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Additional Information

Automatic sign language recognition based on accelerometry and surface EMG signals: An evaluation of permutation entropy as discriminate feature

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Abstract

Hearing impairment is a condition that affects the economy and more than the 5 % of the word population. Communication between deaf and hearing people is difficult due to cultural and technological barriers. In this paper, we developed an Automatic Sign Language Recongnition (ASLR) system of 12 signs of the Colombian Sign Language based on surface electromyography and accelerometry. Initially, we acquire and segment the signals using a methodology based on multi-objective optimization. Then, we assessed different signal features as Permutation Entropy (PE) and Root Mean Square (RMS). Finally, we use a Support Vector Machine to classify the signs and a grid search to select the hyper-parameters. The proposed ASLR system showed a low segmentation error of 5.8 % and a classification accuracy of 96.66 % using only the RMS. These findings suggest that our methodology is suitable to be transfer into embedded system due to its low computational cost.

Keywords: Permutation Entropy, Sign Language Recognition, Accelerometry, Electromyography

1. Introduction

The sign language is an structured communication system with visual rather than auditory codes, used mainly by the deaf population as their natural language [1]. According to the World Health Organization (WHO), more than 5% of the population (466 million) worldwide has a hearing disability, between congenital and acquired deafness and the number is expected to rise to 900 million by 2050 [2]. In addition, the WHO estimates that the cost of untreated hearing loss is USD 750,000 million per year, including assistance devices, loss of productivity, unemployment and early retirement, educational support for children, among others [3]. It is estimated that approximately 20% (72 million) of hearing impaired people could be improved with hearing aids or cochlear implants, yet global production only covers 10% of the demand in developed countries and 3% in developing countries [4]. The communication between deaf people and hearing people is affected by motivational, psychological, ideological or intercultural barriers. After studying two groups of people (a group deaf people and other group of hearing people), it was found a disinterest of both groups to learn the language of the other, due to discrimination among groups aimed by communication barriers [5].

For these reasons, in recent years, the automatic recognition of sign language has been addressed by several researchers in order to develop systems that improve the communication between deaf and hearing people.

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15 1.1. Related Work

16 The Automatic Sign Language Recognition (ASLR) has been approached in different ways by researchers, using tech-
17 niques like surface electromyography (sEMG), accelerometry (ACC), videogrammetry and the implementation of deformity
18 sensors and gyroscopes to the acquisition during the execution of the sign, the most prominent techniques are sEMG y ACC.

19 1.1.1. Videogrammetry

20 video and image processing are an alternative to deal with the problem of ASLR, that has an increasing strength in the last
21 years. The most prominent methodology is the pure visual-based gesture, in this methodology employ detection algorithm
22 like Canny operator or non-parametric models to segment the hands in the image [6, 7]. Applying these techniques is possible
23 to have a classification performance above 90% with the application of typical classification methods like Support Vector
24 Machines (SVM) or Artificial Neural Networks (ANN).

25 In videogrammetry there are two major acquisition and processing problems. Acquisition has drawbacks regarding the lack
26 of robustness, clip format and the fusion of information from multiple elements (facial expression, hands and body), especially
27 when using low-end cameras [8, 9, 10, 11]. In addition to general perceptual properties, lighting or occlusion problems and
28 positioning relative to the camera make it difficult to capture elements within images [12, 13]. Regarding processing, there are
29 drawbacks in Sign Language Translation, especially for grammatically complex sentences where speech entities and deixis
30 occur a lot in the filming space, the recognition of facial expressions and in some cases the addition of new characteristics for
31 the processing of particular subjects [14].

32 1.1.2. Strain sensors

33 The ASLR through deformation is commonly based on the use of sensors capable of capturing this phenomenon. A recent
34 work use a data glove, with flexure sensor located at strategic points of the hand/fingers and photoplethysmography sensor to
35 ASLR of USA Sign Language [15]. Another work, developed an ionic sensor for human motion monitoring by employing
36 durable H-reduced graphene oxide/carbon nanotubes/Ag electrodes and an ionic polymer interlayer, then, using an smart glove
37 with some of this sensors demonstrates its usefulness in the sign language recognition [16].

38 However, it has several drawbacks, such as monotonous testing signal and indispensability of additional power supply.
39 Although some of these disadvantages can be made up by means of sensor arrays testing and portable battery supply, these
40 means will also increase the burden of data post-processing and destroy the entire integration with wearable systems, par-
41 ticularly in complex motion detection. Additionally, large-scale activity detection require sensors with extreme mechanical
42 stability [16].

