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Additional Information

1 **Encapsulation of eugenol by spray-drying using whey protein isolate or lecithin:**

2 **Release kinetics, antioxidant and antimicrobial properties.**

3

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6

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10

## 11 **Abstract**

12

13 The encapsulation of eugenol (E) by spray-drying using whey protein (WP) or soy lecithin (LE)  
14 and maltodextrin in combination with oleic acid (OA) and chitosan (CH) was analysed in order  
15 to obtain antioxidant and antimicrobial powders for food applications. Formulations with only  
16 WP or LE showed higher encapsulation efficiencies (EE) (95-98%) and antibacterial effect against  
17 E. coli and L. innocua due to their greater E load. Incorporation of OA or CH promoted lower EE,  
18 which negatively affected the antimicrobial and antioxidant activities of the powders.  
19 Furthermore, the addition of CH implied less thermal protection against the E losses. The  
20 eugenol release was not notably affected by pH or polarity of the food simulant, but the release  
21 rate significantly decreased when incorporating OA and CH. The E-LE formulations better  
22 retained the eugenol than E-WP powders when heated above 200 °C, this being relevant for the  
23 powder inclusion in thermally treated products.

24

25 **Keywords:** encapsulation efficiency, release kinetics, oleic acid, chitosan, antioxidant capacity,  
26 antibacterial properties.

## 27 **1. Introduction**

28

29 Over the last few years, substantial efforts have been focused on making use of natural  
30 compounds to develop novel health-promoting ingredients for use in the food industry. In this  
31 sense, increasing interest has been shown in the extracts from aromatic plants, such as essential  
32 oils, due to their antioxidant and antimicrobial properties (Prakash, Kedia, Mishra & Dubey,  
33 2015). Eugenol (E) is a natural phenolic substance found as a major compound in different plant  
34 essential oils, such as clove, nutmeg, cinnamon or basil (Chatterjee & Bhattacharjee, 2013).  
35 Particular antimicrobial activity for E has been described by different authors against Gram  
36 positive and Gram negative bacteria (*Bacillus subtilis*, *Clostridium sporogenes*, *Enterococcus*  
37 *faecalis*, *Lactobacillus plantarum*, *Listeria monocytogenes*, *Escherichia coli* and *Salmonella*  
38 *pullorum*, (Dorman & Deans, 2000)), fungi (*Aspergillus carbonarius* and *Penicillium roqueforti*  
39 (Šimović, Delaš, Gradvol, Kocevski & Pavlović, 2014)) and yeast (*Saccharomyces cerevisiae* and  
40 *Candida* (Pinto, Vale-Silva, Cavaleiro & Salgueiro, 2009)). Its effective antioxidant capacity has  
41 also been studied by several authors (Kamatou, Vermaak & Viljoen, 2012; Ogata, Hoshi, Urano  
42 & Endo, 2000). Chatterjee & Bhattacharjee (2015) successfully incorporated eugenol-rich clove  
43 extract in mayonnaise as a flavoring agent and as a source of natural antioxidants to improve its  
44 shelf-life and functional value. Cortes-Rojas, Souza & Oliveira (2014) also produced antioxidant  
45 powder products with solid lipid nanoparticles (SLN) containing eugenol.

46 Nevertheless, the beneficial properties of eugenol can be reduced by inadequate storage  
47 conditions (Fang & Bhandari, 2010) due to their volatility and sensitivity to oxygen, light or heat  
48 (Shao et al., 2018). Moreover, its incorporation into aqueous systems, such as most foods, is  
49 limited by its low water solubility and impact on flavor (Choi, Soottitantawat, Nuchucha, Min &  
50 Ruktanonchai, 2009). Most of these problems can be overcome by using encapsulation  
51 techniques, allowing for the easier handling of the active compound, a better protection during  
52 storage and transportation and a better control in the release (Bae & Lee, 2008). Spray drying is

53 one of the most widely used technique in encapsulation, being economical and the most feasible  
54 from the industrial point of view. Nevertheless, the composition of the aqueous phase must be  
55 optimized in order to ensure the formation of a good shell material, entrapping the active  
56 compound in the core, after the drying process; this allows for its controlled release when the  
57 powder is incorporated into a determined matrix. Spray drying has been extensively used for  
58 the encapsulation of different bioactive ingredients, including vitamins, polyunsaturated oils,  
59 phenolic compounds, enzymes, probiotics or some other compounds with an undesirable flavor,  
60 for masking purposes (Augustin & Hemar, 2009). The effectiveness of the encapsulation process  
61 is greatly affected by the properties/stability of the initial dispersion/emulsion of the active  
62 compound and, consequently, by the wall materials used in their formulation (Bae et al., 2008;  
63 Ré, 1998; Shao et al., 2018). In addition to the encapsulating efficiency, the antimicrobial or  
64 antioxidant properties of the encapsulated compound in the final dried capsules is affected by  
65 its total load in the powder (active/support compounds ratio) and its release kinetics into a  
66 determined target medium into which it could be incorporated. All these factors define the  
67 effective concentration on the target point, which must be studied to ensure the required  
68 functionality.

69 The components of encapsulation matrices for food application purposes are limited to edible,  
70 preferably inexpensive, materials, biopolymers being the ideal candidates meeting these  
71 requirements. Proteins, polysaccharides and polar lipids such as lecithin have been proposed as  
72 promising vehicles for the protection and/or delivery of bioactive ingredients. Proteins, such as  
73 whey protein are usually incorporated to promote emulsion formation and interfacial  
74 stabilization in the capsule-forming dispersions. The chemical structure of lecithin allows for the  
75 formation of liposomes which can entrap different kinds (more or less polar) of active  
76 compounds (Liolios, Gortzi, Lalas, Tsaknis & Chinou, 2009). At neutral pH, phosphate and  
77 carbonyl groups from phosphatidylcholine and phosphatidylethanolamine components in  
78 lecithin contribute to the negative charge of the particles in the emulsion, thus contributing to

79 emulsion stability by charge (Dickinson, 1993). Polysaccharides can act as stabilizers by  
80 increasing the viscosity of the continuous phase or by means of the development of electrostatic  
81 interactions at the oil-water interface. In this sense, positively charged chitosan molecules in  
82 acid media could enhance the stability of the dispersion by means of a viscous electro-steric  
83 effect at the interface, thus promoting dispersion stability (Rodríguez, Albertengo & Agullo,  
84 2002). Maltodextrins can improve the properties of the capsules during the drying stage due to  
85 the formation of a larger crust around the drops, thus providing good protection against  
86 oxidation (Sheu & Rosenberg, 1998). Whey protein isolate (WP) or LE together with MD could  
87 form good wall systems able to stabilise in oil droplets in the oil-water emulsions, favouring the  
88 formation microcapsules during the emulsion spray drying (Karadag, Özçelik, Sramek, Gibis,  
89 Kohlus, Weiss, 2013).

90 On the other hand, the use of lipophilic carriers (such as oleic acid) to favor the dispersion of  
91 poorly water-soluble lipid active agents or to favor its retention after processing have reported  
92 by several authors (Woo, Mirsan, Lee & Tan, 2014; Perdonés, Vargas, Atarés & Chiralt, 2014).

93 The aim of this study was to encapsulate eugenol by spray drying using WP or LE as wall-  
94 materials and to characterize the different formulations before (emulsion properties) and after  
95 drying, in terms of the encapsulation efficiency, thermal stability, release kinetics and  
96 antioxidant and antimicrobial activities. The effect of the incorporation of both oleic acid (OA),  
97 as eugenol carrier, and chitosan (CH), as a potential stabilizer, on the properties of the  
98 encapsulating systems was analysed.

99

100

## 101 **2. Material and Methods**

102

### 103 **2.1. Raw materials**

104

105 Soy lecithin (LE) Lipoid S45 from Lipoid GmbH (batch 574510, Ludwigshafen, Germany); whey  
106 protein isolate (WP) Prodiect 90S (95% whey and 1.5% fat) from Ingredia (batch 131848, France);  
107 maltodextrin (MD) Kyrosan E18 1910 QS (DE19.2, batch 02157372, Emsland Group, Germany);  
108 purified oleic acid (OA) (77% C18:1; 11% C18:2; 4% C16:0; 1% C16:1; 3% C18:0) from VWR  
109 Chemicals (Germany) and high molecular weight chitosan (CH) from Sigma-Aldrich (Madrid,  
110 Spain) were used to encapsulate pure eugenol (E), also from Sigma-Aldrich (batch STBD6235V,  
111 Madrid, Spain).

112 Sodium hydroxide (Merck, Darmstadt, Germany), boron trifluoride in methanol and sodium  
113 chloride (Sigma–Aldrich, Steinheim, Germany), sodium sulphate (purity 99%, VWR International,  
114 West Chester, PA, USA), C19:0 methyl ester and a GLC-63 mixture of fatty acid methyl esters  
115 (Nu-Check Prep, Elysian, MN, USA) as reagents and heptane and 2-propanol (Rathburn  
116 Chemicals Ltd., Walkerburn, Scotland) as HPLC grade solvents were used for the  
117 chromatographic fatty acid analysis. Glacial acetic acid, absolute ethanol and methanol and  
118 diphosphorus pentoxide (P<sub>2</sub>O<sub>5</sub>) were purchased from Panreac AppliChem (Barcelona, Spain) and  
119 2,2-Diphenyl-1-picryl-hydrazyl and Folin-Ciocalteu reagent were obtained from Sigma-Aldrich  
120 (Madrid, Spain), in order to determine the other assays.

121

## 122 **2.2. Emulsion preparation**

123

124 Whey Protein Isolate (WP) or Lecithin (LE) were mixed with Maltodextrin in a WP/LE:MD ratio  
125 of 1:42 (w/w) to obtain aqueous dispersions (43g solids/100g). After leaving these aqueous  
126 solutions overnight under stirring, 3% eugenol (w/w) was added, obtaining the formulations E-  
127 WP and E-LE (Table S1). 7 wt. % of oleic acid was added in formulations EOA-WP, EOA-LE, EOA-  
128 WPCH and EOA-LECH (Table S1). All of the dispersions were homogenized with a Rotor Stator  
129 (Ultra-Turrax T 25 Basic, IKA Werke GmbH & Co. KG, Germany) at 11,000 rpm for 6 minutes and

130 microfluidized (three cycles) with the high-pressure homogenizer (Microfluidics M-110Y,  
131 Newton, Massachusetts, USA) at 15,000 psi pressure (103,42 MPa). Formulations with CH (EOA-  
132 WPCH and EOA-LECH) were obtained by previously dispersing 1% (w/w) chitosan (CH) in 1% (v/v)  
133 acetic acid solution for 14 h, under stirring at 150 rpm. The chitosan solution was added to  
134 formulations in a CH solution:emulsion ratio of 1.5:10.

