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Additional Information

1 **ESTIMATION OF CERCHAR ABRASIVITY INDEX OF**
2 **ANDESITIC ROCKS IN ECUADOR FROM CHEMICAL COMPOUNDS AND**
3 **PETROGRAPHICAL**
4 **PROPERTIES USING REGRESSION ANALYSES**
5

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11
12 **Abstract**

13 An important issue in any rock engineering project is the adequate prediction of tool consumption.
14 Excavation tools are subjected to wear and repair/replacement of those tools is usually an important
15 expense on any excavation budget. The key factor that affects wear of excavation tools is rock
16 abrasivity. In mining and civil engineering, rock abrasivity is typically measured by the Cerchar
17 Abrasivity Index (*CAI*), which is obtained in laboratory from a Cerchar abrasivity test. This paper
18 studied the relation between *CAI* and the chemical compounds and petrographical properties of
19 andesitic rocks coming from the central area of Ecuador. A series of regression analyses are
20 performed to study the influence of the different chemical compounds and petrographical properties
21 on the *CAI* value. Results show that it is possible to make a good estimation of *CAI* from the
22 plagioclase grain size and/or the content in SiO₂, FeO, MgO, CaO, Na₂O and K₂O compounds.

23
24 **Keywords:** Cerchar Abrasivity Index; andesitic rock; chemical compounds; petrographical
25 properties.

27 **1. Introduction**

28 Material tool consumption is one of the main indicators of rock excavation in mining and civil
29 engineering projects (e.g. tunnelling, underground mining and quarrying). In fact, an important
30 issue in any rock project is the adequate prediction of tool consumption, being especially during the
31 tendering stage a significant factor in the estimation of expenses. Either rock excavation is
32 performed by conventional drilling and blasting or by means of mechanized excavators such as
33 TBMs, roadheaders and dozers, excavation tools are exposed to wearing. Although wear partially
34 depends on the machinery being used for excavation and the geological conditions, the key factor
35 that affects wear of excavation (cutting) tools is rock abrasivity. Repair and replacement of rock
36 cutting tools as well as other machine components in contact with the rock during excavation
37 (which are also subjected to wear) have been reported to be an important amount on any excavation
38 budget (Fowell and Abu Baker, 2007; Hamzaban et al., 2014b). Hence rock abrasivity is a very
39 important factor to consider in the operating costs and performance of any mechanical rock
40 excavation work. It should also be noted that mechanical rock excavation is usually carried out by
41 machines of high cost and in most cases site specific, thus selecting the adequate cutter tool
42 according to the rock abrasivity to be excavated is essential when looking for an optimum
43 performance.

44 The abrasivity of rocks can be related to their petrographic composition, especially with the amount
45 of hard minerals like quartz (Käsling and Tara, 2010), but other features such as the mean grain
46 size, type of cement, and degree of cementation can influence the abrasivity of a rock (West, 1989;
47 Yarali et al., 2008). Petrological methods may be used to estimate abrasivity (West, 1989). That
48 includes Mohs's scratch hardness, Vickers hardness, silica content or microscopic examination of a
49 thin section. Mechanical parameters such as uniaxial compression strength, tensile strength and
50 fracture toughness may also be taken into account (Alber, 2008; Deliormanlı, 2012). However,
51 typically abrasivity of rocks is more technically obtained from laboratory tests and associated with
52 some kind of model or an index. Nowadays, Cerchar abrasivity test (CERCHAR, 1986; ASTM-

53 D7625, 2010; Alber et al., 2014) is probably the most common tests used to evaluate abrasivity of
54 rocks, especially in the area of civil engineering (tunnelling), thanks to its simplicity and
55 dependable results (Atkinson et al., 1986a, 1986b). From this test, the Cerchar Abrasivity Index
56 (*CAI*) is obtained and used as the parameter which describes the abrasivity of rocks. Both the test
57 and how to obtain that index will be explained later in this paper.

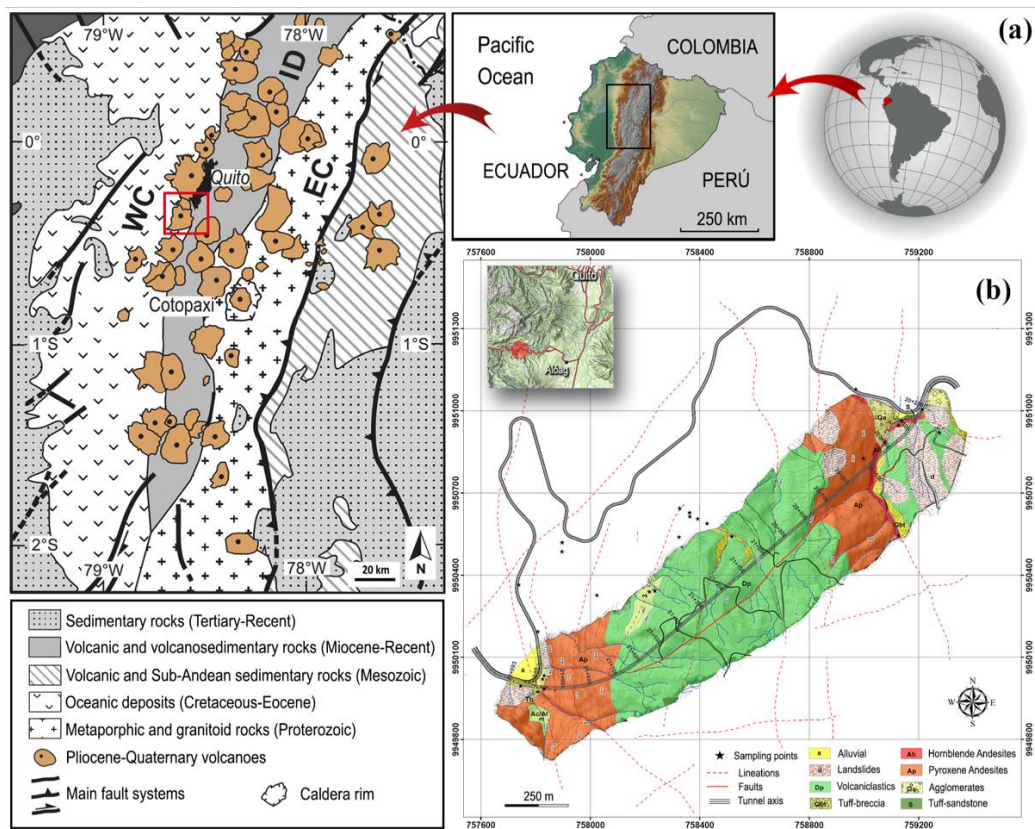
58 Several researches studied the dominant factors of *CAI* (Rostami et al., 2014) and the effects that
59 different aspects can have on *CAI*, such as quartz content, grain size and matrix properties (Suana
60 and Peters, 1982; Lassnig et al., 2008), rock strength (Al-Ameen and Wallner, 1994) stress
61 dependency (Alber, 2007) or testing conditions, procedures and materials used to conduct the test
62 (Al-Ameen and Wallner, 1994; Plinninger et al., 2003; Michalakopoulos et al., 2005; Fowell and
63 Abu Bakar, 2007; Lassnig et al., 2008; Hamzaban et al., 2014a; Rostami et al., 2014). Likewise,
64 some investigations analysed and correlate *CAI* with mechanical and/or geological properties,
65 including chemical compounds, petrographical properties, equivalent quartz content, uniaxial
66 compression strength or Young modulus (Plinninger et al., 2003; Kahraman et al., 2010;
67 Deliormanlı, 2011; Moradizadeh et al., 2013; Er and Tugrul, 2016a, 2016b; Majeed and Bakar,
68 2016). Nevertheless, most of the mentioned studies only dealt with some rock specific samples (e.g.
69 granitic rocks), and up to now there is not a clear evidence that their results may be completely
70 extrapolated and used when facing other rock formations.