43 1.1.3. Electromyography and Accelerometry

44 Surface Electromyography (sEMG) is non-invasive technique that allows to record the activation of muscle fibers in the
45 skin. In combination with signal processing techniques, sEMG has been applied to ASLR [17]. However, has been proven that
46 fusing sEMG information with Accelerometry (ACC) data improve the capabilities of such systems. ACC sensor are devices
47 capable of measure the acceleration of a body in three axis and are commonly referred as inertial sensors.

48 In order to extract features from ACC and sEMG, is necessary to use non-linear signal processing algorithms such Sample
49 Entropy and Empirical Mode Decomposition [18], but also simple temporal algorithm such the Root Mean Square (RMS)

50 or frequency has been used for ASLR [19, 20, 21]. This features has been used for classification algorithm such SVM and
51 k -Nearest Neighbors, reaching classification accuracy above 95 % [22].

52 In this paper we developed an ASLR system for Colombian sign language, based on SVM, sEMG and ACC, aiming to
53 obtain a low computational cost and high classification accuracy.

54 2. Materials & Methods

55 2.1. Data acquisition

56 The acquisition of sEMG were taken from four forearm muscles: Flexor Carpi Ulnaris, Flexor Carpi Radialis, Extensor
57 Digitorum Communis, Extensor Carpi Ulnaris. The ACC signals were acquired by a single inertial sensor mounted in the wrist.
58 The gestures were performed by three right-handed subjects, two of whom were in the process of learning sign language and
59 the other was a professional interpreter. None of the subjects reported symptoms of neuromuscular diseases. The subjects were
60 informed about the end of the study and told what gestures they should make. Twelve words were chosen from Colombian
61 Sign Language (CSL) about questions, family and days of the week described in Table 1, all performed with the dominant
62 hand only. To perform the gestures, an initial position was established and the subjects were asked to return to the initial
63 position after execute the sign. Each gesture was executed ten times by each subject.

64 Two devices from the company BTS Bioengineering were used for the acquisition. To capture the sEMG signal we used
65 the BTS FREEMG, which has wireless probes and a sampling frequency of 1000 Hz. To capture the ACC signal, we used the
66 G-WALK wireless inertial sensors which have a sampling frequency of 100 Hz. The EMG Analyzer software provided by the
67 manufacturer, allowed the connection of the sEMG and ACC sensors simultaneously. A filtering process of the signals was
68 not carried out because the device makes a pass-band filtering by default between 20-400 Hz.

69 2.2. Signal segmentation

70 To extract the corresponding sEMG and ACC signal of the sign execution. We conducted an activation detection process
71 based on ACC, which is subsequently used for segmenting the sEMG signal.

72 Initially, was obtained the ACC vector magnitude, using the Manhattan norm, that is, the ACC vector magnitude is the sum
73 of the components of the absolute values of acceleration of the X, Y and Z axes (equation 1), in order to unify the movement
74 information along of the three axes.

$$Acc_{abs}[i] = |Acc_x[i]| + |Acc_y[i]| + |Acc_z[i]| \quad (1)$$

75 Where $Acc_{abs}[i]$ is the magnitude of the acceleration vector at point i , while $Acc_x[i]$, $Acc_y[i]$ and $Acc_z[i]$ represent the
76 components of acceleration on the X, Y and Z axes respectively.

77 Subsequently, the Teager-Kaiser operator (TKEO) was computed for each signal, in order to improve the effectiveness of
78 the segmentation process. The TKEO is calculated point by point as follows [23]:

$$TKEO[i] = Acc_{abs}[i]^2 - Acc_{abs}[i + 1]Acc_{abs}[i - 1] \quad (2)$$

79 We compute the RMS for the resulting signal $TKEO[i]$ as suggested in [24, 25]. The RMS value is defined for an interval
80 or window of the signal, such intervals are defined by the Window length in seconds (W_s) and the step which is a fraction of

Table 1: Description of the vocabulary and signs

Questions	Family	Week days
		
Which way?	Grandfather	Everyday
		
Why?	Son	Friday
		
How much?	Mother	Saturday
		
When?	Father	Sunday

81 W_s . The set of the initial position of every window is defined by $L = \{0, w_s \text{step}, 2w_s \text{step}, \dots, nw_s \text{step}\}$, the the RMS for every
 82 window of the resulting TKEO signal was computed as:

$$\text{RMSTK}_i = \sqrt{\frac{f_s}{w_s} \sum_{j=L_i}^{L_{i+1}} \text{TKEO}[j]^2} \quad (3)$$

83 where f_s is the sample rate.

