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Torres-Giner, S.; Torres, A.; Ferrándiz, M.; Fombuena, V.; Balart, R. (2017). Antimicrobial activity of metal cation-exchanged zeolites and their evaluation on injection-molded pieces of bio-based high-density polyethylene. *Journal of Food Safety*. 37(4). doi:10.1111/jfs.12348



The final publication is available at

<http://doi.org/10.1111/jfs.12348>

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Additional Information

1 **Antimicrobial evaluation of injection-molded pieces of bio-based high-density**
2 **polyethylene containing metal cation-exchanged zeolites of interest in food hygiene**

3 Sergio Torres-Giner^{1*}, Ana Torres², Marcela Ferrándiz², Vicent Fombuena¹, and Rafael Balart¹

4 ¹*Technological Institute of Materials (ITM), Universitat Politècnica de València (UPV), Plaza Ferrándiz*
5 *y Carbonell 1, 03801 Alcoy, Spain*

6 ²*Textile Industry Research Association (AITEEX), Plaza Emilio Sala 1, 03801 Alcoy, Spain*

7 **Corresponding author: Dr. Sergio Torres-Giner. Email: storresginer@hotmail.com*

8 **ABSTRACT.** In this study three different natural types of zeolite (chabazite, mordenite, and
9 faujasite) were characterized for their morphology, elemental composition, and antimicrobial
10 activity against food-borne bacteria and fungi. Morphological and chemical characterization of
11 zeolites was carried out using Scanning Electron Microscopy (SEM) and X-Ray Fluorescence
12 (XRF), respectively. Their antimicrobial activity was evaluated against *Staphylococcus aureus*
13 (*S. aureus*), *Escherichia coli* (*E. coli*), *Pseudomonas aeruginosa* (*P. aeruginosa*), *Aspergillus*
14 *niger* (*A. niger*), and *Candida albicans* (*C. albicans*). The chabazite-type zeolite was selected
15 due to its improved morphology and lowest ratio of silica/alumina (Si/Al). This was then
16 solution exchanged with silver (Ag⁺), copper (Cu²⁺), and zinc (Zn²⁺) ions to prepare single,
17 binary, and ternary metal cation-modified zeolites. Obtained results indicated that the multi-
18 ionic ternary system, *i.e.*, the Ag-Cu-Zn-zeolite, was the most promising antimicrobial material.
19 In a last step, this was for the first time incorporated into a bio-based, *i.e.*, derived of sugar cane,
20 high-density polyethylene (bio-HDPE) by extrusion followed by injection molding. Sustainable
21 polymer composite pieces with balanced mechanical properties and high biocide performance
22 were obtained. These offer industrial relevance in hygiene applications to control the growth of
23 harmful microorganisms in food contact materials such as plastic handles, cutlery, rigid
24 packaging, kitchen furnishing, cutting boards, and decontamination surfaces.

25 **Keyword:** Bio-based polyethylene, Metal cation-exchanged zeolites, Injection molding,
26 Antimicrobial properties, Food hygiene.

27 **1. Introduction.**

28 Control of microbial growth in the packaging environment is a key parameter to avoid
29 food waste (Torres-Giner et al., 2014). A high hygienic status of surfaces that come in contact
30 with food is also important to reduce the risk of cross-contamination of unwanted
31 microorganisms (Reij et al., 2004). The growth of spoilage microorganisms cannot only
32 reduce food shelf life, but the spread of pathogenic microorganisms endangers public health.
33 Materials containing antimicrobials, also referred as “treated” materials, have been recently
34 introduced to the food sector as a new concept to improve hygiene (Møretro and Langsrud,
35 2011). Active-releasing antimicrobial systems can be generated by different ways such as
36 through coatings or compounds absorbed on the material surfaces, volatile and non-volatile
37 substances directly incorporated into the plastic parts, immobilization onto polymer matrices
38 by ion or covalent linkages, and materials that are inherently antimicrobial (Appendini and
39 Hotchkiss, 2002). For achieving long-term protection functionality against harmful
40 microorganisms, a sustained release profile of the biocide is habitually preferred (Torres-
41 Giner, 2011).

42 Zeolites are three-dimensional (3D) microporous crystalline solids composed of silicon
43 (Si^{4+}) and aluminum (Al^{3+}) that are tetrahedrally coordinated with each other by corner-
44 sharing oxygen atoms (SiO_4^{4-} and AlO_4^{5-}) (Suib, 2003). Isomorphic substitution of Al^{3+} by
45 Si^{4+} leads to a negatively charged aluminosilicate framework in the form of channels or
46 interconnected voids. This can be naturally counter-balanced by alkaline or alkaline-earth
47 metal cations such as sodium (Na^+) and potassium (K^+), which are coordinated with a well-
48 defined number of water molecules. These can be readily exchanged in solution by other
49 cations such as heavy metals and ammonium ions (Rozic et al., 2000). The cation exchange
50 capability of zeolites highly depends on their ratio of silica/alumina (Si/Al), which increases
51 as this ratio decreases (Saint-Cricq et al., 2012). According to this, there are several types of
52 natural and synthetic zeolites with high porosity features that can accommodate active
53 molecules inside their interconnected cavities (Corma and Garcia, 2004). This has recently

54 placed zeolites in the focus of study as cargo carriers for the sustained release of biocides in
55 food contact applications (Duncan, 2011).

56 Heavy metals have been long recognized for their broad-spectrum bacteriostatic and
57 bactericidal activity. Among them, silver (Ag) presents the largest antimicrobial capacity. At
58 present, zeolites have been extensively considered as a promising inorganic reservoir for
59 hosting silver ions (Ag^+) with a controlled release capacity (Egger et al., 2009; Ferreira et al.,
60 2012; Inoue and Hamashima, 2012; Kwakye-Awuah et al., 2008; Saint-Cricq et al., 2012).
61 Silver-loaded antibacterial zeolite (Ag-zeolite) is habitually prepared by ion exchange
62 solutions based on silver nitrate (AgNO_3) in which the resultant silver content in zeolites is
63 typically in the range of 50–60 mg/g (Krishnani et al., 2012; Lalueza et al., 2012). Other
64 metals such as cobalt (Co), nickel (Ni), copper (Cu), zinc (Zn), zirconium (Zr), molybdenum
65 (Mo), and lead (Pb) have also showed to exhibit antibacterial properties against both Gram-
66 positive and Gram-negative bacterial strains (Yasuyuki et al., 2010). Some of these metals
67 have been already loaded into clinoptilolite-rich natural zeolite to investigate the antibacterial
68 activity of the resultant materials (Top and Ulku, 2004). The metal-loading process is actually
69 a cation exchange process on the zeolite structure between sodium ions (Na^+) with Ag^+ as
70 well as other metal cations such as copper (Cu^{+2}) and zinc (Zn^{+2}) ions. During this process the
71 electrostatic charge of the zeolite lattice polarizes metal ions to form dipoles, directing their
72 positively charged end to the lattice (Czaran et al., 1989).