71 In this paper a relation between *CAI* and the chemical compounds and petrographical properties of
72 andesitic rocks is investigated. A total of 73 andesitic samples coming from the central area of
73 Ecuador are subjected to Cerchar abrasivity tests and are chemically and petrographically analysed,
74 in order to establish both *CAI* and their chemical and modal compounds as well as minerals grain
75 size. A series of regression analyses are performed studying the influence of the different chemical
76 compounds and petrographical properties on *CAI* value. Regression analyses are frequently used in
77 engineering and have recently demonstrated to be effective to correlate *CAI* with mechanical and

78 geological properties (Er and Tugrul, 2016a, 2016b; Majeed and Bakar, 2016). Both simple
 79 regression and multiregression models are considered in this paper.

80 2. Geographical Setting and Geological Framework

81 The andesite samples analysed in this study come from the Bombolí area (Mejía canton, Pichincha
 82 province, Ecuador), where the construction of a new road tunnel is currently being built. The ca. 2
 83 kilometer-long Bombolí tunnel runs between the kilometric points (kp) 20+221 and 21+959 of the
 84 E-20 road Alóag-Santo Domingo, approximately 50 Km South-West of the city of Quito (**Fig. 1**).
 85 The road Alóag-Santo Domingo stretches over mafic lavas and volcano-sedimentary rocks of the
 86 Western Cordillera of Ecuador, a north-south trending chain which is one of the two major branches
 87 of the Ecuadorian Andean Mountain Range (Vallejo, 2007; Vallejo et al., 2009; Vera, 2016).



88
 89 *Fig. 1. Sketch maps showing the location of the studied area. The successive insets show the position of the detailed*
 90 *maps: a) Geological simplified map of the Ecuadorian Andes showing the main stratigraphic units outcropping in the*
 91 *region. (Modified from Vezzoli et al. 2017). Abbreviations: EC = Eastern Cordillera; ID = Interandean Depression;*
 92 *WC = Western Cordillera; b) Detailed map of the Bombolí road tunnel showing the different volcano-sedimentary*
 93 *materials affected by mechanical rock excavations and sample locations.*

94 In the project area, the extensive exposures of volcano-sedimentary materials can be mainly referred
95 to the Silante Formation, an upper Maastrichtian-Paleocene volcanic unit whose type section crops
96 out along the Alóag–Santo Domingo road. The Silante Formation consists (**Fig. 2**) of a thick
97 succession of andesitic volcanoclastic deposits (fluvial conglomerates and Breccias, mudstones,
98 siltstones and tuffaceous sandstones) with intercalations of andesites, dacites and breccias (Boland
99 et al., 2000).



100

101

Fig. 2. View of the andesitic rocks studied in the project area.

102 **3. Experimental study**

103 Up to 73 andesitic rock samples from the project area were selected for mechanical and
104 petrographic analyses. Samples were extracted from three locations along the Bombolí area: 27
105 samples belonged to inside of tunnel, and will refer thereafter as TB; 16 samples were obtained

106 from the slopes, TT samples thereafter; and 30 samples corresponded to the road, refer as VC
107 thereafter.

108 *3.1. XRF analysis*

109 The main chemical compounds of the andesitic samples were identified by a semi-quantitative
110 chemical analysis with X-ray fluorescence spectroscopy, carried out using a Perkin-Elmer 3030.

111 *3.2. Petrographical characteristics*

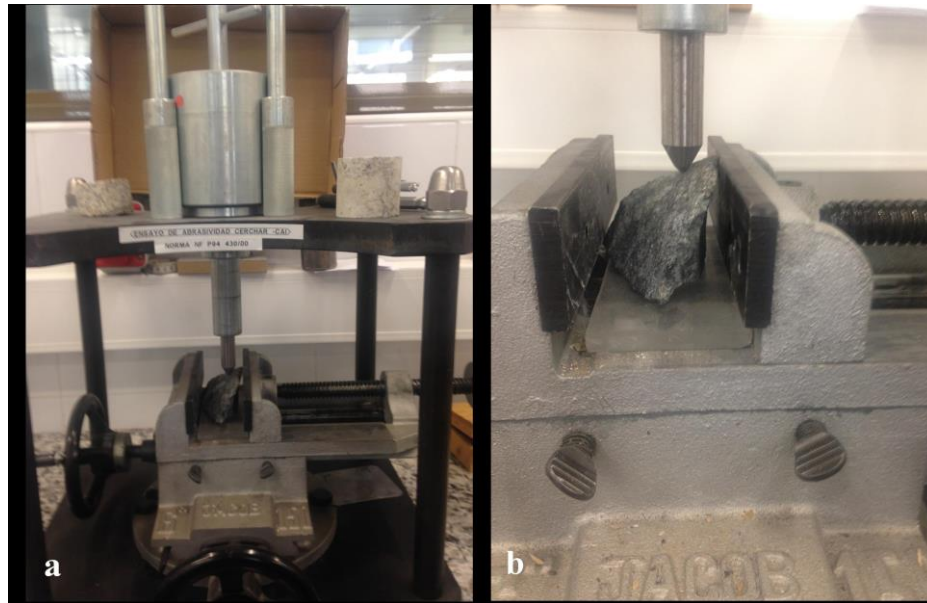
112 Thin sections were prepared for the 73 andesitic rock samples and studied under a petrographic
113 polarizing microscope for determining grain size and quantitative mineral content.

114 *3.3. Physical and mechanical properties and Cerchar abrasivity index*

115 Several tests were conducted on each group of samples to set their physical and mechanical
116 properties. Those tests included determining the unit weight and obtaining the uniaxial compression
117 strength and the tensile strength of the intact rock. Tests were conducted based on ISRM (2007) and
118 ASTM standards (ASTM D7012; ASTM D3967).

119 The 73 andesitic rock samples were subjected to Cerchar abrasivity tests. This test, introduced in
120 the 1970s by the Centre d'Etudes et Recherches des Charbonages de France (CERCHAR) for
121 assessing abrasivity in the coal mining industry (Yarali et al., 2008; Kasling and Thuro, 2010), was
122 later adopted by the tunnelling industry (West, 1989; Rostami et al., 2014), and is nowadays
123 typically selected as a tool to quantify rock abrasivity in predicting tool wear during hard rock
124 tunnelling. Cerchar abrasivity test (**Fig. 3**) measures the wear on the tip of a steel stylus having a
125 Rockwell Hardness of HRC 55. Two standards exist for this test method: the French standard NF P
126 94-430-1 (2000) and ASTM D7625-10 (2010). The test presented in this paper followed the former
127 and ISRM suggested method (Alber et al., 2014), as well as the original specifications of the test
128 (CERCHAR, 1986). To measure the wear flat of the Cerchar test stylus, side view method was
129 used, since that introduces less statistically significant error in the measured values of *CAI*. The
130 stylus scratches the surface of a rough rock sample over a distance of 10 mm under static load of 70

131 N. The wear surface of the stylus tip is afterwards measured under a microscope to an accuracy of
132 0.01 mm. The wear surface, stated in units of 0.01 mm, is then multiplied by 10 to obtain the
133 Cerchar Abrasivity Index (CAI), which is a dimensionless unit value. The test is performed at least
134 five times on the same rock surface by using a fresh re-sharpened stylus each time and then taking
135 the arithmetic mean of the measured values.



