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Title: Rainfall simulation and Structure-from-Motion photogrammetry for the analysis of soil water erosion in Mediterranean vineyards

Article Type: Research Paper

Keywords: soil water erosion, Mediterranean vineyards, rainfall simulation, Structure from Motion, sediment connectivity.

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Corresponding Author's Institution: Land, Environment, Agriculture and Forestry, University of Padova

First Author: Massimo Prosdocimi

Order of Authors: Massimo Prosdocimi; Maria Burguet; Simone Di Prima; Giulia Sofia; Enric Terol Esparza; Jesús Rodrigo Comino; Artemi Cerdà; Paolo Tarolli

Abstract: Soil water erosion is a serious problem, especially in agricultural lands. Among these, vineyards deserve attention, because they constitute for the Mediterranean areas a type of land use affected by high soil losses. A significant problem related to the study of soil water erosion in these areas consists in the lack of a standardized procedure of collecting data and reporting results, mainly due to a variability among the measurement methods applied. Given this issue and the seriousness of soil water erosion in Mediterranean vineyards, this work aims to quantify the soil losses caused by simulated rainstorms, and compare them with each other depending on two different methodologies: (i) rainfall simulation and (ii) surface elevation change-based, relying on high-resolution Digital Elevation Models (DEMs) derived from a photogrammetric technique (Structure-from-Motion or SfM). The experiments were carried out in a typical Mediterranean vineyard, located in eastern Spain, at very fine scales. SfM data were obtained from one reflex camera and a smartphone built-in camera. An index of sediment connectivity was also applied to evaluate the potential effect of connectivity within the plots. DEMs derived from the smartphone and the reflex camera were comparable with each other in terms of accuracy and capability of estimating soil loss. Furthermore, soil loss estimated with the surface elevation change-based method resulted to be of the same order of magnitude of that one obtained with rainfall simulation, as long as the sediment connectivity within the plot was considered. High-resolution topography derived from SfM revealed to be essential in the sediment connectivity analysis and, therefore, in the estimation of eroded materials, when comparing them to those derived from the rainfall simulation methodology. The fact that smartphones built-in cameras could produce as much satisfying results as those derived from reflex cameras is a high value added for using SfM.

Response to Reviewers: First of all, we wish to thank the Editor and two reviewers for their comments on the manuscript, and their constructive

suggestions. The reviewers underlined some minor issues. In this revised version of the paper, we did our best to follow all the comments raised and to incorporate the reviewers' recommendations. Here a detailed response to each point raised. We also added two co-authors for their contributions during the field surveys and review stage.

Reviewer#1

We really wish to thank Reviewer#1 for his/her review of our manuscript. Reviewer#1 raised useful comments and advices that helped us to improve and clarify the work.

We have done our best to incorporate as much of the Reviewer#1's suggestions.

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The rainfall simulation techniques are generally adopted for the analysis of the potential risk of erosion at microscale (0.25 m<sup>2</sup> in this work). Conversely a real time monitoring (e.g. the post event analysis) could be based on the analysis of data from digital cameras with specific surface elevation change-based methods. The authors agree with this statement when they write that the smartphones are easily available for farmers/researchers and could be adopted for "fast and cheap post-event analyses" (conclusions - row 508). Nevertheless, it is not clear how this specific use could be implemented on the base of a work that proposes only a field test for a very small piece of a vineyard. Therefore, I think that this work should analyse the problems that limit the feasibility of the scale-up from experimental plots (0.25 m<sup>2</sup>) to the whole vineyard and discuss if and how these problems can be overcome. This request is crucial because the deduction of a reader of the present version is that the scale-up is almost automatic while in my opinion it is far from immediate with the proposed technology. In other terms the abovementioned "Conclusion 2" must be corroborated in an effective way.

We thank the reviewer for this comment. In our work, we stated that our analyses were carried out at very-fine scales and that SfM confirmed to be a useful approach to quantify topographic changes at these spatial scales. The final statement we reported in the conclusion (lines 508-511) gives, in our opinion, a general overview of the likely future uses of smartphones for these kind of analyses, without alluding in detail to specific spatial scales. Considering the reviewer comment, we enhanced the above-mentioned 'Conclusion 2' also following Reviewer#2's suggestions. Please refer to pag. 22, lines 520-532.

In order to easily understand the characteristics of climate and soils of the experimental site I suggest to report (maybe in the supplementary material):

- results of a chemical-physical analysis of the soil of the vineyard with the main variables (texture, organic matter and so on).
- table with monthly mean values of temperature, precipitation amount and number of rainy days

- climogram of Bagnouls - Gausсен (alias Walter - Lieth) useful to easily see thermal and precipitation regime and the length of the dry period.

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Abstract: please specify the country and the site of the experimental activities

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Reviewer#1 is right and we added the winter as season (pag. 4, line 100). However, we also left spring because the authors we reported as references gave evidence of the occurrence of extreme events in this season too. These events characterize the Mediterranean climate as well.

Row 108: the authors speak of "catch crops" that are fast-growing crops that are grown between successive plantings of a main crop. For this work this is senseless because vineyard is a permanent crop. More interesting should be to speak of "cover crops" which are a "state of art" approach to the anti-erosion management of vineyard (see for example Ingels C.A., Bugg R.L., McGourty G.T., Christensen L.P. - 1998. Cover crops in vineyards: a grower's handbook, University of California, publication 3338, 154 pp).

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Row 191: "and bulk density (1.109 g cm<sup>-3</sup>)" please change in something like "and low bulk density (1.109 g cm<sup>-3</sup>)"

Done.

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Done.

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References

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**DEPARTMENT of LAND, ENVIRONMENT,  
AGRICULTURE and FORESTRY**

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Legnaro (PD), 5<sup>th</sup> September 2016

Dear Editor,

we are submitting herein the revision version of the paper entitled "*Rainfall simulation and Structure-from-Motion photogrammetry for the analysis of soil water erosion in Mediterranean vineyards*" by Massimo Prosdocimi, Maria Burguet, Simone Di Prima, Giulia Sofia, Enric Terol Esparza, Jesús Rodrigo Comino, Artemi Cerdà and Paolo Tarolli to be considered for publication in *Science of the Total Environment*.

We included two co-authors for their contributions during the field surveys and review stage, and fixed all the critical issues raised by the reviewers.

We look forward to hearing from you.

Best regards,

Massimo Prosdocimi

A handwritten signature in black ink, appearing to read 'Prosdocimi Massimo'.

## Response to Editor and reviewers' comments

on the manuscript n°: STOTEN-S-16-04026

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revised for publication in

Science of the Total Environment

by

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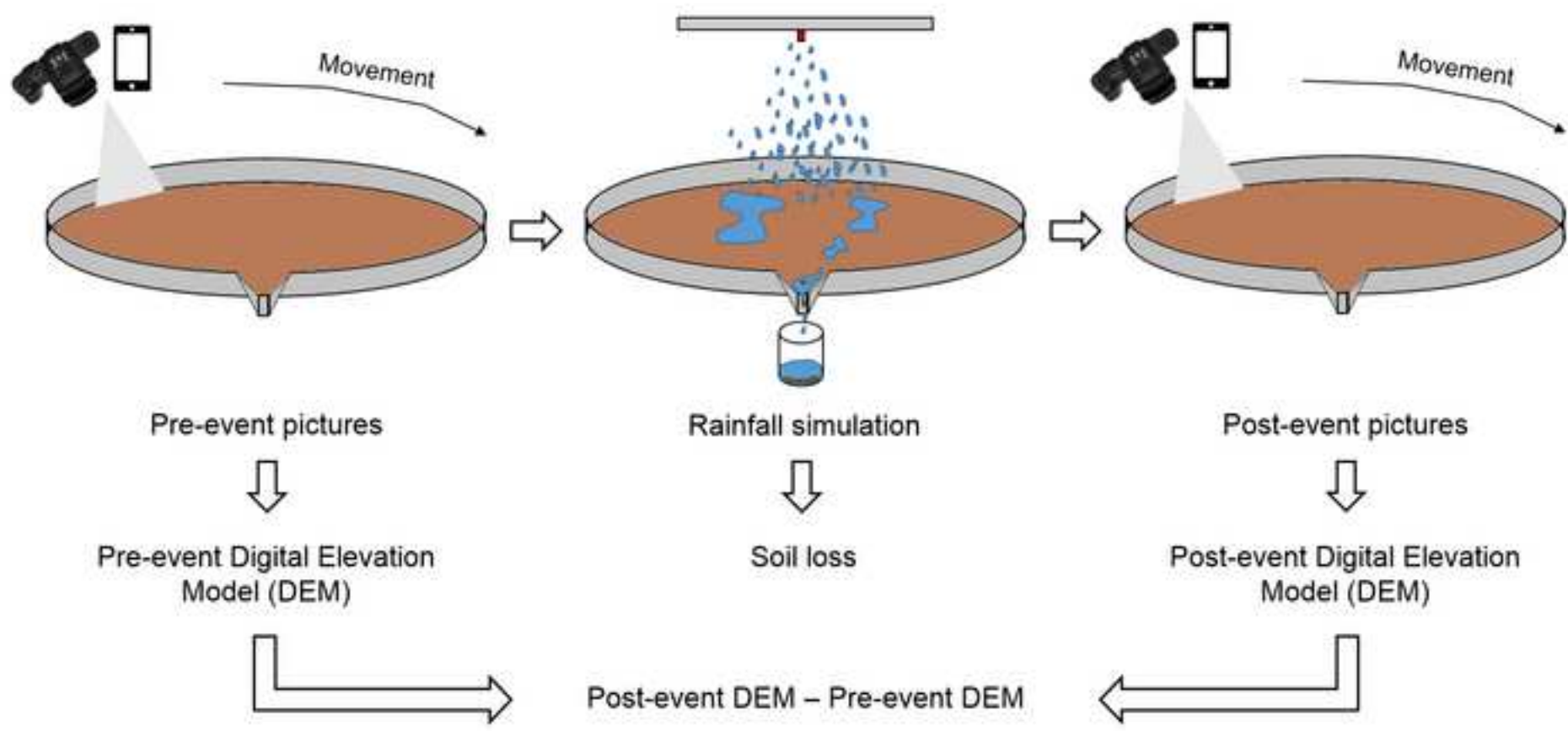
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## **Highlights of the paper “Rainfall simulation and Structure-from-Motion photogrammetry for the analysis of soil water erosion in Mediterranean vineyards”**

The core findings of this paper are synthesized as follows:

- Structure-from-Motion is able to detect topographic changes at very fine scales
- Smartphones can be used to obtain reliable image datasets for Structure-from-Motion
- Sediment connectivity plays a key role in estimating eroded materials

## Rainfall simulation and Structure-from-Motion photogrammetry for the analysis of soil water erosion in Mediterranean vineyards

Massimo Prosdocimi<sup>a</sup>, Maria Burguet<sup>b</sup>, Simone Di ~~Prima~~<sup>b</sup>Prima<sup>c</sup>, Giulia Sofia<sup>a</sup>,  
Enric Terol Esparza<sup>d</sup>, Jesús Rodrigo Comino<sup>e,f</sup>, Artemi Cerdà<sup>b,g</sup>, Paolo Tarolli<sup>a</sup>

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<sup>c</sup>Dipartimento di Agraria, Università degli Studi di Sassari, Viale Italia 39, 07100 Sassari, Italy.

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<sup>e</sup>Physical Geography, Trier University, 54286 Trier, Germany.

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## **Abstract**

Soil water erosion is a serious problem, especially in agricultural lands. Among these, vineyards deserve attention, because they constitute for the Mediterranean areas, a type of land use affected by high soil losses. A significant problem related to the study of soil water erosion in these areas consists in the lack of a standardized procedure of collecting data and reporting results, mainly due to a variability among the measurement methods applied. Given this issue and the seriousness of soil water erosion in Mediterranean vineyards, this work aims to quantify the soil losses caused by simulated rainstorms, and compare them with each other depending on two different methodologies: (i) rainfall simulation and (ii) surface elevation change-based, relying on high-resolution Digital Elevation Models (DEMs) derived from a photogrammetric technique (Structure-from-Motion or SfM). The experiments

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## 4 1. Introduction

Throughout the world, soil erosion by water is a serious problem, especially in semi-arid and semi-humid areas (~~Barton et al., 2004; Bhatt and Khara, 2006; Cerdà et al., 2009, 2015; Cerdan et al., 2010; Dregne, 1992; García-Ruiz, 2010; Lal, 1995, 2000; SadeghiLigonja and Shrestha, 2015; Novara et al., 2016; Taguas et al., 2015a,b; Zheng, 20062015; Rodrigo Comino et al., 2016a~~).

Although soil erosion by water consists of physical processes that vary significantly in severity and frequency according to when and where they occur, they are also strongly influenced by anthropic factors such as land-use changes on large scales and unsustainable farming practices (~~BoardmanCerdà, 2000; León et al., 1990; Cerdà 1994; Lal, 19842015; López Vicente et al., 2015; Ochoa-Cueva et al., 2015; Montgomery, 2007; Mwango et al., 2016; Nanko et al., 2015; Tarolli et al., 2014; Tebrügge and Düring, 1999~~). This has led to the definition of 'accelerated' soil erosion as being the result of human impact on the landscape (~~Tarolli and Sofia, 2016~~) and this is found in all the continents (~~Borrelli et al., 2015, Cao et al., 2015; Gessesse et al., 2015~~); Rodrigo Comino et al., 2016b).

The impact of soil erosion on modern society has required to set threshold values against which to assess the monitoring of soil data, especially in agriculture (Montgomery, 2007). Among the cultivated lands, vineyards merit a particular attention, because, aside from representing one of the most important crops in terms of income and employment (~~Anderson and Nelgen, 2011~~), they also constitute, for the Mediterranean areas, a form of agricultural land use that causes the highest soil losses (Cerdà and Doerr, 2007; Cerdan et al., 2002,

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~~2010; García-Ruiz, 2010; García-Ruiz et al., 2010; Kosmas et al., 1997~~2010; Martínez-Casasnovas and Sánchez-Bosch, 2000; Prosdocimi et al., 2016a; Raclot et al., 2009; Rodrigo Comino et al., 2015; Rodrigo Comino et al., 2016c). One of the main reasons for this is the bare soil under the vines that is exposed to high intensity rainfall events, mainly concentrated in spring ~~and~~, autumn ~~and~~ winter, which characterize the Mediterranean climate (Arnáez et al., 2007; Borga et al., 2011; García-Ruiz, 2010; Prosdocimi et al., 2016a). ~~In fact~~For this cultivation, the two most common soil ~~conservation~~management techniques (SCTs) are considered to be tillage ~~(mechanical weeding)~~, where the weeds are usually removed mechanically, and no-tillage ~~(chemical weeding)~~, where the weeds are usually removed chemically (Novara et al., 2011; Raclot et al., 2009), and both of them generally turn out in bare soil management during the whole year ~~(Lasanta and Sobrón, 1998)~~. Extreme rainfall events that occur in the Mediterranean area are able to cause significant soil water erosion processes, especially when no protective material covers the soil (Figure 1) (Bisantino et al., 2015; Keesstra et al., 2016; Novara et al., 2016); Prosdocimi et al., 2016c). However, to reduce the high soil erosion rates, more conservation-minded soil management practices have also been used such as mulching (Cerdà et al., 2015; Costantini et al., 2015; Jordán et al., 2011; Prosdocimi et al., 2016b); catch, c, cover crops (Novara et al., 2011), rock fragments (Blavet et al., 2009), natural grassing (Grimaldi et al., 2015; Mekonnen et al., 2015a; Mekuria et al., 2016; Raclot et al., 2009) and geotextiles (Giménez-Morera et al., 2010; Mekonnen et al., 2015b; Mengistu et al., 2016). Furthermore, new approaches to evaluate incentives for the adoption of agri-environment measures in degraded and eroded vineyards have been implemented (Galati et al.,

~~2015~~.2015) and mulching is one of those successful strategies (Prosdocimi et al., 2016c).

