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1 **Improving the Antioxidant Protection of Packaged Food by Incorporating Natural**
2 **Flavonoids into EVOH Films**

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10

11

12 **ABSTRACT**

13

14 Ethylene vinyl alcohol copolymer (EVOH) films containing catechin or quercetin as
15 antioxidant agents were successfully produced by extrusion. The addition of these bioactive
16 compounds did not modify greatly their water and oxygen permeability, Tg or crystallinity
17 but improved their thermal resistance. Exposure of the films to different food simulants
18 showed that both compounds were released, although the extent and kinetics of release
19 were dependent on the type of food. In aqueous and alcoholic food simulants their release
20 was greater in the case of the catechin-containing samples. Exposure of the films to
21 isooctane and ethanol 95% (fatty food simulants) provided controversial results; no release
22 was observed in isooctane whereas both bioactive compounds were extracted by ethanol
23 due to their high solubility in alcohol and the plasticizing effect of ethanol on the polymer.
24 Packaging applications of these films can improve food stability and provide a method for
25 adding such bioactive compounds.

26

27 **Keywords:** flavonoids, active packaging, antioxidant, release, EVOH

28

29 INTRODUCTION

30 Oxidation processes are involved in most deterioration mechanisms present in nature,
31 including both food products and food packages, especially polymeric packages. To protect
32 the polymer during package manufacture and use, most polyolefins contain mixtures of a
33 primary antioxidant which offers long-term protection to the film and a secondary
34 antioxidant which protects the polymer during package manufacture (1-3). Most of the
35 common antioxidants are phenolic compounds, secondary arylamines, organophosphites
36 and thioesters of synthetic origin that are approved by the national and international
37 regulations for plastics in contact with foods. Nevertheless, migration of these additives and
38 their degradation products into food during storage may change the sensory properties of
39 the product they contain or even lead to toxicity upon consumption. For these reasons,
40 several research studies have focused in the development of alternative polymer formulas
41 with antioxidants which are considered food additives, such as BHT, BHA, etc (4-6).
42 However, the presence of these synthetic antioxidants in food is questioned, owing to the
43 potential risks, and strict statutory controls are required. The alternative that is being
44 studied widely is the use of natural antioxidants, particularly tocopherol, plant extracts and
45 essential oils from herbs such as rosemary, oregano, thyme, etc (7-9).

46 Many phenolic compounds are commonly found in plants and have been reported to
47 possess multiple biological effects, including antioxidant activity (10). The principal
48 antioxidant activity of these compounds is mainly as radical scavengers. However, many of
49 the constituents of plant essential oils are volatile and difficult to use in conventional
50 packaging manufacturing processes (extrusion, injection). Some initial studies have proved

51 that natural polyphenolic compounds such as catechin or epicatechin can replace synthetic
52 antioxidants in packaging protection (11).

53

54 To reduce oxidation in sensitive food products, the addition of antioxidants or the design of
55 a suitable packaging technology are the two most common alternatives. Vacuum or
56 modified atmosphere packaging combined with high barrier packaging materials can limit
57 the presence of oxygen, although it is not always completely and effectively eliminated
58 because of a residual presence at the time of packing or because it permeates in from the
59 exterior through the package wall. Moreover, some food products such as fresh red meat
60 cannot be packaged without oxygen. Recently, other strategies are being considered
61 including the use of active antioxidant packages (7, 12-15).

62

63 EU regulations 1935/2004/EC and 450/2009/EC consider active materials “materials and
64 articles that are intended to extend the shelf-life or to maintain or improve the condition of
65 packaged food”, by the on purpose incorporation of components that are released or that
66 absorb substances into or from the packaged food or the environment surrounding the food
67 (16-18).

68

69 Highly reactive species such as free radicals, superoxide, hydroxyl and singlet oxygen are
70 generated in food or in the surrounding atmosphere by different mechanisms and are
71 involved in oxidation reactions in lipids and other food components, contributing to their
72 deterioration. Active packaging systems that absorb these reactive species can be a good
73 choice for many products and constitute one of the potential uses of active packaging.

