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Additional Information

1 **CALIBRATION OF THE INERTIAL CONSISTENCY INDEX TO ASSESS ROAD SAFETY ON**
2 **HORIZONTAL CURVES OF TWO-LANE RURAL ROADS**

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28 **ABSTRACT**

29 One of every four road fatalities occurs on horizontal curves of two-lane rural roads. To this regard, many
30 studies have been undertaken to analyze the crash risk on this road element. Most of them were based on
31 the concept of geometric design consistency, which can be defined as how drivers' expectancies and road
32 behavior relate. However, none of these studies included a variable which represents and estimates drivers'
33 expectancies.

34 This research presents a new local consistency model based on the Inertial Consistency Index (ICI).
35 This consistency parameter is defined as the difference between the inertial operating speed, which
36 represents drivers' expectations, and the operating speed, which represents road behavior. The inertial
37 operating speed was defined as the weighted average operating speed of the preceding road section. In this
38 way, different lengths, periods of time, and weighting distributions were studied to identify how the inertial
39 operating speed should be calculated.

40 As a result, drivers' expectancies should be estimated considering 15 seconds along the segment
41 and a linear weighting distribution. This was consistent with drivers' expectancies acquirement process,
42 which is closely related to Short-Term Memory.

43 A Safety Performance Function was proposed to predict the number of crashes on a horizontal
44 curve and consistency thresholds were defined based on the ICI. To this regard, the crash rate increased as
45 the ICI increased.

46 Finally, the proposed consistency model was compared with previous models. As a conclusion, the
47 new Inertial Consistency Index allowed a more accurate estimation of the number of crashes and a better
48 assessment of the consistency level on horizontal curves.

49 Therefore, highway engineers have a new tool to identify where road crashes are more likely to
50 occur during the design stage of both new two-lane rural roads and improvements of existing highways.

51
52 *Keywords: geometric design consistency, road safety, operating speed, inertial operating speed, driver's*
53 *behavior*
54

55 1. INTRODUCTION

56 Road crashes produced approximately 26,000 fatalities and more than 1.3 million injuries in the Member
57 States of the European Union in 2014. Excluding motorways, 55% of all road fatalities occurred on rural
58 roads (ERSO, 2016). In Spain, a similar percentage (51%) was observed on two-lane rural roads in 2015,
59 where one of every four fatalities occurred on horizontal curves (DGT, 2015).

60 Horizontal curves are a likely location to present crash concentration, so this is why several studies
61 have been focused on examining the crash risk at them.

62 Lamm et al. (1999) indicated that 25-30% of all fatal crashes occur on horizontal curves, whereas
63 Torbic et al. (2003) identified that most of these crashes concerned single vehicle run-off crash and head-
64 on collision. To this regard, Hummer et al. (2010) pointed out that two-lane curve collisions most often
65 involve only a collision with roadway or roadside features, which means safety countermeasures can have
66 a disproportionately positive impact on collisions. In addition, the number of road crashes tended to increase
67 as the radius of the horizontal curve decreased (Hauer, 1999 and 2000). In addition, Al-Masaeid et al. (1999)
68 recommended to avoid large deflection angles, since these were associated with sharp horizontal curves
69 without enough sight distance.

70 However, other authors highlighted that the characteristics of the preceding section had a great
71 influence on crash rates. It was demonstrated that if a sharp curve was located on a road segment with low
72 average curvature, crash risk increased significantly (Matthews and Barnes, 1988; Hauer, 2000). Related to
73 this, Findley et al. (2012) studied the influence of the preceding road section in terms of spatial
74 considerations (distance to adjacent curves, direction of turn of the adjacent curves, and radius and length
75 of the adjacent curves) on the crash risk on horizontal curves. As a result, the distances to adjacent curves
76 were found to be a reliable predictor of observed collisions. Later, Gooch et al. (2016) found that crash
77 frequencies are expected to decrease when adjacent curves are close and the magnitude of the crash
78 frequency reduction increases with the sharpness of the adjacent curves. Likewise, Practicò and Giunta
79 (2012) analyzed the influence of the preceding road section on operating speed of two-lane rural roads. As
80 a conclusion, speed prediction was more accurate considering the conditions of the preceding alignment.

81 Therefore, driver's behavior at a certain point of the alignment is influenced by the expectations generated
82 from the preceding road section.

83 In this regard, geometric design consistency is defined as how drivers' expectancies relate to road
84 behavior. The most common methods to assess geometric design consistency are based on the analysis of
85 the operating speed (Gibreel et al., 1999), which is frequently defined as the 85th percentile of the speed
86 distribution for passenger cars under free-flow conditions with no external restrictions (V_{85}). One important
87 advantage of using operating speed is the possibility to estimate it with models.

88 There are two types of consistency models: local and global. Local models focus on short road
89 sections, like a single road feature or a tangent-to-curve transition. Thus, sudden speed reductions or large
90 differences between the design and operating speeds are possible inconsistencies obtained from local
91 models. Those models are ideal to identify where road crashes are more likely to occur. On the other hand,
92 global consistency models examine the overall speed variation throughout an entire road segment. Although
93 they do not indicate where crashes are prone to take place, they can be introduced into a Safety Performance
94 Function (SPF) to predict the number of crashes on an entire road segment.

95 The most well-known local method was developed by Lamm et al. (1999). Two design consistency
96 criteria related to operating speed were proposed. Criterion I focuses on disparities between operating and
97 design speeds ($V_{85}-V_d$); whereas criterion II examines operating speed differences between successive
98 elements. Different consistency thresholds were defined for both criteria, distinguishing between good, fair,
99 and poor consistency based on average crash rates observed at several alignment layouts (Table 1).

100 **TABLE 1 Consistency model developed by Lamm et al. (1999)**

	Consistency level		
	Good	Fair	Poor
Criterion I	$V_{85} - V_d \leq 10 \text{ km/h}$	$10 \text{ km/h} < V_{85} - V_d \leq 20 \text{ km/h}$	$V_{85} - V_d < 20 \text{ km/h}$
Criterion II	$V_{85, i+1} - V_{85, i} \leq 10 \text{ km/h}$	$10 \text{ km/h} < V_{85, i+1} - V_{85, i} \leq 20 \text{ km/h}$	$V_{85, i+1} - V_{85, i} < 20 \text{ km/h}$

101
102 Although Criterion II has been incorporated into several road design guidelines, some authors
103 proposed the use of $\Delta_{85}V$, which was defined as the 85th percentile of the speed reductions, rather than ΔV_{85}
104 because this criterion underestimated the actual speed reduction (Misaghi and Hassan, 2005; Castro et al.,
105 2011; Bella and Calvi, 2013; de Oña et al., 2013).

106 Additionally, McFadden and Elefteriadou (2000) and Park and Saccomanno (2006) analyzed the
107 difference between Criterion II and the 85th percentile of the maximum speed reduction (*85MSR*), which
108 was calculated by using each driver's speed profile. The results showed that 85MSR was approximately two
109 times larger than ΔV_{85} . Therefore, Criterion II does not represent the actual speed reduction experienced by
110 drivers.

111 Regarding Criterion I, Wu et al. (2013) assessed the relationship between crash rate and design
112 consistency density (δ), which was defined as the sum of the differences between the operating speed and
113 inferred design speed on a certain road element and the road elements upstream and downstream. As a result,
114 design inconsistencies were more likely to occur as δ increased, i.e., the lower the consistency level around
115 a road element, the greater the risk of crash occurrence.

116 Other local consistency criteria were developed by Leisch and Leisch (1977) and Kanellaidis et al.
117 (1990). The first one proposed the following three criteria:

- 118 • The difference between design speeds of two consecutive road segments should not exceed 10 mi/h.
- 119 • The difference between the operating speeds for passenger cars of two consecutive road geometric
120 elements should not exceed 10 mi/h.
- 121 • The difference between operating speeds for passenger cars and trucks should not exceed 10 mi/h.

122 Likewise, Kanellaidis et al. (1990) suggested that a consistent road design could be achieved if the
123 operating speed difference between two consecutive road geometric elements was lower than 10 km/h.

124 However, none of these consistency models included the consistency concept in their formulation,
125 i.e., none embed a variable which represents and estimates drivers' expectancies, which do not only depend
126 on the characteristics of the preceding element, but rather on the features of the preceding road section.

