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Additional Information

24 **ABSTRACT**

25 **Background:** Atrial fibrillation (AF) is the most common cardiac arrhythmia and the most
26 important cause of embolic stroke, requiring new technologies for its better understanding and
27 therapies. Recent approaches to map the electrical activity during AF with multi-electrode
28 systems aim at localizing patient-specific ablation targets of reentrant patterns. However, there
29 is a critical need to determine the accuracy of those mapping systems. We performed computer
30 simulations as a numerical approach of systematically evaluating the influence of far-field
31 sources on the electrical recordings and detection of rotors. **Methods:** We constructed 2
32 computer models of atrial tissue: (i) a 2D sheet model with varying non-active cells area in its
33 center, and (ii) a whole realistic 3D atrial model. Phase maps were built based on the Hilbert
34 transform of the unipolar electrograms recorded by virtual 2D and 3D multi-electrode systems
35 and rotors were tracked through phase singularities detections. **Results:** Analysis of
36 electrograms recorded away from the 2D atrial model shows that the larger the distance
37 between an electrode and the tissue model, the stronger the far-field sources contribution to
38 the electrogram is. Importantly, even if an electrode is positioned in contact with the tissue, the
39 electrogram contains significant contributions from distal sources that blur the distinction
40 between anatomical and functional reentries. Moreover, when mapping the 3D atrial model,
41 remote activity generated false phase singularities at locations without local reentrant excitation
42 patterns. **Conclusions:** Far-field contributions to electrograms during AF reduce the accuracy of
43 detecting and interpreting reentrant activity.

44 **Keywords**

45 Mapping, Electrogram, Phase analysis, Far-field, Rotors, Reentry

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55

56 **Conflict of Interests**

57 OB received research support from Medtronic, St. Jude Medical and Abbott. He is a cofounder
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59 Volta Medical and consultant to Acutus Medical. None of these entities was involved in this
60 study.

61 1. INTRODUCTION

62 Cardiovascular diseases are responsible for approximately 17 million deaths per year around the
63 world (31% of the major causes of death). Among them, cardiac arrhythmias, i.e. disorders of
64 the electrical conduction system of the heart, can be life threatening and cause medical
65 emergencies[1]. Atrial fibrillation (AF) is the most common cardiac arrhythmia seen in clinical
66 practice and is the most important cause of embolic stroke[2,3]. Its prevalence increases with
67 aging population and increased comorbidities. In 2015 there were about 33 million people with
68 AF worldwide[4] and it is predicted that in 2030 between 14 and 17 million people will suffer AF
69 in the European Union alone[5]. Therefore, it is of vital importance to develop new technologies
70 aimed at diagnosing and terminating AF and among others, computational models are a valuable
71 and helpful tool that can play an important role in the development and validation of those
72 technologies.

73 Catheter ablation has been recently recommended as a first-line treatment for AF
74 termination[5] since it has been demonstrated to be superior to antiarrhythmic therapy for the
75 maintenance of sinus rhythm (SR)[6–8]. Traditionally, ablation procedures aimed at terminating
76 AF have been primarily focused on isolating the pulmonary veins (PV)[9–11] and often
77 complemented by linear ablation of the posterior left atrium (LA)[12]. In contrast, recent
78 approaches motivated by experimental optical mapping of animal models[13–16] and explanted
79 human hearts[17,18], are based on mapping electrical activity and target the patient-specific AF
80 drivers across the entire atria, being either focal or rotors and regardless of their anatomical
81 position[19,20]. However, clinical AF mapping approaches utilize relatively low resolution multi-
82 electrode systems (contact and non-contact)[19,21–27] lacking rigorous validation against the
83 experimental optical mapping. Although the panoramic contact multi-electrode basket
84 catheters to map the atria in search for AF drivers has been reported to enable >80% success

85 rates as compared to 20-50% obtained by conventional ablation[2,21,28], the hypothesis of
86 rotors as human AF drivers is still controversial[24,25,29–35] and the usage of the multi-
87 electrode mapping approach to target those drivers needs further studies to determine its
88 accuracy.

89 Some of the drawbacks of the multi-electrode systems might be the lack of direct contact and a
90 distance between a given electrode and the atrial tissue, the effect of far field sources on the
91 recordings and the interpolation of the signals to improve maps visualization[36,37]. In the case
92 of the mapping with a basket type of catheter, it is also common to observe splines' bunching
93 resulting in an unpredictable and non-uniform inter-splines space compromising the panoramic
94 coverage[38]. Here we utilize computer simulations to overcome clinical and experimental
95 limitations in studying factors affecting the accuracy of multi-electrode mapping and focus on
96 the influence of atrial far-field sources on the detection of rotors. We find that far-field
97 contributions of atrial electrical sources might blur the distinction between functional and
98 anatomical reentries, and may form false rotors on phase maps due to the inherent sensitivity
99 of the phase analysis to low amplitude signals, which is critical in studying rotors[36,39].

100 **2. COMPUTATIONAL METHODS**

101 **2.1 Atrial Cells and Geometrical Models**

102 The membrane electrical activity of a human atrial myocyte was simulated with the
103 Courtemanche-Ramirez-Nattel (CRN) ionic model[40]. The CRN model was modified to account
104 for the remodeling of atrial cells under paroxysmal AF (pAF) and chronic AF (cAF)
105 conditions[36,39]. The maximum conductance of different ionic channels in the cellular models
106 was also modified to reflect the atrial electrophysiological heterogeneity observed
107 experimentally[36] and generate action potentials (APs) as shown in Figure 1.

108 Cellular models were then incorporated into nodes of two atrial geometrical models: a mesh
109 corresponding to a 2D virtual sheet of atrial tissue and a mesh corresponding to a whole 3D
110 virtual atrial model (referred to as 2D and 3D models). The 2D model consisted of atrial cells on
111 a rectilinear $5 \times 5 \text{ cm}^2$ active nodes mesh (inter-nodal distance of $300 \text{ }\mu\text{m}$), representing atrial
112 tissue, and a coupled cube of passive nodes, representing the blood cavity. Simulations were
113 carried out on three versions of such 2D geometry: A uniform mesh, as well as meshes with
114 passive nodes in a small and large central circular area representing the pulmonary vein (PV) and
115 the mitral valve ring (MVR) atrial orifices, respectively. The 3D atrial model comprised of atrial
116 cells in 754893 nodes and 515010 hexahedral elements with a regular spatial resolution of 300
117 μm , and a wall thickness between 600 and $900 \text{ }\mu\text{m}$ [36].

118 **2.2 Action Potential Propagation and Electrograms**

119 The transmembrane APs were simulated on the cellular meshes and then the extracellular
120 potentials were calculated on the endocardial surface and on virtual multi-electrode systems in
121 two general steps: First the APs were solved by the monodomain formulation using the operator
122 splitting numerical scheme with ELVIRA software[41] with a constant time step of 0.01 ms .
123 Second, extracellular potentials were computed by an approximation of the bidomain
124 formulation in two additional steps[42] implemented in MATLAB (MathWorks, Natick, MA) with
125 custom-made software routines with a temporal resolution of 1 ms , and yielded unipolar
126 electrograms (EGMs).