135

### 136 **2.3. Spray-drying**

137

138 All of the emulsions were spray dried by a Mobile Minor TM spray-dryer (GEA Niro, GEA Process  
139 Engineering A/S, Søborg, Denmark) with a two-fluid atomizer (co-current two-fluid nozzle  
140 system). Samples were introduced into the drying chamber at an initial flow rate of 20 mL/min  
141 and an inlet air temperature adjusted to 180 °C. The outlet temperature was kept at  $80 \pm 2$  °C  
142 by controlling the feed rate using a peristaltic pump (Watson Marlow 520s IP31, head type 314,  
143 Watson-Marlow Bredel Pumps, Cornwall, UK). During spray-drying the fan speed was set to  
144 2,800 rpm and the atomization air flow pressure, 1.9 bar. After spray-drying, powders were  
145 vacuum-packaged in polypropylene bags and stored under refrigeration and dark conditions  
146 until further analysis were carried out.

147

### 148 **2.4. Characterization of the emulsions**

149

#### 150 **2.4.1. Z-potential**

151

152 The Z-Potential of the emulsions was measured in triplicate by using a dynamic light scattering  
153 instrument capable of measuring electrophoretic mobility (Zetasizer nano ZS, Malvern  
154 Instruments, Worcestershire, UK). The E-LE formulation was measured without dilution. The rest

155 of the emulsions were diluted to reach a final concentration of 1% (w/w) to prevent multiple  
156 scattering effects.

157

#### 158 2.4.2. Particle size

159

160 The technique of laser diffraction was used to determine the size of particles in emulsions  
161 (Mastersizer 3000, Malvern Instruments). The Mie theory was applied by considering refractive  
162 and absorption indexes of 1.48 and 0.01, respectively. Samples were diluted in de-ionised water  
163 at 2500 rpm until an obscuration rate of 10% was obtained.  $D_{32}$  (surface weighted mean  
164 diameter) and  $D_{43}$  (volume weighted mean diameter) parameters were obtained. Light  
165 microscopy images of the emulsions were taken using a light microscope (Olympus, GWB MTV-  
166 3, Japan) with a digital camera.

167

#### 168 2.4.3. Rheological behaviour

169

170 The rheological behaviour of emulsions by six-fold at 20°C were characterized. The flow curves  
171 (apparent viscosity as a function of shear rate) of emulsions were determined by ThermoHaake  
172 Rheostress 600 rheometer (Thermo Electron GmbH, Dreieich, Germany) equipped with rotating  
173 cone of 35 mm in diameter and cone angle of 1°, over a shear rate range of 0.03–100–0.03 s<sup>-1</sup>.  
174 Ostwald model was fitted to the flow curves.

175

### 176 **2.5. Characterization of the spray-dried powders**

177

#### 178 2.5.1. Particle size and microstructure (SEM)

179



180 The particle size of the spray-dried powder formulations was measured by the laser diffraction  
181 technique (Mastersizer 3000, Malvern Instruments, UK), equipped with a dry dispersion unit. A  
182 refractive index of 1.48 and an absorption of 0.01 was also considered. Samples were fed into  
183 the system at a feed rate of 60% and a pressure of 2.2 bar until an obscuration rate was obtained  
184 within the range of 0.5-6%. The parameters,  $D_{3,2}$  and  $D_{4,3}$ , were obtained.

185 The microstructure of the microcapsules was evaluated by means of scanning electron  
186 microscopy (SEM) (JEOL, JSM-5410, Japan). The powders were previously conditioned in a  
187 desiccator with diphosphorus pentoxide ( $P_2O_5$ ) and they were mounted on copper stubs with  
188 double-sided adhesive carbon tape and gold coated. The images were captured by using an  
189 acceleration voltage of 15kV at 1,500 magnification.

190

#### 191 2.5.2. Thermogravimetric analysis

192

193 To evaluate the thermal stability of the samples, both powders and pure compounds, a  
194 thermogravimetric analysis (TGA) (Star<sup>e</sup>System, Mettler Toledo Inc., Switzerland) was  
195 performed. The TGA was carried out from 50°C to 600°C at a heating rate of 10°C/min under a  
196 nitrogen atmosphere (20 mL/min). Sample weight versus temperature curves were recorded  
197 using the STAR<sup>e</sup> software of (Version 9.01, Mettler Toledo) in triplicate. The samples were  
198 previously conditioned in a desiccator with  $P_2O_5$  until constant weight.

199

#### 200 2.5.3. Concentration of eugenol in the powders and encapsulation efficiency

201

202 Spectrophotometric analysis was used to analyze the concentration of encapsulated eugenol in  
203 the dried formulations, previously submitted to methanol extraction. 0.1 g of sample were  
204 weighed using an analytical balance (ME36S, Sartorius, Germany;  $\pm 0.00001$  g) and extracted in  
205 100 mL of methanol under constant stirring for 24h (previously determined maximum time of

206 extraction). Then, the absorbance of the filtered samples was measured in triplicate, by using a  
207 spectrophotometer (ThermoScientific spectrophotometer Evolution 201 UV–vis) at 282 nm  
208 (maximum eugenol absorption in methanol). The extract of the corresponding control without  
209 eugenol was used as a blank in each case. The calibration curve ( $y=0.018 \cdot x$ ;  $R^2=0.998$ ) was  
210 obtained from the absorbance measurements of standard solutions of eugenol and was used to  
211 determine the concentration of eugenol in the samples.

212 The encapsulation efficiency (%EE) was calculated by using Equation 1, where  $C_E$  was the amount  
213 of eugenol determined by methanol extraction and  $C_{theoretical E}$  was the theoretical eugenol  
214 content.

$$215 \quad \%EE = \frac{C_E}{C_{theoretical E}} \cdot 100 \quad \text{Equation 1}$$

216

217 2.5.4. Extraction and quantification of the lipid content in the whole particles and on their  
218 surface.

219

220 The surface and total lipids were extracted using the methodology described by Damerau et al.  
221 (2014). First, samples (0.3 g) were washed with 5 mL of heptane by means of a mild shaking in  
222 an orbital shaker for 15 min and then centrifuged at 2,000 rpm for 2 min. For the extraction of  
223 total lipids, 0.3 g of sample were re-suspended in 3 mL of water at 40°C and vortexed. The lipids  
224 were extracted by shaking in an orbital shaker for 15 min using 10 mL of a heptane/2-propanal  
225 mixture (3:1, v/v). After shaking, the mixture was centrifuged at 3000 rpm for 2 min and the  
226 organic phase was collected.

227 The fatty acid composition of the lipid extracts (both surface and total lipids) was analysed by  
228 using the method described by Damerau et al. (2014). This method is based on the saponification  
229 of the sample, followed by the methylation of the liberated fatty acids in the presence of boron  
230 trifluoride. All samples were analyzed by using a Hewlett Packard 5890 Series II GC (Karls-ruhe,

231 Germany) equipped with an automated on-column injection system and a flame ionization  
232 detector (FID). The conditions were as follows: column, 60 m × 0.32 mm i.d., 0.10 μm, Rtx-5 w/  
233 Integra Guard (crossbond 5% diphenyl-95% dimethyl polysiloxane) capillary column (from  
234 Restek); carrier gas, helium (>99.996%) at a constant flow of 1.4 mL/min; temperature program,  
235 70 °C (1 min), 60 °C/min to 245 °C (1 min), 3 °C/min to 275 °C (32 min); detector temperature,  
236 300 °C. The fatty acid methyl esters were identified through the retention times by comparison  
237 to a standard GLC-63 mixture of fatty acid methyl esters and quantified through the peaks' areas  
238 by means of the internal standard method, (C19:0 methyl ester as the internal standard). The  
239 content of each fatty acid was determined, and referred per g of solid powder, and the total  
240 lipid content was estimated from the total sum of all fatty acids.

241

## 242 **2.6. Release Kinetics of eugenol from powders into food simulants**

243

244 Four different food simulants were used for the release studies: 3% (w/v) acetic acid (B); 10%  
245 (v/v) (A), 20% (v/v) (C) and 50% (v/v) (D1) ethanoic solutions. 0.1 g of each sample was placed  
246 into flasks containing 100 mL of each simulant. Release studies were carried out throughout 90  
247 minutes at 25°C, using a spectrophotometric method, at 282 nm of wavelength (where the  
248 eugenol absorbance is maximum), to determine the released E at different times (1, 3, 5, 7, 10,  
249 15, 20, 30 and 90 minutes). The assay was performed in triplicate. The results were expressed  
250 as the amount of eugenol per gram of dried powder (mg /g powder). The amount of eugenol  
251 released at each time ( $M_t$ ) was fitted to Peleg's model (Peleg, 1988), described by Equation 2,  
252 and parameters  $k_1$  (inverse of the initial release rate) and  $k_2$  (inverse of the asymptotic value)  
253 were obtained. The delivered amount at equilibrium ( $M_\infty$ ) was deduced from  $k_2$  (Equation 3).  $M_0$   
254 =0, since no E was initially present in the simulants.

255

256 
$$M_t = M_0 + \frac{t}{k_1 + k_2 t}$$
 Equation 2

257

258 
$$M_\infty = \frac{1}{k_2}$$
 Equation 3

259

## 260 **2.7. Antioxidant activity**

261

262 The antioxidant capacity of the powders was determined by using a 2,2-Diphenyl-1-picryl-  
263 hydrazyl (DPPH) reduction method, following the methodology described by Brand-Williams,  
264 Cuvelier & Berset (1995). In this method, the stable free radical, DPPH<sup>•</sup>, which absorbs at 515  
265 nm, disappears after accepting an electron or hydrogen radical from the antioxidant  
266 compounds. For this purpose, 0.1 g of powder was dispersed in 100 mL of methanol under  
267 stirring for 30 minutes. Different volumes of the dispersions were reacted with a 0.06 mM  
268 methanol solution of DPPH<sup>•</sup>. The absorbance measurements were taken in triplicate at 25°C  
269 after 2 hours, when the reaction (absorbance at 515 nm) reached a plateau by using a  
270 spectrophotometer (Thermo-Scientific spectrophotometer Evolution 201 UV-visible). The DPPH<sup>•</sup>  
271 concentration (mM) in the reaction medium was determined from the calibration curve  
272 (Equation 4) determined by linear regression ( $R^2 = 0.997$ ). The reduction percentage in DPPH<sup>•</sup>  
273 concentration (%DPPH<sup>•</sup><sub>rem</sub>) was calculated using Equation 5.

274

275 
$$Abs_{515nm} = 11.793 \cdot [DPPH^{\bullet}]$$
 Equation 4

276

277 
$$\%[DPPH^{\bullet}]_{rem} = \frac{[DPPH^{\bullet}]_{t=2h}}{[DPPH^{\bullet}]_{t=0}} \cdot 100$$
 Equation 5

278

279 where,  $[DPPH']_{t=2h}$  is the concentration of DPPH' at the equilibrium time and  $[DPPH']_{t=0}$  is the  
280 initial concentration. From these values, the parameter  $EC_{50}$  (the antioxidant concentration  
281 required to reduce the initial [DPPH] concentration to 50%: efficient concentration) was  
282 determined through the relationship between the %  $[DPPH']_{rem}$  and the mass ratio of powder to  
283 DPPH' (mg powder/mg DPPH). Thus, a low value of  $EC_{50}$  is related to a higher antioxidant activity  
284 of the analysed sample. The antioxidant activity of the pure eugenol was also determined, using  
285 the same method.

