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Additional Information

1 **Water Policies and Conflict Resolution of Public Participation**  
2 **Decision-Making Processes Using Prioritized Ordered Weighted**  
3 **Averaging (OWA) Operators**

4

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19

20 **Abstract**

21 There is a growing interest in environmental policies about how to implement public  
22 participation engagement in the context of water resources management. This paper  
23 presents a robust methodology, based on ordered weighted averaging (OWA) operators,  
24 to conflict resolution decision-making problems under uncertain environments due to  
25 both information and stakeholders' preferences. The methodology allows integrating

26 heterogeneous interests of the general public and stakeholders on account of their  
27 different degree of acceptance or preference and level of influence or power regarding  
28 the measures and policies to be adopted, and also of their level of involvement (i.e.,  
29 information supply, consultation and active involvement). These considerations lead to  
30 different environmental and socio-economic outcomes, and levels of stakeholders'  
31 satisfaction. The methodology establishes a prioritization relationship over the  
32 stakeholders. The individual stakeholders' preferences are aggregated through their  
33 associated weights, which depend on the satisfaction of the higher priority decision  
34 maker. The methodology ranks the optimal management strategies to maximize the  
35 stakeholders' satisfaction. It has been successfully applied to a real case study,  
36 providing greater fairness, transparency, social equity and consensus among actors.  
37 Furthermore, it provides support to environmental policies, such as the EU Water  
38 Framework Directive(WFD), improving integrated water management while covering a  
39 wide range of objectives, management alternatives and stakeholders.

40

41 **Keywords:** OWA operators; stakeholders; decision-making; water resources  
42 management.

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45

## 46 **1. Introduction**

47 The EU WFD establishes the achievement of a good qualitative and quantitative  
48 status of all water bodies by promoting the application of sound economic principles,  
49 methods and instruments; streamlining legislation; and stakeholders' active engagement  
50 in the implementation of the directive and development of watershed management plans  
51 (EC, 2000). There are a lot of works in the literature that have dealt with the

52 implementation of the WFD (e.g., Peña-Haro et al., 2010; 2011; Molina et al., 2012;  
53 Llopis-Albert et al., 2014; Llopis-Albert and Palacios-Marqués, 2016).

54         The most appropriate measures and policies to achieve the environmental and  
55 socio-economic objectives entail balancing the interests of various groups, for which it  
56 is important that the process is open to the scrutiny of those who will be affected. In  
57 this way, PPP eases the enforceability of the water management plans since they  
58 provide more transparency in the establishment of objectives, the imposition of  
59 measures and policies, the reporting of standards. Furthermore, it leads to increased  
60 confidence in institutional actors, more consensus and acceptability among the actors,  
61 legitimacy of the watershed management plan; more benefit of the available  
62 information; and reinforcement of democratic practices (e.g., Llopis-Albert et al., 2015;  
63 2016). However, there are also disadvantages when involving stakeholders. For  
64 instance, it requires considerable time, planning and economic resources. Note that the  
65 WFD requires that member states publish and make accessible for comments to  
66 stakeholders, a timetable and work programme of the watershed management plan.  
67 Eventually, if the final water management plan disregard the advice of several  
68 stakeholders, it can lead to distrust, and complaints procedures and the courts with  
69 consequent delay in the implementation of the plan.

70         Three levels of stakeholders' participation with different degrees of influence  
71 can be defined in a PPP, which include information supply (where the stakeholders are  
72 only informed); consultation (where their opinions are taken as input in the decision-  
73 making process); and active involvement (in which they develop alternatives, identify  
74 solutions and take responsibilities). In addition, stakeholders are a major source of  
75 uncertainty when defining water policies. The uncertainty encompasses the evaluation  
76 of how stakeholders may influence on the decision-making process, how they are

77 affected by the actions to be taken, their relevance, motivation and capacity to  
78 participate in the decision-making process, and the fact that the available information  
79 about their preference is usually highly imprecise.

80 In this study, the actors involved in the PPP are divided into three main sets,  
81 which cover individuals, communities, social groups or organizations. These sets are the  
82 government (which include national, regional and local governments, and river basin  
83 authorities); the experts and opinion formers in water resource management (including  
84 advisors and academics from different fields such as hydraulics and environmental  
85 sciences, economics or law, and mass-media); and the users of water resources (i.e.,  
86 water user associations for agricultural, industrial and urban use, associations from the  
87 tourism sector because its influence in the SE coast of Spain, and power generation  
88 companies).