74 Granda-Restrepo et al. developed polyethylene films with alpha-tocopherol and measured
75 the antioxidant release into milk powder (14). Peltzer et al. added carvacrol to
76 polypropylene and measured its migration into water and oil (15). To reduce the partial loss
77 of volatile antioxidants during conventional packaging manufacturing processes, Nerín et
78 al. developed a polymeric coating with essential oils to protect meat from oxidation (12,13).
79 Nevertheless, volatile agents are still released into the atmosphere during storage, reducing
80 the effectiveness of the active materials.

81

82 In this work, active antioxidant materials for the packaging of oxygen-sensitive foods,
83 based on an ethylene-vinyl alcohol copolymer (EVOH) and two natural flavonoids,
84 quercetin and catechin, were developed by conventional extrusion. EVOH is a common
85 packaging material which is known for its excellent oxygen barrier properties and its highly
86 hydrophilic nature (19-21). The main use of this material is to strictly reduce the entrance
87 of oxygen in the package, and in this application the EVOH layer should be sandwiched
88 between polyolefin layers to protect it from the humidity of the environment and of the
89 food. Recently, new data showed the severe effect of humidity on the mass transport of
90 organic compounds, increasing molecular diffusivity several orders of magnitude (22). This
91 characteristic is highly profitable since the increment of humidity in the presence of food
92 triggers the agent release and subsequently the antioxidant activity (22). The stability of the
93 active materials is guaranteed by dry storage, since the exchange of agents and oxygen is
94 highly restricted.

95

96 Quercetin and catechin are phenolic compounds which are commonly found in both edible
97 and nonedible plants. They have been reported to have multiple biological effects,
98 including high antioxidant activity (23,24). The antioxidant activity of phenolic compounds
99 is due to their ability to scavenge free radicals, donate hydrogen atoms or electrons or
100 chelate metal cations as a result of their chemical structure (25-28). Besides their
101 antioxidant character, these two flavonoids were selected because a) they are non-volatile,
102 reducing the loss of the agent during packaging manufacturing that occurs with other
103 compounds such as BHT or carvacrol, b) they could protect the polymer during processing
104 and furthermore, c) because their release into food increases the product's bioactive
105 compound content instead of resulting in a toxicological risk, as occurs with synthetic
106 antioxidants.

107

108 The resulting materials were characterized to analyze the effect of the addition of quercetin
109 and catechin on EVOH functional properties and their antioxidant activity in food was
110 determined by monitoring the agents' release into different food simulants and by analyzing
111 the scavenging capacity of radical oxidizing compounds.

112

113 **MATERIALS AND METHODS**

114

115 **Chemicals and Reagents**

116 An ethylene vinyl alcohol copolymer with a 44% ethylene molar content (EVOH) was
117 obtained from The Nippon Synthetic Chemical Company, (Osaka, Japan). Reagent-grade
118 absolute ethanol, quercetin dihydrate and 2,2-diphenyl-1-picrylhydrazyl 95% free radical

119 were purchased from Sigma (Madrid) and (+) catechin hydrate was purchased from Fluka
120 (Barcelona). Water was obtained from a Milli-Q Plus purification system (Millipore,
121 Molsheim, France).

122

123 **Film Preparation**

124 EVOH films containing quercetin and catechin at two different concentrations were
125 obtained by flat extrusion. The antioxidants were incorporated at 1% and 5% of quercetin
126 and 0.5% and 2% of catechin into hydrophilic EVOH and the antioxidant-EVOH mixture
127 was extruded on a Brabender DSE 20/40 co-rotating twin screw extruder (Plastograph,
128 Dusseldorf, Germany) at 200 °C at a screw speed of 100 rpm. The resulting films were
129 approximately 40-50 micron thick, although the thickness of every sample was individually
130 measured before tests using a digital Mitutoyo micrometer (Metrotec, San Sebastian,
131 Spain).

132 The film samples obtained in this way were vacuum packaged in aluminum/LDPE bags and
133 stored at room temperature until the moment of analysis. Their thermal properties, oxygen
134 and water vapor transport properties and optical properties were studied.

135 Flavonoid concentration in the films was determined by extraction in ethanol at 60 °C
136 during 2 hours. The concentration was then determined by UV-vis spectroscopy and the
137 retained antioxidant activity by the DPPH· method.