73 Metal cation-modified zeolites can be potentially employed as antimicrobial fillers into
74 polymer matrices. These novel polymer composites can attract some commercial and
75 industrial interest, mainly for biomedical and food packaging applications. However, so far
76 only a few studies have been focused on the biocide activity of polymer materials containing
77 such functionalized zeolites. These mainly include polypropylene (PP) (Li et al., 2013;
78 Pehlivan et al., 2005), thermoplastic polyurethane (TPU) (Kaali et al., 2011; Kamisoglu et al.,
79 2008), polylactic acid (PLA) (Fernandez et al., 2010), polyvinyl chloride (PVC) (Zampino et
80 al., 2011), low-density polyethylene (LDPE) (Boschetto et al., 2012), ethylene vinyl acetate
81 (EVA) (Mishra et al., 2013), and poly (vinyl alcohol) (PVA) (Narin et al., 2014).

82 Incorporation of antimicrobial zeolites into polymer matrices by melt compounding makes it
83 more advantageous and applicable than conventional approaches (*e.g.*, direct material
84 deposition). This can be explained because metal ions are more effective than metallic atoms
85 (Kamisoglu et al., 2008). Also, the antimicrobial activity can be prolonged since the release
86 rate out of the polymer composite is mainly determined by the diffusion of ions from top
87 material layers (Boschetto et al., 2012). Finally, the antimicrobial material uses can be further
88 increased as both sides of the plastic part becomes antimicrobial (Zampino et al., 2011).

89 Linking of silver to zeolite, as the carrier support, represents a cost-effective alternative
90 than the direct use of silver compounds in food applications (*e.g.*, AgNO₃ solution and silver
91 plate) (Matsumura et al., 2003). However, there is a growing concern about the potential
92 toxicity related to Ag⁺ migration into food (Yang et al., 2009). Whereas relatively high
93 concentrations of silver ions, *i.e.*, over 5 mg Ag⁺/kg, are habitually necessary to achieve
94 antimicrobial performance (Del Nobile et al., 2004), these are probably unacceptable at the
95 view of the current legislation that regulates the presence of silver in food (Rai et al., 2009).
96 For this reason, Ag-zeolites have been recently proposed for hygiene applications such as
97 antifouling coatings on stainless steel (Griffith et al., 2015), drinking water treatment (Gehrke
98 et al., 2015), and cutting boards (Møretro et al., 2012).

99 Bio-based polyethylene, also called “microbial” or “green” polyethylene, is produced by
100 catalytic dehydration of bioethanol followed by conventional polyethylene polymerization
101 (Chen et al., 2007). Bioethanol is obtained by microbial strain and/or biological fermentation
102 from renewable feedstock, including sugar cane and beet or starch crops (*e.g.*, maize, wheat,
103 wood, and other plant wastes). Bio-based polyethylene has exactly the same chemical and
104 physical properties as its petrochemical counterpart polymer (Babu et al., 2013). In particular,
105 bio-based high-density polyethylene (bio-HDPE) is an excellent sustainable rigid material
106 that could be targeted towards hygiene uses. These include different materials in contact with
107 food such as cutting boards, kitchen utensils and countertops, and storage containers.

108 Herein, the preparation and characterization of bio-HDPE composites containing
109 antimicrobial zeolites by injection molding was first reported. For this, the optimal type of

110 zeolite was first examined. The selected zeolite was then metal cation-modified with Ag^+ ,
111 Cu^{+2} , Zn^{+2} through an ion exchange solution process. The antimicrobial activities against
112 food-borne bacteria and fungi were monitored. Furthermore, as a key requirement for rigid
113 applications, mechanical tests were performed in order to ascertain the suitability of this novel
114 sustainable polymer composite.

115

116 **2. Experimental**

117 **2.1. Materials**

118 Commercial zeolite Sapo-34 (CFT-03) with a chabazite topology was supplied by
119 Tianjin Chemist Scientific, Ltd. (Tianjin, China). Mordenite CBV-10A and faujasite (Y-type)
120 CBV-100 were both provided by Zeolyst International (Valley Forge, USA). AgNO_3 , copper
121 nitrate ($\text{Cu}(\text{NO}_3)_2$), and zinc nitrate ($\text{Zn}(\text{NO}_3)_2$) were obtained from Sigma Aldrich (Madrid,
122 Spain).

123 Commercial green HDPE SHA 7260 with a melt flow index (MFI) of 20 g/10 min
124 and a density of 0.955 g/cm^3 was provided by FKUR Kunststoff GmbH (Willich, Germany).
125 This bio-HDPE is produced from ethanol derived from sugar cane with a minimum natural
126 content of 94.5% according to manufacturer Braskem (Sao Paulo, Brasil). The resin is
127 specifically designed for injection molding and fiber extrusion.

128

129 **2.2. Metal cation exchange**

130 The selected zeolite was chemically modified using different combinations of metal
131 cations (Ag^+ , Cu^{+2} , and Zn^{+2}) as summarized in **Table 1**. Following aqueous solutions were
132 prepared for the ion exchange process: 0.07 M AgNO_3 , 0.2 M $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$, and 0.4 M
133 $\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$. The process was carried out by magnetically stirring 50 g of zeolite powder
134 at 500 rpm during 30 min with 100 mL of distilled water at 40 °C. Once the first
135 homogenization process was accomplished, 150 mL of the different cation solutions were
136 added for additional 24 h to allow ion interchange. To complete the process, the resultant
137 solution was washed five times with distilled water, filtered, and the residual powder dried in

138 oven at 90 °C for 1 h. **Figure 1** shows the scheme of the metal cation-exchange process
139 carried out on zeolite.

140

141 **2.3. Preparation of polymer composites**

142 The selected metal-cation exchanged zeolites were incorporated in powder form at
143 different weight amounts (1, 5, 10, and 15 wt.-%) into bio-HDPE. The materials were melt-
144 compounded at 170 °C and 40 rpm in a twin-screw co-rotating extruder D30 model from
145 Dupra (Alicante, Spain). Composites were extruded through a round die to produce strands,
146 which solidified by passing through a water bath and were then pelletized. Resultant polymer
147 composite pellets were shaped in an injection machine Meteor 270/75 from Mateu and Solé
148 (Barcelona, Spain) at 190 °C using a mirror-finishing steel mold with standard geometries for
149 sample characterization. A clamping force of 75 tons was applied.

150

151 **2.4. Material characterization**

152 Elemental composition of the as received zeolites and metal cation-modified zeolites
153 was determined by X-ray fluorescence (XRF) using a Magix Pro PW2400 from Philips
154 Analytical (Almelo, The Netherlands). This system was equipped with a beryllium screen and
155 samples were analyzed in powder form by a rhodium target excited at 30 kV and 150 mA.
156 Analyses were done by duplicate under a helium atmosphere.

157 Morphology of the zeolite samples was observed by Scanning Electron
158 Microscopy (SEM) using a JSM-6300 from JEOL Ltd. (Tokyo, Japan) at an emissive
159 mode of 20 kV. Zeolite powder was deposited on a double-sided carbon conductive scotch
160 tape. Samples were examined on the surface after being coated with a fine layer of gold-
161 palladium alloy under vacuum conditions in a Sputter Coater EMITECH model SC7620 from
162 Quorum Technologies Ltd (East Sussex, UK).

163 Elastic modulus (E), elongation at break (σ), and tensile strength (ϵ) of the injection-
164 molded composite pieces were measured using a universal test machine Elib 30 from Ibertest
165 S.A.E. (Madrid, Spain) according to ISO 527. Six samples were tested and the values were

166 averaged. Shore D hardness values were attained in a hardness durometer model 676-D from
167 J. Bot Instruments (Barcelona, Spain) following the guidelines of ISO 868.