286

## 287 **2.8. Antimicrobial activity**

288

289 The antimicrobial effectiveness of powders was evaluated by using an *in vitro* method adapted  
290 from Cano, Cháfer, Chiralt & González-Martínez (2015). Two bacteria, *Listeria innocua* as Gram+  
291 and *Escherichia coli* as Gram-, were used. The bacteria were regenerated by transferring a  
292 loopful into 10 mL of TSB and incubating at 37°C overnight. A 10 µL aliquot from the overnight  
293 culture was again transferred to 10 mL of tryptic soy broth (TSB) and grown at 37°C to the end  
294 of the exponential growth phase. These cultures were diluted to approximately 5.0–6.0 log  
295 CFU/mL. Different amounts of each powder were added to test tubes containing 9 mL of TSB  
296 and 1 mL of the inoculum; the final E concentration (from the powder) ranged from 0.5 to 1.75  
297 g Eugenol/L. The mixtures were vortexed and kept under stirring for 30 min at the optimum  
298 growth temperature. A bacterial suspension sample of 1 mL was serially diluted in water  
299 peptone and 1 mL of the dilutions were inoculated into Petri dishes in duplicate by using Violet  
300 Red Bilis agar (Sharlab S.A., Barcelona, Spain) in the case of *E. coli* cultures, and Palcam Agar  
301 Base (Sharlab S.A., Barcelona, Spain) supplemented with Palcam Selective Supplement (Sharlab  
302 S.A., Barcelona, Spain) in the case of *L. innocua*. Plate samples were incubated for 24 or 48 h at  
303 37 °C for *Listeria* or *E. coli*, respectively, and then counted as CFU/mL.

304

## 305 **2.9. Statistical analysis**

306

307 Statgraphics Centurion XVI software (Manugistics Corp., Rockville, Md.) for Windows 5.1  
308 (Manugistic Corp. Rockville, MD, USA) was used to carry out a statistical analysis of data through  
309 an analysis of variance (ANOVA). Fisher's least significance difference (LSD) was used at the 95%  
310 confidence level.

311

312

## 313 **3. Results and discussion**

314

### 315 **3.1. Emulsion characterization**

316

317 The particle size distribution of the different formulations can be observed in Figure 1. All  
318 dispersions exhibited multimodal distributions with droplet diameters ranging from 0.1 to 100  
319  $\mu\text{m}$ , except the EOA-WP formulation, which exhibited monomodal behaviour. The E-WP based  
320 emulsion had particle size distributions between 0.5 and 100  $\mu\text{m}$ , with the main peak at 10  $\mu\text{m}$ .  
321 Similar particles sizes have been found by other authors using whey protein-oil-water emulsions  
322 homogenized at similar homogenization pressures (100 MPa) (Hebishy, Zamora, Buffa, Blasco-  
323 Moreno & Trujillo, 2017). However, the E-LE based emulsion showed the formation of smaller  
324 particles (main peak around 0.1  $\mu\text{m}$ ), which indicates the formation of lecithin nanoliposomes,  
325 although some bigger particles appeared at around 100  $\mu\text{m}$ , which may be due to the formation  
326 of either some lamellar forms or some clusters of maltodextrins as a result of their high  
327 concentration in the emulsion. In fact, Gibis, Thellmann, Thongkaew & Weis (2014) obtained  
328 monomodal distributions (0.1  $\mu\text{m}$  peak) using lecithin and different plant extracts submitted to  
329 higher homogenization pressures (155 MPa). The incorporation of oleic acid notably reduced  
330 ( $p < 0.05$ ) the particle sizes and promoted narrower particle size distributions in systems with WP,

331 although the curve shifted to higher size values in the LE liposome systems, probably due to the  
332 OA interactions with the lipid associations of lecithin, which modify the aggregation number of  
333 the lipid association structure. The amphiphilic nature of OA favours the emulsification process  
334 and the reduction in the droplet particle size, as previously reported by other authors (Vargas,  
335 Albers, Chiralt & González-Martínez, 2009), but the OA interactions with other polar lipids, such  
336 as lecithin compounds, affect the final lipid rearrangement both on the lipid-water interface or  
337 in the lipid association of micellar structures. OA interactions with WP can also imply differences  
338 in the amphiphilic layer adsorbed on the lipid (E) droplets, even provoking the displacement of  
339 protein from the interface due to the lower surface tension of the surfactant.

340 The incorporation of CH to WP or LE systems provoked particle flocculation, especially in the WP  
341 systems, as revealed by the shift of the particle sizes towards multimodal distributions with  
342 bigger particles (peaks near 100  $\mu\text{m}$ , in both WP and LE systems). This effect could be due to the  
343 emulsion depletion associated with the exclusion effect (McClements, 2005). However, the  
344 positive charge of the polymer could also provoke an entanglement effect on the negatively  
345 charged droplets revealed by their zeta potential (Table S2). In lecithin-based formulations,  
346 attractive interactions between the positively-charged chitosan and the negatively-charged  
347 groups of phospholipids ( $\text{pK}_a$  values of anionic phosphatidic groups are typically around 1.5;  
348 Ogawa, Decker & McClements et al., 2004), at an emulsion pH of nearly 4 (Table S2), were  
349 expected, leading to the formation of larger particles. In fact, the zeta potential (Table S2) of  
350 CH-free EOA-LE system was -45.7 mV at the emulsion natural pH (Table S2), as reported by Gibis,  
351 Vogt & Weiss (2012) at pH 3.8. This charge was inverted when CH was incorporated, leading to  
352 a zeta potential of +61.5 mV.

353 In WP systems, electrostatic interactions between whey protein and chitosan were not  
354 expected, since the isoelectric point (IP) of whey protein is around 4-5 (Giese, 1994) and,  
355 although the zeta potential of the WP emulsions at their natural pH (nearly 6) was negative, the  
356 incorporation of a CH solution decreased the pH to about 4 and the zeta potential became

357 positive. The CH-free WP systems also exhibited positive zeta potential at this pH (4) as shown  
358 in Table S2, according to the IP of the protein. Moreover, at pH values close to the WP isoelectric  
359 point, the solubility of protein is limited which can lead to emulsion flocculation by solvent effect  
360 (McClements, 2005). Therefore, the use of chitosan promoted a greater polydispersity in the  
361 particle size distributions and the formation of bigger particles, associated with different  
362 aggregation phenomena, especially in WP-based dispersions. Light microscopy images in Figure  
363 1 show the different droplet sizes in the emulsions, coherent with the distributions commented  
364 on above. The flocculated particles and large lipid droplets can be clearly observed, reflecting  
365 the occurrence of coalescence, associated with the emulsion destabilisation provoked by CH  
366 addition in both WP and LE systems.

367 All emulsions exhibited pseudoplastic rheological behaviour. Table S2 also shows the values of  
368 the rheological parameters (flow index:  $n$  and consistency index:  $K$ ) and the apparent viscosity  
369 of the different emulsions at  $50 \text{ s}^{-1}$ . All CH-free dispersions almost presented Newtonian  
370 behaviour ( $n$  close to 1), whereas the incorporation of CH promoted a more pseudoplastic  
371 pattern. The incorporation of OA did not produce significant changes in the rheological  
372 behaviour or viscosity of the dispersions (Table S2) ( $p > 0.05$ ). An increase in the emulsion  
373 consistency could be expected in line with the higher volume fraction of the dispersed phase,  
374 but the reduction in the particle size promoted by OA or its efficient incorporation into WP  
375 micelles or LE-liposomes could mitigate this effect. The dispersions turned more shear-thinning  
376 and viscous with the addition of CH, in agreement with the formation of large aggregates whose  
377 coarse structure would be more sensitive (e.g. disaggregation or deformation of large droplets)  
378 to the shear rate.

379

### 380 **3.2. Powder encapsulate characterization**

381



382 The morphology of the particles obtained by spray-drying depends on several factors, such as  
383 the drying kinetics and the liquid phase composition. At the beginning of the drying process, the  
384 surface of the atomized droplets begins to dry, forming a crust, then bubble nucleation occurs,  
385 and bubbles grow, enlarge and burst out through the surface until most of the internal moisture  
386 has evaporated (Rosenberg, Kopelman & Talmon, 1990). Since the drying conditions were  
387 constant for every formulation, the different morphology observed for dried particles (Figure 2)  
388 would only be affected by their composition. Factors, such as the film-forming properties of the  
389 drying carrier and the interactions of the wall material with the active substance (eugenol), could  
390 affect the morphology of the solid particles. Eugenol encapsulated in LE or WP (no OA or CH  
391 present) produced particles with irregular surfaces over a wide range of sizes, which is typical of  
392 low-loaded capsules. Surface irregularities suggest the swelling of the rubbery particle surface  
393 in the initial drying stages due to the internal pressure of the water vapour, which collapses  
394 when the internal vapour pressure decreases as a result of the lower volume of the internal lipid.  
395 Ré (1998) associated these particle shapes with a slow surface film formation during drying in  
396 the atomized droplet. Similar morphological characteristics were found by Carneiro, Tonon,  
397 Grosso & Hubinger (2013). In contrast, when the formulations contained OA as eugenol carrier,  
398 the particles became more spherical in shape with fewer surface irregularities, due to the  
399 presence of OA inside the particles (0.134 mass fraction in the powder, against 0.06 of E), which  
400 limits the further shrinkage of the non-lipid shell. As expected, bigger particles and large  
401 agglomerates were observed in systems containing chitosan. No notable differences in the  
402 particle appearance were observed when using LE or WP as wall materials, although in the WP  
403 systems a slightly higher degree of particle aggregation could be appreciated in the powder, thus  
404 indicating greater attractive forces between dry particles.

405 The particle size distributions of the different powder formulations can be observed in Figure 2.  
406 As can be observed, all chitosan-free formulations exhibited very similar, “almost” monomodal,  
407 distributions with a mean particle diameter of around 15  $\mu\text{m}$ , regardless of the wall material

408 (WP or LE). A very small shoulder, corresponding to the finest particles (around 0.5  $\mu\text{m}$ ), was  
409 also observed in both systems. This is particularly interesting in the case of powders, as the  
410 population of smaller particles can penetrate the spaces between the larger ones, thus giving  
411 rise to powders with higher apparent density during the powder compaction (Carneiro et al.,  
412 2013).

413 The addition of chitosan shifted the particle size distributions towards larger sizes, exhibiting a  
414 multimodal pattern, as was also observed in SEM micrographs. Two main populations, showing  
415 peak values of 20 and 170  $\mu\text{m}$  for EOA-WPCH and of 30 and 150  $\mu\text{m}$  for EOA-LECH formulations,  
416 were observed. The high viscosity and larger particles of these emulsions could limit the jet  
417 disruption in smaller droplets during the spray drying process. Several authors (Augustin &  
418 Hemar, 2009; Bae & Lee, 2008; Carneiro et al., 2013; McClements, 2005) reported that the  
419 atomized droplet size depends directly on the emulsion viscosity at a constant atomization  
420 speed. The greater the emulsion viscosity, the larger the droplets formed during atomization,  
421 and consequently, the larger the particles in the obtained powder.

