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Additional Information

1 Application of a combination of digital image processing and 3D visualization of
2 graffiti in heritage conservation.

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10

11 **Abstract**

12 In recent years, heritage documentation processes have largely benefited from the
13 application of both 2D imagery analysis and 3D techniques for recording and
14 visualization of assets. In this paper, a combined 2D-3D methodological workflow,
15 especially helpful for the documentation of graffiti on closed and narrow spaces, is
16 presented. It is proposed, firstly, the use of structure from motion software to obtain the
17 3D model and texture information. Then, the use of decorrelation stretching algorithms
18 is used to obtain enhanced textures. This study found that the performance of the
19 algorithms usually recommended for enhancement of the different colours is
20 sometimes suboptimal. Finally, the integration of 2D and 3D information into Blender, a
21 powerful 3D open-source tool, allows for a detailed exploration of the areas containing
22 graffiti. Additionally, it allows high quality rendition of the resulting model that helps to
23 better understand and record heritage resources. This methodological approach has
24 been applied to the military defense heritage site of Puig-Carassols trench line in
25 Spain.

26 **Keywords:** Image enhancement; 3D modeling; Heritage documentation; Decorrelation
27 stretching; Blender

28 **1. Introduction**

29 In the late 1930s all Spanish territory became a huge war laboratory where some of
30 the world military powers were testing new weapons and strategies to prepare for their
31 participation in a major conflict. For this reason, the Spanish Civil War is probably one
32 of the most studied armed conflicts, apart from the Second World War (Pérez-Juez et
33 al., 2004).

34
35 The large duration of the Spanish strife, added to the difficulties inherent to the
36 terrain where the main battles took place, caused military strategies to include the use
37 of trench defensive lines. As a result, a large number of military sites of this type can be
38 found at present in Spain. They define a valuable cultural heritage in need of
39 preservation. Unfortunately, most local and regional administrations have shown little
40 interest in conservation actions and, only very recently, after the promulgation by the
41 Spanish Government of the Law of Historical Memory in 2007, some interesting
42 initiatives were promoted. The *Consell Valencià de Cultura* (CVC), a cultural institution
43 belonging to the Government of Valencia, has started one such program aiming to
44 manage, protect and preserve this heritage for the benefit of future generations
45 (Consell Valencià de Cultura, 2004). According to the CVC, the first task to be done is

46 an exhaustive inventory and documentation process that clarifies the location and
47 current state of conservation of the sites. The present paper applies the latest digital
48 information recording techniques to this process of heritage documentation, focusing
49 mainly on the detection and analysis of areas affected by graffiti caused by acts of
50 vandalism.

51
52 In recent years, the documentation process has benefited from 2D image analysis
53 techniques and 3D recording and visualization techniques (Stefani et al., 2014). On
54 one hand, the use of 3D content derived from the cultural heritage domain has
55 experienced a dramatic increase (Koutsoudis, 2014). On the other, the application of
56 image enhancement techniques has become standard in any study on heritage
57 conservation (Le Quellec et al., 2015). The combination of both, used only in the very
58 last years, offers excellent advantages over the traditional methods. One example of
59 these advantages can be seen in Domingo et al. (2013), where the integration of 2D
60 image analysis and 3D visualization techniques in rock art documentation produces
61 reduction of subjectivity in interpretation as well as increase in graphic and metric
62 precision and enhancement of the contextual analysis of motif relationships.

63
64 In this paper, we present a workflow methodology for the 2D-3D combination that
65 proves to be especially helpful for the case of detection of graffiti in closed and narrow
66 spaces, such as a bunker with a large tunnel within the Puig-Carassols trench line.
67 Under these conditions, a 3D recording system like terrestrial laser scanner (TLS) is
68 not suitable because of the limited space, but especially because this technique is not
69 able to capture the high-resolution textures of graffiti. Instead, we have used Agisoft
70 Photoscan, a well-known Structure From Motion (SFM)-based software tool, which is
71 able to generate 3D models from standard pictures (Verhoeven, 2011). Once the 3D
72 data have been recovered, the original pictures are used to create a blending texture
73 that is processed in ImageJ using its DStretch plugin for decorrelation stretching (DS).
74 Finally, all this information, both the 2D processed texture and the 3D model, is
75 integrated into a 3D visualization tool, such as Blender. This allows for a detailed
76 exploration that permits the location of the affected areas (graffiti, degradation zones,
77 etc.). For a deeper exploration, a specific 3D scene organization is allowed, therefore
78 additional parameters such as cameras, illumination sources and materials can be set
79 up in order to generate suitable renditions that improve the documentation process
80 and, further, may help to analyse which of the DS algorithms is better for delineating
81 the affected areas.

82 83 **2. Materials and methods**

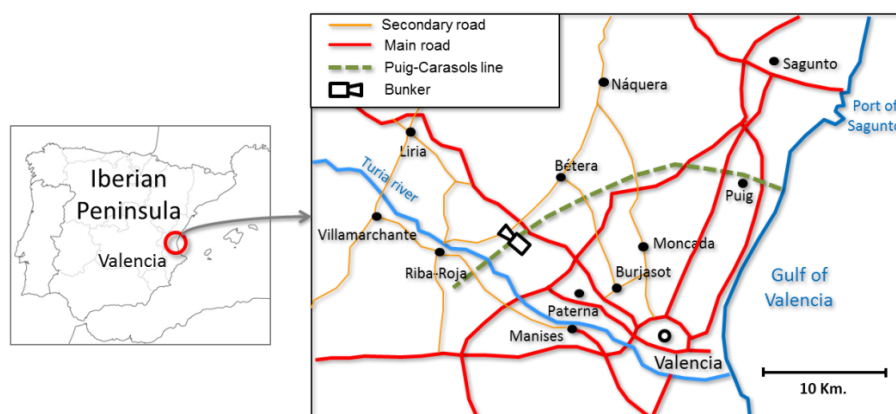
84 Any conservation project requires 2D-3D data collection, organization and analysis.
85 This section describes the materials and methods used for the acquisition of 3D data
86 by image-based techniques, 2D information by high resolution photography and the
87 combined processing of all this information within a 3D visualization environment to
88 facilitate the 3D analysis in context.