127 The detailed calculation approach follows. The bidomain equations can be partially decoupled
128 when assuming equal anisotropy ratios for the intracellular (D_i) and extracellular (D_e)
129 conductance tensors, i.e. $D_e = \lambda D_i$. As a result, we obtain two different equations in the heart
130 domain describing the changes in the transmembrane potential (V_m) and the extracellular
131 potential (V_e) [43]:

132
$$\nabla \cdot (D\nabla V_m) = C_m \cdot \frac{\partial V_m}{\partial t} + I_{ion} \text{ in } \Omega_H \quad (1)$$

133
$$\nabla \cdot (D\nabla V_e) = -\frac{1}{1+\lambda} \nabla \cdot (D\nabla V_m) \text{ in } \Omega_H. \quad (2)$$

134 $D = \frac{\lambda}{1+\lambda} D_i$ is the equivalent conductivity tensor, I_{ion} and C_m are the transmembrane ionic
 135 current and the membrane capacitance from the cellular model, respectively, and Ω_H is the heart
 136 domain. Equations (1) and (2) are subjected to the following boundary conditions:

137
$$n \cdot (D\nabla V_m) = 0 \text{ on } \partial\Omega_H \quad (3)$$

138
$$n \cdot (D\nabla V_e) = 0 \text{ on } \partial\Omega_H, \quad (4)$$

139 n being the outward normal to $\partial\Omega_H$. The two-step solution for the extracellular potential
 140 consisted of computing first V_m through equations (1) and (3), and then computing V_e in the
 141 heart tissue via equations (2) and (4). As boundary conditions (3) and (4) consider the heart to
 142 be immersed in a non-conducting bath, accurate calculation of the EGMs in the inner atrial blood
 143 cavity require placing the heart within the torso and solving for V_e within the entire domain; i.e.,
 144 within the heart region, Ω_H , and the torso region, Ω_T . Therefore, the problem included now the
 145 governing equations for the solid volume conductor associated with the torso together with its
 146 boundary conditions at the heart-torso (in the 3D model) or heart-blood (in the 2D model)
 147 interface, $\partial\Omega_H$. Under the assumption of equal anisotropy ratio for D_i and D_e and following the
 148 calculation of V_m , V_e was calculated within the domain $\Omega_H \cup \Omega_T$ as the solution of the following
 149 Laplace equation:

150
$$\nabla \cdot (D_T \nabla V_T) = 0 \text{ in } \Omega_T \quad (5)$$

151 where V_T and D_T are respectively the extracellular potential and the heterogeneous conductance
 152 tensor outside of the heart domain (including the blood cavity of the atria and the torso
 153 domains). Equation (5) is subjected to the following boundary and continuity conditions:

154
$$V_e = V_T \quad \text{on} \quad \partial\Omega_H \quad (6)$$

155
$$\mathbf{n} \cdot (\mathbf{D}\nabla V_T) = 0 \quad \text{on} \quad \partial\Omega_T \quad (7)$$

156 where $\partial\Omega_T$ is the boundary corresponding to the torso-air or the blood-air approximation for
157 the 3D or 2D models, respectively. Finally, the computed V_T is the EGM at any virtual electrode
158 location within the corresponding Ω_T .

159 **2.3 Transmembrane and EGM Voltage Analysis**

160 The time-series of the EGMs voltage values at the multiple virtual electrode locations were
161 spatially interpolated and the Hilbert transform (HT) was applied on all the resulting voltage time
162 series[14,44,45] to generate the instantaneous local phases, whose values ranged from $-\pi$ to π
163 radians. Finally, to track the pivoting location of the waves[46–49], phase singularity (PS) points
164 were identified automatically by the method proposed by Rogers[50]. We excluded the first and
165 last 500 ms of the signals to avoid transformation artifacts. It should be noted that EGMs were
166 computed at virtual multi-electrode systems, i.e. electrodes corresponded to a spatial
167 coordinate.

168 **3. MAPPING OF THE ELECTRICAL ACTIVITY IN A VIRTUAL SHEET OF ATRIAL TISSUE**

169 **3.1 Near and Far field Contributions**

170 A simulation of a planar AP wave in a 2D virtual sheet of left atrial tissue (LA_{tissue}) was performed
171 for a baseline analysis of the relative influences of near-field (NF) and far-field (FF) sources on
172 EGMs. An electrode was placed at a varying distance d above the center of the sheet (Figure 2A)
173 which was arbitrarily divided into a disc of radius r comprising the designated NF sources and
174 the periphery of the disc comprising the designated FF sources (Figure 2B). The radius r of the
175 disc ranged from 0.25 to 2 cm to vary the extension of the sources considered as NF contribution
176 to the EGM. For each of the NF-FF configurations of the tissue model and for all the EGM

177 electrode distances d , the EGMs were computed accounting for either only for the NF sources
178 (EGM_{NF}) or for the entire LA_{tissue} with the aim of comparing the relative contributions. Results are
179 illustrated in Figure 3. First, panel A shows endocardial EGMs, computed at $d=0$ with the whole
180 tissue and with only the NF sources as designated by $r=0.25$ cm and $r=0.50$ cm (green circles in
181 the inset). It is seen that the negative slope indicating the activation time is consistent for the 3
182 EGMs, but the peak-to-peak amplitude is slightly different and the area under the whole tissue
183 EGM is significantly larger than the NF EGMs, highlighting that an electrode positioned in contact
184 to the tissue contain significant contributions from distant areas.

185 To quantify the relative contribution of NF to the EGMs we compared the peak-to-peak
186 amplitude ratio between the EGM from the NF sources (A_{ppNF}) and the whole tissue EGM (A_{pp})
187 amplitude (panel B), and the correlation between the EGM from the NF sources (EGM_{NF}) and the
188 whole tissue EGM (panel C), at the same electrode position and increasing heights as well as
189 larger NF sources area. For all values of r , the contribution of the NF sources to the whole signal
190 decreased with the distance to the tissue (a decrease in A_{ppNF}/A_{pp} and correlation values can be
191 observed), which means that the relative FF sources contribution increased with d . Panel D
192 depicts, based on the data in panels B and C, the minimal size of NF region monitored by and
193 electrode at a certain distance which yields the 90% A_{ppNF}/A_{pp} and 0.9 NF and NF+FF correlation
194 (black dashed lines in B and C). Noticeably, increasing the distance between the electrode and
195 the tissue increases the radius r of the region contributing 90% to the EGMs. When the electrode
196 was located at a distance of 19.8 mm from the endocardium, none of the tested radii yielded
197 the 90% of A_{ppNF}/A_{pp} . Overall, as expected, the higher the distance between an electrode and the
198 tissue, the stronger the FF sources contribution to the EGM in that electrode is. This fact will
199 reduce fidelity in interpretation of unknown patterns of waves, for example during fibrillation,
200 in which remote waves contributions may interfere with local waves contributions.

201 **3.2 Mapping Reentries by using Multi-Electrode Arrays**

202 A simulation of reentrant activity was performed in the LA_{tissue} under pAF conditions to
203 characterize the effects of the multi-electrode array configurations, including variations in both
204 the inter-electrode distance (d_{ie}) and the electrode-to-tissue distance d , on the accuracy of
205 localizing rotors and their meandering. In addition, a couple of anatomical reentries were
206 performed around a pulmonary vein (PV) and the mitral valve ring (MVR) to test whether using
207 multi-electrode array systems can distinguish between anatomical and functional reentries. For
208 this purpose, unipolar EGMs were computed at the endocardium and at the coordinates where
209 the electrodes were located within the blood cavity. Then the voltages on the EGMs were
210 linearly interpolated to 0.3 mm of spatial resolution to obtain a uniform visualization of the
211 phase maps and the PSs.

212 **3.2.1 Accuracy of the Multi-electrode Arrays Configuration**

213 We altered multi-electrode array configurations to analyze rotor detection by varying d from 0.9
214 mm to 19.8 mm and d_{ie} from 0.9 mm to 18 mm (see Figure 4). Results are summarized in Figure
215 5. Phase maps and rotor tracking are shown for each multi-electrode array configuration, in
216 comparison with those obtained at the endocardium (our ground-true reference). Rotor was
217 tracked through the PSs detection for each multi-electrode array configuration [50]. Sensitivity
218 and specificity were calculated by comparing the trajectories detected by each multi-electrode
219 array configuration and the trajectory detected on the endocardium. As expected, phase maps
220 of the multi-electrode array configuration with the minimal $d=d_{ie}=0.9$ mm are the ones that
221 resemble most the endocardial phase map and that yield the most accurate trajectory detection
222 with a sensitivity of 85.7% (see Figure 5). Increasing d decreased the sensitivity (31.4% at $d=19.8$
223 mm.) Surprisingly, the effects of increasing d_{ie} on the phase maps seem to be stronger when the
224 electrode is closer to the tissue (smaller d). By increasing d , sensibility improved probably

225 because of altered balance between near and far field contribution (from 5.9% to 20.3% for the
226 highest $d_{ie}=18$ mm). Although specificity was greater than 84% in all cases, it also decreased by
227 increasing d and d_{ie} from a maximum of 99.2% at $d=d_{ie}=0.9$ mm.

