

Contents

Acknowledgments	i
Abstract	ii
Contents	viii
List of Figures	x
List of Tables	xiv
Nomenclature	xv
Chapter 1 Introduction	1
1.1. Motivation and state-of-the-art	1
1.2. Thesis overview	4
Chapter 2 IM-DD Optical Transmission Systems	7
2.1. Transmitter components	7
2.1.1. Digital-to-analog converter	8
2.1.2. Modulator driver	13
2.1.3. Modulator and auxiliary components	13
2.2. Optical channel	21
2.2.1. Nonlinear Schrödinger equation	22
2.2.2. Fiber attenuation	23
2.2.3. Optical noise and the optical signal-to-noise ratio	23
2.2.4. Chromatic dispersion	25
2.3. Receiver components	27
2.3.1. Photodiode receivers	27
Chapter 3 Receiver digital signal processing	31
3.1. Feed-forward equalizer	32
3.2. Maximum likelihood sequence estimation	38
3.2.1. The Viterbi algorithm	39
3.2.2. Simulation and experimental analysis of MLSE performance	43
Chapter 4 DSP techniques for multilevel modulation formats	47
4.1. Multilevel intensity modulation generation	47
4.2. MLSE for multi-level PAM	49

4.3. Geometrical constellation shaping	52
4.3.1. Geometrical shaping for mitigating the effects of noise	53
4.3.2. Geometrical shaping for mitigating the effects of chromatic dispersion	60
4.4. Probabilistic constellation shaping	63
Chapter 5 Partial response signaling	68
5.1. Duobinary/polybinary signaling generation	68
5.2. Duobinary FFE equalization	70
5.3. Low-cost PAM solutions for 100 Gbps/lambda transmissions	73
5.4. Digital pre-emphasis	75
5.5. Partial response signaling for CD mitigation	80
Chapter 6 Trellis coded modulation	86
6.1. Construction of trellis coded modulation schemes	87
6.2. The 4D PAM-4 TCM scheme	90
6.3. The 4D PAM-5 TCM scheme	96
Chapter 7 Multidimensional multilevel set-partitioned modulation	105
7.1. Generation of multidimensional set-partitioned modulation schemes	106
7.2. The 3D PAM-8 multidimensional set-partitioning modulation	109
Chapter 8 Conclusions	116
Annex A. Publications	119
References	121