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# POLYNOMIAL MAPS WITH MAXIMAL MULTIPLICITY AND THE SPECIAL CLOSURE

## CARLES BIVIÀ-AUSINA AND JORGE A.C. HUARCAYA

ABSTRACT. In this article we characterize the polynomial maps  $F : \mathbb{C}^n \to \mathbb{C}^n$  for which  $F^{-1}(0)$  is finite and their multiplicity  $\mu(F)$  is equal to  $n! V_n(\widetilde{\Gamma}_+(F))$ , where  $\widetilde{\Gamma}_+(F)$  is the global Newton polyhedron of F. As an application, we derive a characterization of those polynomial maps whose multiplicity is maximal with respect to a fixed Newton filtration.

## 1. INTRODUCTION

Let  $F : \mathbb{C}^n \to \mathbb{C}^n$  be a polynomial map such that  $F^{-1}(0)$  is finite. We define the *multiplicity* of F as the number

(1) 
$$\mu(F) = \dim_{\mathbb{C}} \frac{\mathbb{C}[x_1, \dots, x_n]}{\mathbf{I}(F)}$$

where I(F) denotes the ideal of  $\mathbb{C}[x_1, \ldots, x_n]$  generated by the components of F. It is wellknown (see for instance [?, p. 150]) that, when  $F^{-1}(0)$  is finite and n = p, we have

(2) 
$$\dim_{\mathbb{C}} \frac{\mathbb{C}[x_1, \dots, x_n]}{\mathbf{I}(F)} = \sum_{x \in F^{-1}(0)} \dim_{\mathbb{C}} \frac{\mathcal{O}_{n,x}}{\mathbf{I}_x(F)}$$

where  $\mathcal{O}_{n,x}$  is the ring of analytic function germs  $(\mathbb{C}^n, x) \to \mathbb{C}$  and  $\mathbf{I}_x(F)$  is the ideal of  $\mathcal{O}_{n,x}$ generated by the germs at x of the components of F. We denote  $\mathcal{O}_{n,0}$  simply by  $\mathcal{O}_n$ . Therefore, the number  $\mu(F)$  gives the number of solutions of the system F(x) = 0 counting multiplicities.

In addition to the interest of  $\mu(F)$  in the study of polynomial systems in general, the multiplicity of polynomial maps is a basic tool in singularity theory. For instance, in [?] Kouchnirenko obtained an expression for the total Milnor number of a polynomial function  $f \in \mathbb{C}[x_1, \ldots, x_n]$  in terms of the Newton polyhedron of f. We recall that if  $f \in \mathbb{C}[x_1, \ldots, x_n]$ has a finite number of singularities, then the total Milnor number  $\mu_{\infty}(f)$  of f is defined as  $\mu_{\infty}(f) = \mu(\nabla f)$ , where  $\nabla f$  is the polynomial map  $\mathbb{C}^n \to \mathbb{C}^n$  given by  $\nabla f = (\frac{\partial f}{\partial x_1}, \ldots, \frac{\partial f}{\partial x_n})$ , for all  $x \in \mathbb{C}^n$ . The total Milnor number  $\mu_{\infty}(f)$  of f has an important connection with the topology of the generic fibres  $f^{-1}(t), t \in \mathbb{C}$ , as can be seen in the articles [?, ?, ?, ?]. The multiplicity  $\mu(F)$  is also involved in the estimation of the Lojasiewicz exponent at infinity of F, usually denoted by  $\mathcal{L}_{\infty}(F)$ , as can be seen in [?, Theorem 7.3].

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Given a non-zero polynomial  $h \in \mathbb{C}[x_1, \ldots, x_n]$ , let  $\operatorname{supp}(h)$  denote the support of h (see Definition ??). Let us fix a polynomial map  $F = (F_1, \ldots, F_n) : \mathbb{C}^n \to \mathbb{C}^n$ . By the Bernstein–Khovanskii–Kouchnirenko bound (which is stated originally for Laurent polynomial maps), we know that the number of isolated zeros of F in  $(\mathbb{C} \setminus \{0\})^n$ , counted with multiplicities, is less than or equal to  $\operatorname{MV}_n(A_1, \ldots, A_n)$ , where  $A_i$  denotes the convex hull in  $\mathbb{R}^n$ of  $\operatorname{supp}(F_i)$ , for all  $i, \ldots, n$ , and  $\operatorname{MV}_n$  denotes mixed volume in  $\mathbb{R}^n$  (see [?, p. 346]). We refer to the article [?] of Rojas for a generalization of this result. By the main result of Li-Wang shown in [?, Theorem 2.4] we know that if  $F^{-1}(0)$  is finite, then  $\mu(F) \leq \operatorname{MV}_n(A_1^0, \ldots, A_n^0)$ , where  $A_i^0$  is the convex hull in  $\mathbb{R}^n$  of  $\operatorname{supp}(F_i) \cup \{0\}$ , for all  $i = 1, \ldots, n$ .

Let  $\Gamma_+(F)$  denote the convex hull of  $\operatorname{supp}(F_1) \cup \cdots \cup \operatorname{supp}(F_n) \cup \{0\}$ . We refer to this set as the global Newton polyhedron of F (see Definition ??). Since  $\mu(F)$  does not change when substituting each  $F_i$  by a generic  $\mathbb{C}$ -linear combination of  $F_1, \ldots, F_n$ , for all  $i = 1, \ldots, n$ , then we conclude that

(3) 
$$\mu(F) \leq \mathrm{MV}_n(\widetilde{\Gamma}_+(F), \dots, \widetilde{\Gamma}_+(F)) = n! \mathrm{V}_n(\widetilde{\Gamma}_+(F)),$$

where the last equality is an elementary property of mixed volumes (see for instance [?, p. 338]). In this article we characterize the polynomial maps  $F : \mathbb{C}^n \to \mathbb{C}^n$  for which  $F^{-1}(0)$  is finite and  $\mu(F) = n! V_n(\widetilde{\Gamma}_+(F))$ . When this equality holds then we say that F has maximal multiplicity.

Our work in this article has been inspired by the results of the articles [?], [?] and [?]. Particularly by [?, Theorem 2.11], where the ideals I of  $\mathcal{O}_n$  of finite colength such that  $e(I) = n! \nabla_n(\mathbb{R}_{\geq 0} \setminus \Gamma_+(I))$  are characterized. Here  $\Gamma_+(I)$  denotes the Newton polyhedron of I (see [?, Definition 2.1]) and e(I) is the Samuel multiplicity of I. In the proof of this characterization the Rees' Multiplicity Theorem (see for instance [?, p. 222]) played a fundamental role.

In Section ?? we recall basic definitions and results that we will need along the article. In particular, we recall the notion of special closure of a polynomial map introduced in [?]. Section ?? is devoted to showing the central result of the article (Theorem ??), where we show that a given polynomial map  $F : \mathbb{C}^n \to \mathbb{C}^n$  has maximal multiplicity if and only if F is Newton non-degenerate at infinity (see Definition ??), which in turn is equivalent to saying that the special closure of F determined by the monomials  $x^k$  with  $k \in \widetilde{\Gamma}_+(F)$ , by the results of [?]. In Section ?? we introduce and characterize the notion of non-degeneracy with respect to a global Newton polyhedron. This notion generalizes simultaneously the condition of Newton non-degeneracy at infinity and the pre-weighted homogeneity of functions (see Definition ??). As a consequence of the results of this section, we show a version for total Milnor numbers of the main result of [?] about Milnor numbers of analytic functions and weighted homogeneous filtrations.

## 2. Preliminary definitions and results

#### 2.1. The global Newton filtration

We will follow the notation introduced in [?, Section 2]. Here we briefly recall some definitions from [?]. We say a that a given a subset  $\widetilde{\Gamma}_+ \subseteq \mathbb{R}^n_{\geq 0}$  is a global Newton polyhedron when there exists some  $A \subseteq \mathbb{Z}_{\geq 0}^n$  such that  $\widetilde{\Gamma}_+$  is equal to the convex hull of  $A \cup \{0\}$ . In this case, we will also denote  $\widetilde{\Gamma}_+$  by  $\widetilde{\Gamma}_+(A)$ .

**Definition 2.1.** Let us fix coordinates  $x_1, \ldots, x_n \in \mathbb{C}^n$ . For any  $k \in \mathbb{Z}_{\geq 0}^n$ , we denote the monomial  $x_1^{k_1} \cdots x_n^{k_n}$  by  $x^k$ . Given a polynomial  $h \in \mathbb{C}[x_1, \ldots, x_n], h \neq 0$ , if h is written as  $h = \sum_k a_k x^k$ , then the support of h is defined as the set of those  $k \in \mathbb{Z}_{\geq 0}^n$  such that  $a_k \neq 0$ . We denote this set by  $\operatorname{supp}(h)$ . We set  $\operatorname{supp}(0) = \emptyset$ .