422 Table S3 shows the moisture content and onset and peak temperatures from the TGA analysis  
423 of powder encapsulates. The different formulations exhibited moisture contents ranging  
424 between 1.7 to 3 g water/100 g dry powder.

425 The TGA and DTGA curves of the different samples are shown in Figure 3. Two different weight  
426 loss steps were observed below 250°C. The first one, below about 100 °C, must be attributed to  
427 the evaporation of the powder water content (He, Hong, Gu, Liu, Cheng & Li, 2016), while the  
428 small peaks (shoulders) in DGTA curves, at about 200-250 °C, reflect the evaporation of eugenol  
429 (254°C boiling point) from the powder. The main thermodegradation step corresponds to the  
430 thermal degradation of the major compounds in the matrix (maltodextrins: 0.8-0.9 mass fraction  
431 in the powder), affected by their interactions with the other minor, non-volatile components  
432 (WP, LE, OA or CH). In Figure 3, the thermal degradation behaviour of pure components was also

433 shown to facilitate the analysis of the component interaction effect on the thermal degradation  
434 of the different encapsulates. In the case of maltodextrins, the peak temperature of the  
435 maximum degradation rate is at 286 °C, whereas in the powder encapsulates, these  
436 temperatures were about 283 and 260 °C, for matrices containing WP and LE, respectively.. No  
437 practical effect of WP was observed on the thermal behaviour of maltodextrin matrices,  
438 whereas LE notably decreased the thermal stability of the powder. The WP powders degraded  
439 at a higher temperature than the LE, due to the proteins contribution to the increase in the  
440 mean molecular weight of the maltodextrin matrix and the subsequent enhancement of the  
441 cohesive forces through the entanglement effect of the protein chains. In contrast, the LE lipids  
442 reduce the thermal stability of the matrix, probably due to the plasticizing effect of the lipids,  
443 which reduce the attractive forces between the carbohydrate chains, weakening the network  
444 cohesion. OA or CH slightly affected the thermal degradation temperature of the WP powders,  
445 but the only significance is to be found in the small decrease provoked by OA, which could also  
446 be associated with its plasticizing effect in the matrix (Fabra, Talens & Chiralt, 2010). In the LE  
447 based systems, the CH or OA incorporation did not have a significant effect on the thermal  
448 stability of the material.

449 As regards the loss of eugenol from the encapsulant matrix, associated to its thermal release,  
450 the behaviour of the powders was remarkably different. A clear peak (maximum evaporation  
451 rate) was observed at about 200 °C for samples containing CH, whereas the compound thermal  
452 release appeared at about 240 °C in WP systems with and without OA (respective shoulders in  
453 DGTA curves). In LE powders, the E thermal release overlapped with the degradation  
454 temperature range of the matrix and no specific E weight loss event was observed in the DGTA.  
455 In contrast, for free eugenol submitted to the same thermal test, the maximum evaporation rate  
456 occurred at 175 °C. These results reflect the different protective effect of the encapsulates when  
457 it is a matter of limiting the loss of E from the powder, the LE systems without CH being the most  
458 effective at retaining E in the matrix. The incorporation of CH into the encapsulates implied less

459 protection against the evaporation of E, which suggests a poor inclusion of the compound in the  
460 particle core, but probably a greater presence on the particle surface. Additionally, the thermal  
461 stability of the encapsulated materials allows for their incorporation into different products  
462 submitted to thermal processing, involving temperatures lower than 175 °C or 200 °C, for  
463 powders with or without CH, preventing the potential thermal release of eugenol, as previously  
464 observed by other authors (He et al., 2016).

465 In order to know the encapsulation efficiency (EE) of eugenol, its total content in each powder  
466 sample was determined and compared with the theoretical incorporated amount (Table S1).  
467 Table 1 shows the different EE values for each sample. EE was very high (around 94-99%) when  
468 using only WP or LE as wall materials. These values were higher than those found by other  
469 authors encapsulating eugenol with solid lipid nanoparticles (SLN) (Cortes-Rojas et al., 2014),  
470 and similar to those found by Seo, Min & Choi (2010) using  $\beta$ -cyclodextrin. The incorporation of  
471 OA into the emulsions slightly decreased the EE values, only being significant in the EOA-WP  
472 samples. On the other hand, the use of chitosan remarkably reduced ( $p < 0.05$ ) the EE values to  
473 22 and 46% for WP and LE systems, respectively. The presence of free OA containing eugenol on  
474 the surface of the dried particles (Table 1) could explain the lower EE values, especially in the  
475 samples containing CH. To verify this hypothesis, the total and surface lipid contents were  
476 analysed, as described in section 2.5, through the analyses of fatty acids present in the whole  
477 particles (total lipid content: TLC) and on their surface (surface lipid content: SLC).

478 Table S4 shows the TLC and SLC, and the specific content of the different fatty acids found in  
479 each spray-dried particle. Particles from LE systems contained a higher fat content and different  
480 fatty acid profiles (both in TLC and SLC) than those from WP systems, in line with the lecithin  
481 composition. As expected, the TLC values were always higher than the SLC, indicating the  
482 predominant location of lipids in the internal core of the particles, with a partial retention at  
483 surface level. WP based samples without OA had a very low lipid content, coming from the raw  
484 WP powder, and about 40 % were on the particle surface. In the rest of the samples, the TLC

485 quantified through the total fatty acids was, as expected, lower than the theoretical lipid load in  
486 the powders (OA and/or LE), although in samples containing OA the values were very close, since  
487 this component was present at a higher ratio than LE (Table S1). However, the percentage of the  
488 SLC with respect to TLC greatly differed from powder to powder. Whereas only 4.5 and 3.5 %  
489 was present on the particle surfaces of OA loaded WP and LE systems, respectively, powders  
490 with CH contained 65 and 54 % of the total lipids on the particle surface, in WP and LE systems,  
491 respectively. These results indicate that most of the lipids carrying eugenol were entrapped in  
492 the internal core of the dried particles, except when CH was incorporated into the emulsions,  
493 where a very high ratio of lipids was present on the particle surface. This could be attributed to  
494 the greater instability of the flocculated emulsions, which promotes the oil droplet coalescence  
495 during the spray drying process, reaching larger sizes than the atomized droplets. In this context,  
496 the lipid phase was not efficiently entrapped in the core of the dried particles, but  
497 extended/adsorbed on their surface, also carrying eugenol to the particle surface, from which it  
498 could easily evaporate. This behavior explains the much lower EE values for eugenol in powders  
499 containing CH.

500 Figure S1 shows the profile of major fatty acids (individual content with respect to the total  
501 content) in the whole particles and on their surface, compared with the typical profile of the raw  
502 OA component. Powders with OA (EOA-WP, EOA-LE, EOA-WPCH and EOA-LECH) exhibited a very  
503 similar profile at internal and surface levels. This was also very close to that of the raw OA, due  
504 to its higher mass fraction in the powder. This suggests that there was no notable amount of the  
505 LE lipids present on the particle surface and most of the formed liposomes were entrapped in  
506 the particle core, carrying most of the incorporated eugenol. In samples without OA, significant  
507 differences were observed in the fatty acid profiles of the particle surfaces and whole particles,  
508 according to the specific surface adsorption capacity of the different lipids of raw LE or WP  
509 products. This was particularly notable in OA-free LE samples, where LE lipid fractions containing  
510 more OA were predominantly adsorbed on the particle surface.

511 It can be assumed that the eugenol carried by the surface lipids quickly evaporates, mainly  
512 during the spray drying process, due to the lack of a true encapsulation, decreasing the total  
513 content in the powder or the EE. Taking into account the SLC values, and considering that most  
514 of the surface lipids come from the incorporated OA component, the loss of eugenol during the  
515 drying process was estimated from the E:OA ratio in the emulsions. In this sense, around 4 and  
516 3% of the incorporated eugenol would be present on the particle surface in EOA-WP and EOA-  
517 LE samples, respectively, whereas 60 and 50% of the incorporated E would be on the particle  
518 surface in EOA-WPCH and EOA-LECH formulations. The sum of the encapsulated and surface  
519 eugenol was nearly 100 % of the incorporated E in every case, which verifies the hypothesis that  
520 only when lipids carrying E exhibited small droplet size in the initial emulsions, was the EE high  
521 and a great amount of the compound could be retained in the powder. Therefore, all the factors  
522 contributing to a reduction in droplet size and emulsion stability will favour the EE in the spray  
523 drying processes. The less efficient retention of E in powders containing CH during its thermal  
524 release, deduced from the TGA analyses, is also coherent with the higher degree of instability of  
525 the emulsions containing CH.

526

### 527 **3.3. Release Kinetics**

528 As concerns the release kinetics of the encapsulated E from the different formulations into food  
529 simulants of differing polarity, Figure 4 shows the percentage of eugenol released ( $\% M_t/M_0$ ,  
530 where  $M_t$  is the amount of eugenol released at each time and  $M_0$  is the initial eugenol content)  
531 as a function of time for LE powders. Very similar behaviour was observed for WP-based  
532 formulations (data not shown). The experimental data (points) and curves predicted (lines) by  
533 the fitted Peleg model are shown. Table S5 shows the parameters of the Peleg model, where  $k_1$   
534 is the kinetic constant of the model ( $\text{min}/(\text{mg E/g powder})$ ) related to the mass transfer rate at  
535 the beginning of the process and  $k_2$  is related to the asymptotic value of the curve or amount

536 released at equilibrium ( $1/k_2=M_\infty$ , mg eugenol/g powder). The maximum release ratio ( $M_\infty/M_0$ )  
537 was estimated with respect to the total methanol extracted eugenol ( $M_0$ ) in each powder. A  
538 good fit of the model was obtained in every case, as reflected by the  $R^2$  values in Table S5.

539 All powders released practically their total content of E at equilibrium ( $M_\infty$ ) ( $M_\infty/M_0$  ranged  
540 between 84-100%) in the tested aqueous simulants, as shown in Table S5. This suggests that the  
541 release of the active agent was not notably affected by pH or polarity of the food simulant. No  
542 significant differences in the  $M_\infty/M_0$  values were found ( $p>0.05$ ) due to the use of different  
543 simulants or wall materials. As concerns the eugenol release rate (inverse of  $K_1$ ), no significant  
544 effect of the wall material (WP or LE) ( $p>0.05$ ) was observed, but the release rate significantly  
545 decreased when incorporating OA and CH, obtaining the slowest rates in formulations  
546 containing chitosan (greatest  $k_1$  values). This CH effect could be attributed to the lower content  
547 of encapsulated eugenol in these formulations, which implies a minor driving force for the  
548 release. In general, the different simulants were found to have no significant effect on the  $K_1$   
549 values of a determined sample, exhibiting a burst eugenol release throughout the first 20 min.  
550 The behaviour observed is coherent with the high water affinity/solubility of the shell material,  
551 which favours the fast disruption of the capsules with the subsequent release of the E content.