136
137 *Fig. 3. Cerchar abrasivity test conducted: a) Cerchar device; b) Detail of the rock sample and the steel stylus.*

138 The French standard AFNOR NF P 94-430-1 (2000) was followed. Tests were performed at the
139 laboratories of the Department of Geotechnical Engineering of the Technical University of
140 Valencia, by one technician. The tip of a steel stylus had a Rockwell Hardness of HRC 55.

141 **4. Results**

142 *4.1. XRF analysis*

143 The andesitic samples under study are mainly composed (**Table 1**) of SiO_2 , which represent ca.
144 50% or more in nearly all cases. The second more abundant compound is Al_2O_3 , with ca. 15%,
145 followed by the CaO , with ca. 10%. Other compounds such as Fe_2O_3 , FeO , MgO , Na_2O , K_2O
146 appear in small quantities (between 2% and 10% on average) and some traces of TiO_2 , MnO , P_2O_5
147 are also found in the samples.

Table 1. Chemical compounds of andesitic samples.

Sample	Chemical compounds (%)										
	SiO ₂	TiO ₂	Al ₂ O ₃	Fe ₂ O ₃	FeO	MnO	MgO	CaO	Na ₂ O	K ₂ O	P ₂ O ₅
TB1	55.21	0.88	16.35	5.53	4.09	0.15	3.36	9.06	3.53	1.64	0.20
TB2	61.33	0.89	10.98	2.39	3.98	0.19	3.40	11.51	3.25	1.88	0.20
TB3	64.16	0.90	11.22	1.79	3.87	0.23	3.44	9.10	2.97	2.12	0.20
TB4	55.30	0.91	16.29	1.87	3.76	0.27	3.48	12.87	2.69	2.36	0.20
TB5	60.72	0.92	6.90	2.93	3.65	0.31	3.52	15.84	2.41	2.60	0.20
TB6	54.20	0.93	17.59	6.32	3.54	0.35	3.56	8.34	2.13	2.84	0.20
TB7	55.01	0.94	17.90	4.98	3.43	0.39	3.60	8.62	1.85	3.08	0.20
TB8	65.10	0.95	11.03	1.62	3.32	0.43	3.64	8.82	1.57	3.32	0.20
TB9	66.00	0.96	13.28	2.22	3.21	0.47	3.68	5.13	1.29	3.56	0.20
TB10	60.76	0.60	13.81	4.06	8.27	0.90	2.31	4.14	3.53	1.42	0.19
TB11	58.91	1.03	15.38	1.82	2.46	0.34	2.54	12.00	3.25	2.07	0.19
TB12	59.16	0.58	14.86	3.73	8.65	1.10	2.20	4.43	2.99	2.12	0.19
TB13	56.30	0.57	16.64	5.19	8.84	1.25	2.15	5.41	2.69	0.76	0.19
TB14	57.15	0.56	15.76	4.19	3.03	1.41	2.09	8.71	2.52	4.39	0.19
TB15	55.90	0.54	17.20	4.11	8.15	1.42	2.04	5.06	2.42	2.96	0.19
TB16	58.12	0.53	12.79	4.69	9.41	1.73	1.98	3.81	1.85	5.00	0.08
TB17	53.31	0.52	17.13	4.52	9.60	1.26	1.93	8.73	1.57	1.24	0.19
TB18	54.70	0.51	14.55	4.45	4.79	2.04	1.87	14.93	1.29	0.67	0.19
TB19	45.92	0.48	18.43	5.86	9.98	2.20	1.82	8.27	3.53	3.32	0.19
TB20	53.08	0.48	9.63	4.56	10.17	2.35	1.77	11.02	3.25	3.56	0.13
TB21	62.43	0.83	17.62	5.78	6.86	0.35	1.71	0.11	2.69	1.42	0.19
TB22	56.54	0.46	16.15	3.94	10.55	0.39	1.66	5.65	2.41	2.07	0.19
TB23	50.31	0.45	17.15	4.49	10.74	0.43	1.60	10.39	2.13	2.12	0.19
TB24	45.92	0.43	19.37	5.86	5.35	0.47	1.55	18.24	1.85	0.76	0.19
TB25	75.00	0.42	2.98	1.55	1.27	0.90	1.49	10.23	1.57	4.39	0.19
TB26	61.62	0.41	14.61	3.01	1.09	0.94	1.44	10.19	3.53	2.96	0.19
TB27	53.31	0.40	16.29	4.52	0.57	2.04	1.39	16.17	3.25	1.88	0.19
TT1	51.46	0.39	14.32	4.86	11.69	2.20	1.33	8.75	2.69	2.12	0.19
TT2	53.77	1.16	16.05	5.40	2.77	2.35	4.48	10.65	2.41	0.76	0.20
TT3	59.08	1.17	15.38	3.48	1.31	0.35	4.52	8.00	2.13	4.39	0.20
TT4	55.85	1.18	16.35	5.02	2.31	0.39	4.56	10.73	0.45	2.96	0.20
TT5	60.23	1.19	15.03	3.27	0.68	0.43	4.60	9.48	0.17	4.80	0.12
TT6	54.46	1.20	16.78	4.31	0.57	1.43	4.64	11.45	3.53	1.42	0.20
TT7	69.46	1.21	9.90	1.90	0.46	0.03	4.65	6.87	3.25	2.07	0.20
TT8	43.38	1.22	20.14	7.29	0.35	1.51	4.72	16.10	2.97	2.12	0.20
TT9	60.23	0.97	15.02	3.15	4.15	0.51	3.72	8.29	3.00	0.76	0.20
TT10	53.08	0.98	17.20	4.56	2.99	0.55	3.12	9.73	3.20	4.39	0.20
TT11	55.85	0.99	16.36	5.02	2.05	0.59	3.80	9.58	2.60	2.96	0.20
TT12	57.92	1.00	14.79	3.68	4.81	0.63	3.84	11.08	0.17	1.88	0.20
TT13	53.54	0.90	17.06	4.48	4.09	0.15	3.36	10.58	3.53	2.12	0.19
TT14	54.46	0.81	16.78	5.28	3.98	0.19	3.40	9.77	3.25	1.98	0.10
TT15	54.92	0.90	16.64	4.23	3.87	0.23	3.44	10.48	2.97	2.12	0.20
TT16	52.15	1.01	16.62	4.73	5.66	0.67	3.88	11.42	3.00	0.76	0.09
VC1	50.54	1.05	17.97	4.45	2.22	0.83	4.04	11.21	3.20	4.39	0.10
VC2	51.00	0.86	14.44	4.94	2.11	0.87	4.08	15.95	2.60	2.96	0.19
VC3	56.77	1.02	16.08	2.30	2.55	0.71	3.92	8.34	2.99	5.00	0.32
VC4	53.54	1.03	17.05	4.48	2.50	0.75	3.96	11.98	3.27	1.24	0.20
VC5	59.31	0.89	15.31	4.40	3.99	0.35	3.56	5.85	5.50	0.67	0.19
VC6	55.62	0.87	15.49	3.77	4.16	0.29	3.50	9.80	6.10	0.21	0.19
VC7	47.54	0.86	17.95	5.57	4.33	0.24	3.45	13.43	4.57	1.88	0.19
VC8	54.46	0.84	15.95	3.92	4.50	0.19	3.08	7.45	7.29	2.12	0.19
VC9	52.85	0.82	17.27	4.61	4.67	0.14	3.35	7.46	7.89	0.76	0.19
VC10	54.69	0.81	15.77	4.27	4.15	0.09	3.30	6.07	6.28	4.39	0.19
VC11	52.15	0.79	17.48	4.24	5.01	0.03	3.24	9.87	4.04	2.96	0.19
VC12	54.00	0.78	16.91	5.36	5.18	0.02	3.19	7.28	2.10	5.00	0.19
VC13	54.46	1.63	16.78	3.92	2.33	0.79	4.00	11.34	3.12	1.42	0.20
VC14	50.31	0.81	17.15	1.65	5.05	0.02	3.23	10.56	8.95	2.07	0.19
VC15	56.54	0.80	16.15	3.94	5.24	0.32	3.18	6.48	5.04	2.12	0.19
VC16	56.08	0.79	15.35	2.14	5.43	0.20	3.13	6.67	9.24	0.79	0.19
VC17	54.00	0.78	16.92	3.99	5.62	0.19	3.07	7.78	3.28	4.19	0.19
VC18	59.08	0.77	12.45	3.48	5.81	0.19	3.02	7.60	4.46	2.96	0.19
VC19	53.08	0.75	17.20	4.56	6.00	0.19	2.96	7.60	6.17	1.30	0.19
VC20	52.85	0.74	16.42	5.57	6.19	0.19	2.91	7.43	6.46	1.05	0.20
VC21	50.31	0.73	18.04	1.36	6.38	0.91	2.85	12.40	5.85	0.98	0.20
VC22	51.00	0.71	17.83	1.87	6.57	0.92	2.80	14.10	2.99	1.02	0.19
VC23	54.00	0.70	16.09	4.40	6.76	0.93	2.74	9.28	3.27	1.64	0.19
VC24	45.92	0.84	18.42	5.86	6.95	0.94	2.69	14.31	2.99	0.89	0.19
VC25	51.23	0.68	16.89	5.86	7.13	0.24	2.64	11.00	3.27	0.87	0.19
VC26	46.85	0.67	19.09	5.70	7.32	0.19	2.58	11.06	5.50	0.86	0.19
VC27	52.38	0.65	17.41	4.69	7.51	0.14	2.53	7.54	6.10	0.84	0.20
VC28	54.23	0.64	16.02	4.35	7.70	0.09	2.47	8.90	4.57	0.82	0.19
VC29	54.46	0.63	16.78	5.28	7.89	0.03	2.42	4.26	7.29	0.76	0.19
VC30	59.31	0.62	15.31	3.43	8.08	0.15	2.36	2.58	3.58	4.39	0.18