89 90 *2.1. Site selection*

91 As said in the introduction, the interest in preservation of military vestiges of the
92 Spanish Civil War has increased in recent years. The Valencian Region is one of the
93 most appealing. As capital of the Spanish Republic during almost all war years,
94 Valencia suffered the pressure of some of the last battles. For this reason, the
95 Republican government decided to build several defensive lines for the city and its
96 surroundings, like the Puig-Carassols line, composed by a 26 km-long succession of
97 trenches, bunkers, tunnels, machine gun emplacements that forms an arc-shaped
98 fortification system about 12 km northwest of the city of Valencia.

99 The construction of the Puig-Carassols line began in the summer of 1938 and
100 lasted until early 1939 and was intended to be the last bulwark to stem a possible direct
101 attack of the national troops to the city of Valencia. Nevertheless, it was never used
102 due to the final republican surrender in 1939. Its location, depicted in Fig. 1, was
103 decided to be a few kilometers off the city in order to control the north, north-west and
104 west routes to Valencia (Durbán, 2009 and 2011).

105 In this paper, the case of a bunker located at the Paterna sector has been studied.



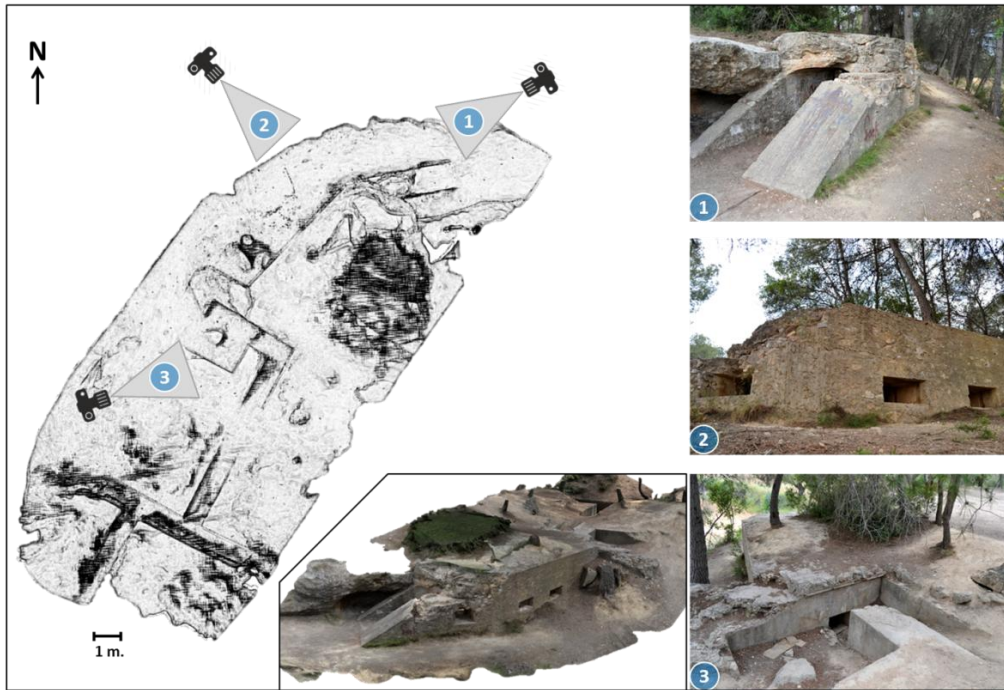
106

107 Fig. 1. The Puig-Carassols trench line (adapted from Durbán, 2009), and location of the studied bunker in
108 Paterna.

109 The main features of this bunker are described next and depicted in Fig. 2:

- 110 - It is a large concrete structure consisting of two main parts: an outdoor zig-zagging
111 trench in the south-west and a narrow tunnel with a big entrance in the north-east.
112 - This structure has a 2 cm armor, 70 to 80 cm of thickness cover, and 60 to 80 cm of
113 thickness walls to withstand 50 kg bombs and heavy artillery. Vestiges of round
114 timber formwork and temporary shoring masonry walls are also found (Frasquet,
115 2015).
116 - The tunnel has three machine-gun loopholes pointing to the north-west, and at
117 present it is partially filled with ground and stones.
118 - Graffiti are the more visible degradation pathologies. They have been caused by
119 acts of vandalism.

120



121

122 Fig. 2. Outside bunker plot showing different pictures and 3D view. View 1: main entrance; view 2: lateral
 123 view with gun-ports; view 3: back structure.

124

125 *2.2. 3D data collection*

126 Traditionally, surveying techniques methods have been used in a large range of 3D
 127 documentation works for heritage applications. In recent years, however, practice has
 128 witnessed their increasing replacement by more image-based methods. This is mainly
 129 due to the relatively simple and low-cost workflow needed to create a high quality 3D
 130 model from photographs. Additionally, clearance requirements, like in the tunnel of the
 131 bunker at hand, make it very complicated to use TLS or surveying methods.