For any  $h \in \mathbb{C}[x_1, \ldots, x_n]$ , the global Newton polyhedron of h, denoted by  $\widetilde{\Gamma}_+(h)$ , is defined as  $\widetilde{\Gamma}_+(h) = \widetilde{\Gamma}_+(\operatorname{supp}(h) \cup \{0\})$ . If  $F = (F_1, \ldots, F_p) : \mathbb{C}^n \to \mathbb{C}^p$  is a polynomial map, then we define the support of F as  $\operatorname{supp}(F) = \operatorname{supp}(F_1) \cup \cdots \cup \operatorname{supp}(F_p)$ . Thus, the global Newton polyhedron of F, denoted by  $\widetilde{\Gamma}_+(F)$  or by  $\widetilde{\Gamma}_+(F_1, \ldots, F_p)$ , is defined as the convex hull of  $\widetilde{\Gamma}_+(F_1) \cup \cdots \cup \widetilde{\Gamma}_+(F_p)$ . Hence  $\widetilde{\Gamma}_+(F) = \widetilde{\Gamma}_+(\operatorname{supp}(F) \cup \{0\})$ .

If P is a non-empty compact subset of  $\mathbb{R}^n$  and  $v \in \mathbb{R}^n$ , then we define  $\ell(v, P) = \min\{\langle v, k \rangle : k \in P\}$  and  $\Delta(v, P) = \{k \in P : \langle v, k \rangle = \ell(v, P)\}$ , where  $\langle , \rangle$  denotes the standard scalar product in  $\mathbb{R}^n$ . The sets of the form  $\Delta(v, P)$ , for some  $v \in \mathbb{R}^n \setminus \{0\}$  are called *faces* of P. If  $\Delta$  is a face of P and  $v \in \mathbb{R}^n \setminus \{0\}$  verifies that  $\Delta = \Delta(v, P)$ , then we say that v supports  $\Delta$ . The dimension of  $\Delta$ , denoted by dim $(\Delta)$ , is defined as the minimum of the dimensions of the affine subspaces of  $\mathbb{R}^n$  containing  $\Delta$ . The faces of P of dimension 0 are called vertices of P.

If  $\widetilde{\Gamma}_+ \subseteq \mathbb{R}^n$  is a Newton polyhedron, then we denote by  $\widetilde{\Gamma}$  the union of all faces of  $\widetilde{\Gamma}_+$ not containing the origin. We will refer to  $\widetilde{\Gamma}$  as the global boundary of  $\widetilde{\Gamma}_+$ . We say that  $\widetilde{\Gamma}_+$ is convenient when  $\widetilde{\Gamma}_+$  cuts any coordinate axis in a point different from the origin. Unless otherwise stated, in the remaining section we will fix a convenient global Newton polyhedron  $\widetilde{\Gamma}_+ \subseteq \mathbb{R}^n_+$ .

Let  $\Delta$  be a face of  $\widetilde{\Gamma}_+$  not containing the origin. Then, we denote by  $C(\Delta)$  the *cone* over  $\Delta$ , that is, the union of all half lines emanating from the origin and passing through some point of  $\Delta$ . We denote by  $\mathcal{R}_{\Delta}$  the subring of  $\mathbb{C}[x_1, \ldots, x_n]$  formed by those  $h \in \mathbb{C}[x_1, \ldots, x_n]$  such that  $\operatorname{supp}(h) \subseteq C(\Delta)$ .

A vector  $v \in \mathbb{Z}^n$ ,  $v \neq 0$ , is called *primitive* when v is the vector of smallest length over all vectors of the form  $\lambda v$ , where  $\lambda > 0$ . Let  $\mathcal{F}_0(\widetilde{\Gamma}_+)$  denote the family of primitive vectors of  $\mathbb{Z}^n$ supporting some face of  $\widetilde{\Gamma}_+$  of dimension n-1 not passing through the origin (see [?, Section 2]). Let us write  $\mathcal{F}_0(\widetilde{\Gamma}_+) = \{w^1, \ldots, w^r\}, r \ge 1$ . Let us denote by  $M_{\widetilde{\Gamma}}$  the least common multiple of the set of positive integers  $\{-\ell(w^i, \widetilde{\Gamma}_+) : i = 1, \ldots, r\}$  (see [?, Lemma 2.3]). If  $j \in \{1, \ldots, r\}$ , let  $\phi_j : \mathbb{R}^n \to \mathbb{R}$  be the linear map defined by

$$\phi_j(k) = M_{\widetilde{\Gamma}} \frac{\langle w^j, k \rangle}{\ell(w^j, \widetilde{\Gamma}_+)}$$

for all  $k \in \mathbb{R}^n$ . Then, we define the map  $\phi_{\widetilde{\Gamma}} : \mathbb{R}^n_{\geq 0} \to \mathbb{R}$  by  $\phi(k) = \max_{1 \leq j \leq r} \phi_j(k)$ , for all  $k \in \mathbb{R}^n_{\geq 0}$ .

We will refer to  $\phi_{\tilde{\Gamma}}$  as the *filtrating map* associated to  $\tilde{\Gamma}$ . If no confusion arises, then we denote  $M_{\tilde{\Gamma}}$  and  $\phi_{\tilde{\Gamma}}$  simply by M and  $\phi$ , respectively. We observe that the restriction of  $\phi$  to

 $\widetilde{\Gamma}$  is constant and equal to M. Let us remark that

$$\widetilde{\Gamma}_{+} = \left\{ k \in \mathbb{R}^{n}_{\geq 0} : \langle w^{j}, k \rangle \geq \ell(w^{j}, \widetilde{\Gamma}_{+}), \text{ for all } j = 1, \dots r \right\} = \left\{ k \in \mathbb{R}^{n}_{\geq 0} : \phi(k) \leq M \right\},\$$

where the second equality follows from the fact that  $\ell(w^j, \widetilde{\Gamma}_+) < 0$ , for all  $j = 1, \ldots, r$ .

# **Lemma 2.2.** The filtrating map $\phi$ satisfies the following properties:

- (a)  $\phi(\mathbb{Z}_{\geq 0}^n) \subseteq \mathbb{Z}_{\geq 0}$ .
- (b) If  $k \in \mathbb{R}^n_{\geq 0}$  and  $j_0 \in \{1, \ldots, r\}$ , then  $\phi(k) = \phi_{j_0}(k)$  if and only if  $k \in C(\Delta(w^{j_0}, \widetilde{\Gamma}_+))$ .
- (c)  $\phi$  is linear on each cone  $C(\Delta)$ , where  $\Delta$  is any face of  $\widetilde{\Gamma}_+$  not passing through the origin.
- (d) If  $a, b \in \mathbb{R}^n$ , then  $\phi(a+b) \leq \phi(a) + \phi(b)$  and equality holds if and only if a and b belong to the same cone, that is, there exists a vector  $w \in \mathcal{F}_0(\widetilde{\Gamma}_+)$  such that  $a, b \in C(\Delta(w, \widetilde{\Gamma}_+))$ .

Proof. Let us prove (a). Since  $\widetilde{\Gamma}_+$  is convenient, the line  $\lambda k$ ,  $\lambda \ge 0$ , intersects  $\widetilde{\Gamma}$ . Then, given a point  $k \in \mathbb{Z}_{\ge 0}^n$ ,  $k \ne 0$ , we can write k as  $k = \lambda k'$ , for some  $k' \in \widetilde{\Gamma}$  and some  $\lambda > 0$ . Since  $\phi(k') = M$ , there exists some  $j \in \{1, \ldots, r\}$  such that  $\langle w^j, k' \rangle = \ell(w^j, \widetilde{\Gamma}_+) < 0$ . Then  $\langle w^j, k \rangle < 0$  and this implies that  $\phi(k) > 0$ .

Let us prove (b). As before, let us write k as  $k = \lambda k'$ , for some  $k' \in \widetilde{\Gamma}$  and some  $\lambda > 0$ . By the definition of  $\phi$ , we have  $\phi(k) = \phi_{j_0}(k)$  if and only if

(4) 
$$\frac{\langle k', w^j \rangle}{\ell(w^j, \widetilde{\Gamma}_+)} \leqslant \frac{\langle k', w^{j_0} \rangle}{\ell(w^{j_0}, \widetilde{\Gamma}_+)},$$

for all  $j \in \{1, \ldots, r\}$ . Since  $\ell(w^{j_0}, \widetilde{\Gamma}_+) < 0$ , we have  $\langle k', w^{j_0} \rangle / \ell(w^{j_0}, \widetilde{\Gamma}_+) \leq 1$ . On the other hand, the condition  $k' \in \widetilde{\Gamma}$  implies the equality  $\ell(w^j, \widetilde{\Gamma}_+) = \langle w^j, k' \rangle$ , for some  $j \in \{1, \ldots, r\}$ . Then (??) is equivalent to saying that  $\langle k', w^{j_0} \rangle / \ell(w^{j_0}, \widetilde{\Gamma}_+) = 1$ . In particular,  $k' \in \Delta(w^{j_0}, \widetilde{\Gamma}_+)$ and the result follows. Items (c) and (d) are immediate consequences of item (b).

