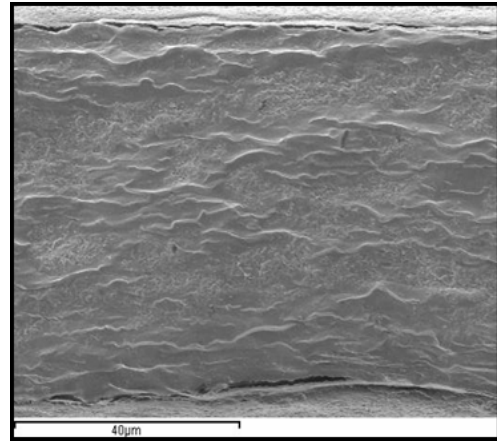
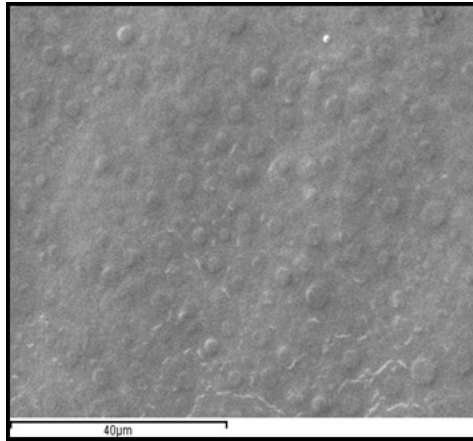
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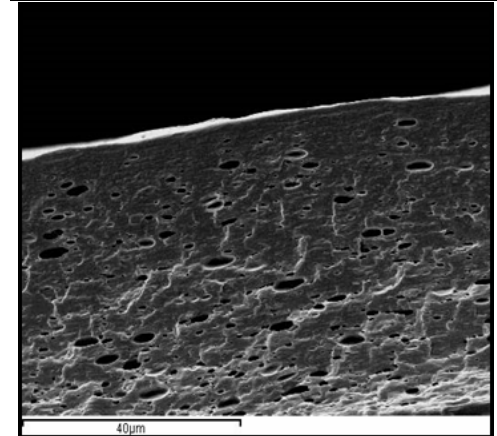
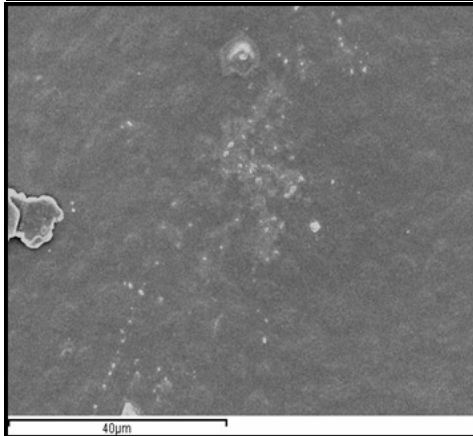
**SURFACE**

**CROSS-SECTION**

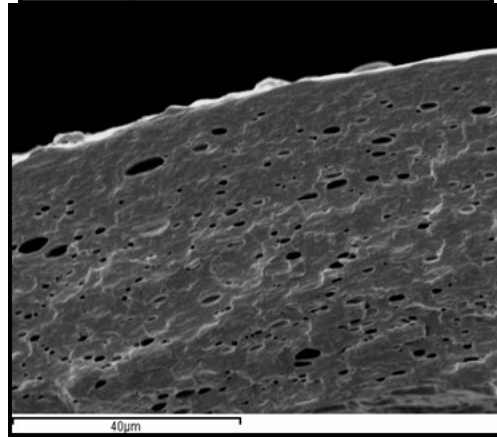
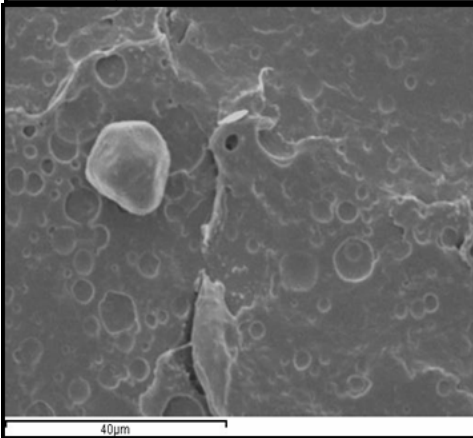
**SG**



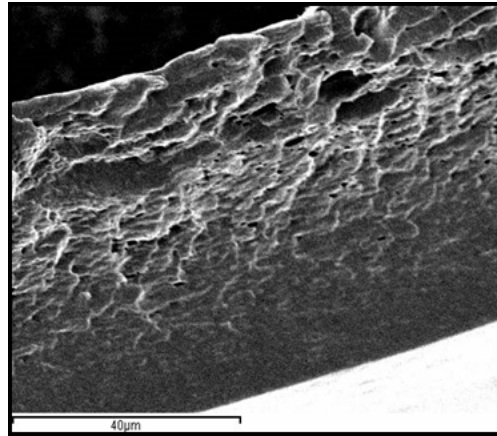
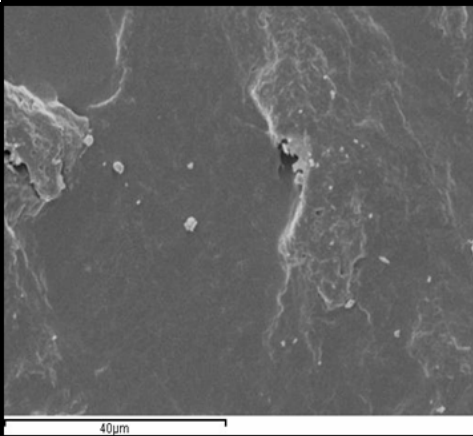
**SG-C**



**SG-CL**



**SG-O**



557 Table 1. Average value <sup>(\*)</sup> (wt. %) of the main EO components and their melting point  
 558 (°C) between brackets (adapted from Rosello, et al 2015).