168

169 **2.5. Antimicrobial Properties**

170 Antibacterial properties were determined for bacterial cultures from American Type
171 Culture Collection (ATCC) of *Staphylococcus aureus* (*S. aureus*) ATCC 6538, *Escherichia*
172 *coli* (*E. coli*) ATCC 25922, and *Pseudomonas aeruginosa* (*P. aeruginosa*) ATCC 9027. Tests
173 followed guidelines of ASTM E 2149-10 and cultures were cultivated in tryptone soy broth
174 (TSB) from Oxoid Thermo Scientific (Basingstoke, UK). These were then spread on a plate
175 count Agar at 35 ± 2 °C for 24 h. Colony forming units per milliliter (CFU/mL) were
176 accurately and reproducibly obtained. Antifungal activity was tested against *Aspergillus niger*
177 (*A. niger*) ATCC 6275 and *Candida albicans* (*C. albicans*) ATCC 10231. The ATCC test 30-
178 2004 (Method III) was used, for this an incubation temperature of 28 ± 2 °C was selected. The
179 microorganisms growth range was quantified as follows: 0 as no growth, 1 as growth only
180 visible by microscopy, 2 as macroscopic growth (traces lower than 10%), 3 as small growth
181 (traces between 10 and 30%), 4 as medium growth (traces between 30 and 60%), and 5 as
182 high growth (traces higher than 60%).

183 Biocide performance of the injection-molded composite pieces was evaluated for the
184 same bacteria. This was done according to the Japanese industrial standard (JIS) Z 2801:2013
185 with a contact time of 24 h. This is a common method for assessing the antimicrobial activity
186 of materials in hygiene applications (Møretro and Langsrud, 2011). Bacteria were deposited
187 in suspension on the test surface. The antibacterial activity was taken as the test surface
188 reduction (R) using the expression:

189

$$190 \quad R = \log \frac{B}{C} \quad (1)$$

191

192 Where B is the CFU/mL of the control sample and C is the UFC/mL of the reference sample,
193 both measured after 24 h. A given sample presents antibacterial activity if $R \geq 2$.

194

195 **3. Results and discussion**

196 **3.1. Selection of the unmodified zeolite**

197 Zeolite crystals with different morphologies can be observed in the SEM micrographs
198 shown in **Figure 2**. **Figure 2a** indicates that the unmodified chabazite-type zeolite
199 crystallized in a triclinic crystal system to form rhombohedral-shaped crystals. These
200 presented concise dimensions between 5 and 25 μm with a bimodal particle size distribution.
201 A similar cube-like morphology was recently observed for unmodified zeolite particles (Li et
202 al., 2013). It has been previously reported that an uniform morphology is optimal for chemical
203 cation exchange and for achieving homogenous distribution in a polymer matrix (Lopes et al.,
204 2013). While the surface of chabazite was relatively smooth, mordenite (**Figure 1b**) and
205 faujasite (**Figure 1c**) did not display a definite crystal shape. At higher magnifications, the
206 morphology of mordenite still remained undefined. However, closer observations revealed
207 that faujasite particles presented a round-like morphology with a rough surface. Zeolite
208 crystal sizes were in the range of 3–8 μm and 1–6 μm , for mordenite and faujasite samples,
209 respectively. In both cases, coarse particles or aggregates higher than 50 μm were observed.
210 Recently, faujasite was described to crystallize in an octahedral shape of *ca.* 9 μm (Demirci et
211 al., 2014).

212 **Table 2** shows the chemical composition of the unmodified zeolites obtained by XRF
213 analysis. As per the results shown in the table, among the studied zeolites, chabazite presented
214 the highest relative concentration of aluminum, *i.e.*, 21.8%, and the lowest of silica, *i.e.*,
215 7.4%. This is habitually considered as a good indicator for an optimal interchange capacity
216 because it leads to a high density of negative charges in the crystalline structure of zeolite that
217 can be replaced by positive cations (Saint-Cricq et al., 2012). In particular, the following
218 Si/Al ratios were observed: 0.34, 6.61, and 2.88 for chabazite, mordenite, and faujasite,

219 respectively. As a result it was determined that the chabazite-type zeolite presented the
220 highest capacity for the metal cation exchange process.

221 Antibacterial and antifungal properties of the unmodified zeolites against different
222 bacteria and fungi are summarized in **Table 3**. In general, all zeolites in their pristine form
223 presented low antimicrobial activity. In relation to the antibacterial tests, carried out by the
224 agar cell counting method, the unmodified zeolites exhibited no biocide activity against *S.*
225 *aureus*. Only the mordenite-type zeolite showed certain reduction, *i.e.*, 60% against *P.*
226 *aeruginosa*. In the case of *E. coli*, interestingly, all zeolites presented certain efficacy, *i.e.*,
227 from 30 to 70% reduction. This indicates that the original cationic nature of the mineral can
228 exert a toxic mechanism within the cell of *E. coli* bacteria. However, the antifungal activity
229 results showed the maximum growth, *i.e.*, 5 in a 0 to 5 scale, for both *A. niger* and *C. albicans*
230 fungi. This means that the traces visible to human eye were greater than 60%.

231

232 **3.2. Development of metal cation-exchanged zeolites**

233 According to the aforementioned results, chabazite was selected for the ion exchange
234 solution process since this zeolite presented the lowest Si/Al ratio.

235 Samples were observed by SEM to ascertain the effect of the metal cation exchange
236 process on the morphology of the chabazite-type zeolite. SEM micrographs are gathered in
237 **Figure 3**. Particle sizes were kept of in the range of 5–25 μm as shown in **Figures 3a – 3g**.
238 However, remarkably, the presence of coarse particles was reduced in all cases. As compared
239 to the original form shown in previous **Figure 2a**, it can be also observed that more perfect
240 cubic structures emerged during chemical treatment. Therefore, solution treatments by metal
241 cations tended to soften and open up the zeolite surface. As previously indicated, this can be
242 related to the dissolution of amorphous silica fragments of zeolite (Kuronen et al., 2006).
243 Interestingly, the metal cation exchange process did not change the morphology of zeolite
244 crystals. This is consistent with the previous study done by Kwakye-Awuah et al. (Kwakye-
245 Awuah et al., 2008), who incorporated 5.8 wt.-% of silver in faujasite. It was found that
246 zeolites with or without silver showed very similar particle size and appearance, confirming

247 that there was no change in the zeolite structure after the metal cation exchange. A similar
248 effect was observed in the morphology of Ag-zeolite A (Na A) in the research work of
249 Kamisoglu (Kamisoglu et al., 2008).

250 The elemental composition of chabazite after the ion exchange process is given in
251 **Table 4**. Results indicated a good metal cation exchange, which can be detected by the
252 presence of silver, copper, and zinc elements. In particular the silver content in the metal
253 cation-exchanged chabazite-type zeolites was: 1.26% (Reference 1), 1.12% (Reference 4),
254 1.34% (Reference 5), and 1.45% (Reference 7). Therefore, silver loading was similar in all
255 samples, in which the multi-ionic ternary system, *i.e.*, the Ag-Cu-Zn-zeolite, showed the
256 highest value. It is also worthy to mention that lower copper and zinc loadings than silver
257 were attained in chabazite in spite of the higher silver concentration in the solutions. This
258 points out that the ionic exchange process of Ag⁺ on chabazite was more efficient than in the
259 case of Cu²⁺ or Zn²⁺. The highest content of copper and zinc was again observed for the Ag-
260 Cu-Zn-zeolite, containing 0.293% and 0.116%, respectively.