84 The region of interest was detected by the threshold method as follows [23]:

$$\text{AccMask}[i] = \begin{cases} 1, & \text{if } \text{RMSTK}[i] > T_h \\ 0, & \text{Otherwise} \end{cases} \quad (4)$$

85 The threshold T_h is denoted as $T_h = \mu + h\sigma$, where μ and σ are the mean and standard deviation of $\text{RMSTK}[i]$ respectively,
 86 while h is a constant that sets the level of the threshold.

87 Afterwards, we carried a search of the hyperparameters W_s and the step for the calculation of RMSTK, as well as the h
 88 value for the threshold method, with variations of 10 ms to 70 ms, 20% to 50% of the window and 0.01 to 0.1 respectively.

89 The parameters selection has an effect on signal segmentation, for example, a small threshold T_h or a large window, it
 90 could lead to an over-segmentation of the signal, i.e, would include signal segments that do not correspond to the sign. In
 91 contrast, a large threshold or a short window could lead to a sub-segmentation, causing information loss about sign execution.

92 Therefore, the performance was evaluated taking into account the length of the segmented signals. In this sense, a search
 93 for atypical lengths was performed by applying the Interquartile Range (IQR) method, which can be computed as $\text{IQR}[z] =$
 94 $Q3[z] - Q1[z]$, being $Q1$ and $Q3$ the first and third quartile respectively, and z represent the set of lengths of the signals to be
 95 analyzed, then the number of outliers (noutliers) is computed as follows [26]:

$$\text{num_outliers} = \sum_{j=0}^N (z_j < (Q1 - 1.5 \text{IQR}[z]) \vee z_j > (Q3 + 1.5 \text{IQR}[z])) \quad (5)$$

96 N is the number of samples contained in z .

97 Atypical detection was conducted independently for each subject and sign (word), due to signal length on these two factors.

98 We selected the segmentation parameters to optimize 3 criteria: (1) Minimize the number of atypical signals, (2) Minimize
 99 the standard deviation of the signal length and (3) Minimize the average absolute difference between the signal length and the
 100 mean signal length.

101 In this purpose, we employed the concept of Pareto Optimality [27]. This concept stands that the solution to a multi-
 102 objective optimization problem is a set of non-dominated solution called Pareto front [28]. Figure 1 shows two examples
 103 Pareto fronts in different optimization problems.

104 As established before, the Pareto front is a set of solutions, so, in order to obtain a single solution, we took the solution in
 105 the front closer to the origin, after vector normalization.

106 2.3. Automatic Sign Language Recognition based on machine learning

107 In order to retrieved information from segmented sEMG and ACC signals, we characterized the signals using Permutation
 108 Entropy (PE) proposed by Bandt & Pompe in 2002 [29]. PE is a complexity measure that uses symbolic dynamics, allowing

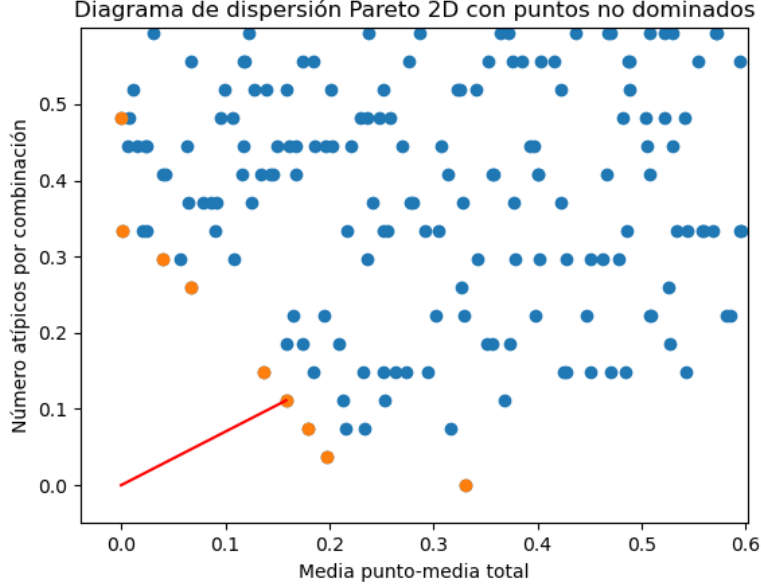


Figure 1: Pareto fronts examples

109 to compute entropy from long time series, to include the effect of the temporal evolution of a time series and to reduce the
 110 computation cost [30]. For these reasons PE is a simple and robust complexity measure.