Another issue related to soil water erosion in Mediterranean vineyards is the lack of a standardized procedure of collecting data and reporting results, mainly due to a great variability among the measurement methods applied to quantify it (Prosdocimi et al., 2016a; García-Ruiz et al., 2015). This induces difficulties in comparing data coming from different studies and obtained with different methodologies. Based on the paper review of Prosdocimi et al. (2016a), six different methodologies to assess soil water erosion in vineyards have been identified: (i) experimental plot stations under simulated or natural rainfalls, (ii) erosion markers, (iii) models, (iv) the surface elevation change-based methods, (v) geochemical methods, and (vi) carbon (~~C~~)-stable isotopes. This work focuses on the use of plot stations under simulated rainfall and on the surface elevation change-based method. Rainfall simulation has become a very effective technique for assessing soil erosion, particle detachment and overland flow at very fine scales (Arnáez et al., 2007; Cerdà et al., 1997; Iserloh et al., 2013; Rodrigo Comino et al., 2016; Tossel et al., 1987).2016b). Several types and designs of rainfall simulators have been realized to meet the objectives of researchers (Iserloh et al., 2013; Lassu et al., 2015; Tossel et al., 1987). In particular, the advantages of using a portable rainfall simulator are: i) its versatility, ii) low cost and easy operation (Walsh et al., 1998), and iii) capability of obtaining data under controlled conditions and over relatively short periods of time (Navas et al., 1990). The surface elevation change-based method is able to detect the topographic changes over time. It relies on Digital Elevation Models (DEMs) that can be used as basic topographic information to derive

morphometric attributes and quantify soil erosion and deposition rates (Martínez-Casasnovas and Sánchez-Bosch, 2000; Martínez-Casasnovas et al., 2002; Prosdocimi et al., 2015). Remote-sensing technologies have proven to facilitate significantly the creation of high-resolution DEMs (Tarolli, 2014; Tarolli et al., 2015). Aucelli et al., 2016; Tarolli, 2014; Tarolli et al., 2015), and the availability of DEMs at multiple scales in terms of resolution but also temporal coverage is becoming essential to the understanding of global issues, such sediment production and anthropogenic changes to the Earth system, among others (Sofia et al., 2016). The recent development of the photogrammetric technique 'Structure-from-Motion' (SfM) has confirmed to represent a valid and cheaper alternative to the established airborne and terrestrial lidar (Light Detection and Ranging) technology for measuring soil surface changes in different environments (Dandois and Ellis, 2013; Eltner et al., 2015; James and Robson, 2012; Masiero et al., 2015; Piermattei et al., 2016; Westoby et al., 2012; Whitehead et al., 2013; Woodget et al., 2014)-2015). All this information can shed light into the connectivity within the soil and water losses (López-Vicente et al., 2016; Marchamalo et al., 2016; Masselink et al., 2016). The growing interest for SfM has been enhanced by the fact that it is a user-friendly technique, and that it can also rely on smartphone built-in cameras (Masiero and Vettore, 2016; Micheletti et al., 2014; Prosdocimi et al., 2015) and on the diffusion of unmanned aerial vehicles (UAVs) (Chen et al., 2015; Colomina and Molina, 2014).

Given the seriousness of soil water erosion in Mediterranean agricultural lands and the issue of putting data obtained with different methodologies in relation to each other, this works intends to quantify the soil losses caused by simulated

rainstorms, and compare them with each other depending on two different methodologies used: (i) rainfall simulation and (ii) surface elevation change-based, relying on high-resolution DEMs derived from SfM. Furthermore, this work aims to compare the results obtained from SfM with each other, depending on the type of camera used. The objectives are pursued by carrying out the experiments in a typical Mediterranean vineyard, under tillage conditions, located within the province of Valencia (Spain), at very fine scales (0.25 m<sup>2</sup>).

## 2. Material and Methods

### 2.1. Study area

The study area consists in a 25-year-old vineyard, located at El Celler del Roure in Les Alcusses de Moixent, within the Canyoles river watershed in the province of Valencia (La Costera District, eastern Spain) (38° 48' 33.12" N, 0° 49' 3.27" O). Vines are located parallel to the contour lines and the inter-rows, which are

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about 2.5 m wide, are artificially maintained bare during the whole year through tillage operations carried out with a Landini Rex 95 tractor, which adopts a tooth arrow as farm implement. The portion affected by the tractor wheel tracks results to be about 36% of the total inter-row area (Figure 2). Climate is typically Mediterranean with 3-5 months of summer drought (June-September). Mean annual rainfall is about 350 mm yr<sup>-1</sup>. Rainfall is distributed amongst autumn, winter and spring, with maximum peak rainfall intensities during the autumn season, where values higher of 200 mm day<sup>-1</sup> were recorded during the last 50 years. Mean annual temperature is about 13.8°C while the hottest month (August) has average temperatures of about 23°C. The parent materials in this area belong to Cretaceous limestones and Tertiary Marly deposits that develop Typic Xerothent soils (Soil Survey Staff, 1998). The soils are characterized by low levels of soil organic matter (< 1%) due to the millennia of agricultural use and soil disturbance (ploughing), basic pH (8) (Prosdocimi et al., 2016b), sandy loam soil textures, (clay 19.3%, silt 13.4% and sand 67.3%), and low bulk density (1.109 g cm<sup>-3</sup>).

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To better characterize the climate of our study site, Walter-Lieth climate diagram (Walter and Lieth, 1960) has been obtained using data derived from Ontinyent climate station as it is the one with the longest records (29 years) closest to the study site (about 17 km) (Figure 3). The diagram displays monthly averages for temperature and precipitation over a year. When the precipitation

curve undercuts the temperature curve, the area in between them indicates dry season. When the precipitation curve supersedes the temperature curve, the area in between them indicates moist season. For further information, readers may refer to <http://www.globalbioclimatics.org/>.

## **2.2. Experimental plot design**

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Four circular steel plots (0.25 m<sup>2</sup>) were located in the bare inter-rows of the vines managed with conventional tillage, and are referred to in the text as 1, 2, 3 and 4. Each plot was placed in a different inter-row and had an outlet, which allowed to converge and collect the surface runoff samples during the runoff simulation experiments. For each plot, five targets (SfM-targets), made of black and white polythene squares, were used: four (5.5 cm x 5.5 cm) were placed outside the circular plots and one (2.5 cm x 2.5 cm) inside the plot (Figure 34). SfM-targets centroids were surveyed using a Topcon GRS-1 rover receiver running in real time kinematic (RTK) mode. In addition, other thirteen ground-control points (GCPs) were surveyed in the immediate neighborhood of each plot.

## **2.3. Rainfall simulation**

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A one-nozzle (Hardi-1553-12) rainfall simulator was used to reproduce seven rainstorms at 55 mm h<sup>-1</sup> rainfall intensity for one hour on the 4 circular plots of 0.25 m<sup>2</sup>. For plots 1, 2 and 3, a single rainfall experiment was carried out, while for plot 4, four rainfall experiments were carried out during four consecutive days, and are referred to in the text as 4A, 4B, 4C and 4D. Storms similar to the ones simulated have a return period of 10 years in the study area (Cerdà, 1996;

Prosdocimi et al., 2016b). The rainfall simulator used was the one described by Cerdà et al. (1997) because it revealed to be effective in rugged terrain conditions proving to give good results in semi-arid environments. Its basic components are a nozzle, a structure that holds the nozzle, the connection with the water supply, the pumping system and a tarpaulin to protect the rainfall simulation from wind. As the nozzle was kept at about 2 m height over a plane surface, the 0.25 m<sup>2</sup> plots were established at the centre of the 1 m<sup>2</sup> sprinkling area, to avoid border interference. Readers are referred to Cerdà et al. (1997) and Iserloh et al. (2013) for a further description of the rainfall simulator used and Cerdà (1996; 1997) for more information about the distribution of rainfall parameters. Surface runoff from the plots were collected and measured at 1-min intervals during each simulated rainfall event. Every tenth 1-min runoff sample was collected for laboratory analysis in order to determine sediment concentration, that was obtained after the desiccation of the samples in the laboratory. Then, runoff rates and sediment concentration were used to calculate the soil loss, runoff, runoff coefficient, and erosion rates.

#### **2.4. Surface elevation changes through Structure-from-motion**

Photographs of each plot were taken using two different types of camera: (i) a standalone digital reflex camera (Nikon D3000 at 10.2 MP resolution, set at a focal length of 35 mm) and (ii) a smartphone, precisely a BQ Aquaris E5, built-in camera (13 MP resolution) with both automatic focusing and exposure enabled. The choice of using two cameras was due to test the effectiveness of SfM, also when it relies on an image dataset derived from a smartphone. Twenty photographs were taken before and after the rainfall simulation using each

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camera. A 1 m high support having two boxes, that were 0.3 m far from each other and capable of holding the cameras, was used to take the pictures (Figure 45). Photographs were taken inside the rainfall simulator covered by the tarpaulin to have a homogeneous light over the plots.

The SfM technique was then used to obtain three-dimensional (3D) georeferenced point clouds and to generate 0.01 m resolution DEMs for each plot. The thirteen points collected in the immediate neighborhood of each plot (see the previous chapter Experimental plot design) were used as GCPs to assess the accuracy and precision of the DEMs through the computation of the root-mean-square-error (RMSE), mean error, and standard deviation of error (SDE). The working principles of SfM are similar to those of stereoscopic photogrammetry, namely that the 3D model can be created from overlapping, offset images. However, unlike traditional photogrammetry, in which either the position of the camera or the positions of some points are known prior to scene reconstruction (Fonstad et al., 2013; Verhoeven et al., 2012; Westoby et al., 2012), in the SfM, matches are made between points across many photographs without prior knowledge of the camera position (Lowe, 2004).

The images acquired were processed using the commercial software Agisoft PhotoScan®, as already successfully considered in different analyses (Doneus et al., 2011; Javernick et al., 2014; Piermattei et al., 2016; Prosdocimi et al., 2015; Verhoeven et al., 2012; Woodget et al., 2015). A custom algorithm similar to the Lowe's (2004) Scale Invariant Feature Transform (SIFT) object recognition system was used by the software to determine the 3D location of matching features in multiple images. Then, camera position was calculated by estimating the camera's intrinsic (focal length, principal point, and lens



distortion) and extrinsic (projection centre location and the six exterior orientation parameters that define the image) orientation parameters. This was done by using a bundle-adjustment algorithm (Javernick et al., 2014; Robertson and Cipolla, 2009; Verhoeven et al., 2012). Afterwards, the software created a dense surface, usually referred to as *mesh*, by using these parameters and a dense multi-view stereo reconstruction (DMVR) (Agisoft, 2016). The *mesh* was generated in a relative 'image-space' coordinate system (Westoby et al., 2012), and therefore, it required to undergo a linear similarity transformation using seven parameters (three translation, three rotation, and one scaling), based on known GCPs, to be transformed to an absolute coordinate system. The GCPs corresponded to the SfM-targets centroids, whose ~~the~~ x, y and z coordinates were previously recorded with Topcon GRS-1. As the linear similarity transformation could not remove non-linear model misalignments (Woodget et al., 2015), an optimization transformation method was applied to minimize geometric distortions within the *mesh* (Agisoft, 2016). Thereafter the *mesh* was rebuilt and the 3D georeferenced point could be exported. The georeferenced point clouds are referred to in the text as GEOPre<sub>NKN</sub> and GEOPost<sub>NKN</sub>, for those derived from the Nikon camera before and after the rainfall simulation, respectively, and GEOPre<sub>PHO</sub> and GEOPost<sub>PHO</sub> for those derived from the smartphone camera before and after the rainfall simulation, respectively. Furthermore, the number of the plot is also included (1, 2, 3, 4A, 4B, 4C and 4D).

Then, the SfM final point clouds were further manipulated using the open source program CloudCompare® (<http://www.danielgm.net/cc/>) Girardeau-Montaut, 2015) to remove additional noise that typically affects these data

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(Javernick et al., 2014; Prosdocimi et al., 2015). In this case, given the small size of the plots, the noise removal was accomplished manually. Finally, the elevation points were interpolated by the natural ~~neighbours~~neighbor method (Sibson, 1981) to generate 0.01 m resolution DEMs. The DEMs are referred to in the text as DEMPre<sub>NKN</sub> and DEMPost<sub>NKN</sub>, for those derived from the Nikon camera before and after the rainfall simulation, respectively, and DEMPre<sub>PHO</sub> and DEMPost<sub>PHO</sub> for those derived from the smartphone camera before and after the rainfall simulation, respectively. Furthermore, the number of the plot is also included (1, 2, 3, 4A, 4B, 4C and 4D). The DEMsPre<sub>NKN</sub> obtained for each plot are shown in Figure 56.

For the objectives of this work, all the analysis ~~were~~was based on the final DEMs, as done by Bangen et al. (2014), Calligaro et al. (2013), Javernick et al. (2014), Prosdocimi et al. (2015), Tarolli et al. (2015), and Wechsler (2007). The DEMs derived from the smartphone were then directly compared to the DEMs derived from the camera, by assuming a normal distribution and using robust statistical methods (Höhle and Höhle, 2009; Prosdocimi et al., 2015). This entailed the computation of the mean error, SDE, RSME, median, and normalized median absolute deviation (NMAD).