89 They have different values, levels of knowledge, resources, interests, and  
90 perceptions of problems, solutions and strategies. Then there is a conflict of interest  
91 among the different stakeholders, which leads to an even more complex decision-  
92 making process and to achieve satisfactory outcomes (e.g., Jackson et al., 2012)

93 The factors that lead to the conflict of interest among stakeholders cover  
94 environmental objectives pursued, actual capacity of efficiently achieving the  
95 objectives, socio-economic development of the region, level of involvement and means  
96 of participation, and alternative policies and measures that should be performed.

97 We have defined priorities among the stakeholders. For the three group decision-  
98 making problem defined, the government has the largest weights, the users would be the  
99 second, and the experts have the smallest weights.

100 In order to analyze the adequate water policies and stakeholders' satisfaction in a  
101 PPP we have used the ordered weighted averaging aggregation (OWA) operator. Other

102 approaches such as the fuzzy set/Qualitative Comparative Analysis (fsQCA) or  
103 structural equation modelling could also be used (Berbegal-Mirabent and Llopis-Albert,  
104 2016; Xu et al., 2014).

105 OWA operators (Yager, 1988; Yager et al., 2011) and prioritized multi-criteria  
106 decision-making problem has been widely tackled in the literature (Yager, 2004; Amin  
107 and Sadeghi, 2010; Yan et al., 2011). OWA operator has been extended under a wide  
108 range of frameworks including probabilities (Merigó, 2010), distance measures (Merigó  
109 and Casanovas, 2011), linguistic information (Merigó et al., 2010; Merigó and Gil-  
110 Lafuente, 2013), moving averages (Merigó and Yager, 2013) and continuous operators  
111 (Zhou et al., 2016). Prioritized aggregation operators have been also studied in many  
112 situations (Chen and Xu, 2014; Chen et al., 2014a). Wei and Tang (2012) developed  
113 generalized prioritized aggregation operators. Chen et al. (2014b) developed a weakly  
114 prioritized measure for multi-criteria decision making and Yu et al. (2013) with  
115 preference relations. In fuzzy environments, Verma and Sharma (2016) designed  
116 prioritized operators with triangular fuzzy numbers, Ye (2014) considered trapezoidal  
117 intuitionistic fuzzy sets, Chen (2014) used interval-valued intuitionistic fuzzy sets, and  
118 Dong and Wan (2016) focused on triangular intuitionistic fuzzy numbers. Some other  
119 authors have used hesitant fuzzy sets in the aggregation process (Jin et al., 2016; Wei,  
120 2012; Zhao et al., 2016). Additionally, other authors have considered other  
121 environments with interval numbers (Ran and Wei, 2015) and linguistic information  
122 (Zhao et al., 2014; Zhou et al., 2013).

123 However, this technique has been scarcely applied to water resources  
124 management and public participation decision-making processes. Sadiq and  
125 Tesfamariam (2007) developed water quality indices using probability density functions  
126 based on OWA operators. Sadiq et al. (2010) integrates indicators for performance

127 assessment of small water utilities using OWA operators. Kentel and Aral (2007)  
128 presented a fuzzy multiobjective decision-making approach for groundwater resources  
129 management, where OWA operators allowed to determine individual satisfaction  
130 degrees of each management strategy with respect to multiple objectives. There are also  
131 applications in other environmental problems such as climate change. Rahmani and  
132 Zarghami (2013) developed a new approach to combine climate change projections by  
133 OWA operators.

134 In this work, the prioritized OWA operator is used to solve heterogeneous group  
135 decision- making problem in which there exists prioritization of stakeholders. The rest  
136 of the paper is structured as follows. Section 2 introduces the prioritized OWA operator  
137 for heterogeneous group decision-making problems. Section 3 presents a case study for  
138 water resource management in Spain. Section 4 concludes the paper.