Given an  $h \in \mathbb{C}[x_1, \ldots, x_n], h \neq 0$ , the degree of h with respect to  $\widetilde{\Gamma}_+$  is defined as

$$\nu_{\widetilde{\Gamma}}(h) = \max \left\{ \phi(k) : k \in \operatorname{supp}(h) \right\}.$$

When h = 0, then we set  $\nu_{\widetilde{\Gamma}}(0) = 0$ . Thus, we have a map  $\nu_{\widetilde{\Gamma}} : \mathbb{C}[x_1, \ldots, x_n] \to \mathbb{Z}_{\geq 0}$ . If there is no risk of confusion, then we will denote  $\nu_{\widetilde{\Gamma}}$  simply by  $\nu$ .

Let us remark that, when  $\widetilde{\Gamma}_+$  is equal to the standard *n*-simplex, that is, when  $\widetilde{\Gamma}_+ = \widetilde{\Gamma}_+(x_1,\ldots,x_n)$ , then  $\nu_{\widetilde{\Gamma}}(h) = \max\{k_1 + \cdots + k_n : k \in \operatorname{supp}(h)\}$ . Therefore, in this case  $\nu_{\widetilde{\Gamma}}(h)$  coincides with the usual notion of degree of h, for any  $h \in \mathbb{C}[x_1,\ldots,x_n]$ .

Let us define, for all  $r \in \mathbb{Z}_{\geq 0}$ , the following set of polynomials:

(5) 
$$\mathcal{B}_r = \left\{ h \in \mathbb{C}[x_1, \dots, x_n] : \nu(h) \leqslant r \right\}.$$

In particular,  $\mathcal{B}_0 = \mathbb{C}$  and  $\mathcal{B}_M = \{f \in \mathbb{C}[x_1, \ldots, x_n] : \operatorname{supp}(f) \subseteq \widetilde{\Gamma}_+\}$ . By the properties of  $\phi$ , it is immediate to check the following:

- (a)  $\mathcal{B}_r$  is a finite dimensional vector subspace of  $\mathbb{C}[x_1, \ldots, x_n]$ , for all  $r \ge 0$ ;
- (b)  $\mathcal{B}_r \subseteq \mathcal{B}_{r+1}$ , for all  $r \ge 0$ ;

- (c)  $\mathcal{B}_r \mathcal{B}_{r'} \subseteq \mathcal{B}_{r+r'}$ , for all  $r, r' \ge 0$
- (d)  $\widetilde{\Gamma}_{+}(\mathcal{B}_{r}) \subseteq \frac{r}{M}\widetilde{\Gamma}_{+}$  and equality holds if and only if  $V_{n}(\widetilde{\Gamma}_{+}(\mathcal{B}_{r})) = (\frac{r}{M})^{n}V_{n}(\widetilde{\Gamma}_{+})$ , where  $V_{n}$  denotes *n*-dimensional volume.

We observe that  $\nu$  determines and is determined by the collection of subspaces  $\{\mathcal{B}_r\}_{r\geq 0}$ . We refer both to the map  $\nu$  and the collection of subspaces  $\{\mathcal{B}_r\}_{r\geq 0}$  as the Newton filtration of  $\mathbb{C}[x_1,\ldots,x_n]$  induced by  $\widetilde{\Gamma}_+$ .

Let us remark that we have exposed the notion of Newton filtration induced by  $\Gamma_+$  in a slightly different way from Kouchnirenko [?, Section 5.9]. That is, the filtrating map considered in [?, Section 5.9] equals  $-\phi$  and thus in [?] the corresponding collection of subspaces is decreasing and indexed by  $\mathbb{Z}_{\leq 0}$ .

# 2.2. The special closure of a polynomial map

Let  $F : \mathbb{C}^n \to \mathbb{C}^p$  be a polynomial map. We will say that F is *finite* when  $F^{-1}(0)$  is finite. The multiplicity of F is well-defined if and only if F is finite (see [?, p. 39]). Let us denote  $\dim_{\mathbb{C}} \mathcal{O}_{n,x}/\mathbf{I}_x(F)$  by  $\mu_x(F)$ , for any  $x \in F^{-1}(0)$ . As remarked in (??), it is known that  $\mu(F) = \sum_{x \in F^{-1}(0)} \mu_x(F)$ .

If  $h \in \mathbb{C}[x_1, \ldots, x_n]$ , then we say that h is special with respect to F (see [?, Definition 4.1]) when there exist some positive constants C and M such that

$$|h(x)| \leqslant C \|F(x)\|$$

for all  $x \in \mathbb{C}^n$  for which  $||x|| \ge M$ . Let us denote by  $\operatorname{Sp}(F)$  the set of special elements with respect to F. We refer to  $\operatorname{Sp}(F)$  as the *special closure of* F. The elements of  $\operatorname{Sp}(F)$  can be characterized in terms of the notion of multiplicity.

**Theorem 2.3.** [?] Let  $F : \mathbb{C}^n \to \mathbb{C}^n$  be a finite polynomial map and let  $h \in \mathbb{C}[x_1, \ldots, x_n]$ ,  $h \neq 0$ . Then the following conditions are equivalent:

- (a) h is special with respect to F;
- (b) there exists some  $\delta > 0$  such that for all  $\alpha \in B(0; \delta)$ , the map  $F + h\alpha$  is finite and  $\mu(F) = \mu(F + h\alpha)$ .

Let  $A \subseteq \mathbb{R}^n_{\geq 0}$ . If  $h \in \mathbb{C}[x_1, \ldots, x_n]$  and h is written as  $h = \sum a_k x^k$ , then we denote by  $h_A$  the sum of all terms  $a_k x^k$  such that  $k \in \operatorname{supp}(h) \cap A$ . If  $\operatorname{supp}(h) \cap A = \emptyset$ , then we set  $h_A = 0$ . The following definition will be fundamental for the objectives of this article.

**Definition 2.4.** Let  $F = (F_1, \ldots, F_p) : \mathbb{C}^n \to \mathbb{C}^p$  be a polynomial map. The map F is said to be *Newton non-degenerate at infinity* when, for any face  $\Delta$  of  $\widetilde{\Gamma}_+(F)$  not containing the origin, the following inclusion holds:

(6) 
$$\{x \in \mathbb{C}^n : (F_1)_{\Delta}(x) = \dots = (F_p)_{\Delta}(x) = 0\} \subseteq \{x \in \mathbb{C}^n : x_1 \cdots x_n = 0\}.$$

Under the conditions of the above definition, we will also denote the polynomial  $(F_i)_{\Delta}$  by  $F_{i,\Delta}$ , for any  $i = 1, \ldots, p$ , and any face  $\Delta$  of  $\widetilde{\Gamma}_+$ .

Let us denote by  $\mathbf{S}(F)$  the set of those  $k \in \mathbb{Z}_{\geq 0}^n$  such that  $x^k \in \operatorname{Sp}(F)$ . By [?, Lemma 3.4] we know that  $\mathbf{S}(F) \subseteq \widetilde{\Gamma}_+(F)$ . Next we recall a result from [?]. This characterizes the equality  $\mathbf{S}(F) = \widetilde{\Gamma}_+(F)$ .

**Theorem 2.5.** [?] Let  $F : \mathbb{C}^n \to \mathbb{C}^p$  be a polynomial map such that  $\widetilde{\Gamma}_+(F)$  is convenient. Then the following conditions are equivalent:

- (a) F is Newton non-degenerate at infinity.
- (b)  $\mathbf{S}(F) = \Gamma_+(F) \cap \mathbb{Z}^n_{\geq 0}.$
- (c)  $\operatorname{Sp}(F) = \{h \in \mathbb{C}[x_1, \dots, x_n] : \operatorname{supp}(h) \subseteq \widetilde{\Gamma}_+(F)\}.$

As will be shown in the next section, when p = n and F is finite, the condition  $\mu(F) = n! V_n(\widetilde{\Gamma}_+(F))$  is also equivalent to any of the conditions (a), (b) or (c) of Theorem ?? (see Corollary ??).

# 3. Multiplicity of polynomial maps and convex bodies

Along this section, let us fix a convenient global Newton polyhedron  $\widetilde{\Gamma}_+ \subseteq \mathbb{R}^n_{\geq 0}$ . Let  $\{\mathcal{B}_r\}_{r\geq 0}$ be the Newton filtration of  $\mathbb{C}[x_1, \ldots, x_n]$  induced by  $\widetilde{\Gamma}_+$  (see (??)). Therefore, we can consider the graded ring  $\mathbf{R} = \bigoplus_{r\geq 0} R_r$ , where  $R_r = \mathcal{B}_r/\mathcal{B}_{r-1}$ , for all  $r \geq 0$ , and we fix  $\mathcal{B}_{-1} = \{0\}$ . For any  $f \in \mathbb{C}[x_1, \ldots, x_n]$ , let us denote by  $\operatorname{in}(f)$  the image of f in  $\mathbf{R}$ , that is,  $\operatorname{in}(f) = f + \mathcal{B}_{\nu(f)-1}$ .