127 To this regard, García et al. (2013) defined a new speed concept: the inertial operating speed (V_i).
128 This speed represents drivers' expectancies and was defined as the average operating speed of the preceding
129 1,000 m. Conversely, road behavior was associated with the operating speed (V_{85}). The Inertial Consistency
130 Index (ICI) was defined as the difference between V_i and V_{85} . Therefore, the larger this index, the greater
131 the difference between drivers' expectancies and road behavior, so crashes are more likely to result.

132 However, this definition of the V_i does not match the drivers' expectancies acquirement process,
133 which is related to Short-Term Memory (STM). To this regard, STM is gradually in decline as the driver
134 proceeds and the information is lost in approximately 18 seconds (Revlin, 2012).

135 Drivers do not recall with the same intensity all locations of the previous road section. Therefore,
136 the first and final parts of the section should not be considered equally to determine the inertial operating
137 speed. In addition, given two homogeneous road segments with different average operating speeds, the
138 periods of time needed to travel the same distance are different.

139 Recent studies have been developed to identify how the inertial operating speed should be
140 calculated on Italian two-lane rural roads (Llopis-Castelló et al., 2018a and 2018b). As a conclusion, an
141 inertial operating speed estimated as the weighted average operating speed based on time was able to better
142 represent drivers' expectancies than a V_i based on distance and calculated as a simple average of the
143 operating speed. In addition, a global consistency model was developed based on the difference between
144 the inertial operating speed profile and the operating speed profile. As a result, this consistency model
145 resulted in a more accurate estimation of the number of crashes than those developed previously.

146 Due to the successful performance of the time-based inertial operating speed profile, this research
147 aims to identify how the inertial operating speed should be calculated to enhance the assessment of the local
148 consistency through the Inertial Consistency Index.

149 **2. OBJECTIVES AND HYPOTHESES**

150 The main objective of this study was to calibrate the Inertial Consistency Index comparing the speed
151 difference between the inertial operating speed and the operating speed with the number of crashes on
152 horizontal curves of Spanish two-lane rural roads. To this regard, the inertial operating speed was studied
153 considering different distances, periods of time, and weighting distributions to use as a surrogate measure
154 to drivers' expectancies. A greater crash rate is expected as the difference between inertial operating speed
155 and operating speed increases.

156 It is worth to mention the importance of time in this approach. The underlying hypothesis is that a
157 time-based inertial operating speed will allow a more accurate estimation of the number of crashes than a

158 distance-based one. As abovementioned, people's STM is based on time, not on distance travelled, so the
 159 sections used to determine the inertial operating speed should differ in length depending on the average
 160 speed.

161

162 3. METHODOLOGY AND DATA DESCRIPTION

163 3.1. Methodology

164 This study was based on two-lane rural road sections located in Spain. The geometry for each road section
 165 was recreated by means of the methodology proposed by Camacho-Torregrosa et al. (2015); and the
 166 operating speed profiles were estimated considering the models developed by Pérez-Zuriaga (2012), which
 167 were calibrated on Spanish two-lane rural roads. From this, different inertial operating speed profiles were
 168 calculated for each road segment considering different distances, periods of time, and weighting
 169 distributions. Thus, the Inertial Consistency Index was obtained for every horizontal curve. Crash and traffic
 170 data were also obtained, which allowed us to calibrate a relationship between the Inertial Consistency Index
 171 and the number of crashes on horizontal curves, using several Safety Performance Functions. Every single
 172 SPF was calibrated using different variations of consistency and presenting different goodness of fit. The
 173 parameter included in the SPF that adjust the best will be our proposal for local consistency.

174 3.2. Road segments

175 A total of 98 two-lane rural road sections located in the Valencian Region (Spain) were selected for the
 176 study. They covered more than 650 km, with 3,229 horizontal curves identified.

177 Table 2 shows the geometric characteristics of these horizontal curves. In addition, their cross-
 178 section presented lane widths ranging from 3.00 to 3.50 m and shoulder widths varying from 0.5 to 1.50 m.
 179 The longitudinal grade did not exceed 5%.

180 **TABLE 2 Geometric characteristics of the horizontal curves**

Geometric variable	Minimum	Maximum	Average	Standard Deviation
Radius (m)	9.15	998.59	174.51	172.59
Length (m)	10	617	85.84	60.03
Deflection angle (gon)	2.24	259.92	39.58	32.47
CCR (gon/km)	36.57	5,227.45	592.55	538.71

*Curvature Change Rate (CCR) = Deflection angle (gon) / Length (km)

181

182 **3.3. Traffic and crash data**

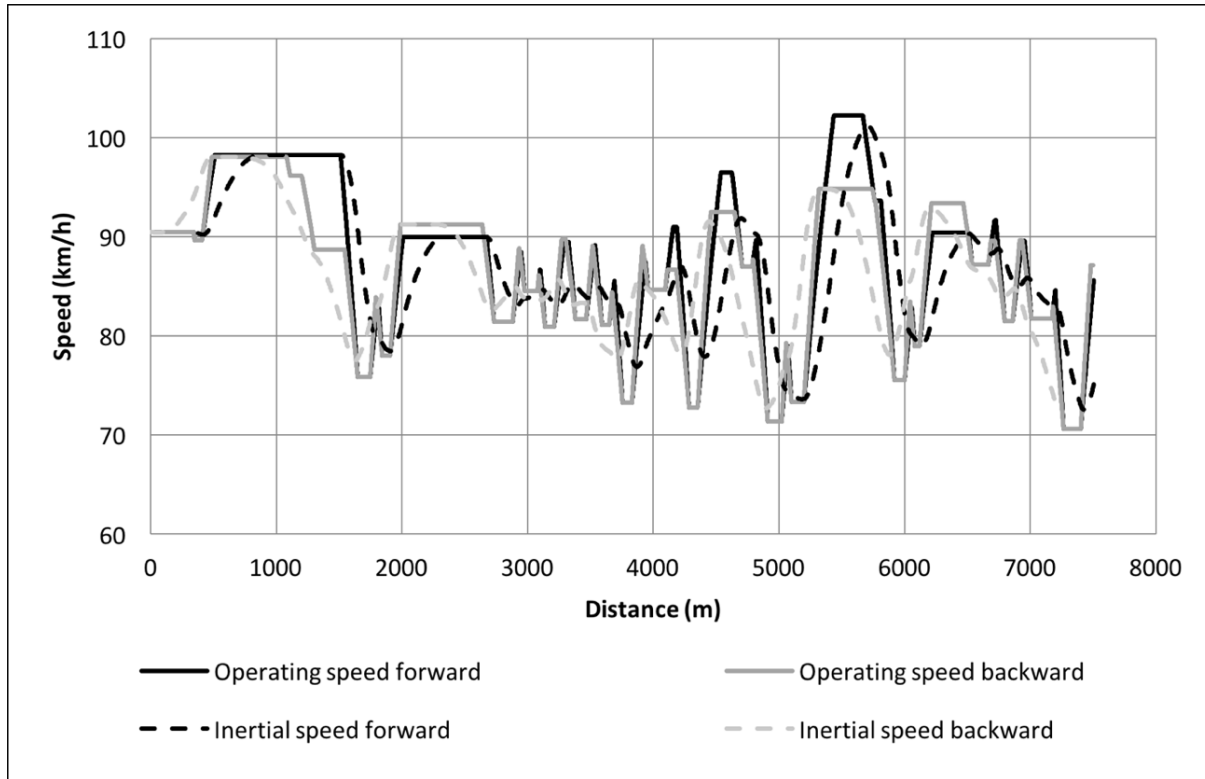
183 Traffic volume and crash data were provided by the Department of Housing, Public Works and Spatial
184 Planning of the Valencian Regional Government and the General Directorate of Traffic (Dirección General
185 de Tráfico, DGT) of the Spanish Government, respectively. Thus, the Annual Average Daily Traffic (*AADT*)
186 volumes and the number of fatal-and-injury crashes were determined for each horizontal curve.