132 *2.2.1. 3D photomodeling*

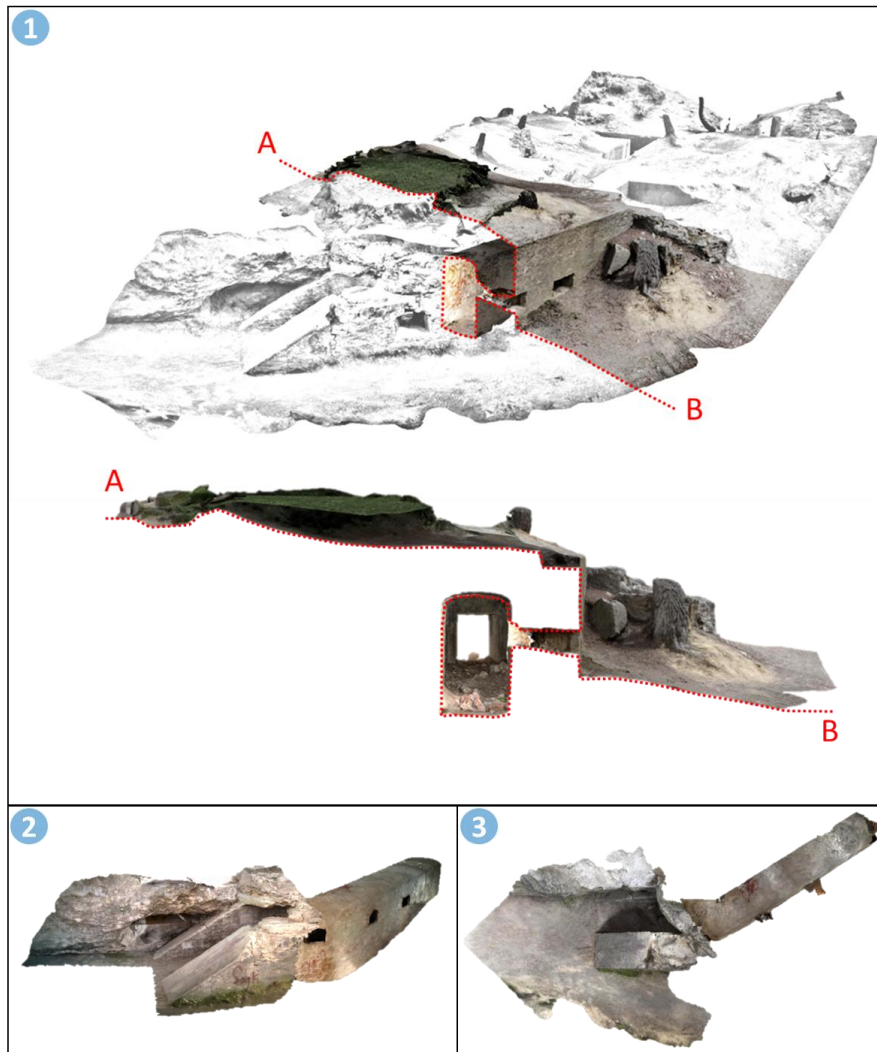
133 Among the existing software that use SFM for 3D reconstruction, we have worked
 134 with Agisoft Photoscan. SFM methods use a number of undetermined and unordered
 135 photographs of the same object taken from several points of view in order to obtain a
 136 3D point cloud by comparison of homologous information in different photographs
 137 (Robertson & Cipolla, 2009). Several authors have evaluated this tool and conclude
 138 that Photoscan is a cost-effective accurate method for the recording of archaeological
 139 data (Doneus et al., 2011; Remondino et al., 2012, Koutsoudis et al., 2014).

140 The usual workflow in Photoscan consists of: photographic shot preparation and
 141 on-site shooting session, photographic orientation, 3D point cloud generation, 3D mesh
 142 reconstruction and texture projection.

- 143 - Photographic shots. A DSLR Nikon D3100 camera at 14.2MP with 18-55 mm lens
 144 has been used along with a tripod. The presence of narrow sites like the tunnel has
 145 required taking special care of illumination and shooting angle in indoor conditions
 146 (taking photos as perpendicular as possible to the target, with overlapping

147 percentage over 70% and using diffuse lightning). For the terrestrial photo shooting
148 of the bunker a total number of 495 photos have been taken. Additionally, 49
149 surveying control points have been measured using a Trimble 5700 geodetic GPS
150 receiver with a Zephyr antenna in order to assess the accuracy of the model (to the
151 level of accuracy provided by the GPS: 5mm + 1 ppm in 1 sigma).

- 152 - Photographs orientation. Photoscan allows for manual camera calibration, but in
153 most situations, calibration data are extracted from the EXIF metadata for each
154 photograph. Then, the calibration parameters and the 3D position of each image
155 are known.
- 156 - 3D point cloud and mesh generation. Photoscan uses calibration parameters and
157 depth map analysis to determine a set of 3D points. The total number of points has
158 been 23.387.577 (high density mode). Concerning the mesh, a model of 515.143
159 faces and 260.152 vertices has been obtained.
- 160 - Georeferencing. After the georeferencing process using all surveyed control points
161 the XYZ mean square error has resulted in 0.028 m.
- 162 - Texture projection onto the mesh. Finally, the software permits taking a selection of
163 suitable images for creating the texture. In this case (a narrow and long corridor)
164 the texture mapping method used has been “adaptive orthophoto” along with the
165 “mosaic” blending parameter. This combination offers good results and better
166 preserves the details of the textures. In the case at hand, a texture of 4096 x 4096
167 pixels was created.
- 168 - 3D model selection. Once all previous processes have been finished, extraction of
169 a partial model was decided. It includes the most interesting areas of the bunker
170 (north-east entrance and tunnel) and contains the majority of the graffiti (Fig. 3).