Let  $\nu = \nu_{\widetilde{\Gamma}}$ . We remark that the product operation in **R** is defined as follows. If  $f, g \in \mathbb{C}[x_1, \ldots, x_n]$ ,  $\nu(f) = r$  and  $\nu(g) = s$ , then  $\operatorname{in}(f) \operatorname{in}(g) = fg + \mathcal{B}_{r+s-1}$ . By Lemma ??, we have that this product is not zero if and only if  $\nu(fg) = \nu(f) + \nu(g)$ , which is to say that  $\nu(f)$  and  $\nu(g)$  are attained at the same cone, by Lemma ??. We refer to **R** as the graded ring associated to  $\nu$ .

We say that a given condition depending on a parameter  $x \in \mathbb{C}^n$  holds for all  $||x|| \ll 1$  when there exists some open neighbourhood U of  $0 \in \mathbb{C}^n$  such that the said condition holds for all  $x \in U$ .

**Lemma 3.1.** Let  $F : \mathbb{C}^n \to \mathbb{C}^n$  be a finite map and let  $h \in \mathbb{C}[x_1, \ldots, x_n]$ ,  $h \neq 0$ . Then the map  $F_{\alpha} = F + \alpha h$  is finite and  $\mu(F) \leq \mu(F_{\alpha})$ , for all  $\alpha \in \mathbb{C}^n$ ,  $\|\alpha\| \ll 1$ .

*Proof.* Let  $q : \mathbb{C}^n \times \mathbb{C}^n \to \mathbb{C}^n \times \mathbb{C}^n$  be the map given by  $q(x, \alpha) = (F(x) + \alpha h, \alpha)$ , for all  $(x, \alpha) \in \mathbb{C}^n \times \mathbb{C}^n$ . Let us define the sets

(7) 
$$S = \left\{ (x, \alpha) \in \mathbb{C}^n \times \mathbb{C}^n : \dim_x F_{\alpha}^{-1}(0) \ge 1 \right\}$$

(8) 
$$T = \left\{ (x, \alpha) \in \mathbb{C}^n \times \mathbb{C}^n : \dim_{(x,\alpha)} q^{-1}(q(x, \alpha)) \ge 1 \right\}.$$

We remark that, for a given  $(x, \alpha) \in \mathbb{C}^n \times \mathbb{C}^n$ , the condition  $\dim_x F_{\alpha}^{-1}(0) \ge 1$  is equivalent to saying that  $\mu_x(F_{\alpha}) = \infty$ . Let us observe that

(9) 
$$S = T \cap \{(x, \alpha) \in \mathbb{C}^n \times \mathbb{C}^n : F_\alpha(x) = 0\}$$

By Chevalley's Theorem (see [?, Théorème 13.1.3, p.189]), the set T is Zariski closed. Hence S is Zariski closed. In particular  $F_{\alpha}$  is finite, for all  $\|\alpha\| \ll 1$ , since we assume that F is finite.

By [?, Proposition 2.3(ii)],  $\mu(F_{\alpha})$  is a lower semi-continuous function. Hence  $\mu(F) \leq \mu(F_{\alpha})$  for all  $\|\alpha\| \ll 1$ .

**Theorem 3.2.** Let  $F = (F_1, \ldots, F_n) : \mathbb{C}^n \to \mathbb{C}^n$  be a polynomial map such that  $\operatorname{supp}(F_i) \subseteq \widetilde{\Gamma}_+$ , for all  $i = 1, \ldots, n$ , and F is finite. Then

(10) 
$$\mu(F) \leqslant n! \mathcal{V}_n(\Gamma_+)$$

and equality holds if and only if F is Newton non-degenerate at infinity and  $\widetilde{\Gamma}_+(F) = \widetilde{\Gamma}_+$ .

*Proof.* As shown in (??), inequality (??) follows as a direct application of [?, Theorem 2.4].

Let us suppose that F is Newton non-degenerate at infinity and  $\widetilde{\Gamma}_+(F) = \widetilde{\Gamma}_+$ . In order to prove that  $\mu(F) \leq n! V_n(\widetilde{\Gamma}_+)$  we will apply a series of steps which are analogous to the steps performed by Kouchnirenko in the proof of [?, Théorème AI, p. 11].

If  $q \in \{0, 1, \ldots, n-1\}$ , then we denote by  $\widetilde{\Gamma}_q$  the family of all faces of  $\widetilde{\Gamma}_+$  of dimension q not containing the origin. Let  $\phi = \phi_{\widetilde{\Gamma}}$  and let  $\nu = \nu_{\widetilde{\Gamma}}$ . Let us also denote  $M_{\widetilde{\Gamma}}$  by M. Let us denote by R the ring  $\mathbb{C}[x_1, \ldots, x_n]$  and let  $\mathbf{R}$  be the graded ring associated to  $\nu$ .

Let  $\mathbf{F}_i = \mathrm{in}(F_i)$ , for all  $i = 1, \ldots, n$ . Let  $\mathbf{I}$  be the ideal of  $\mathbf{R}$  generated by  $\mathbf{F}_1, \ldots, \mathbf{F}_n$ . Let us consider the Koszul complex  $\mathcal{K}$  associated to  $\mathbf{F}_1, \ldots, \mathbf{F}_n$  extended with the projection  $\mathbf{R} \to \mathbf{R}/\mathbf{I}$ :

$$(\mathcal{K}) \qquad 0 \longrightarrow \mathbf{R}^{\binom{n}{n}} \longrightarrow \mathbf{R}^{\binom{n}{n-1}} \longrightarrow \cdots \longrightarrow \mathbf{R}^{\binom{n}{1}} \longrightarrow \mathbf{R} \longrightarrow \mathbf{R}/\mathbf{I}.$$

We claim that the complex  $\mathcal{K}$  is exact in positive dimensions. Let us prove this. If  $\Delta$  is any face of  $\widetilde{\Gamma}_+$  not containing the origin, then let  $\mathbf{R}_{\Delta}$  be the graded ring given by

$$\mathbf{R}_{\Delta} = \bigoplus_{r \ge 0} \mathbf{R}_{\Delta, r} \quad \text{with} \qquad \mathbf{R}_{\Delta, r} = \frac{\mathcal{B}_r \cap \mathcal{R}_{\Delta}}{\mathcal{B}_{r-1} \cap \mathcal{R}_{\Delta}} \quad \text{for all } r \ge 1.$$

Let  $\mathbf{F}_{i,\Delta}$  denote the image of  $F_{i,\Delta}$  in  $\mathbf{R}_{\Delta}$ , for all  $i = 1, \ldots, n$ . Let  $\mathcal{K}_{\Delta}$  be the Koszul complex of the elements  $\mathbf{F}_{1,\Delta}, \ldots, \mathbf{F}_{n,\Delta}$  in  $\mathbf{R}_{\Delta}$ :

$$(\mathcal{K}_{\Delta}) \qquad \qquad 0 \longrightarrow \mathbf{R}_{\Delta}^{\binom{n}{n}} \longrightarrow \mathbf{R}_{\Delta}^{\binom{n}{n-1}} \longrightarrow \cdots \longrightarrow \mathbf{R}_{\Delta}^{\binom{n}{1}} \longrightarrow \mathbf{R}_{\Delta}$$

Given any integer q = 0, 1, ..., n - 1, let us denote by  $C_q$  the direct sum of all graded rings  $\mathbf{R}_{\Delta}$ , where  $\Delta$  varies in  $\widetilde{\Gamma}_q$ . We denote by  $\mathcal{K}_q$  the direct sum of the complexes  $\mathcal{K}_{\Delta}$  over all faces  $\Delta \in \widetilde{\Gamma}_q$ . Hence, for any q = 0, 1, ..., n - 1, we obtain a complex

$$(\mathcal{K}_q) \qquad 0 \longrightarrow C_q^{\binom{n}{n}} \longrightarrow C_q^{\binom{n}{n-1}} \longrightarrow \cdots \longrightarrow C_q^{\binom{n}{1}} \longrightarrow C_q.$$

By [?, Proposition 2.6], there exists an exact sequence of **R**-modules respecting the graduations

$$(\mathcal{C}) \qquad 0 \longrightarrow \mathbf{R} \longrightarrow C_{n-1} \longrightarrow C_{n-2} \longrightarrow \cdots \longrightarrow C_1 \longrightarrow C_0 \longrightarrow 0$$

Therefore we can construct the commutative diagram shown in Figure 1, where each row is formed by  $\binom{n}{j}$  copies of the complex  $\mathcal{C}$ , for  $j = 1, \ldots, n$ , and the columns are given by the complexes  $\mathcal{K}, \mathcal{K}_{n-1}, \ldots, \mathcal{K}_1, \mathcal{K}_0$ , respectively.