## 2.5. Computation of soil loss

Soil loss was computed for both rainfall simulation and surface elevation change-based methodologies. For rainfall simulation methodology, the runoff samples were used to determine the sediment concentration and, then, the runoff rates and sediment concentration were used to calculate the total soil loss (g). For the surface elevation change-based methodology, SfM was applied

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to obtain high-resolution DEMs before (DEMsPre) and after (DEMsPost) the rainfall simulation. Then, the so-called morphological method (Ashmore and Church, 1998) was used to estimate the soil loss. The morphological method consists in carrying out repeated topographic surveys from which DEMs can be obtained and differenced to produce DEMs of difference (DoDs). The volumes of eroded materials (cm<sup>3</sup>) were computed by considering the DEMsPre and DEMsPost for each plot and for each camera by using the Geomorphic Change Detection (GCD) 6.1.14 toolbar embedded in an ESRI® add-in for ArcGIS 10.X that is freely downloadable from <http://gcd.joewheaton.org/downloads>. Then, the volumes of eroded materials were turned into soil loss expressed in grams, by knowing the bulk density. The GCD allows to compute the volumes of deposited materials too, but, for this work, only eroded materials have been considered, to make a comparison with the soil loss derived from the rainfall simulation methodology. The DoDs are referred to in the text as DoDs<sub>NKN</sub> and DoDs<sub>PHO</sub> for those derived from the Nikon and smartphone cameras, respectively. DEMs' uncertainty in DoDs has also been considered (Brasington et al., 2000; Lane et al., 1994; Lane, 1998; Lane et al., 2003; Prosdocimi et al., 2015; Wheaton, 2008; Wheaton et al., 2010). In this case, DEMs' uncertainties were evaluated according to a probabilistic thresholding that can be carried out with a user-defined confidence interval (Brasington et al., 2003; Lane et al., 2003; Taylor, 1997):

$$U_{crit} = t \left( \sqrt{SDE_{new}^2 + SDE_{old}^2} \right) \quad (1)$$

where  $U_{crit}$  is the critical threshold error propagated in the DoD and  $SDE_{new}$  and  $SDE_{old}$  are the individual standard deviation errors in DEM<sub>new</sub> (post-event) and

DEM<sub>old</sub> (pre-event), respectively.  $U_{crit}$  is based on a critical student's  $t$ -value at a chosen confidence interval where:

$$t = \frac{|z_{DEMnew} - z_{DEMold}|}{\delta u_{DoD}} \quad (2)$$

where  $|z_{DEMnew} - z_{DEMold}|$  is simply the absolute value of the DoD. The probability of a DoD predicted elevation change occurring due the uncertainty can then be calculated by relating the  $t$ -statistic to its cumulative distribution function. In this work, we used the 95% confidence interval as a threshold, as also suggested by [Wheaton et al. \(2010\)](#).

## 2.6. **Sediment connectivity**

Sediment connectivity is defined as the connected transfer of sediment from a source to a sink in a system through processes of sediment detachment and transport ([Bracken et al., 2015](#)). The concept of connectivity ~~is~~has increasingly been used in quantitative process-based sediment dynamics research, especially at catchment scales ([Ali et al., 2014](#); [Baartman et al., 2013](#); [Bracken and Croke, 2007](#); [Bracken et al., 2015](#); [Brierley et al., 2006](#); [Cavalli et al., 2013](#); [Fryirs et al., 2007](#); [Heckmann and Schwanghart, 2013](#); [Lexartza-Artza and Wainwright, 2011](#); [López-Vicente et al., 2013](#); [Wainwright et al., 2011](#)). Geomorphology has been considered as a major driver on determining sediment connectivity ([Heckmann and Schwanghart, 2013](#); [Theler et al., 2010](#)), and geomorphometric indices have increasingly been developed to assess it ([Borselli et al., 2008](#); [Cavalli et al., 2013](#); [López-Vicente et al., 2013](#); [Reid et al., 2007](#); [Sougnéz et al., 2011](#)). In this study we applied the index of connectivity (IC) as proposed by [Cavalli et al. \(2013\)](#) based on the work of [Borselli et al.](#)

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(2008), to evaluate the potential effect of sediment connectivity within the plots. The reasons for this choice relied on the facts that the IC (i) is a distributed geomorphometric index that can be easily derived from a DEM, (ii) can be computed with reference to specific target features, and (iii) has been adapted for high-resolution DEMs. The IC has been developed as a ToolBox for ArcGis 10.1 or as stand-alone application based on Python scripting with bindings for processing geographical datasets. It uses functionalities and algorithms available in TauDEM 5.2 tool (Tarboton 2013) and it is freely downloadable from <http://www.sedalp.eu/download/tools.shtml>. This index mainly focuses on the influence of topography on sediment connectivity, and takes into account the characteristics of the drainage area (upslope component,  $D_{up}$ ) and the flow path length that a particle has to travel to arrive at the nearest sink (downslope component,  $D_{dn}$ ).

The IC is computed as follows:

$$IC = \log_{10} \left( \frac{D_{up}}{D_{dn}} \right) = \log_{10} \left( \frac{\overline{WS} \sqrt{A}}{\sum_i \frac{d_i}{W_i S_i}} \right) \quad (3)$$

where  $\overline{W}$  is the average weighting factor of the upslope contributing area (dimensionless),  $\overline{S}$  is the average slope gradient of the upslope contributing area (m/m),  $A$  is the upslope contributing area ( $m^2$ ),  $d_i$  is the length of the flow path along the  $i^{\text{th}}$  cell according to the steepest downslope direction (m),  $W_i$  and  $S_i$  are the weighting factor and the slope gradient of the  $i^{\text{th}}$  cell, respectively. IC can assume values ranging from  $-\infty$  to  $+\infty$ , with connectivity increasing for larger IC values.

### 3. Results and discussion

#### 3.1 Nikon and smartphone built-in cameras comparisons

Regarding the comparisons between the Nikon and smartphone built-in cameras, the georeferentiation errors (RMSE) calculated by the Agisoft PhotoScan® software along the x, y and z-axes for each SfM point cloud are reported (Table 1). The SfM point clouds show an average error of the order of about 0.01 m along the x-axis, and an even lower order error along the y and z-axes. These good results support the choice of setting the DEMs resolution equal to 0.01 m and can be explained by the fact that: (i) the plots were very small, (ii) the 5 SfM-targets were well distributed over each plot, and (iii) the pictures were taken in a correct way, thanks to the support used, the expedient of shooting photographs inside the tarpaulin, and the short distance between the position of the cameras and the plots (about 1 m). Furthermore, differences between the DEMs<sub>PHO</sub> and DEMs<sub>NKN</sub> for the unthresholded DEMs (where no uncertainty analysis was carried out) were also evaluated with accuracy measures assuming a normal distribution and more robust parameters too (Table 2). From Table 2, emerges that all the DEMs<sub>PHO</sub> are comparable to DEMs<sub>NKN</sub>. Mean values are of the order of about 0.0001 m and SDE values of the order of about 0.001 m. Skewness and kurtosis confirm the fact that the elevation differences do not follow normal distributions (Höhle and Höhle, 2009; Sofia et al., 2013), and this supports the choice of considering more robust

parameters too such as NMAD and median. However, also when considering these more robust approaches, DEMs<sub>PHO</sub> confirm to be comparable to DEMs<sub>NKN</sub>, showing NMAD and median values of the order of about 0.001 and 0.001 m, respectively.

### 3.2 Soil loss

Figure 67 shows the DoDs derived from SfM, by considering the DEMs<sub>PreNKN</sub> and DEMs<sub>PostNKN</sub> for each plot, thresholded according to the probabilistic thresholding with a 95% confidence interval. The fact that, the thresholding of DoDs entails a loss of information, is expected and occurs at the expense of a better geomorphic plausibility (Wheaton et al., 2010). Elevation differences range from negative values (red colour), to which correspond net eroded sediments, to positive values (blue colour), to which correspond net deposited sediments. From Figure 67 emerges that plots 1, 2, 3 and 4A mainly show negative elevation differences. This means that the single simulated rainfall event caused more erosion than deposition, and this can be explained by the fact that the plots, at the beginning, have more material which is prone to be washed away. In contrast, plots 4B, 4C and 4D show greater elevation differences. This suggests that, as rainfall events follow one another, the soil particles, that are susceptible to be eroded, diminish, and therefore, the soil shows elevation differences which are closer to zero values, where zero corresponds exactly to no difference at all between before and after the rainstorm.

Figure 78 shows the soil loss data, expressed in grams, derived from both the methodologies applied. For the surface elevation change-based method, the data coming from the DoDs obtained with both the Nikon and smartphone

cameras are reported. From Figure 78 emerges how the soil loss data estimated with the two methodologies are not comparable with each other, especially for the plots 1, 2, 3 and 4A, where only a single rainstorm was artificially reproduced. On the contrary, soil loss data derived from the same methodology, namely surface elevation change-based, are comparable with each other, independently from the type of camera used. Soil loss derived from the surface elevation change-based method result to be of two orders of magnitude greater than the one obtained with rainfall simulation. However, this discrepancy is in line with the processes that are involved and analysed with the two different methodologies. Rainfall simulation accounts for splash and initial inter-rill erosion processes and allows to study the impact of rain drops on sediment detachment, transport and runoff initiation. However, when it rains the water is able to disintegrate some of the soil aggregates, leading to the collapse of micro-pores and to the surface seal formation. Furthermore, the water that infiltrates makes also the soil heavier, causing a lowering of the soil surface, which is the process that DoDs are able to detect. To overcome this discrepancy between the two methodologies, sediment connectivity within the plots has been taken into consideration too.

### 3.3 Sediment connectivity analysis

Other than rainfall intensity and kinetic energy, also micro-topography plays a key role in the collection of eroded materials, especially when the experiments are carried out at very fine scales, as in our case. To prove this, Figure 89 shows the maps of the connectivity index calculated with regard to the plots outlets, by considering, as inputs, the DEMsPre<sub>NKN</sub>. As no reference theory exists for the partitioning of the connectivity index into classes, we relied on the



same classification provided by [Tarolli and Sofia \(2016\)](#), in which they proposed to adopt a relative classification into four classes (High, Medium-High, Medium-Low and Low) by considering break points that best grouped similar values and maximized the differences between classes (natural breaks).

From Figure [89](#) emerges how (i) each plot has different patterns of sediment connectivity, which vary whether or not consecutive rainstorms occur (Figure [89d](#), e, f and g), and (ii) not all the soil within the plots is connected to the outlet. This proves the fact that the placement of the plots in the field is extremely important because micro-reliefs with their roughness can facilitate sediment dis-connectivity. The portions of soil that are more connected to the outlet are those that are closer to it. Therefore, these portions, which correspond to the Medium-High and High classes of the connectivity index maps, are reasonably those that will be more prone to erosion, once the rainstorm occurs. As a consequence, by masking the elevation differences maps (Figure [67](#)) with the Medium-High and High classes of the connectivity index maps (Figure [89](#)), we re-computed the soil loss derived from the surface elevation change-based method, considering both the Nikon ( $DoDs_{NKN}$  IC) and smartphone ( $DoDs_{PHO}$  IC) DoDs (Figure [910](#)).

Differently from what emerged from Figure [78](#), Figure [910](#) illustrates that the soil loss data, estimated with the two methodologies, are of the same order of magnitude, as long as the sediment connectivity within the plot is taken into consideration. These results confirm the importance of micro-topography in the sediment connectivity and, consequently, in the estimation of eroded materials.

#### 4. Conclusions

In this work, we quantified the soil losses caused by water and compared them with each other, depending on two different methodologies applied: rainfall simulation and surface elevation change-based, relying on high-resolution DEMs derived from SfM. The experiments were carried out in a typical Mediterranean vineyard, under tillage conditions, at very fine scales. SfM data were derived from one standalone digital reflex camera and a smartphone built-in camera. We also applied an index of connectivity (IC) to evaluate the potential effect of sediment connectivity within the plots. Compared to the DEMs<sub>NKN</sub>, we evaluated the DEMs<sub>PHO</sub> in terms of (i) accuracy, and (ii) capability to estimate soil loss with regard to the results derived from the rainfall simulation methodology. In terms of accuracy, the DEMs<sub>PHO</sub> revealed to be comparable with the DEMs<sub>NKN</sub>, by assuming a normal distribution of errors and with more robust parameters too. Also regarding the estimation of soil losses, caused by the rainstorms artificially reproduced, through the surface elevation change-based methodology, the results between the two different types of cameras used were comparable with each other. What they differed from was the soil losses data estimated with the rainfall simulation. However, this discrepancy was overcome when the sediment connectivity within the plot was taken into consideration by computing the IC index. In conclusion, high-resolution topography derived from SfM revealed to be essential in the sediment connectivity analysis and, therefore, this, proved to play a key role in the estimation of eroded materials, if compared them to those derived from

another methodology such as the rainfall simulation. SfM confirmed to be a useful approach to quantify topographic changes in agricultural lands, also at very fine scales, and revealed to be capable of detecting the more random changes, less easily traceable, induced by the rainstorms. In addition, the fact that smartphones built-in cameras can produce as much satisfying results as those derived from standalone digital reflex cameras is undoubtedly a high value added. Nowadays, smartphones are commonly available for anyone, from farmers to researchers, and will become increasingly important for fast and cheap post-event analyses, as long as they are provided with a high-resolution camera. The increasing development of computer vision technologies and digital camera sensors makes the process of taking good pictures quite easy. A farmer would require few hours of training to learn how to take good pictures of a specific case study, i.e. a rill process, located in its own land. Afterwards, he would be completely independent during the whole field survey, and then he could send the pictures taken to a researcher for further analyses. In this way, the farmer could easily keep monitoring some of the erosion processes that occur in his land and the researcher could provide him quantitative information about net erosion and deposition rates. However, it also should be said that the spatial scale plays a fundamental role in the feasibility of using smartphones for post-event analyses. For erosion processes that occur at field or catchment scales, the use of aerial photogrammetry, supported by the increasing diffusion of UAVs, is more recommended.

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#### TABLES CAPTIONS

Table 1 Georeferentiation errors (RMSE) calculated by Agisoft PhotoScan® along the x, y and z-axes for each point cloud derived from SfM technique. GEOPre<sub>NKN</sub> and GEOPost<sub>NKN</sub> refer to the point clouds derived from the Nikon camera before and after the rainfall simulation, respectively, and GEOPre<sub>PHO</sub> and GEOPost<sub>PHO</sub> for those derived from the smartphone camera before and after the rainfall simulation, respectively. The number of the plot is also included (1, 2, 3, 4A, 4B, 4C and 4D).

Table 2 Accuracy measures of DEMs<sub>PHO</sub> checked by DEMs<sub>NKN</sub> with the assumption of normal distribution and more robust parameters too. DEMPre<sub>NKN</sub> and DEMPost<sub>NKN</sub> refer to DEMs derived from the Nikon camera before and after the rainfall simulation, respectively, and DEMPre<sub>PHO</sub> and DEMPost<sub>PHO</sub> for those derived from the smartphone camera before and after the rainfall simulation,



respectively. The number of the plot is also included (1, 2, 3, 4A, 4B, 4C and 4D).

## FIGURE CAPTIONS

Figure 1 Examples of soil water erosion processes caused by a 40 mm in 30 min thunderstorm occurred in mid-June 2015 in the study area. The white arrows point out a gully (a) and a rill (b).

Figure 2 Visual perspective of the tilled inter-rows where the tractor wheel tracks are well visible (black arrows) (a). The white arrows stress the soil sediments that were transported following the 40 mm in 30 min thunderstorm occurred in mid-June 2015.

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~~Figure 3~~ Figure 3 Walter-Lieth climate diagram (Walter and Lieth, 1960) computed for the Ontinyent climate station as it is the one with the longest records (29 years) closest to our study site (about 17 km). The information above the panel corresponds to station location, the period of years recorded, the mean annual temperature and the mean annual precipitation.

Figure 4 Localization of the study areas (a), that correspond to the four circular plots (1, 2, 3 and 4) where the rainfall simulation and photogrammetric surveys were carried out. Views of the rainfall simulator (b) and of the rainfall simulation experiment in action (c) are also shown.

Figure 45 Two visual perspectives of the support used to take the pictures. The support consists in a main pole, 1 m high, with two boxes that stick out the main pole for 0.6 m (a) and are 0.3 m far from each other (b). The boxes were designed to hold the cameras with the lens downwards facing.

