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Additional Information

1 ***Bacillus subtilis* IAB/BS03 as a potential biological control agent**

2

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20

21 **Abstract**

22 We describe the efficacy of *Bacillus subtilis* strain IAB/BS03 in reducing disease
23 incidence of *B. subtilis* IAB/BS03 as a foliar treatment against *Botrytis cinerea* and
24 *Pseudomonas syringae* on greenhouse-grown tomato (*Solanum lycopersicon*) plants.
25 We also tested the effect of foliar treatments on lettuce (*Lactuca sativa*) against lettuce
26 downy mildew caused by *Bremia lactucae* in multiple trials under different field
27 conditions. All the assays indicated that *B. subtilis* IAB/BS03 reduced disease. To
28 ascertain the mechanism of action, the induction of pathogenesis-related (PR) proteins,
29 the accumulation of salicylic acid and the activation of peroxidase caused by foliar or
30 root treatments with *B. subtilis* IAB/BS03 were studied in tomato. A salicylic acid-
31 independent induction of the antifungal protein PR1 was observed after treatment with
32 *B. subtilis* IAB/BS03, with the strongest induction due to root treatment compared with
33 foliar application. A metabolic analysis of *B. subtilis* IAB/BS03 culture broth using
34 Ultra Performance Liquid Chromatography coupled with ultraviolet and mass
35 spectrometric detection determined surfactin and iturin A isomers. These compounds
36 have been described as antifungal and antibiotic lipopeptides. The results indicated that
37 *B. subtilis* IAB/BS03 could be effectively used as a biocontrol agent.

38

39 **Keywords:** *Bacillus subtilis*, biological control, Iturin, Surfactin.

40

41 **Introduction**

42 Crop disease causes major yield losses, and emerging diseases pose new threats to
43 global food security (Wulff et al. 2011). Biological control is an environmentally
44 sustainable alternative to using synthetic pesticides. Plant growth-promoting
45 rhizobacteria (PGPRs), such as *Bacillus subtilis*, are widely applied to control many
46 crop diseases (Liu et al. 2014). PGPRs are able to enhance plant growth and yield
47 through phytohormone production (Tsavkelova et al. 2006). As application of PGPRs
48 offers several advantages in commercial agricultural production, many products that
49 contain *B. subtilis* are now available (Choudhary and Johri 2009). However, the
50 implementation of these *Bacillus*-based products remains an issue (Pérez-García et al.
51 2011).

52 One of the proposed mechanisms in the PGPR-activated control of plant disease is to
53 induce systemic resistance, known as ISR (Induced Systemic Resistance). ISR protects
54 plants from subsequent infection by other pathogenic organisms (Van Loon 2007).
55 Induced plant defence is regulated by pathways that involve molecules, including
56 salicylic acid (SA), jasmonic acid (JA) and ethylene (ET) (Robert-Seilaniantz et al.
57 2007). Defence proteins, like pathogenesis-related proteins (PRs) or peroxidases, are
58 important components of this network. PR proteins exert activity against the pathogen;
59 for example, PR1 has been described as an antifungal protein, and is used as a marker to
60 establish plant resistance to pathogens (Niderman et al. 1995). There is evidence to
61 indicate that peroxidase plays an important biological role in the plant defence response
62 against biotic or abiotic stresses (Mohammadi and Kazemi 2002). A cationic peroxidase
63 encoded by the *Ep5C* gene has been demonstrated to be required for bacterial speck
64 susceptibility in tomato (Coego et al. 2005).

65 In addition, the effect of *Bacillus* spp. on plant disease suppression has been attributed
66 to the production of peptide antibiotics (Cho et al. 2003). Among them, two families of
67 lipopeptides, synthesised by bacteria of the genus *Bacillus*, the iturins and surfactins are
68 the best characterised due to their antagonistic activity against a wide range of potential
69 pathogens (Ongena and Jacques 2008). Surfactins are powerful biosurfactants with
70 antibacterial activity, but no marked fungitoxicity (with some exceptions). Iturins have
71 strong antifungal action, but have only limited antibacterial activity (Pérez-García et al.
72 2011). Besides antibiosis, these lipopeptides can play other roles in biocontrol as they
73 can induce plant defences (Choudhary and Johri 2009, Kawagoe et al. 2015).

74 The aim of this work was to test the efficacy of *B. subtilis* strain IAB/BS03 against
75 *Botrytis cinerea* and *Pseudomonas syringae* on tomato, and against *Bremia lactucae* in
76 lettuce. To explore the mechanisms involved, we studied the induction of the antifungal
77 protein PR1, peroxidase activity and SA accumulation *in planta*, and we tested for the
78 presence of antibiotics surfactin and iturin A in cultures of *B. subtilis* IAB/BS03.

79

80 **Materials and methods**

81

82 Efficacy of *B. subtilis* IAB/BS03 against *B. cinerea* and *P. syringae* in tomato plants

83

84 Tomato plants were grown in a greenhouse under natural environmental conditions and
85 photoperiod, at an experiment station (Promo-Vert Crop Services S.L., Sevilla, Spain)
86 in Níjar (Almería, Spain) from February to March 2012. ‘Rebellion’ tomato plants, used
87 for tests against *B. cinerea*, were grown at 9-26 °C with 50-78% relative humidity (RH).
88 ‘Ramyle’ tomato plants were grown at 7-23 °C with 50-90% RH and were used for tests

89 against *P. syringae*. Standard plant management operations (pruning to promote
90 ventilation, removing damaged leaves, etc.) were performed to prevent excessive
91 disease development.