139

## 140 **2. Methodology**

141 In many multi-criteria decision-making problems some of the stakeholders are  
142 regarded as prior to others, on account of their power and influence or because they  
143 mainly bear the measures to be undertaken. Stakeholder power analysis is used for  
144 helping decision-making problems with competing interests or when resources are  
145 limited so that stakeholder needs must be appropriately balanced. Then we need to  
146 construct the prioritization relations among the stakeholders, and subsequently obtain  
147 the overall scores of each alternative by means of the prioritized aggregation operators  
148 (Yager, 2008; 2009, Wang et al., 2014). This is carried out by assuming that all the  
149 stakeholders  $D = \{D_1, D_2, \dots, D_n\}$  can be divided into  $q$  categories  $H_1, H_2, \dots, H_n$ ; where  
150  $H_i = \{D_{i1}, D_{i2}, \dots, D_{in_i}\}$ ,  $D_{ij}$  is the stakeholder in category  $H_i$ ,  $D = \bigcup_{i=1}^q H_i$  and  
151  $\sum_{i=1}^q n_i = n$ . In addition, a prioritization among the categories exists, i.e.,  $H_1 > H_2 >$

152  $\dots > H_q$ . By defining the set of alternatives as  $X = \{x_1, x_2, \dots, x_m\}$  and assuming that  
 153 for any alternative  $x$  in  $X$  and for each stakeholder, the value  $D_{ij}(x) \in [0,1]$  expresses  
 154 its satisfaction level or preference. The goal is to rank the alternatives in  $X$ , so that the  
 155 priority hierarchy presents two cases. The strict priority order takes place when each  
 156 priority level presents only one stakeholder (i.e.,  $n_k = 1$  for  $k = 1, 2, \dots, q$ ) while  
 157 otherwise a weakly ordered prioritization is presented.

158 By using the prioritized scoring operator (Yager, 2008) in which  $F: [0,1]^n \rightarrow$   
 159  $[0,1]$  such that  $F\left(\left(a_{11}, \dots, a_{1n_1}\right), \dots, \left(a_{q1}, \dots, a_{qn_q}\right)\right) = \sum_{i=1}^q \sum_{j=1}^{n_i} \omega_{ij} a_{ij}$  the value of  
 160  $D(x)$  can be obtained for alternative  $x$  as:

$$161 \quad D(x) = F\left(D_{ij}(x)\right) = \sum_{i=1}^q \left(\sum_{j=1}^{n_j} \omega_{ij} D_{ij}(x)\right) \quad (1)$$

162 The priority category  $H_i$  are aggregated by means of the OWA operator, which  
 163 is akin to a weighted mean. The priority relationship is defined through the weights  $\omega_{ij}$ ,  
 164 which are function of  $x$  and are associated with a particular ordered position of the  
 165 arguments, contrary to the weighted means. However, the values of the variables are  
 166 formerly ordered in a decreasing way.

167 The OWA operator presents important properties such as monotonicity,  
 168 idempotency and boundary. Eventually, the OWA operator is used to obtain satisfaction  
 169 degree of stakeholders for each priority level, which can be expressed as follows:

$$170 \quad Sat_i = OWA_{\omega_i}(D_{i1}(x), D_{i2}(x), \dots, D_{in_i}(x)) = \sum_{k=1}^{n_j} \omega_{ik} b_{ik}(x) \quad (2)$$

171 in which  $\omega_i$  is the OWA weighting vector associated with each priority category  
 172  $H_i$  and  $b_{ik}(x)$  is the  $k^{th}$  largest of  $D_{ij}(x)$ . Each vector component  $\omega_{ik}$  satisfies that  
 173  $\omega_{ik} \in [0,1]$  and  $\sum_{k=1}^{n_j} \omega_{ik} = 1$ . These weights can be obtained by different methods,  
 174 such as linguistic quantifiers, orness measure, dispersion measure, O'Hagan's



175 maximum entropy measure, normal distribution based method, etc. (see, e.g., Yager,  
176 1988; O'Hagan, 1988; Xu, 2005).

177 The priority relationship is modelled by taking into account that the lower  
178 priority will become important with the higher degree of higher priority level. In other  
179 words, the priority weights depends on the satisfaction of higher priority level. In this  
180 way, the priority induced importance weights ( $T_i$ ) of each priority level  $H_i$  are defined  
181 as follows:

$$182 \quad T_i = \prod_{k=1}^i Sat_{k-1} \quad (3)$$

183 where:

$$184 \quad Sat_0 = 1; \text{ for } H_1, T_1 = 1; \text{ for } H_2, T_2 = T_1 Sat_1; \text{ for } H_3, T_3 = T_1 T_2 Sat_2$$

185 The aggregated value for each alternative is obtained by means of the prioritized  
186 OWA (POWA) operator:

$$187 \quad D(x) = \sum_{i=1}^q T_i Sat_i \quad (4)$$

188 Eventually, the overall score of each alternative can be obtained by:

$$189 \quad D(x) = \sum_{i=1}^q u_i Sat_i \quad (5)$$

190 where:

$$191 \quad u_j = \frac{T_j}{\sum_{j=1}^q T_j} \quad (6)$$

192 Note that the sum of all the level do not comply the normalization condition  
193 ( $\sum_{i=1}^q T_i \neq 1$ ) and if  $Sat_k = 0$ , then  $T_i = u_i = 0$  for all  $i > k$ .