552

### 553 **3.4. Antioxidant and antibacterial activity**

554 All powders exhibited antioxidant and antimicrobial activities to some extent, depending on the  
555 eugenol content in each sample. The antioxidant activity was evaluated in terms of  $EC_{50}$  values.  
556 This parameter indicates the amount of sample needed to halve the DPPH radical amount. Thus,  
557 the lower the  $EC_{50}$  values, the greater the antioxidant activity. In Table 1, the  $EC_{50}$  values of the  
558 different formulations, together with the pure eugenol, are shown. Pure eugenol showed the  
559 lowest  $EC_{50}$  value, 0.22 mol eugenol/mol DPPH, which was similar to that previously reported by  
560 Brand-Williams et al. (2005). The  $EC_{50}$  values of CH-free powders (expressed in terms of moles

561 of eugenol in the powder per mol DPPH) were in the range of the pure component. These results  
562 reflected the fact that the antioxidant activity of eugenol was efficiently preserved during the  
563 drying process when using lecithin or whey protein as wall materials, with or without OA as  
564 carrier agent. However, powders with CH exhibited higher EC<sub>50</sub> values (lower antioxidant  
565 activity), referred to their E content, which could be due to the partial oxidation of the  
566 compound retained in the external zone of the particles (surface lipids).

567 The antimicrobial activity of the samples was evaluated against one Gram – bacterium (*E. coli*)  
568 and one Gram + (*L. innocua*). Powders with CH did not exhibit antibacterial effect at any  
569 concentration tested, which may be explained by their low eugenol content, which did not  
570 exceed the MIC of either bacterium in any case. In Figure 5, the bacterial growth inhibition of  
571 the CH-free powders as a function of the powder concentration (mg powder/mL) can be  
572 observed. No significant differences were found between WP and LE systems and, therefore,  
573 the mean values for a determined powder concentration are shown in Figure 5 for powders with  
574 and without OA. As expected, the CH-free samples exhibited a dose-dependent antimicrobial  
575 activity against both bacteria. Formulations were more effective against *E. coli* than against *L.*  
576 *innocua*, in agreement with that previously reported by Gaysinsky, Davidson, Bruce & Weiss  
577 (2005) for eugenol encapsulated in surfactant micelles.

578 In the case of *E. coli*, OA-free powders exhibited the most marked antibacterial effect, due to  
579 their greater eugenol load (Table 1). A complete growth inhibition (bactericidal effect) was  
580 obtained with 15 mg /mL, which corresponds to 1 g eugenol/L. This value agrees with the MIC  
581 found by other authors (Kamatou et al., 2012; Shah, Davidson & Zhong, 2013) for *E. coli* (around  
582 1-1.6 g eugenol/L). The incorporation of OA into formulations significantly decreased the  
583 antibacterial action, only provoking nearly a 3 Log CFU reduction when using 30 mg powder/mL.  
584 As concerns *L. innocua*, both powders (with and without OA) had a similar antibacterial effect,  
585 despite the different eugenol content, causing a total inhibition at about 25 mg powder/mL



586 (equivalent to about 1.2 or 1.6 g eugenol/L, respectively for powder with and without OA). This  
587 could be attributed to the antimicrobial activity reported for some unsaturated fatty acids (such  
588 as oleic acid) against Gram positive bacteria (Zheng, Yoo, Lee, Cho, Kim & Kim, 2005).

589

## 590 **Conclusions**

591

592 The encapsulation efficiency (EE) of eugenol in spray-dried powders containing whey protein or  
593 lecithin as wall materials and maltodextrin as drying coadjuvant was very high (95-98 %), while  
594 the incorporation of oleic acid (OA) as eugenol carrier or chitosan (CH) to the liquid formulations  
595 did not improve EE. CH provoked emulsion destabilization, which had a very negative effect on  
596 the EE. All encapsulating powders exhibited antioxidant activity, coherent with their respective  
597 eugenol content, in line with the fast, complete release of eugenol in aqueous systems. The  
598 antibacterial effect of the powders against *E. coli* was also coherent with their eugenol content,  
599 but an additional positive effect of OA was detected in the powder antilisterial action. All  
600 encapsulating powders presented small particles and a high affinity /solubility in aqueous  
601 systems of differing polarity and pH, which allows for a relatively fast, total release of the active  
602 compound. The thermal release of eugenol was also inhibited in the powders (mainly in those  
603 which were CH-free), which would allow for their use in dry thermal processes, such as the  
604 preparation of an active master batch of thermoplastic polymers. Their incorporation as an  
605 ingredient or in separate sachets in foodstuffs would permit them to be better preserved against  
606 oxidative or microbial decay, thus extending their shelf-life.

607

608

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617

618

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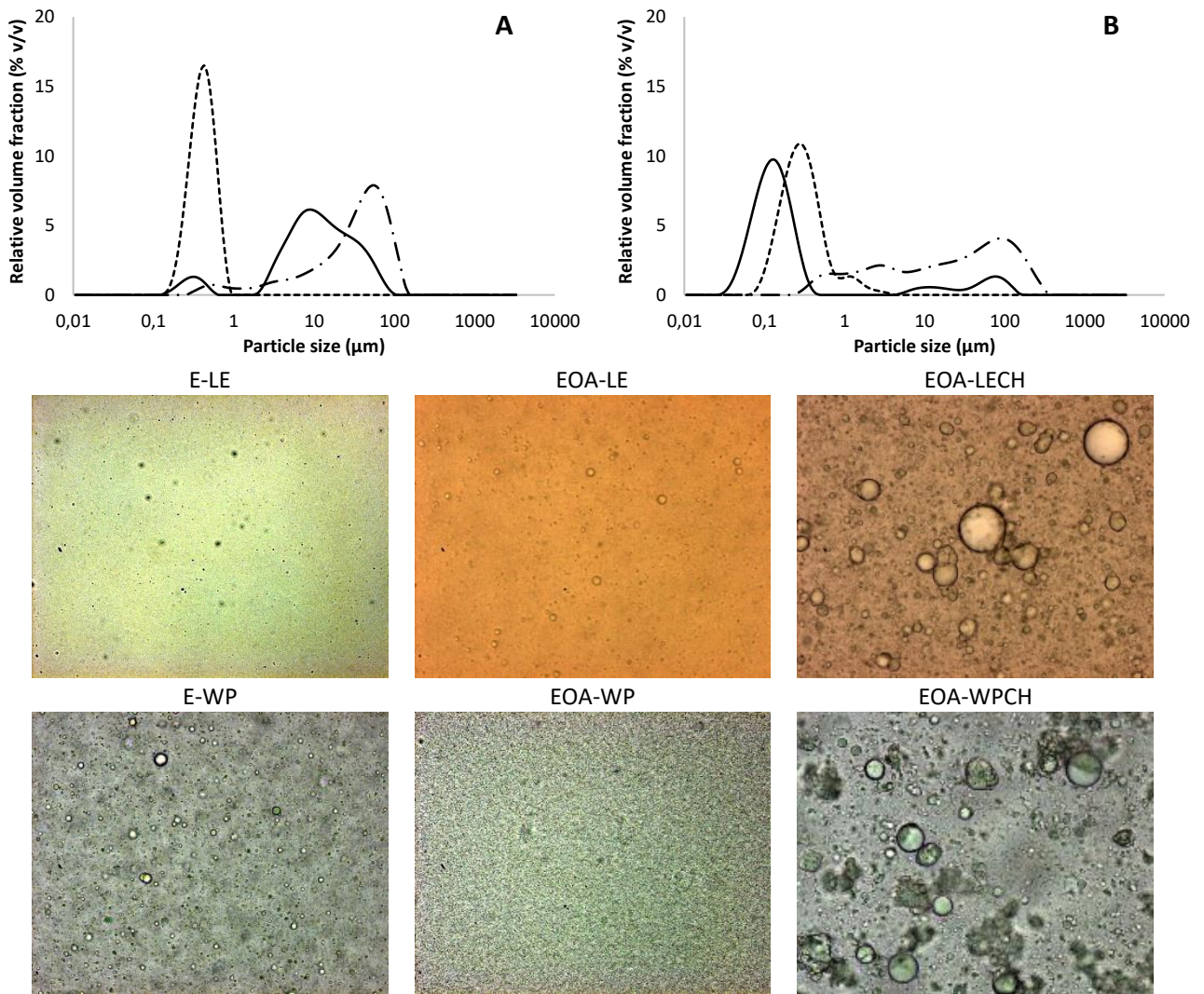
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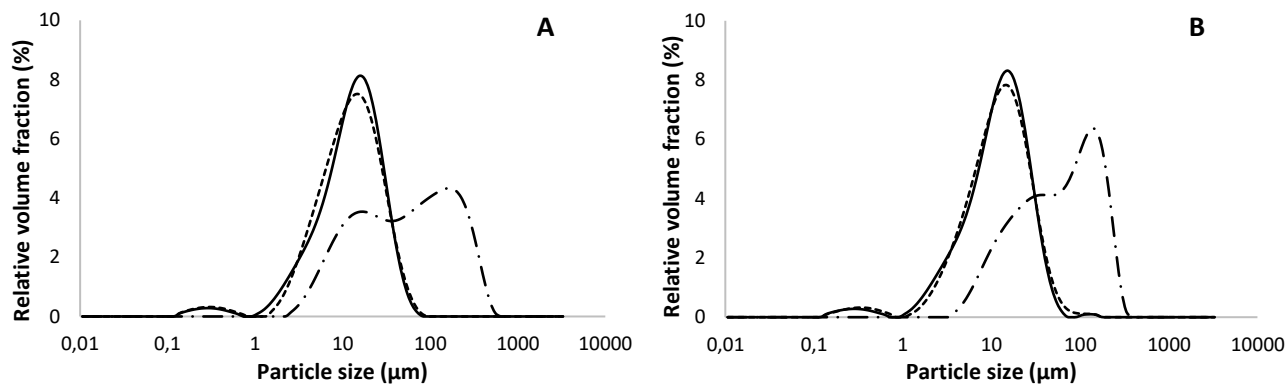


716 **Figure 1.** Typical particle size distributions of eugenol (E) emulsions using whey protein (A) or lecithin (B)