92 Treatments included a control (untreated) and *B. subtilis* IAB/BS03 (at 10^8 cfu g⁻¹) at
93 three doses (1, 10 and 100 g hL⁻¹). Treatments with a standard fungicide (Scala, 40%
94 Pyrimetanil, 0.15% w/v; Bayer Cropscience, Almería, Spain) or a standard bactericide
95 (ZZ Cuprocol, 700 g L⁻¹ copper oxychloride, 0.3% w/v; Seagro SL, Sevilla, Spain) were
96 used as positive controls (each treatment comprised three replicate plants). The
97 experiment was performed once, and has a randomised complete block design
98 comprising four blocks, each containing 15 plants. Five different foliar applications (A,
99 B, C, D and E), at a rate of 1,200 L ha⁻¹, were applied at 5-8 day intervals. The first
100 *Botrytis* assay application (A) took place at fruit maturation growth stage “BBCH77”
101 (Zadoks et al. 1974), while the *P. syringae* assay was applied as fruit started ripening or
102 upon colouration, “stage BBCH83”. Subsequently the remaining treatments (B, C, D
103 and E) were applied at 1-week intervals until fruit commenced ripening, or until all fruit
104 began to soften, for the fungal and bacterial assays, respectively.

105 The experiments relied on natural inoculum, and plants were assessed for incidence
106 (percentage of leaves with symptoms) and severity (percentage leaf area with
107 symptoms). The assessments for fungal symptoms (Fig S1A, Electronic Supplementary
108 Material 1) were recorded at 0 days post treatment (dpt) B, 0 dpt-C, 0 dpt-D, 0 dpt-E, 7
109 dpt-E and 16 dpt-E. In addition, symptoms were evaluated for *P. syringae* incidence and
110 severity both on main stems and footstalks and calyxes at 0 dpt-B, 1 dpt-C, 0 dpt-D, 0
111 dpt-E, 8 dpt-E and 14 dpt-E (Fig S1B, Electronic Supplementary Material 1).

112 The tomato experiments were performed following the guidelines of Good
113 Experimental Practice (GEP), in accordance with Guidelines PP 1/181(3), PP 1/152(3)

114 and PP 1/135(3) provided by the European and Mediterranean Plant Protection
115 Organization (EPPO). Specific EPPO Guidelines PP 1/54 (3) and PP 1/121(2) were
116 applied for *B. cinerea* and *P. syringae* assays, respectively.

117

118 Efficacy of *B. subtilis* IAB/BS03 against *Bremia lactucae* in lettuce

119

120 Different lettuce varieties were assayed in nine open field trials on growers' land at
121 several locations: 'Modelo' (Benicarló, Spain); 'Abby', 'Carrascoy' and 'Yerga',
122 (Vinaròs, Spain); 'Matinale' (Torres Vedras, Portugal); 'Verde Degly Ortolani'
123 (Policoro, Italy); 'Romana' (Francolise, Italy); 'Craquante d'Avignon' (Nimes, France);
124 and 'Kristina' (La Chapelle de Guinchay, France). The weather conditions and dates are
125 shown in Table S1 (Electronic Supplementary Material 2).

126 Treatments included a control (untreated) and *B. subtilis* IAB/BS03 (10^8 cfu g⁻¹) at
127 three different doses (50, 100 and 150 g hL⁻¹), and treatments with fungicide (Curzate
128 M, 4% Cymoxanil and 40% Mancoceb; 0.3% w/v; Seagro SL), applied at 3,000 g ha⁻¹
129 as the positive control (each treatment comprised three replicate plants). The
130 experimental design was an RCB with four blocks. Five applications of each dose (A,
131 B, C, D and E) were applied. Application A was sprayed after transplanting, and two
132 more treatments (B and C) were successively applied, at fortnightly intervals. The last
133 two applications (D and E) were performed thereafter at 1-week intervals.

134 The experiment relied on natural inoculum, and plant symptoms (Fig S1C, Electronic
135 Supplementary Material 1) were assessed for incidence and severity of foliar symptoms
136 at 7 dpt-C, 8 dpt-D and 11 dpt-E. Lettuce plants were checked for symptoms of
137 phytotoxicity at each assessment.

138 All the lettuce trials were performed under GEP, in accordance with EPPO Guidelines
139 PP 1/181(3), PP 1/152(3), PP 1/135(3), PP 1/65(3) and CEB 197.

140

141 Efficacy values

142

143 The efficacy of *B. subtilis* IAB/BS03 as a fungicidal or bactericidal agent was calculated
144 according to Abbott's formula (Abbott 1925), using incidence (percentage of plant units
145 with symptoms) and severity (percentage of the plant unit area with symptoms) data.

146 The following formula was used:

147 $\% \text{ efficacy} = (\text{Untreated } \% - \text{Treated } \%) \times 100 / \text{Untreated } \%$

148

149 Induction of defence mechanisms

150

151 To study the induction of plant defence mechanisms, 'Rutgers' tomato plants were
152 grown under standard greenhouse conditions (20-25 °C; 16/8 h light/dark photoperiod)
153 for 3-4 weeks, and the untreated control leaves (0 h) were collected. Foliar treatments
154 were administered by spraying plants with *B. subtilis* IAB/BS03 (10^5 cfu mL⁻¹) until
155 run-off. Water was sprayed on the negative control plants. For a positive control, tomato
156 leaves were inoculated with *P. syringae* DC3000 (10^8 cfu mL⁻¹) using a syringe with no
157 needle. The equivalent control leaflets were mock-inoculated with sterile 10 mM MgCl₂
158 solution. Root treatments were performed by watering all the plants with 150 ml of a
159 suspension of *B. subtilis* IAB/BS03 (10^5 cfu mL⁻¹) or *P. fluorescens* (10^5 cfu mL⁻¹)
160 used as a positive control. Water was used for the negative controls. The third and

161 fourth leaves of the tomato plants were harvested at the times indicated in each case. All
162 the material collected was immediately placed in liquid N₂ and stored at -80 °C until
163 processing.