228 **3.2.2 Differentiation Between Functional and Anatomical Reentries**

229 We used the simulation of the functional reentry in the LA_{tissue} in Figure 5 and simulation of an
230 anatomical reentry around a PV (PV_{tissue}) and the MVR (MVR_{tissue}) models under pAF conditions
231 to investigate the ability of multi-electrode arrays to distinguish between functional and
232 anatomical reentries in Figure 6. The multi-electrode array configuration employed in this case
233 corresponds to $d_{ie}=0.9$ mm at the endocardial surface ($d=0$ mm) since, as described previously,
234 it provides the best functional reentry (rotor) detection.

235 An S1-S2 cross-field stimulation protocol yielded reentries in the three different models, as
236 shown in Figure 6 (column 1, 2 and 3 correspond to simulations in the LA_{tissue} , PV_{tissue} and
237 MVR_{tissue} , respectively). Panel A depicts snapshots of the V_m (multi-electrode arrays are
238 represented as superimposed grid of black dots). Panel B shows maps of peak to peak amplitude
239 over a full cycle of V_m and illustrates the low amplitude regions in the meandering area of the
240 functional reentry (panel B1) and the null V_m in the orifices regions around which the anatomical
241 reentry revolves. Panel C shows phase maps based on the simulated V_m time series at the same
242 instant shown in panel A. For the functional reentry in the LA, the PS trajectory has been
243 superimposed (white trace in panel C1). For the anatomical reentries, it is noticeable that PSs
244 do not exist as the phases of the V_m do not converge to a point. Panels D and E show the EGM
245 maps corresponding to the recordings of the multi-electrode arrays. Panel F illustrates the 1-
246 cycle peak to peak amplitude maps from the EGMs (rotor trajectories during this cycle have been
247 superimposed in red). Here again, as in Panel B, the area at the center of the functional reentry
248 shows low amplitude in the EGM, but in contrast to panel B, here the anatomical reentries are

249 exhibiting low, but non-zero voltage. Finally, panel G depicts the phase maps based on the time-
250 series EGMs shown in panels D-E, with PS trajectories superimposed in white.

251 As expected, phase maps generated by the recordings of the multi-electrode array (Panel G)
252 exhibited the functional reentry in the LA as a rotor and its trajectory was detected through the
253 PSs detection on the LA_{tissue} phase maps (see panel G1). However, phase maps built from the
254 EGMs and corresponding to the anatomical reentries around the PV and the MV also displayed
255 PSs indistinguishably from rotors (see panels G2 and G3), unlike the phase maps based on the
256 V_m (panel C2 and C3). Noticeably, in case of both anatomical reentries, the PSs' trajectories were
257 located inside the anatomical orifice, where there was no active tissue. This fact implies that the
258 HT phases independency of EGMs amplitude displays rotors and PSs in extracellular potentials
259 maps due to the far-field contribution (in this case from the surrounding active tissue). Indeed,
260 as illustrated in panel F2-F3, the amplitude of the EGMs recorded by electrodes in contact with
261 active tissue is much higher than the amplitude of the EGMs recorded by central electrodes
262 which are at some distance from the active tissue (electrodes covering the orifice). Moreover,
263 for all three reentries there is an amplitude reduction in the region of the meandering, as shown
264 in panel F. Thus, the mere presence of a reentry and a PS in maps generated by multi-electrode
265 recordings cannot be considered an indication for functional reentry because of the far-field
266 contribution to the recordings and the amplitude independency of the phase analysis.

267 **4. MAPPING ACTIVITY IN THE VIRTUAL ATRIA BY USING A BASKET CATHETER**

268 **4.1 Mapping of Reentries**

269 We have recently demonstrated how the three main factors of (1) electrode-endocardium
270 distance, (2) distant electrical sources and (3) inter-electrode interpolation affect the detection
271 of rotors using a basket mapping catheter in the atria[36]. Here we build upon the insight on far-

272 field effects in 2D reentrant simulations shown in Figures 5 and 6 to demonstrate how distant
273 electrical sources in an anatomically realistic model of the atria can contribute far-field
274 extracellular potentials that affect the accuracy of reentry detection during AF. To accomplish
275 that goal, we first simulated the reentrant electrical activity in the atria. The activation patterns
276 of APs simulated on the atrial endocardial surface served as our ground-true reference for
277 comparison with the basket maps. Second, we computed the unipolar EGMs on the endocardial
278 surface and at the coordinates of a virtual intracardiac 64-pole mapping basket catheter
279 electrodes, which was placed in the right atrium (RA), as depicted in Figure 7A. The basket
280 catheter was formed by 8 splines (A-H) each containing 8 electrodes (1-8). Accordingly,
281 endocardial and cavity EGMs were computed as extracellular potentials with a temporal
282 resolution of 1 ms and were bandpass filtered (7-10 Hz) to allow a better rotor tip tracking [51].
283 The 64 Basket's EGMs were then linearly interpolated on 57600 points on a periodic 2D
284 projection of the basket to improve phase maps visualization and rotor tracking (Figure 7D).
285 Phase maps on the endocardial surface (Figure 7C) and on the basket sphere (Figure 7E) were
286 then calculated from the filtered EGMs by applying the HT and the resulted PSs were localized
287 on the phase maps to track the rotor's trajectory.

288 The performed simulation led to a self-sustained complex propagation pattern maintained by a
289 rotor near the crista terminalis (dubbed CT rotor) accompanied by a distal rotor wave extension
290 (RWE) reentry around the inferior vena cava (IVC). The CT rotor migrated back and forth
291 between the superior vena cava (SVC) and the IVC along the CT. Figure 7B are snapshots at 150
292 ms (B1) and 1680 ms (B2) showing the CT rotor (white arrow) and the RWE (dashed white arrow).
293 All the reentrant patterns described in the transmembrane voltage maps were also identified on
294 the phase maps (Figure 7C). The CT rotor generated a meandering PS at the area corresponding
295 to its endocardial V_m map pivoting and the RWE circulating around the IVC generated a stable
296 PS inside the corresponding orifice area.

297 However, the phase maps of both the endocardial surface (Figure 7C) and the basket (Figure 7E)
298 EGMs always presented a number of false rotors, dubbed imaginary PSs (IMPSs), in addition to
299 the pair of AP simulated reentries (the CT rotor and the RWE). The IMPSs were independent of
300 the interpolation since electrodes surrounding the IMPSs registered sequential activation and
301 were observed in basket phase maps for each of 3 different basket positions (not shown; see
302 [36]). Band-pass filtering employed to remove transient PSs did not eliminate IMPSs since in our
303 simulation they appeared within the same band of frequency as the real CT rotor and RWE. False
304 PSs consequent of interpolation between electrodes without sequential activation were also
305 possible, but their percentage was highly reduced, or even completely removed, when
306 electrodes density was increased (not shown, see [36]). It should be noted that the PS associated
307 with the RWE around the IVC is not to be considered false, or imaginary, due to the fact that
308 multi-electrode recording systems will produce a PS for both anatomical and functional reentries
309 (see Figure 6).

310 For further perspective we present snapshots of the basket voltage maps at two different times
311 in Figure 7D. The voltage scale in Figure 7D was magnified to highlight voltage distribution across
312 the basket and indeed visual evaluation of the snapshots show voltage gradients across the
313 basket, but those voltage gradients do not clearly indicate the real or imaginary reentrant
314 patterns visible in the phase maps in Figure 7E. The Supplementary Movie 1 however does show
315 the reentrant nature of the basket voltage map and corroborates the presence of the PSs in the
316 phase maps seen in Figure 7E. It is important to emphasize that the low amplitude potentials
317 seen in Figure 7D and Supplementary Movie 1 are not noise and cannot be filtered out without
318 risking removing significant information across other regions of the atria during the AF.