187 *AADT* was determined from 2002 to 2011. The horizontal curves had an *AADT* ranging from 465
188 to 10,817 vpd. Only fatal-and-injury crashes were considered in the same period of time. The cause of each
189 crash was analyzed so to include only those related to geometry (for instance, crashes caused by animals
190 were removed from the analysis, since their cause is not the road geometry per se). In addition, all crashes
191 taking place near the horizontal curve were analyzed. Therefore, some crashes located on nearby tangents
192 were finally associated to horizontal curves and counted. As a result, a total of 839 reported crashes were
193 considered.

194 **3.4. Speed profiles**

195 3.4.1. Operating speed profiles

196 The operating speed profile was estimated for each road segment, obtaining operating speed profiles in a
197 meter basis, like the one in Figure 1.



198
199 **FIGURE 1 Speed profiles**

200 3.4.2. Inertial speed profiles

201 In previous research, the authors concluded that an inertial operating speed (V_i) estimated as the weighted
202 average operating speed based on time was more suitable to represent drivers' expectancies than a V_i based
203 on distance, calculated as a simple average of the operating speed (Llopis-Castelló et al., 2018a and 2018b).

204 Thus, the inertial operating speed was calculated for this research by means of Equation 1. This
205 expression attempts to model the expectation-acquirement-process by drivers, which is closely related to
206 Short-Term Memory behavior.

$$207 \quad V_{i,k} = \frac{\sum w_j \cdot V_{85,j}}{\sum w_j} \quad (1)$$

208 where $V_{i,k}$ is the inertial operating speed (km/h) at station k ; $V_{85,j}$ is the operating speed at station j ; and w_j
209 is the weighting factor at station j . Depending on the range covered by j , the result of the operating speed
210 will vary.

211 Although Short-Term Memory depends on time, inertial operating speeds were determined
212 considering different distances and periods of time to check the results obtained by Llopis-Castelló et al.

213 (2018a and 2018b):

214 • Distances (L) between 300 m and 800 m with a step of 100 m

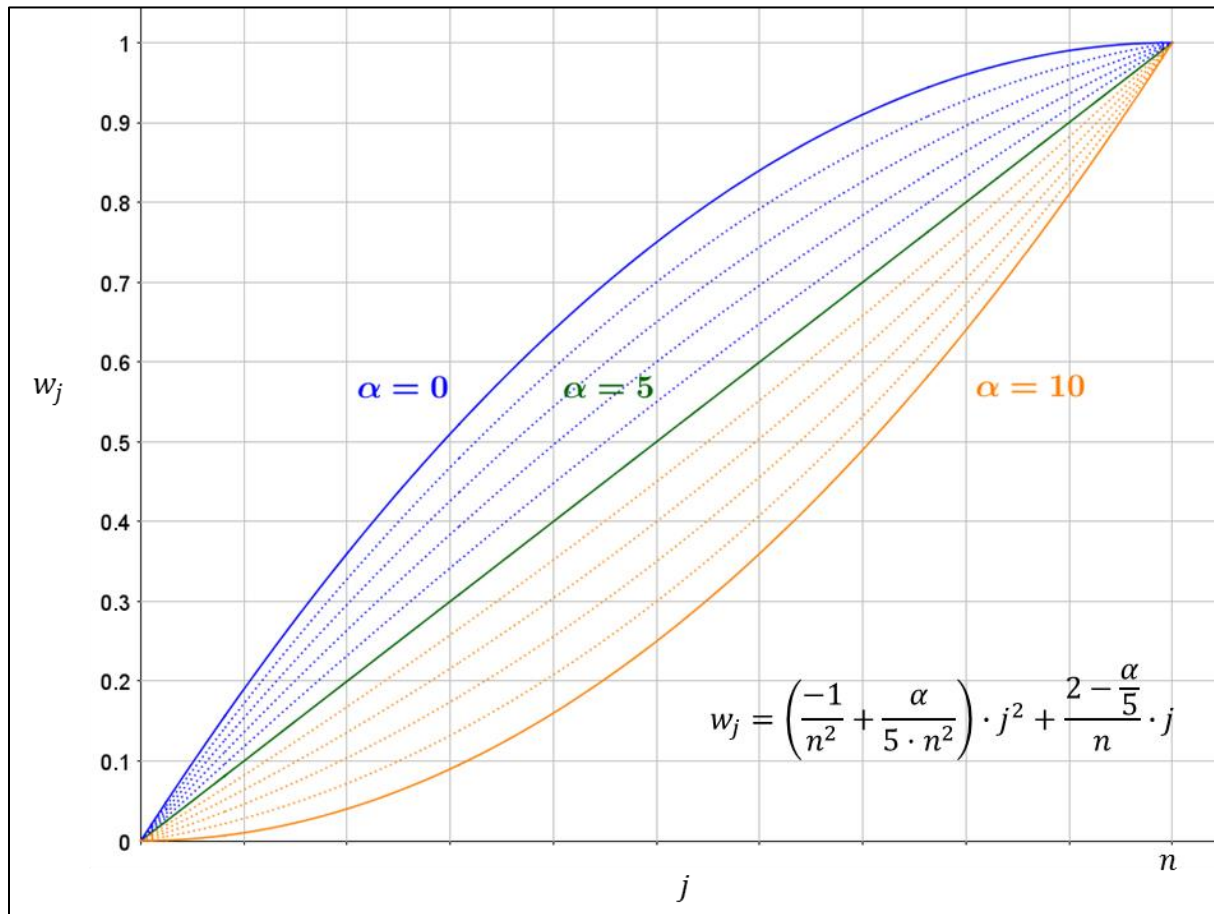
215 • Periods of time (t) between 10 s and 40 s, with a step of 5 s

216 In addition, 11 weighting distributions were considered in order to identify which of them can better
217 represent drivers' expectation acquirement process. These distributions were based on a parabolic
218 functional form (ax^2+bx+c) and could take values from 0 to 1, increasing as the station j gets closer to the
219 critical section k , with these constraints:

220 • $w_j = 0$ for the first station j considered for the calculation. It is the threshold between the zone that
221 has not been included in the calculation (because it has no influence on driver's behavior), and the
222 zone under consideration.

223 • $w_j = 1$ for $j = k$. It means that the station where the driver actually is located at a certain moment
224 has to be the most important for the expectancy formation.

225 As a result, the parabolic function can only take certain a , b and c parameters. Moreover, it can be
226 rewritten as a function of a single parameter α , which varies between 0 and 10 (Figure 2). In this equation,
227 n is the number of intervals considered in the calculation. The number of the intervals (n) depended on
228 whether the calculation was carried out considering a distance (L), in meters; or a period of time (t), in
229 seconds. In the first case, n was equal to L (i.e., the calculation was performed meter by meter), whereas in
230 the second case, n was equal to $10 \cdot t$, so the inertial operating speed was calculated considering intervals of
231 0.1 s.



232
233 **FIGURE 2 Weighting distributions.**

234 The parameter α defines the concavity or convexity of the distribution. To this regard, a value of α
235 equal to 0 was related to a convex parabolic distribution with the derivative equal to 0 when the weight is
236 1, whereas a value of α equal to 10 was related to a concave parabolic distribution with the derivative equal
237 to 0 when the weight is 0. Likewise, a linear distribution was assumed for a value of α equal to 5.

238 It should be noted that Llopis-Castelló et al. (2018a and 2018b) only studied four weighting
239 distributions: linear distribution ($\alpha=5$), convex parabolic distribution ($\alpha=0$), concave parabolic distribution
240 ($\alpha=10$), and constant distribution ($w_j=1$, coinciding with a simple average speed). Therefore, this research
241 attempts to go a step further in the estimation of drivers' expectancies by analyzing 11 weighting
242 distributions, which will allow a more accurate road safety assessment.

243 As a result, 143 ((6 distances + 7 periods of time) x (11 weighting distributions)) inertial operating
244 speed profiles were obtained for each road section. As an example, Figure 1 shows the operating speed
245 profile and its corresponding inertial operating speed profile considering 15 s and a linear weighting

246 distribution for one of the studied road segments.