150 *4.2. Petrographical characteristics*

151 The studied samples exhibit variability in crystallinity and in mineralogical composition but, in
152 general, can be characterized as subvolcanic andesitic porphyrites. Plagioclase and clinopyroxene
153 are the main minerals in all samples analysed, showing variations in their mineral contents (**Table**
154 **2**) and grain sizes (**Table 3**). The equivalent quartz content (EQC) was determined according to
155 Thuro (1997). A suggested equation is shown in Eq. 1:

156
$$EQC = \sum A_i \cdot R_i \quad (1)$$

157 where A_i is the mineral amount (%) and R_i is the Rosiwal abrasiveness value for each mineral,
158 respectively.

159 In hand specimens, the Bombolí tunnel andesites exhibit a seriate porphyritic texture, with visible
160 phenocryst of plagioclases surrounded by a greenish grey fine-grained matrix. Polished thin
161 sections show largely euhedral to subhedral plagioclase phenocrysts, scanty subhedral
162 clinopyroxenes (between 5-13% of phenocrysts) and occasional hornblende phenocrysts, with
163 opaques as accessory minerals (**Fig. 4**). Plagioclase crystals are generally unaltered and exhibit the
164 characteristic lamellar twinning, even some phenocrysts are partially resorbed. Some of the
165 plagioclase crystals show chemical zoning. Clinopyroxene phenocrystals are partially replaced by
166 chlorite. Small microlites of plagioclase and clinopyroxenes are embedded in a dark, glassy
167 groundmass.

168 *4.3. Cerchar abrasivity index, physical and mechanical properties*

169 Cerchar abrasivity index results obtained for each tested specimen are listed on **Table 3**. Unit
170 weight of the studied intact rock was found to be 25.4 kN/m³ for the TB samples, 24.9 kN/m³ for
171 the TT samples and 24.7 kN/m³ for the VC samples. Regarding uniaxial compression strength, tests
172 gave an average value of 35 MPa for the TB samples, 25 MPa for the TT samples and 30 MPa for
173 the VC samples. Tensile strength was set to 10 MPa in the case of TB samples, 9 MPa in the case of
174 TT samples and 8 MPa in the case of VC samples.

Table 2. Modal compounds of the studied andesitic samples.