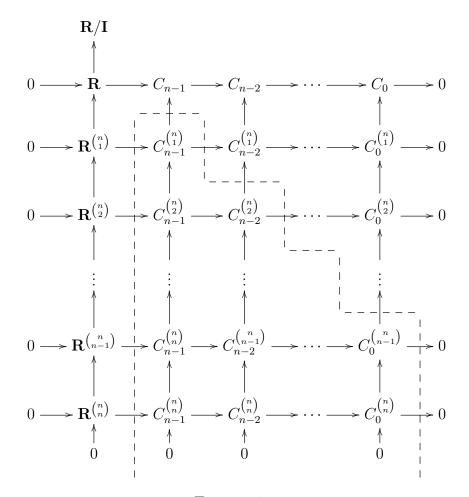


FIGURE 1.

By a simple diagram chase argument, we conclude that the complex  $\mathcal{K}$  is exact provided that the columns of the diagram of Figure 1 are exact under the dotted line. That is, for any  $q \in \{0, 1, \ldots, n-1\}$ , the complexes  $\mathcal{K}_q$  are exact in dimensions  $\geq n-q$ .

The latter condition is equivalent to saying that the following part  $\mathcal{K}'_{\Delta}$  of the complex  $\mathcal{K}_{\Delta}$  is exact

$$(\mathcal{K}'_{\Delta}) \qquad \qquad 0 \longrightarrow \mathbf{R}^{\binom{n}{n}}_{\Delta} \longrightarrow \mathbf{R}^{\binom{n}{n-1}}_{\Delta} \longrightarrow \cdots \longrightarrow \mathbf{R}^{\binom{n}{n-q}}_{\Delta}$$

for any face  $\Delta \in \widetilde{\Gamma}_q$  and for all  $q = 0, 1, \ldots, n-1$ .

Let us fix any  $q \in \{0, 1, ..., n-1\}$  and let us fix a face  $\Delta \in \widetilde{\Gamma}_q$ . Let  $\mathbf{I}_\Delta$  be the ideal of  $\mathbf{R}_\Delta$ generated by  $\mathbf{F}_{1,\Delta}, \ldots, \mathbf{F}_{n,\Delta}$ . The ring  $\mathbf{R}_\Delta$  is Cohen-Macaulay ring of dimension q + 1 (see [?] or [?, Théorème 5.6]). Thus, since  $\mathbf{I}_\Delta$  has finite colength, the depth in  $\mathbf{R}_\Delta$  of  $\mathbf{I}_\Delta$  is q + 1. By [?, Theorem 16.8], which is also known as the grade-sensitivity of the Koszul complex (see also [?, Proposition 5.2]), the homology of  $\mathcal{K}_\Delta$  is zero in dimensions  $\geq n - q$ . Therefore the complex  $\mathcal{K}$  is exact. The exactness of  $\mathcal{K}$  implies that the Hilbert series of  $\mathbf{R}/\mathbf{I}$  is expressed as

(11) 
$$H_{\mathbf{R}/\mathbf{I}}(t) = (1 - t^M)^n H_{\mathbf{R}}(t).$$

Moreover, the exactness of C leads to the following expression for  $H_{\mathbf{R}}(t)$ :

(12) 
$$H_{\mathbf{R}}(t) = \sum_{q=0}^{n-1} (-1)^{n+q+1} H_{C_q}(t) = \sum_{q=0}^{n-2} (-1)^{n+q+1} \sum_{\Delta \in \widetilde{\Gamma}_q} H_{\mathbf{R}_\Delta}(t) + \sum_{\Delta \in \widetilde{\Gamma}_{n-1}} H_{\mathbf{R}_\Delta}(t).$$

From [?, Lemme 2.9] we know that  $H_{\mathbf{R}_{\Delta}}(t)$  is a rational function and that t = 1 is a pole of  $H_{\mathbf{R}_{\Delta}}(t)$  of order q + 1, for any  $\Delta \in \widetilde{\Gamma}_q$  and any  $q = 0, \ldots, n - 1$ . Moreover, if  $\Delta \in \widetilde{\Gamma}_{n-1}$ , then  $\lim_{t\to 1} (1 - t^M)^n H_{\mathbf{R}_{\Delta}}(t) = n! V_n(P(\Delta))$ , where  $P(\Delta)$  denotes the pyramid with vertex at 0 and basis equal to  $\Delta$ . Applying this result and (??) and (??) we obtain

$$\dim_{\mathbb{C}} \frac{\mathbf{R}}{\mathbf{I}} = \lim_{t \to 1} (1 - t^{M})^{n} H_{\mathbf{R}}(t)$$

$$= \lim_{t \to 1} (1 - t^{M})^{n} \left( \sum_{\Delta \in \widetilde{\Gamma}_{n-1}} H_{\mathbf{R}_{\Delta}}(t) + \sum_{q=0}^{n-2} (-1)^{n+q+1} \sum_{\Delta \in \widetilde{\Gamma}_{q}} H_{\mathbf{R}_{\Delta}}(t) \right)$$

$$= \lim_{t \to 1} \sum_{\Delta \in \widetilde{\Gamma}_{n-1}} (1 - t^{M})^{n} H_{\mathbf{R}_{\Delta}}(t) + \lim_{t \to 1} \left( \sum_{q=0}^{n-2} (-1)^{n+q+1} \sum_{\Delta \in \widetilde{\Gamma}_{q}} \left( (1 - t^{M})^{n} H_{\mathbf{R}_{\Delta}}(t) \right) \right)$$

$$(13) \qquad = \sum_{\Delta \in \widetilde{\Gamma}_{n-1}} n! \mathcal{V}_{n}(P(\Delta)) = n! \mathcal{V}_{n}(\widetilde{\Gamma}_{+}).$$

By [?, Théorème 4.1(i)], the exactness of ?? in dimension 1 implies the following isomorphism of graded  $\mathbb{C}$ -modules:

(14) 
$$\bigoplus_{r \ge 0} \frac{\mathcal{B}_r + I}{\mathcal{B}_{r-1} + I} \cong \frac{\mathbf{R}}{\mathbf{I}}$$

Since the ring  $\mathbf{R}/\mathbf{I}$  has finite length, the above isomorphism implies that there exists some  $s \in \mathbb{Z}_{\geq 0}$  such that  $\mathcal{B}_s + I = \mathcal{B}_{s+1} + I = \cdots = R$ . In particular

(15) 
$$\dim_{\mathbb{C}} \frac{\mathbf{R}}{\mathbf{I}} = \dim_{\mathbb{C}} \left( \bigoplus_{r \ge 0} \frac{\mathcal{B}_r + I}{\mathcal{B}_{r-1} + I} \right) = \sum_{\ell=0}^s \dim_{\mathbb{C}} \frac{\mathcal{B}_\ell + I}{\mathcal{B}_{\ell-1} + I} = \dim_{\mathbb{C}} \frac{R}{I}.$$

By joining (??) and (??) we finally obtain that

$$\mu(F) = \dim_{\mathbb{C}} \frac{R}{I} = \dim_{\mathbb{C}} \frac{\mathbf{R}}{\mathbf{I}} = n! \mathbf{V}_n(\widetilde{\Gamma}_+).$$

Let us see the converse. Let us suppose that  $\mu(F) = n! V_n(\widetilde{\Gamma}_+)$  and that F is not Newton non-degenerate at infinity. By Theorem ??, there exists some  $k \in \mathbf{v}(\widetilde{\Gamma}_+)$  such that  $x^k \notin \mathrm{Sp}(F)$ . By Lemma ??, there exists some  $\varepsilon > 0$  such that  $\mu(F + \alpha x^k)$  is finite and  $\mu(F) \leq \mu(F + \alpha x^k)$ , for all  $\alpha \in B(0; \varepsilon)$ . The condition  $x^k \notin \operatorname{Sp}(F)$ , implies, by Theorem ??, that there exists some  $\alpha_0 \in B(0; \varepsilon)$  such that

$$\mu(F) < \mu(F + \alpha_0 x^k) \leqslant n! \mathcal{V}_n(\widetilde{\Gamma}_+)$$

where we have applied (??) in the last inequality. Hence  $\mu(F) < n! V_n(\widetilde{\Gamma}_+)$ , which is a contradiction. Thus the result follows.

As an immediate application of Theorem ?? and Theorem ?? we obtain the following result.