247 **3.5. Consistency parameter**

248 The local consistency parameter used in this research was the Inertial Consistency Index (ICI) defined by
 249 García et al. (2013). This parameter was directly calculated by the difference between the inertial operating
 250 speed (V_i) and the operating speed (V_{85}). To this regard, the maximum difference between both speeds along
 251 the horizontal curve was considered, which is produced at the beginning of the horizontal curve – at this
 252 point the inertial operating speed would represent drivers' expectancies just before that curve, i.e., without
 253 its influence, stressing inconsistencies.

$$254 \quad ICI = V_i - V_{85} \quad (2)$$

255 According to this definition, a positive speed difference means that drivers' expectancies are
 256 violated, because drivers' speed on the horizontal curve is lower than the speed they expect to travel at the
 257 curve. Therefore, the likelihood of crash occurrence increases with the magnitude of this difference.

258 **4. ANALYSIS**

259 A total of 143 Safety Performance Functions (SPF) were calibrated to identify how the inertial operating
 260 speed should be calculated. This was the result of the different ways to estimate the inertial operating speed.

261 A SPF is an expression that relates the risk exposure and consistency to the number of crashes.
 262 Following common practice, generalized linear modelling techniques were used to fit these functions
 263 (Equation 3) and a Negative Binomial-Generalized Exponential distribution was assumed, since it is an
 264 appropriate solution with over-dispersed crash data which are characterized by a large number of zeros
 265 (Vangala et al., 2015). To this regard, it should be noted that more than 80% of horizontal curves did not
 266 have reported crashes during the study period.

267 This distribution was used instead of Zero Inflated Models. Related to this, Zero Inflated Models
 268 are based on the hypothesis that there are "safe" road elements, i.e., road elements with a likelihood of crash
 269 occurrence equal to 0. However, from a theoretical perspective, this assertion is not true (Lord et al., 2005;
 270 Warton, 2005).

$$271 \quad Y_{i,10} = e^{\beta_0} \cdot L^{\beta_1} \cdot AADT^{\beta_2} \cdot e^{\beta_3 \cdot ICI} \quad (3)$$

272 where $Y_{i,10}$: fatal-and-injury crashes on the horizontal curve in 10 years; β_i : regression coefficients; L : length
 273 of the horizontal curve (km); $AADT$: Average Annual Daily Traffic (vpd); and ICI : Inertial Consistency
 274 Index (km/h).

275 The DIC (Deviance Information Criterion) was obtained for all regressions as a measure of
 276 goodness of fit. The smaller the DIC , the better the model. Additionally, the Root Mean Square Error
 277 ($RMSE$), the Mean Absolute Error (MAE), and the Absolute value of the Sum of Deviations (ASD) were
 278 calculated for the more accurate models.

279 Table 3 shows the best 25 models. The name of the model ($X_{i,j}$) indicates how the inertial operating
 280 speed was estimated. If this speed was based on distance X was L , whereas X was t when the inertial
 281 operating speed was based on time. Likewise, i indicates the distance in meters or the period of time in
 282 seconds and j the value of α of the weighting distribution.

283 However, it is well known that crashes are highly affected by exposure. Thus, a SPF only
 284 considering exposure was previously calibrated to determine how important the inclusion of the consistency
 285 term was for crash estimation:

$$286 \quad y_{i,10} = e^{-7.2829} \cdot L^{0.4194} \cdot AADT^{0.9265} \quad DIC = 3,392 \quad (4)$$

287 To this regard, most of the calibrated SPFs which jointly considered the risk exposure and
 288 consistency produced a lower DIC value than the single-exposure SPF, so the consistency had a major
 289 influence on road crash occurrence.

290 In addition, it was observed that a time-based inertial operating speed could better represent the
 291 phenomenon than an inertial operating speed based on distance, since most of models in Table 3 depended
 292 on a period of time. Related to this, the best results were obtained considering a period of time equal to 15
 293 seconds.

294 Finally, the weighting distributions were analyzed. As a conclusion, most of the best consistency
 295 models presented weighting distributions with values of the parameter α between 5 and 10.

296 **TABLE 3 Best consistency models**

Model	DIC	RMSE	MAE	ASD
L _{500,8}	3,290	0.6433	0.3462	9.8425
t _{15,9}	3,287	0.6442	0.3451	19.5314

$t_{15, 5}$	3,290	0.6450	0.3439	0.5352
$L_{300, 3}$	3,287	0.6480	0.3432	1.6289
$t_{15, 8}$	3,294	0.6444	0.3376	42.1625
$t_{20, 10}$	3,298	0.6442	0.3431	5.8023
$t_{20, 9}$	3,298	0.6437	0.3403	25.7869
$t_{15, 3}$	3,297	0.6455	0.3435	2.8378
$t_{10, 2}$	3,294	0.6467	0.3395	24.4064
$t_{15, 10}$	3,299	0.6440	0.3379	32.1703
$t_{30, 7}$	3,299	0.6426	0.3474	13.4363
$t_{10, 8}$	3,299	0.6452	0.3394	17.7958
$t_{20, 8}$	3,288	0.6447	0.3504	48.6337
$L_{500, 9}$	3,281	0.6450	0.3512	52.1987
$t_{10, 1}$	3,293	0.6477	0.3489	42.1020
$L_{500, 10}$	3,293	0.6457	0.3502	50.2893
$L_{300, 4}$	3,300	0.6477	0.3401	33.6636
$t_{10, 7}$	3,299	0.6460	0.3475	36.0618
$t_{20, 3}$	3,297	0.6449	0.3510	51.1946
$t_{25, 9}$	3,281	0.6475	0.3562	86.8078
$t_{10, 0}$	3,297	0.6479	0.3491	44.3592
$t_{15, 6}$	3,285	0.6493	0.3550	102.9912
$t_{20, 2}$	3,295	0.6480	0.3601	114.9137
$L_{300, 1}$	3,298	0.6503	0.3530	67.6350
$t_{25, 6}$	3,292	0.6575	0.3622	140.0967

297

298 5. LOCAL CONSISTENCY MODEL

299 A new way to estimate drivers' expectancies was proposed based on the previous results. In this regard, a
 300 time-based inertial operating speed profile was proposed. Thus, the inertial operating speed should be
 301 calculated for each point of the alignment as the weighted operating speed of the preceding 15 s considering
 302 a linear weighting distribution ($t_{15, 5}$). This distribution was selected because of its simplicity.

303 Equation 5 is the Safety Performance Function which allows estimating the number of crashes on
 304 a horizontal curve.

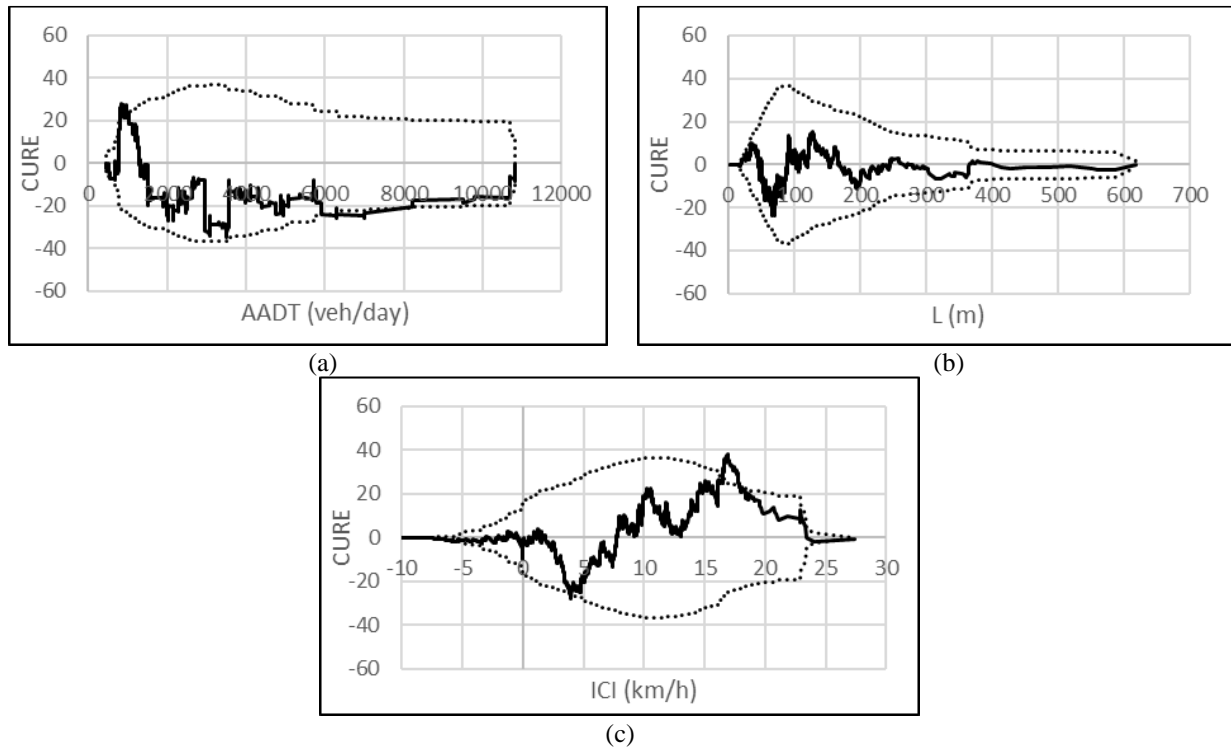
$$305 Y_{i,10} = e^{-6.9544} \cdot L^{0.6841} \cdot AADT^{0.8259} \cdot e^{0.1394 \cdot ICI} \quad (5)$$

306 The results of this adjustment produced regression coefficients related to L and $AADT$ lower than
 307 1, so longer horizontal curves and higher traffic volumes induce lower crash rates.