Sample	Modal compounds (%)					EQC (%)
	Plagioclase	Clinopyroxene	Amphibole	Iron Ore	Cryptocrystalline material	
TB1	59.7	17.9	3.0	0.0	19.4	28.3
TB2	71.9	16.1	2.2	0.1	9.7	30.3
TB3	71.4	16.0	1.6	1.0	10.0	30.2
TB4	62.0	23.1	5.5	0.0	9.4	29.6
TB5	67.4	18.3	5.4	0.1	8.8	29.8
TB6	53.8	33.2	3.0	0.0	10.0	29.6
TB7	57.1	29.2	3.7	0.0	10.0	29.6
TB8	76.8	7.1	1.9	2.2	12.0	29.8
TB9	71.5	12.6	2.6	1.4	11.9	29.7
TB10	68.8	15.8	3.3	0.0	12.1	29.7
TB11	65.3	18.9	3.6	0.2	12.0	29.5
TB12	64.9	17.6	3.4	2.1	12.0	29.2
TB13	60.7	21.6	5.9	1.0	10.8	29.1
TB14	57.5	23.7	5.6	2.4	10.8	28.8
TB15	58.6	24.6	5.5	0.1	11.2	29.2
TB16	63.7	21.0	3.9	1.4	10.0	29.5
TB17	59.9	26.0	4.1	0.2	9.8	29.6
TB18	55.8	28.3	4.2	0.3	11.3	29.2
TB19	51.6	32.6	3.7	2.0	10.1	29.1
TB20	58.6	26.9	3.4	1.1	10.0	29.5
TB21	57.1	26.6	5.5	0.0	10.8	29.2
TB22	62.5	21.1	4.6	0.0	11.8	29.3
TB23	56.6	28.5	3.0	0.0	11.9	29.4
TB24	50.7	34.6	3.7	0.0	11.0	29.3
TB25	74.6	9.4	1.9	2.1	12.0	29.7
TB26	66.2	19.9	2.6	0.9	10.4	29.8
TB27	55.5	31.8	3.0	0.0	9.7	29.7
TT1	57.9	29.1	3.0	0.0	10.0	29.7
TT2	52.0	33.6	4.1	0.0	10.3	29.3
TT3	57.2	28.5	4.3	0.0	10.0	29.5
TT4	58.2	26.5	3.7	0.0	11.6	29.4
TT5	58.3	28.3	3.3	0.0	10.1	29.7
TT6	52.7	34.3	3.0	0.0	10.0	29.6
TT7	67.2	18.3	2.7	1.0	10.8	29.8
TT8	48.8	37.6	2.8	0.1	10.7	29.3
TT9	58.3	29.2	2.8	0.1	9.6	29.8
TT10	51.0	37.1	0.6	0.3	11.0	29.6
TT11	54.1	30.5	3.9	0.1	11.4	29.2
TT12	55.9	29.9	4.0	0.3	9.9	29.5
TT13	60.2	24.5	3.7	0.0	11.6	29.4
TT14	61.2	25.3	3.4	0.0	10.1	29.7
TT15	53.2	31.4	5.5	0.0	9.9	29.2
TT16	50.5	34.1	4.6	0.0	10.8	29.1
VC1	56.8	30.9	1.5	0.0	10.8	29.8
VC2	49.4	36.0	3.3	0.3	11.0	29.2
VC3	63.8	22.7	1.9	0.0	11.6	29.8
VC4	51.8	34.0	4.0	0.1	10.1	29.4
VC5	57.4	27.8	3.7	2.2	8.9	29.4
VC6	53.8	30.6	2.4	1.4	11.8	29.2
VC7	46.0	39.3	5.3	0.0	9.4	29.1
VC8	56.7	27.8	4.4	0.0	11.1	29.3
VC9	59.4	25.6	3.0	0.0	12.0	29.4
VC10	52.9	33.5	3.6	0.2	9.8	29.5
VC11	50.5	37.5	1.9	0.0	10.1	29.6
VC12	56.0	35.7	1.6	0.0	6.7	30.4
VC13	55.7	34.4	2.7	0.0	7.2	30.1
VC14	52.4	34.5	2.8	0.0	10.3	29.5
VC15	54.7	31.4	3.8	0.0	10.1	29.5
VC16	54.3	34.8	0.6	0.0	10.3	29.9
VC17	53.3	35.8	1.2	0.7	9.0	29.9
VC18	57.2	28.6	4.0	1.6	8.6	29.5
VC19	51.4	35.6	2.9	0.0	10.1	29.5
VC20	51.2	36.1	2.2	0.6	9.9	29.5
VC21	51.7	31.6	1.6	1.9	13.2	28.9
VC22	49.4	36.1	2.2	1.5	10.8	29.2
VC23	66.7	25.6	3.0	0.0	4.7	30.8
VC24	44.5	40.9	3.3	0.2	11.1	29.1
VC25	49.6	36.9	1.9	2.2	9.4	29.4
VC26	45.3	42.8	1.6	1.4	8.9	29.5
VC27	54.2	30.9	2.7	0.4	11.8	29.3
VC28	52.5	35.0	2.8	0.0	9.7	29.6
VC29	60.3	25.1	3.7	0.9	10.0	29.5
VC30	57.4	30.1	3.2	0.0	9.3	29.8

Table 3. Grain size and Cerchar abrasivity index (CAI).

Sample	Grain Size (mm)			CAI
	Plagioclase	Clinopyroxene	Amphibole	
TB1	1.04	0.64	0.87	2.30
TB2	1.20	0.80	0.61	2.82
TB3	1.33	0.33	0.66	3.02
TB4	1.07	0.58	0.87	2.43
TB5	1.08	0.48	0.45	2.69
TB6	0.80	0.45	0.53	2.11
TB7	0.90	1.00	0.62	2.20
TB8	1.37	0.66	0.33	3.10
TB9	1.30	0.46	0.27	2.86
TB10	1.20	0.67	0.62	2.65
TB11	1.11	0.63	0.87	2.56
TB12	1.13	0.31	0.45	2.50
TB13	1.03	1.10	0.53	2.38
TB14	0.99	0.34	0.33	2.39
TB15	0.96	0.70	0.27	2.30
TB16	1.24	0.90	0.61	2.50
TB17	1.04	0.30	0.66	2.31
TB18	0.89	0.50	0.71	2.19
TB19	0.85	0.32	0.43	1.99
TB20	1.00	0.18	0.36	2.30
TB21	1.06	0.68	0.29	2.24
TB22	1.15	0.43	0.27	2.45
TB23	0.93	0.19	0.62	2.18
TB24	0.83	0.20	0.83	1.99
TB25	1.33	0.46	0.29	3.25
TB26	1.21	0.78	0.72	2.67
TB27	0.92	0.50	0.75	2.31
TT1	1.00	0.36	0.76	2.23
TT2	1.26	0.57	0.18	2.33
TT3	1.11	0.43	0.20	2.56
TT4	1.22	0.56	0.45	2.42
TT5	1.22	0.70	0.33	2.61
TT6	1.04	0.30	0.22	2.36
TT7	1.33	0.48	0.27	3.01
TT8	0.93	0.17	0.23	1.88
TT9	1.18	0.20	0.56	2.61
TT10	1.01	0.34	0.76	2.30
TT11	1.18	0.60	0.39	2.42
TT12	1.09	0.55	0.42	2.51
TT13	1.03	0.55	0.87	2.32
TT14	1.05	0.57	0.76	2.36
TT15	1.07	0.59	0.37	2.38
TT16	0.89	0.44	0.75	2.26
VC1	0.98	0.21	0.38	2.19
VC2	1.04	0.12	0.57	2.21
VC3	1.06	0.15	0.76	2.46
VC4	1.05	0.18	0.36	2.32
VC5	1.17	0.34	0.65	2.57
VC6	1.03	0.71	0.44	2.41
VC7	0.96	0.68	0.36	2.06
VC8	1.01	0.41	0.32	2.36
VC9	1.08	0.69	0.29	2.29
VC10	1.07	0.76	0.17	2.37
VC11	1.02	0.63	0.62	2.26
VC12	1.06	0.65	0.67	2.34
VC13	1.07	0.75	0.61	2.36
VC14	0.98	0.64	0.46	2.18
VC15	1.11	0.56	0.36	2.45
VC16	1.10	1.20	0.29	2.43
VC17	1.06	0.21	0.27	2.34
VC18	1.16	0.34	0.62	2.56
VC19	1.04	0.54	0.77	2.30
VC20	1.03	0.43	0.56	2.29
VC21	0.98	0.53	0.59	2.18
VC22	1.00	0.67	0.61	2.21
VC23	1.06	0.78	0.58	2.34
VC24	0.91	0.45	0.19	1.99
VC25	1.02	0.56	0.27	2.22
VC26	0.93	0.34	0.45	2.03
VC27	1.02	0.58	0.23	2.27
VC28	1.06	0.63	0.15	2.35
VC29	1.09	0.76	0.38	2.36
VC30	1.15	0.64	0.23	2.57