171

172
173

Fig. 3. Different views showing the cross section of tunnel (1) and two views of the studied 3D partial model: isometric view (2) and top view (3).

174 *2.3. Texture processing*

175 3D model generation along with texture projection offers to experts the possibility of
 176 studying deeply 2D information in a 3D environment. This approach has some
 177 advantages over traditional methods in heritage documentation and conservation:
 178 subjectivity reduction, increase of graphic and metric precision, and, specially, the
 179 possibility to analyse the relationships among the different features, whether in rock art
 180 motifs, graffiti, petroglyphs, erosion or any other kind of pathologies (Domingo et al.,
 181 2013).

182 In heritage conservation applications, image enhancement techniques are often
 183 performed over high resolution images focused on specific details. The aim is to
 184 enhance the information for a better visual identification or as a preprocessing phase
 185 for classification purposes.

186 We have used enhancement techniques in a preliminary phase of visual exploration
 187 in order to identify problematic zones (chiefly graffiti in our case). Therefore, image
 188 enhancement has been performed not on each individual photo but on the whole

189 texture attached to the 3D model, enabling us to locate the interesting areas in a 3D
190 context more effectively.

191 2.3.1. Decorrelation Stretch

192 Image enhancement is usually executed in post-processing mode by using some of
193 the existing tools; some of them come from the field of photography (Photoshop or
194 Gimp), others from the remote sensing field (ImageJ, Erdas, Envi or Hypercube).
195 Although all of them represent valuable tools for this purpose, they require a relatively
196 high degree of knowledge on image processing and, in most cases, they have a strong
197 dependence on the operator's skills.

198 Among the different image enhancement algorithms used in heritage
199 documentation, decorrelation stretch (DS) has proved to be extremely powerful to
200 emphasize faint details in photographs (Le Quellec et al., 2015, Rogerio-Candelera,
201 2015). The DS algorithm was developed by Gillespie et al. (1986) and adapted by
202 Harman (2005) for rock art enhancement. Basically, DS is able to transform a
203 multiband image highly correlated into a new image with no correlation among their
204 bands. This guarantees the maximization of differences among pixels with similar
205 values and allows for a better observation of details.

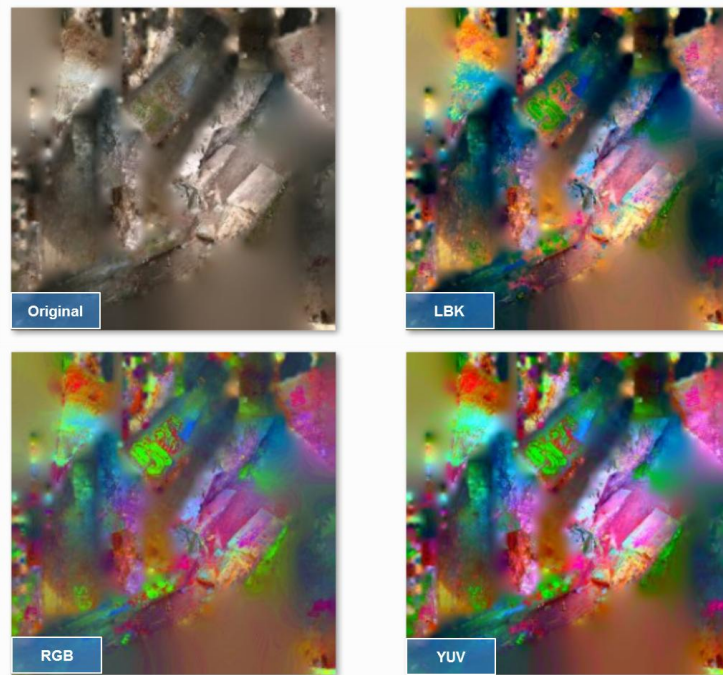
206 The DS algorithm has been implemented as an almost fully operator independent
207 plugin (DStretch) in ImageJ software (Harman, 2005), which allows the obtaining of
208 different results through a range of preset parameters that permit the changing of
209 aspects like output colour space, contrast level, etc. Each of these algorithm variants is
210 recommended for a particular pigment colour enhancement (see Table 1), and has
211 been tested in many rock art painting analyses (Collado et al., 2009; Domingo et al.,
212 2013 and 2015; Cerrillo-Cuenca and Sepúlveda, 2015; Le Quellec et al. 2015).

213 Table 1. Proposed set of algorithms for each type of pigment (source: DStretch plugin information)

Pigment colour	Proposed algorithm
Black	LAB, LBK, LBL, YBK, YBL
Red	CRGB, LRD, LRE, RGB0, YBR, YDT, YRD, YRE
Yellow	LDS, LYE, YDS, YDT, YYE
Green	YBG
Blue	YBK
White	LAB, LWE, YWE
General purpose	LAB, LDS, RGB, YDS, YUV

214

215 We processed the original 3D model texture and obtained 23 new textures, some of
216 which are shown in Fig 4. Once obtained, the best way to quickly compare results is
217 importing both the 3D model and the processed textures into a 3D environment.



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Fig. 4. Some samples of texture processing applying DS algorithms variants.

220

2.4. Analysis in a 3D environment

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Analysis of the enhanced textures within a 3D environment permits the easy identification and study of relationships of the areas of interest. To achieve this, both the 3D model obtained in the photomodeling phase and the enhanced texture have been imported into a 3D software tool. In the present study, we have used Blender, the well-known open source application in the field of 3D design. Blender has a very powerful set of tools for modeling, lighting, animation, rendering and video editing, and has been successfully used in the field of heritage conservation for tasks like virtual reconstruction and anastylosis (Acka, 2012; D'Andrea et al., 2012; Fabregat et al., 2012). We describe in detail the successive steps in what follows.