138

139 **Thermal Analysis**

140 Thermogravimetric analyses were carried out using a Mettler Toledo TGA/SDTA/851
141 thermal analyzer (Columbus OH, USA). The samples were heated from room temperature

142 to 900 °C under a nitrogen atmosphere in order to determine any evaporation of volatile
143 compounds, as well as the degradation temperatures of the flavonoid-containing materials.
144 The thermal properties of the samples were also determined with a DSC Model Q2000
145 from TA Instruments (New Castle, DE, EEUU). Thermograms from -50 °C to 250 °C with
146 10 °C/min heating and cooling were obtained. The glass transition (T_g) and melting point
147 (T_m) temperatures and the enthalpy (ΔH_m) were calculated. Considering the polymer
148 percentage of each sample, a corrected enthalpy (ΔH_{m,cor}) value was also estimated.

149

150 **Barrier Properties**

151 *Water Vapor Permeability*

152 WVP tests were carried out at 50%, 75% and 100% RH and 23 °C using permeability cups
153 (Elcometer, Manchester, England) in accordance with ISO 2528 (29). The aluminum cups
154 were filled with 7 g of silica gel and sealed with vacuum silicon grease (Sigma, Barcelona,
155 Spain) and the film to be tested. The film was fixed in place with a flat Viton ring, an
156 aluminum ring and three press-screws. To assure the necessary relative humidity, the cups
157 were then stored in desiccators containing salt solutions: magnesium nitrate
158 Mg(NO₃)₂·6H₂O, sodium chloride NaCl and water for 50%, 75% and 100% RH,
159 respectively. The cups were weighed daily, and the plot of the weight increment vs. time
160 provided the water vapor transmission rate. These values were then divided by the water
161 pressure gradient and multiplied by the sample thickness to obtain the water vapor
162 permeability value.

163 *Oxygen Permeability*

164 The oxygen permeation rates of the materials were determined at 50% and 90% RH and 23
165 °C using a OXTRAN Model 2/21 ML Mocon (Lippke, Neuwied, Germany). The film
166 samples were previously conditioned at the RH of the experiment in the desiccators
167 described above. After conditioning the samples in the OXTRAN cells for 6 hours, the
168 transmission values were determined every 45 min until constant.

169

170 **Optical Properties**

171 The film color was determined with a Konica Minolta CM-35000d spectrophotometer set to
172 D65 illuminant/10° observer. The film specimens were placed on the surface of a standard
173 white plate and the CIELAB color space was used to determine the parameters, L*, a* and
174 b*. The color was also expressed using the polar coordinates L*C*H*, where L* is the
175 same as previously, C* is the chroma or saturation index and H* is the angle. Eight
176 measurements were taken of each sample and three samples of each film were measured.
177 All the samples were selected with a thickness of 40 µm to reduce the effect of thickness on
178 color measurements.

179

180 **Release studies**

181 A study of the release of the active compounds from the films was carried out by
182 determining the specific migration from the polymer into the different food simulants
183 specified in European law: water was used as an aqueous food simulant, ethanol 10% as an
184 alcoholic food simulant and ethanol 95% and isooctane as fatty food simulants. Migration
185 studies were conducted at 37 °C, in accordance with EU regulations (UNE-EN 1186-3)
186 (30). Double sided, total immersion migration tests were performed as follows: a 24cm²

187 piece of each plastic sample and 90 mL of the simulant (area-to-volume ratio around
188 $6\text{dm}^2/\text{L}$) were placed in a glass vial covered with aluminum foil to protect the content from
189 light. Simulants were deoxygenated by bubbling nitrogen and a final nitrogen flush was
190 done before closing the cells to reduce the oxygen percentage at the cell headspace.
191 Flavonoid solutions in water and alcohol using this procedure were stable for one month.
192 Periodically, three vials were opened and the concentration of the antioxidant in the
193 simulants was analyzed by UV-spectroscopy. Using an absorbance /concentration (g/mL)
194 calibration curve, the results can be expressed as the concentration of quercetin or catechin
195 released into the simulants.
196 At the same time, the antioxidant activity provided by the films was evaluated through
197 measuring the radical scavenging ability of the food simulants, using the method of Okada
198 and Okada with a slight modification (31). The bleaching rate of a stable free radical, 2,2-
199 diphenyl-1-picrylhydrazyl (DPPH \cdot), was monitored at a characteristic wavelength in the
200 presence of the sample. In its radical form DPPH \cdot absorbs at 517 nm, but upon reduction by
201 an antioxidant or a radical compound its absorption decreases. The percentage inhibition
202 values were calculated using equation 1:

203

$$204 \quad I (\%) = [(Abs \text{ control} - Abs \text{ sample})/Abs \text{ control}] \times 100 \quad (1)$$

205

206 Using a calibrated curve of ascorbic acid concentration vs I (%), the results can be
207 expressed easily as the equivalent ascorbic acid concentration. The antioxidant activity of
208 the two flavonoids (as received) was determined by this method. 0.79 ± 0.03 g quercetin or
209 0.89 ± 0.05 of catechin were equivalent to 1 g of ascorbic acid.