164

165 *PRI* and *EP5C* analysis

166

167 Total RNA was prepared from the tomato tissues using TRIzol reagent (Invitrogen, Life
168 Technologies, California, United States) following the manufacturer's instructions.
169 First-strand cDNA was synthesised from 5 µg of total RNA using Moloney Murine
170 Leukemia Virus reverse transcriptase (Promega Biotech Ibérica, Madrid, Spain) and an
171 oligo(dT)₁₈ primer. The reverse transcriptase reaction mix (5 µL) was subject to PCR
172 using a Perkin-Elmer (Madrid, Spain) thermocycler: 94 °C denaturation for 30 s,
173 followed by 25 (for *RPL2*) or 30 cycles of 94 °C for 30 s, 50 °C for 1 min, 72 °C for 1
174 min, and a final extension of 72 °C for 15 min. The primers sequences used for tomato
175 for *PRI* were 5'-CACTAAACCTAAAGAAAAATGG-3' (forward primer) and 5'-
176 GTGGAATTATTCATATTAGC-3' (reverse primer) (Tornero et al. 1997). The primer
177 sequences used for *EP5C* gene were 5'-CTCAGCATGCCAATTCTTCA-3' (forward
178 primer) and 5'-GCCGAATCTCAATCCAAGAA -3' (reverse primer) (Coego et al.
179 2005). The oligonucleotides used to amplify the control gene *RPL2* were 5'-
180 GGTGACCGTGGTGTCTTTGC-3' (forward primer), and *RPL2R* 5'-
181 ACCAACGTTTTGTCCAGGAGGT-3' (reverse primer) (Fleming et al. 1993). All the
182 PCR products were detected by gel electrophoresis on 1% agarose which was stained
183 with ethidium bromide and visualised under ultra violet.

184

185 Extraction and assay for peroxidase

186

187 Peroxidase activity was assayed according to previously published protocols
188 (Hammerschmidt et al. 1982). Frozen leaf samples (0.2–0.3 g) were ground in a mortar
189 in 1 mL of 0.1 M sodium phosphate buffer (pH 7.5) containing 20 mg of
190 polyvinylpyrrolidone to remove phenolics that can inactivate peroxidase activity.
191 The homogenate was centrifuged for 10 min at $10,000 \times g$ and the supernatant was used
192 to determine soluble peroxidase activity. A peroxidase assay was run in a total volume
193 of 3 mL with 50 μL of protein extract, 10 μL of guaiacol and 10 μL of 30% H_2O_2 in 0.1
194 M phosphate buffer. After incubating for 5 min at 25 °C the absorbance was measured
195 at 470 nm using a spectrophotometer (Perkin-Elmer LS 50 B). To relate peroxidase
196 activity to mg of protein, a Bradford analysis of the protein extract was conducted.
197 Peroxidase activity was expressed as increments in absorbance per minute and
198 milligram of protein for the first 5 minutes.

199

200 SA extraction and quantification

201

202 The total SA (the sum of free and glucose-conjugated SA) from tomato leaves was
203 extracted according to previously described protocols (Zacarés et al. 2007). Briefly,
204 frozen leaf material (approx. 0.25 g) was ground in 1.5 mL of methanol. Extracts were
205 vortexed, sonicated and centrifuged at $14,000 \times g$ for 15 minutes, drying the supernatant
206 under nitrogen. The residue was hydrolysed in 1 ml of 0.1 M sodium acetate (pH 4.5),
207 which contained 10 units of almond β -glucosidase (EC 3.2.1.21) (14.3 U/mg, Fluka,
208 Buchs, Switzerland), and was incubated at 37 °C for 3 h. The reaction was stopped by

209 adding 77 μL of perchloric acid (70%) and the mixture was maintained for 1 h at 4 $^{\circ}\text{C}$.
210 After centrifuging at $14,000 \times g$ for 15 min, the remaining supernatant was extracted
211 with 2.5 mL of 1:1 cyclopentane/ethyl acetate using 5-mL glass tubes. The organic
212 dried residue was resuspended in 200 μL of methanol, filtered and evaporated, before
213 re-suspending again in 200 μL methanol. Twenty microlitres were injected using a
214 Waters 717 (Waters Corp., Milford, MA, USA) autosampler into a reverse-phase Sun
215 Fire 5- μm (Waters, 4.6 mm \times 150 mm) column equilibrated in 1% (v/v) acetic acid at
216 room temperature. A 20-minute linear gradient of 1% (v/v) acetic acid in water to 100%
217 methanol at a flow rate of $1 \text{ mL} \times \text{min}^{-1}$ was applied with a 600E Waters HPLC pump.
218 SA was detected by a Waters 2475 fluorescence detector (excitation λ 313 nm, emission
219 λ 405 nm), and was quantified with Empower (Waters) software by constructing a
220 standard curve with an SA standard (Sigma Aldrich, Missouri, USA). Losses in the
221 extraction procedure were determined by using anisic acid (Sigma Aldrich), as an
222 internal standard, with losses in the range of 40 to 60% in all cases.