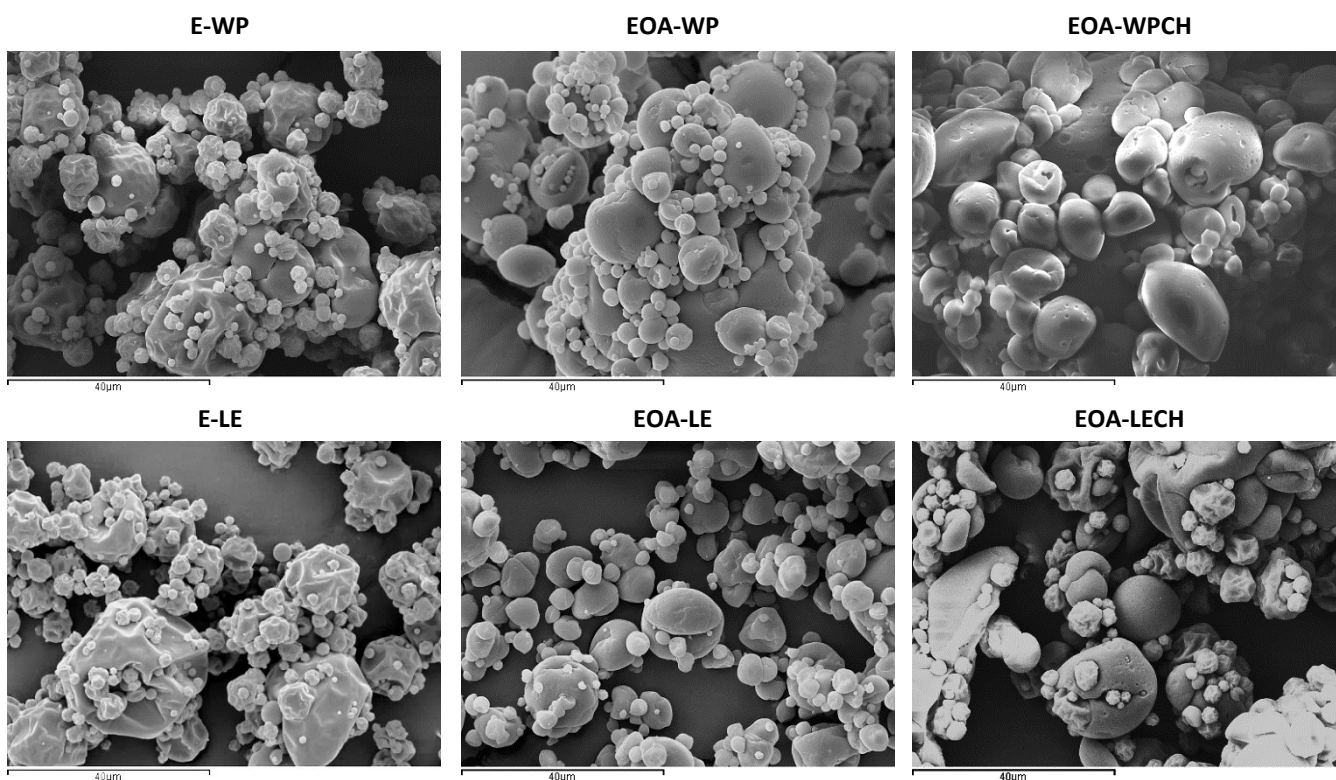
717 as wall materials, incorporating or not oleic acid (OA) and chitosan (CH) (— E; ----EOA; - . - . EOA-CH).

718 Light microscopy images (x40) of the different emulsions are also shown.

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721

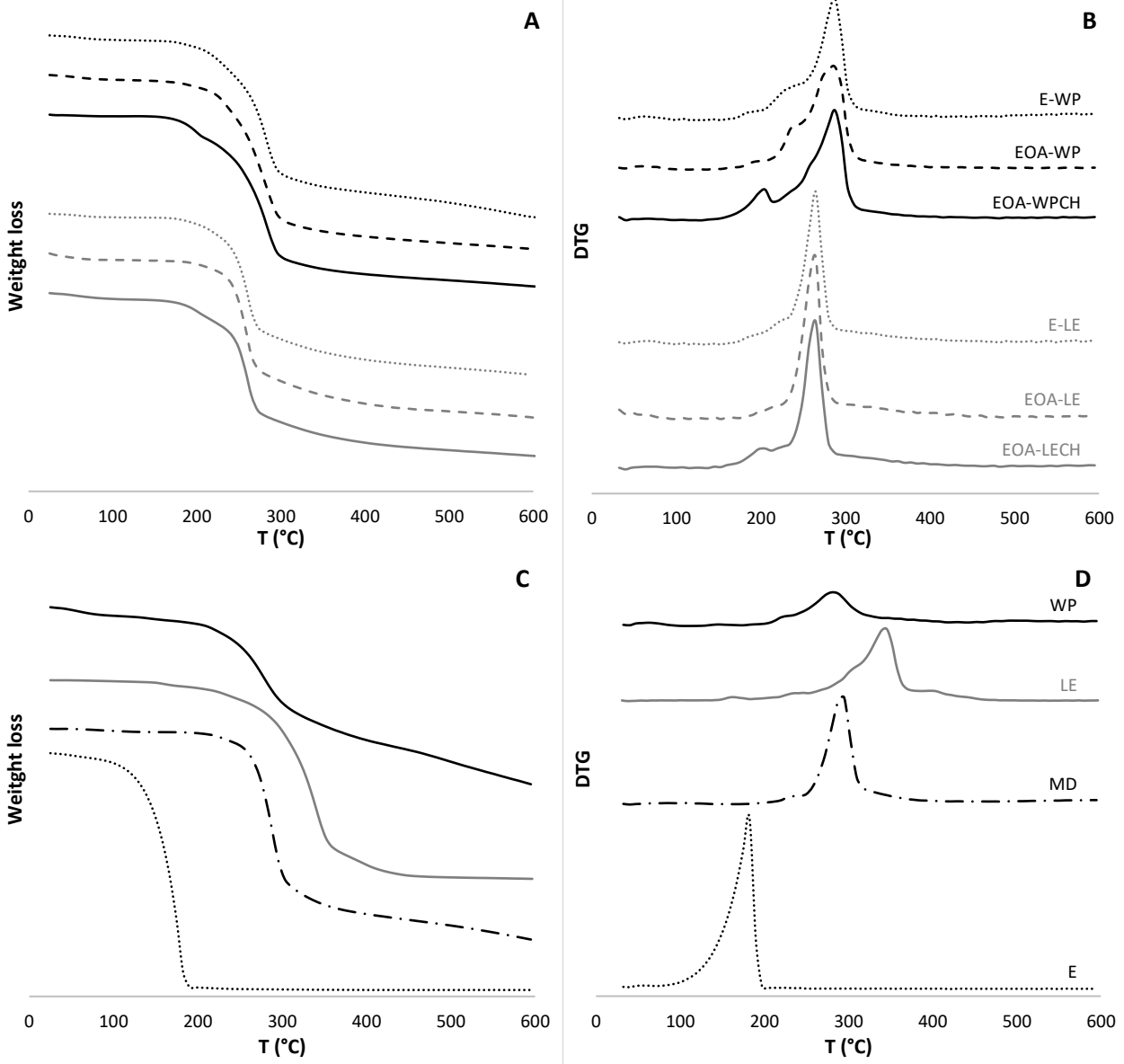
722 **Figure 2.** Typical particle size distributions of powders prepared using whey protein (A) and lecithin (B)

723 as wall materials, incorporating or not oleic acid and chitosan ( — E; ---- EOA; - . - . EOA-CH). SEM

724 micrographs of the different encapsulated eugenol particles (x1500) are also shown.

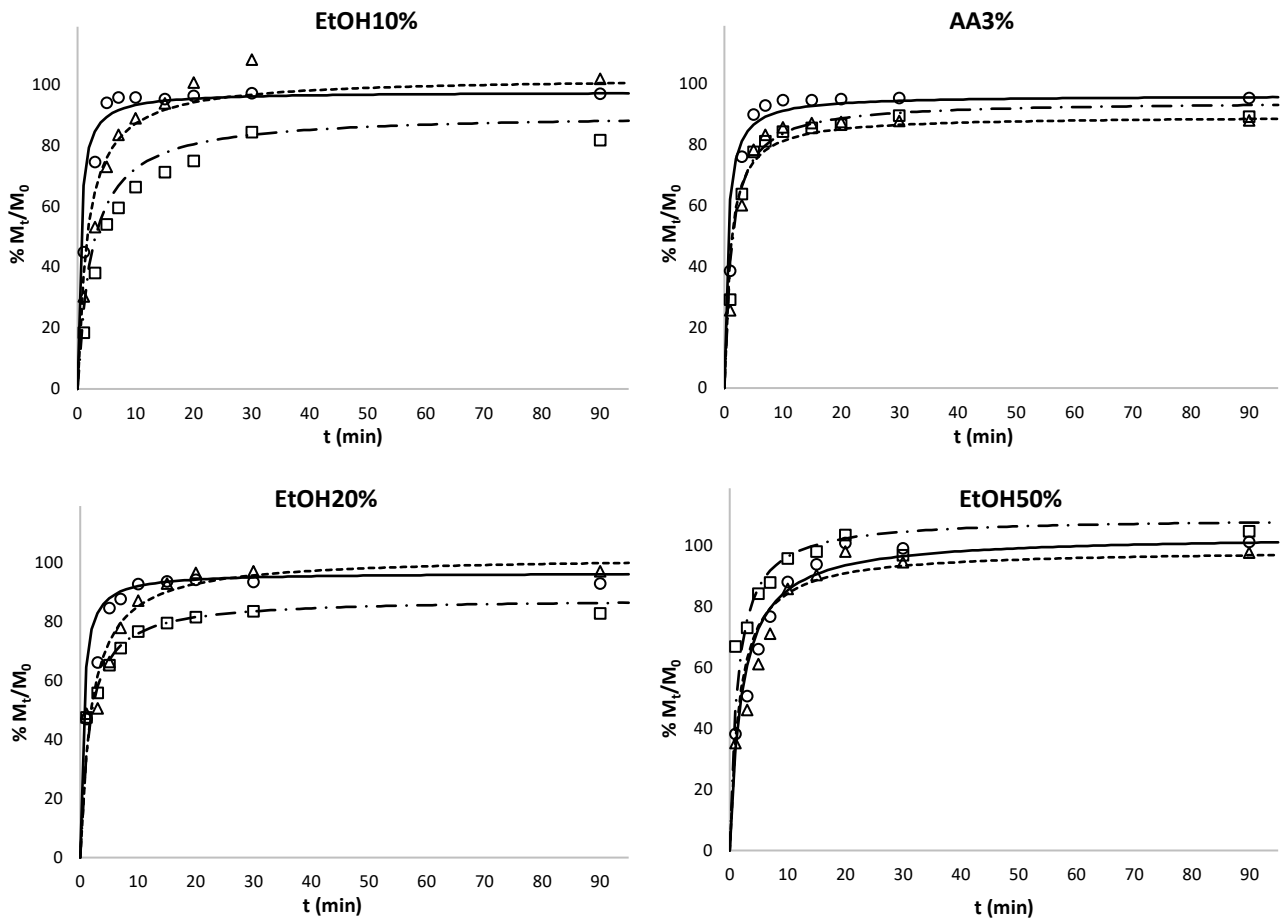
725





728 **Figure 3.** Weight loss curves (A and C) and derivative curves (B and D) from TGA analysis from 25°C to  
729 600°C of encapsulated samples (A and B) and different pure components (C and D).