179

180 *Fig. 4. Representative photomicrograph in cross-polarized light of a porphyritic andesite sample from the project area*
181 *(Bombolí hacienda, Ecuador) showing elongate, euhedral phenocrysts of plagioclase (grey interference colours,*
182 *multiple twinning), clinopyroxene crystals (higher-order interference colours) and plagioclase microlites set in a glassy*
183 *groundmass (black areas, optically isotropic). Clinopyroxene phenocrysts are partially altered and replaced by*
184 *chlorite. Abbreviations: pl, plagioclase; cpx, clinopyroxene. Scale bar = 1 mm.*

185 4.4. Statistical summary of the results

186 **Table 4** shows a statistical summary of the results obtained. Average, standard deviation,
187 coefficient of variation and minimum and maximum values are listed for the chemical compounds
188 and the petrographical properties of the andesitic samples studied, as well as for the Cerchar
189 Abrasivity Index (*CAI*). As may be observed, *CAI* shows little variation, with a coefficient of
190 variation of about a 10%, while in general chemical compounds and petrographical properties
191 exhibit more variability (with a coefficient of variation larger than a 50% in some cases).

192 Regarding the relation between the *CAI* and the grain size, if the test is scratch 10 mm, it turns out
193 that on average: (i) 57.4% will be plagioclase crystals with an average size of 1.07 mm; (ii) 28.3%
194 will be pyroxene crystals with an average size of 0.52 mm; (iii) 3.3% amphibole crystals with
195 average size of 0.49 mm; (iv) 0.5% iron that we do not know its size or is rather dispersed; (v)
196 10.5% matrix. Hence, there is about 30% chance of scratching amphiboles and clinopyroxenes,
197 approximately 60% of plagioclase and 10% of matrix, which is obvious from the distribution of
198 minerals in the thin sections.

	Average	Standard deviation	Coefficient of variation (%)	Minimum value	Maximum value
<i>Chemical compounds (%)</i>					
SiO ₂	55.39	5.29	9.55	43.38	75.00
TiO ₂	0.81	0.24	29.63	0.39	1.63
Al ₂ O ₃	15.68	2.75	17.54	2.98	11.14
Fe ₂ O ₃	4.12	1.32	32.04	1.36	7.29
FeO	4.98	2.76	55.42	0.35	11.69
MnO	0.65	0.62	95.38	0.02	2.35
MgO	3.03	0.91	30.03	1.33	4.72
CaO	9.39	3.36	35.78	0.11	18.24
Na ₂ O	3.49	1.86	53.30	0.17	9.24
K ₂ O	2.26	1.31	57.96	0.21	5.00
P ₂ O ₅	0.19	0.03	15.79	0.08	0.32
<i>Modal compounds (%)</i>					
Plagioclase	57.44	6.80	11.84	44.50	76.80
Clinopyroxene	28.33	7.48	26.40	7.10	42.80
Amphibole	3.26	1.19	36.50	0.60	5.90
Iron Ore	0.49	0.73	148.97	0.00	2.40
Cryptocrystalline material	10.47	1.67	15.95	4.70	19.40
<i>EQC (%)</i>	29.51	0.36	1.22	28.30	30.80
<i>Grain size</i>					
Plagioclase	1.07	0.12	11.21	0.80	1.37
Clinopyroxene	0.52	0.22	42.31	0.12	1.20
Amphibole	0.49	0.21	42.86	0.15	0.87
<i>CAI</i>	2.39	0.25	10.46	1.88	3.25

200
201 Additionally, **Table 5** displays the correlation matrix between *CAI*, minerals and EQC. From the
202 observation of this matrix, it follows that the *CAI* values with the plagioclase are logical in content
203 and size of the crystal. Also with amphibole, but it does not have seemingly sense the variation that
204 the clinopiroxeno presents. However, although the value of the correlation coefficient (0.78) is low,
205 the most significant is the negative sign. This indicates that the greater existence of clinopyroxene
206 lower *CAI*.

207 **5. Analysis and Discussion**

208 Regression analyses were conducted to study the influence of the different chemical compounds
209 and petrographical properties of the andesitic samples on *CAI*. The statistical software
210 STATGRAPHICS Centurion XVI v16.2.04 (StatPoint Technologies, 2009) was used to perform the
211 statistical analyses.

212 **Table 5.** Correlation matrix between CAI, minerals and EQC.

	Cerchar (CAI)	Plagioclase (%)	Clinopiroxene (%)	Amphibole (%)	Iron ore (%)	Cryptocrystalline material (%)
Plagioclase (mm)	0.87					
Plagioclase (%)	0.83	1				
Clinopiroxene (mm)	0.14					
Clinopiroxene (%)	-0.78	-0.96	1			
Amphibole (mm)	-0.07					
Amphibole (%)	-0.11	0	-0.15	1		
Iron ore (%)	0.29	0.2	-0.27	0.15	1	
Cryptocrystalline material (%)	0.04	0.11	-0.33	-0.01	0.07	1
EQC (%)	0.40	0.42	-0.14	-0.41	-0.19	-0.69

213
 214 A simple linear regression was carried out between *CAI* and the every chemical compound /
 215 petrographical property. Those regressions may be mathematically transcribed as:

$$216 \quad CAI = \alpha \cdot X_i + \beta \quad (2)$$

217 where X_i indicates the chemical compound / petrographical property (e.g. SiO₂, FeO, Plagioclase
 218 content, Amphibole grain size) and α and β are the linear regression coefficients (being the former
 219 the slope and the latter the intercept) which are listed in **Table 6**. Besides, that table contains, for
 220 each analysis, the coefficient of determination (R^2) as well as the residuals *p-value* (probability
 221 value). Assuming 5% as significance level (as is commonly accepted), results with a *p-value* lower
 222 than 0.05 might be considered to be statistically significant at a confidence level of 95%.

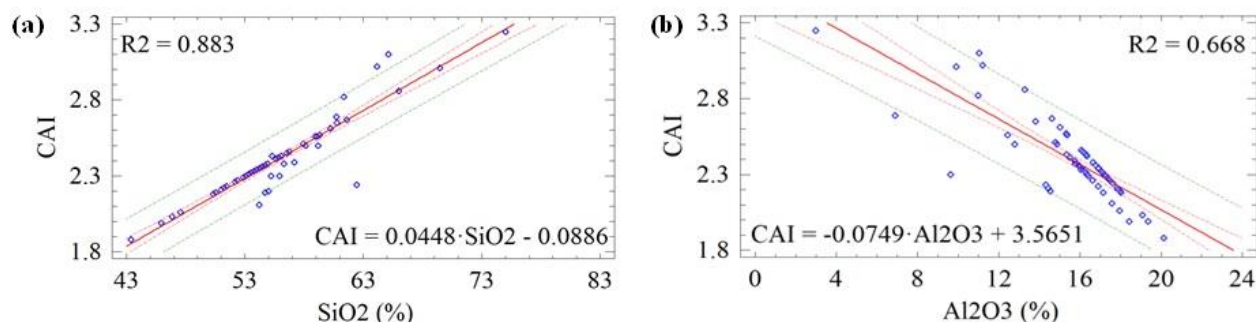
223 The higher correlation between *CAI* and chemical compounds were found for SiO₂ (coefficient of
 224 determination of 88.3%) and Al₂O₃ (66.8%). **Fig. 5** displays graphically these relations. It should be
 225 noted that according to *p-values* chemical compounds TiO₂, MnO, MgO, Na₂O and P₂O₅ appear not
 226 to be statistically significant (even though, in the case of P₂O₅ coefficient of determination is
 227 considerable higher compared to the other mentioned compounds). Results obtained could be
 228 compared with what was recently reported by Er and Tugrul (2016b), who studied the influence of
 229 chemical compounds on *CAI* for the granitic rocks of Turkey. Those authors found that SiO₂, Al₂O₃
 230 and Fe₂O₃ were the compounds which presented the highest correlation with *CAI*, reaching a R^2

231 value of around a 42%, a similar value to that obtained here for Fe₂O₃, but rather lower for SiO₂ and
 232 Al₂O₃ when compared with the results presented on this paper. This difference may be put down to
 233 the actual modal difference existing between granitic and andesitic rocks.