223

224 Isolation and analysis of iturin and surfactin lipopeptides from *B. subtilis* IAB/BS03
225 culture broth

226

227 A *B. subtilis* IAB/BS03 saturated culture grown overnight was used to inoculate two
228 different flasks containing 100 mL of lysogeny broth (LB) amended with streptomycin
229 ($200 \mu\text{L mL}^{-1}$). Flasks were incubated for 48 h at 28 $^{\circ}\text{C}$ or 37 $^{\circ}\text{C}$ to evaluate the
230 temperature effect in the lipopeptides production (Ohno et al., 1995). The iturines and
231 surfactines synthesised by *B. subtilis* were extracted following the protocol proposed by
232 Chen et al. (2008). Lipopeptides were precipitated from the supernatant of the liquid
233 cultures by adjusting to pH 2 with HCl, and maintaining overnight at 4 $^{\circ}\text{C}$. Pellets were

234 collected, extracted twice with methanol and dried. Lipopeptide content was determined
235 by reverse phase UPLC-PDA-QToF (Acquity-Micromass Q-TOF Waters) via an
236 electrospray ionisation (ESI) interface. Separation was performed in a Waters Acquity
237 BEH C18 column (2.1 mm × 150 mm, 1.7 µm). The solvent was 0.1% formic acid in
238 acetonitrile (phase B) and 0.1% formic acid in ultrapure water (phase A). The gradient
239 conditions for surfactin determination were 80% B for 5 min, and increased to 100% B
240 for 5 more min. For iturin A identification the conditions were 40% B for 5 min, and
241 increased to 100% B for a further 5 min. The flow rate was 0.4 ml min⁻¹, with the
242 column and sample temperatures maintained at 40 °C and 4 °C, respectively, and the
243 sample injection volume was 2 µL. UV spectra were acquired between 210 and 800 nm,
244 with resolution of 1.2 nm and a sampling rate of 20 points s⁻¹. The ESI source was
245 operated in the negative ionisation mode, with capillary and cone voltages at 3.0 kV and
246 35 V, respectively. The temperature of the source and desolvation was set at 120 °C and
247 300 °C, respectively. Cone and desolvation gas (nitrogen) flows were 500 L h⁻¹ and 50
248 L h⁻¹, respectively. Collision energy was set at 5 eV. ESI data were collected in the
249 Centroid mode within a full scan range from a 50 to 1500 mass-to-charge ratio [*m/z*] at
250 0.2 s per scan. The mass spectrometer was calibrated using a sodium formiate solution
251 (10 ng µL⁻¹) from 200 to 1500 MW (resolution specification 5,000 FWHM, deviation <
252 5 ppm RMS in the presence of a known lock mass). All the data were acquired using
253 Masslynx NT4.1 software (Waters). Iturine A and surfactin standards were obtained
254 from Sigma Aldrich.

255

256 Statistical analysis

257

258 The disease incidence and severity data from the tomato and lettuce experiments were
259 analysed using ANOVA (Statgraphics Centurion XVI, Warrenton VA, USA). The data
260 were subject to Bartlett's test to ascertain variance structure. Due to heterogeneity of
261 variance, the percentage data were arcsine transformed prior to analysis. Where
262 significant treatment effects were determined ($P = 0.05$), a Student-Newman-Keuls test
263 was applied, with different letters indicating significant differences at $\alpha = 0.05$.

264 When Bartlett's test (on transformed data) did not permit us to verify homogeneity of
265 variances Kruskal-Wallis test for non-parametric data was applied. When p -value of
266 Kruskal-Wallis analysis was < 0.05 , a Mann-Whitney test was performed to establish
267 the relationships between subgroups.

268

269 **Results**

270

271 *B. subtilis* IAB/BS03 confers protection to tomato plants against infection by *B. cinerea*

272

273 Disease incidence was lower on plants treated with *B. subtilis* IAB/BS03 compared with
274 the untreated plants (Figure 1A and Table S2A Electronic Supplementary Material 2).

275 The differences observed at 0 days after treatments B, C and D (0 dpt-B, 0 dpt-C and 0
276 dpt-D, respectively) were significant for all doses of *B. subtilis* IAB/BS03 applied, and

277 for the fungicide treatment (positive control). At 0 and 7 days after applying the last
278 treatment (0 dpt-E and 7 dpt-E, respectively), only *B. subtilis* IAB/BS03 applied at 100

279 g hL^{-1} resulted in a significant reduction in disease incidence. Similar results were
280 found with disease severity (Figure 1B, Tables S2B, S2C and S2D Electronic

281 Supplementary Material 2). Small fluctuations in the incidence and severity at different
282 time points were due to the removal of extremely diseased leaves.

283 The *B. subtilis* IAB/BS03 treatment applied to tomatoes to control *Botrytis* gave
284 outstanding results until 0 dpt-C, achieving efficacies of between 73-88% reduction in
285 disease incidence on leaves (Figure S2A, Electronic Supplementary Material 1). For
286 severity, efficacy was also robust (approximately 85% reduction) at 1 g hL⁻¹ up to 0 dpt-
287 C (Figure S2B, Electronic Supplementary Material 1). The efficacies of the treatments
288 with *B. subtilis* IAB/BS03 at the maximum doses (100 g hL⁻¹) were comparable with
289 the efficacies of the standard fungicide at all times for both incidence and severity.