210

211 **Statistical analysis**

212 One-way analyses of variance were carried out. The SPSS[®] computer program (SPSS Inc.,
213 Chicago, IL, USA) was used. Differences in pairs of mean values were evaluated by the
214 Tukey-b test for a confidence interval of 95%. Data are represented as mean \pm standard
215 deviation.

216

217

218 **RESULTS AND DISCUSSION**

219

220 In this work, EVOH films containing catechin or quercetin as antioxidant agents were
221 successfully produced by extrusion. The analysis of the ethanol extract of the diverse
222 samples by UV-Vis spectroscopy revealed that the final content of quercetin into Q1% and
223 Q5% films was $75.6 \pm 1\%$ and $80.1 \pm 1.0\%$ respect to nominal content, respectively.
224 Similar analysis for Cat 0.5% and Cat 2% films showed that the final catechin content was
225 $66.8 \pm 1.0\%$ and $67.1 \pm 1.0\%$, respectively. The analysis of the antioxidant activity by the
226 DPPH \cdot method provided similar results: $71.5 \pm 2\%$ for Q1%, $79.5 \pm 1\%$ for Q5%, $69.2 \pm$
227 1% for Cat0.5% and $69.8 \pm 1\%$ for Cat2%, respect to nominal antioxidant activity. Besides
228 these tests, the extract was analyzed by HPLC with DAD (data not shown). Although some
229 minor peaks were present in the spectra, the content of catechin and quercetin measured
230 was in good coincidence with the values obtained by UV-Vis spectroscopy and by the
231 DPPH \cdot test.

232

233 **Thermal characterization**

234 The films containing the antioxidants were first characterized by DSC to check for effects
235 on the polymer morphology caused by the addition of the flavonoids.

236

237 **Figure 1** presents representative first-heating thermograms of the materials developed and
238 **Table 1** shows the main information obtained from the thermogram analysis. During the
239 first heating, all the samples presented the same features: glass transition at temperatures of
240 ca. 45 °C, a melting endotherm starting at ~120 °C and with a minimum value at around
241 165 °C, and temperatures in agreement with the values reported in the literature for pure
242 EVOH (21,32). Between the glass transition temperature and the melting temperature, all
243 the samples presented a small endotherm at temperatures of ca. 88 °C.

244

245 During the cooling process (not shown), the polymer showed a crystallization exotherm at
246 147 °C. During the second heating (not shown), the glass transition and the melting of
247 crystals were observable at similar temperatures to those of the first heating but there was
248 no sign of the endotherm at 88 °C. In the case of semicrystalline/amorphous thermoplastics,
249 processing results in internal molecular stresses (thermal history effects) which are relieved
250 on first heating (32). For all the samples, the release of these stresses appears as an
251 endothermic relaxation event after the glass transition, approximately at around 88 °C.

252

253 As can be seen in **Figure 1** and **Table 1**, the presence of the antioxidants in the polymer did
254 not produce large changes. The glass transition temperatures of the samples were not

255 significantly different ($p < 0.05$), although it would appear that the addition of high
256 concentrations of the flavonoids might result in an increase in polymer rigidity.

257

258 The melting feature also differed slightly in the materials containing flavonoids. The
259 minimum for the endotherm moved forward significantly in all the antioxidant-containing
260 samples ($p > 0.05$). Also, the crystallinity (melting enthalpy) of EVOH samples decreased
261 when high concentrations of the antioxidants were added. However, no significant
262 differences were observed when the enthalpy values were corrected for the percentage of
263 polymer in the sample. Also, **Figure 1** shows that the width of the transition increased in
264 the samples with flavonoids. A possible interpretation of these differences is that the
265 antioxidant molecules disrupt the crystal structure, resulting in a more heterogeneous
266 structure.