Figure [56](#) DEMsPre<sub>NKN</sub> (0.01 m resolution) obtained for each plot: (a) DEMs1Pre<sub>NKN</sub>, (b) DEMs2Pre<sub>NKN</sub>, (c) DEMs3Pre<sub>NKN</sub>, (d) DEMs4APre<sub>NKN</sub>, (e) DEMs4BPre<sub>NKN</sub>, (f) DEMs4CPre<sub>NKN</sub>, and (g) DEMs4DPre<sub>NKN</sub>.

Figure [67](#) DoDs derived from the Nikon dataset, thresholded according to the probabilistic thresholding with a 95% confidence interval and obtained for each plot: (a) Plot 1, (b) Plot 2, (c) Plot 3, (d) Plot 4A, (e) Plot 4B, (f) Plot 4C, and (g) Plot 4D.

Figure [78](#) Soil loss data, expressed in grams, derived for each plot from both the methodologies applied: rainfall simulation and surface elevation change-based relying on DoDs. DoDs<sub>NKN</sub> and DoDs<sub>PHO</sub> refer to soil loss estimated from Nikon and smartphone cameras, respectively.

Figure [89](#) Connectivity index maps calculated with regard to the plots outlets, by considering, as inputs, the DEMsPre<sub>NKN</sub>, for each plot: (a) Plot 1, (b) Plot 2, (c) Plot 3, (d) Plot 4A, (e) Plot 4B, (f) Plot 4C, and (g) Plot 4D.

Figure [910](#) Soil loss data, expressed in grams, derived for each plot from both the methodologies applied: rainfall simulation and surface elevation change-based relying on DoDs. DoDs<sub>NKN</sub> and DoDs<sub>PHO</sub> refer to soil loss estimated from Nikon and smartphone cameras, respectively. DoDs<sub>NKN</sub> IC and DoDs<sub>PHO</sub> IC refer to soil loss estimated from Nikon and smartphone cameras, respectively, by considering the connectivity index computed according to the DEMsPre.

1       **Rainfall simulation and Structure-from-Motion photogrammetry for the**  
2               **analysis of soil water erosion in Mediterranean vineyards**

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26 **Abstract**

27 Soil water erosion is a serious problem, especially in agricultural lands. Among  
28 these, vineyards deserve attention, because they constitute for the  
29 Mediterranean areas a type of land use affected by high soil losses. A  
30 significant problem related to the study of soil water erosion in these areas  
31 consists in the lack of a standardized procedure of collecting data and reporting  
32 results, mainly due to a variability among the measurement methods applied.  
33 Given this issue and the seriousness of soil water erosion in Mediterranean  
34 vineyards, this work aims to quantify the soil losses caused by simulated  
35 rainstorms, and compare them with each other depending on two different  
36 methodologies: (i) rainfall simulation and (ii) surface elevation change-based,  
37 relying on high-resolution Digital Elevation Models (DEMs) derived from a  
38 photogrammetric technique (Structure-from-Motion or SfM). The experiments  
39 were carried out in a typical Mediterranean vineyard, located in eastern Spain,  
40 at very fine scales. SfM data were obtained from one reflex camera and a  
41 smartphone built-in camera. An index of sediment connectivity was also applied  
42 to evaluate the potential effect of connectivity within the plots. DEMs derived  
43 from the smartphone and the reflex camera were comparable with each other in  
44 terms of accuracy and capability of estimating soil loss. Furthermore, soil loss  
45 estimated with the surface elevation change-based method resulted to be of the  
46 same order of magnitude of that one obtained with rainfall simulation, as long  
47 as the sediment connectivity within the plot was considered. High-resolution  
48 topography derived from SfM revealed to be essential in the sediment  
49 connectivity analysis and, therefore, in the estimation of eroded materials, when  
50 comparing them to those derived from the rainfall simulation methodology. The

51 fact that smartphones built-in cameras could produce as much satisfying results  
52 as those derived from reflex cameras is a high value added for using SfM.

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74 Keywords: soil water erosion, Mediterranean vineyards, rainfall simulation,

75 Structure from Motion, sediment connectivity.

## 76 **1. Introduction**

77 Throughout the world, soil erosion by water is a serious problem, especially in  
78 semi-arid and semi-humid areas (Cerdà et al., 2009, 2015; Cerdan et al., 2010;  
79 García-Ruiz, 2010; Ligonja and Shrestha, 2015; Novara et al., 2016; Taguas et  
80 al., 2015; Rodrigo Comino et al., 2016a). Although soil erosion by water  
81 consists of physical processes that vary significantly in severity and frequency  
82 according to when and where they occur, they are also strongly influenced by  
83 anthropic factors such as land-use changes on large scales and unsustainable  
84 farming practices (Cerdà, 2000; León et al., 2015; López Vicente et al., 2015;  
85 Ochoa-Cueva et al., 2015; Montgomery, 2007; Mwangi et al., 2016; Nanko et  
86 al., 2015; Tarolli et al., 2014). This has led to the definition of 'accelerated' soil  
87 erosion as being the result of human impact on the landscape (Tarolli and  
88 Sofia, 2016) and this is found in all the continents (Borrelli et al., 2015, Cao et  
89 al., 2015; Gessesse et al., 2015; Rodrigo Comino et al., 2016b).

90 The impact of soil erosion on modern society has required to set threshold  
91 values against which to assess the monitoring of soil data, especially in  
92 agriculture (Montgomery, 2007). Among the cultivated lands, vineyards merit a  
93 particular attention, because, aside from representing one of the most important  
94 crops in terms of income and employment, they also constitute, for the  
95 Mediterranean areas, a form of agricultural land use that causes the highest soil  
96 losses (Cerdà and Doerr, 2007; Cerdan et al., 2010; Martínez-Casasnovas and  
97 Sánchez-Bosch, 2000; Prosdocimi et al., 2016a; Raclot et al., 2009; Rodrigo  
98 Comino et al., 2015; Rodrigo Comino et al., 2016c). One of the main reasons  
99 for this is the bare soil under the vines that is exposed to high intensity rainfall  
100 events, mainly concentrated in spring, autumn and winter, which characterize

101 the Mediterranean climate ([Arnáez et al., 2007](#); [Borga et al., 2011](#); [García-Ruiz,](#)  
102 [2010](#); [Prosdocimi et al., 2016a](#)). For this cultivation, the two most common soil  
103 management techniques are considered to be tillage, where the weeds are  
104 usually removed mechanically, and no-tillage, where the weeds are usually  
105 removed chemically ([Novara et al., 2011](#); [Raclot et al., 2009](#)), and both of them  
106 generally turn out in bare soil management during the whole year. Extreme  
107 rainfall events that occur in the Mediterranean area are able to cause significant  
108 soil water erosion processes, especially when no protective material covers the  
109 soil (Figure 1) ([Bisantino et al., 2015](#); [Keesstra et al., 2016](#); [Novara et al., 2016](#);  
110 [Prosdocimi et al., 2016c](#)). However, to reduce the high soil erosion rates, more  
111 conservation-minded soil management practices have also been used such as  
112 mulching ([Cerdà et al., 2015](#); [Costantini et al., 2015](#); [Jordán et al., 2011](#);  
113 [Prosdocimi et al., 2016b,c](#)), cover crops ([Novara et al., 2011](#)), rock fragments  
114 ([Blavet et al., 2009](#)), natural grassing ([Grimaldi et al., 2015](#); [Mekonnen et al.,](#)  
115 [2015a](#); [Mekuria et al., 2016](#); [Raclot et al., 2009](#)) and geotextiles ([Giménez-](#)  
116 [Morera et al., 2010](#); [Mekonnen et al., 2015b](#); [Mengistu et al., 2016](#)).

117 Furthermore, new approaches to evaluate incentives for the adoption of agri-  
118 environment measures in degraded and eroded vineyards have been  
119 implemented ([Galati et al., 2015](#)) and mulching is one of those successful  
120 strategies ([Prosdocimi et al., 2016c](#)).

121 Another issue related to soil water erosion in Mediterranean vineyards is the  
122 lack of a standardized procedure of collecting data and reporting results, mainly  
123 due to a great variability among the measurement methods applied to quantify it  
124 ([Prosdocimi et al., 2016a](#); [García-Ruiz et al., 2015](#)). This induces difficulties in  
125 comparing data coming from different studies and obtained with different



126 methodologies. Based on the paper review of [Prosdocimi et al. \(2016a\)](#), six  
127 different methodologies to assess soil water erosion in vineyards have been  
128 identified: (i) experimental plot stations under simulated or natural rainfalls, (ii)  
129 erosion markers, (iii) models, (iv) the surface elevation change-based methods,  
130 (v) geochemical methods, and (vi) carbon stable isotopes. This works focuses  
131 on the use of plot stations under simulated rainfall and on the surface elevation  
132 change-based method. Rainfall simulation has become a very effective  
133 technique for assessing soil erosion, particle detachment and overland flow at  
134 very fine scales ([Arnáez et al., 2007](#); [Cerdà et al., 1997](#); [Iserloh et al., 2013](#);  
135 [Rodrigo Comino et al., 2016b](#)). Several types and designs of rainfall simulators  
136 have been realized to meet the objectives of researchers ([Iserloh et al., 2013](#);  
137 [Lassu et al., 2015](#)). In particular, the advantages of using a portable rainfall  
138 simulator are: i) its versatility, ii) low cost and easy operation, and iii) capability  
139 of obtaining data under controlled conditions and over relatively short periods of  
140 time. The surface elevation change-based method is able to detect the  
141 topographic changes over time. It relies on Digital Elevation Models (DEMs) that  
142 can be used as basic topographic information to derive morphometric attributes  
143 and quantify soil erosion and deposition rates ([Martínez-Casasnovas and](#)  
144 [Sánchez-Bosch, 2000](#); [Martínez-Casasnovas et al., 2002](#); [Prosdocimi et al.,](#)  
145 [2015](#)). Remote-sensing technologies have proven to facilitate significantly the  
146 creation of high-resolution DEMs ([Aucelli et al., 2016](#); [Tarolli, 2014](#); [Tarolli et al.,](#)  
147 [2015](#)), and the availability of DEMs at multiple scales in terms of resolution but  
148 also temporal coverage is becoming essential to the understanding of global  
149 issues, such sediment production and anthropogenic changes to the Earth  
150 system, among others ([Sofia et al., 2016](#)). The recent development of the

151 photogrammetric technique 'Structure-from-Motion' (SfM) has confirmed to  
152 represent a valid and cheaper alternative to the established airborne and  
153 terrestrial lidar (Light Detection and Ranging) technology for measuring soil  
154 surface changes in different environments ([Dandois and Ellis, 2013](#); [Eltner et al., 2015](#); [James and Robson, 2012](#); [Masiero et al., 2015](#); [Piermattei et al., 2016](#); [Westoby et al., 2012](#); [Whitehead et al., 2013](#); [Woodget et al., 2015](#)). All  
155 this information can shed light into the connectivity within the soil and water  
156 losses ([López-Vicente et al., 2016](#); [Marchamalo et al., 2016](#); [Masselink et al., 2016](#)).  
157 The growing interest for SfM has been enhanced by the fact that it is a user-  
158 friendly technique, and that it can also rely on smartphone built-in cameras  
159 ([Masiero and Vettore, 2016](#); [Micheletti et al., 2014](#); [Prosdocimi et al., 2015](#)) and  
160 on the diffusion of unmanned aerial vehicles (UAVs) ([Chen et al., 2015](#);  
161 [Colomina and Molina, 2014](#)).  
162 Given the seriousness of soil water erosion in Mediterranean agricultural lands  
163 and the issue of putting data obtained with different methodologies in relation to  
164 each other, this work intends to quantify the soil losses caused by simulated  
165 rainstorms, and compare them with each other depending on two different  
166 methodologies used: (i) rainfall simulation and (ii) surface elevation change-  
167 based, relying on high-resolution DEMs derived from SfM. Furthermore, this  
168 work aims to compare the results obtained from SfM with each other, depending  
169 on the type of camera used. The objectives are pursued by carrying out the  
170 experiments in a typical Mediterranean vineyard, under tillage conditions,  
171 located within the province of Valencia (Spain), at very fine scales (0.25 m<sup>2</sup>).  
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## 176 2. Material and Methods

### 177 2.1. Study area

178 The study area consists in a 25-year-old vineyard, located at El Celler del Roure  
179 in Les Alcusses de Moixent, within the Canyoles river watershed in the province  
180 of Valencia (La Costera District, eastern Spain) (38° 48' 33.12" N, 0° 49' 3.27"  
181 O). Vines are located parallel to the contour lines and the inter-rows, which are  
182 about 2.5 m wide, are artificially maintained bare during the whole year through  
183 tillage operations carried out with a Landini Rex 95 tractor which adopts a tooth  
184 arrow as farm implement. The portion affected by the tractor wheel tracks  
185 results to be about 36% of the total inter-row area (Figure 2). Climate is typically  
186 Mediterranean with 3-5 months of summer drought (June-September). Mean  
187 annual rainfall is about 350 mm yr<sup>-1</sup>. Rainfall is distributed amongst autumn,  
188 winter and spring, with maximum peak rainfall intensities during the autumn  
189 season, where values higher of 200 mm day<sup>-1</sup> were recorded during the last 50  
190 years. Mean annual temperature is about 13.8°C while the hottest month  
191 (August) has average temperatures of about 23°C. The parent materials in this  
192 area belong to Cretaceous limestones and Tertiary Marly deposits that develop  
193 Typic Xerothent soils ([Soil Survey Staff, 1998](#)). The soils are characterized by  
194 low levels of soil organic matter (< 1%) due to the millennia of agricultural use  
195 and soil disturbance (ploughing), basic pH (8) ([Prosdocimi et al., 2016b](#)), sandy  
196 loam soil textures (clay 19.3%, silt 13.4% and sand 67.3%), and low bulk  
197 density (1.109 g cm<sup>-3</sup>).

198 To better characterize the climate of our study site, Walter-Lieth climate  
199 diagram ([Walter and Lieth, 1960](#)) has been obtained using data derived from

200 Ontinyent climate station as it is the one with the longest records (29 years)  
201 closest to the study site (about 17 km) (Figure 3). The diagram displays monthly  
202 averages for temperature and precipitation over a year. When the precipitation  
203 curve undercuts the temperature curve, the area in between them indicates dry  
204 season. When the precipitation curve supersedes the temperature curve, the  
205 area in between them indicates moist season. For further information, readers  
206 may refer to <http://www.globalbioclimatics.org/>.

## 207 **2.2. Experimental plot design**

208 Four circular steel plots (0.25 m<sup>2</sup>) were located in the bare inter-rows of the  
209 vines managed with conventional tillage, and are referred to in the text as 1, 2,  
210 3 and 4. Each plot was placed in a different inter-row and had an outlet, which  
211 allowed to converge and collect the surface runoff samples during the runoff  
212 simulation experiments. For each plot, five targets (SfM-targets), made of black  
213 and white polythene squares, were used: four (5.5 cm x 5.5 cm) were placed  
214 outside the circular plots and one (2.5 cm x 2.5 cm) inside the plot (Figure 4).  
215 SfM-targets centroids were surveyed using a Topcon GRS-1 rover receiver  
216 running in real time kinematic (RTK) mode. In addition, other thirteen ground-  
217 control points (GCPs) were surveyed in the immediate neighborhood of each  
218 plot.