261 **Table 5** includes a summary of the antimicrobial properties of the metal-cation
262 modified zeolites. According to the table, microorganisms showed different tolerance against
263 these novel engineered zeolites. Among them, Ag-based zeolites (References 1, 4, 5, and 7)
264 presented broader antimicrobial effect than Cu- and Zn-based zeolites (References 2, 3, and
265 6). Modified zeolites without silver, *i.e.*, containing only copper and zinc, partially decreased
266 the number of viable cells of bacteria. However, these systems resulted totally inefficient for
267 fungi, *i.e.*, against *A. niger* and *C. albicans*. Antimicrobial properties of Cu²⁺ were slightly
268 better than Zn²⁺ (References 2 and 4 vs. References 3 and 5). *P. aeruginosa* presented higher
269 resistance against Cu²⁺ and Zn²⁺ than *S. aureus* and *E. coli*. The single Ag-zeolite (Reference
270 1) and the ternary Ag-Cu-Zn-zeolite (Reference 7) showed fully antimicrobial activity against
271 all microorganisms. The binary Ag-Cu-zeolite (Reference 4) provided total reduction of
272 microorganisms too. However, the binary Ag-Zn-zeolite (Reference 5) only showed
273 bacteriostatic effect as it partially reduced the growth of *E. coli* and *P. aeruginosa* to 76% and
274 56%, respectively. As previously reported (Kaali et al., 2011), it is possible that in this binary

275 system both cations can block each other and influence their release. The low antibacterial
276 effect observed for single Zn- and Cu-zeolite samples is also in agreement with the recent
277 study performed by Demirci (Demirci et al., 2014). Interestingly, in that study, Cu- and Zn-
278 zeolite samples displayed relatively good antifungal and anticandidal characteristics.

279 The high antimicrobial effect of Ag^+ in a low-silica zeolite, *i.e.*, chabazite, is in good
280 correlation with previous results in literature. Kwakye-Awuah et al. (Kwakye-Awuah et al.,
281 2008) performed antimicrobial tests for zeolite A and X impregnated with 5.8% of silver.
282 This modified zeolite provided full antimicrobial activity against *S. aureus*, *E. coli*, and *P.*
283 *aeruginosa*. It was particularly found that the modified zeolite released Ag^+ in a sustained
284 manner to the medium containing the microorganisms. High biocide performance against
285 bacteria and yeast was also reported for Ag-zeolite by Ferreira (Ferreira et al., 2012).
286 Minimum inhibition concentrations (MIC) values in the range of 0.2–0.3 mg/mL were
287 reported. The high antimicrobial activity was related to the presence of metallic silver, *i.e.*,
288 Ag^0 , on the zeolite pores, in addition to the efficient Ag^+ release. In a long-term study, MIC
289 values of 32.50 and 61.25 $\mu\text{g/mL}$ were particularly reported for *E. coli* and *S. aureus*,
290 respectively (Bedi et al., 2012). Boschetto et al. (Boschetto et al., 2012) also showed that a
291 concentration of 0.5 mg/mL of Ag-zeolite at 5 wt.-% inhibits bacterial growth.

292 Present results demonstrated that silver-based systems offer superior antimicrobial
293 performance than those based on copper and zinc. However, interestingly, these results also
294 showed the binary Ag-Zn-zeolite (References 4) and ternary binary Ag-Cu-Zn-zeolite
295 (Reference 7) decreased the number of viable cells to a similar extend than the single Ag-
296 zeolite (Reference 1). This implies that the antimicrobial performance of these binary and
297 ternary systems is certainly due to the action of released Ag^+ ions. However, the biocide
298 effect can be advantageous due to joint activity of Cu^{+2} and Zn^{+2} . This fact is supported by the
299 previously observed efficient incorporation of silver when combined with copper and zinc. In
300 this sense, it was previously indicated that Zn^{+2} could reinforce the antimicrobial activity of
301 Ag^+ by interfering with cellular proton transfer and inhibiting nutrient uptake (Galeano et al.,
302 2003). Additionally, the presence of Zn element can stabilize the metal exchange framework

303 in zeolite by slowing the release of Ag⁺ ions (Cowan et al., 2003). In this sense, it can be
304 assumed that different metal ions initiate different toxic mechanisms in the microorganism
305 cell. Therefore, multi-ionic zeolite systems result more efficient than single ones.

306 Although the precise antimicrobial mechanism of metal-cation exchanged zeolites is
307 certainly complex, it is habitually considered that metal accumulation disrupts bacterial cell
308 walls and other cellular components (Yasuyuki et al., 2010). According to previous studies
309 (Feng et al., 2000; Matsumura et al., 2003), the antimicrobial action of Ag-zeolites occurs in
310 two successive stages. On the one hand, the Ag⁺ ions released from porous and voids of
311 zeolite are absorbed on the microorganism cells. This inhibits essential enzymes that are
312 necessary for adenosine triphosphate (ATP) production. Such mechanism can also include
313 plasmolysis and incomplete damage of the cytoplasmic and outer membrane (Yamanaka et
314 al., 2005). On the other hand, reactive oxygen species are generated through the inhibition of
315 respiratory enzymes. These lead to cellular damage and bind with components that interfere
316 with bacterial replication.

317

318 ***3.3. Evaluation of bio-HDPE/metal cation-exchanged zeolites***

319 Due to its highest antibacterial properties, the Ag-Cu-Zn-zeolite (Reference 7) was
320 selected as the filler to functionalize the bio-HDPE matrix. In addition to this, the multi-ionic
321 ternary system presents the advantage to reduce the silver amount in the solution employed
322 for the cation-exchange process.

323 **Figure 4** gathers the tensile properties, *i.e.*, tensile modulus, tensile strength,
324 elongation at break, and Shore D hardness of the unfilled bio-HDPE piece and the pieces
325 filled with Ag-Cu-Zn-zeolite at different loadings. **Figure 4a** illustrates the effect of the filler
326 content on the elastic modulus. An increase in the elasticity of the injection-molded bio-
327 HDPE pieces was observed with the incorporation of Ag-Cu-Zn-zeolite. From 5 wt.-% Ag-
328 Cu-Zn-zeolite, the elastic modulus was doubled, evidencing a stiffening of the bio-HDPE
329 composite pieces. A slight decrease in the tensile strength can be observed in **Figure 4b**. This
330 can be related to a phenomenon of stress concentration by the presence of fillers in the

331 polymer matrix (Torres-Giner et al., 2016). As shown in **Figure 4c**, addition of Ag-Cu-Zn-
332 zeolite also caused the elongation at break to decrease considerably as compared to that
333 observed for the neat bio-HDPE piece. Some preceding research works related this behavior
334 with the fact that zeolite fillers typically present a porous structure based on small channels.
335 Polymer chains could then penetrate into the cavities of the inorganic structure, which
336 restricts chain mobility (Biswas et al., 2003; Biswas et al., 2004). Other factors, such as a
337 zeolite particle size and shape, can also influence (Ciobanu et al., 2007). In relation to the D
338 hardness, the presence of Ag-Cu-Zn-zeolite led to a significant increase. As reflected in
339 **Figure 4d**, for injection-molded bio-HDPE composite pieces containing Ag-Cu-Zn-zeolite at
340 15 wt.-%, Shore D hardness was *ca.* 17% higher than that of the unfilled bio-HDPE piece.