194 Finally, this operator has some important properties, i.e., monotonicity,  
195 commutativity and boundary (e.g., Wang et al., 2014). Furthermore, note that many  
196 other aggregation operators could also be considered in the analysis (Merigó et al.,  
197 2015).

198

199

### 200 **3. Application to a case study**

201 This methodology is applied to the Jumilla-Villena aquifer (SE Spain), which supports  
202 the agricultural and economic development of the region. However, this has been  
203 carried out through time by the aquifer over-exploitation, with the subsequently  
204 environmental impacts, such as drying out of springs and wetlands, the disappearance  
205 and regime alteration of related rivers, presence of higher concentrations of substances  
206 identified as priority pollutants (e.g., nitrates from the fertilizers applied to the irrigated  
207 crops) and seawater intrusion. The groundwater resources have been used to irrigate  
208 large crop areas and to supply water for industrial purposes and urban use. It is worth  
209 mentioning the increase in recent years of the groundwater demand in summer because  
210 of the tourism. The hidrologic unit has a surface of 338 km<sup>2</sup>, of which 108 km<sup>2</sup> are  
211 outcrops, which is shared between the Segura and Júcar River Basin Authorities (CHS,  
212 2016; CHJ, 2017). The economically exploitable reserves are estimated to be about  
213 1400 hm<sup>3</sup>, while the accumulated water abstractions from the eighties is more than  
214 1000 hm<sup>3</sup>, although the uncertainty in the hydrological parameters hinders the  
215 calculations (e.g, Llopis-Albert et al., 2015; Pulido-Velazquez et al., 2011; Llopis-  
216 Albert and Capilla, 2010; 2010a). The aquifer has undergone mean abstractions of  
217 around 40 hm<sup>3</sup>/year with a recharge estimated in 7 hm<sup>3</sup>/year, thus leading to a water  
218 balance disequilibrium of about 33 hm<sup>3</sup>/year in spite of the water efficient irrigation  
219 systems. Then a decrease in the piezometric levels of more than a hundred meters has  
220 been observed in some wells, although in recent years those drawdowns have been  
221 considerably reduced on account of the measures undertaken. Specifically, the aquifer  
222 was officially declared as over-exploited in 1987, which entails that well extractions and  
223 irrigated surface are limited and User's Communities must be set up as a control  
224 mechanism. In addition, the quantitative status of the aquifer is considered one of the

225 most important issues in the Segura River Basin Management Plan for the period from  
226 2015 to 2021 (CHS, 2016).

227 Hence, it is at risk of not meeting the good ecological and chemical status as stated by  
228 the EU WFD, which has caused severe political conflicts among the different  
229 stakeholders.

230 These conflicts entail a major issue for the successful achievement of any regulation or  
231 policy, even more under scarce water conditions and water transfers among different  
232 Water Agencies. In order to address this complex problem the WFD promotes the  
233 public decision-making with the goals of attaining the best management practices and  
234 enhancing transparency and confidence-building. There are different degrees of  
235 stakeholders' participation, which range from information supply, consultation and  
236 active involvement.

237 In this sense, following the guidelines established by WFD a public participation  
238 process was carried out by the Segura River Basin Authority for the hydrological  
239 planning cycle from 2015 to 2021 (CHS, 2016).

240 On the one hand, this study considers three categories of stakeholders with  
241 heterogeneous interests, which consider groups who in some way will be affected by the  
242 implementation of undertaken measures:

243 - The government ( $H_1$ ), which include the national government ( $D_{11}$ ), the  
244 regional and local governments ( $D_{12}$ ), and the Segura and Júcar river basin authorities  
245 ( $D_{13}$ ).

246 - The experts and opinion formers in water resource management ( $H_2$ ), including  
247 advisors and academics from different fields such as hydraulics and environmental  
248 sciences, economics or law, and also from international organizations, e.g., the

249 Organization for Economic Co-operation and Development (OECD) or United Nations  
250 (UN) ( $D_{21}$ ), mass-media ( $D_{22}$ ), and non-governmental organization, NGO's ( $D_{23}$ ).