319 **4.2 Distal Sources Affecting Mapping**

320 As illustrated in Figure 7C, transient PSs drift at the area corresponding to the tricuspid valve of
321 the RA, where no voltage and reentrant activity is seen in Figure 7B. The generation of such PS
322 in C1 can be explained by the far-field activity studied in Figure 6 (columns 2-3), where there is
323 a similar setting containing anatomical obstacles. However, in contrast to the stable anatomical
324 reentries around the PS in Figure 6, in Figure 7 there is no reentrant activity around the tricuspid
325 valve ring and therefore the PS is designated IMPS.

326 We surmised that the origin of the IMPSs in the basket map (Figure 7E) is related to far-field
327 contributions from remote active atrial sources. We therefore computed the endocardial and
328 basket voltage and phase maps when considering only limited RA sources from the simulation
329 in Figure 7 which were confined to regions away from the TV region and splines E, F and G (Figure
330 8A). As illustrated in panels B-D of Figure 8, IMPSs appeared at endocardial regions and between
331 splines E-G which were clearly recording remote atrial activity. The CT rotor and its extension
332 reentry, which were included in the sources considered, were still detected. Supplementary
333 Movie 2 corroborates the dynamic reentrant nature of the basket voltage and phase mapping
334 with presence of PSs and IMPSs as seen in Figure 8C and 8D.

335 To further determine the location of sources contributing to the presence of IMPSs we
336 computed the endocardial and basket phase maps when considering very limited amount of
337 sources confined to the immediate vicinity of the CT rotor core (see Figure 9A). The small area
338 of sources generated the CT rotor and its extension reentry at the boundary of the sources
339 region (Figure 8B), but did not generate the IMPSs at both the RA endocardial surface and the
340 basket (compare Figure 9D with Figure 7E and Figure 8D). The basket voltage maps (Figure 9C)
341 and Supplementary Movie 3 further corroborate the existence of low amplitude signals with
342 rotation at sites corresponding only to the CT rotor and its extension, without IMPSs.

343 The fact that the sources restricted to the immediate vicinity of the CT rotor as seen in Figure
344 9A did not produce false or imaginary reentrant activity on the phase maps in Figure 9B and 9D
345 is not an evidence that these region does not contribute at all to the IMPs seen in Figures 7 and
346 8, but rather that the endocardial and basket IMPs were a consequence of combined far-field
347 contribution from sources located in various areas distal to the IMPs. In a more detailed analysis
348 [36] it was observed that far-field sources interfered significantly with the basket recordings
349 when electrodes were at distances greater than about 0.5 cm from the endocardial wall activity.
350 As the far-field effect was observed on the endocardial maps also when considering the whole
351 atrial tissue (with short living PSs in the TV region as seen in Figure 7, panel C1) it is suggested
352 that the far-field effect may generate false PSs even when the basket is in perfect contact with
353 the endocardium, but the far-field effect is exacerbated when the basket electrodes are in
354 distance >0.5 from the endocardium[36].

355 **5. DISCUSSION**

356 **5.1 Unipolar Recording of the Electrical Activity in a Sheet of Atrial Tissue**

357 The main contribution to a unipolar EGM in contact with the tissue in our simulation of a planar
358 wave is provided by NF sources. Demanding that the NF to FF EGM contribution amplitude ratio
359 is $> 90\%$ and the correlation value between the NF EGM to the NF + FF EGM is > 0.9 , our study
360 suggests that the sources considered as NF reside in a circular region of $r \geq 0.50$ cm. Then, for a
361 NF sources region of a fixed size, the NF relative contribution to the EGM decreases by increasing
362 the electrode-to-tissue distance and therefore, the greater the distance, the higher the relative
363 contribution of the FF. This fact implies that at certain distances from the tissue, an electrode is
364 recording mainly remote electrical activity. Since remote sources during fibrillation may be
365 various and even stronger than the local ones, they might have a detrimental effect on the
366 accuracy of mapping of wave propagation.

367 5.2 Mapping Reentries by using Multi-Electrode Arrays

368 5.2.1 Accuracy of the Multi-electrode Arrays Configuration

369 To establish a clear baseline understanding for localizing rotors in the whole atria, we evaluated
370 the effects of the geometrical configuration of the multi-electrode systems on the accuracy of
371 localizing rotors in a 2D sheet of atrial tissue by varying the multi-electrode array configurations.
372 Simulation results in Figure 5 suggest that $d_{ie} < 9$ mm seemed to be a sufficient spatial resolution
373 to detect rotors with a relatively high sensitivity (approximately 62 % if $d_{ie}=4.5$ mm, 82 % if $d_{ie} =$
374 2.7 mm and 85% if $d_{ie}=0.9$ mm) for low distances to the tissue ($d = 0.9$ mm). However, when the
375 spatial resolution of the electrodes was poor, rotors' detection in our simulations improved by
376 increasing the electrode-to-tissue distance d . In this study we show that the sensitivity and the
377 specificity increase when increasing d for a resolution of $d_{ie} = 9$ mm or worse. These results are
378 in accordance with a previous study that demonstrated that rotors could be detected with a
379 spatial resolution of 1 mm to 1 cm[22]. However, we provide further insight and conclude that
380 the minimal spatial resolution depends on the distance between the array of electrodes and the
381 tissue.

382 The 2D simulation results suggest that localization of a rotor with high density multi-electrode
383 arrays placed in a cavity parallel to a smooth endocardium can be accurate when the array is
384 placed closest to the endocardium. For low density arrays, however, accuracy can be maintained
385 by increasing the distance to the tissue. However, the 2D simulation results are not directly
386 applicable to more complex atrial geometries and multi-electrode configurations with non-
387 equidistant d_{ie} , as for example in the basket catheter, where the distance between the
388 electrodes and the endocardium, as well as the distance to the rotor, are non-constant.
389 Nevertheless, the data presented in Figure 5 can teach us on the 3D basket mapping in our
390 simulations since an array placed close to the 2D atria could represent the near side of the

391 basket, while another array placed far from the 2D atria would represent the contralateral side
392 of the basket. Therefore, our 2D simulations would show how the near and the contralateral
393 aspects of the basket scenario can present PSs, either real or imaginary. The multi-electrodes
394 array senses a PS regardless of the distance between the array and the 2D atrial model and those
395 PSs showed at any distance from the atria in Figure 5 form filaments in the external medium,
396 i.e., a linear collection of PSs in the extracellular potentials fields resulting from AP reentries
397 (they are not shown in our results). A similar situation is presented by Rodrigo et al [51], where
398 filaments of PSs exist in the torso external to the atrial wall. Those extended filaments in the
399 cavity indeed could be a mechanism of appearance of IMPs in the 3D simulations whereby a PS
400 in the extracellular potential maps on the contralateral basket side and corresponding nearby
401 atrial wall do not have a rotating action potential wave.

402 **5.2.2 Differentiation Between Functional and Anatomical Reentry**

403 As demonstrated in Figure 5, mapping and tracking PSs of functional reentries with multi-
404 electrode arrays by using phase maps based on the HT of unipolar EGMs is most accurate for the
405 minimum d_{ie} tested, as long as the array is placed as close as possible to the tissue ($d = d_{ie} = 0.9$
406 mm). Therefore, we used this multi-electrode array configuration to evaluate its ability to
407 distinguish between functional and anatomical reentries.

408 On one hand, results showed that on both voltage and phase maps, both functional and
409 anatomical reentries are registered by the multi-electrode array indistinguishably as a rotor, i.e.
410 as a functional reentry. Their meandering can be detected through the PSs. In the anatomical
411 reentries cases (Columns 2 and 3 in Figure 6) the EGM phases inside the obstacles converged
412 and formed a PS always located within the electrodes array covering the orifice area and its
413 meandering trajectory appeared more spatially confined compared with the meandering of the
414 functional reentry PS. This discrepancy is probably dependent on the cellular model and also

415 probably due to the stabilizing effect of the orifice around which the anatomical reentry pivots.
416 On the other hand, for both functional and anatomical reentries, there is a decrease in EGMs'
417 amplitude in the vicinity of the detected trajectories. In the case of the anatomical reentries this
418 decrease is due to the distance between the electrodes and the sources at the tissue (far field
419 contributions). Unlike anatomical reentries, for the functional reentry the decrease in the EGMs'
420 amplitude near the trajectory is a consequence of the high wave front curvature and the slow
421 conduction velocity at the core, where the tissue remains practically unexcited[52].