234 **Table 6.** Simple linear regression results.

	α	β	R ²	p-value
<i>Chemical compounds</i>				
SiO ₂	0.0448	-0.0886	0.883	0.000
TiO ₂	0.1159	2.2972	0.012	0.349
Al ₂ O ₃	-0.0749	3.5651	0.668	0.000
Fe ₂ O ₃	-0.1328	2.9382	0.481	0.000
FeO	-0.0261	2.5209	0.082	0.014
MnO	-0.0822	2.4444	0.041	0.087
MgO	0.0316	2.2947	0.013	0.337
CaO	-0.0225	2.6019	0.090	0.010
Na ₂ O	-0.0271	2.4853	0.039	0.090
K ₂ O	0.0516	2.2742	0.072	0.022
P ₂ O ₅	0.5879	2.2802	0.499	0.552
<i>Modal compounds</i>				
Plagioclase	0.0305	0.6360	0.688	0.000
Clinopyroxene	-0.0261	3.1319	0.604	0.000
Amphibole	-0.0242	2.4696	0.013	0.332
Iron Ore	0.0981	2.3422	0.082	0.014
Cryptocrystalline material	0.0062	2.3258	0.002	0.728
<i>EQC (%)</i>	0.2839	-5.9884	0.169	0.000
<i>Grain size</i>				
Plagioclase	1.8243	0.4447	0.875	0.000
Clinopyroxene	0.1607	2.3067	0.020	0.232
Amphibole	-0.0863	2.4327	0.005	0.553

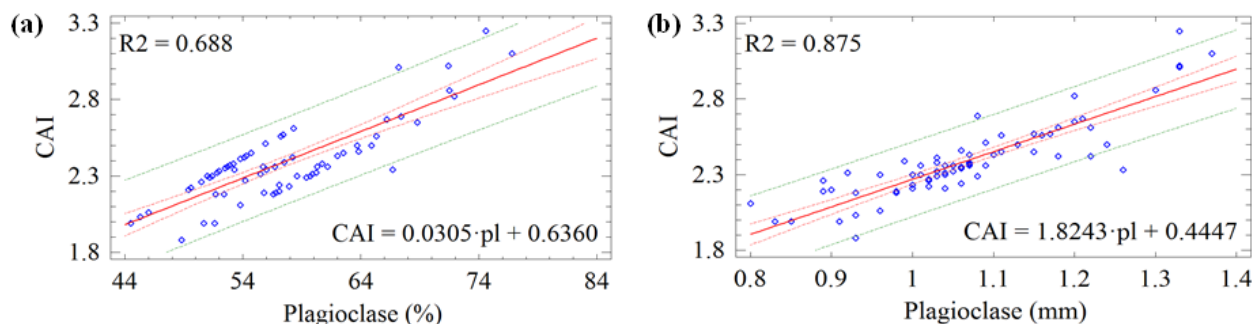
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236

237 *Fig. 5. Simple linear correlation on chemical compounds: a) relation between CAI and SiO₂; b) relation between CAI*
 238 *and Al₂O₃. Red and green dotted line indicate confidence interval and prediction interval, respectively, for a 95% level*
 239 *of significance.*

240 Regarding petrographical properties (modal compounds and grain size), the higher correlation were
 241 found for grain size - plagioclase (coefficient of determination of 87.5%) and modal compound -
 242 plagioclase (68.8%). **Fig. 6** displays graphically these relations. According to p-values, minerals
 243 amphibole and cryptocrystalline material appear not to be statistically significant in terms of modal
 244 compound and minerals clinopyroxene and amphibole appear not to be statistically significant in
 245 terms of grain size. If results are compared with those obtained by Er and Tugrul (2016b), who also
 246 studied the influence of petrographical properties on *CAI*, no match is observed in this case.
 247 Granitic rocks studied by those authors had Quartz as the main modal compound, and correlation
 248 between *CAI* and *EQC* produced a R^2 value of around 64%, which is rather higher than what was
 249 obtained for the andesitic rocks studied in this paper (16.9%). In this case, it is clear that the
 250 difference in the petrographical nature between granitic and andesitic rocks is the reason of such
 251 discrepancy in results. On the other hand, results obtained are in accordance with Alber (2008) who
 252 also established that there was no significant correlation between *CAI* and *EQC*.



253
 254 *Fig. 6. Simple linear correlation on petrographical properties: a) relation between CAI and modal compound -*
 255 *plagioclase; b) relation between CAI and grain size – plagioclase. Red and green dotted line indicate confidence*
 256 *interval and prediction interval, respectively, for a 95% level of significance.*

257 With the aim of improving correlation, a linear multiregression analysis was conducted. Following
 258 that, *CAI* may be expressed as:

259
$$CAI = \sum \alpha_i \cdot X_i + \beta^* \tag{3}$$

260 where X_i indicates the chemical compound / petrographical property (e.g. SiO₂, FeO, Plagioclase
 261 content, Amphibole grain size) and α_i and β^* are the linear regression coefficients (being the former
 262 the slope for each compound/property and the latter the intercept) which are listed in **Table 7**.
 263 Besides, that table contains, for each analysis, the coefficient of determination (R^2) as well as the
 264 compound/property *p-value*. Assuming 5% as significance level (as is commonly accepted), results
 265 with a *p-value* lower than 0.05 might be considered to be statistically significant at a confidence
 266 level of 95%. Those compounds/properties that produced a *p-value* higher than 0.05 were removed
 267 from the analysis, since those compounds/properties may be considered not to be statistically
 268 significant.

269 **Table 7.** Multiregressions results.