341 The enhancement of the mechanical resistance against elastic deformation upon the
342 incorporation of zeolites in bio-HDPE can be regarded as a positive aspect for rigid
343 applications. The above-mentioned results are also in agreement with some previous studies
344 for other polymer matrices. For instance, a similar mechanical increase was observed for
345 TPU/zeolite composites (Kamisoglu et al., 2008). Zampino et al. (Zampino et al., 2011) also
346 reported a monotonic increase of the elastic modulus of PVC as the amount of Ag-zeolite was
347 increased in the polymer composite. Similarly, Li et al. (Li et al., 2013) observed that the
348 addition of zinc oxide (ZnO)-modified zeolites slightly increased the flexural modulus and
349 tensile strength of PP random copolymer. That study also showed that the modified zeolites
350 decreased the flexural strength, elongation at break, and impact strength. In another recent
351 study, Mishra et al. (Mishra et al., 2013) interestingly showed that the addition of up to 20
352 wt.-% of clinoptilolite-type zeolite treated with hydrochloric acid (HCl) increased the
353 Young's modulus of EVA from 420.7 to 537.6 MPa. It was reported that higher contents led
354 to certain agglomeration, which impaired the films brittleness. A decrease in the mechanical
355 properties of PP/zeolites composites was also reported by Pehlivan et al. (Pehlivan et al.,
356 2005). The mechanical weakening was explained by the formation of voids around the fillers
357 due to poor bonding between the zeolite particles and the PP matrix.

358 As it can be seen in **Table 6**, the unfilled bio-HDPE piece showed no inhibition effect
359 on bacterial growth. Alternatively, all injection-molded composite pieces exhibited significant
360 antibacterial activity against *S. aureus*, *E. coli*, and *P. aeruginosa*. In particular, the addition
361 of Ag-Cu-Zn-zeolite in the range of 1-5 wt.-% led to a remarkable increase in antibacterial
362 response with R values close to 2.5-3.0 (bacteriostatic effect) for *S. aureus* and higher than 4
363 for *E. coli* and *P. aeruginosa* (bactericidal effect). The optimal content was then observed for
364 the bio-HDPE/Ag-Cu-Zn-zeolite piece at 1 wt.-% because this composite is representative for
365 a high antibacterial activity with the lowest filler loading. Higher Ag-Cu-Zn-zeolite loadings,
366 *i.e.*, 10 and 15 wt.-%, resulted in a full antibacterial performance.

367 Accomplished antibacterial performance is in relatively good agreement with
368 preceding results for other polymer composites. However, the attained results are difficult to
369 compare with those found in the literature because the antimicrobial activity exerted by
370 zeolites can be influenced by many different parameters. Indeed, the biocide effect mainly
371 depends on the release mechanism of the metal cations that are tightly bonded to the zeolite
372 structure. This can be further related to the polymer matrix, zeolite content, method to obtain
373 the composites, antimicrobial test, ionic strength of medium, etc. As an example, Zampino et
374 al. (Zampino et al., 2011) showed high antimicrobial activity of PVC/Ag-zeolites composites
375 against both *E. coli* and *S. epidermidis* after 24h in a medium of TSB. A significant reduction
376 (10^3 CFU/mL) was observed in the composite when compared to the neat PVC (10^9
377 CFU/mL). In relation to bioplastic matrices, Fernandez et al. (Fernandez et al., 2010) showed
378 that PLA/Ag-zeolite films at 5 wt.-%, obtained by solution casting, were able to decrease by
379 *ca.* 90% the growth of *E. coli* and *S. aureus* after 24h in aqueous solution. Interestingly, the
380 antimicrobial activity of thermoformed films containing equivalent amounts of Ag-zeolite
381 was negligible. A similar effect was observed by Boschetto (Boschetto et al., 2012) for
382 LDPE, in which composite films containing 5 wt.-% Ag-zeolite obtained by wet casting
383 performed considerably better against *E. coli* than corresponding hot-pressed films.
384 According to the authors, this effect occurred due to the high temperatures employed during

385 the composites preparation that could affect the stability of silver. These previous
386 observations reinforce the novelty of the achieved results in the present study.

387

388 **Conclusions**

389 Novel sustainable composite pieces were prepared by the incorporation of
390 antimicrobial metal cation-exchanged zeolites into bio-HDPE. This method involved the prior
391 selection and functionalization of pristine zeolites by Ag^+ , Cu^{+2} , and Zn^{+2} . The chabazite-type
392 zeolite was chosen due to its improved morphology and lowest Si/Al. Optimal antimicrobial
393 results were observed for the ternary Ag-Cu-Zn-zeolite since it provide full antimicrobial
394 performance and reduced the amount of added silver. This was then melt processed in a twin-
395 screw extruder in powder form with the biopolymer and shaped by injection molding.
396 Obtained injection-molded composite pieces resulted in superior elastic modulus and
397 hardness as compared to the unfilled bio-HDPE piece. Mechanical results indicated that the
398 injection-molded metal-loaded zeolite composite pieces are potential candidates for rigid
399 applications. Antibacterial results on the test surface confirmed good perspectives for the
400 novel composite pieces with Ag-Cu-Zn-zeolite contents as low as 1 wt.-%. These sustainable
401 cost-effective composites can be of relevant interest to control the growth of harmful
402 microorganisms in environments for processing, preparation, and storage of food.

403

404 **Acknowledgements**

405 This research was supported by the Spanish Ministry of Economy and Competitiveness
406 (Project MAT2014-59242-C2-1-R). The authors also thank “Conselleria d'Educació, Cultura i
407 Esport – Generalitat Valenciana” (grant number GV/2014/008) for financial support.

408

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- 560

561 **Table Captions**

562

563 **Table 1.** Summary of the metal cation exchange solutions based on silver nitrate (AgNO_3),
564 copper nitrate ($\text{Cu}(\text{NO}_3)_2$), and zinc nitrate ($\text{Zn}(\text{NO}_3)_2$). References were described according
565 to the presence of silver (Ag^+), copper (Cu^{2+}), and zinc (Zn^{2+}) ions.

566 **Table 2.** Elemental composition of the unmodified zeolites obtained by X-ray fluorescence
567 (XRF).

568 **Table 3.** Antimicrobials properties of the unmodified zeolites against *Staphylococcus aureus*
569 (*S. aureus*), *Escherichia coli* (*E. coli*), *Pseudomonas aeruginosa* (*P. aeruginosa*), *Aspergillus*
570 *niger* (*A. niger*), and *Candida albicans* (*C. albicans*). Antibacterial results were expressed as
571 the percentage decrease in the colony forming units per milliliter (CFU/mL). Antifungal
572 properties were expressed as the visual growth range.

573 **Table 4.** Elemental composition of the metal cation-exchanged chabazite-type zeolite by X-
574 ray fluorescence (XRF).