**Corollary 3.3.** Let  $F : \mathbb{C}^n \to \mathbb{C}^n$  be a finite polynomial map. Then the following conditions are equivalent:

- (a) F is Newton non-degenerate at infinity.
- (b)  $\mathbf{S}(F) = \Gamma_+(F) \cap \mathbb{Z}^n_{\geq 0}$ .
- (c)  $\operatorname{Sp}(F) = \left\{ h \in \mathbb{K}[x_1, \dots, x_n] : \operatorname{supp}(h) \subseteq \widetilde{\Gamma}_+(F) \right\}$
- (d)  $\mu(F) = n! V_n(\widetilde{\Gamma}_+(F)).$

## 4. Non-degeneracy with respect to a global Newton Polyhedron

The objective of this section is to obtain a characterization of an important class of polynomial maps  $\mathbb{C}^n \to \mathbb{C}^n$  that extends the class of pre-weighted homogeneous maps (see Definition ??) and the maps which are Newton non-degeneracy at infinity. In particular, we obtain a version of [?, Theorem 3.3] in the ring of polynomials  $\mathbb{C}[x_1, \ldots, x_n]$  and, in turn, a version for total Milnor numbers of the main result of [?].

Motivated by [?, Section 3] we introduce the following concept.

**Definition 4.1.** Let  $\widetilde{\Gamma}_+ \subseteq \mathbb{R}^n_{\geq 0}$  be a convenient global Newton polyhedron. Let  $\phi = \phi_{\widetilde{\Gamma}}$  and  $\nu = \nu_{\widetilde{\Gamma}}$ . Let  $h \in \mathbb{C}[x_1, \ldots, x_n], h \neq 0$ . Let us suppose that h is written as  $h = \sum_k a_k x^k$ . Let  $\Delta$  be a face of  $\widetilde{\Gamma}_+$  not passing through the origin. The *initial* or *principal part of* h over  $\Delta$  is the polynomial obtained as the sum of all terms  $a_k x^k$  such that  $k \in C(\Delta)$  and  $\phi(k) = \nu(h)$ . We will denote this polynomial by  $q_{\widetilde{\Gamma},\Delta}(h)$ . If no such terms exist or h = 0, then we set  $q_{\Delta}(h) = 0$ . We observe that, if  $h_{\Delta} \neq 0$ , then  $h_{\Delta} = q_{\Delta}(h)$  if and only if  $\nu(h) = M$ . If there is no risk of confusion, then we will denote  $q_{\widetilde{\Gamma},\Delta}(h)$  simply by  $q_{\Delta}(h)$ .

Let  $F = (F_1, \ldots, F_p) : \mathbb{C}^n \to \mathbb{C}^p$  be a polynomial map. We say that F is non-degenerate with respect to  $\widetilde{\Gamma}_+$  when

(16) 
$$\left\{x \in \mathbb{C}^n : \mathbf{q}_{\Delta}(F_1)(x) = \dots = \mathbf{q}_{\Delta}(F_p)(x) = 0\right\} \subseteq \{x \in \mathbb{C}^n : x_1 \cdots x_n = 0\},$$

for any face  $\Delta$  of  $\widetilde{\Gamma}_+$  not containing the origin.

The definition of non-degeneracy with respect to  $\widetilde{\Gamma}_+$  is specially significant when p = nand constitutes a generalization of the notion of pre-weighted homogeneity of maps  $\mathbb{C}^n \to \mathbb{C}^p$ , which we now recall.

**Definition 4.2.** Let  $w \in \mathbb{Z}_{\geq 1}^n$  be a primitive vector and let  $h \in \mathbb{C}[x_1, \ldots, x_n]$ . Let us suppose that h is written as  $h = \sum_k a_k x^k$ . Let  $F = (F_1, \ldots, F_p) : \mathbb{C}^n \to \mathbb{C}^p$  be a polynomial map.

- (a) We will denote the integer  $\max\{\langle w, k \rangle : k \in \operatorname{supp}(h)\}$  by  $d_w(h)$ . Let us define the principal part of h at infinity with respect to w, denoted by  $q_w(h)$ , as the sum of those terms  $a_k x^k$  such that  $\langle w, k \rangle = d_w(h)$ . If h = 0, then we set  $d_w(h) = 0$  and  $q_w(h) = 0$ . We define  $q_w(F) = (q_w(F_1), \ldots, q_w(F_p))$  and  $d_w(F) = (d_w(F_1), \ldots, d_w(F_p))$ .
- (b) Let  $d = (d_1, \ldots, d_p) \in \mathbb{Z}_{\geq 1}^p$ . If  $F_i$  is weighted homogeneous of degree  $d_i$ , for all  $i = 1, \ldots, p$ , then F is called *weighted-homogeneous with respect to w with vector of degrees* d. If  $p \geq n$  and  $(q_w(F))^{-1}(0) = \{0\}$  then we say that F is *pre-weighted homogeneous* with respect to w.
- (c) Let  $d \in \mathbb{Z}_{\geq 1}$ . We say that h is weighted-homogeneous of degree d with respect to wwhen  $h \neq 0$  and  $\operatorname{supp}(h)$  is contained in the hyperplane of equation  $\langle w, k \rangle = d$ . That is, when  $q_w(h) = h$  and  $d_w(h) = d$ . We say that h is pre-weighted homogeneous when  $q_w(h)$  has at most a finite number of singularities, or equivalently, when the gradient map  $\nabla q_w(h) : \mathbb{C}^n \to \mathbb{C}^n$  is finite.

We refer to [?, ?] for interesting properties of pre-weighted homogeneous maps. Let us remark that if  $F : \mathbb{C}^n \to \mathbb{C}^n$  is weighted homogeneous with respect to w, then  $F^{-1}(0)$  is finite if and only if  $F^{-1}(0) = \{0\}$ .

Let  $w = (w_1, \ldots, w_n) \in \mathbb{Z}_{\geq 1}^n$  be a primitive vector. Let us denote by  $\widetilde{\Gamma}_+^w$  the global Newton polyhedron  $\widetilde{\Gamma}_+(x_1^{w_1\cdots w_n/w_1}, \ldots, x_n^{w_1\cdots w_n/w_n})$  and by  $\widetilde{\Gamma}^w$  the global boundary of  $\widetilde{\Gamma}_+^w$ . We remark that  $\widetilde{\Gamma}^w$  equals the unique face of  $\widetilde{\Gamma}_+^w$  of dimension n-1. This face is supported by -w and is equal to the convex hull of the points belonging to the intersection of the hyperplane of equation  $w_1k_1 + \cdots + w_nk_n = w_1 \cdots w_n$  with the union of the coordinate axis.

We will apply the following well-known result of Kouchnirenko [?] in Corollary ??, which in turn is applied in the proof of Corollary ??.

**Theorem 4.3.** [?, Théorème 6.2, p. 26] Let  $\Delta \subseteq \mathbb{R}^n_{\geq 0}$  be a lattice polytope of dimension  $q \in \{0, 1, \ldots, n-1\}$ . Let us suppose that  $\Delta$  is not contained in any linear subspace of dimension q. Let  $g_1, \ldots, g_s \in \mathbb{C}[x_1, \ldots, x_n]$  such that  $\operatorname{supp}(g_i) \subseteq \Delta$ , for all  $i = 1, \ldots, s$ . Then the following conditions are equivalent:

- (a) the ideal of  $\mathcal{R}_{\Delta}$  generated by  $g_1, \ldots, g_s$  has finite colength in  $\mathcal{R}_{\Delta}$ ;
- (b) for all faces  $\Delta' \subseteq \Delta$ , the set of common zeros of  $(g_1)_{\Delta'}, \ldots, (g_s)_{\Delta'}$  is contained in  $\{x \in \mathbb{C}^n : x_1 \cdots x_n = 0\}.$

Let us fix a subset  $I \subseteq \{1, \ldots, n\}$ ,  $I \neq \emptyset$ . We define

$$\mathbb{K}^n_{\mathsf{T}} = \{ x \in \mathbb{K}^n : x_i = 0, \text{ for all } i \notin \mathsf{I} \}.$$

If S is any subset of  $\mathbb{K}^n$ , then we denote the intersection  $S \cap \mathbb{K}^n_{\mathbb{I}}$  by  $S^{\mathbb{I}}$ . Given a polynomial  $h \in \mathbb{C}[x_1, \ldots, x_n]$ , if we suppose that h is written as  $h = \sum_k a_k x^k$ , then we denote by  $h^{\mathbb{I}}$  the sum of all terms  $a_k x^k$  such that  $k \in \operatorname{supp}(h) \cap \mathbb{R}^n_{\mathbb{I}}$ .