111 Given a time series $X[i]$, $i = 1, 2, \dots, N$ and sequences of length m (Embedded dimension), PE is computed as [29]:

$$H(\Pi) = - \sum_{j=1}^{m!} p(\pi_j) \log p(\pi_j) \quad (6)$$

112 being $\Pi = \{\pi_1, \pi_2, \pi_3, \dots, \pi_{m!}\}$, the set of all possible ordinal patterns (permutations) of m -order. The ordinal patterns are
 113 computed from signals segments $S_i = \{X[i], X[i + \tau], X[i + 2\tau], \dots, X[i + (m-1)\tau]\}$, whose points are dispersed by τ time units
 114 (Time delay), in this way, the ordinal pattern $\pi_z = \{r_1, r_2, \dots, r_m\}$, is the rank order of the signal segment sorted ascending as
 115 $X[i + \tau r_1] < X[i + \tau r_2] < X[i + \tau r_3] < \dots < X[i + \tau r_m]$. Lastly, $p(\pi_j)$, is the relative apparition frequency of the pattern j in X .

116 Determining the best embedding parameters m and τ it is a previous step to implement PE effectively. In this regard, we
 117 computed the entropy of each segmented signals with values of m between 2 and 12, and $\tau = \{\frac{0.4}{f_s}, \frac{0.6}{f_s}, \frac{0.8}{f_s}, \frac{1}{f_s}\}$. The selection of
 118 the best embedding parameters is based on PE performance to discriminate the different signs, we used a SVM with a lineal
 119 kernel, a cross-validation strategy and 10 repetition. The election of embedding parameter was conducted independently for
 120 sEMG and ACC, in addition, it was carried a SVM parameters tuning using a grid search.

121 SVMs are supervised classifiers. Proposed by Vapnik in [31], their ability to find a hyperplane that separates the classes
 122 with the largest margin is what greatly differentiates them from other classification algorithms such as neural networks [32].

123 Given a training data set $U = \{(x_1, y_1), (x_2, y_2), \dots, (x_l, y_l)\}$, where $y_i \in \{-1, 1\}$ is the class of the input vector x_i , the purpose
 124 of the SVM is to find a function that satisfies the expression $f(x_i) \approx y_i$ for all training data. Such function can be founded
 125 solving an optimization problem in its dual form (Equation 7), this allows us to simplify the SVM solution in order to find

126 only the Lagrange multipliers α_i .

$$\min \frac{1}{2} \sum_{i=1}^l \sum_{j=1}^l y_i y_j \alpha_i \alpha_j (x_i \cdot x_j) - \sum_{i=1}^l \alpha_i \quad (7)$$

$$\text{Subject to: } \begin{cases} \sum_{i=1}^l y_i \alpha_i = 0 \\ \alpha_i \in [0, C] \end{cases}$$

127 $C > 0$ is the cost constant controlling the penalty of errors; therefore, a larger C assigns a higher penalty to errors [32]. f
128 can be represented as follows

$$f(x) = \sum_{i=1}^n \alpha_i (x_i \cdot x) + b, \quad (8)$$

129 which is known as the support vector expansion. The most remarkable property of SVM is that f can be extended to
130 nonlinear functions just by replacing the dot product $(x_i \cdot x_j)$ in Equation 7 and $(x_i \cdot x)$ in Equation 8. This is possible for a
131 kernel function $\Phi(x_i, x_j)$ because Φ retrieves the pairwise dot product, in a high-order dimensional space without explicitly
132 mapping the data.

133 Several kernel functions have been reported. However, the most widely used are the radial basis function kernel (RBFK),
134 where γ is free parameters that control the kernel's behavior.

$$\phi(x_i, x_j) = e^{-\gamma \|x_i - x_j\|^2} \quad (9)$$

135 In order to develop an ASLR system. We employed SVM, using as input features the PE of sEMG and ACC signals, as
136 well as temporal features as RMS. Initially, we compute the PE and RMS of the signals splitted in three equal windows, in
137 order to obtain the temporal evolution.

138 Then, we conduct three experiments, whereby we train several models, the first one using only PE features. The second
139 using only RMS features, and the final model, using the combination of both groups of features. The models were trained
140 using a 10 fold cross validation. We carried on a comparison between 2 different kernel (Linear and RBK), and we use grid
141 search for hyperparameter selection, the employed grid are described in Table 2.

Table 2: Grid search parameters for SVM optimization

Hyperparameter	Minimum	Maximum	Step
C	10^{-2}	10000	$\times 10$
Gamma	10^{-5}	10000	$\times 10$
Kernel	RBFK, Linear		
Decision function shape	One vs One, One vs All		

142 The evaluation of the operation of supervised classifiers was done through performance measures. Such measures allow
143 us to know the behavior and effectiveness of the algorithm during the training and validation process.