251 - The users of water resources ( $H_3$ ), which cover water user associations for  
252 agricultural, industrial and urban use ( $D_{31}$ ), associations from the tourism sector on  
253 account of its influence in the south-eastern coastal areas of Spain ( $D_{32}$ ), and power  
254 generation private firms such as hydroelectric or energy companies ( $D_{33}$ ).

255 Table 1 shows the stakeholders' satisfaction degree or preference regarding each  
256 alternative. Due to the different capacity to influence on the measures to be undertaken  
257 the stakeholders' categories presents different weights. In this sense, the government  
258 ( $H_1$ ) has the highest weights since the water resources in Spain presents a strong  
259 tradition of State interventionism. The experts and opinion formers ( $H_2$ ) can provide  
260 technical advice or boost a certain position but their influence on the measures to be  
261 taken, and hence their weights, are lower than the other two categories.

262 Historically, the water user communities ( $H_3$ ) in Spain are bodies governed by  
263 public law attached to the river basin authorities. They are self-governing institutions  
264 that can manage themselves to distribute the water efficiently, orderly and in an  
265 equitable way among its members and with the power to impose sanctions. Therefore,  
266  $H_3$  has weights lower than  $H_1$  but higher than  $H_2$ . Therefore, the water resource  
267 management in Spain depends on a heterogeneous decision-making groups, where the  
268 categories are prioritized as follows  $H_1 > H_3 > H_2$ .

269 On the other hand, the stakeholders should decide on different alternatives raised  
270 to achieve a sustainable water management for the region based on political, socio-  
271 economic and ecological objectives while meeting the regulations of the WFD.

272 Then, there are 9 main groups of stakeholders, 3 from different level  
273 governments ( $D_{11}$ ,  $D_{12}$ ,  $D_{13}$ ), 3 from experts and opinion formers ( $D_{21}$ ,  $D_{22}$ ,  $D_{23}$ ), and 3

274 from water user communities ( $D_{31}$ ,  $D_{32}$ ,  $D_{33}$ ). Eventually, each stakeholder provide its  
275 respective satisfaction level or preference to each alternative, i.e., the scores  $D_{ij}(x)$   
276 regarding to each alternative  $A_k$  ( $k=1,2,\dots,5$ ).

277         The Segura Hydrologic Plan for the cycle 2015-2021 comprises a wide range of  
278 alternatives and possible measures to be taken for the sustainable management of the  
279 water body that can be discussed by the stakeholders. The Hydrological Plan is a mixing  
280 of those measures to achieve the environmental and socio-economic objectives, which  
281 includes reduction of over-exploitation, transfer of water resources from other river  
282 basins, use of desalination and sewage water plants, more government control  
283 measurements and regulations, etc. (CHS, 2016). In this study we consider five different  
284 alternatives, which differ in the priority that they give to the environmental and socio-  
285 economic objectives, and the emphasis in the possible measures to be taken. Since there  
286 are some alternatives giving priority to the environmental and ecological objectives,  
287 while other alternatives focuses on the socio-economic development of the region it  
288 seems clear that there is a conflict of interest among the stakeholders, which will show  
289 their degree of acceptance or preference to the different alternatives. The considered  
290 alternatives are listed below:

291 -Alternative 1 ( $A_1$ ): this alternative gives more priority to the environmental and  
292 ecological objectives. It proposes to achieve a good quantitative and qualitative status of  
293 all water bodies for the horizon of 2027. The Segura river basin has been derogated the  
294 achievement of the good status, as determined by the WFD, and will not be achieve in  
295 the hydrological cycle 2015-2021. Specifically, the way towards the good status of all  
296 water bodies will be achieve by considering a reduction and more control of the over-  
297 exploitation and water storage of the water bodies by decreasing the surface of irrigated  
298 crops or not allowing new water demand for urban use as a consequence of the tourism

299 growth in recent years. On the one hand, a large reduction would entail a reversal in the  
300 socio-economic development in the area with unaffordable losses for the farmers and  
301 tourism sector. On the other hand, the investment cost to achieve these objectives for  
302 the hydrological cycle was of almost 2.000 M€ for the watershed. For instance, the  
303 investment considers the construction of new infrastructures such as the planned  
304 connection to the infrastructure of Taibilla Canals Community (TCM) to supply water  
305 from the drinking water treatment plant of Sierra de la Espada to the municipalities of  
306 Yecla and Jumilla for urban use. This would allow in the future the replacement of  
307 groundwater resources, thus reducing the extractions from the aquifer. However, a  
308 significant investment in infrastructures during periods of economic crisis may be  
309 unaffordable (e.g., infrastructures for water transfers, drinking water and waste water  
310 plants, desalination plants, dams, network of water monitoring stations...).