267

268 Since the compounds were melt-blended with the polymer at high temperatures,
269 thermogravimetric analyses were performed to determine the degradation temperature of
270 the new materials and the thermal stability of the antioxidants.

271

272 **Figure 2** shows that the stability of the polymer was improved by the addition of the
273 natural antioxidants, since the degradation of the resulting materials occurred at higher
274 temperatures. As can be seen in **Figure 2A**, the degradation temperatures of the materials
275 containing quercetin were higher than those of the blank EVOH sample, which degraded at
276 414 °C, compared to 430 and 447 °C for 1% and 5% quercetin respectively. In the case of
277 the catechin-containing samples, shown in **Figure 2B**, the low concentration sample

278 presented a lower degradation temperature, due to earlier degradation of the catechin, but
279 the higher concentration sample possessed the highest stability: the degradation temperature
280 for the sample with 2% catechin was 455 °C.

281

282 **Barrier Properties**

283 *Water vapor permeability*

284 The water vapor permeability values were measured for all samples at 50%, 75% and 100%
285 relative humidity gradients and 23 °C. As can be seen in **Table 2**, the WVP of all samples
286 increased with the RH gradient, showing the plasticizing effect of water on the polymer
287 matrix at high humidities. In dry conditions, strong interchain interactions among EVOH
288 hydroxyl groups result in high cohesive energy and low chain flexibility. In the presence of
289 humidity, sorbed water molecules interact by hydrogen bonding with the –OH groups of the
290 polymer, reducing the interchain bonding and giving rise to a decrease in the glass
291 transition temperature (T_g) of the polymer and an increase in its flexibility and
292 permeability to gases and vapors (21). This effect is in agreement with previous reports in
293 which water permeability was rather constant at low humidity and increased considerably
294 in very humid environments (33).

295

296 The addition of the antioxidants to EVOH did not produce significant effect on water vapor
297 permeability at 50% RH. At 100% RH, the water permeability values of all the samples
298 with active agents incorporated increased up to 30% with respect to the blank sample. This
299 increment could be a consequence of the more deficient crystallinity of the antioxidant-
300 containing samples. At high humidities, the high plasticization of the polymer may increase

301 the areas suitable for transport, including amorphous areas within small and defective
302 crystal structures which are forbidden to transport at low humidities. At 75% RH, the WVP
303 values of the developed films fell considerably respect to the blank sample, by a factor of 4.
304 It is known that mass transport kinetics change drastically when a polymer passes from a
305 vitreous to a rubbery state. The presence of the antioxidants may reduce the plasticizing
306 effect of the sorbed water in such a way that the relative humidity at which the Tg reaches
307 room temperature increases, delaying the exponential growth of permeability towards
308 higher RH values.

309

310 *Oxygen permeability*

311 **Table 2** also shows the oxygen permeability values for EVOH samples. As already
312 commented above, the water sorption of the EVOH samples increased with relative
313 humidity, resulting in plastification of the polymer with a sharp fall in its glass transition
314 temperature (Tg) and an increase in its permeability (33,34).

315

316 The incorporation of antioxidants did not modify the barrier properties notably. At 50%
317 RH, the samples with antioxidants presented slightly higher oxygen permeability values
318 ($p>0.05$). This increment could be caused by the already mentioned reduction of the
319 crystalline fraction and the more irregular crystal structure, as observed in the thermal
320 analysis. At high humidities, the samples with antioxidants presented increased oxygen
321 permeability values, in agreement with those for water permeability. The presence of the
322 antioxidants may increase the amount of sorbed water and, consequently, polymer

323 plasticization. The samples with catechin, the most hydrophilic antioxidant, are those which
324 present the strongest effect.

325

326 From the water and oxygen mass transport results, it can be concluded that the addition of
327 the antioxidants did not modify the barrier properties of the EVOH materials. The materials
328 containing these flavonoids provide a medium permeability to water at low relative
329 humidities and a high permeability in humid environments. With respect to oxygen, the
330 materials maintain their status as very high barrier polymers when dry and high barrier
331 polymers when humid.

