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Additional Information

1 **Effect of Different Drying Methods on the Phenolic, Flavonoid and Volatile Compounds**
2 **of *Stevia rebaudiana* Leaves**

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7 **Abstract**

8 Different drying methods (hot air drying, freeze drying and shade drying) were evaluated to
9 discern the optimal conditions for the preservation of flavonoid, phenolic and volatile
10 compounds in stevia leaves. All the methods applied affected the antioxidant and volatile
11 compounds in dried stevia leaves differently. 2-Hexenal, hexanal and α -pinene were the most
12 abundant volatile compounds produced by freeze drying and shade drying (21.1-19.7; 14.2-10
13 and 19.4-5.04 $\mu\text{g/g}$, respectively); and furan tetrahydro and α -pinene (3.2 and 3.1 $\mu\text{g/g}$,
14 respectively) by air drying. While chlorogenic acid, coumaric acid and sinapic acid were the
15 most abundant phenolic compounds produced by all the drying treatments (with values that
16 ranged between 88.6-191.8; 41.7-91.3 and 33.2-178.5 mg/100g dry weight of stevia,
17 respectively). The content of volatile compounds was higher with shade drying, whereas most
18 flavonoids and phenolic acids had higher concentrations following freeze drying, although
19 some flavonoids and phenolic acids exhibited a higher increment with air drying. There is no
20 best drying treatment, however, freeze drying results in an extract with satisfactory
21 antioxidant properties and good aromatic characteristics.

22 **Keywords:** volatile compounds, freeze-drying, shade-drying, HPLC-DAD, GC-MS.

23 **Introduction**

24 *Stevia rebaudiana* is a perennial herb, native to Paraguay, which has economic value due to
25 its high content in sweeteners ^[1]. In fact, its dried leaves have been used as a sweetener in

26 South America for centuries, and nowadays extracts of steviol glycosides are consumed all
27 over the world ^[2]. These extracts are 300 times sweeter than sucrose, with the advantage of
28 having: zero calories, zero carbohydrates, and not causing spikes in blood sugar levels ^[3]. The
29 European Food Safety Authority ^[4] recognized the safety of stevia leaf extracts for alimentary
30 use in November 2011. Stevia leaves are more and more consumed as infusions due to their
31 antioxidant properties, which stem from their high content in flavonoid and phenolic
32 compounds ^[5-9]. In addition, their leaves have important therapeutic properties, are rich in
33 compounds with anti-inflammatory, diuretic, anti-hypertensive, antihyperglycemic,
34 antidiarrheal, antitumor and immunomodulatory effects ^[10].

35 Stevia leaves, like other herbal teas or medicinal plants, need to be dried for conservation and
36 consumption purposes. The drying process has two principal effects: preventing the growth of
37 microorganisms and facilitating storage and transportation ^[11]. At the same time, drying herbs
38 can give rise to other alterations which affect herb quality, such as changes in appearance and
39 alterations in aroma caused by losses in volatiles or the formation of new volatiles as a result
40 of oxidation reactions or esterification reactions ^[12]. Different methods can be applied to
41 dehydrate plants. The simpler, cheaper ones include letting the leaves dry in the shade ^[13] or
42 using hot air to accelerate the process ^[14,15]. An innovative technique using freeze drying ^[11]
43 has been proven to better preserve the quality of medicinal plants ^[16]. It should be noted that
44 different drying techniques influence the characteristic of the different compounds present in
45 herbal teas. There is a great discrepancy about the extraction of active compounds from herbal
46 teas according to the different drying techniques applied ^[17]. Different studies have reported
47 changes in the antioxidant capacity of some herbal teas according to the drying method used
48 ^[11, 12, 15]. In this line, Di Cesare et al. ^[18] and Diaz-Maroto et al. ^[19] observed changes in colour
49 and volatile compounds of the aromatic herbs as a consequence of drying.