308 The quality of fit was also studied from the Cumulative Residuals (CURE) Plots (Hauer and Bamfo,
 309 1997; Lord and Persaud, 2000). This method consists of plotting the cumulative residuals for each
 310 independent variable. The aim is to graphically observe how well the function fits the data set. The CURE
 311 method has the advantage of not being dependent on the number of observations, as are many other

312 traditional statistical procedures. In general, a good cumulative residuals plot is one that oscillates around
 313 0. Thus, a good fit is given when the residuals do not stray beyond the $\pm 2\sigma^*$ boundaries.

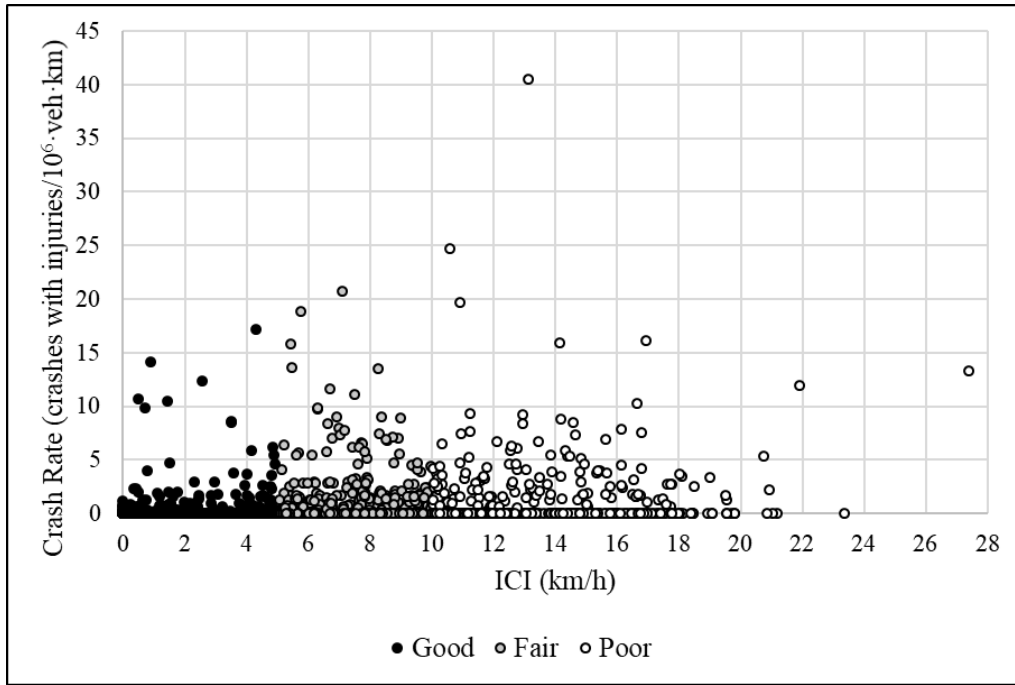
314 It can be observed that the plots against each explanatory variable did not practically stray beyond
 315 the $\pm 2\sigma^*$ boundaries (Figure 3). So, the proposed SPF is a useful tool to estimate the number of crashes on
 316 horizontal curves of two-lane rural roads.



317
 318 **FIGURE 3 CURE plots: (a) AADT; (b) Length; (c) Inertial Consistency Index.**

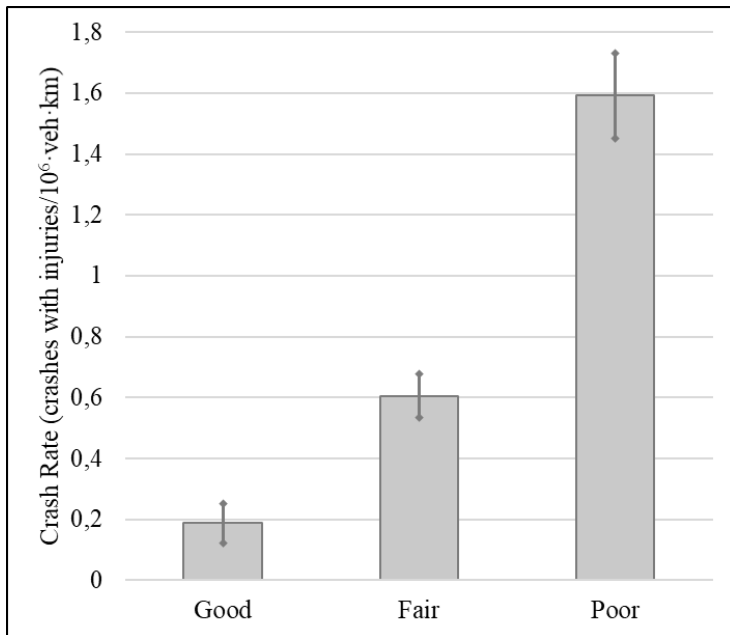
319 Figure 4 shows the relationship between the Inertial Consistency Index (ICI) and crash rate.
 320 Regarding this, the crash rate increased as the ICI increased. Therefore, the higher the difference between
 321 drivers' expectancies and road behavior, the higher the likelihood of crash occurrence. This means that the
 322 ICI is able to identify where drivers' expectancies are violated.

323 Three consistency levels were defined by means of a cluster analysis. As a result, a horizontal curve
 324 presents a good consistency level when the Inertial Consistency Parameter (ICI) is lower than 5 km/h, a
 325 poor consistency level when ICI is higher than 12.5 km/h, and a fair consistency level in all other cases
 326 (Figure 4 and Figure 5).



327
328 **FIGURE 4 Global consistency model Vs. Crash rates.**

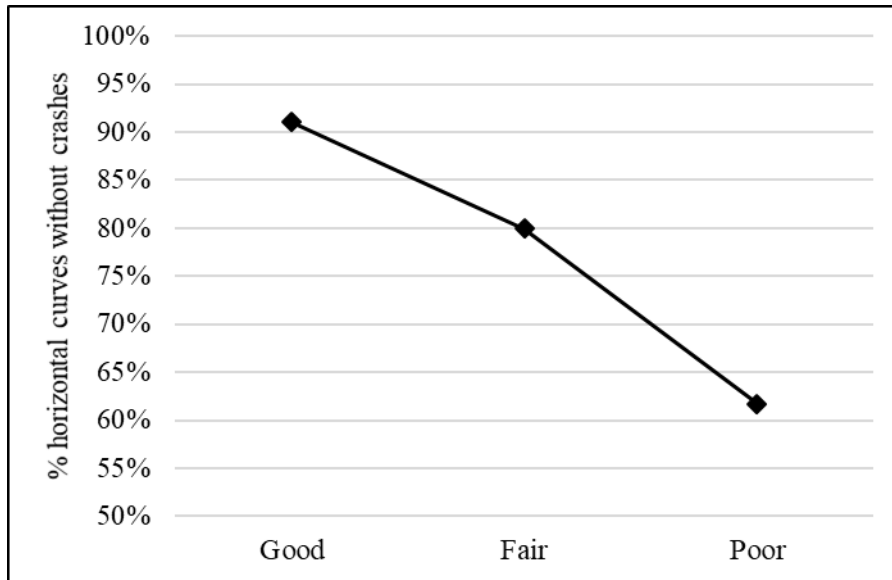
329 In addition, the average crash rate was analyzed considering the defined consistency thresholds
330 (Figure 5). A statistical test showed significant differences between these consistency levels at a 95%
331 confidence level, so the proposed local consistency model is valid to properly assess road safety on a
332 horizontal curve and distinguish between the proposed consistency thresholds.