575 **Table 5.** Antimicrobials properties of the metal cation-exchanged chabazite-type zeolites
576 against *Staphylococcus aureus* (*S. aureus*), *Escherichia coli* (*E. coli*), *Pseudomonas*
577 *aeruginosa* (*P. aeruginosa*), *Aspergillus niger* (*A. niger*), and *Candida albicans* (*C. albicans*).
578 Antibacterial results were expressed as the percentage reduction in the colony forming units
579 per milliliter (CFU/mL). Antifungal properties were expressed as the visual growth range.
580 Biocide effect was described according to the presence of silver (Ag^+), copper (Cu^{2+}), and
581 zinc (Zn^{2+}) ions.

582 **Table 6.** Antibacterial properties of the injection-molded composite pieces as a function of
583 the weight content (wt.-%) of the metal cation-exchanged chabazite-type zeolite against
584 *Staphylococcus aureus* (*S. aureus*), *Escherichia coli* (*E. coli*), and *Pseudomonas aeruginosa*
585 (*P. aeruginosa*). Results were expressed as the test surface reduction (R).

586

587

588

589 **Figure Captions**

590

591 **Figure 1.** Schematic representation of the metal cation exchange process performed on
592 zeolite.

593 **Figure 2.** Scanning electron microscopy (SEM) images at different magnifications of: a)
594 Chabazite; b) Mordenite; c) Faujasite. Scale markers of 100, 60, and 30 μm in all cases.

595 **Figure 3.** Scanning electron microscopy (SEM) images at different magnifications of the
596 chabazite-type zeolite exchanged with silver (Ag^+), copper (Cu^{+2}), and zinc (Zn^{+2}) ions: a)
597 Ag-zeolite (Reference 1); b) Cu-zeolite (Reference 2); c) Zn-zeolite (Reference 3); d) Ag-Cu-
598 zeolite (Reference 4); e) Ag-Zn-zeolite (Reference 5); f) Cu-Zn-zeolite (Reference 6); g) Ag-
599 Cu-Zn-zeolite (Reference 7). Scale markers of 100, 60, and 30 μm in all cases.

600 **Figure 4.** Mechanical properties of the injection-molded pieces of bio-based high-density
601 polyethylene (bio-HDPE) as a function of the weight content (wt.-%) of Ag-Cu-Zn-zeolite: a)
602 Tensile modulus; b) Tensile strength; c) Elongation at break; d) Shore D hardness. The
603 chabazite-type zeolite was exchanged with silver (Ag^+), copper (Cu^{+2}), and zinc (Zn^{+2}) ions.

Table 1. Summary of the metal cation exchange solutions based on silver nitrate (AgNO_3), copper nitrate ($\text{Cu}(\text{NO}_3)_2$), and zinc nitrate ($\text{Zn}(\text{NO}_3)_2$). References were described according to the presence of silver (Ag^+), copper (Cu^{2+}), and zinc (Zn^{2+}) ions.

| Description | | Solution (mL) | | |
|-------------|---|--------------------------|--|--|
| Reference | Metal cation | 0.07M AgNO_3 | 0.4M $\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$ | 0.2M $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ |
| 1 | Ag^+ | 150 | | |
| 2 | Cu^{2+} | | 150 | |
| 3 | Zn^{2+} | | | 150 |
| 4 | $\text{Ag}^+ + \text{Cu}^{2+}$ | 75 | 75 | |
| 5 | $\text{Ag}^+ + \text{Zn}^{2+}$ | 75 | | 75 |
| 6 | $\text{Cu}^{2+} + \text{Zn}^{2+}$ | | 75 | 75 |
| 7 | $\text{Ag}^+ + \text{Cu}^{2+} + \text{Zn}^{2+}$ | 50 | 50 | 50 |

Table 2. Elemental composition of the unmodified zeolites obtained by X-ray fluorescence (XRF).

| Element | Relative content (%) | | |
|----------------|----------------------|----------------|----------------|
| | Chabazite | Mordenite | Faujasite |
| Oxygen (O) | 52.000 ± 2.150 | 50.600 ± 3.620 | 48.200 ± 2.550 |
| Aluminum (Al) | 21.800 ± 1.260 | 5.7500 ± 0.350 | 10.700 ± 0.750 |
| Phosphorus (P) | 18.800 ± 1.010 | 0.142 ± 0.001 | 0.002 ± 0.001 |
| Silicon (Si) | 7.400 ± 0.370 | 38.000 ± 1.780 | 30.800 ± 1.190 |
| Sodium (Na) | < 0.001 | 5.160 ± 1.250 | 10.200 ± 0.650 |
| Titanium (Ti) | < 0.001 | 0.250 ± 0.005 | < 0.001 |
| Iron (Fe) | 0.016 ± 0.001 | 0.080 ± 0.001 | 0.002 ± 0.001 |
| Potassium (K) | < 0.001 | 0.045 ± 0.025 | 0.038 ± 0.001 |
| Barium (Ba) | < 0.001 | 0.017 ± 0.001 | < 0.001 |
| Calcium (Ca) | 0.009 ± 0.001 | 0.008 ± 0.001 | 0.008 ± 0.001 |
| Gallium (Ga) | 0.006 ± 0.001 | < 0.001 | < 0.001 |
| Strontium (Sr) | < 0.001 | 0.005 ± 0.001 | < 0.001 |
| Zirconium (Zr) | < 0.001 | 0.005 ± 0.001 | 0.002 ± 0.001 |

Table 3. Antimicrobials properties of the unmodified zeolites against *Staphylococcus aureus* (*S. aureus*), *Escherichia coli* (*E. coli*), *Pseudomonas aeruginosa* (*P. aeruginosa*), *Aspergillus niger* (*A. niger*), and *Candida albicans* (*C. albicans*). Antibacterial results were expressed as the percentage decrease in the colony forming units per milliliter (CFU/mL). Antifungal properties were expressed as the visual growth range.

| Zeolites | % Reduction (UFC/mL) | | | Growth range | |
|-----------|----------------------|----------------|----------------------|-----------------|--------------------|
| | <i>S. aureus</i> | <i>E. coli</i> | <i>P. aeruginosa</i> | <i>A. niger</i> | <i>C. albicans</i> |
| Chabazite | 0 | 70.0 ± 5.1 | 0 | 5 | 5 |
| Mordenite | 0 | 52.0 ± 2.3 | 60.0 ± 4.0 | 5 | 5 |
| Faujasite | 0 | 29.0 ± 1.8 | 0 | 5 | 5 |

Table 4. Elemental composition of the metal cation-exchanged chabazite-type zeolite by X-ray fluorescence (XRF).