**Corollary 4.4.** Let  $w \in \mathbb{Z}_{\geq 1}^n$  be a primitive vector. Let  $F = (F_1, \ldots, F_n) : \mathbb{C}^n \to \mathbb{C}^n$  be a polynomial map such that F is weighted homogeneous with respect to w. Then  $F^{-1}(0) = \{0\}$ 

if and only if, for all  $I \subseteq \{1, \ldots, n\}$ ,  $I \neq \emptyset$ , we have  $\{x \in \mathbb{C}^n : F_1^{I}(x) = \cdots = F_n^{I}(x) = 0\} \subseteq \{x \in \mathbb{C}^n : x_1 \cdots x_n = 0\}.$ 

Proof. Let  $a_i = d_w(F_i)$ , for all i = 1, ..., n, and let  $a = a_1 \cdots a_n$ . Let us consider the function  $G_i = F_i^{a/a_i}$ , for all i = 1, ..., n, and the map  $G = (G_1, ..., G_n)$ . It is clear that  $G^{-1}(0) = \{0\}$  if and only if  $F^{-1}(0) = \{0\}$ . Let  $\Delta = \{k \in \mathbb{R}^n_{\geq 0} : |k| = a\}$ . Then, we can apply Theorem ?? to  $\Delta$  and  $G_1, ..., G_n$ . Let us remark that  $\mathcal{R}_{\Delta} = \mathcal{O}_n$ . The set of faces of  $\Delta$  is given by  $\{\Delta^{\mathrm{I}} : \mathrm{I} \subseteq \{1, ..., n\}, |\mathrm{I}| \neq \emptyset\}$ . Moreover, we have

$$(G_i)_{\Delta^{\mathrm{I}}} = (F_i^{a/a_i})_{\Delta^{\mathrm{I}}} = ((F_i)_{\Delta^{\mathrm{I}}})^{a/a_i} = (F_i^{\mathrm{I}})^{a/a_i}$$

for all i = 1, ..., n. Then the result follows as an immediate application of Theorem ?? to  $\Delta$  and  $G_1, ..., G_n$ .

As we will see in the following two results, non-degeneracy of maps with respect to a fixed convenient global Newton polyhedron is a condition that includes both Newton non-degeneracy at infinity and pre-weighted homogeneity of maps.

**Corollary 4.5.** Let  $F = (F_1, \ldots, F_p) : \mathbb{C}^n \to \mathbb{C}^p$  be a polynomial map. Let  $w \in \mathbb{Z}_{\geq 1}^n$  be a primitive vector and let  $d = (d_1, \ldots, d_p) \in \mathbb{Z}_{\geq 1}^p$ . Then the following conditions are equivalent:

- (a) F is pre-weighted homogeneous with respect to w and  $d = d_w(F)$ .
- (b) F is non-degenerate with respect to  $\widetilde{\Gamma}^w_+$  and  $\nu_{\widetilde{\Gamma}^w}(F_i) = d_i$ , for all  $i = 1, \ldots, p$ .

Proof. Let  $\Delta = \Delta(-w, \widetilde{\Gamma}_+)$ . Since  $\mathcal{F}_0(\widetilde{\Gamma}^w_+) = \{-w\}$ , the filtrating map  $\tau : \mathbb{R}^n_{\geq 0} \to \mathbb{R}$  associated to  $\widetilde{\Gamma}^w_+$  is given by  $\tau(k) = \langle w, k \rangle$ , for all  $k \in \mathbb{R}^n_{\geq 0}$ . Therefore  $q_w(F_i) = q_\Delta(F_i)$ , for all  $i = 1, \ldots, p$ . Then the result follows as direct application of Corollary ??.

**Remark 4.6.** It is immediate to deduce that if  $F : \mathbb{C}^n \to \mathbb{C}^p$  is a polynomial map such that F is Newton non-degenerate at infinity, then F is non-degenerate with respect to  $\widetilde{\Gamma}_+(F)$ . An easy example showing that the converse is not true is given by the map  $F : \mathbb{C}^2 \to \mathbb{C}^2$  defined by  $F(x,y) = (x + 2y, x^2 - y^2)$ . In the next result we will see when the equivalence between both concepts holds, in the case n = p.

**Proposition 4.7.** Let  $F : \mathbb{C}^n \to \mathbb{C}^n$  be a polynomial map such that  $F^{-1}(0)$  is finite and F(0) = 0. Let  $\widetilde{\Gamma}_+ = \widetilde{\Gamma}_+(F)$  and let  $\nu = \nu_{\widetilde{\Gamma}(F)}$ . Then the following conditions are equivalent:

- (a) F is Newton non-degenerate at infinity
- (b) F is non-degenerate with respect to  $\Gamma_+(F)$  and  $\nu_{\widetilde{\Gamma}}(F_1) = \cdots = \nu_{\widetilde{\Gamma}}(F_n)$ .

Proof. Let  $e_1, \ldots, e_n$  denote the canonical basis in  $\mathbb{C}^n$ . Let us suppose that F is not convenient. Then there exists some  $i \in \{1, \ldots, n\}$  such that  $\operatorname{supp}(F)$  does not contain any vector of the form  $re_i$ , for some r > 0. In particular, we conclude that  $F(\alpha e_i) = 0$ , for all  $\alpha \in \mathbb{C}$ , since F(0) = 0. This contradicts the condition of finiteness of  $F^{-1}(0)$ . Therefore  $\widetilde{\Gamma}_+(F)$  is convenient.

Let us prove (a)  $\Rightarrow$  (b). Let  $\Delta$  be a face of  $\widetilde{\Gamma}_+$  of dimension n-1 such that  $0 \notin \Delta$ . It is known that  $\mathcal{R}_{\Delta}$  is a Cohen-Macaulay ring of dimension n (see [?] or [?, Théorème 5.6]). Since F is

Newton non-degenerate at infinity, the solutions of the system  $(F_1)_{\Delta'}(x) = \cdots = (F_n)_{\Delta'}(x) = 0$ are contained in  $\{x \in \mathbb{C}^n : x_1 \cdots x_n = 0\}$ , for any face  $\Delta'$  of  $\widetilde{\Gamma}_+$  such that  $\Delta' \subseteq \Delta$ . In particular, the ideal I generated by  $\{(F_1)_{\Delta}, \ldots, (F_n)_{\Delta}\}$  in  $\mathcal{R}_{\Delta}$  has finite colength in  $\mathcal{R}_{\Delta}$  (see [?, Théorème 6.2]), which implies that I is generated by al least n non-zero elements of  $\mathcal{R}_{\Delta}$ . Then  $(F_i)_{\Delta} \neq 0$ , for all  $i = 1, \ldots, n$ . In particular we have  $\nu_{\widetilde{\Gamma}}(F_1) = \cdots = \nu_{\widetilde{\Gamma}}(F_n)$  and thus (b) follows.

The implication (b)  $\Rightarrow$  (a) is immediate since the condition  $\nu_{\widetilde{\Gamma}}(F_1) = \cdots = \nu_{\widetilde{\Gamma}}(F_n)$  implies that  $q_{\Delta}(F_i) = (F_i)_{\Delta}$ , for all  $i = 1, \ldots, n$  and all faces  $\Delta$  of  $\widetilde{\Gamma}_+$  such that  $0 \notin \Delta$ .

In the remaining section, let us fix a convenient global Newton polyhedron  $\Gamma_+ \subseteq \mathbb{R}^n_{\geq 0}$ . Let  $\phi = \phi_{\widetilde{\Gamma}}, \nu = \nu_{\widetilde{\Gamma}}$  and  $M = M_{\widetilde{\Gamma}}$ . Let  $\{\mathcal{B}_r\}_{r\geq 0}$  be the corresponding family of subspaces defined in (??).

**Proposition 4.8.** Let  $F = (F_1, \ldots, F_n) : \mathbb{C}^n \to \mathbb{C}^n$  be a finite polynomial map. Let  $d_i = \nu_{\tilde{\Gamma}}(F_i)$ , for all  $i = 1, \ldots, n$ , and let  $d = d_1 \cdots d_n$ . Then the following conditions are equivalent:

- (a) F is non-degenerate with respect to  $\widetilde{\Gamma}_+$ .
- (b) The map  $(F_1^{d/d_1}, \ldots, F_n^{d/d_n})$  is Newton non-degenerate at infinity and its global Newton polyhedron is equal to  $\frac{d}{M}\widetilde{\Gamma}_+$ .
- (c) There exists a vector  $a = (a_1, \ldots, a_n) \in \mathbb{Z}_{\geq 1}^n$  such that the map  $F^a = (F_1^{a_1}, \ldots, F_n^{a_n})$ :  $\mathbb{C}^n \to \mathbb{C}^n$  verifies that  $\widetilde{\Gamma}_+(F^a)$  is homothetic to  $\widetilde{\Gamma}_+$  and  $F^a$  is Newton non-degenerate at infinity.

*Proof.* Let  $\nu = \nu_{\widetilde{\Gamma}}$ . Let us prove (a)  $\Rightarrow$  (b). Let  $a_i = d/d_i$ , for all  $i = 1, \ldots, n$ , and  $a = (a_1, \ldots, a_n)$ . Clearly we have the inclusions

(17) 
$$\widetilde{\Gamma}_{+}(F_{i}^{a_{i}}) \subseteq \widetilde{\Gamma}_{+}(F^{a}) \subseteq \widetilde{\Gamma}_{+}(\mathcal{B}_{d}) \subseteq \frac{d}{M}\widetilde{\Gamma}_{+}$$

for all  $i = 1, \ldots, n$ .