422 **5.3 Mapping of the Electrical Activity in the Virtual Atria by using a Basket Catheter**

423 To date, the accuracy of panoramic basket catheters for localization of rotors in patients during
424 AF has not been established, in part because the fibrillatory activation patterns across the whole
425 atria are not known, and thus we used computer simulations to analyze in detail factors affecting
426 localization of rotors. Our results showed that rotors may be identified by phase maps of
427 electrical recordings. In fact, phase and voltage maps follow the same activation patterns, but
428 due to the independency of phase analysis from the amplitude of the recordings, phase maps
429 are more precise in detecting reentrant patterns when small potential variations in amplitude
430 are present (see Figure 6). This could be an advantage in the case the basket catheter presents
431 low amplitude signals either because of distance to the tissue or because of nearby scar or
432 fibrosis. However, Figure 7 shows that phase maps built from basket catheter recordings may
433 present imaginary PSs, which may confound targeting of ablation to terminate AF. Our analyses
434 in Figures 6-9 and elsewhere[36] suggest that the appearance of the false rotors can be
435 attributed to at least three factors: the distance between the basket electrodes and the
436 endocardial wall; the distance between the atrial waves and the electrodes (these two factors
437 are essentially a far-field effect); and the inter-electrodes distance of data used to create the
438 maps. Therefore, although phase maps based on basket catheters are a powerful tool to map

439 AF and to localize real rotors and other ablative targets, they can lead physicians to ablate atrial
440 regions that are in fact free of rotor sources of AF. Importantly, as phase and voltage maps follow
441 the same activation patterns, this suggests that far-field effects would affect similarly the
442 activations times and phase maps.

443 Our previous study suggests that an 8×8-pole mapping basket catheter can yield sufficient spatial
444 resolution for a real rotor detection when it is properly located in contact with the tissue and at
445 the rotor meandering area[36]. This is consistent with other mapping studies, which are not
446 validated but include some correlations between mapping and ablation results to support their
447 conclusions. Rappel and Narayan[22] suggested that the spatial resolution of a 64-pole mapping
448 basket catheter is adequate to detect rotors, although noise in the EGMs and electrode position
449 might affect accuracy. Narayan et al[19] showed that irregular inter-electrodes distances do not
450 alter the sequential activation across adjacent electrodes surrounding a rotor.

451 In addition, our study showed that increasing the electrode density (e.g., 16×16) did not
452 significantly improve rotor detection if the basket was located close to the rotor [36]. However,
453 when we decreased the electrode density (e.g., 4×6) from an optimal level, the ability to detect
454 rotors was reduced. Recently Roney et al[53] found that basket catheters are prone to false
455 detections and may incorrectly render rotors that are not present, and also that increasing the
456 number of splines up to 16 reduces both the number of false PSs and the number of missing PSs.
457 In general, our results described here and in [36] are in accordance with their results. When we
458 increased the number of splines up to 16, for the three basket positions the false PSs due to the
459 interpolation were strongly reduced and the sensitivity for detection of the real rotor increased.
460 However, our study highlights the fact that rotor tracking is more effective if the basket catheter
461 is placed appropriately inside the atrial cavity to ensure extensive coverage of the rotor
462 meandering area. Additionally, it is important to note that the improvement of the spatial

463 resolution didn't reduce the appearance of IMPs, which were the consequence of a larger than
464 critical electrode-to-tissue distance or far-field effect in general. Furthermore, for certain
465 positions of the basket, the rotor would not be detected if it drifts to a poorly covered region. It
466 should be also noted that, in the clinic, if the basket is not large enough, it would not be possible
467 to determine if it is properly located inside a cavity because one does not know a priori the
468 rotors' locations.

469 **5.4 Clinical Perspective on Far Field and Mapping Reentries**

470 In the clinical setting, the far-field activity is broadly referring to artifacts confounding the
471 identification of local activity in unipolar or bipolar electrode recordings[54]. In certain cases,
472 such as with the far-field contributions from the ventricles or a pacing electrode during AF
473 recordings, the artifact is easy to identify. However, complex patterns of multiple waves during
474 AF may produce confounding irregular far-field contributions which may vary in their cycle
475 length, have small or large amplitudes, and are impossible to conclusively account for in all
476 commonly used unipolar, bipolar and any reference point settings[55]. Patterns of activation
477 have been traditionally studied with maps of presumed local activation times, but when the
478 patterns at question are rotors, the phase mapping, which produces a similar pattern of
479 activation as the activation times maps (compare Figures 6E and 6G), is more precise because it
480 captures and tracks its instantaneous pivoting point, i.e., the PSs[56]. In this study we use
481 computer simulations to demonstrate how far-field contributions of remote sources of activity
482 in the atria can produce PSs in multi-electrode recording phase maps, which are the signature
483 of functional reentries and rotors, in vicinity of regions which either present real functional or
484 anatomical reentries, or regions which do not present reentries.

485 Reentries localized to atrial anatomical orifices, such as the valve rings or the thoracic veins, are
486 readily categorized as anatomical reentries regardless of the erroneous presence of PS in phase

487 maps. However, EGMs of reentries localized to areas around a scar, fibrotic or trabeculae
488 structure, or heterogeneity in fibers organization, which may exhibit low amplitude EGMs at
489 baseline[55,57], could in fact be either anatomical[58] or functional[59] with different
490 underlying physiology and possibly requiring different strategy of therapy. Our study
491 unfortunately suggests that in the case a PS is observed on the multi-electrode maps outside of
492 a known anatomical orifice region, it will not be possible to determine whether the PS originates
493 from a functional or anatomical reentrant activation. Although the EGMs voltage amplitude and
494 the meandering patterns of the PSs may be different in functional vs. anatomical reentries, the
495 heterogeneity of these two features at different atrial sites and conditions precludes using them
496 in a clear method to differentiate between both types of reentries[60]. Thus, until further studies
497 are performed to establish the accuracy of multi-electrode mapping systems, an extreme
498 caution in interpreting clinical mapping of AF waves and reentries should be applied.

499 **6 CONCLUSIONS**

500 Phase maps based on the HT of the unipolar EGMs registered by multi-electrode systems are
501 capable of detecting real anatomical and functional reentries in the atria even when not in full
502 contact with the endocardial tissue. However, as a consequence of the far-field contributions to
503 the electrical recordings and the independency of the phase analysis from EGMs amplitudes,
504 false reentry detection may be possible and the differentiation between functional and
505 anatomical reentries is blurred. Future studies with the gold-standard optical mapping
506 reference[61] will have to devise in-vivo approaches to overcome such inherent limitations of
507 the current multi-electrodes mapping systems.

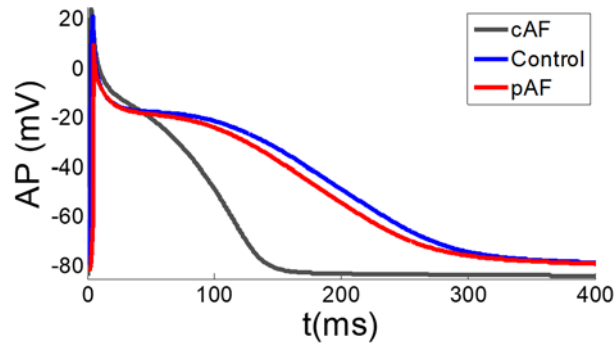


Figure 1. Action potentials (APs) in control, paroxysmal atrial fibrillation remodeling (pAF) and chronic atrial fibrillation remodeling (cAF).

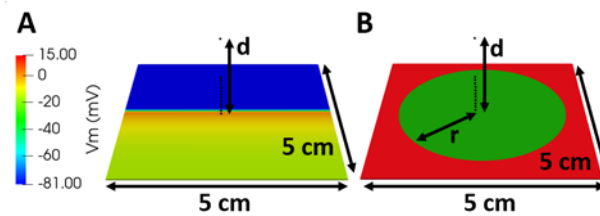


Figure 2. Far-field contributions. A) Electrical propagation in a virtual sheet of left atrial tissue (planar stimulus). B) Near-field (green) and far-field (red) regions. r : radius of the near field region, ranging from 0.25 to 2 cm; d : distance between tissue and electrode. Black dots correspond to tested positions of the electrodes.