332

333 **Optical Properties**

334 The color parameters of the extruded EVOH films containing catechin (at 0.5 and 2% w/w)
335 and quercetin (at 1 and 5%) were analyzed and the results are given in **Table 3**. All the film
336 samples were highly homogeneous and transparent, as the luminosity values (L) show. The
337 catechin-containing films presented a light brown color, reflected by the rise in the a* and
338 b* values and the hue angle values falling in the second portion of the first quadrant. The
339 quercetin-containing EVOH materials developed a yellow color as indicated by the hue
340 angle. These samples presented negative a* values (green) and large positive b* values
341 (yellow). As shown in **Table 3**, the chroma and ΔE values increased with the concentration
342 of each antioxidant in the film.

343

344 **Antioxidant release**

345 The release of antioxidants from the films into different food simulants was monitored
346 during storage at room temperature. AO release presented a similar profile with all the
347 simulants and antioxidants. **Figure 3** shows representative evolutions of catechin release at
348 the two concentrations and using ethanol 10% and water as simulants. The accumulation of
349 antioxidant followed an “exponential growth to a maximum” type of profile, although the
350 extent and kinetics varied markedly between samples.

351

352 The first important factor for the release of compounds from the polymer matrix into the
353 simulant was the initial concentration of the antioxidant in the film. In all the tests, and as
354 expected, the higher the initial antioxidant concentration the higher the amount of AO
355 released.

356

357 A second important factor was the food simulant. As can be seen in **Figure 3**, the extent
358 and kinetics of catechin release are higher in the presence of alcohol. The extent of release
359 at equilibrium (after a lengthy exposure time) depends on the compatibility between the
360 migrant and the simulant. The extent of release can be characterized by the partition
361 coefficient (K), defined as the ratio of the concentration of a compound in the polymeric
362 phase to that in the food simulant. The K values are shown in **Figure 4**. Both antioxidants
363 are highly soluble in ethanol and, therefore, the release of these agents into 95% ethanol
364 approached full extraction. The K values for both antioxidants were below 100, without
365 significant differences between samples. In contrast, their solubility in water is limited,
366 specially for quercetin, which had a K value well above 10000. This low compatibility
367 reduces the extent of release considerably. The presence of 10% of alcohol slightly

368 increases the release of the flavonoids. Release tests were also performed with isooctane,
369 although the amount of antioxidant in this simulant, if any, lay below the sensitivity
370 threshold of the technique. The chemical incompatibility between the antioxidants and the
371 isooctane ($K \rightarrow \infty$) and the lack of plasticization of the polymer (very slow diffusion) are
372 responsible for practically preventing their release.

373

374 **Figure 3** likewise shows that the type of simulant to which the film is exposed can also
375 alter the kinetics of the process. The D coefficient as defined in Fick's laws characterizes
376 the kinetics of transport in polymeric matrices. From the evolution of release during
377 exposure, the D values were calculated by the method of López-Carballo (22). **Figure 3**
378 includes the plots of the theoretical values. It will be seen that they describe the
379 experimental data well, indicating that antioxidant release follows Fick's laws. **Figure 4**
380 compares the diffusion coefficient values obtained for the two agents and the different food
381 simulants.

382 It is well known that the presence of high relative humidities results in the plasticization of
383 the polymer, which in turn results in a faster diffusion process. Therefore, one could expect
384 the mass transport to depend on the water gain by the polymer and the release curve not to
385 be described by a model which considers a constant diffusion coefficient. Nevertheless,
386 sorption by the polymer of substances of small molecular size like water is so fast that the
387 mass transport of the flavonoids can be considered to start once the polymer matrix has
388 been plasticized by water. This consideration has been used successfully before, when
389 describing the effect of humidity on the mass transport of α -pinene in an ethylene-vinyl
390 alcohol copolymer with 32 molar percentage of ethylene, EVOH32 (22). In that work, the

391 pinene permeability through EVOH32 increased by a factor of 10000 because of humidity.
392 The effect of humidity on D was also severe. In absolute values, however, the measured
393 diffusivity of pinene in EVOH32 exposed to a humid environment was lower than the
394 diffusion of flavonoids in EVOH44 measured in the present study. This difference is
395 probably caused by the difference in ethylene content: there is a 100 factor difference in
396 oxygen permeability between these two copolymers (34). Also, immersion in water could
397 be expected to induce far greater plasticization of the polymer than that caused by humid
398 air.