## 219 **2.3. Rainfall simulation**

220 A one-nozzle (Hardi-1553-12) rainfall simulator was used to reproduce seven  
221 rainstorms at 55 mm h<sup>-1</sup> rainfall intensity for one hour on the 4 circular plots of  
222 0.25 m<sup>2</sup>. For plots 1, 2 and 3, a single rainfall experiment was carried out, while

223 for plot 4, four rainfall experiments were carried out during four consecutive  
224 days, and are referred to in the text as 4A, 4B, 4C and 4D. Storms similar to the  
225 ones simulated have a return period of 10 years in the study area (Cerdà, 1996;  
226 Prosdocimi et al., 2016b). The rainfall simulator used was the one described by  
227 Cerdà et al. (1997) because it revealed to be effective in rugged terrain  
228 conditions proving to give good results in semi-arid environments. Its basic  
229 components are a nozzle, a structure that holds the nozzle, the connection with  
230 the water supply, the pumping system and a tarpaulin to protect the rainfall  
231 simulation from wind. As the nozzle was kept at about 2 m height over a plane  
232 surface, the 0.25 m<sup>2</sup> plots were established at the centre of the 1 m<sup>2</sup> sprinkling  
233 area, to avoid border interference. Readers are referred to Cerdà et al. (1997)  
234 and Iserloh et al. (2013) for a further description of the rainfall simulator used  
235 and Cerdà (1996; 1997) for more information about the distribution of rainfall  
236 parameters. Surface runoff from the plots were collected and measured at 1-  
237 min intervals during each simulated rainfall event. Every tenth 1-min runoff  
238 sample was collected for laboratory analysis in order to determine sediment  
239 concentration, that was obtained after the desiccation of the samples in the  
240 laboratory. Then, runoff rates and sediment concentration were used to  
241 calculate the soil loss, runoff, runoff coefficient, and erosion rates.

#### 242 **2.4. Surface elevation changes through Structure-from-motion**

243 Photographs of each plot were taken using two different types of camera: (i) a  
244 standalone digital reflex camera (Nikon D3000 at 10.2 MP resolution, set at a  
245 focal length of 35 mm) and (ii) a smartphone, precisely a BQ Aquaris E5, built-in  
246 camera (13 MP resolution) with both automatic focusing and exposure enabled.

247 The choice of using two cameras was due to test the effectiveness of SfM, also  
248 when it relies on an image dataset derived from a smartphone. Twenty  
249 photographs were taken before and after the rainfall simulation using each  
250 camera. A 1 m high support having two boxes, that were 0.3 m far from each  
251 other and capable of holding the cameras, was used to take the pictures (Figure  
252 5). Photographs were taken inside the rainfall simulator covered by the tarpaulin  
253 to have a homogeneous light over the plots.

254 The SfM technique was then used to obtain three-dimensional (3D)  
255 georeferenced point clouds and to generate 0.01 m resolution DEMs for each  
256 plot. The thirteen points collected in the immediate neighborhood of each plot  
257 (see the previous chapter Experimental plot design) were used as GCPs to  
258 assess the accuracy and precision of the DEMs through the computation of the  
259 root-mean-square-error (RMSE), mean error, and standard deviation of error  
260 (SDE). The working principles of SfM are similar to those of stereoscopic  
261 photogrammetry, namely that the 3D model can be created from overlapping,  
262 offset images. However, unlike traditional photogrammetry, in which either the  
263 position of the camera or the positions of some points are known prior to scene  
264 reconstruction (Fonstad et al., 2013; Verhoeven et al., 2012; Westoby et al.,  
265 2012), in the SfM, matches are made between points across many photographs  
266 without prior knowledge of the camera position (Lowe, 2004).

267 The images acquired were processed using the commercial software Agisoft  
268 PhotoScan®, as already successfully considered in different analyses (Doneus  
269 et al., 2011; Javernick et al., 2014; Piermattei et al., 2016; Prosdocimi et al.,  
270 2015; Verhoeven et al., 2012; Woodget et al., 2015). A custom algorithm similar  
271 to the Lowe's (2004) Scale Invariant Feature Transform (SIFT) object

272 recognition system was used by the software to determine the 3D location of  
273 matching features in multiple images. Then, camera position was calculated by  
274 estimating the camera's intrinsic (focal length, principal point, and lens  
275 distortion) and extrinsic (projection centre location and the six exterior  
276 orientation parameters that define the image) orientation parameters. This was  
277 done by using a bundle-adjustment algorithm ([Javernick et al., 2014](#); [Robertson  
278 and Cipolla, 2009](#); [Verhoeven et al., 2012](#)). Afterwards, the software created a  
279 dense surface, usually referred to as *mesh*, by using these parameters and a  
280 dense multi-view stereo reconstruction (DMVR) ([Agisoft, 2016](#)). The *mesh* was  
281 generated in a relative 'image-space' coordinate system ([Westoby et al., 2012](#)),  
282 and therefore, it required to undergo a linear similarity transformation using  
283 seven parameters (three translation, three rotation, and one scaling), based on  
284 known GCPs, to be transformed to an absolute coordinate system. The GCPs  
285 corresponded to the SfM-targets centroids, whose x, y and z coordinates were  
286 previously recorded with Topcon GRS-1. As the linear similarity transformation  
287 could not remove non-linear model misalignments ([Woodget et al., 2015](#)), an  
288 optimization transformation method was applied to minimize geometric  
289 distortions within the *mesh* ([Agisoft, 2016](#)). Thereafter the mesh was rebuilt and  
290 the 3D georeferenced point could be exported. The georeferenced point clouds  
291 are referred to in the text as GEOPre<sub>NKN</sub> and GEOPost<sub>NKN</sub>, for those derived  
292 from the Nikon camera before and after the rainfall simulation, respectively, and  
293 GEOPre<sub>PHO</sub> and GEOPost<sub>PHO</sub> for those derived from the smartphone camera  
294 before and after the rainfall simulation, respectively. Furthermore, the number of  
295 the plot is also included (1, 2, 3, 4A, 4B, 4C and 4D).

296 Then, the SfM final point clouds were further manipulated using the open  
297 source program CloudCompare® ([Girardeau-Montaut, 2015](#)) to remove  
298 additional noise that typically affects these data ([Javernick et al., 2014](#);  
299 [Prosdocimi et al., 2015](#)). In this case, given the small size of the plots, the noise  
300 removal was accomplished manually. Finally, the elevation points were  
301 interpolated by the natural neighbor method ([Sibson, 1981](#)) to generate 0.01 m  
302 resolution DEMs. The DEMs are referred to in the text as DEMPre<sub>NKN</sub> and  
303 DEMPost<sub>NKN</sub>, for those derived from the Nikon camera before and after the  
304 rainfall simulation, respectively, and DEMPre<sub>PHO</sub> and DEMPost<sub>PHO</sub> for those  
305 derived from the smartphone camera before and after the rainfall simulation,  
306 respectively. Furthermore, the number of the plot is also included (1, 2, 3, 4A,  
307 4B, 4C and 4D). The DEMsPre<sub>NKN</sub> obtained for each plot are shown in Figure 6.  
308 For the objectives of this work, all the analysis was based on the final DEMs, as  
309 done by [Bangen et al. \(2014\)](#), [Calligaro et al. \(2013\)](#), [Javernick et al. \(2014\)](#),  
310 [Prosdocimi et al. \(2015\)](#), [Tarolli et al. \(2015\)](#), and [Wechsler \(2007\)](#). The DEMs  
311 derived from the smartphone were then directly compared to the DEMs derived  
312 from the camera, by assuming a normal distribution and using robust statistical  
313 methods ([Höhle and Höhle, 2009](#); [Prosdocimi et al., 2015](#)). This entailed the  
314 computation of the mean error, SDE, RSME, median, and normalized median  
315 absolute deviation (NMAD).

## 316 **2.5. Computation of soil loss**

317 Soil loss was computed for both rainfall simulation and surface elevation  
318 change-based methodologies. For rainfall simulation methodology, the runoff  
319 samples were used to determine the sediment concentration and, then, the



320 runoff rates and sediment concentration were used to calculate the total soil  
321 loss (g). For the surface elevation change-based methodology, SfM was applied  
322 to obtain high-resolution DEMs before (DEMsPre) and after (DEMsPost) the  
323 rainfall simulation. Then, the so-called morphological method (Ashmore and  
324 Church, 1998) was used to estimate the soil loss. The morphological method  
325 consists in carrying out repeated topographic surveys from which DEMs can be  
326 obtained and differenced to produce DEMs of difference (DoDs). The volumes  
327 of eroded materials (cm<sup>3</sup>) were computed by considering the DEMsPre and  
328 DEMsPost for each plot and for each camera by using the Geomorphic Change  
329 Detection (GCD) 6.1.14 toolbar embedded in an ESRI® add-in for ArcGIS 10.X  
330 that is freely downloadable from <http://gcd.joewheaton.org/downloads>. Then,  
331 the volumes of eroded materials were turned into soil loss expressed in grams,  
332 by knowing the bulk density. The GCD allows to compute the volumes of  
333 deposited materials too, but, for this work, only eroded materials have been  
334 considered, to make a comparison with the soil loss derived from the rainfall  
335 simulation methodology. The DoDs are referred to in the text as DoDs<sub>NKN</sub> and  
336 DoDs<sub>PHO</sub> for those derived from the Nikon and smartphone cameras,  
337 respectively. DEMs' uncertainty in DoDs has also been considered (Brasington  
338 et al., 2000; Lane et al., 1994; Lane, 1998; Lane et al., 2003; Prosdocimi et al.,  
339 2015; Wheaton, 2008; Wheaton et al., 2010). In this case, DEMs' uncertainties  
340 were evaluated according to a probabilistic thresholding that can be carried out  
341 with a user-defined confidence interval (Brasington et al., 2003; Lane et al.,  
342 2003; Taylor, 1997):

$$343 \quad U_{crit} = t \left( \sqrt{SDE_{new}^2 + SDE_{old}^2} \right) \quad (1)$$

344 where  $U_{crit}$  is the critical threshold error propagated in the DoD and  $SDE_{new}$  and  
345  $SDE_{old}$  are the individual standard deviation errors in DEM<sub>new</sub> (post-event) and  
346 DEM<sub>old</sub> (pre-event), respectively.  $U_{crit}$  is based on a critical student's  $t$ -value at  
347 a chosen confidence interval where:

$$348 \quad t = \frac{|z_{DEM_{new}} - z_{DEM_{old}}|}{\delta u_{DoD}} \quad (2)$$

349 where  $|z_{DEM_{new}} - z_{DEM_{old}}|$  is simply the absolute value of the DoD. The probability  
350 of a DoD predicted elevation change occurring due the uncertainty can then be  
351 calculated by relating the  $t$ -statistic to its cumulative distribution function. In this  
352 work, we used the 95% confidence interval as a threshold, as also suggested  
353 by [Wheaton et al. \(2010\)](#).

## 354 **2.6. Sediment connectivity**

355 Sediment connectivity is defined as the connected transfer of sediment from a  
356 source to a sink in a system through processes of sediment detachment and  
357 transport ([Bracken et al., 2015](#)). The concept of connectivity has increasingly  
358 been used in quantitative process-based sediment dynamics research,  
359 especially at catchment scales ([Ali et al., 2014](#); [Baartman et al., 2013](#); [Bracken  
360 and Croke, 2007](#); [Bracken et al., 2015](#); [Brierley et al., 2006](#); [Cavalli et al., 2013](#);  
361 [Fryirs et al., 2007](#); [Heckmann and Schwanghart, 2013](#); [Lexartza-Artza and  
362 Wainwright, 2011](#); [López-Vicente et al., 2013](#); [Wainwright et al., 2011](#)).

363 Geomorphology has been considered as a major driver on determining  
364 sediment connectivity ([Heckmann and Schwanghart, 2013](#); [Theler et al., 2010](#)),  
365 and geomorphometric indices have increasingly been developed to assess it  
366 ([Borselli et al., 2008](#); [Cavalli et al., 2013](#); [López-Vicente et al., 2013](#); [Reid et al.,](#)

367 2007; Sougnez et al., 2011). In this study we applied the index of connectivity  
 368 (IC) as proposed by Cavalli et al. (2013) based on the work of Borselli et al.  
 369 (2008), to evaluate the potential effect of sediment connectivity within the plots.  
 370 The reasons for this choice relied on the facts that the IC (i) is a distributed  
 371 geomorphometric index that can be easily derived from a DEM, (ii) can be  
 372 computed with reference to specific target features, and (iii) has been adapted  
 373 for high-resolution DEMs. The IC has been developed as a ToolBox for ArcGis  
 374 10.1 or as stand-alone application based on Python scripting with bindings for  
 375 processing geographical datasets. It uses functionalities and algorithms  
 376 available in TauDEM 5.2 tool (Tarboton 2013) and it is freely downloadable from  
 377 <http://www.sedalp.eu/download/tools.shtml>. This index mainly focuses on the  
 378 influence of topography on sediment connectivity, and takes into account the  
 379 characteristics of the drainage area (upslope component,  $D_{up}$ ) and the flow path  
 380 length that a particle has to travel to arrive at the nearest sink (downslope  
 381 component,  $D_{dn}$ ).

382 The IC is computed as follows:

$$383 \quad IC = \log_{10} \left( \frac{D_{up}}{D_{dn}} \right) = \log_{10} \left( \frac{\overline{WS} \sqrt{A}}{\sum_i \frac{d_i}{W_i S_i}} \right) \quad (3)$$

384 where  $\overline{W}$  is the average weighting factor of the upslope contributing area  
 385 (dimensionless),  $\overline{S}$  is the average slope gradient of the upslope contributing  
 386 area (m/m),  $A$  is the upslope contributing area ( $m^2$ ),  $d_i$  is the length of the flow  
 387 path along the  $i^{\text{th}}$  cell according to the steepest downslope direction (m),  $W_i$  and  
 388  $S_i$  are the weighting factor and the slope gradient of the  $i^{\text{th}}$  cell, respectively. IC

389 can assume values ranging from  $-\infty$  to  $+\infty$ , with connectivity increasing for  
390 larger IC values.

### 391 **3. Results and discussion**

#### 392 **3.1 Nikon and smartphone built-in cameras comparisons**

393 Regarding the comparisons between the Nikon and smartphone built-in  
394 cameras, the georeferentiation errors (RMSE) calculated by the Agisoft  
395 PhotoScan® software along the x, y and z-axes for each SfM point cloud are  
396 reported (Table 1). The SfM point clouds show an average error of the order of  
397 about 0.01 m along the x-axis, and an even lower order error along the y and z-  
398 axes. These good results support the choice of setting the DEMs resolution  
399 equal to 0.01 m and can be explained by the fact that: (i) the plots were very  
400 small, (ii) the 5 SfM-targets were well distributed over each plot, and (iii) the  
401 pictures were taken in a correct way, thanks to the support used, the expedient  
402 of shooting photographs inside the tarpaulin, and the short distance between  
403 the position of the cameras and the plots (about 1 m). Furthermore, differences  
404 between the DEMs<sub>PHO</sub> and DEMs<sub>NKN</sub> for the unthresholded DEMs (where no  
405 uncertainty analysis was carried out) were also evaluated with accuracy  
406 measures assuming a normal distribution and more robust parameters too  
407 (Table 2). From Table 2, emerges that all the DEMs<sub>PHO</sub> are comparable to  
408 DEMs<sub>NKN</sub>. Mean values are of the order of about 0.0001 m and SDE values of  
409 the order of about 0.001 m. Skewness and kurtosis confirm the fact that the  
410 elevation differences do not follow normal distributions ([Höhle and Höhle, 2009](#);  
411 [Sofia et al., 2013](#)), and this supports the choice of considering more robust  
412 parameters too such as NMAD and median. However, also when considering

413 these more robust approaches, DEMs<sub>PHO</sub> confirm to be comparable to  
414 DEMs<sub>NKN</sub>, showing NMAD and median values of the order of about 0.001 and  
415 0.001 m, respectively.