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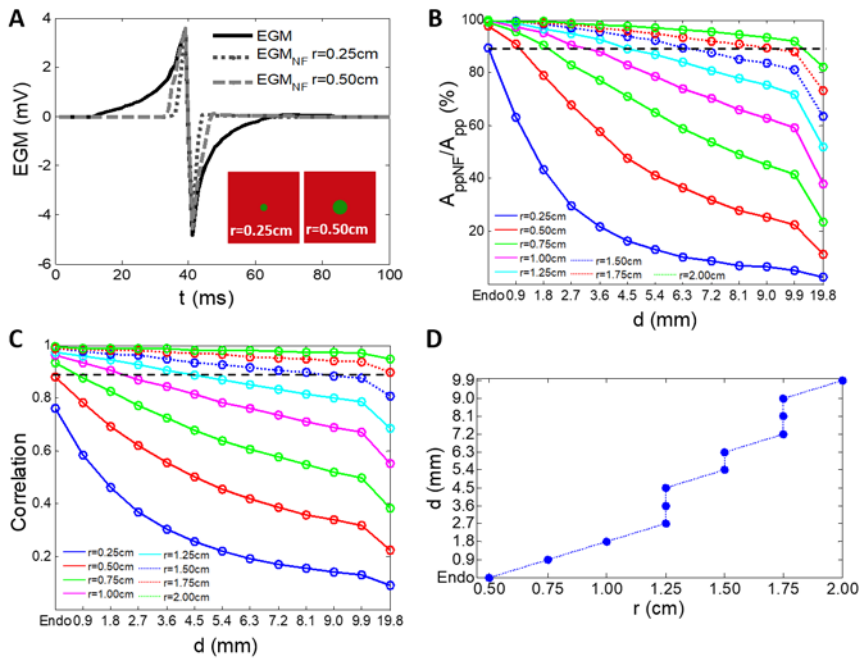


Figure 3. Near and far-field contributions. A) Comparison of the EGM and the EGM_{NF} for a near field region defined by r=0.25 and r=0.50 cm, all three registered at the endocardium. B) Ratio of the peak-to-peak amplitude (A_{pp}) and C) correlation between the EGM computed only with the NF sources (EGM_{NF}) and the EGM computed with the whole virtual atrial tissue for each electrode position and each radius r defining the NF sources. Black dashed line indicates a value of 90% in B and 0.9 in C. D) Region of tissue (radius r) covered by one electrode depending on the electrode-to-tissue distance, with 90% for the A_{ppNF}/A_{pp} and 0.9 for the correlation; Endo: d=0mm.

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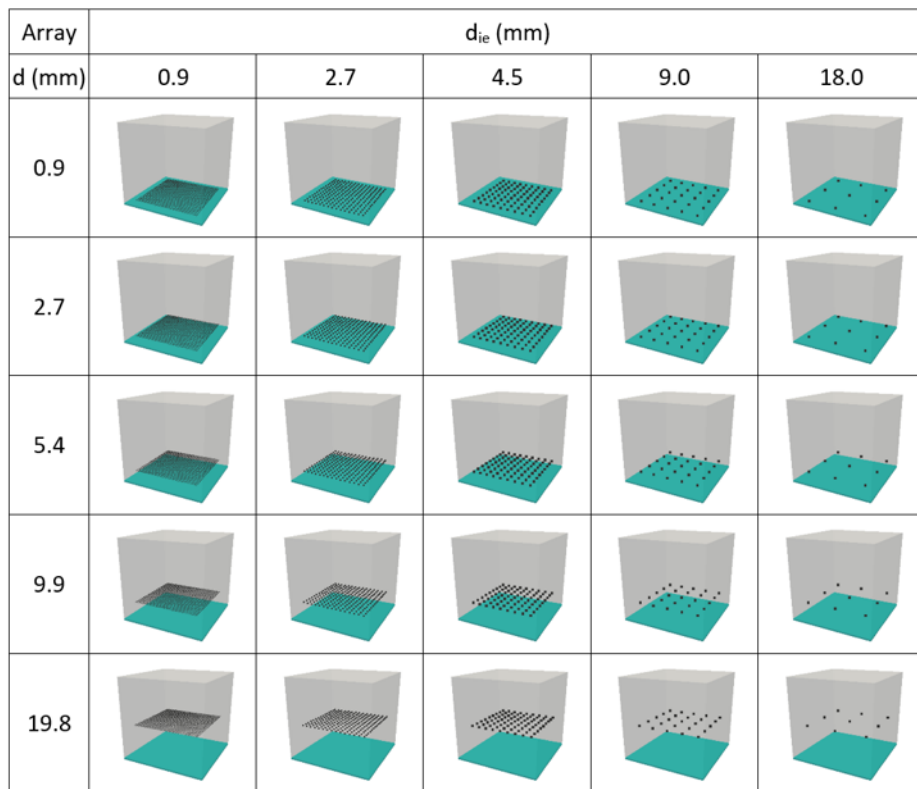


Figure 4. Multi-electrode array configurations. The blue surface at the bottom of each panel represents the endocardial surface and the black dots represent the multi-electrodes' array. d : electrode-to-tissue distance; d_{ie} : inter-electrode distance.

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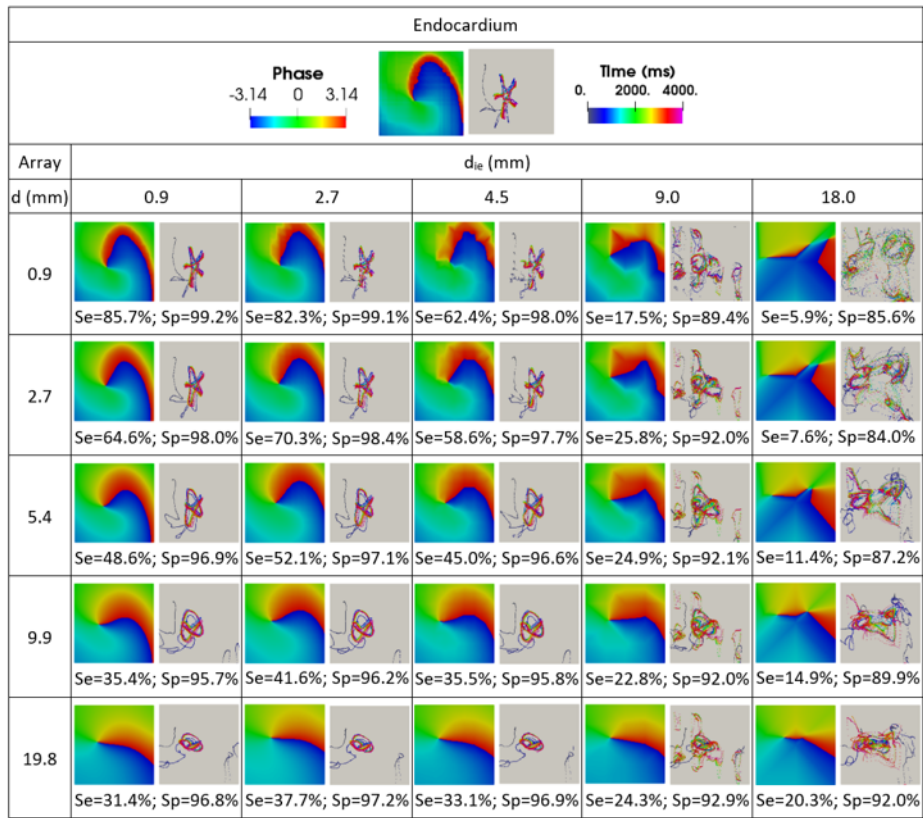


Figure 5. Multi-electrode array and rotor detection. Phase maps built based on the Hilbert transform of the linearly interpolated EGMs (left) and rotor trajectories obtained through PSs detection (right). d : electrode-to-tissue distance; d_{ie} : inter-electrode distance.