230

231

232

- Importing the 3D model. The 3D model created in PhotoScan has been exported using the Collada export format (Arnaud and Barnes, 2006), which is supported in Blender and allows for the attachment of textures and animations.

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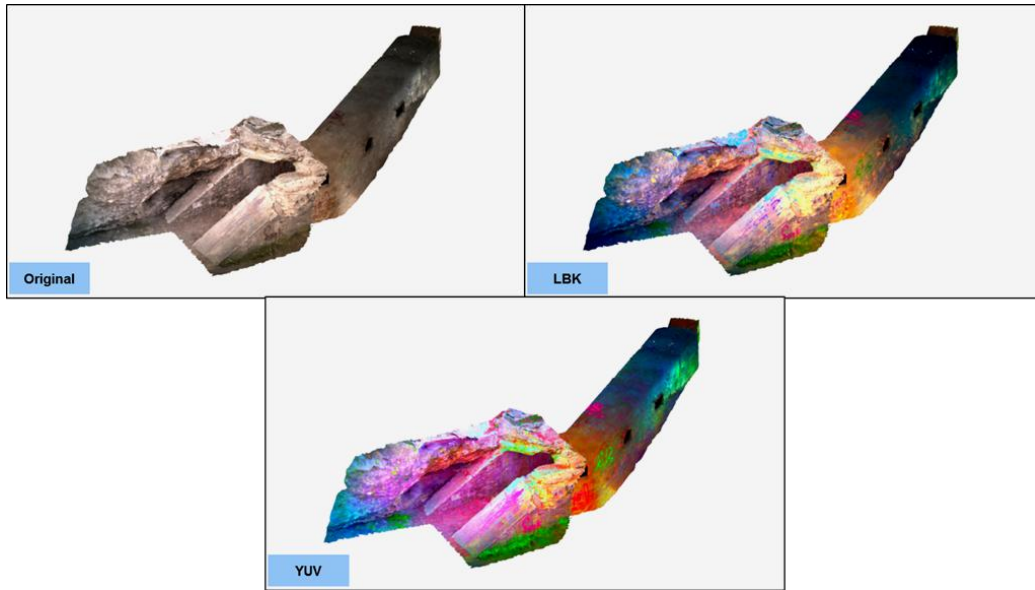
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- Material settings. 3D models are called “meshes” in Blender. A mesh contains only geometry (set of vertices, edges and faces) but a texture can be attached to it through the definition of a mesh’s material that contains all the necessary texture features (type of texture, colour, specular, etc.). In the present case we used an image texture. Blender presents a visual interface called “node editor” that makes it easy to modify texture mapping and visualization. By using this tool we can easily change the texture file attached to the mesh in order to display each of 23 resulting textures obtained after the decorrelation stretch. Some of them are displayed in Fig. 5.

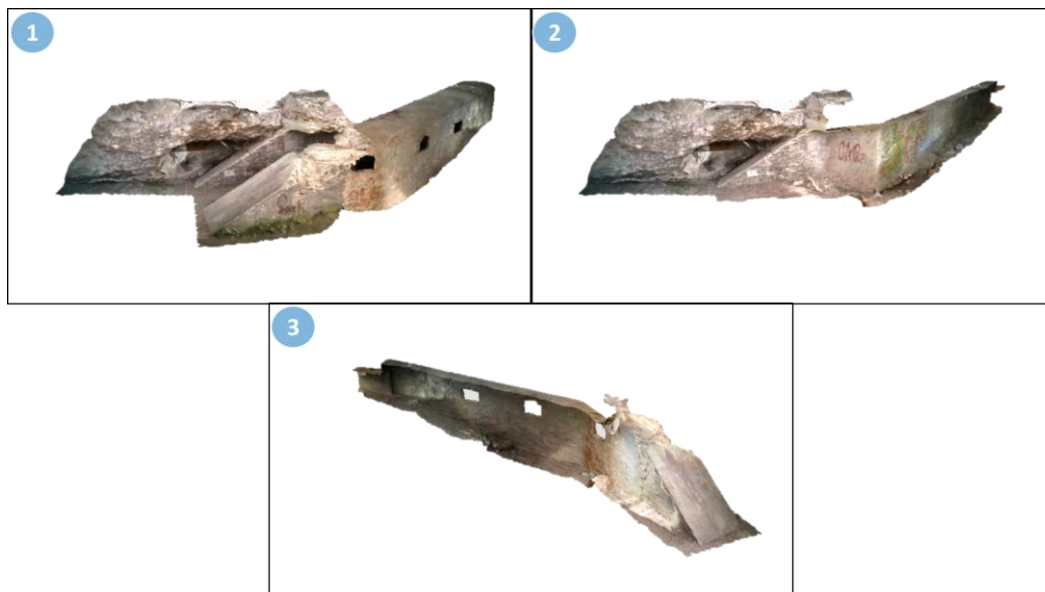


242

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Fig. 5. Application of several DS textures to the 3D model in Blender

244 - Lighting settings. Blender is able to handle several light sources of different types.
 245 In general, global lighting may be enough to visualize a texture correctly, but in
 246 some cases, as the bunker at hand, additional lamps may be needed for closed
 247 spaces (tunnel) in order to achieve a better visualization. This case may turn out to
 248 be problematic inasmuch as several areas of the texture may be lit with different
 249 intensities, which is not desirable for an acceptable interpretation. To overcome this
 250 problem, division of the original 3D geometry in four submodels was decided in
 251 terms of position: upper-left and upper-right models and lower-left and lower-right
 252 models. In this way, after switching on only the desired submodel and using a
 253 global lighting, we achieve uniform and well-illuminated textures (Fig. 6), which
 254 allow for a quick exploration of the bunker in order to examine the areas of interest.