Components (melting point, °C)	Cinnamon EO (%)	Clove EO (%)	Oregano EO (%)
Eugenol (256°C)	60	90	-
Acetato de cinamilo (265°C)	5	-	-
Acetato de eugenilo (268°C)	18	-	-
Benzoato de benzilo (323°C)	4	-	-
Beta-Cariofileno (280°C)	-	7	-
Timol (233°C)	-	-	21
Carvacrol (238°C)	-	-	50
Gamma-Terpineno (183°C)	-	-	9
Para-Cimeno (177°C)	-	-	11

559 \*values depend on several factors as method of extraction, geographical location, genetic and  
 560 environmental conditions, among others,

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570 Table 2. Barrier properties (WVP: water vapour permeability and OP: oxygen  
 571 permeability), and loss of essential oil after films drying. C: cinnamon; Cl: clove; O:  
 572 oregano. Mean values, standard deviation and ANOVA results.

FILMS	WVP (g.mm/kPa.h.m <sup>2</sup> )	OPx10 <sup>-13</sup> (cm <sup>3</sup> /m.sec.Pa)	Loss of essential oil (%) <sup>(1)</sup>
SG	5,9 ± 0,4 <sup>b</sup>	0,52 ± 0,10 <sup>b</sup>	-
SG-C	4,2 ± 0,2 <sup>a</sup>	0,39 ± 0,02 <sup>a</sup>	59 ± 4 <sup>a</sup>
SG-Cl	4,2 ± 0,2 <sup>a</sup>	0,43 ± 0,02 <sup>a</sup>	56 ± 2 <sup>a</sup>
SG-O	4,6 ± 0,4 <sup>a</sup>	0,358 ± 0,005 <sup>a</sup>	66 ± 4 <sup>b</sup>

573 (1)Percentage of lost throughout film drying respect initial quantity of film forming dispersion

574 a,b, Different superscripts within the same column indicate significant differences among films (p <  
 575 0.05).

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589 Table 3. Tensile (Elastic modulus: EM, tensile strength: TS and deformation: E at  
 590 break) and optical (internal transmittance: Ti and gloss) properties of starch-gelatin  
 591 based films, containing or not essential oils. C: cinnamon; Cl: clove; O: oregano. Mean  
 592 values, standard deviation and ANOVA results.

FILMS	EM(MPa)	TS(MPa)	E (%)	Ti (480nm)	Gloss (60°)
SG	627 ± 54 <sup>ab</sup>	24 ± 2 <sup>ab</sup>	14 ± 4 <sup>ab</sup>	81 ± 0,04 <sup>a</sup>	37 ± 4 <sup>d</sup>
SG-C	775 ± 119 <sup>b</sup>	25 ± 2 <sup>b</sup>	8 ± 3 <sup>a</sup>	91 ± 0,5 <sup>b</sup>	12 ± 2 <sup>a</sup>
SG-Cl	642 ± 71 <sup>ab</sup>	25 ± 3 <sup>b</sup>	17 ± 3 <sup>b</sup>	90 ± 0,4 <sup>b</sup>	17 ± 3 <sup>b</sup>
SG-O	612 ± 213 <sup>a</sup>	22 ± 2 <sup>a</sup>	12 ± 3 <sup>ab</sup>	92 ± 0,4 <sup>b</sup>	23 ± 4 <sup>c</sup>

593 a,b, Different superscripts within the same column indicate significant differences among films (p <  
 594 0.05).

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608 Table 4. Halo (diameter) of the growth inhibition of *Fusarium oxysporum* (FOG) and  
 609 *Colletotrichum Gloeosporiodes* (CG) at 48 and 72 hours of incubation on plaque  
 610 containing discs with different films. C: cinnamon; Cl: clove; O: oregano.

Halo of fungus growth inhibition (mm)				
FILMS	FOG at 48 h	FOG at 72 h	CG at 48 h	CG at 72 h
SG-C	57 ± 4 <sup>c</sup>	48 ± 2 <sup>b</sup>	49 ± 3 <sup>b</sup>	38 ± 3 <sup>c</sup>
SG-Cl	49 ± 2 <sup>b</sup>	46 ± 2 <sup>b</sup>	52 ± 2 <sup>b</sup>	45 ± 2 <sup>b</sup>
SG-O	42 ± 3 <sup>a</sup>	36 ± 2 <sup>a</sup>	41 ± 7 <sup>a</sup>	30 ± 7 <sup>a</sup>

611 a,b, Different superscripts within the same column indicate significant differences among films (p <  
 612 0.05).

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