### 416 **3.2 Soil loss**

417 Figure 7 shows the DoDs derived from SfM, by considering the DEMs<sub>PreNKN</sub>  
418 and DEMs<sub>PostNKN</sub> for each plot, thresholded according to the probabilistic  
419 thresholding with a 95% confidence interval. The fact that, the thresholding of  
420 DoDs entails a loss of information, is expected and occurs at the expense of a  
421 better geomorphic plausibility ([Wheaton et al., 2010](#)). Elevation differences  
422 range from negative values (red colour), to which correspond net eroded  
423 sediments, to positive values (blue colour), to which correspond net deposited  
424 sediments. From Figure 7 emerges that plots 1, 2, 3 and 4A mainly show  
425 negative elevation differences. This means that the single simulated rainfall  
426 event caused more erosion than deposition, and this can be explained by the  
427 fact that the plots, at the beginning, have more material which is prone to be  
428 washed away. In contrast, plots 4B, 4C and 4D show greater elevation  
429 differences. This suggests that, as rainfall events follow one another, the soil  
430 particles, that are susceptible to be eroded, diminish, and therefore, the soil  
431 shows elevation differences which are closer to zero values, where zero  
432 corresponds exactly to no difference at all between before and after the  
433 rainstorm.

434 Figure 8 shows the soil loss data, expressed in grams, derived from both the  
435 methodologies applied. For the surface elevation change-based method, the  
436 data coming from the DoDs obtained with both the Nikon and smartphone  
437 cameras are reported. From Figure 8 emerges how the soil loss data estimated

438 with the two methodologies are not comparable with each other, especially for  
439 the plots 1, 2, 3 and 4A, where only a single rainstorm was artificially  
440 reproduced. On the contrary, soil loss data derived from the same methodology,  
441 namely surface elevation change-based, are comparable with each other,  
442 independently from the type of camera used. Soil loss derived from the surface  
443 elevation change-based method result to be of two orders of magnitude greater  
444 than the one obtained with rainfall simulation. However, this discrepancy is in  
445 line with the processes that are involved and analysed with the two different  
446 methodologies. Rainfall simulation accounts for splash and initial inter-rill  
447 erosion processes and allows to study the impact of rain drops on sediment  
448 detachment, transport and runoff initiation. However, when it rains the water is  
449 able to disintegrate some of the soil aggregates, leading to the collapse of  
450 micro-pores and to the surface seal formation. Furthermore, the water that  
451 infiltrates makes also the soil heavier, causing a lowering of the soil surface,  
452 which is the process that DoDs are able to detect. To overcome this  
453 discrepancy between the two methodologies, sediment connectivity within the  
454 plots has been taken into consideration too.

### 455 **3.3 Sediment connectivity analysis**

456 Other than rainfall intensity and kinetic energy, also micro-topography plays a  
457 key role in the collection of eroded materials, especially when the experiments  
458 are carried out at very fine scales, as in our case. To prove this, Figure 9 shows  
459 the maps of the connectivity index calculated with regard to the plots outlets, by  
460 considering, as inputs, the DEMsPre<sub>NKN</sub>. As no reference theory exists for the  
461 partitioning of the connectivity index into classes, we relied on the same  
462 classification provided by [Tarolli and Sofia \(2016\)](#), in which they proposed to

463 adopt a relative classification into four classes (High, Medium-High, Medium-  
464 Low and Low) by considering break points that best grouped similar values and  
465 maximized the differences between classes (natural breaks).

466 From Figure 9 emerges how (i) each plot has different patterns of sediment  
467 connectivity, which vary whether or not consecutive rainstorms occur (Figure  
468 9d, e, f and g), and (ii) not all the soil within the plots is connected to the outlet.  
469 This proves the fact that the placement of the plots in the field is extremely  
470 important because micro-reliefs with their roughness can facilitate sediment dis-  
471 connectivity. The portions of soil that are more connected to the outlet are those  
472 that are closer to it. Therefore, these portions, which correspond to the Medium-  
473 High and High classes of the connectivity index maps, are reasonably those  
474 that will be more prone to erosion, once the rainstorm occurs. As a  
475 consequence, by masking the elevation differences maps (Figure 7) with the  
476 Medium-High and High classes of the connectivity index maps (Figure 9), we  
477 re-computed the soil loss derived from the surface elevation change-based  
478 method, considering both the Nikon ( $DoDs_{NKN}$  IC) and smartphone ( $DoDs_{PHO}$   
479 IC) DoDs (Figure 10).

480 Differently from what emerged from Figure 8, Figure 10 illustrates that the soil  
481 loss data, estimated with the two methodologies, are of the same order of  
482 magnitude, as long as the sediment connectivity within the plot is taken into  
483 consideration. These results confirm the importance of micro-topography in the  
484 sediment connectivity and, consequently, in the estimation of eroded materials.

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#### 488 4. Conclusions

489 In this work, we quantified the soil losses caused by water and compared them  
490 with each other, depending on two different methodologies applied: rainfall  
491 simulation and surface elevation change-based, relying on high-resolution  
492 DEMs derived from SfM. The experiments were carried out in a typical  
493 Mediterranean vineyard, under tillage conditions, at very fine scales. SfM data  
494 were derived from one standalone digital reflex camera and a smartphone built-  
495 in camera. We also applied an index of connectivity (IC) to evaluate the  
496 potential effect of sediment connectivity within the plots. Compared to the  
497 DEMs<sub>NKN</sub>, we evaluated the DEMs<sub>PHO</sub> in terms of (i) accuracy, and (ii) capability  
498 to estimate soil loss with regard to the results derived from the rainfall  
499 simulation methodology. In terms of accuracy, the DEMs<sub>PHO</sub> revealed to be  
500 comparable with the DEMs<sub>NKN</sub>, by assuming a normal distribution of errors and  
501 with more robust parameters too. Also regarding the estimation of soil losses,  
502 caused by the rainstorms artificially reproduced, through the surface elevation  
503 change-based methodology, the results between the two different types of  
504 cameras used were comparable with each other. What they differed from was  
505 the soil losses data estimated with the rainfall simulation. However, this  
506 discrepancy was overcome when the sediment connectivity within the plot was  
507 taken into consideration by computing the IC index. In conclusion, high-  
508 resolution topography derived from SfM revealed to be essential in the  
509 sediment connectivity analysis and, therefore, this, proved to play a key role in  
510 the estimation of eroded materials, if compared them to those derived from  
511 another methodology such as the rainfall simulation. SfM confirmed to be a  
512 useful approach to quantify topographic changes in agricultural lands, also at



513 very fine scales, and revealed to be capable of detecting the more random  
514 changes, less easily traceable, induced by the rainstorms. In addition, the fact  
515 that smartphones built-in cameras can produce as much satisfying results as  
516 those derived from standalone digital reflex cameras is undoubtedly a high  
517 value added. Nowadays, smartphones are commonly available for anyone, from  
518 farmers to researchers, and will become increasingly important for fast and  
519 cheap post-event analyses, as long as they are provided with a high-resolution  
520 camera. The increasing development of computer vision technologies and  
521 digital camera sensors makes the process of taking good pictures quite easy. A  
522 farmer would require few hours of training to learn how to take good pictures of  
523 a specific case study, i.e. a rill process, located in its own land. Afterwards, he  
524 would be completely independent during the whole field survey, and then he  
525 could send the pictures taken to a researcher for further analyses. In this way,  
526 the farmer could easily keep monitoring some of the erosion processes that  
527 occur in his land and the researcher could provide him quantitative information  
528 about net erosion and deposition rates. However, it also should be said that the  
529 spatial scale plays a fundamental role in the feasibility of using smartphones for  
530 post-event analyses. For erosion processes that occur at field or catchment  
531 scales, the use of aerial photogrammetry, supported by the increasing diffusion  
532 of UAVs, is more recommended.

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## TABLES CAPTIONS

959 Table 1 Georeferentiation errors (RMSE) calculated by Agisoft PhotoScan®

960 along the x, y and z-axes for each point cloud derived from SfM technique.

961 GEOPre<sub>NKN</sub> and GEOPost<sub>NKN</sub> refer to the point clouds derived from the Nikon

962 camera before and after the rainfall simulation, respectively, and GEOPre<sub>PHO</sub>

963 and GEOPost<sub>PHO</sub> for those derived from the smartphone camera before and

964 after the rainfall simulation, respectively. The number of the plot is also included

965 (1, 2, 3, 4A, 4B, 4C and 4D).

966 Table 2 Accuracy measures of DEMs<sub>PHO</sub> checked by DEMs<sub>NKN</sub> with the

967 assumption of normal distribution and more robust parameters too. DEMPre<sub>NKN</sub>

968 and DEMPost<sub>NKN</sub> refer to DEMs derived from the Nikon camera before and after

969 the rainfall simulation, respectively, and DEMPre<sub>PHO</sub> and DEMPost<sub>PHO</sub> for those

970 derived from the smartphone camera before and after the rainfall simulation,

971 respectively. The number of the plot is also included (1, 2, 3, 4A, 4B, 4C and

972 4D).

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## FIGURE CAPTIONS

Figure 1 Examples of soil water erosion processes caused by a 40 mm in 30 min thunderstorm occurred in mid-June 2015 in the study area. The white arrows point out a gully (a) and a rill (b).

Figure 2 Visual perspective of the tilled inter-rows where the tractor wheel tracks are well visible (black arrows) (a). The white arrows stress the soil sediments that were transported following the 40 mm in 30 min thunderstorm occurred in mid-June 2015.

Figure 3 Walter-Lieth climate diagram ([Walter and Lieth, 1960](#)) computed for the Ontinyent climate station as it is the one with the longest records (29 years) closest to our study site (about 17 km). The information above the panel corresponds to station location, the period of years recorded, the mean annual temperature and the mean annual precipitation.

Figure 4 Localization of the study areas (a), that correspond to the four circular plots (1, 2, 3 and 4) where the rainfall simulation and photogrammetric surveys were carried out. Views of the rainfall simulator (b) and of the rainfall simulation experiment in action (c) are also shown.

Figure 5 Two visual perspectives of the support used to take the pictures. The support consists in a main pole, 1 m high, with two boxes that stick out the main pole for 0.6 m (a) and are 0.3 m far from each other (b). The boxes were designed to hold the cameras with the lens downwards facing.

Figure 6 DEMsPre<sub>NKN</sub> (0.01 m resolution) obtained for each plot: (a) DEMs1Pre<sub>NKN</sub>, (b) DEMs2Pre<sub>NKN</sub>, (c) DEMs3Pre<sub>NKN</sub>, (d) DEMs4APre<sub>NKN</sub>, (e) DEMs4BPre<sub>NKN</sub>, (f) DEMs4CPre<sub>NKN</sub>, and (g) DEMs4DPre<sub>NKN</sub>.

Figure 7 DoDs derived from the Nikon dataset, thresholded according to the probabilistic thresholding with a 95% confidence interval and obtained for each plot: (a) Plot 1, (b) Plot 2, (c) Plot 3, (d) Plot 4A, (e) Plot 4B, (f) Plot 4C, and (g) Plot 4D.

Figure 8 Soil loss data, expressed in grams, derived for each plot from both the methodologies applied: rainfall simulation and surface elevation change-based relying on DoDs. DoDs<sub>NKN</sub> and DoDs<sub>PHO</sub> refer to soil loss estimated from Nikon and smartphone cameras, respectively.

Figure 9 Connectivity index maps calculated with regard to the plots outlets, by considering, as inputs, the DEMsPre<sub>NKN</sub>, for each plot: (a) Plot 1, (b) Plot 2, (c) Plot 3, (d) Plot 4A, (e) Plot 4B, (f) Plot 4C, and (g) Plot 4D.

Figure 10 Soil loss data, expressed in grams, derived for each plot from both the methodologies applied: rainfall simulation and surface elevation change-based relying on DoDs. DoDs<sub>NKN</sub> and DoDs<sub>PHO</sub> refer to soil loss estimated from Nikon and smartphone cameras, respectively. DoDs<sub>NKN</sub> IC and DoDs<sub>PHO</sub> IC refer to soil loss estimated from Nikon and smartphone cameras, respectively, by considering the connectivity index computed according to the DEMsPre.

1 Table 1 Georeferentiation errors (RMSE) calculated by Agisoft PhotoScan®  
 2 along the x, y and z-axes for each point cloud derived from SfM technique.  
 3 GEOPre<sub>NKN</sub> and GEOPost<sub>NKN</sub> refer to the point clouds derived from the Nikon  
 4 camera before and after the rainfall simulation, respectively, and GEOPre<sub>PHO</sub>  
 5 and GEOPost<sub>PHO</sub> for those derived from the smartphone camera before and  
 6 after the rainfall simulation, respectively. The number of the plot is also included  
 7 (1, 2, 3, 4A, 4B, 4C and 4D).

	X Error ( $\pm$ m)	Y Error ( $\pm$ m)	Z Error ( $\pm$ m)
GEO1Pre <sub>NKN</sub>	0.0119	0.0030	0.0038
GEO1Pre <sub>PHO</sub>	0.0119	0.0030	0.0041
GEO1Post <sub>NKN</sub>	0.0113	0.0029	0.0045
GEO1Post <sub>PHO</sub>	0.0113	0.0029	0.0046
GEO2Pre <sub>NKN</sub>	0.0123	0.0024	0.0043
GEO2Pre <sub>PHO</sub>	0.0125	0.0026	0.0071
GEO2Post <sub>NKN</sub>	0.0126	0.0028	0.0034
GEO2Post <sub>PHO</sub>	0.0138	0.0017	0.0060
GEO3Pre <sub>NKN</sub>	0.0085	0.0033	0.0105
GEO3Pre <sub>PHO</sub>	0.0074	0.0044	0.0094
GEO3Post <sub>NKN</sub>	0.0093	0.0042	0.0120
GEO3Post <sub>PHO</sub>	0.0091	0.0042	0.0118
GEO4APre <sub>NKN</sub>	0.0125	0.0062	0.0041
GEO4APre <sub>PHO</sub>	0.0131	0.0059	0.0044
GEO4APost <sub>NKN</sub>	0.0133	0.0079	0.0008
GEO4APost <sub>PHO</sub>	0.0142	0.0065	0.0010
GEO4BPre <sub>NKN</sub>	0.0126	0.0083	0.0008
GEO4BPre <sub>PHO</sub>	0.0127	0.0083	0.0009
GEO4BPost <sub>NKN</sub>	0.0129	0.0082	0.0006
GEO4BPost <sub>PHO</sub>	0.0130	0.0083	0.0006
GEO4CPre <sub>NKN</sub>	0.0127	0.0083	0.0016
GEO4CPre <sub>PHO</sub>	0.0126	0.0083	0.0017
GEO4CPost <sub>NKN</sub>	0.0128	0.0084	0.0011

GEO4CPost <sub>PHO</sub>	0.0127	0.0084	0.0011
GEO4DPre <sub>NKN</sub>	0.0128	0.0084	0.0011
GEO4DPre <sub>PHO</sub>	0.0132	0.0085	0.0009
GEO4DPost <sub>NKN</sub>	0.0132	0.0083	0.0011
GEO4DPost <sub>PHO</sub>	0.0131	0.0085	0.0011

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Table 2 Accuracy measures of DEMs<sub>PHO</sub> checked by DEMs<sub>NKN</sub> with the assumption of normal distribution and more robust parameters too. DEMPre<sub>NKN</sub> and DEMPost<sub>NKN</sub> refer to DEMs derived from the Nikon camera before and after the rainfall simulation, respectively, and DEMPre<sub>PHO</sub> and DEMPost<sub>PHO</sub> for those derived from the smartphone camera before and after the rainfall simulation, respectively. The number of the plot is also included (1, 2, 3, 4A, 4B, 4C and 4D).