144 Several performance measures like *recall*, *presicion*, *F1 score* or *accuracy* are been proposed to assessed different aspects
145 of classification systems. In order to define these metrics we implemented the next abbreviations: True Positives (TP), False
146 Positives (FP), True Negatives (TN) and False Negatives (FN) [33].

147 *Accuracy* represents the success rate of the model and it is defined as:

$$Accuracy = \frac{TP + TN}{TP + TN + FN + FP} \quad (10)$$

Recall or also known as sensitivity is the probability that the label classified as false is actually false, it is defined as:

$$Recall = \frac{TP}{TP + FN} \quad (11)$$

148 *Precision* is the probability that the label classified as true is actually true, it is defined as:

$$Precision = \frac{TP}{TP + FP} \quad (12)$$

149 *F1 score* is the harmonic mean between *Recall* y *Precision* and it is computed as:

$$F1score = 2 \frac{Precision.Recall}{Precision + Recall} \quad (13)$$

150 Taking into account that SVM is bi-class classifier, and our classification problem is multiclass (12 classes). We compared
 151 different decision functions shape as One vs All and One Vs One, such decision function can be seen in figure 2. One
 152 vs All, consist in training a classifier for every class against the remaining classes, and the final decisions is based on the
 153 largest probability between classifiers. One vs One classification instead, consisting in training a classifier between every
 154 pair of classes, and the final decision is based on the most voted class among the classifiers. The advantage of One vs All
 155 classification is that only have to train s classifiers (s is the number of classes), but have the problem of balance between
 156 classes, because in most of cases, the union of reaming classes represent a largest portion of the training data. In other hand,
 157 One vs One classification has no imbalance problems but have to train more classifiers ($s(s - 1)/2$).

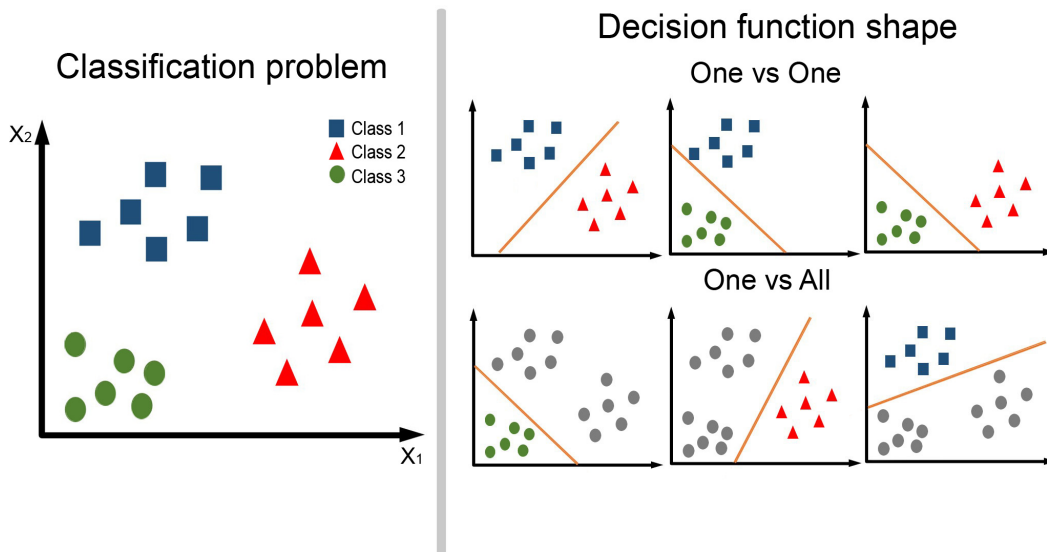


Figure 2: Decision function shape types

158 **3. Results and discussion**

159 *3.1. Data acquisition*

160 Samples were taken from three subjects with no symptoms of neuromuscular disease, with ages between 22-30 years
161 old, two women and one man. We successfully acquired 360 signals from the 12 LSC gestures and 10 repetitions per each.
162 Obtaining, 4 channels of sEMG from the muscles Flexor Carpi Ulnaris, Flexor Carpi Radialis, Extensor Digitorum Communis
163 and Extensor Carpi Ulnaris. In addition, of the three accelerometry axes. Obtaining as a result a total of 120 signs execution
164 with 7 signals.

165 *3.2. Signal segmentation*

166 Figure 3 illustrate the segmentation process results in every step of proposed segmentation methodology based on Thresh-
167 old and TKEO. Pareto optimality allowed to identified 10 non-dominated solutions (Figure 4), the solution closer to the origin
168 was a Window Length of 60 ms, steps of 20% and h of 0.09 to compute the threshold. For this solution, the IQR analysis report
169 21 miss-segmented signals, we found visually in those signals a significant noise levels at the beginning, however, during sing
170 execution the signal quality were fine and were manually segmented.