50 As far as the authors know, there is no research related to the influence of different drying
51 methods on phenolic and volatile compounds of stevia leaves. For this reason, the aim of this
52 study was to evaluate how the drying method (shade drying, hot air drying and freeze drying)
53 affects phenolic and volatile compounds in stevia leaves, in order to optimize the drying
54 method which maximizes the presence of these compounds.

55 **Material and Methods**

56 *Stevia samples and drying conditions*

57 Organically produced *Stevia rebaudiana* Bertoni leaves from Valencia (Spain) were used in
58 this study. Three different drying conditions were used: shade drying at 20°C for 30 days, hot
59 air drying at 180°C for 3 minutes in a convective drier, and freeze drying at a vacuum pressure
60 of 9.5×10^{-1} mm Hg for 24 hours.

61 *Standard compounds and reagents*

62 HPLC-grade acetonitrile and methanol were purchased from VWR (Fontenay-sous-Bois,
63 France), and analytical grade ethanol and ammonium acetate were purchased from Scharlab
64 (Barcelona, Spain). The standards of apigenin, caffeic acid, catechin, chlorogenic acid,
65 cinnamic acid, coumaric acid, 4-methoxybenzoic, 4-methylcatechol, quercetin, rutin and
66 sinapic acid (purity > 98%) were obtained from Sigma-Aldrich (St. Louis, MO, USA). De-
67 ionized water from MilliQ (Millipore Corp., Bedford, MA) was used throughout the
68 procedure.

69 *Volatile compounds analysis*

70 Volatile compounds were analyzed following the method purge and trap thermal desorption
71 described by Escriche et al. ^[20] with the only exception that 200 mg dried powder of stevia
72 leaf and 100 µL of the internal standard 2-pentanol (10 µg/mL H₂O) were used in each
73 analysis. This mix was shaken for several minutes to guarantee total homogenization.
74 Samples were placed in a purging vessel flask and left in a water bath at 45 °C for 20 min.

75 Purified nitrogen (100 mL min^{-1}) was forced through a porous frit placed at the bottom of the
76 vessel. Volatile compounds were trapped in Tenax (TA, 20-35 mesh), thermally desorbed
77 (TurboMatrix TD, Perkin ElmerTM, CT-USA) and GC-MS analysed (Finnigan TRACE TM
78 MS TermoQuest, Austin, USA) using a DB-WAX capillary column (SGE, Australia) (60 m
79 length, 0.32 mm i.d., 1.0 μm film thickness). The analyses were carried out in triplicate.
80 The volatile compounds were tentatively identified using their mass spectra and their Kovats
81 retention indices (alkanes: C8–C20 by Fluka Buchs, Schwiez, Switzerland) ^[20]. The data were
82 expressed in $\mu\text{g/g}$ dry weight of stevia leaf, assuming a response factor equal to one ^[21].

83 *Flavonoids and phenolic acids analysis*

84 The stevia leaves were ground in a grinding mill (A11 Basic, IKA, Germany), and 200 mg of
85 the dried powder were shaken in 30 mL of methanol/water (1:1 v/v) for 5 minutes. The
86 mixture was sonicated for 10 minutes and then centrifuged at $3000 \times g$ for 5 minutes. An
87 aliquot of the extract was injected in the HPLC, after being filtered through filter paper (0.45
88 μm pore size).

89 Analyses of the extracts were carried out using HPLC-Alliance 2695, with a 2996 photodiode
90 array detector (Waters, USA). Flavonoids and phenolic compounds were separated on a Brisa
91 LC2, C18 column (250 x 4.6mm x 5 μm) (Teknokroma, Spain). The binary mobile phase
92 consisted of solvent A (ACN) and solvent B (water and formic acid, 99:1). Binary gradient
93 conditions were used: initial, 90% B, linear gradient to 40% B at 25 min and then to 20% B at
94 26 min; holding until 30 min; followed by a linear gradient to initial condition at 35 min and a
95 final hold at this composition until 40 min. The column was maintained at 30°C . The flow-
96 rate and the injection volume were 0.5 mL/min . and $10 \mu\text{L}$, respectively.