	Minimum (m)	Maximum (m)	Mean (m)	SDE (m)	Kurtosis	Skeweness	NMAD (m)	Median (m)
DEM1Pre <sub>PHO</sub> - DEM1Pre <sub>NKN</sub>	-0.0160	0.0210	0.0003	0.0022	12.5108	0.2772	0.0015	0.0003
DEM1Post <sub>PHO</sub> - DEM1Post <sub>NKN</sub>	-0.0344	0.0336	-0.0002	0.0026	88.9927	-1.3843	0.0010	-0.0002
DEM2Pre <sub>PHO</sub> - DEM2Pre <sub>NKN</sub>	-0.0135	0.0142	0.0015	0.0031	4.1464	-0.2322	0.0024	0.0017
DEM2Post <sub>PHO</sub> - DEM2Post <sub>NKN</sub>	-0.0063	0.0173	0.0049	0.0029	3.9343	-0.0287	0.0022	0.0049
DEM3Pre <sub>PHO</sub> - DEM3Pre <sub>NKN</sub>	-0.0062	0.0054	-0.0002	0.0019	2.5106	0.1547	0.0016	-0.0003
DEM3Post <sub>PHO</sub> - DEM3Post <sub>NKN</sub>	-0.0056	0.0059	-0.0003	0.0010	6.3428	0.1691	0.0007	-0.0003
DEM4APre <sub>PHO</sub> - DEM4APre <sub>NKN</sub>	-0.0139	0.0168	-0.0009	0.0026	8.5218	0.6003	0.0018	-0.0009
DEM4APost <sub>PHO</sub> - DEM4APost <sub>NKN</sub>	-0.0201	0.0242	-0.0012	0.0043	5.6034	0.3439	0.0031	-0.0015
DEM4BPre <sub>PHO</sub> - DEM4BPre <sub>NKN</sub>	-0.0193	0.0239	0.0003	0.0046	4.9291	0.0854	0.0034	0.0002
DEM4BPost <sub>PHO</sub> - DEM4BPost <sub>NKN</sub>	-0.0067	0.0078	-0.0001	0.0014	6.2354	0.0027	0.0010	-0.0002
DEM4CPre <sub>PHO</sub> - DEM4CPre <sub>NKN</sub>	-0.0057	0.0061	0.0001	0.0012	5.3686	-0.1376	0.0009	0.0002
DEM4CPost <sub>PHO</sub> - DEM4CPost <sub>NKN</sub>	-0.0117	0.0128	0.0002	0.0028	5.6941	0.2353	0.0020	0.0002
DEM4DPre <sub>PHO</sub> - DEM4DPre <sub>NKN</sub>	-0.0068	0.0092	-0.0001	0.0017	5.7170	0.5328	0.0012	-0.0002
DEM4DPost <sub>PHO</sub> - DEM4DPost <sub>NKN</sub>	-0.0104	0.0115	0.0000	0.0023	5.8356	0.2322	0.0016	-0.0001



Figure01  
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Figure02  
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Figure03

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Ontinyent (Valencia, Spain)  
Coordinates: N 38 ° 49 ', W 0 ° 36 '  
Elevation: 350 m a.s.l.

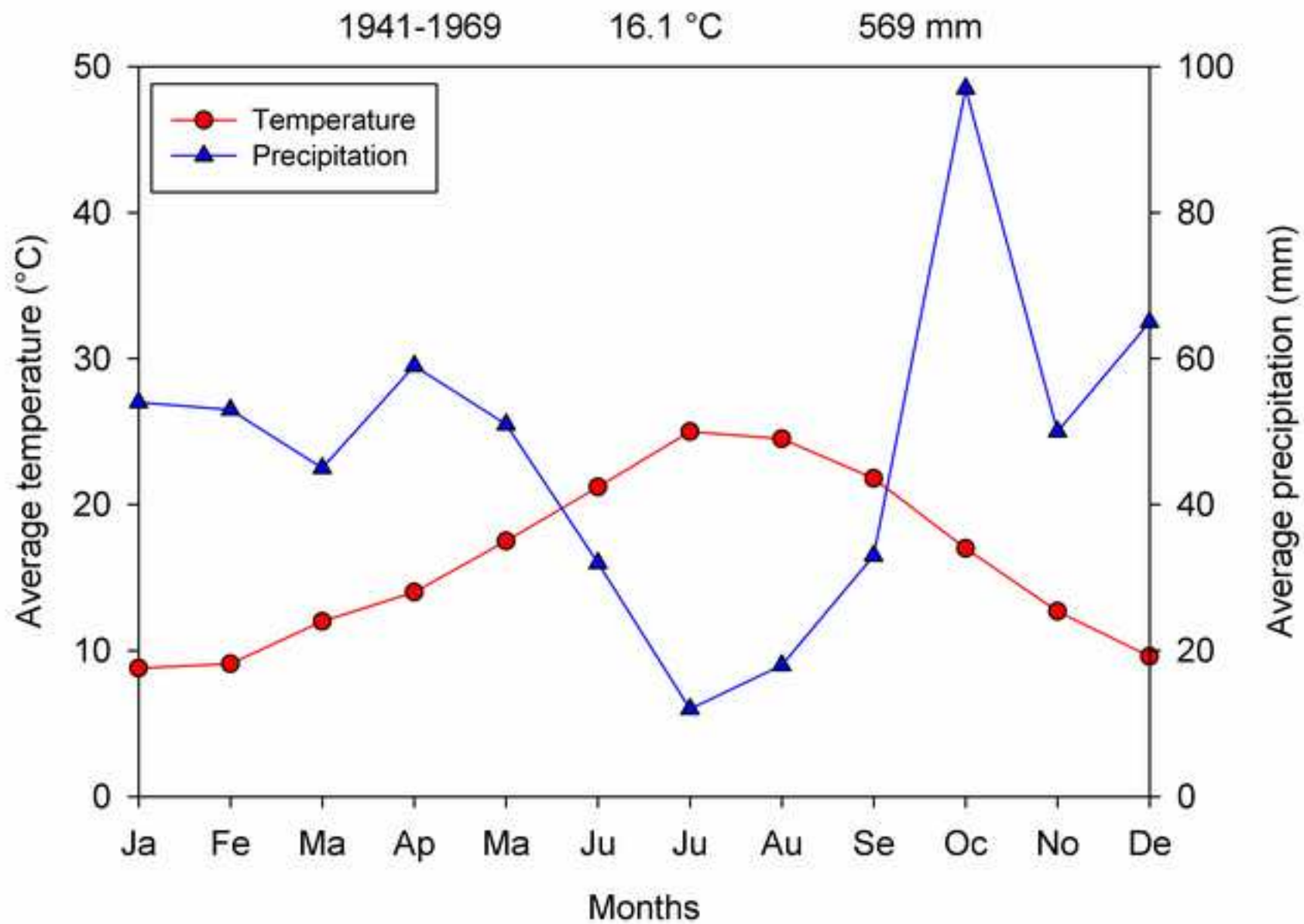




Figure04  
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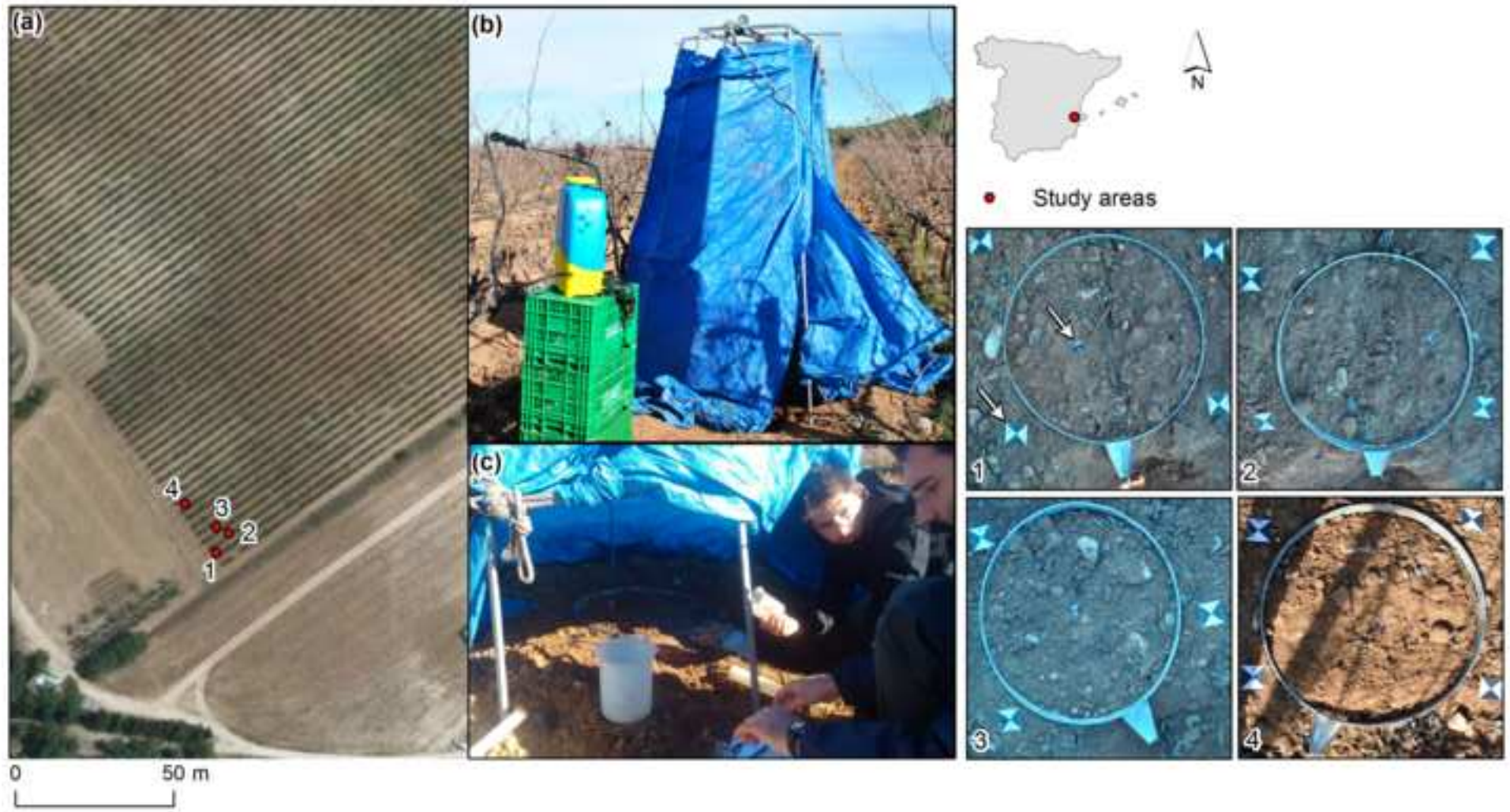




Figure05  
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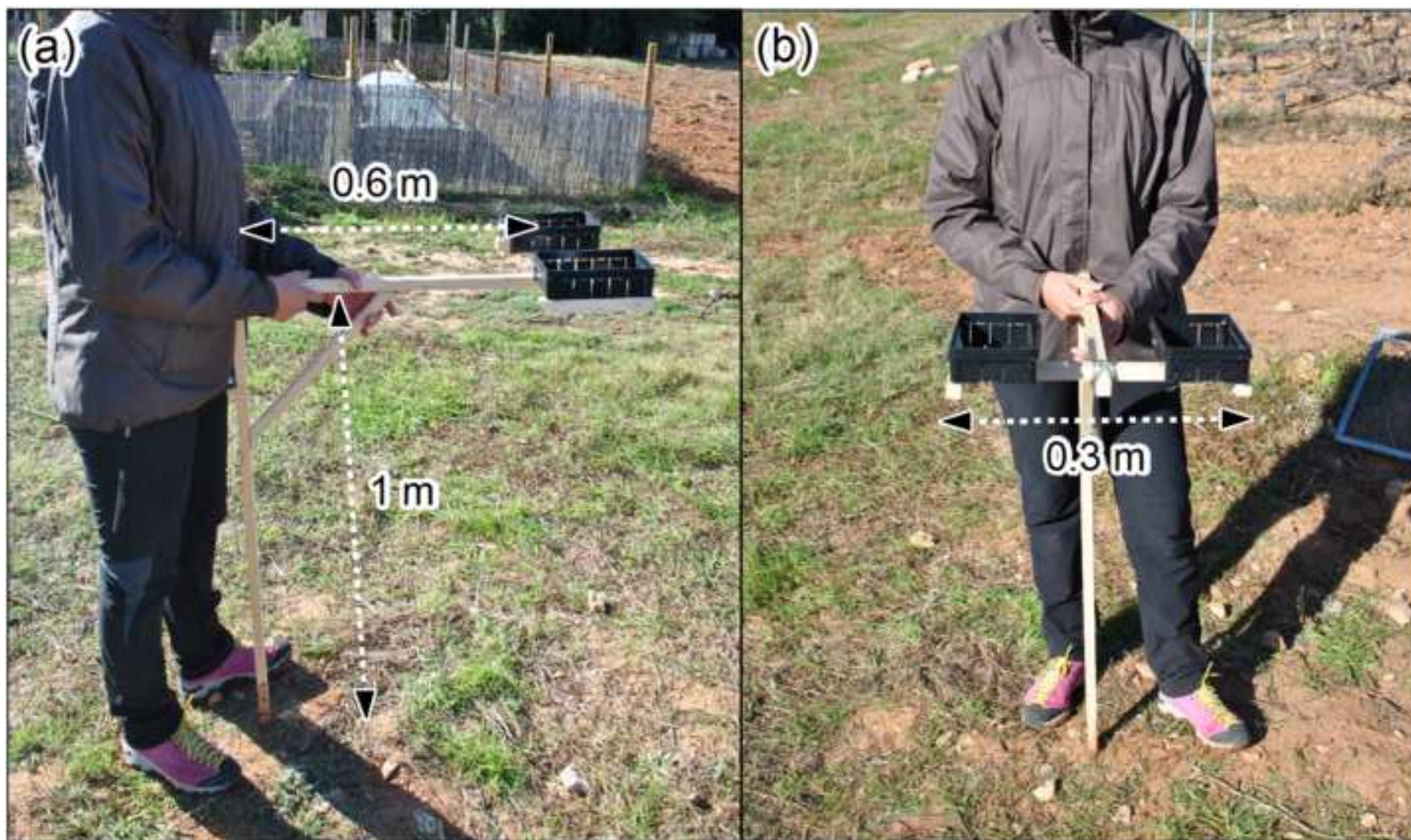