171 These findings suggest that the proposed segmentation process was successfully and only in 5.8% of the signals were
172 miss-segmented. Other works using TKEO and RMS to segment EMG are reported successfully results [24, 25], we found
173 that such methodology could be applied in other signals like ACC. In addition, we show that use Pareto optimality is an easy
174 and efficient criterion to select best segmentation parameters.

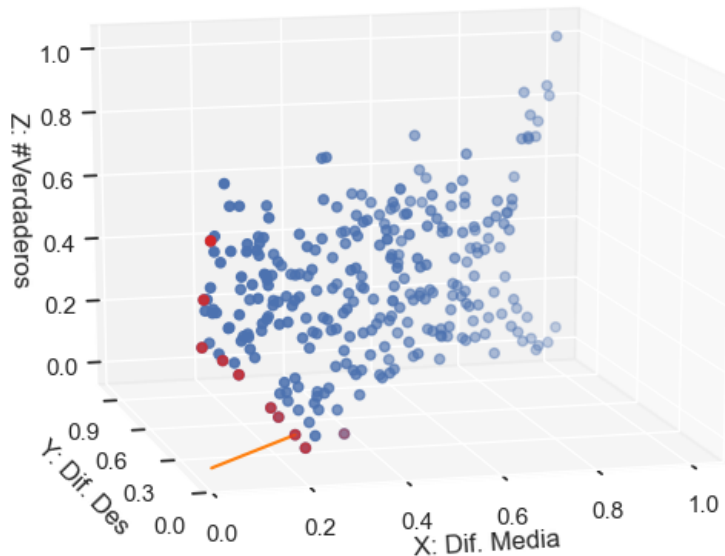


Figure 4: Frente de Pareto

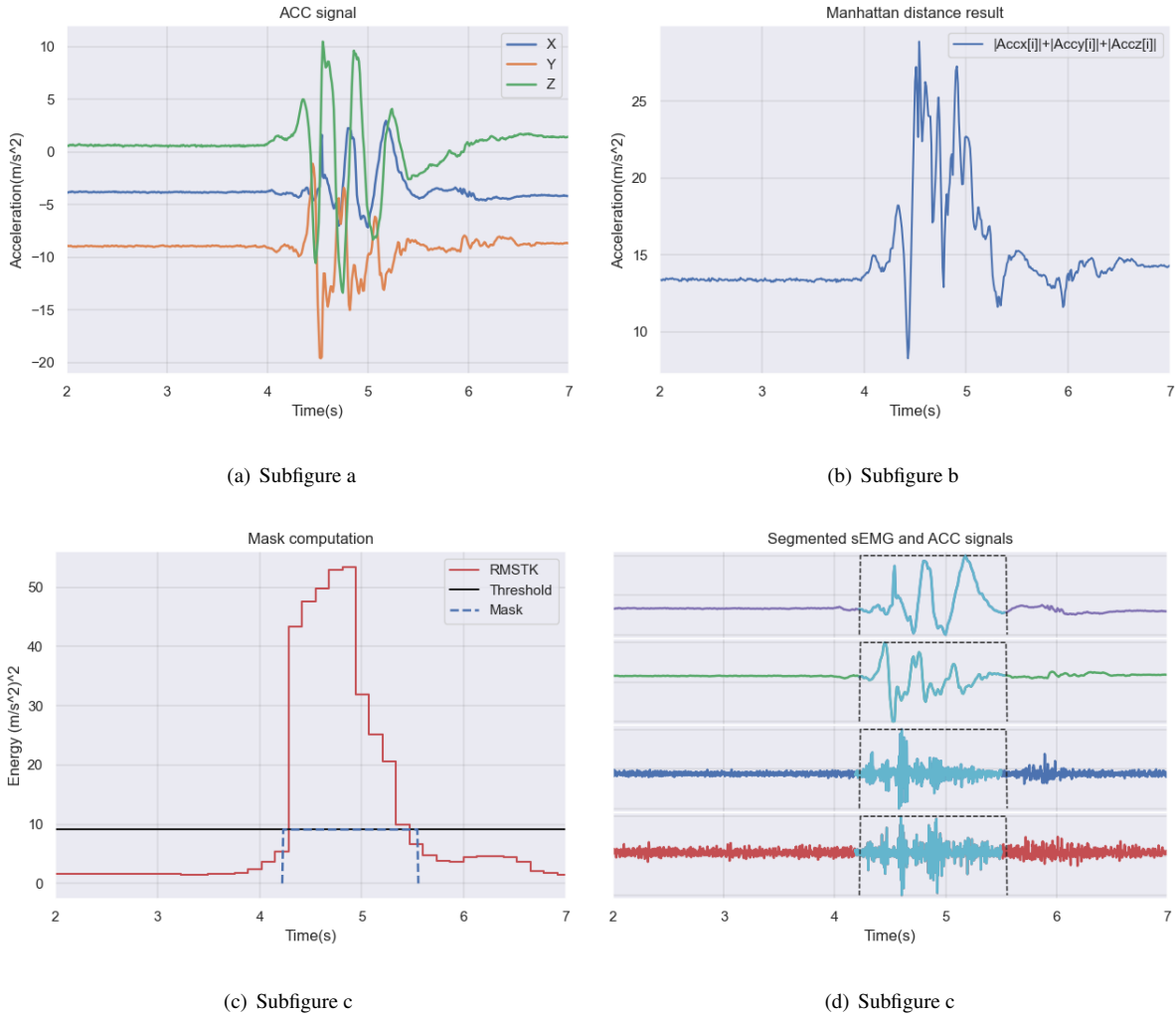


Figure 3: Subfigures

175 *3.3. Automatic Sign Language Recognition based on machine learning*

176 Figure 5 shows the results obtained after the search for the best embedding parameters to apply PE, we found that $m=5$ and
 177 $\tau=2.5$ ms where the best embedding for sEMG and $m=4$ and $\tau=10$ ms for ACC.

178 Figure 6 illustrate the performance of the ASLR system based on SVM on the three proposed experiments. The best results
 179 in the signs recognition, were obtained using the RMS of the ACC and sEMG signals, obtaining an Accuracy and F1-Score
 180 of 96.66% and 96.60% respectively, versus 67.5% and 65.31% using PE, and 95.83% and 95.64% when both features were
 181 used. These results strong suggest that PE is not an informative feature to ASLR, since it does not allow the optimal signs
 182 differentiation by itself, and not provide additional information when it was used in combination whit RMS, on the contrary,
 183 it slightly decreases performance.

184 The SVM hyperparameters C, gamma, kernel and decision function shape parameters of the best ASLR system were 100,
 185 1, RBFK and one vs one respectively. After classification performing, the results were 96.66% accuracy and 96.60% F1 score.
 186 This suggests the appropriateness of the SVM to perform the discrimination among the twelve problem classes.

187 In this work, as can be seen in Table 3 the vocabulary size it is not too extensive like in other studies [18, 22, 19, 37],
 188 however, due to the fact that this work includes professional interpreters and sign language learners as subjects. This add