Let k be a vertex of  $\widetilde{\Gamma}_+$ . By condition (a), there exists some  $i \in \{1, \ldots, n\}$  such that  $q_{\{k\}}(F_i) \neq 0$ . This means that there exists some  $k' \in \operatorname{supp}(F_i)$  such that  $\phi(k') = d_i$  and there exists some  $\lambda > 0$  such that  $k' = \lambda k$ . Since  $d_i = \phi(k') = \phi(\lambda k) = \lambda \phi(k) = \lambda M$ , we obtain  $\lambda = \frac{d_i}{M}$ . In particular  $a_i k' = a_i \frac{d_i}{M} k = \frac{d}{M} k$ . Then, for any vertex k of  $\widetilde{\Gamma}_+$ , we have  $\frac{d}{M} k$  belongs to  $\operatorname{supp}(F_i^{a_i})$ , for some  $i \in \{1, \ldots, n\}$ . This fact together with (??) shows that

(18) 
$$\widetilde{\Gamma}_{+}(F^{a}) = \frac{d}{M}\widetilde{\Gamma}_{+} = \widetilde{\Gamma}_{+}(\mathcal{B}_{d}).$$

Let  $\Delta$  be a face of  $\widetilde{\Gamma}_+(F^a)$ . By (??) there exists a face  $\Delta'$  of  $\widetilde{\Gamma}_+$  such that  $\Delta = \frac{d}{M}\Delta'$ . Using Definition ??, it is immediate to see that  $(q_{\Delta'}(F_i))^{a_i} = (F_i^{a_i})_{\Delta}$ , for all  $i = 1, \ldots, n$ . Thus condition (b) follows.

The implication (b)  $\Rightarrow$  (c) is obvious. Let us prove that (c)  $\Rightarrow$  (a). Let  $a = (a_1, \ldots, a_n) \in \mathbb{Z}_{\geq 1}^n$  and  $\mu > 0$  such that  $\widetilde{\Gamma}_+(F^a) = \mu \widetilde{\Gamma}_+$ . Hence, if  $\Delta \subseteq \widetilde{\Gamma}$ , then  $\Delta$  is a face of  $\widetilde{\Gamma}_+$  if and only if  $\mu \Delta$  is a face of  $\widetilde{\Gamma}_+(F^a)$ . Then, the implication follows by observing that, if  $\Delta$  is a face of  $\widetilde{\Gamma}_+$  not passing through the origin, then  $(q_{\Delta}(F_i))^{a_i} = (F_i^{a_i})_{\mu\Delta}$ , for all  $i = 1, \ldots, n$ .

**Theorem 4.9.** Let  $F = (F_1, \ldots, F_n) : \mathbb{C}^n \to \mathbb{C}^n$  be a polynomial map such that  $F^{-1}(0)$  is finite. Let  $d_i = \nu(F_i)$ , for all  $i = 1, \ldots, n$ . Then

(19) 
$$\mu(F) \leqslant \frac{d_1 \cdots d_n}{M^n} n! \mathcal{V}_n(\widetilde{\Gamma}_+).$$

and equality holds if and only if F is non-degenerate with respect to  $\widetilde{\Gamma}_+$ .

Proof. Let  $d = d_1 \cdots d_n$ . Let us consider the map  $G = (G_1, \ldots, G_n) : \mathbb{C}^n \to \mathbb{C}^n$  given by  $G_i = F_i^{d/d_i}$ , for all  $i = 1, \ldots, n$ . Then G has also finite multiplicity and this is given by

(20) 
$$\mu(G) = \dim_{\mathbb{C}} \frac{\mathbb{C}[x_1, \dots, x_n]}{\mathbf{I}(G)} = \frac{d}{d_1} \cdots \frac{d}{d_n} \dim_{\mathbb{C}} \frac{\mathbb{C}[x_1, \dots, x_n]}{\mathbf{I}(F)} = d^{n-1}\mu(F).$$

Let us observe that  $\nu(G_i) = d$ , for all i = 1, ..., n. Then  $\widetilde{\Gamma}_+(G) \subseteq \widetilde{\Gamma}_+(\mathcal{B}_d) \subseteq \frac{d}{M}\widetilde{\Gamma}_+$ . Therefore, applying inequality (??), we obtain that

(21) 
$$\mu(G) \leqslant n! \mathcal{V}_n(\widetilde{\Gamma}_+(G)) \leqslant n! \mathcal{V}_n(\widetilde{\Gamma}_+(\mathcal{B}_d)) \leqslant \frac{d^n}{M^n} n! \mathcal{V}_n(\widetilde{\Gamma}_+).$$

Inequality (??) follows by joining (??) and (??). By relation (??), we have that equality holds in (??) if and only if  $\mu(G) = \frac{d^n}{M^n} n! V_n(\widetilde{\Gamma}_+)$ , which is equivalent to saying that all inequalities of (??) become equalities. In turn, this is equivalent to saying that the following holds:  $\widetilde{\Gamma}_+(G) = \widetilde{\Gamma}_+(\mathfrak{B}_d) = \frac{d}{M}\widetilde{\Gamma}_+$  and G is Newton non-degenerate (by Theorem ??). Thus, by Proposition ??, we obtain the desired equivalence.

When equality holds in (??), then we also say that F has maximal multiplicity with respect to  $\nu$ . The particularization to weighted homogeneous filtrations of the previous result is shown in the following result.

**Corollary 4.10.** Let  $F = (F_1, \ldots, F_n) : \mathbb{C}^n \to \mathbb{C}^n$  be a finite polynomial map. Let us fix a primitive vector  $w = (w_1, \ldots, w_n) \in \mathbb{Z}_{\geq 1}^n$  and let  $d_i = d_w(F_i)$ , for all  $i = 1, \ldots, n$ . Then

(22) 
$$\mu(F) \leqslant \frac{d_1 \cdots d_n}{w_1 \cdots w_r}$$

and equality holds if and only if  $(q_w(F))^{-1}(0) = \{0\}$ .

Proof. Inequality (??) follows by applying Theorem ?? to F and  $\widetilde{\Gamma}_{+}^{w}$ . Equality holds in (??) if and only if F is Newton non-degenerate with respect to  $\widetilde{\Gamma}_{+}^{w}$ , which is equivalent to saying that  $(q_w(F))^{-1}(0) = \{0\}$ , by Corollary ??.

The application of Corollary ?? to gradient maps leads to the following result, which is the version for total Milnor numbers of the main result of Furuya-Tomari in [?].

**Corollary 4.11.** Let  $f \in \mathbb{C}[x_1, \ldots, x_n]$  with a finite number of singularities and let us fix a primitive vector  $w = (w_1, \ldots, w_n) \in \mathbb{Z}_{\geq 1}^n$ . Let  $d = d_w(f)$ . Then

(23) 
$$\mu_{\infty}(f) \leqslant \frac{(d-w_1)\cdots(d-w_n)}{w_1\cdots w_n}$$

Moreover, the following conditions are equivalent:

- (a) f is pre-weighted homogeneous with respect to w.
- (b)  $(q_w(\nabla f))^{-1}(0) = \{0\}$  and  $d_w(f_{x_i}) = d_w(f) w_i$ , for all i = 1, ..., n.
- (c) equality holds in (??).

Proof. Let  $f_{x_i} = \partial f / \partial x_i$ , for all i = 1, ..., n, and let  $d = d_w(f)$ . Since f has a finite number of singularities, given an index  $i \in \{1, ..., n\}$ , then  $f_{x_i} \neq 0$  and thus, there exists some  $k \in \text{supp}(f)$  such that  $k_i > 0$  and  $k - e_i \in \text{supp}(q_w(f_{x_i}))$ . In particular  $d_w(f_{x_i}) = \langle k, w \rangle - w_i \leq d_w(f) - w_i$ . Therefore

(24) 
$$\mu_{\infty}(f) \leqslant \frac{\mathrm{d}_w(f_{x_1})\cdots\mathrm{d}_w(f_{x_n})}{w_1\cdots w_n} \leqslant \frac{(d-w_1)\cdots(d-w_n)}{w_1\cdots w_n}$$

where the first inequality is a direct application of (??). Hence (??) is proven.

The equivalence between (a) and (b) easily follows by observing that, under the conditions of any of both items, we have

$$\frac{\partial \operatorname{q}_w(f)}{\partial x_i} = \operatorname{q}_w\left(\frac{\partial f}{\partial x_i}\right)$$

for all i = 1, ..., n. The equivalence between (b) and (c) follows by a direct application of (??) and Corollary ??.

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