97 Chromatograms were recorded at three wavelengths (290, 320 and 360 nm). Flavonoids and
98 phenolic acids were identified by comparison of chromatographic retention times and UV
99 spectral characteristics of unknown analytes with authentic standards. Calibration curves were

100 constructed via least squares linear regression analyses of the ratio of the peak area of each
101 representative compound versus the respective concentration. Quantitative results were
102 expressed as mg of component per 100g dry weight of stevia.

103 The pure standard of flavonoids and phenolic acids were diluted with methanol to obtain a
104 final concentration of 1 mg/mL for the stock standard solution. The working standard solution
105 was obtained at a concentration of 100 ng/mL in water. The stock standard solution was
106 stored at -20°C and the working standard solution at +4°C.

107 Calibration curves obtained from standard solutions (0.5-10 ng/mL) were used to perform the
108 quantification. Samples were spiked to verify the absence of a matrix effect in the analysis.
109 An internal quality control (a standard solution) was injected into the equipment as a first
110 step, before each batch of the sample, in order to ensure the quality of the results and evaluate
111 the stability of the proposed method.

112 *Validation of flavonoids and phenolic acids analysis method.*

113 The guidelines established by the EU Commission Decision ^[22] were followed in order to
114 validate the analytical methodology employed to analyse the flavonoids and phenolic acids.
115 For this purpose, several parameters were studied: linearity, accuracy and precision
116 (repeatability and reproducibility). The accuracy of the method was established through
117 recovery studies and the precision was verified by repeatability (intraday precision) and
118 reproducibility (interday precision).

119 *Statistical analysis*

120 An analysis of variance (ANOVA) ($\alpha = 0.05$) with least significant difference (LSD) test
121 using Statgraphics Plus 5.1 was performed on the data from flavonoids and phenolic acids as
122 well as the volatile compounds. In addition to this, the data were analyzed using multivariate
123 techniques, applying the software Unscrambler version 9.7 (CAMO, 2005). The variables
124 were weighted with the inverse of the standard deviation of all objects in order to compensate

125 for the different scales of the variables. A Principal Components Analysis (PCA) was applied
126 to describe the relationship between the flavonoids and phenolic compounds together with the
127 volatile profile.

128 **Results and Discussion**

129 *Influence of drying method on the phenolic and flavonoid compounds.*

130 The average value of phenolic compounds (mg /100g dry weight of stevia) quantified in the
131 stevia leaves obtained using different drying methods (shade drying, freeze drying and air
132 drying), as well as the ANOVA F-ratio and homogenous groups for each of the analyzed
133 compounds are shown in Table 1. Eleven compounds were identified in all samples: apigenin,
134 caffeic acid, catechin, chlorogenic acid, cinnamic acid, coumaric acid, 4-methoxybenzoic, 4-
135 methylcatechol, quercetin, rutin and sinapic acid.

136 With regard to the validation parameters, good linearity was obtained, with R^2 values ranging
137 from 0.991 for 4-methoxybenzoic to 0.999 for quercetin, catechin and 4-methylcatechol. The
138 range of the average recoveries varied from 90% for caffeic acid to 117% for sinapic acid.
139 The RSD_r (repeatability standard deviation) for all compounds was less than 9% and the
140 RSD_R (reproducibility standard deviation) was always less than 13%. In both cases the values
141 were below 20%, and therefore in agreement with the requirements of the Commission
142 Decision [22].

143 The highest F-ratio in Table 1 shows that coumaric and sinapic acid were most influenced by
144 the drying method. The concentrations of other compounds such as apigenin, quercetin and
145 cinnamic acid showed practically no differences as a result of applying the three treatments.