290

291 *B. subtilis* IAB/BS03 confers protection to tomato plants against infection by *P.*
292 *syringae*

293

294 *B. subtilis* IAB/BS03 at 100 g hL⁻¹ significantly reduced incidence (Figure 2A and
295 Table S3A Electronic Supplementary Material 2) and severity (Figure 2B and Table
296 S3B Electronic Supplementary Material 2) on main stems as much as the standard
297 bactericide at 0 dpt-D and 8 dpt-E, respectively. No significant effects of treatment were
298 found at any other time point.

299 Reduction in the incidence of *P. syringae* on footstalks and calyxes was significant in
300 plants treated with 100 g hL⁻¹ and with 10 g hL⁻¹ at 14 days after the last treatment (14
301 dpt-E) (Figure 3A, Tables S4A and S4B Electronic Supplementary Material 2). The
302 effect on severity of both treatments at 14 dpt-E was also statistically different when
303 compared not only with the untreated but also with the standard bactericide (Figure 3B,
304 Tables S4C, S4D and S4E Electronic Supplementary Material 2).

305 The higher doses of *B. subtilis* IAB/BS03 (10-100 g hL⁻¹) provided similar control to
306 the bactericide at all times for both incidence and severity. (Figures S3A and S3B,
307 Electronic Supplementary Material 1).

308

309 *B. subtilis* IAB/BS03 confers protection to lettuce against *Bremia lactucae*

310

311 Results of a representative trial performed with Carrascoy lettuce plants grown at
312 Vinaròs in Spain, shows that treatments with *B. subtilis* IAB/BS03 resulted in a marked
313 reduction in the incidence and severity of *B. lactucae* on lettuce compared to the
314 untreated plants (Figures 4A and 4B, Figures S4A and S4B Electronic Supplementary
315 Material 1, and Tables S5A and S5B, Electronic Supplementary Material 2). The
316 reduction was consistently significant compared with the untreated plants, and was
317 similar to the effect of the fungicide. Efficacies were as high as 100% at 8 days after the
318 fourth application (8 dpt-D) for both doses, 100 and 150 g hL⁻¹, and comparable with
319 the commercial fungicide.

320 The eight other similar field trials performed in 2011 and 2012, resulted in similar
321 effects (Tables S6 and S7, Electronic Supplementary Material 2).

322

323 Induction of defence mechanisms by *B. subtilis* IAB/BS03

324

325 *PRI* mRNA was accumulating at 24 h and 120 h after foliar or root applications of *B.*
326 *subtilis* IAB/BS03 (Figure 5). The root treatment provoked greater induction of *PRI*
327 mRNA, but was not as intense as that produced by the positive controls of *P.*

328 *fluorescens* (root inoculation) or *P. syringae* (leaf infiltration). The observed induction
329 of *PR1* mRNA in the mock-inoculated plants was due to the wounding caused by
330 inoculation with buffer.

331 There was a rapid accumulation of *Ep5C* mRNA in the leaves of plants used as positive
332 controls 4 h after inoculation with *P. syringae* (Figure 5). This enhanced gene
333 expression remained constant until 48 h post-infection. This up-regulation parallels the
334 peroxidase activity detected in the leaves infiltrated with *P. syringae*. Neither the root
335 treatment with *P. fluorescens*, nor that with *B. subtilis* IAB/BS03, caused any detectable
336 increase in *Ep5C* gene expression or enzymatic peroxidase activity.

337 We determined whether *PR1* up-regulation was accompanied by SA accumulation. SA
338 accumulation occurred only in the leaves infiltrated with *P. syringae*, peaking at 24 h
339 after inoculation (Figure 5). This prominent SA accumulation preceded the enhanced
340 peroxidase activity expression at 48 h.

341

342 Determination of iturines and surfactines in *B. subtilis* IAB/BS03 culture

343

344 The ESI (-) mass chromatograms (Figure S5A) of methanolic extracts of *B. subtilis*
345 IAB/BS03 cultured at 28 °C and 37 °C revealed several peaks at 4.44, 6.03, 6.51, 7.60,
346 8.81, and 9.10 min, which corresponded to surfactin isomers with m/z 1006 [M-H]⁻, m/z
347 1020 [M-H]⁻, m/z 1034 [M-H]⁻, and m/z 1048 [M-H]⁻, respectively (Tang et al. 2010).

348 Iturin A isomers were detected in the organic extract of *B. subtilis* IAB/BS03 cultivated
349 exclusively at 37 °C (Figure S5B). The peaks at RT = 2.95, 4.16, 4.41, 6.72, and 6.91
350 min co-eluted with iturin A isomers with m/z 1,041 [M-H]⁻, m/z 1,055 [M-H]⁻, and m/z
351 1,069 [M-H]⁻, respectively (Phister et al. 2004; Pryor et al. 2006). None of these peaks

352 were observed in the chromatogram of the methanolic extract from *B. subtilis*
353 IAB/BS03 cultivated at 28 °C.

354

355 **Discussion**

356

357 Although the number of commercial biocontrol products on the market is growing, their
358 contribution to plant health management is relatively modest. Continued research in
359 biocontrol is needed to develop the products, ensure they are efficacious, and support
360 their uptake for sustainable agricultural production. Alternatives should be available if
361 recommended management tools fail (Fravel 2005). We studied the ability of *B. subtilis*
362 IAB/BS03 to confer protection against different pathogens, and we characterised
363 possible mechanisms involved.