311 The reduction of the over-exploitation is one of the important issues that the Segura  
312 River Basin Authority has posed for the hydrological cycle from 2015 to 2021, since it  
313 is greater than 200 hm<sup>3</sup>/year for the whole watershed. The reduction of abstractions in  
314 the Jumilla-Villena aquifer would improve the current water balance disequilibrium and  
315 ecological flows, avoid the decrease of groundwater levels and their corresponding  
316 subsidence problems, and partially allow in the long-term the recuperation of water  
317 dependent ecosystems such as springs and wetlands (i.e., due to the intensive  
318 exploitations during the last decades the springs of Ñorica and Chopo disappeared).  
319 This alternative also considers a low environmental impacts for future climate changes  
320 and land-use land-cover changes (including droughts, desertification, erosion, and  
321 availability of future water resources).

322 The Jumilla-Villena aquifer also presents an upward trend in observed concentrations of  
323 hazardous substances such as an increase in nitrate concentrations as a consequence of

324 the fertilizer application in irrigated crops, and salt water as a result of the seawater  
325 intrusion. These problems are a major concern in water resources management (e.g.,  
326 Llopis-Albert and Pulido-Velazquez, 2014; 2015). The WFD establishes certain  
327 substances as hazardous and provides limits to their concentration on account of their  
328 substantial risk to the environment. These thresholds must not be exceeded for the  
329 achievement of the good chemical status. With the reduction of abstractions and the  
330 surface of irrigated crops defined by the alternative  $A_1$  the chemical status would  
331 improve.

332 -Alternative 2 ( $A_2$ ): this alternative tries to balance the environmental and ecological  
333 objectives with the socio-economic ones by using external water resources. However,  
334 all water transfers and the quantities transferred are controversial and present a high  
335 socio-economic cost, even more if they involve different river basins which may lead to  
336 a great rejection among the different regions. In fact, the current hydrological plan  
337 considers the use of external resources because otherwise it would imply  
338 disproportionate costs in socio-economic and environmental terms. The current plan  
339 poses some water transfers in order to satisfy the water demand in a sustainable way.  
340 The Tajo-Segura water transfer entails, since the early eighties, a mean of around 300  
341 hm<sup>3</sup>/year; the Negratín-Almanzora of around 17 hm<sup>3</sup>/year; and the Júcar and Vinalopó  
342 (which is a recently approved water transfer) of around 6 hm<sup>3</sup>/year. Part of this water  
343 transfers are used in the Jumilla-Villena aquifer.

344 The Spain's National Water Plan sets the points of connections and water prices of the  
345 external resources and weighs up the cost of the construction of the new infrastructure  
346 required. In this sense, the Segura Water Agency performed simulations using different  
347 prices for the external resources. An analysis of payment capacity of the users of the  
348 area through elasticity of demand curves were carried out.

349 -Alternative 3 ( $A_3$ ): this alternative is similar to alternative  $A_2$  but instead of using such a  
350 large quantity of external water resources from other river basins it also relies on the use  
351 of desalination plants (the Jumilla-Villena aquifer is a coastal aquifer) and sewage  
352 treatment plants. This would allow when needed, the substitution of non-renewable  
353 groundwater resources, thus avoiding certain environmental problems (e.g., Llopis-  
354 Albert, 2016). However, the high cost of the construction and maintenance of those  
355 plants, and the cost of the energy needed, lead to a high price of the m<sup>3</sup> of desalinated or  
356 reused water. Moreover, the desalinated water may present higher costs if compared to  
357 other water resources. These problems, together with the environmental impacts of  
358 desalination plants can prevent or limit their use. The hydrological plan of the Segura  
359 river basin for the cycle 2015-2015 establishes a production of desalinated water  
360 between 150 and 200 hm<sup>3</sup>/year for the whole watershed and from a total of 13  
361 desalination plants. Additionally, it poses a production of around 150 hm<sup>3</sup>/year from  
362 more than 200 sewage treatment plants in the watershed.

363 -Alternative 4 ( $A_4$ ): this alternative gives more priority to the socio-economic  
364 objectives.