146 The majority of the compounds analyzed reached their maximum values with the freeze
147 drying method. Compounds such as chlorogenic acid, coumaric acid and sinapic acid
148 exhibited a higher concentration after freeze drying (191.84, 91.35 and 178.56 mg/100g stevia
149 leaf, respectively) and air drying (167.56, 70.36 and 165.14 mg/100g stevia leaf, respectively)

150 than shade drying (88.60, 41.71 and 33.21 mg/100g stevia leaf, respectively). However, the
151 values obtained for 4-methoxybenzoic following freeze drying (7.48 mg/100g stevia leaf) were
152 lower than those for the other treatments (air drying-26.28 mg/100g stevia leaf and shade
153 drying-15.39 mg/100g stevia leaf).

154 Many antioxidant compounds have been identified in stevia leaves by different authors, but
155 their conclusions with respect to both the specific compounds and the concentration levels are
156 very different and even contradictory. This can be explained by the fact that the drying
157 methods employed were different in each case. However, in some studies it was not even
158 mentioned. Different flavonoids (flavonols and flavones) have been identified: quercetin and
159 its derivatives, apigenin and its derivatives, kaempferol-3-O-rhamnoside, luteolin and their
160 derivatives ^[23,24,25] in stevia dried leaves. Karaköse et al. ^[26] identified 24 chlorogenic acids
161 using LC-ESI-MS. Muanda et al. ^[8] identified (at room temperature) the same phenolic and
162 flavonoid compounds in stevia dried leaves as in the present work, with the exception of 4-
163 methoxybenzoic acid, 4-methylcatechol and sinapic acid. Kim et al. ^[27] identified 6 phenolic
164 acids: pyrogallol, 4-methoxybenzoic acid, 4-methylcatechol, sinapic acid, coumaric acid and
165 cinnamic acid (at 40°C for 12h). All of them were identified in the present study, with the
166 exception of pyrogallol. It is important to highlight that the values obtained by Kim et al. ^[27]
167 were lower than those reported by Muanda et al. ^[8].

168 Considering other medicinal herbal teas, Lin et al. ^[11] claimed that freeze drying was the best
169 method for preserving the higher contents of caffeic acid derivatives and total phenolics in
170 *Echinacea Purpurea* leaves. Ferreira and Luthria ^[28] obtained lower levels of antioxidant
171 capacity for shade drying than hot air drying in *Artemisia annua* L. leaves.

172 *Influence of drying method on the volatile compounds.*

173 Thirty volatile compounds were tentatively identified. Table 2 shows the mean concentration
174 values of the quantified volatile compounds (expressed as $\mu\text{g/g}$ dry weight of stevia leaf) as
175 well as their standard deviations (SD) for the three drying methods.

176 The most abundant compounds produced by shade drying and freeze drying were 2-hexenal
177 (21.09 and 19.78 $\mu\text{g/g}$), hexanal (14.23 and 10.02 $\mu\text{g/g}$) and α -pinene (19.40 and 5.04 $\mu\text{g/g}$),
178 respectively. The most abundant compounds produced by air drying, were furan tetrahydro
179 (3.25 $\mu\text{g/g}$) and α -pinene (3.14 $\mu\text{g/g}$).

180 In contrast to the phenolic and flavonoid compounds, shade drying better preserves the
181 volatile fraction of stevia leaves in comparison with freeze drying and air drying.

182 There are a few studies about the volatile fraction of stevia leaves and all of them analyzed the
183 volatile compounds in the essential oils in stevia. Muanda et al. [8] identified 34 volatile
184 compounds, Moussa et al. [29] found 22 compounds, Turko et al. [30] reported 23 compounds
185 and Zygadlo et al. [31] identified 41 compounds, only 5 of them (α -pinene, hexanal, limonene,
186 1-octen-3-ol, caryophyllene) were identified in this study, which is logical because in the
187 present work the analysis was performed directly on the stevia dried leaves and not on the
188 essential oil.