333
334 **FIGURE 5 Average crash rate Vs. Consistency level.**

335

336 Finally, the relationship between the percentage of horizontal curves without reported crashes and
 337 the consistency level was analyzed (Figure 6). As expected, this percentage was lower as the consistency
 338 level worsened. Therefore, the lower the consistency level, the higher the likelihood of crash occurrence.



339
 340 **FIGURE 6 %Horizontal curves without crashes Vs. Consistency level.**

341 6. DISCUSSION

342 6.1. Inertial operating speed

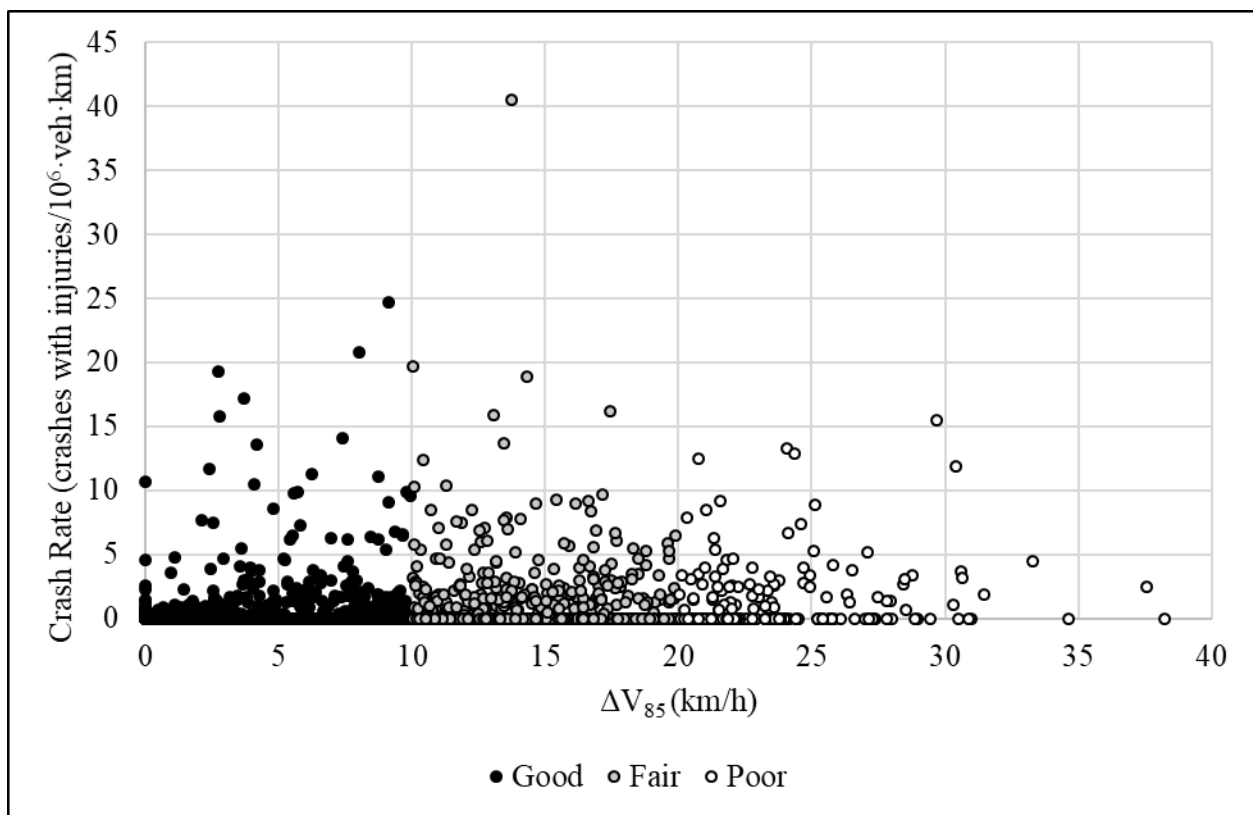
343 Different distances, periods of time, and weighting distributions were analyzed to identified how drivers'
 344 expectancies should be estimated. As a result, a time-based inertial operating speed showed more consistent
 345 results than those based on distance, which supports the previous research developed by Llopis-Castelló et
 346 al. (2018a and 2018b). Likewise, an inertial operating speed calculated as the weighted average operating
 347 speed of the preceding section allowed a more accurate estimation of drivers' expectancies acquirement
 348 process, which is closely related to Short-Term Memory (STM). In addition, the best results were obtained
 349 considering a period of time equal to 15 s, which was very close to 18 s that STM used (Revlin, 2012).

350 6.2. Inertial Consistency Index Vs. Criterion II of Lamm et al. (1999)

351 The Inertial Consistency Index was identified as an appropriate parameter to accurately evaluate
 352 the consistency on horizontal curves. This consistency parameter was defined as the speed difference
 353 between the inertial operating speed and the operating speed itself. To this regard, the crash rate was greater
 354 as the ICI increased. These results were consistent with the studied phenomenon, since a larger likelihood

355 of crash occurrence was associated with a greater difference between drivers' expectancies and road
 356 behavior.

357 The proposed local consistency model was compared with Criterion II developed by Lamm et al.
 358 (1999), which is included in several guidelines. For this, the speed difference between successive elements
 359 (ΔV_{85}) was obtained for each horizontal curve. Figure 7 shows the relationship between this consistency
 360 parameter and crash rates. It should be highlighted that some of the horizontal curves with a good or fair
 361 consistency level reported a high crash rate. This means that a low ΔV_{85} was not necessarily associated with
 362 a low likelihood of crash occurrence.

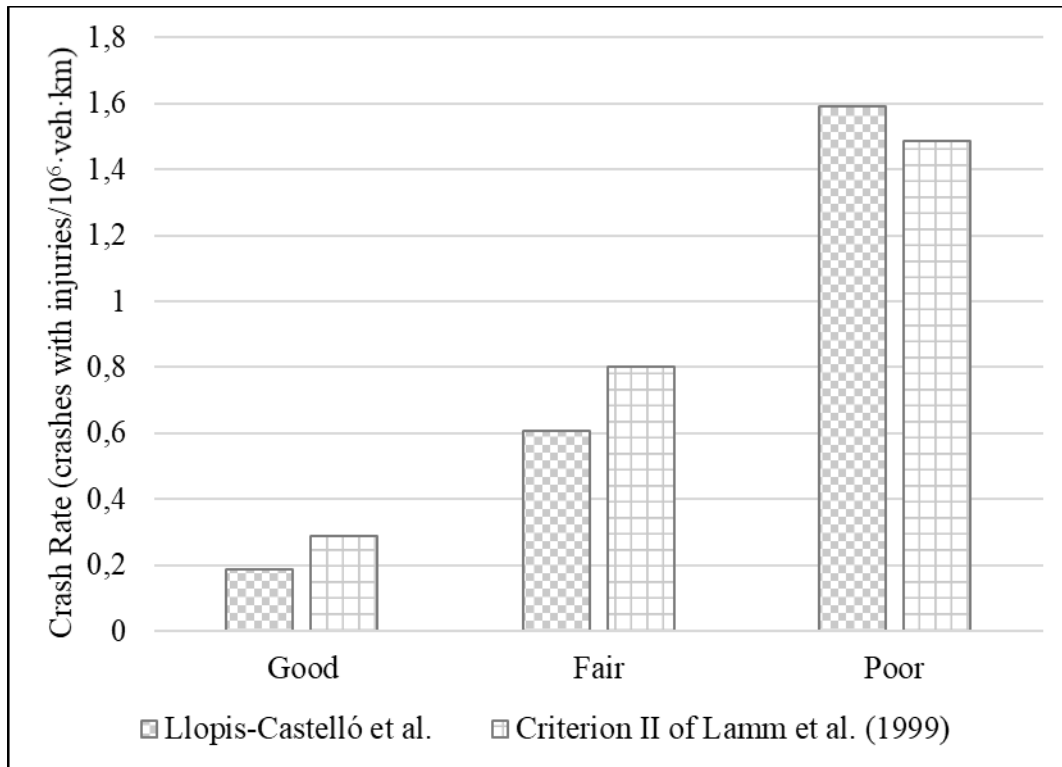


363
 364

FIGURE 7 Crash rate Vs. ΔV_{85} .