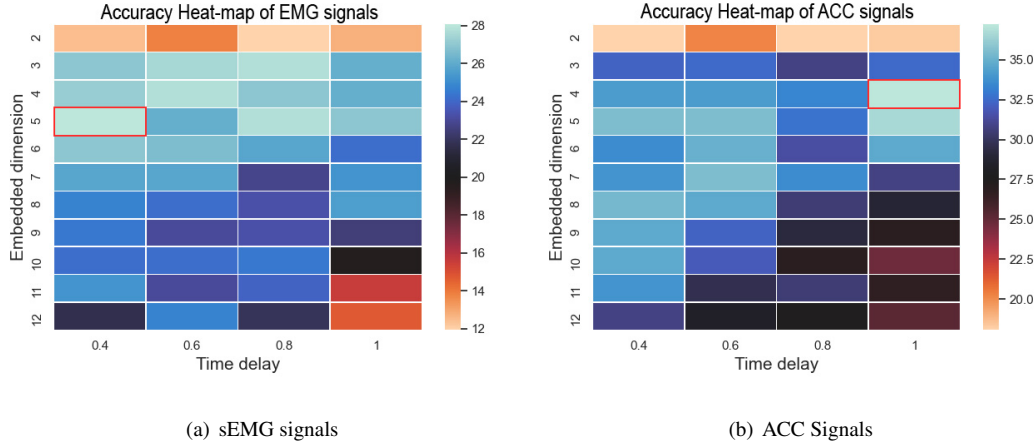


Figure 5: Heatmaps of classification accuracy based on PE of EMG and ACC signals

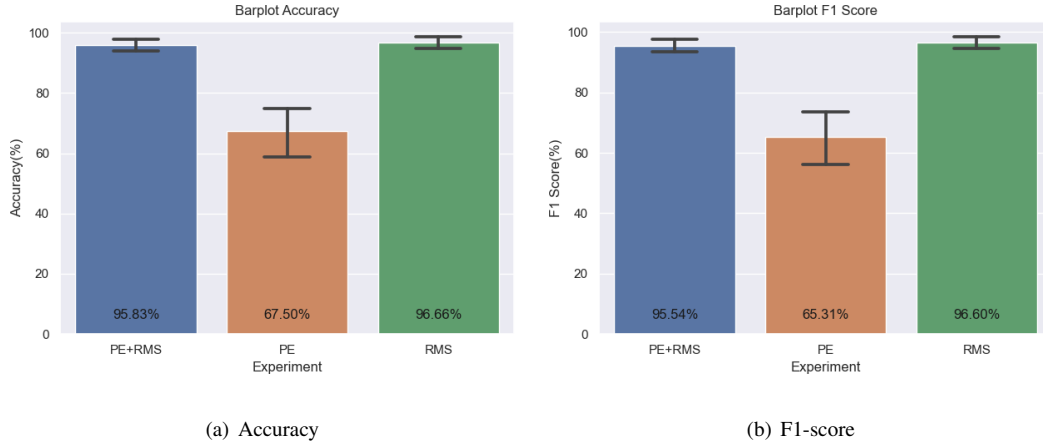


Figure 6: Classification performance of the 3 experiments

189 variability, due to professional interpreter performs the sign in a faster and less descriptive way compared to learners. In terms
 190 of segmentation, in [35] TKEO is used for the segmentation of the signals without reporting the effectiveness of the same, our
 191 work provides a methodology more objective to find the best parameters of TKEO, reducing error caused by subjectivity of
 192 the carrying out this action in a visual way.

193 ASLR system involves a high dimensional input space (over 5 features) [19, 35, 38, 39], while our study achieves a
 194 performance of 96.66% using only RMS on three windows (see Table 3). This is a positive result towards a reduction of
 195 computer costs to deploy the ASLR system into an embedded system.

196 We proposed the use of PE to characterize the signals, however, in the obtained classifications performance suggest that
 197 PE is not an appropriate metric for ASLR task. Although PE has been used effectively for sEMG signals, in other applications
 198 such as muscle fatigue, fall and neuropathic disease detection [40, 41, 42]. Moreover, on ACC signals PE has allowed to failure
 199 analysis of rotating machines [43]. It is possible, that the low sensibility of PE to sign recognition is because the duration of
 200 the gesture (sign) to be classified is too short and no produce a significant change in entropy. In contrast other complexity
 201 measures like Sample Entropy has achieved a performance of 92% in ASLR [44], this suggest that amplitude based entropies
 202 are more effective to ASLR task.

Table 3: performance comparison of ASLR

Paper	Number of Signs	Number of features	Techniques	Performance (%)
Our approach	12	1	sEMG, ACC	96.66
C. Savur [17]	26	10	sEMG	91.73
J. Wu [19]	80	20	sEMG, ACC, Gyroscope	96.16
R. Gupta [34]	10	12	sEMG, ACC	87.50
S. P. Y. Jane [35]	48	10	sEMG, ACC, Gyroscope	93.27
S. A. Khomami [36]	20	5	sEMG, ACC, Gyroscope	96.13

203 Finally, the proposed ASLR system shows promising results taking into account that experiments were carried out inter-
 204 subject, this mean that eventually that the ASLR system could be used for any user without the need of previous personalize
 205 training.

206 4. Conclusion

207 This paper presents an approach to automatic recognize 12 word of Colombian sign language with an accuracy of 96.66 %,
 208 based on 4 channels of sEMG and 3 axis accelerometer. Using the RMS and the TKEO of ACC signal to segment signals allow
 209 us to properly identify sign execution and using the multiobjective optimization processes help us to select proper thresholds
 210 and windows length.

211 On other hand, Permutation Entropy showed a low sensitivity to identify signs. This could be due to execution time of
 212 the signs are too short and do not represent a change in the entropy levels. Moreover, the RMS of sEMG and ACC signal
 213 were high informative to detect the signs, with a low number of windows over the signals. This represent a low computational
 214 resources, which could result in an easy transition to real time applications.

215 Support Vector Machines using Radial Basis Function Kernel exhibited a high accuracy to detect the signs, when One vs
 216 One decision functions shape is used, however, when the vocabulary is high the number of classifiers increase exponentially,
 217 this have to be taken into account when this method would be applied in real time applications.

218 In future works a more extensive vocabulary have to be consider and a reduction of the sEMG channels.

219 Conflict of interest

220 The authors have no conflicts of interest to report.

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