364 Protectant effects of *B. subtilis* IAB/BS03 on greenhouse-grown tomato plants against
365 *B. cinerea* and *P. syringae* were demonstrated and had comparable efficacies to the
366 synthetic pesticides used as positive controls.

367 Progress from greenhouse trials to field trials has been described as an important step to
368 achieve practical applications of induced resistance elicited by PGPRs. Several *Bacillus*
369 spp. strains, which first demonstrated efficacy in reducing disease in greenhouse trials,
370 have been tested under field conditions (Kloepper et al. 2004). We tested the potential
371 of *B. subtilis* IAB/BS03 in open field lettuce against *B. lactucae*. Our results indicate
372 that treatments with *B. subtilis* IAB/BS03 significantly reduced both the incidence and
373 severity of lettuce downy mildew compared with the untreated plants. This efficacy was
374 comparable to that of a commercially available, synthetic fungicide.

375 These results demonstrate that *B. subtilis* IAB/BS03 application provides protection
376 against different diseases on different crops, and in many cases is as efficacious as
377 synthetic pesticides. *B. subtilis* IAB/BS03 is registered and has been patented
378 (US2014/0314718 A1 and PCT/ES2012/070310), adding it to the list of commercially
379 available *B. subtilis* products (Choudhary and Johri 2009).

380 Treatments with *B. subtilis* IAB/BS03 produced an up-regulation of antifungal protein
381 PR1 in tomato plant leaves. Similar results have been reported for *Arabidopsis* plants
382 after root inoculation with two *B. subtilis* strains (Rudrappa et al. 2010; Niu et al. 2011).
383 We detected neither peroxidase gene induction nor increased peroxidase enzyme
384 activity. This is in agreement with previous results describing ISR by PGPR not
385 requiring substantial transcriptome reprogramming since gene expression changes are
386 usually weak or undetectable (Verhagen et al. 2004). In contrast, ISR is characterised by
387 the establishment of a primed defence state in which further defence-related responses
388 are induced more rapidly upon pathogen or insect attack (Conrath et al. 2002). Studying
389 gene pattern induction in the treated plants after a pathogen challenge would provide
390 further useful information.

391 Since a close relationship between SA and peroxidase induction in cucumber and *A.*
392 *thaliana* infected by *P. syringae* has been described (Summermatter et al. 1995), levels
393 of *Ep5C* mRNA and peroxidase activity were also measured in our pathosystem. We
394 found that SA, *Ep5C* mRNA and peroxidase activity all increased in tomato leaves
395 when inoculated with *P. syringae*. Therefore, the accumulation of *PR1* mRNA levels
396 caused by *B. subtilis* IAB/BS03 is independent of SA accumulation, which is in
397 agreement with previous research (Pieterse et al. 1996). Thus our results support the
398 contention that specific signal transduction pathways activated by *Bacillus* spp. during
399 ISR depends on the strain, the host plant and the pathogen (Kloepper et al. 2004). It

400 would be interesting to test *PR1* activation by *B. subtilis* IAB/BS03 in tomato plants that
401 have impaired SA or JA signalling.

402 Besides plant defence activation, *B. subtilis* produces secondary metabolites, which
403 could act directly against the pathogen. In fact, members of the *Bacillus* genus produce
404 a vast array of biologically active molecules that have a potential inhibitory effect
405 against phytopathogen growth (Yáñez-Mendizábal et al. 2012). Among these
406 antimicrobial compounds, cyclic lipopeptides of the surfactin and iturin families offer
407 well-recognised potential in biotechnology and biopharmaceutical applications (Ongena
408 and Jacques 2008). We detected the production of both bioactive molecules surfactin
409 and iturin by *B. subtilis* IAB/BS03 in organic culture broth grown at 37 °C. However,
410 only the surfactin isomers were identified when this strain was grown at 28 °C, which
411 indicates a temperature effect on iturin production. This contrasts with previously
412 published results obtained with *B. subtilis* RB14 during solid-state fermentation (Ohno
413 et al. 1995) , where the optimal temperature for iturin A production was 25 °C, and for
414 surfactin was 37 °C. This discrepancy might be explained by the different incubation
415 conditions or the specific strain of *Bacillus* used.

416 Iturins and surfactins have been described not only as antimicrobial compounds, but
417 also as determinants of ISR activation (Choudhary and Johri 2009, Kawagoe et al.
418 2015). Therefore, the production of these molecules by *B. subtilis* IAB/BS03 could
419 result in direct antagonism of bacterial and fungal pathogens by inducing perturbations
420 in their plasma membranes (Zeriouh et al. 2011).

421 Our results support the potential use of *B. subtilis* IAB/BS03 as a candidate for
422 integrated biocontrol programmes against fungal and bacterial diseases, particularly in
423 tomato and lettuce production.

424

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431

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537 cucurbits. *Molecular Plant-Microbe Interactions*, *24*, 1540-1552.

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540

541 **Figure captions**

542

543 **Fig. 1.** Evaluation of the efficacy of *B. subtilis* IAB/BS03 against *B. cinerea* in
544 greenhouse tomato plants: foliar disease.