255

256 Fig. 6. General view (1) and several partial views after switching on the desired submodels: left (2) and
 257 right (3) bunker sides.

258 - Camera settings. Since the first 3D exploration permits only a shallow visual
 259 analysis for determining potential problematic areas, a more detailed study is
 260 needed in order to compare the different results of the DS algorithms over the
 261 original texture. In this case we have set up seven cameras, adequately located
 262 and orientated perpendicularly to the texture plane (Fig. 7). Thus, we obtain high
 263 quality renditions of every area for a later analysis.



264

265 Fig. 7. Camera settings. Location and view angle are adjusted to cover the seven areas of interest (1 to 7).

266 - Rendering. In computer graphics, rendering is the process of generating an image
 267 from a scene that contains 2D or 3D information (Ignatenko et al., 2004). The
 268 scene may also contain additional elements like lighting, textures, shadows or
 269 cameras. The process considers all these parameters in rendering the colour of
 270 every pixel for the composition of the final, realistic image. In our case, we needed
 271 a total of 161 renditions (23 renders for each of the seven areas). Rendering is
 272 usually a high cost process that depends on parameters like quality, resolution,
 273 shadow casting, etc. We decided to automate this task by making use of the
 274 Blender built-in Python API to programming a script that loops through the 23 DS-
 275 textures, loads each texture into the mesh material, performs the rendering and
 276 saves the final render to an image in the hard disc.

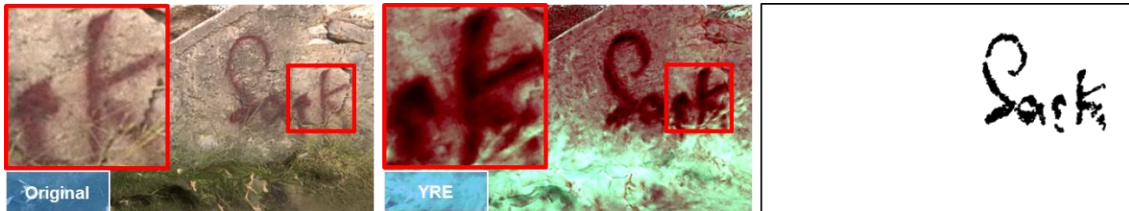
277 **3. Results**

278 We have used so far the visual 3D analysis as a tool to determining potential
279 problematic areas; hence, 23 renditions were obtained for each area. In some cases,
280 the original texture is enough for the expert to appreciate the features (e.g. graffiti in
281 Fig. 7, area 1). In other cases the use of a DS algorithm is needed for enhancement
282 (e.g. Fig. 7, areas 2 and 4). The particular analysis of each of these areas of interest
283 along with the corresponding techniques used is explained next.

284

285 *3.1. Study of each area of interest*

- 286 - Area 1. This outside wall of the bunker has only one red colour graffiti. After
287 comparative analysis, we find that RGB0, LDS and YRE algorithms offer better
288 results, because they detect not only the graffiti borders but also the halo
289 surrounding the main traces. In particular, YRE allows for a better discrimination of
290 "r" letter (Fig. 8).

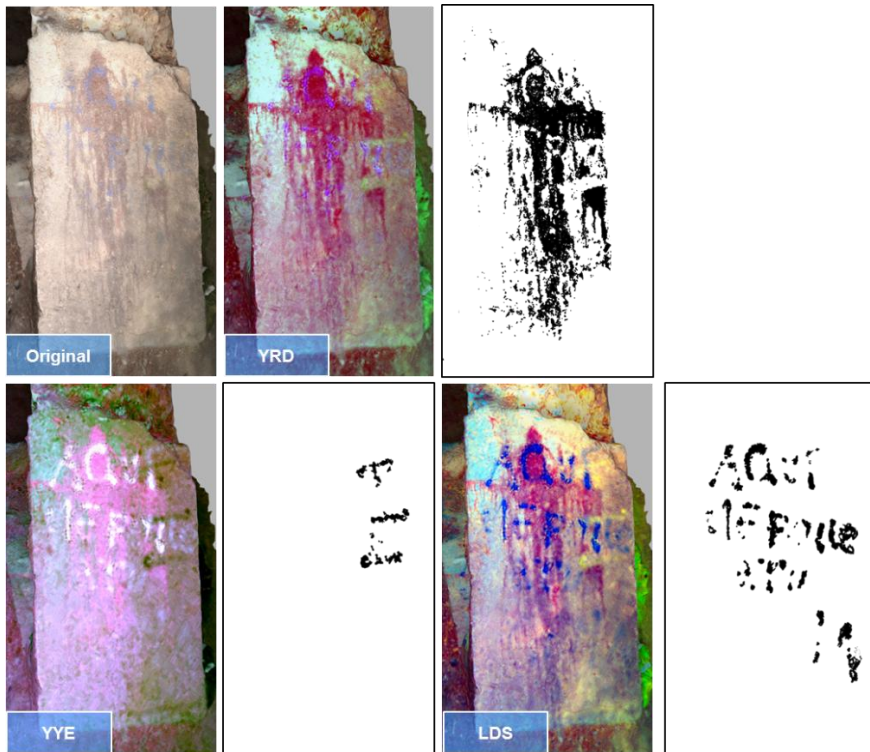


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Fig. 8. Area 1: original image, YRE algorithm and result of the tracing process.

- 293 - Area 2. Plain surface located on an inclined plane in the bunker entrance. This area
294 has a big cross-shaped red stain with two overlapping graffiti in blue and faint
295 yellow (Fig. 9). Here, the best algorithms for highlighting each of the three paintings
296 have been YRD, LDS and YYE, respectively.