Figure06  
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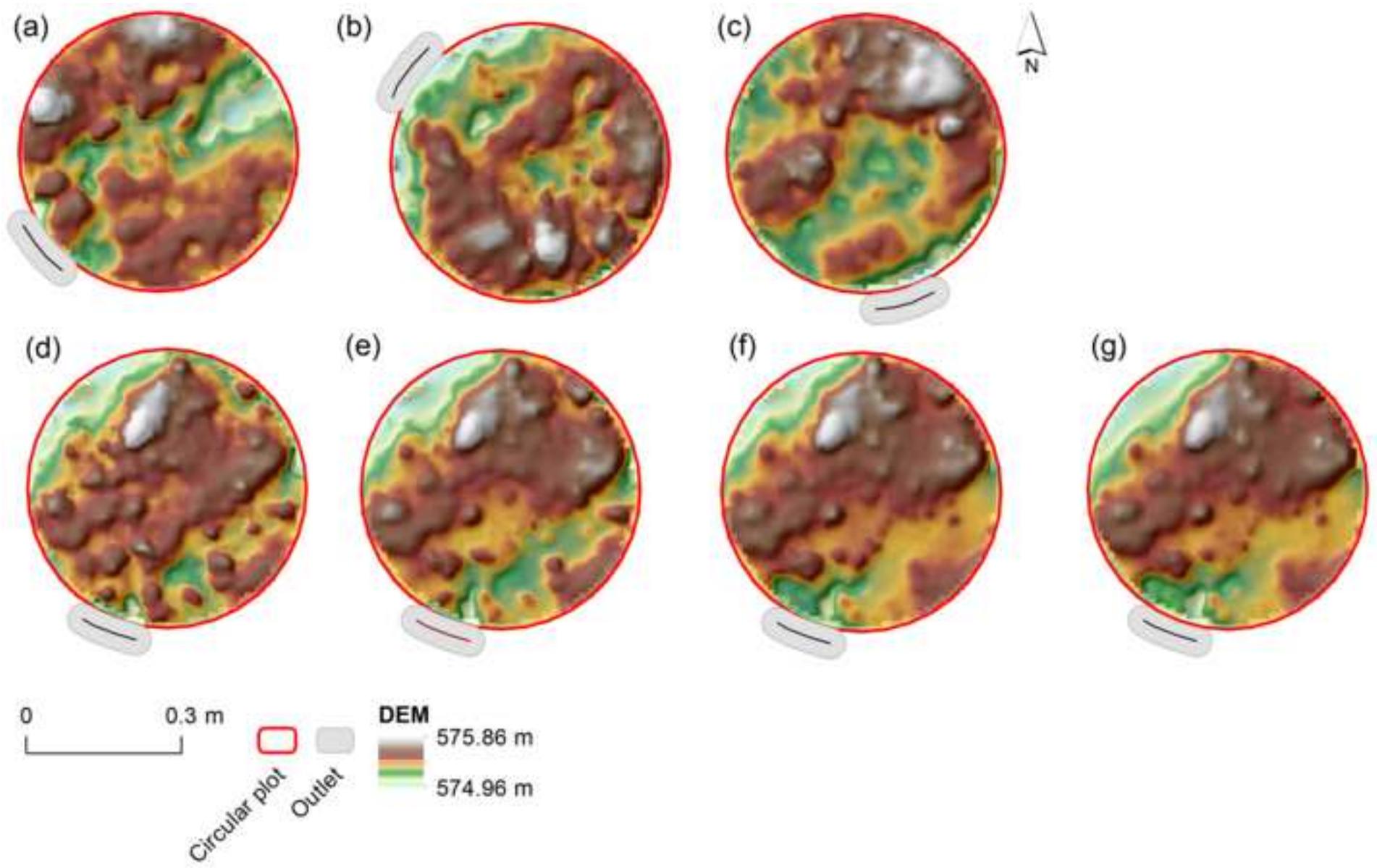


Figure07  
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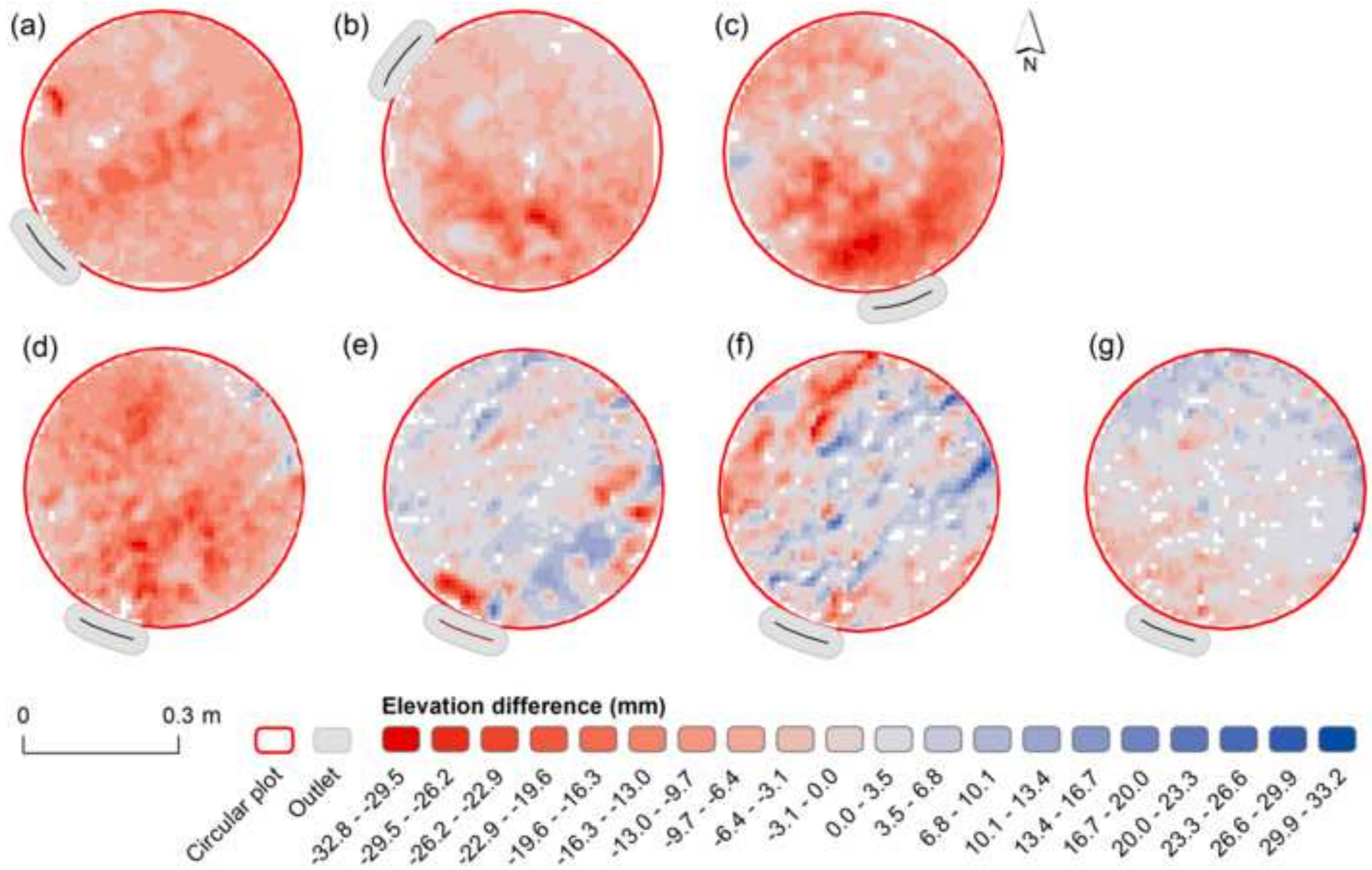


Figure08

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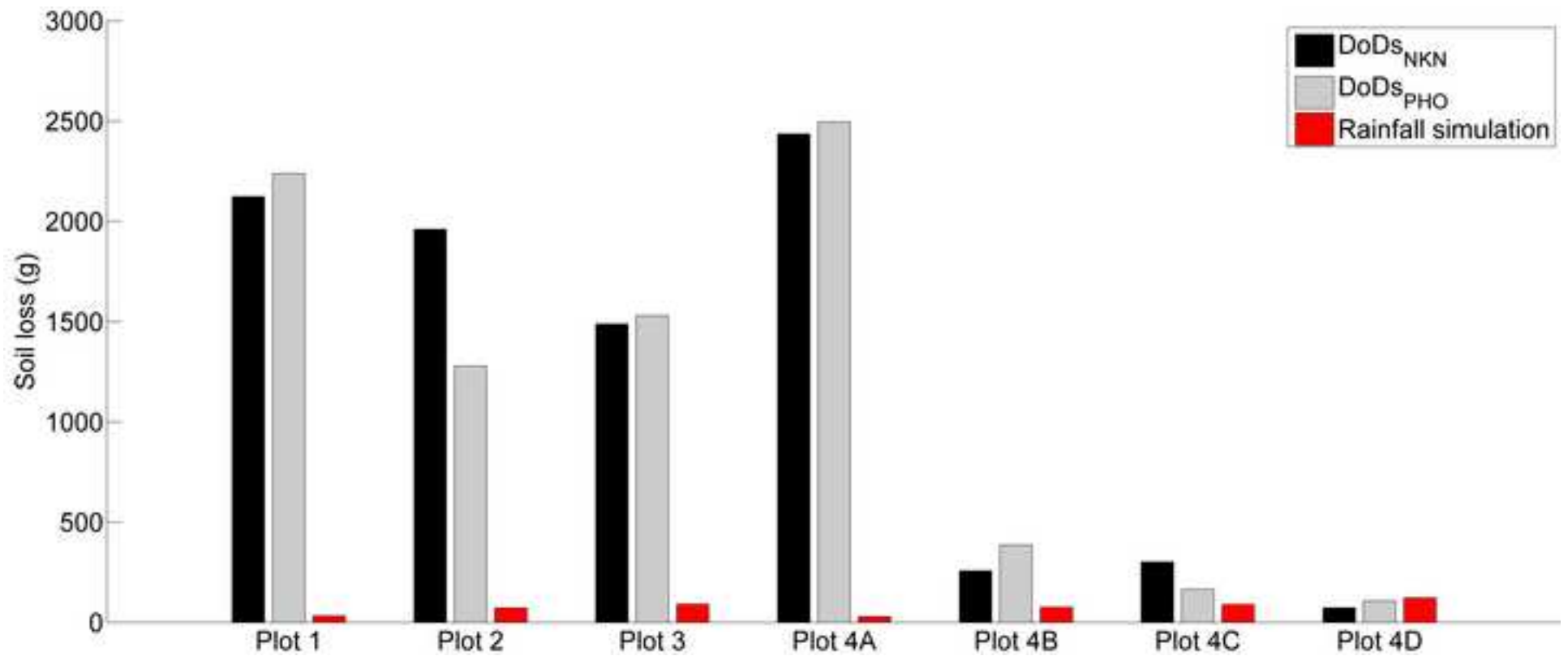




Figure09  
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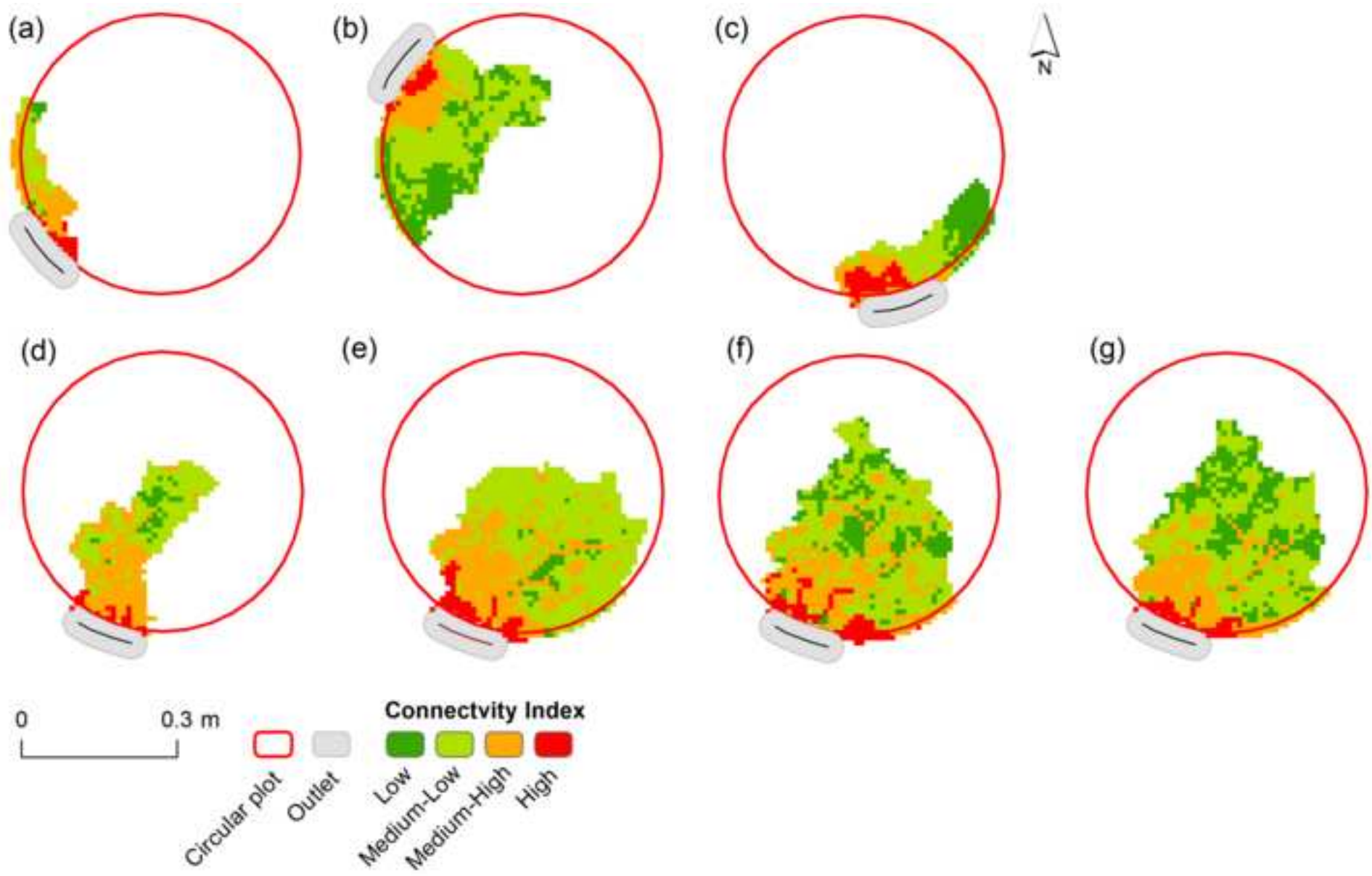


Figure10

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