545 Tomato plants were either untreated or treated with *B. subtilis* IAB/BS03 (*B. subtilis*) at
546 three different doses (1, 10 and 100 g hL⁻¹) compared with a standard fungicide (Scala
547 0.15%). Five different foliar applications (A, B, C, D and E) were applied and the
548 percentages of leaves diseased (A) or the percentages of leaf surface diseased (B) were
549 assessed at different timed after each application (dpt-X indicates days after the X
550 application; 0 dpt-X indicates just prior to the X application). A total of 60 plants were
551 tested. A Newman-Keuls means comparison (where A ≠ B ≠ C) was performed (α =
552 5%). * Barlett's test (on transformed data) did not permit us to verify homogeneity of
553 variances, and Kruskal-Wallis and Mann-Whitney tests for non-parametric data were
554 applied.

555

556 **Fig. 2.** Evaluation of the efficacy of *B. subtilis* IAB/BS03 against *P. syringae* in
557 greenhouse tomato plants: disease on main stems.

558 Tomato plants were either untreated or treated with *B. subtilis* IAB/BS03 at three
559 different doses (1, 10 and 100 g hL⁻¹) compared with a standard bactericide (ZZ
560 Cuprocol 0.3%). Five different foliar applications (A, B, C, D and E) were performed,
561 and the percentages of plants with the main stem diseased by *P. syringae* (A) and the
562 percentages of principal stem surface diseased by *P. syringae* (B) were assessed at
563 different timed after each application (dpt-X indicates days after the X application; 0

564 dpt-X indicates just prior to the X application). A total of 60 plants were tested. A
565 Newman-Keuls means comparison (where $A \neq B \neq C$) was performed ($\alpha = 5\%$).

566

567 **Fig. 3.** Evaluation of the efficacy of *B. subtilis* IAB/BS03 against *P. syringae* in
568 greenhouse tomato plants: disease on footstalks and calyxes.

569 Tomato plants were either untreated or treated with *B. subtilis* IAB/BS03 at three
570 different doses (1, 10 and 100 g hL⁻¹) compared with a standard bactericide (ZZ
571 Cuprocol 0.3%). Five different foliar applications (A, B, C, D and E) were performed,
572 and the percentages of footstalks and calyxes diseased by *P. syringae* (A) and the
573 percentages of footstalks and calyxes surface diseased by *P. syringae* (B) were assessed
574 at different times after each application (dpt-X indicates days after the X application; 0
575 dpt-X indicates just prior to the X application). A total of 60 plants were tested. A
576 Newman-Keuls means comparison (where $A \neq B \neq C$) was performed ($\alpha = 5\%$). *
577 Bartlett's test (on transformed data) did not permit us to verify homogeneity of
578 variances, and Kruskal-Wallis and Mann-Whitney tests for non-parametric data were
579 applied.

580

581 **Fig. 4.** Evaluation of the efficacy of *B. subtilis* IAB/BS03 against *Bremia lactucae* on
582 field-grown Carrascoy lettuce in Vinaròs (Spain): disease on leaves.

583 Lettuce plants were either untreated or treated with *B. subtilis* IAB/BS03 at three doses
584 (50, 100 and 150 g hL⁻¹). The reference product was a fungicide (Curzate M 0.3%).
585 Five different foliar applications (A, B, C, D and E) were performed. Three assessments
586 with disease symptoms were made at different times after each application (dpt-X
587 indicates days after the X application). The percentages of diseased leaves by downy

588 mildew (A) and the percentages of leaf surface diseased (B) were recorded. A total of
589 60 plants were tested. A Newman-Keuls means comparison (where $A \neq B \neq C$) was
590 performed ($\alpha = 5\%$). These data correspond to the open field assay performed in
591 Vinaròs (Spain) in 2011.

592

593 **Fig. 5.** Induction of tomato defence mechanisms by *B. subtilis* IAB/BS03.

594 Tomato plants were treated with either foliar or root applied *B. subtilis* IAB/BS03. The
595 negative control was water. *P. syringae* and *P. fluorescens* were used as positive
596 controls for foliar and root treatments, respectively. *P. syringae* was infiltrated with a
597 syringe (no needle) and corresponding mock inoculated plants were also included
598 (Mock). Samples were collected at the times indicated in each case (0, 4, 24, 48 and 120
599 hours after treatment).

600 (A) HPLC-fluorescence analyses of the phenolic extracts from tomato leaves were
601 performed. FW: fresh weight. Bars indicate the total SA accumulation in the different
602 samples. Curves indicate peroxidase activity. AU: arbitrary units of absorbance. Three
603 independent replicates were performed, and the results of a representative one are
604 shown.

605 (B) *PRI* and *EP5C* expression in the different samples. RT-PCR amplification using
606 specific primers for each gene was performed (see Materials and Methods for details).
607 The *RPL2* gene was used as a control for RT (Fleming et al. 1993).

608

609 Electronic Supplementary Material 1

610

611 **Fig. S1.** (A) Symptoms of disease caused by *Botrytis cinerea* on greenhouse-grown
612 *Solanum lycopersicum*. (B) Symptoms of disease caused by *Pseudomonas syringae* on
613 greenhouse *Solanum lycopersicum*. (C) Symptoms of lettuce downy mildew caused by
614 *Bremia lactucae* on field-grown *Lactuca sativa*.

615

616 **Fig. S2.** Evaluation of efficacy (based on Abbot's formula) of applications of *B. subtilis*
617 IAB/BS03 against *B. cinerea* in greenhouse-grown tomato plants: foliar disease.