731

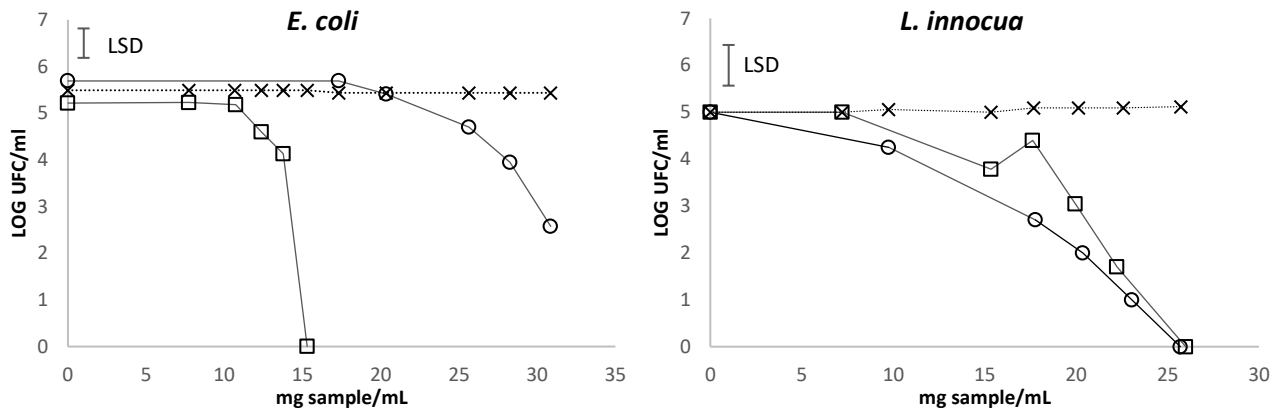


732

733

734 **Figure 4.** Percentage of eugenol released at each time ( $M_t/M_0$ ) from lecithin-based powders in four  
735 different aqueous food simulants: 3% acetic acid, 10% ethanol, 20% ethanol and 50% ethanol.  
736 Experimental data (○ E-LE; △ EOA-LE; □ EOA-LECH) and values predicted by Peleg's model ( — E-LE;  
737 ---- EOA-LE; - . - . EOA-LECH).

738



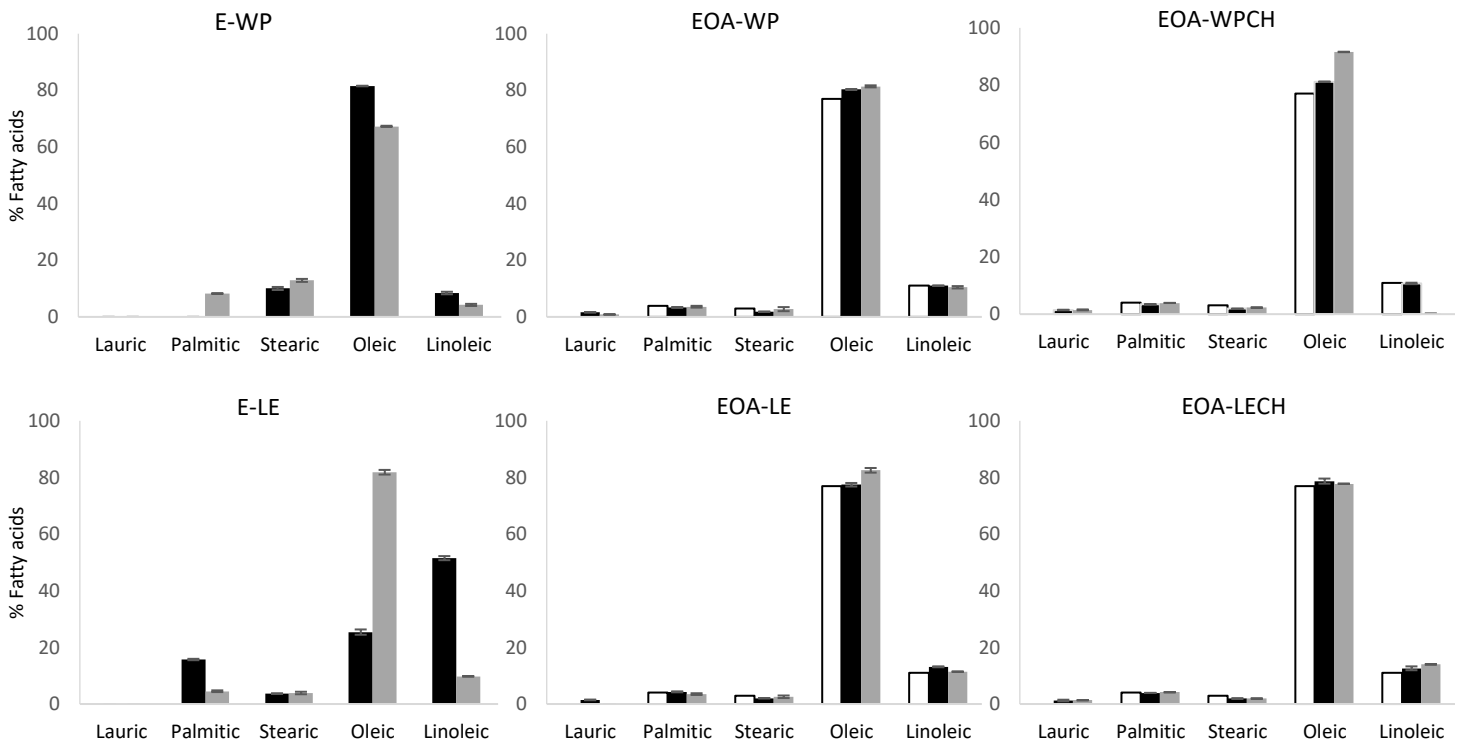
739

740 **Figure 5.** Antimicrobial activity of encapsulated eugenol particles (□ E; ○ EOA; × Control) against

741 *E. coli* and *L. innocua*. Mean values and 95% LSD intervals.

742

743



744

745 **Figure S1.** Profile of major fatty acids (individual content with respect to the total content) found in the  
746 different sample formulations, in the total extracted lipid fraction (black) and in the lipid extracted from  
747 the capsule surface (grey). White bars correspond to the profile of fatty acids in the incorporated oleic  
748 acid.

749

750 **Table 1.** Theoretical and extractable eugenol content (mg/g dried powder), encapsulation efficiency and  
 751 eugenol content on the particle surface (SLC) of different encapsulates. Antioxidant activity in terms of  
 752 EC<sub>50</sub> values of particles encapsulating eugenol was also shown referred per mass unit of powder and mass  
 753 unit of the encapsulated eugenol. Mean values and (standard deviation).

Formulation	Theoretical eugenol (mg/g)	Extractable eugenol (mg/g)	Encapsulation efficiency (%)	Eugenol in SLC <sup>(1)</sup> (mg/g powder)	% Eugenol in SLC <sup>(2)</sup>	EC <sub>50</sub> (mg powder /mg DPPH)	EC <sub>50</sub> (mg eugenol/ mg DPPH)
E-WP	65.22	62 (2)	95 (3) <sup>d</sup>	-	-	1.64 (0.05) <sup>a</sup>	0.107 (0.003) <sup>a</sup>
EOA-WP	56.60	49 (3)	87 (5) <sup>c</sup>	2.3 (0.6) <sup>a</sup>	4.13 (1.14) <sup>a</sup>	2.12 (0.15) <sup>a</sup>	0.120 (0.008) <sup>a</sup>
EOA-WPCH	56.43	12.6 (1.7)	22 (3) <sup>a</sup>	34.0 (1.4) <sup>c</sup>	60 (3) <sup>c</sup>	7.8 (0.9) <sup>c</sup>	0.44 (0.05) <sup>c</sup>
E-LE	65.22	64 (4)	98 (6) <sup>d</sup>	-	-	1.78 (0.14) <sup>a</sup>	0.116 (0.009) <sup>a</sup>
EOA-LE	56.60	53 (3)	95 (5) <sup>d</sup>	1.9 (0.5) <sup>a</sup>	3.31 (0.02) <sup>a</sup>	1.709 (0.015) <sup>a</sup>	0.1114 (0.0010) <sup>a</sup>
EOA-LECH	56.43	26.2 (1.9)	46 (3) <sup>b</sup>	28.0 (0.4) <sup>b</sup>	49.6 (0.08) <sup>b</sup>	4.6 (0.3) <sup>b</sup>	0.260 (0.019) <sup>b</sup>
Pure E							0.092 (0.002) <sup>a</sup>

754

755 <sup>abcd</sup> Different letters in the same column indicate significant differences among formulations (p<0.05).

756 (1) Estimated from surface lipid content values (SLC) and nominal E:OA ratio in the powders

757 (2) Percentage of the incorporated eugenol not encapsulated in the samples, deduced from the SLC and

758 E:OA ratio in the powders.

759

760 **Table S1.** Mass fraction of each component (g/g total solids) and % total solids of the different  
761 formulations.

<b>Formulation</b>	<b>WP*</b>	<b>LE*</b>	<b>MD*</b>	<b>Eugenol</b>	<b>OA*</b>	<b>CH*</b>	<b>% Total solids</b>
<b>E-WP</b>	0.022	-	0.913	0.065	-	-	43
<b>EOA-WP</b>	0.019	-	0.792	0.057	0.132	-	56
<b>EOA-WPCH</b>	0.019	-	0.790	0.056	0.132	0.003	56.16
<b>E-LE</b>	-	0.022	0.913	0.065	-	-	43
<b>EOA-LE</b>	-	0.019	0.792	0.057	0.132	-	56
<b>EOA-LECH</b>	-	0.019	0.790	0.056	0.132	0.003	56.16

762 \* WP: Whey Protein Isolate; LE: Lecithin; MD: Maltodextrin; OA: Oleic acid; CH: Chitosan

763

764 **Table S2.** Zeta potential, pH, rheological parameters and apparent viscosity at 50 s<sup>-1</sup> of the different  
 765 emulsions.

Formulation	pH	Z-Potencial (mV)*	Z-Potencial (mV) pH=4	K (Pa·s <sup>n</sup> )·100	n	η <sub>50</sub> (mPa·s)
E-WP	6.6	-28.9 (1.5) <sup>b</sup>	+19. (0.4) <sup>a</sup>	5.81 (0.18) <sup>a</sup>	0.993 (0.004) <sup>cd</sup>	56.2 (1.9) <sup>a</sup>
EOA-WP	5.7	-32.5 (0.9) <sup>a</sup>	+12 (2) <sup>a</sup>	6.95 (0.15) <sup>a</sup>	0.995 (0.005) <sup>d</sup>	68.0 (1.3) <sup>ab</sup>
EOA-WPCH	4.1	+40 (3) <sup>c</sup>	+40 (3) <sup>b</sup>	41.65 (11.13) <sup>b</sup>	0.836 (0.018) <sup>b</sup>	219 (46) <sup>c</sup>
E-LE	4.3	-46.6 (0.5) <sup>d</sup>	-	73 (0.9) <sup>a</sup>	0.988 (0.005) <sup>cd</sup>	69 (8) <sup>ab</sup>
EOA-LE	4.4	-45.7 (0.5) <sup>d</sup>	-	90 (10) <sup>a</sup>	0.982 (0.004) <sup>c</sup>	84 (9) <sup>b</sup>
EOA-LECH	4.0	+61.5 (0.9) <sup>e</sup>	-	69 (17) <sup>c</sup>	0.726 (0.020) <sup>a</sup>	214 (11) <sup>c</sup>

766

767 <sup>abcd</sup> Different letters in the same column indicate significant differences among formulations (p<0.05).

768 \*at the pH of the emulsion

769

770 **Table S3.** Moisture content and thermal degradation temperatures (onset values,  $T_{\text{onset}}$  and value at  
771 maximum degradation rate,  $T_{\text{max}}$ ) of the particles using whey protein and lecithin as wall materials,  
772 incorporating or not oleic acid and chitosan. Mean values and (standard deviation).