365 Figure 8 shows the average crash rate for each consistency level considering both the ICI and
 366 Criterion II. As expected, the average crash rate increased as the consistency level was worse. However, the
 367 average crash rates for a good and fair consistency level were greater considering the Criterion II of Lamm
 368 et al. (1999). Conversely, the average crash rate for a poor consistency level was larger according to the
 369 proposed consistency model. These differences were due to Criterion II's assignment of a good or fair

370 consistency level to horizontal curves with high crash rates.

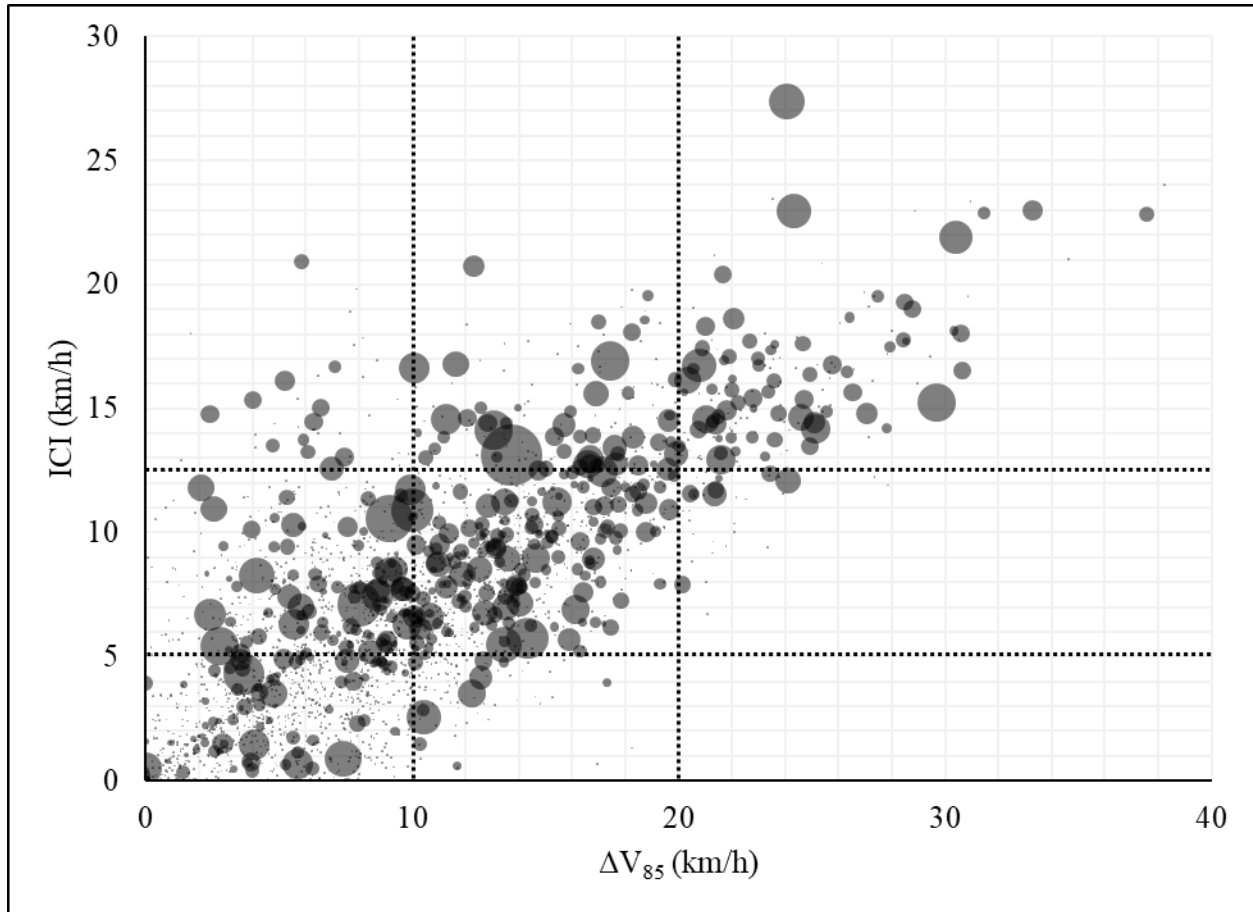


371
372

FIGURE 8 Average crash rate Vs. Consistency level considering both models.

373 To better understand these results, the relationship between both consistency parameters was
374 evaluated. In Figure 9, each circle is a horizontal curve and its size represents its crash rate. Likewise, the
375 dotted lines represent the consistency thresholds of both consistency models.

376 In this way, Figure 9 shows how the proposed consistency model was able to better identify the
377 consistency level of a horizontal curve than Criterion II proposed by Lamm et al. (1999). To this regard, the
378 circle size of the horizontal curves with the same consistency level according to the Inertial Consistency
379 Index (ICI) was more homogeneous than the size according to Criterion II. This means that Criterion II
380 assigned the same consistency level to horizontal curves with very different crash rates, whereas the
381 proposed model was able to identify these differences and assign different consistency levels to these
382 horizontal curves. Therefore, the consistency model proposed in this research was able to better cluster the
383 horizontal curves with a similar risk crash.



384
385 **FIGURE 9 ICI Vs. ΔV_{85} .**

386 Finally, a SPF was calibrated considering Criterion II to study the relationship between observed
387 and predicted crashes (Equation 6). As a result, the proposed consistency model in this research showed
388 better indexes of goodness of fit than Criterion II ($DIC=3,353$; $RMSE=0.6519$; $MAE=0.354$; $ASD=31.962$),
389 i.e., the SPF based on the ICI estimated more accurately the number of crashes on horizontal curves.

$$390 Y_{i,10} = e^{-7.6089} \cdot L^{0.5908} \cdot AADT^{0.8947} \cdot e^{0.09376 \cdot \Delta V_{85}} \quad (6)$$

391 Therefore, the new local consistency model can better represent the phenomenon than Criterion II
392 of Lamm et al. (1999).

393 **6.3. Inertial Consistency Index Vs. Alignment indexes**

394 The Inertial Consistency Index was also compared to different alignment indexes to determine whether ICI
395 is able to better represent the phenomenon studied. Thus, the radius of the horizontal curve (R), the ratio
396 between the radius of the horizontal curve and the average radius of the road section (CRR), and the
397 difference between the Curvature Change Rate (CCR) of the horizontal curve and the average CCR of the