	α_i	β^*	R^2	p-value
<i>Chemical compounds</i>				
SiO ₂	0.0552	-1.3191	0.924	0.000
TiO ₂	-			-
Al ₂ O ₃	-			-
Fe ₂ O ₃	-			-
FeO	0.0261			0.000
MnO	-			-
MgO	0.0545			0.000
CaO	0.0244			0.000
Na ₂ O	0.0223			0.000
K ₂ O	0.0223			0.000
P ₂ O ₅	-			0.004
<i>Modal compounds</i>				
Plagioclase	0.0305	0.6360	0.688	0.000
Clinopyroxene	-			-
Amphibole	-			-
Iron Ore	-			-
Cryptocrystalline material	-			-
<i>Grain size</i>				
Plagioclase	1.8243	0.4447	0.875	0.000
Clinopyroxene	-			-
Amphibole	-			-

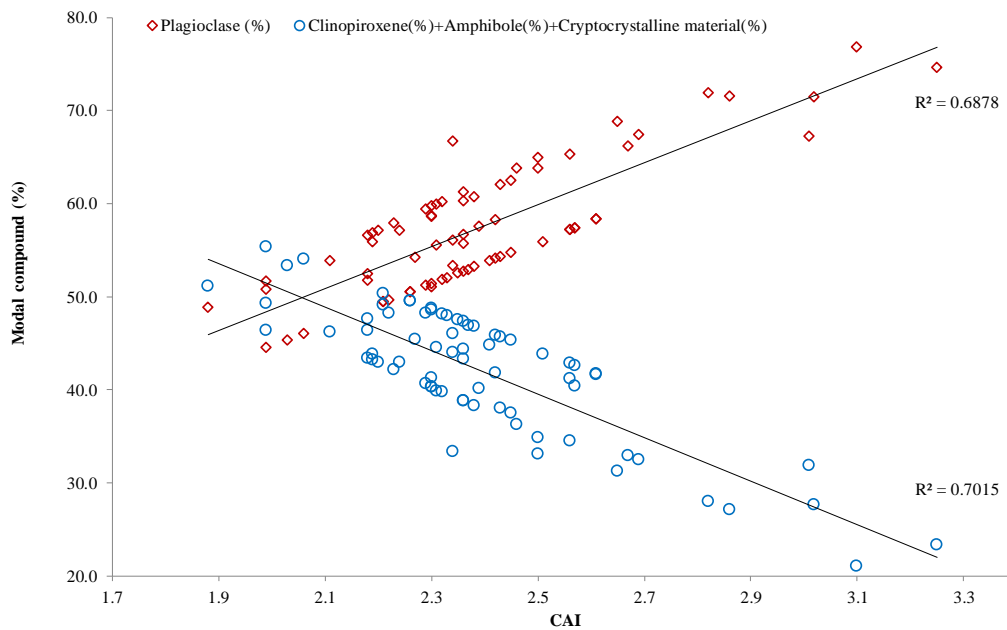
270
 271 When comparing results obtained using multiregression analysis with simple regression, it may be
 272 observed that the use of multiregression slightly improves the estimation of *CAI* based on chemical
 273 compounds. Coefficient of determination increases from 88.3% (best result obtained for simple
 274 regression for SiO₂) to 92.4%, and in the correlation equation take part SiO₂, FeO, MgO, CaO,
 275 Na₂O and K₂O compounds:

276 $CAI = 0.055 \cdot SiO_2 + 0.026 \cdot FeO + 0.055 \cdot MgO + 0.024 \cdot CaO + 0.022 \cdot Na_2O + 0.022 \cdot K_2O - 1.32$ (4)

277 It is interesting to note that Al_2O_3 resulted not to be statistically significant in this analysis, even
 278 though that compound reached the second highest R^2 when performing the simple regression
 279 analyses.

280 For the case of petrographical properties, every property except plagioclase (as modal compound
 281 and as grain size) showed not to be statistically significant. Therefore, no improvement was
 282 achieved by using multiregression analysis (note that values for α_i , β^* and R^2 are exactly the same as
 283 those obtained with a simple linear regression for plagioclase).

284 Eventually, if a graph comparing CAI vs. the modal compounds of the plagioclase and vs. the rest of
 285 the compounds (clinopyroxene, amphibole and cryptocrystalline material) is made (**Fig. 7**), the
 286 regression analyses conducted are confirmed. The correlation of CAI with plagioclase is positive so
 287 the more of this compound, the higher the value of CAI . On the other hand, the content of the other
 288 compounds tend to lower the CAI , as was noted in the statistical summary of the results.



289

290 *Fig. 7. Graph comparing CAI vs. the modal compounds of the plagioclase and vs. the rest of the compounds*
 291 *(clinopyroxene, amphibole and cryptocrystalline material).*

292 **6. Conclusion**

293 Relation between Cerchar Abrasivity Index (*CAI*) and the chemical compounds and petrographical
294 properties (modal compounds and grain size) of a series of andesitic rocks samples coming from the
295 central area of Ecuador was investigated. A total of 73 andesitic samples were subjected to XRF
296 analyses to find their chemical compounds. Modal compounds and minerals grain size were
297 obtained by preparing thin sections of each sample. *CAI* was computed by conducting a Cerchar
298 abrasivity test on each sample. Density, uniaxial compression strength and tensile strength of the
299 andesitic rock was also obtained to complete the geotechnical characterization of that material.
300 Several regression analyses were performed with the aim of establishing the significance and
301 relation that the different chemical compounds, the modal compounds and the minerals grain size
302 might have on *CAI*.

303 From the results obtained it may be concluded:

- 304 a) The andesitic samples resulted to be composed of mainly plagioclase (nearly 60%), with
305 some content in clinopyroxenes (around 30%) and some traces of amphibole and iron ore.
306 Chemically, the samples mainly consist of SiO_2 (ca. 50%) with some content in Al_2O_3 and
307 CaO , and traces of other compounds such as Fe_2O_3 , MgO and P_2O_5 . Regarding physical and
308 mechanical properties, density of andesitic rock samples was found to be about 25 kN/m^3 ,
309 uniaxial compression strength 177 MPa and tensile strength 9 MPa. *CAI* achieved an
310 average value of 2.39.
- 311 b) The Cerchar tests showed that there is about 30% chance of scratching amphiboles and
312 clinopyroxenes, approximately 60% of plagioclase and 10% of matrix, and this agree with
313 the distribution of minerals in the thin sections.
- 314 c) The correlation matrix between *CAI*, minerals and EQC shows that the *CAI* values with the
315 plagioclase are logical in content and size of the crystal. Also with amphibole, but it does
316 not have seemingly sense the variation that the clinopiroxeno presents. However, although

317 the value of the correlation coefficient (0.78) is low, the most significant is the negative
318 sign. This indicates that the greater existence of clinopyroxene lower *CAI*.

319 d) A strong linear correlation was found between *CAI* and SiO_2 (R^2 equal to 88.3%), as well as
320 between *CAI* and plagioclase grain size (R^2 equal to 87.5%).

321 e) A no clear relation was found between *CAI* and *EQC* (Equivalent Quartz Content).

322 f) Relation between *CAI* and plagioclase content was found not to be strong (R^2 equal to
323 68.8%). Similarly, correlation between *CAI* and Al_2O_3 or Fe_2O_3 was also rather weak (R^2
324 equal to 66.8% and 48.1%, respectively). Especially, it is interesting to mention that the two
325 oxides were found not to be statistically significant when performing a multiregression
326 analysis between *CAI* and chemical compounds.

327 g) An estimation of *CAI* for the andesitic rocks of central Ecuador may be performed using the
328 linear regressions obtained in this paper for plagioclase grain size and/or the content in SiO_2 ,
329 FeO , MgO , CaO , Na_2O and K_2O compounds (multiregression). The use of those relations
330 will enable an easy and fast estimation of *CAI* without the necessity of performing any
331 Cerchar abrasivity test.

332 h) Comparison of *CAI* vs. the modal compounds of the plagioclase and *CAI* vs. the rest of the
333 compounds shows that while plagioclase results in a clear positive influence on *CAI*, the
334 content of the other compounds tend to lower the index.

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