| Element | Relative content (%) | | | | | | |
|----------------|----------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | Reference 1 | Reference 2 | Reference 3 | Reference 4 | Reference 5 | Reference 6 | Reference 7 |
| Oxygen (O) | 51.400 ± 3.500 | 52.000 ± 2.800 | 51.800 ± 3.310 | 51.500 ± 2.925 | 51.400 ± 3.690 | 52.000 ± 1.900 | 51.200 ± 3.450 |
| Aluminum (Al) | 21.600 ± 2.450 | 21.400 ± 1.900 | 21.100 ± 1.120 | 20.500 ± 0.850 | 21.000 ± 1.750 | 21.200 ± 1.240 | 21.000 ± 2.050 |
| Phosphorus (P) | 18.500 ± 1.760 | 19.000 ± 1.670 | 18.700 ± 0.900 | 19.100 ± 1.375 | 18.800 ± 0.650 | 19.000 ± 0.540 | 18.800 ± 0.100 |
| Silicon (Si) | 7.230 ± 0.440 | 7.320 ± 0.560 | 7.540 ± 0.050 | 7.390 ± 0.001 | 7.360 ± 0.375 | 7.430 ± 0.080 | 7.110 ± 0.060 |
| Silver (Ag) | 1.260 ± 0.009 | < 0.001 | < 0.001 | 1.120 ± 0.001 | 1.340 ± 0.008 | < 0.001 | 1.450 ± 0.015 |
| Copper (Cu) | < 0.001 | 0.179 ± 0.001 | < 0.001 | 0.283 ± 0.001 | < 0.001 | 0.284 ± 0.003 | 0.293 ± 0.009 |
| Zinc (Zn) | < 0.001 | < 0.001 | 0.135 ± 0.010 | < 0.001 | 0.097 ± 0.005 | 0.087 ± 0.002 | 0.116 ± 0.007 |
| Iron (Fe) | 0.011 ± 0.001 | 0.016 ± 0.002 | < 0.001 | < 0.001 | 0.014 ± 0.001 | < 0.001 | < 0.001 |
| Calcium (Ca) | 0.089 ± 0.001 | 0.010 ± 0.001 | 0.009 ± 0.001 | 0.010 ± 0.001 | 0.010 ± 0.001 | 0.010 ± 0.001 | 0.010 ± 0.001 |
| Gallium (Ga) | 0.007 ± 0.001 | 0.006 ± 0.001 | 0.005 ± 0.001 | 0.008 ± 0.001 | 0.010 ± 0.001 | 0.007 ± 0.001 | 0.009 ± 0.001 |
| Potassium (K) | < 0.001 | 0.021 ± 0.004 | < 0.001 | < 0.001 | < 0.001 | < 0.001 | < 0.001 |
| Sodium (Na) | < 0.001 | < 0.001 | 0.673 ± 0.003 | < 0.001 | < 0.001 | < 0.001 | < 0.001 |

Table 5. Antimicrobials properties of the metal cation-exchanged chabazite-type zeolites against *Staphylococcus aureus* (*S. aureus*), *Escherichia coli* (*E. coli*), *Pseudomonas aeruginosa* (*P. aeruginosa*), *Aspergillus niger* (*A. niger*), and *Candida albicans* (*C. albicans*). Antibacterial results were expressed as the percentage reduction in the colony forming units per milliliter (CFU/mL). Antifungal properties were expressed as the visual growth range. Biocide effect was described according to the presence of silver (Ag^+), copper (Cu^{2+}), and zinc (Zn^{2+}) ions.

| Description | | % Reduction (UFC/mL) | | | Growth range | |
|-------------|---|----------------------|----------------|----------------------|-----------------|--------------------|
| Reference | Metal cation | <i>S. aureus</i> | <i>E. coli</i> | <i>P. aeruginosa</i> | <i>A. niger</i> | <i>C. albicans</i> |
| 1 | Ag^+ | 99.9 ± 0.2 | 99.9 ± 0.1 | 99.9 ± 0.1 | 0 | 0 |
| 2 | Cu^{2+} | 48.0 ± 1.8 | 75.0 ± 2.8 | 30.0 ± 1.9 | 5 | 5 |
| 3 | Zn^{2+} | 69.0 ± 1.4 | 57.0 ± 3.5 | 3.0 ± 0.6 | 5 | 5 |
| 4 | $\text{Ag}^+ + \text{Cu}^{2+}$ | 99.4 ± 0.2 | 99.6 ± 0.1 | 99.9 ± 0.1 | 0 | 0 |
| 5 | $\text{Ag}^+ + \text{Zn}^{2+}$ | 99.7 ± 0.3 | 76.0 ± 1.2 | 56.0 ± 2.7 | 0 | 0 |
| 6 | $\text{Cu}^{2+} + \text{Zn}^{2+}$ | 58.0 ± 3.8 | 99.9 ± 0.1 | 59.0 ± 4.2 | 5 | 5 |
| 7 | $\text{Ag}^+ + \text{Cu}^{2+} + \text{Zn}^{2+}$ | 99.9 ± 0.1 | 99.8 ± 0.1 | 99.9 ± 0.1 | 0 | 0 |

Table 6. Antibacterial properties of the injection-molded composite pieces as a function of the weight content (wt.-%) of the metal cation-exchanged chabazite-type zeolite against *Staphylococcus aureus* (*S. aureus*), *Escherichia coli* (*E. coli*), and *Pseudomonas aeruginosa* (*P. aeruginosa*). Results were expressed as the test surface reduction (R).

| Zeolite content (wt.-%) | R value | | |
|-------------------------|------------------|----------------|----------------------|
| | <i>S. aureus</i> | <i>E. coli</i> | <i>P. aeruginosa</i> |
| 0 | 0.02 ± 0.01 | 0.7 ± 0.01 | 0.53 ± 0.02 |
| 1 | 2.24 ± 0.20 | 4.11 ± 0.02 | 4.10 ± 0.01 |
| 5 | 2.77 ± 0.30 | 4.11 ± 0.01 | 4.10 ± 0.03 |
| 10 | 3.96 ± 0.05 | 4.11 ± 0.02 | 4.10 ± 0.03 |
| 15 | 3.96 ± 0.05 | 4.11 ± 0.03 | 4.10 ± 0.02 |

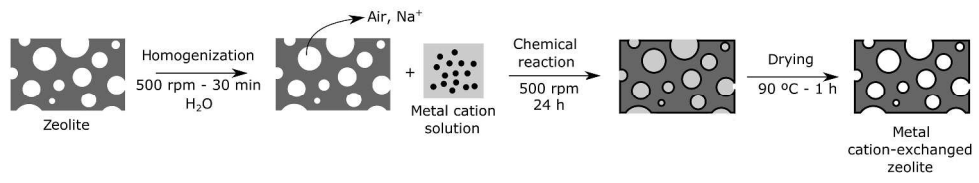


Figure 1. Schematic representation of the metal cation exchange process performed on zeolite.

Figure1

338x70mm (300 x 300 DPI)