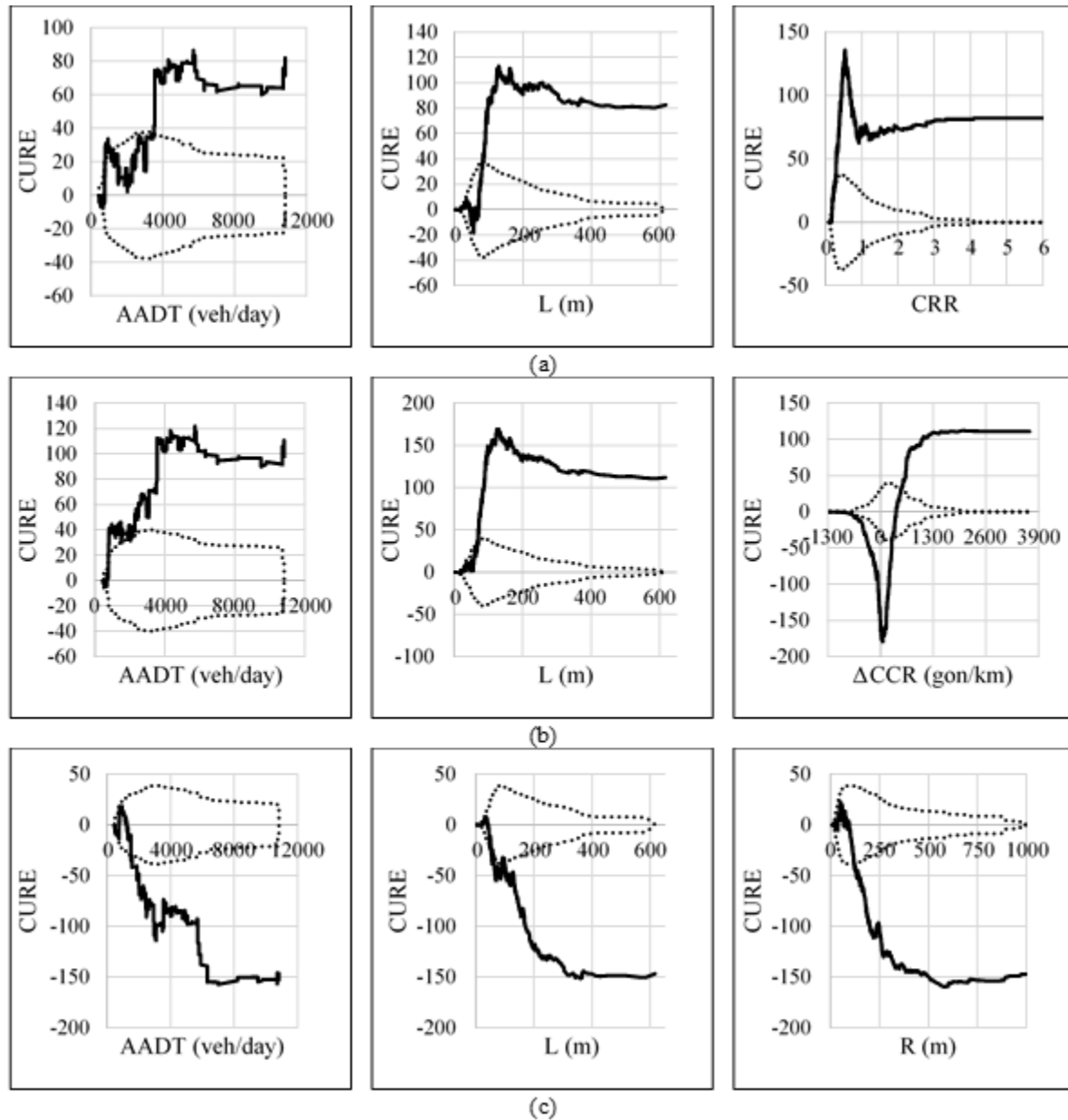
398 road section only considering horizontal curves (ΔCCR) were analyzed.

399 Table 4 shows the statistical adjustment of the Safety Performance Functions considering each
 400 alignment index. As a result, the SPF based on the Inertial Consistency Index resulted in better parameters
 401 of goodness of fit ($DIC=3,290$; $RMSE=0.6450$; $MAE=0.343$; $ASD=0.5352$) than those SPFs based on
 402 alignment indexes. Specifically, the SPFs based on alignment indexes tend to significantly overestimate
 403 (CRR and CCR) or underestimate (R) the number of fatal-and-injury crashes, since the absolute value of
 404 the sum of deviations (ASD) resulted significantly greater than 0. This can also be observed through the
 405 CURE plots associated with these SPFs (Figure 10), where the residuals strayed beyond the $\pm 2\sigma^*$
 406 boundaries. Therefore, the proposed consistency model in this research is able to more accurately assess
 407 road safety than alignment indexes.

408 **TABLE 4 Statistical adjustment of Safety Performance Functions based on alignment indexes**

Alignment index	SPF	DIC	RMSE	MAE	ASD
CRR	$Y_{i,10} = e^{-5.06} \cdot L^{0.7311} \cdot AADT^{0.8554} \cdot e^{-1.411 \cdot CRR}$	3389	0.6637	0.344	82.1
ΔCCR (gon/km)	$Y_{i,10} = e^{-7.04} \cdot L^{0.6009} \cdot AADT^{0.9213} \cdot e^{0.0001273 \cdot \Delta CCR}$	3467	0.7036	0.366	110.7
R (m)	$Y_{i,10} = e^{-6.20} \cdot L^{1.009} \cdot AADT^{1.091} \cdot e^{-4.852 \cdot R}$	3395	0.6802	0.384	147.3

409



410
411 **FIGURE 3 CURE plots of the SPFs based on alignment indexes: (a) *CRR*; (b) *CCR*; (c) *R*.**

412 **6.4. Calibration to other countries**

413 The new local consistency model has been calibrated for Spanish two-lane rural roads. Therefore, Spanish
414 engineers can use it to estimate the number of fatal-and-injury crashes and the consistency level for the
415 regional network.

416 Although engineers from other countries cannot use the same SPF to estimate road crashes, they
417 can still use the Inertial Consistency Index to calibrate a new SPF – and consistency thresholds, if needed
418 – to assess road safety on their roads. The use of local operating speed models is also encouraged.

419 Likewise, it is recommended to consider only fatal-and-injury crashes to prevent underreporting
420 bias. To this regard, in Spain and many other countries, drivers are typically responsible for reporting PDO
421 crashes. Therefore, this reporting depends on many factors like expected damage cost, proximity to urban
422 zones, number of vehicles involved, presence of police, etc. As a result, the reporting of PDO crashes is
423 very biased and cannot be used as reliable data.

424 Finally, according to Vangala et al. (2015), a Negative Binomial-Generalized Exponential
425 distribution is preferred instead of zero-inflated distributions for the calibration of the Safety Performance
426 Function in case of having more than 80% of horizontal curves without reported crashes. Regarding this,
427 zero-inflated distributions are based on the underlying assumption that road elements exist in two states: (i)
428 zero-state: safe road element without crashes and (ii) non-zero state: road elements in which might occur
429 crashes. However, there is no perfectly safe road element from a theoretical point of view, i.e., none road
430 element has a null likelihood of crash occurrence (Lord et al., 2005).

431 7. CONCLUSIONS

432 A new local consistency model was defined based on the Inertial Consistency Index, as the difference
433 between the inertial operating speed (V_i) and the operating speed (V_{85}). To this regard, V_i represents drivers'
434 expectancies, whereas V_{85} represents road behavior.

435 The inertial operating speed at each point of the alignment was defined as the weighted average
436 operating speed of the preceding road section (Llopis-Castelló et al., 2017 and 2018). Distances between
437 300 m and 800 m with a step of 100 m, periods of time between 10 s and 40 s with a step of 5 s, and 11
438 weighting distributions were studied to determine how V_i should be estimated.

439 In this way, 143 inertial operating speed profiles were calculated for each road section, so a total
440 of 143 Safety Performance Functions were calibrated to identify which speed profile was able to better
441 represent drivers' expectancies.

442 As a result, a time-based inertial operating speed showed better results than those based on distance.
443 In addition, the use of weighting distributions allowed a more accurate estimation of the behavior of Short-
444 Term Memory. Thus, the inertial operating speed was estimated considering 15 s and a linear weighting

445 distribution, which was consistent with drivers' expectancies acquirement process.

446 A Safety Performance Function was proposed to estimate the number of crashes on a horizontal
447 curve and consistency thresholds were defined. In this way, a horizontal curve has a good consistency level
448 when the Inertial Consistency Index (ICI) is lower than 5 km/h, a poor consistency level when ICI is higher
449 than 12.5 km/h, and a fair consistency level in all other cases (Figure 4 and Figure 5).

450 Finally, the proposed model was compared with Criterion II developed by Lamm et al. (1999) and
451 different alignment indexes. As a conclusion, Criterion II assigned the same consistency level to horizontal
452 curves with very different crash rates. This means that a low speed difference between successive elements
453 (ΔV_{85}) was not necessarily associated with a low likelihood of crash occurrence. On the contrary, the new
454 consistency model based on the Inertial Consistency Index was able to correctly group the horizontal curves
455 depending on their consistency level. Likewise, the new calibrated model showed a more accurate
456 estimation of the number of crashes than Criterion II proposed by Lamm et al. (1999) and those SPFs based
457 on alignment indexes. Therefore, the proposed local consistency model better describes the studied
458 phenomenon.

459 Thus, highway engineers have a new tool to identify where road crashes are more likely to occur
460 during the design stage of both new two-lane rural roads and improvements of existing highways.

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