399 Similar results were observed for diffusion in films exposed to a 10% ethanol aqueous
400 solution. However, immersion of the sample into 95% ethanol produced a significant
401 increase in the release rate, as the D values reached the $7 \cdot 10^{-15} \text{ m}^2/\text{s}$ range. The plasticizing
402 effect of low molecular weight alcohols on EVOH materials is considered responsible for
403 this effect (22). No differences were observed between the D values for the two agents.

404 The migration of the compounds in isooctane was so low and/or slow that the antioxidant
405 activity, if any, was below the experimental error level. In the films exposed to isooctane,
406 both the low solubility of the antioxidants and the low interaction with the polymer resulted
407 in near-zero release.

408 To prove that the active films protect the food from oxidation by radicals, the method based
409 on the reduction of DPPH, a stable free radical, was selected to evaluate their antioxidant
410 activity in the food simulants, since the free radical-scavenging activity of the phenolic
411 antioxidants incorporated into the films is considered to be due to their hydrogen-donating
412 ability.

413 The antioxidant activity was observed to be proportional to the antioxidant concentration in
414 the different simulants and showed the same kinetic profile as antioxidant release. Besides
415 the effects of concentration, as already commented, the effect of the food type was clearly
416 noticeable. Films were very active in alcohol containing simulants and showed less activity
417 in aqueous products. However, their activity in contact with fatty food products is uncertain
418 since the values observed in ethanol 95% and isooctane were clearly divergent. Similar
419 disagreement has been observed in other migration studies (35).

420 **Table 4** compares the maximum antioxidant activity (long term storage) of the food
421 simulants exposed to the antioxidant films. These results refer to the antioxidant activity
422 that a standard 1 litre package made with these films will provide for the packaged product.
423 In water and ethanol 10% simulants, films with low and high concentration of catechin
424 provided better results comparing to quercetin ones. The reason for this effect is the above-
425 mentioned higher solubility of catechin in these liquid media. In ethanol 95%, the
426 antioxidant protection was proportional to the antioxidant concentration in the films since
427 both quercetin and catechin containing films released most of the flavonoid incorporated.
428 Therefore, catechin containing films presented better characteristics for the production of
429 an all-purpose active package.

430

431 These results are indicative of active films having been successfully obtained by adding
432 natural antioxidants to hydrophilic EVOH copolymers through an extrusion process. At the
433 flavonoid concentrations tested, the resulting materials maintained the typical properties of
434 EVOH materials. The films released the active agent as a function of antioxidant
435 concentration and the type of food simulant to which the film was exposed, which thereby

436 acquired antioxidant capacity. The films proved to be active for aqueous and alcoholic food
437 products. However, the activity of the films exposed to fatty foods was ambiguous, since
438 the tests carried out with the two fatty simulants presented opposite outcomes: very high
439 activity in ethanol 95% and nil for isooctane. Further studies are ongoing to measure the
440 activity of these materials with different real oxygen-sensitive products.

441

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447

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Table 1. Thermal Parameters from DSC Thermograms of the EVOH-Based Materials During the First Heating

Sample	T _g (°C)	T _m (°C)	ΔH _m (J/g)	ΔH _{m_{cor}} (J/g)
Blank	43.0 ± 1.3 a	167.0 ± 0.3 a	-74.8 ± 1.8	-74.8 ± 1.8 a
Q1%	42.5 ± 1,3 a	165.7 ± 0.6 b	-75.2 ± 1.8	-75.9 ± 1.8 a
Q5%	50.3 ± 4.0 b	164.9 ± 0.2 b	-70.4 ± 1.2	-74.1 ± 1.2 a
Cat.0.5%	42.7 ± 1.3 a	165.4 ± 0.3 b	-74.7 ± 4.2	-75.1 ± 4.2 a
Cat.2%	44.8 ± 2.5 a	165.6 ± 0.1 b	-73.0 ± 1.8	-74.5 ± 1.8 a

a, b, ... indicate significant differences among the values of the same thermal property

Table 2. Water Vapor and Oxygen Permeability Values of EVOH Based Materials.