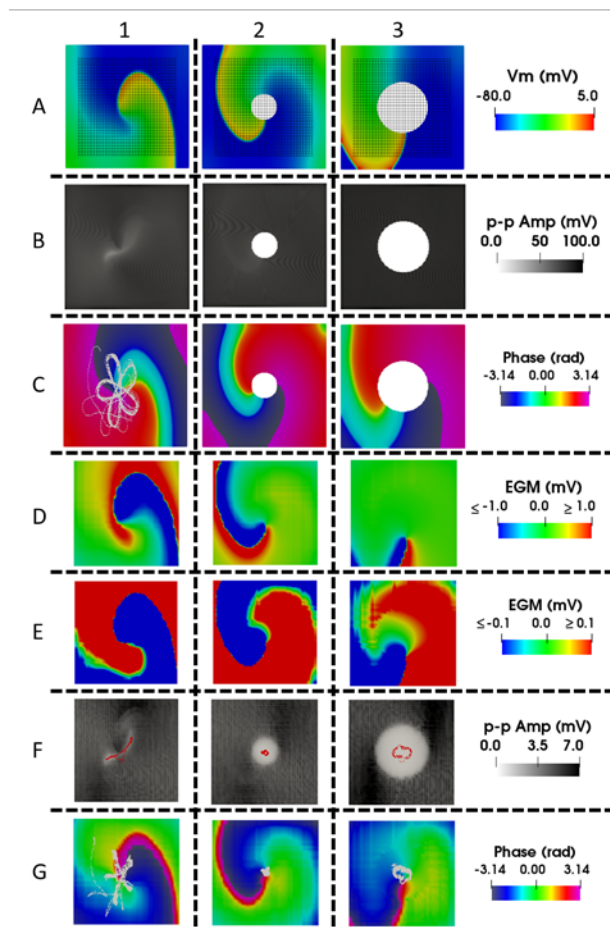


Figure 6. Simulation of functional (column 1) and anatomical (columns 2 and 3) reentries and their detection on multi-electrodes array. A) Simulated voltage maps at $t=3655$ ms. The grid of electrodes (black dots) is superimposed on the maps. B) Maps of 1-cycle peak to peak amplitudes of transmembrane voltage. C) Phase maps based on the simulated transmembrane voltage maps in A (trajectory of the functional reentry PS is superimposed in white in C1). D) Maps of EGMs on the electrode grid at $t=3655$ ms. E) Same as D, but with a magnified voltage scale. F) Maps of 1-cycle peak to peak amplitudes of EGM voltage (PS trajectories superimposed in red). G) Phase maps based on the EGMs with PS trajectories superimposed in white of functional reentry (G1) and anatomical reentries (G2 and G3). The multi-electrode array was at $d=0$ and $d_{ie}=0.9$ mm (black dots on voltage maps in A).

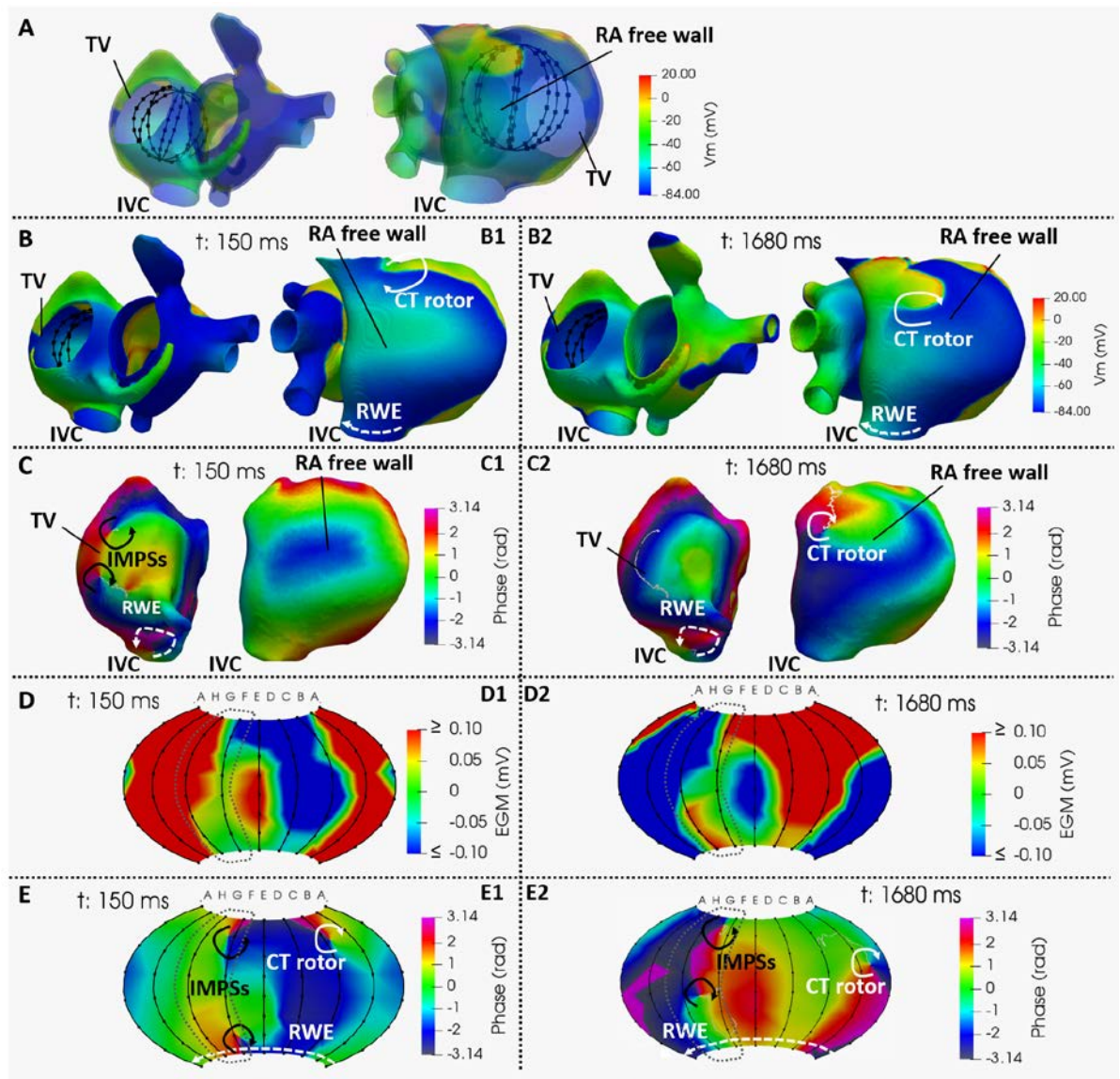


Figure 7. Mapping of the virtual RA. A) Position of the basket catheter within the virtual RA model. B) Transmembrane voltage map and reentrant propagation in the virtual atria. C) RA endocardial phase maps (CT rotor is not seen in these views at 150 ms). D) Basket voltage maps (see Supplementary Movie 1). E) Basket phase maps (dotted gray curves delimit splines covering the TV orifice). CT rotor: rotor in the crista terminalis area; RWE: rotor wave extension; IMPSSs: imaginary phase singularities; IVC: inferior vena cava; TV: tricuspid valve; RA: right atrium. Arrows: reentrant patterns. Thin white lines: PSs and IMPSSs trajectories.