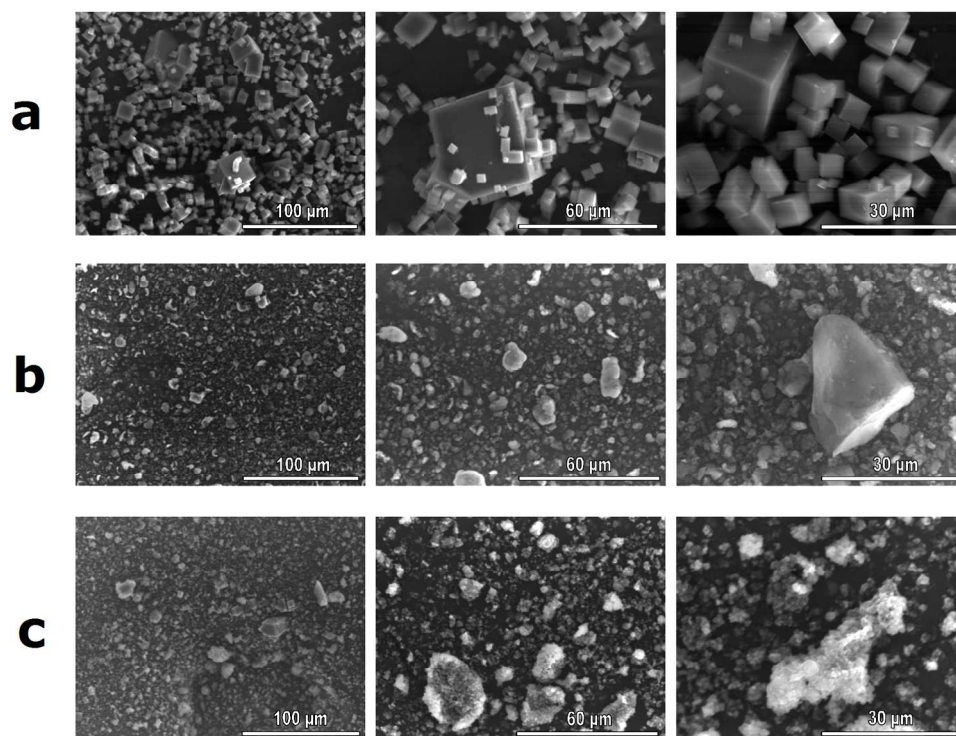


Figure 2. Scanning electron microscopy (SEM) images at different magnifications of: a) Chabazite; b) Mordenite; c) Faujasite. Scale markers of 100, 60, and 30 μm in all cases.

Figure 2
172x133mm (300 x 300 DPI)

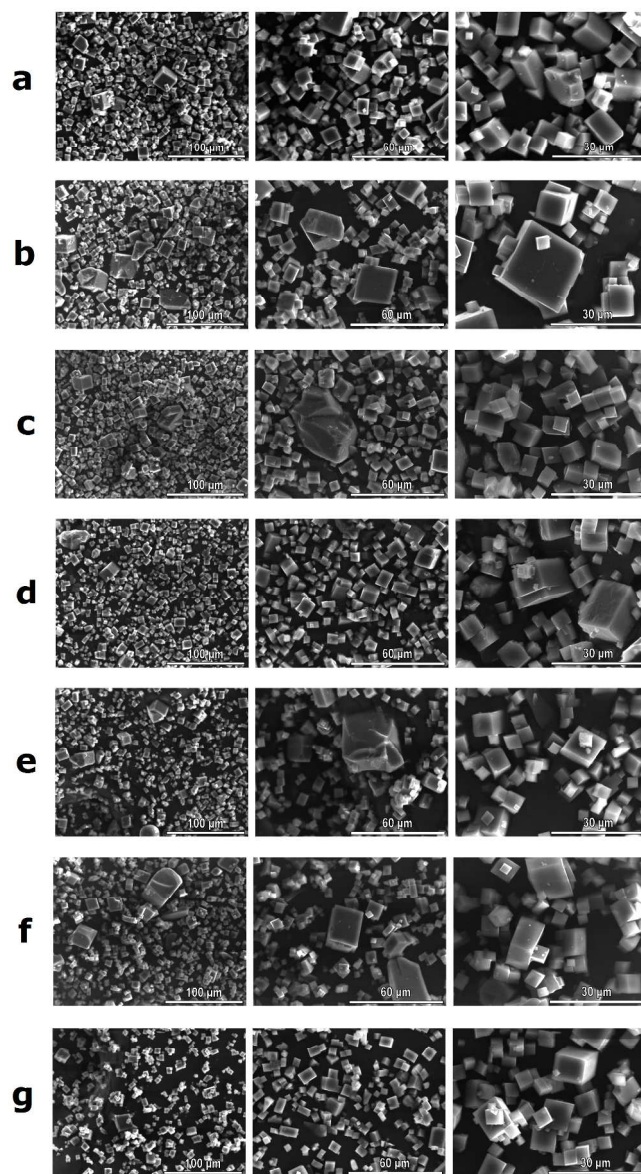


Figure 3. Scanning electron microscopy (SEM) images at different magnifications of the chabazite-type zeolite exchanged with silver (Ag⁺), copper (Cu⁺²), and zinc (Zn⁺²) ions: a) Ag-zeolite (Reference 1); b) Cu-zeolite (Reference 2); c) Zn-zeolite (Reference 3); d) Ag-Cu-zeolite (Reference 4); e) Ag-Zn-zeolite (Reference 5); f) Cu-Zn-zeolite (Reference 6); g) Ag-Cu-Zn-zeolite (Reference 7). Scale markers of 100, 60, and 30 μm in all cases.

Figure 3
172x310mm (300 x 300 DPI)

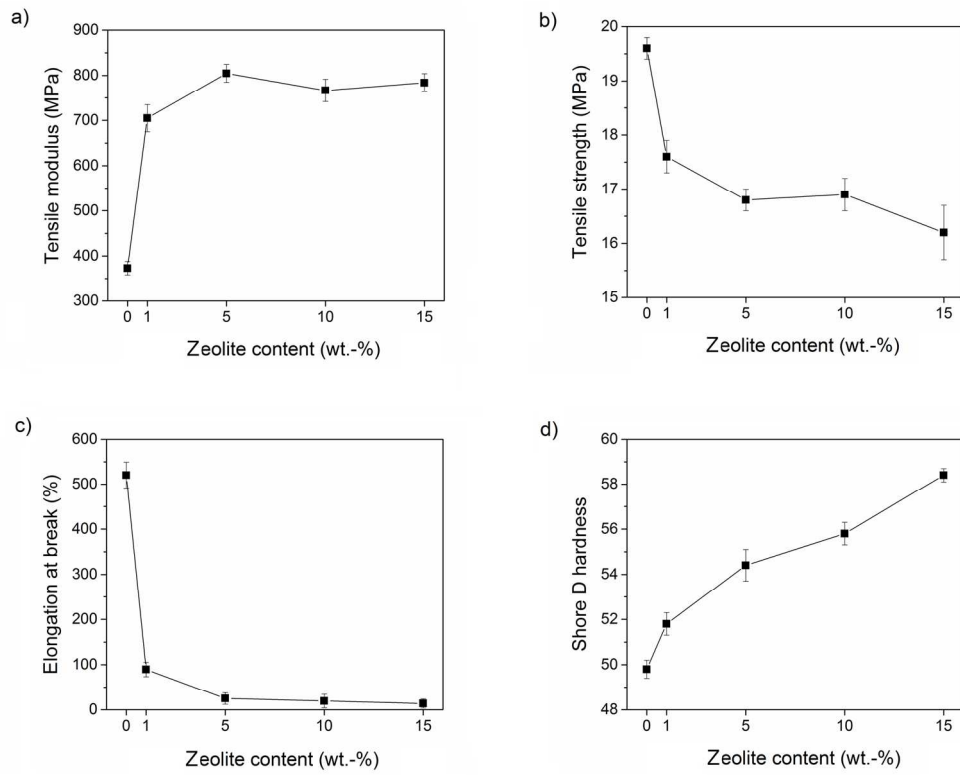


Figure 4. Mechanical properties of the injection-molded pieces of bio-based high-density polyethylene (bio-HDPE) as a function of the weight content (wt.-%) of Ag-Cu-Zn-zeolite: a) Tensile modulus; b) Tensile strength; c) Elongation at break; d) Shore D hardness. The chabazite-type zeolite was exchanged with silver (Ag⁺), copper (Cu²⁺), and zinc (Zn²⁺) ions.

Figure 4
169x134mm (300 x 300 DPI)