	Water Vapour Permeability (Kg.m/(m ² .s.Pa))			Oxygen Permeability (Kg.m/(m ² .s.Pa)E-03)	
	RH 50	RH 75	RH 100	RH 50	RH 90
Blank	2.0 ± 0.1 a	8.9 ± 0.6 c	13.9 ± 1.0 ab	7.2 ± 0.2 a	33.6 ± 0.9 b
Q 1%	1.7 ± 0.1 a	1.9 ± 0.1 a	18.3 ± 1.3 c	6.7 ± 0.4 a	29.4 ± 0.6 a
Q 5%	1.7 ± 0.4 a	1.7 ± 0.1 a	13.2 ± 1.6 a	8.0 ± 0.2 b	36.6 ± 0.3 c
Cat 0.5%	1.9 ± 0.2 a	1.9 ± 0.2 a	16.5 ± 0.9 bc	7.8 ± 0.1 b	40.2 ± 0.2 d
Cat 2%	1.8 ± 0.2 a	5.3 ± 0.6 b	17.1 ± 3.0 bc	9.3 ± 0.4 c	36.8 ± 0.4 c

a, b, ... indicate significant differences among the values of permeability at the same RH.

Table 3. Color Parameters of EVOH Based Materials

Parameters	L*	a*	b*	C*	H(°)	ΔE
Blank	91.1 ± 1.4 a	-0.08 ± 0.02 c	-0.05 ± 0.02 a	0.10 ± 0.03 a	29.3 ± 5.9 b	-
Q 1%	92.7 ± 1.3 a	-5.3 ± 0.1 b	11.1 ± 0.2 d	12.3 ± 0.2 d	-64.6 ± 0.3 a	12.4 ± 0.2
Q 5%	92.9 ± 0.8 a	-11.4 ± 0.4 a	28.1 ± 1.33 e	30.3 ± 1.4 e	-67.8 ± 0.3 a	18.2 ± 1.3
Cat 0.5%	92.2 ± 0.7 a	0.24 ± 0.1 d	2.5 ± 0.2 b	2.5 ± 0.2 b	84.4 ± 0.7 c	10.2 ± 0.1
Cat 2%	91.9 ± 0.5 a	0.62 ± 0.1 e	4.6 ± 0.6 c	4.7 ± 0.6 c	82.4 ± 0.2 c	12.3 ± 0.4

a, b, c... indicate significant differences among the values of the same color property

Table 4. Maximum Antioxidant Activity, Expressed as Ascorbic Acid Concentration, in All Food Simulants in Contact with EVOH Films Containing Quercetin and Catechin.

	Antioxidant activity (mg/L ascorbic acid)		
	Water	EtOH 10%	EtOH 95%
Q 1%	0.07 ± 0.03 a,x	1.69 ± 0.72 a,y	29.5 ± 3.26 a,z
Q 5%	1.03 ± 0.79 ab,x	4.43 ± 1.56 ab,y	124.71 ± 8.79 b,z
Cat 0.5%	2.95 ± 1.36 bc,x	4.03 ± 1.67 ab,x	16.48 ± 3.21 c,y
Cat 2%	4.54 ± 1.8 c,x	6.59 ± 2.57 b,x	84.05 ± 4.68 d,y

a,b,c,d indicate significant differences among the diverse films in each simulant.

x,y,z indicate significant differences among the simulants, in each film.

LEGENDS TO FIGURES

Figure 1. DSC thermograms of EVOH-based materials during the first heating. A, values for quercetin containing films: (—), blank, (· · · · ·), Q 1%, and (— — —), Q 5%. B, values for catechin containing films: (—), blank, (· · · · ·), Cat 0.5%, and (— — —), Cat 2%.

Figure 2. Derivative of the weight loss of natural antioxidants and EVOH materials, measured by TGA. A, values for quercetin containing films: (—), blank, (· · · · ·), Q 1%, (— — —), Q 5%, and quercetin, (— · — · — ·). B, values for catechin containing films: (—), blank, (· · · · ·), Cat 0.5%, (— — —), Cat 2%, and catechin, (— · — · — ·).

Figure 3. Examples of the release of catechin from EVOH based materials, showing the effect of concentration and simulant (water and 10% ethanol): (●), Cat 2% into ethanol 10%, (○), Cat 0.5% into ethanol 10%, and (▲), Cat 2% into Water.

Figure 4. Partition (K) and diffusion (D) coefficient values for the release of catechin (gray bars) and quercetin (white bars) from EVOH based materials into the food simulants tested.