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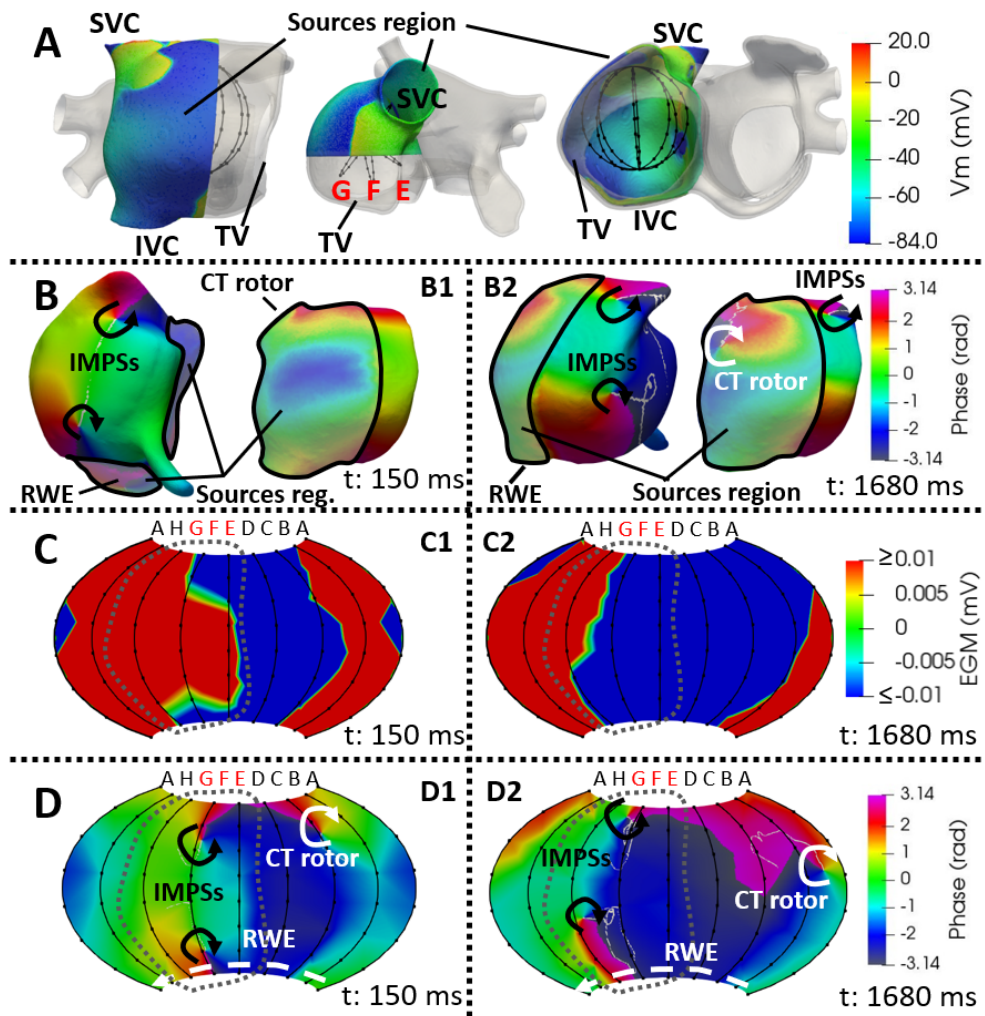
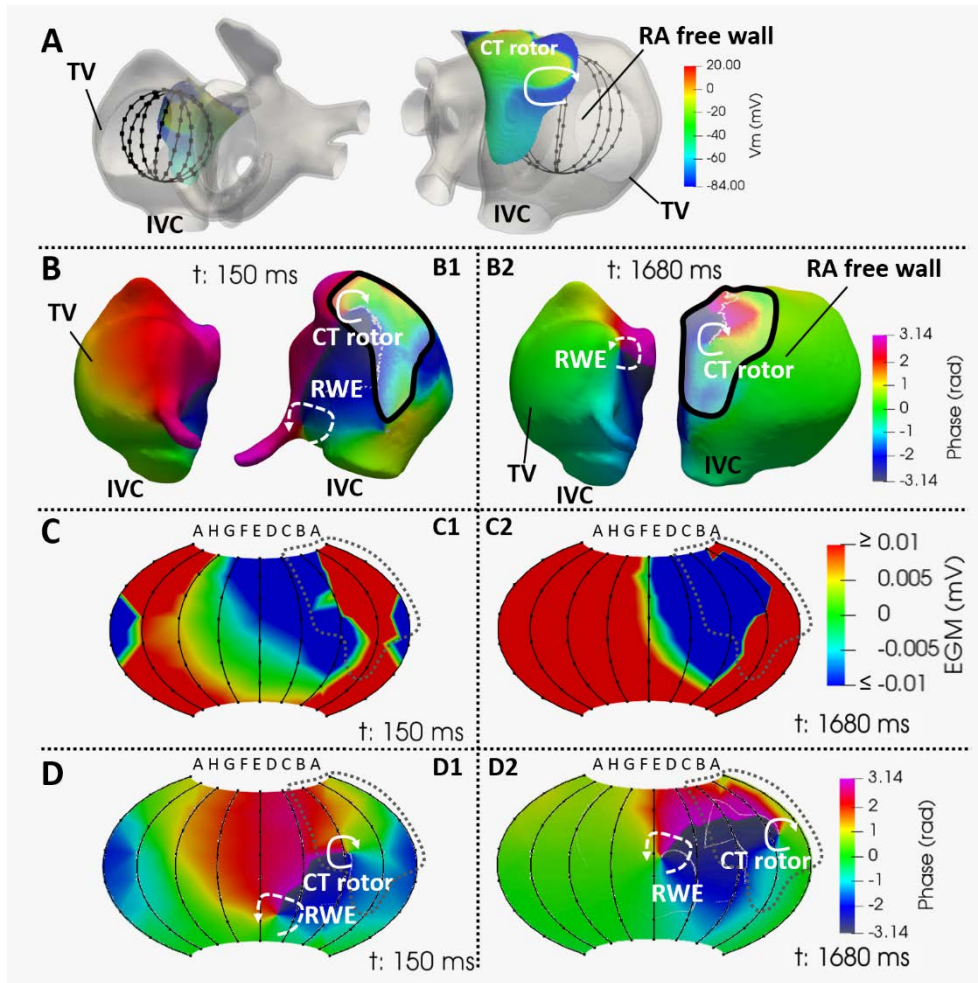


Figure 8. Mapping of the virtual RA consisting of limited sources. A) Transmembrane voltage maps of the limited sources region and position of the basket catheter. Splines G, F and E (in red) are visibly remote from the sources. B) RA endocardial phase maps at 150 ms (B1) and 1680 ms (B2) when considering the only sources illustrated in A and outlined (solid black lines designated sources region). CT rotor and RWE are not seen in these views at 150 ms and 150-1680 ms, respectively. C) Basket voltage maps at 150 ms (C1) and 1680 ms (C2) obtained when considering only the limited sources region in A (see Supplementary Movie 2). D) Basket phase maps at 150 ms (D1) and 1680 ms (D2) obtained when considering only the limited sources region in A. Dotted gray lines indicate splines located most remotely from the sources region. CT rotor: rotor along the crista terminalis; RWE: rotor wave extension; TV: tricuspid valve; SVC/IVC: superior/inferior vena cava; IMPSs: imaginary PSs. Arrows: reentrant patterns; thin white lines: PSs trajectories.



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530 **Figure 9. Mapping of the virtual RA consisting of limited sources in close vicinity of the CT rotor.** A)
 531 Transmembrane voltage maps of the limited sources region and position of the basket catheter. B) RA
 532 endocardial phase maps at 150 ms (B1) and 1680 ms (B2). Solid black lines designated sources region. C)
 533 Basket voltage maps at 150 ms (C1) and 1680 ms (C2) obtained when considering only the limited sources
 534 region in A (see Supplementary Movie 3). D) Basket phase maps at 150 ms (D1) and 1680 ms (D2) obtained
 535 when considering only the limited sources region in A. Dotted gray lines indicate splines nearest to the
 536 sources region. CT rotor: rotor along the crista terminalis; RWE: rotor wave extension; TV: tricuspid valve;
 537 IVC: inferior vena cava; RA: right atrium. Arrows: reentrant patterns; Thin white lines: PSs trajectories.

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543 **Supplementary material**

544 **Movie 1:** Basket maps corresponding to Figure 7. Voltage maps (left) and phase maps (right).

545 **Movie 2:** Basket maps corresponding to Figure 8. Voltage maps (left) and phase maps (right) when
546 considering the limited sources shown in Figure 8A.

547 **Movie 3:** Basket maps corresponding to Figure 9. Voltage maps (left) and phase maps (right) when
548 considering the limited sources in close vicinity of the CT rotor shown in Figure 9A.

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