297

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Fig. 9. Area 2: original texture, processed textures and tracings for the different paintings.

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- Area 3. Area inside tunnel containing two graffiti. One is the big graffiti with red colour borders and filled with green (we only have extracted borders), and the other is a little white signature in the upper-right corner. The best results have been obtained using RGB0 and LWE algorithms respectively (Fig. 10).



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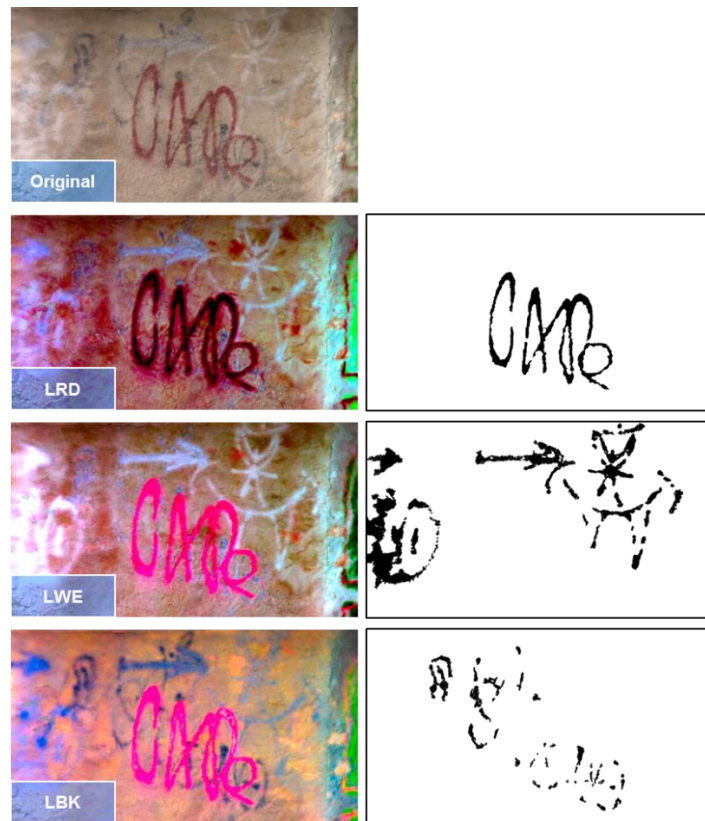
Fig. 10. Area 3: original texture, processed textures and tracings for the two different paintings.

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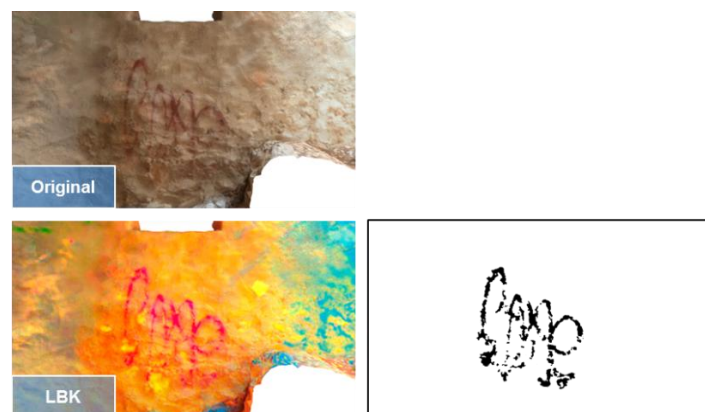
- Area 4. Area inside tunnel with three types of graffiti: a red colour signature, several white drawings and arrows, and some black drawings and letters. In this case, the

307 best results have been obtained by applying LRD, LWE, and LBK respectively (Fig.
308 11).



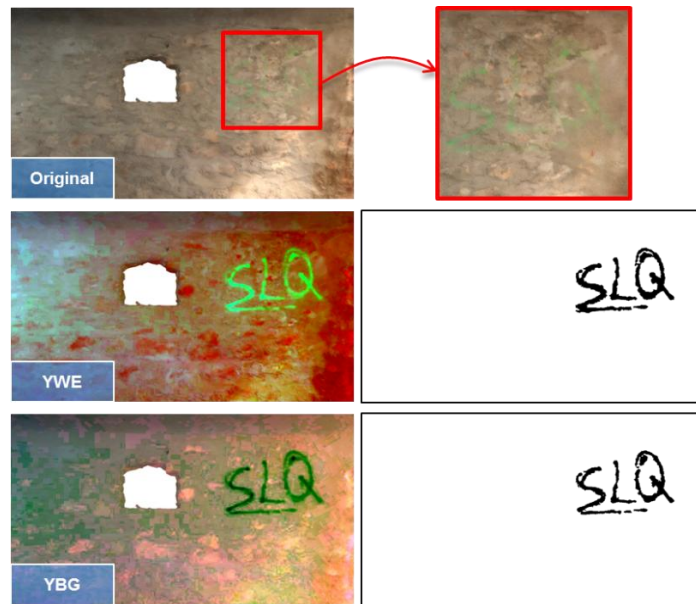
309
310 Fig. 11. Area 4: original texture, processed textures and tracings for three different paintings.

311 - Area 5. Red colour signature on an inside wall near the bunker entrance. The best
312 results here are obtained after application of the LBK algorithm (Fig. 12).



313
314 Fig. 12. Area 5: original texture, LBK processed texture and tracing.

315 - Area 6. Faint signature with green capital letters on an inside tunnel wall. The best
316 results are generated by YWE and YBG algorithms. It can be seen that the last one
317 offers additional information about the starting and ending points of traces (Fig. 13).