365 On the one hand, it includes the fulfillment of operating efficiency of the measures to be  
366 taken such as short realization time, low implementation costs (covering monetary,  
367 social and reputational costs, and human resources), and low costs in the construction,  
368 maintenance, and management of infrastructures. On the other hand, it entails the socio-  
369 economic development of the region (e.g., in terms of employment, social equity or  
370 gross domestic product) for the agriculture, industry and service sectors (e.g., tourism).  
371 This alternative tries to maximize water use and even increase future water demands for  
372 tourism purposes. In this sense, the reduction of the water demand considered in this  
373 alternative is much lower than that of the  $A_1$ .



374 -Alternative 5 (A<sub>5</sub>): this alternative is similar to alternative A<sub>1</sub> but with a strong control  
375 on the part of the government, water agencies and user's communities in order to  
376 achieve the good status of all water bodies. A stringent water management control may  
377 lead to a strong opposition among water users, and to negative political repercussions to  
378 the government. A wide range of control mechanisms could be applied: control or  
379 reduction of water demand by economic instruments (e.g., reduction of irrigated areas  
380 by acquisition of water rights and its reordering, that would be selectively applied on  
381 crop areas with lower productivity); control or reduction of pollutants by economic  
382 instruments (e.g., water and fertilizer taxes, implementation of fertilizer standards, and  
383 water trading, i.e., buying and selling water access entitlements); increase of water  
384 control and sanctions by water agencies (e.g., illegal water abstractions, illegal  
385 dumping, over-fertilizers practices...); set up of user's communities as a control  
386 mechanism with the power to impose sanctions; control of water resources by  
387 application of satellite remote sensing; a strong intervention of the EU Common  
388 Agricultural Policy (CAP); more control for an efficient conjunctive use of surface  
389 water and groundwater (for the Jumilla-Villena aquifer this would be translated into  
390 groundwater substitution by surface water for agricultural purposes); water banking,  
391 which is the practice of foregoing water deliveries for a certain period of time, and to  
392 provide either the right to use the forgone water in the future, or saving it to use in  
393 exchange for a fee or delivery in kind); more intervention in the establishment of new  
394 protected and vulnerable areas and designation of artificial water bodies and heavily  
395 modified; control on the river basin operating system and the current and future water  
396 demand, promote land-use changes for adaptation to exploitation plans (e.g., change of  
397 crops or dry farming); reduction of water allotment in drought periods (e.g., in  
398 summer); and promote irrigation efficiency.

399

#### 400 4. Results and discussion

401 The scores  $D_{ij}(x)$  are presented in Table 1, which values are based on, meetings,  
402 interviews with stakeholders, surveys, expert judgment, mass-media information and  
403 reports regarding the PPP published by the Segura and Júcar river basins as a  
404 transparency and confidence-building measure (CHS, 2016).

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410 Table 1. Satisfaction degree of each stakeholder regarding each alternative

	$D_{11}$	$D_{12}$	$D_{13}$	$D_{21}$	$D_{22}$	$D_{23}$	$D_{31}$	$D_{32}$	$D_{33}$
$A_1$	0.9	0.8	0.9	0.9	0.7	0.9	0.6	0.5	0.9
$A_2$	0.8	0.9	0.8	0.6	0.5	0.6	0.9	0.9	0.5
$A_3$	0.6	0.6	0.6	0.6	0.5	0.5	0.8	0.9	0.4
$A_4$	0.7	0.8	0.6	0.7	0.8	0.5	0.9	0.9	0.3
$A_5$	0.7	0.6	0.7	0.8	0.5	0.8	0.6	0.5	0.9

411

412 The weights associated to the OWA operator in Eq. (2) are determined using  
413 linguistic quantifiers (Q), such as “Most”, “At least half” and “Average” (Yager, 2008).

414 The Q can be expressed as fuzzy set, where  $Q(0)=0$ ,  $Q(1)=1$ , and  $Q(x)>Q(y)$  for  $x>y$ .

415 Eventually, the weights can be determined as follows:

$$416 \quad \omega_j = Q\left(\frac{j}{n}\right) - Q\left(\frac{j-1}{n}\right), j = 1, 2, \dots, n \quad (7)$$

417 where Q is defined as follows (Zadeh, 1983):

418 
$$Q(r) = \begin{cases} 0, & \text{if } r < a \\ \frac{r-a}{b-a}, & \text{if } a \leq r \leq b \\ 1, & \text{if } r > b \end{cases}$$