618 Tomato plants were either untreated or treated with *B. subtilis* IAB/BS03 at three
619 different doses (1, 10 and 100 g hL⁻¹) compared with a standard fungicide (Scala
620 0.15%). Five different foliar applications (A, B, C, D and E) were applied, and disease
621 incidence (A) or disease severity (B) on leaves was assessed at the different time
622 periods after application (dpt-X indicates days after the X application; 0 dpt-X indicates
623 just prior to the X application). Comparisons among treatments were performed by
624 analysis of variance (ANOVA) for each time point and a Newman-Keuls means
625 comparison (where A ≠ B ≠ C) was performed (α = 5%). Means with the same letter
626 indicate that there are no significant differences. * Barlett's test (on transformed data)
627 did not permit us to verify homogeneity of variances and Kruskal-Wallis test for non-
628 parametric data were applied.

629

630

631 **Fig. S3.** Evaluation of efficacy (based on Abbot's formula) of applications of *B. subtilis*
632 IAB/BS03 against *P. syringae* in greenhouse-grown tomato plants: disease on tomato
633 footstalks and calyxes.

634 Tomato plants were either untreated or treated with *B. subtilis* IAB/BS03 at three
635 different doses (1, 10 and 100 g hL⁻¹) compared with a standard bactericide (ZZ
636 Cuprocol 0.3%). Five different foliar applications (A, B, C, D and E) were applied, and
637 disease incidence (A) or disease severity (B) on tomato footstalks and calyxes was
638 assessed at the different time periods after application (dpt-X indicates days after the X
639 application; 0 dpt-X indicates just prior to the X application). Comparisons among
640 treatments were performed by analysis of variance (ANOVA) for each time point and a
641 Newman-Keuls means comparison (where A ≠ B ≠ C) was performed (α = 5%). Means
642 with the same letter indicates that there are no significant differences. * Barlett's test
643 (on transformed data) did not permit us to verify homogeneity of variances, and
644 Kruskal-Wallis and Mann-Whitney tests for non-parametric data were applied.

645

646 **Fig. S4.** Evaluation of efficacy (based on Abbot's formula) of applications of *B. subtilis*
647 IAB/BS03 against *Bremia lactucae* on field-grown, 'Carrascoy' lettuce in Vinaròs
648 (Spain).

649 Lettuce plants were either untreated or treated with *B. subtilis* IAB/BS03 at three doses
650 (50, 100 and 150 g hL⁻¹). The reference product was a fungicide (Curzate M 0.44%).
651 Five different foliar applications (A, B, C, D and E) were performed. Disease incidence
652 (A) or disease severity (B) on leaves was assessed at the different time periods after
653 application (dpt-X indicates days after the X application). Comparisons among
654 treatments were performed by analysis of variance (ANOVA), for each time point and a
655 Newman-Keuls means comparison (where A ≠ B ≠ C) was performed (α = 5%). Means
656 with the same letter indicates that there are no significant differences (*p* value < 0.05).

657

658 **Fig. S5.** HPLC-MS (ESI-) chromatograms of methanol extracts obtained from *B.*
659 *subtilis* IAB/BS03 culture broths incubated at 28 °C or 37 °C showing the (A) surfactin,
660 (B) iturin isomers.

661

662 Electronic Supplementary Material 2

663

664 **Table S1.** Weather conditions for efficacy trials of *B. subtilis* IAB/BS03 against *Bremia*
665 *lactucae* in lettuce in different years and locations in Europe.

666

667 **Table S2.** Statistical parameters of the efficacy of *B. subtilis* IAB/BS03 against *B.*
668 *cinerea* in greenhouse tomato plants: foliar disease. A) Results of ANOVA test for
669 disease incidence. B) Results of ANOVA test for disease severity. C) Kruskal-Wallis
670 test for severity at 0 dpt-D. D) *p*-values of Mann-Whitney test for severity at 0dpt-D.

671

672 **Table S3.** Results of ANOVA of the efficacy of *B. subtilis* IAB/BS03 against *P.*
673 *syringae* in greenhouse tomato plants: disease on main stems. A) Disease incidence. B)
674 Disease severity.

675

676 **Table S4.** Statistical parameters of the evaluation of the efficacy of *B. subtilis*
677 IAB/BS03 against *P. syringae* in greenhouse tomato plants: disease on footstalks and
678 calyxes. A) Results of ANOVA test for disease incidence. B) Kruskal-Wallis test for
679 incidence at 0 dpt-E. C) Results of ANOVA test for disease severity. D) Kruskal-Wallis
680 test for severity at 0, 8 and 14 dpt-E. E) *p*-values of Mann-Whitney test for severity at
681 14 dpt E.

682

683 **Table S5.** Results of ANOVA of the evaluation of the efficacy of *B. subtilis* IAB/BS03
684 against *Bremia lactucae* on field-grown ‘Carrascoy’ lettuce in Vinaròs (Spain): disease
685 on leaves. A) Disease incidence. B) Disease severity.

686

687 **Table S6.** Results of ANOVA of the evaluation of the efficacy of *B. subtilis* IAB/BS03
688 against *Bremia lactucae* in additional experiments on lettuce. A total of 8 additional
689 experiments were performed. Several varieties were used at the different locations in
690 Europe in 2011 and 2012.

691

692 **Table S7.** Summary of the 9 efficacy trials showing the performance of *B. subtilis*
693 IAB/BS03 and reference products against *Bremia lactucae* on field-grown lettuce. A)
694 Incidence of lettuce downy mildew on leaves. B) Severity of lettuce downy mildew on
695 leaves.

696

697