318

319

Fig. 13. Area 6: original texture and comparison between YWE and YBG algorithms.

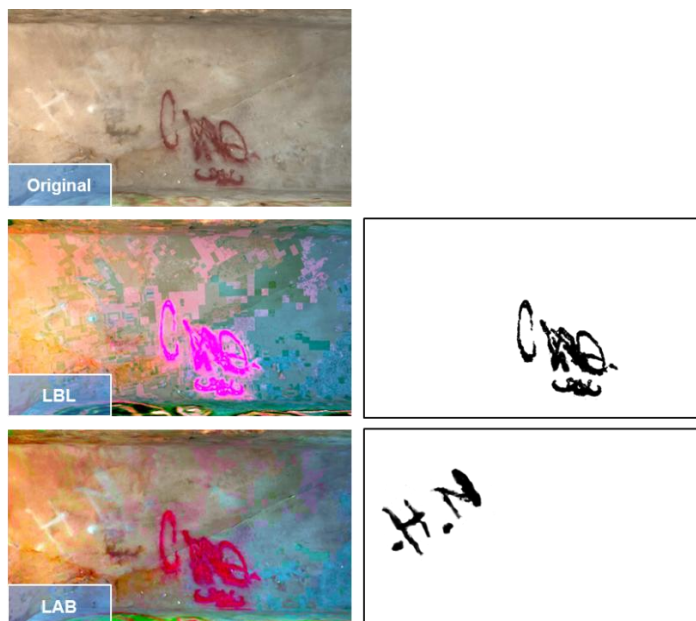
320

- Area 7. The last area of interest is also located inside the tunnel, this time on its ceiling. Two graffiti, both with very blurred parts, can be found: a red colour signature and a white colour graffiti with two capital letters. In this case, the best results are produced by LBL and LAB algorithms (Fig. 14).

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Fig. 14. Area 7: original texture, processed textures and tracings for the two different paintings.

326

4. Discussion

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As previously shown, the combination of 2D image analysis and techniques of 3D visualization offers the possibility of an integral, non-invasive study valuable for heritage documentation tasks. For this purpose, use of Blender has shown its potential for quick area inspection in a 3D context. Besides, the possibility of attaching several textures into the 3D model in real time and control capabilities over all aspects of

332 visualization (illumination, cameras, etc.) offer complementary advantages. Some of
 333 the most remarkable examples are the possibility of increasing the lighting intensity in a
 334 dark texture and the chance of obtaining a new point of view not captured in the
 335 original set of photographs.

336 Regarding the 2D analysis, DS algorithms have proved to be useful for image
 337 enhancement and, particularly, graffiti detection, allowing for a more detailed process
 338 of documentation. As experienced, the proposed algorithm in Table 1 works fine in
 339 most cases while in others we have found alternative better solutions (see Table 2).
 340 This is the case of areas 2, 5 and 7, where the best results were achieved with general
 341 purpose algorithms or algorithms recommended for other colours. Obviously, the
 342 recommendations were drawn from other authors' experience with the DStretch plugin,
 343 so that they are also dependent on the initial image conditions: quality, illumination, etc.
 344 (Le Quellec et al., 2015).

345 Table 2. Level of coincidence between the proposed and the best DS algorithm. The proposed algorithm
 346 column represents the set of algorithms suitable for a specific pigment: R, B, Y, W, K and G for all
 347 algorithms proposed for red, blue, yellow, white, black and green pigments, respectively.

Area of interest	Proposed algorithm	Used algorithm	Coincidence
1	R	YRE	Yes
2	R, B, Y	YRD, LDS, YYE	Yes, no, yes
3	R, W	RGB0, LWE	Yes, yes
4	R, B, K	LRD, LWE, LBK	Yes, yes, yes
5	R	LBK	No
6	G	YBG	Yes
7	R, W	LBL, LAB	No, yes

348

349 5. Conclusion

350 The application of image enhancement techniques has become common practice in
 351 current studies on heritage conservation. In this paper we have proposed the
 352 combination of 2D image enhancement techniques and 3D visualization tools in a
 353 workflow that has shown its benefits for preliminary studies leading to a better and
 354 quicker understanding of the overall current state of conservation.

355 The texture obtained after 3D modeling allows, once projected onto the mesh, for a
 356 thorough degree of analysis and visualization. Further, the possibility of making
 357 subdivisions of the 3D model (along with the user's choice of making visible or invisible
 358 any of the different parts of the model), combined with the ability to control the position
 359 and intensity of the lighting sources, allows for a better exploration of the model,
 360 without the need of texture reprocessing.

361 Regarding the image enhancement techniques, the fact that we work with the whole
 362 3D projected texture instead of independent pictures allows for a global understanding
 363 in quick visual analysis. This initial state can be complemented, when necessary, to
 364 improve focusing on particular details through the analysis of the original photographs
 365 or the addition of new ones taken under better conditions.

366 We have demonstrated that the use of DStretch algorithms, which produces a set of
 367 processed pictures to be projected as textures, is flexibly handled under Blender in real

368 time, allowing for quick visual comparisons. The high quality renders obtained in
369 Blender help to improve considerably the subsequent interpretation tasks. In this
370 regard, we have experienced that the recommended DS algorithm is appropriate for
371 most cases whereas there are some occasions where other methods perform better.
372 All in all, it has to be emphasized that performance of each enhancement technique
373 depends not only on the pigment colour but also on the particular conditions under
374 which the photography was taken.

375 **Appendix. Supplementary data**

376 The referred 3D bunker model is included in the *obj* supplementary file. An
377 interactive representation of the model with the different processed textures can be
378 found at <http://personales.upv.es/jpalomav/vallesa/bunker2.html>

379 **References**

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