419 Hence, the weight vector is  $\omega_j = (0.066, 0.666, 0.268)^T$ , with  $j = 1, 2, 3$ . Once the  
 420 weights are obtained the degree of satisfaction for each priority level can be calculated  
 421 by means of the OWA operator and using the linguistic quantifier ‘‘Most’’ in Eq. (7):

422 
$$Sat_1(x_1) = OWA\omega_1(D_{11}(x_1), D_{12}(x_1), D_{13}(x_1)) = OWA\omega_1(0.9, 0.8, 0.9) = 0.8737$$

423 
$$Sat_2(x_1) = OWA\omega_2(D_{21}(x_1), D_{22}(x_1), D_{23}(x_1)) = OWA\omega_2(0.9, 0.7, 0.9) = 0.8469$$

424 
$$Sat_3(x_1) = OWA\omega_3(D_{31}(x_1), D_{32}(x_1), D_{33}(x_1)) = OWA\omega_3(0.6, 0.5, 0.9) = 0.5935$$

425 Subsequently, by using the Eq. (3) the priority weight for each priority level can be  
 426 obtained:

427 
$$T_1 = 1; T_2 = Sat_0 \cdot Sat_1 = 0.8737; T_3 = T_2 \cdot Sat_2 = 0.7400$$

428 Finally, the global prioritized aggregated value is obtained through Eq. (4):

429 
$$D(x_1) = \sum_{i=1}^3 T_i Sat_i = 1 \cdot 0.8737 + 0.8737 \cdot 0.8469 + 0.7400 \cdot 0.5935 = 2.0529$$

430 Similarly, for the other alternatives the prioritized aggregation values are:

431 
$$D(x_2) = 1.6373; D(x_3) = 1.1177; D(x_4) = 1.4536; D(x_5) = 1.4465$$

432 Therefore, the ranking order for the five alternatives considered:

433 
$$D(x_1) > D(x_2) > D(x_4) > D(x_5) > D(x_3)$$

434 These results show that the best alternative is  $A_1$ . That is, the stakeholders pay more  
 435 attention to the environmental and ecological objectives than to the socio-economic  
 436 ones. This is because stakeholders are concerned about the current quantitative and  
 437 qualitative status of the water bodies in the river basin. They are aware that the  
 438 achievement of the WFD standards cannot occurred in this hydrological cycle and must  
 439 be derogated to 2027. However, they know that the measures have to be taken now.  
 440 They prefer the alternative entailing an important reduction in the over-exploitations by

441 a mixture of measures but without emphasizing one specific measure such as those  
442 raised in the other alternatives.

443 Results also show that the second best alternative is that relying on water transfers from  
444 other river basins. However, it should be taken into account that many of the  
445 stakeholders are from the Segura river basin and that it must be agreed upon the other  
446 regions.

447 Note that the third chosen alternative is that giving more priority to the socio-  
448 economic since some of the stakeholders are from the private sector. Finally, the  
449 alternative with more control and regulations is the worst rated by the actors.

450 The WFD establishes an official calendar regarding the main phases of public  
451 decision-making process and a period for observations and allegations. After all the  
452 mechanisms used for stakeholders' engagement (e.g., meetings, workshops,  
453 conferences, expert panels, web-based communication technologies, water associations,  
454 consultations in regulatory processes, surveys and polls, and river basin organizations)  
455 the Segura hydrological plan for the cycle 2015-2021 performed a lot of changes  
456 regarding the initial documents on account of the stakeholders' contributions.  
457 Furthermore, stakeholders' satisfaction surveys were carried out among the stakeholders  
458 showing encouraging results, although with the idea that public decision-making  
459 process could be improved (CHS, 2016). A methodology as that here presented can help  
460 in conflict resolution decision-making problems providing more consensus among the  
461 stakeholders regarding the measures to be taken.

462

## 463 **5. Conclusions**

464 Environmental planning and water policy decision-making processes are  
465 hindered by the existence of several alternatives that must be selected considering the

466 points of view of stakeholders with conflicting interests and uncertainties on their  
467 preferences.

468 We have presented a methodology based on prioritized OWA operators that allows to  
469 achieve more consensus among stakeholders regarding the measures and regulations to  
470 be taken. The methodology has been successfully applied to a case study in the field of  
471 water resources. It can help public decision-making processes because both the  
472 methodology and its results are easily understandable by non-technicians and non-  
473 experts stakeholders, while providing a transparent and multidisciplinary framework for  
474 informing and optimizing water policies, thus contributing to the stakeholders'  
475 satisfaction and the implementation of the WFD.

476

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