773

774	Formulation	% MC (dry weight basis)	$T_{\text{max}}$ (°C)	$T_{\text{onset}}$ (°C)
775	E-WP	3.01 (0.02) <sup>d</sup>	283.7 (0.8) <sup>c</sup>	221.4 (0.7) <sup>ab</sup>
776	EOA-WP	2.74 (0.09) <sup>c</sup>	282 (2) <sup>b</sup>	222 (2) <sup>ab</sup>
777	EOA-WPCH	1.50 (0.06) <sup>b</sup>	284.2 (1.0) <sup>c</sup>	227 (13) <sup>b</sup>
	E-LE	2.84 (0.05) <sup>c</sup>	259,8 (0,6) <sup>a</sup>	214.8 (0,9) <sup>a</sup>
	EOA-LE	1.77 (0.03) <sup>a</sup>	258,6 (0,3) <sup>a</sup>	224.1 (1.6) <sup>ab</sup>
	EOA-LECH	2.97 (0.14) <sup>c</sup>	259,4 (0,3) <sup>a</sup>	226.4 (0.5) <sup>b</sup>

778 <sup>abcd</sup> Different letters in the same column indicate significant differences among formulations ( $p < 0.05$ ).

779



780 **Table S4.** Lipid profile of the different formulations expressed as total (TLC) and superficial lipid content (SLC), in mg fatty acid/g powder. Total fatty acids is the sum of the  
781 different lipids in TLC and SLC. % SLC is the total amount of fatty acids present on the surface with respect to the total lipid content. Mean values (and standard deviation).

Fatty acids	E-WP		EOA-WP		EOA-WPCH		E-LE		EOA-LE		EOA-LECH	
	TLC	SLC	TLC	SLC	TLC	SLC	TLC	SLC	TLC	SLC	TLC	SLC
Lauric C12:0	n.d.*	n.d.	1.95 (0.04)	0.046 (0.014)	1.79 (0.05)	1.18 (0.18)	n.d.	n.d.	1.8 (0.2)	n.d.	1.5 (0.4)	0.869 (0.015)
Miristic C14:0	n.d.	n.d.	0.592 (0.014)	0.013 (0.018)	0.583 (0.095)	0.41 (0.03)	n.d.	n.d.	0.58 (0.05)	n.d.	0.51 (0.08)	0.304 (0.004)
Palmitic C16:0	n.d.	0.0130 (0.0004)	4.03 (0.10)	0.19 (0.04)	4.186 (0.07)	3.096 (0.108)	1.36 (0.12)	0.051 (0.005)	5.4 (0.5)	0.15 (0.03)	4.7 (0.2)	2.71 (0.03)
Margaric C17:0	n.d.	0.0115 (0.0007)	n.d.	0.0099 (0.0002)	n.d.	0.032 (0.002)	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
Stearic C18:0	0.0386 (0.0007)	0.0202 (0.0004)	2.214 (0.009)	0.1443 (0.0018)	2.290 (0.009)	1.81 (0.04)	0.317 (0.013)	0.044 (0.006)	2.56 (0.09)	0.1117 (0.0008)	2.325 (0.007)	1.251 (0.010)
Oleic C18:1 (n9)	0.31 (0.02)	0.106 (0.002)	97.14 (1.09)	4.4 (1.2)	98.8 (1.3)	73 (3)	2.19 (0.09)	0.927 (0.014)	98 (4)	3.6 (0.6)	95 (3)	50.8 (0.8)
Vaccenic C18:1 (n7)	n.d.	n.d.	0.57 (0.03)	0.031 (0.009)	0.3 (0.5)	0.009 (0.012)	n.d.	n.d.	0.5 (0.4)	n.d.	0.680 (0.017)	0.079 (0.003)
Linoleic C18:2	0.032 (0.004)	0.0067 (0.0007)	13.34 (0.24)	0.57 (0.18)	13.06 (0.14)	0.1171 (0.0004)	4.5 (0.4)	0.111 (0.004)	16.6 (1.0)	0.50 (0.09)	15.2 (1.4)	9.14 (0.16)
Linolenic C18:3	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	0.32 (0.04)	n.d.	0.28 (0.03)	n.d.	0.24 (0.02)	0.16571 (0.00009)
Arachidic C20:0	n.d.	n.d.	0.258 (0.002)	n.d.	0.12 (0.17)	n.d.	n.d.	n.d.	0.257 (0.013)	n.d.	n.d.	n.d.
Gondoic C20:1	n.d.	n.d.	0.674 (0.010)	n.d.	0.55 (0.03)	n.d.	n.d.	n.d.	0.530 (0.015)	n.d.	0.54 (0.03)	n.d.
Behenic C22:0	n.d.	n.d.	0.108 (0.004)	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
Lignoceric C24:0	n.d.	n.d.	0.0917 (0.0010)	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
<b>Total fatty acids (mg/g)</b>	<b>0.38 (0.03)</b>	<b>0.157 (0.003)</b>	<b>121.0 (1.5)</b>	<b>5.5 (1.5)</b>	<b>121.7 (1.5)</b>	<b>79 (3)</b>	<b>8.6 (0.7)</b>	<b>1.13 (0.03)</b>	<b>127 (6)</b>	<b>4.4 (0.7)</b>	<b>121 (5)</b>	<b>65.36 (1.05)</b>
<b>% SLC</b>	<b>40.85</b>		<b>4.51</b>		<b>65.10</b>		<b>13.11</b>		<b>3.45</b>		<b>54.08</b>	

782 \* n.d.: Non-detected.

**Table S5.** Maximum eugenol release ratio ( $M_{\infty}/M_0$ )\* and parameters of Peleg's model for the different encapsulated systems in the different food simulants: inverse of the initial release rate ( $k_1$ ) (min/(mg eugenol /g powder)) and equilibrium value,  $M_{\infty}$  (1/ $k_2$ ) (mg eugenol/g powder).

Formulation	Parameters	SIMULANTS			
		Ethanol 10%	Ethanol 20%	Ethanol 50%	AA 3%
E-WP	$k_1$	0.0065 (0.0009) <sup>a,1</sup>	0.0053 (0.0010) <sup>a,1</sup>	0.039 (0.009) <sup>a,1</sup>	0.0041 (0.0019) <sup>a,1</sup>
	$M_{\infty}=1/k_2$	60.3 (0.3) <sup>d,1</sup>	60.2 (0.8) <sup>d,1</sup>	68.5 (1.7) <sup>d,2</sup>	60.22 (1.06) <sup>d,1</sup>
	$M_{\infty}/M_0$ (%)	97.1 (1.7) <sup>c,1</sup>	97.1 (1.3) <sup>c,1</sup>	100 (0) <sup>a,1</sup>	97.1 (1.7) <sup>bcd,1</sup>
	$R^2$	≥ 0.999	≥ 0.999	≥ 0.999	≥ 0.999
EOA-WP	$k_1$	0.0109 (0.0013) <sup>a,1</sup>	0.012870 <sup>a,1</sup>	0.02888 (0.00102) <sup>a,1</sup>	0.018 (0.013) <sup>ab,1</sup>
	$M_{\infty}=1/k_2$	47.4 (0.7) <sup>c,1</sup>	48.78 (0.15) <sup>c,1</sup>	56.6 (4.4) <sup>c,2</sup>	59 (5.3) <sup>c,2</sup>
	$M_{\infty}/M_0$ (%)	89.4 (1.3) <sup>b,1</sup>	92.0 (0.3) <sup>b,1</sup>	100 (0) <sup>a,2</sup>	100 (0) <sup>c,2</sup>
	$R^2$	≥ 0.998	≥ 0.998	≥ 0.999	≥ 0.998
EOA-WPCH	$k_1$	0.06 (0.03) <sup>bc,1</sup>	0.09 (0.02) <sup>bc,1</sup>	0.159 (0.006) <sup>b,2</sup>	0.09 (0.03) <sup>c,1</sup>
	$M_{\infty}=1/k_2$	10.38 (0.02) <sup>a,1</sup>	14.5 (0.3) <sup>a,12</sup>	18.6 (1.8) <sup>a,2</sup>	16.7 (0.3) <sup>a,2</sup>
	$M_{\infty}/M_0$ (%)	74.16 (0.14) <sup>a,1</sup>	100 (0) <sup>c,2</sup>	100 (0) <sup>a,2</sup>	100 (0) <sup>cd,2</sup>
	$R^2$	≥ 0.958	≥ 0.945	≥ 0.925	≥ 0.998
E-LE	$k_1$	0.007 (0.005) <sup>a,1</sup>	0.0080 (0.0013) <sup>a,1</sup>	0.032 (0.008) <sup>a,1</sup>	0.009 (0.003) <sup>a,1</sup>
	$M_{\infty}=1/k_2$	62.6 (3.1) <sup>d,12</sup>	61.7 (1.4) <sup>d,12</sup>	66.7 (6.8) <sup>d,2</sup>	58.6 (0.8) <sup>d,1</sup>
	$M_{\infty}/M_0$ (%)	99.2 (1.4) <sup>c,1</sup>	97.8 (0.3) <sup>c,1</sup>	98,9 (2.0) <sup>a,1</sup>	96.1 (1.3) <sup>bc,1</sup>
	$R^2$	≥ 0.999	≥ 0.998	≥ 0.997	≥ 0.998
EOA-LE	$k_1$	0.030 (0.002) <sup>ab,1</sup>	0.032 (0.008) <sup>ab,1</sup>	0.03 (0.03) <sup>a,1</sup>	0.020 (0.006) <sup>ab,1</sup>
	$M_{\infty}=1/k_2$	58.4 (0.7) <sup>d,2</sup>	56.7 (0.6) <sup>d,2</sup>	56.5 (4.6) <sup>c,2</sup>	51 (0.7) <sup>c,1</sup>
	$M_{\infty}/M_0$ (%)	100 (0) <sup>c,2</sup>	99.4 (0.9) <sup>c,2</sup>	97 (5) <sup>a,2</sup>	89.4 (1.2) <sup>a,1</sup>
	$R^2$	≥ 0.997	≥ 0.993	≥ 0.958	≥ 0.996
EOA-LECH	$k_1$	0.095 (0.008) <sup>c,1</sup>	0.061 (0.014) <sup>c,1</sup>	0.042 (0.013) <sup>a,1</sup>	0.047 (0.011) <sup>b,1</sup>
	$M_{\infty}=1/k_2$	26.3 (2.3) <sup>b,1</sup>	25.4 (0.8) <sup>b,1</sup>	31.8 (2.4) <sup>b,2</sup>	27.4 (1.3) <sup>b,12</sup>
	$M_{\infty}/M_0$ (%)	91 (8) <sup>b,12</sup>	88 (3) <sup>a,1</sup>	100 (0) <sup>a,3</sup>	94.4 (4.4) <sup>b,2</sup>
	$R^2$	≥ 0.986	≥ 0.999	≥ 0.997	≥ 0.994

\* related to the initial eugenol amount determined by methanol extraction.

<sup>abcd</sup> Different letters in the same column indicate significant differences among formulations (p<0.05).

<sup>1234</sup> Different numbers in the same line indicate significant differences among food simulants (p<0.05).