189 *Global behavior of phenolic and volatile compounds.*

190 A PCA was applied in order to appreciate the overall effect that the drying method has on
191 phenolic and volatile compounds together. The corresponding bi-plot obtained (scores
192 “treatments” and loading “variables”) is shown in Fig. 1 (PC1 explained 59 % of the total
193 variance and PC2, 20 %). The proximity between variables indicates the correlation between
194 them, and in the case of drying treatments similar behavior. In general, this figure shows
195 opposing behavior between the two groups of variables (phenols and volatiles) with respect to
196 the effect of the drying treatments applied.

197 The shade drying treatment is placed at the far end of the right axis in the figure, which
198 corresponds to the highest values of the volatile compounds and the lowest of the phenolic
199 compounds. On the contrary, freeze drying and air drying are placed on the opposite side (left
200 axis), which corresponds to the highest content of phenolic compounds. The only exceptions
201 to this general pattern are apigenin and quercetin which are placed with the volatile
202 compounds even though they are antioxidant compounds.

203 Apparently, some volatile compounds could be generated as a result of oxidation and
204 degradation reactions involving the phenolic and acid compounds ^[32], so perhaps freeze
205 drying helps to preserve them, whereas drying in the shade favors degradation processes.

206 **Conclusions**

207 All the drying methods applied (freeze drying, shade drying and air drying) affected the
208 antioxidant and volatile compounds in the dried stevia leaves. The two types of compounds
209 reacted differently; the content of volatile compounds was higher with shade drying whereas
210 most flavonoids and phenolic acids had higher concentrations when freeze drying was
211 applied. However, some flavonoids and phenolic acids exhibited a higher increment with air
212 drying. Therefore there is no ideal drying treatment which can be chosen, although freeze
213 drying is the most recommendable if an extract with sufficient antioxidant properties and
214 satisfactory aromatic characteristics is desired.

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271 **Table 1.** Mean and standard deviation of flavonoid and phenolic compounds quantified in the
 272 three drying methods (mg/100 g dry weight of stevia leaf).

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mg/100g stevia leaf	Freeze drying	Air drying	Shade drying	Anova F-ratio
apigenin	0.24(0.04) ^a	0.25(0.02) ^a	0.39(0.02) ^a	1 ^{ns}
caffeic acid	1.22(0.02) ^b	0.71(0.04) ^a	0.75(0.03) ^a	350 ^{***}
catechin	8.35(0.38) ^c	6.18(0.33) ^b	4.38(0.42) ^a	55 ^{**}
chlorogenic acid	191.84(0.7) ^c	167.56(0.12) ^b	88.60(3.19) ^a	1621 ^{***}
cinnamic acid	0.27(0.07) ^{ab}	0.34(0.02) ^b	0.19(0.02) ^a	7 ^{ns}
coumaric acid	91.35(0.16) ^c	70.36(0.30) ^b	41.71(0.48) ^a	10616 ^{***}
4-methoxybenzoic	7.48(0.39) ^a	26.28(0.43) ^c	15.39(0.2) ^b	1394 ^{***}
4-methylcatechol	2.49(0.02) ^b	2.99(0.56) ^b	0.73(0.07) ^a	26 [*]
quercetin	0.33(0.03) ^a	0.28(0.02) ^a	0.39(0.06) ^a	5 ^{ns}
rutin	20.07(0.13) ^c	15.08(0.22) ^b	7.05(0.02) ^a	4174 ^{***}
sinapic acid	178.56(0.7) ^c	165.14(1.53) ^b	33.21(0.23) ^a	13544 ^{***}

274 * p<0.05, **p<0.01, *** p<0.001, ns: non significant

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292 **Table 2.** Semiquantification of volatile compounds ($\mu\text{g/g}$ dry weight of stevia assuming a
 293 response factor equal to 1) in stevia dried leaves ($n = 3$).

Volatile compounds	Shade drying	Air drying	Freeze drying	KI cal	ID	Anova F-ratio
<i>Alcohols</i>						
1-penten-3-ol	0.60(0.09) ^a	0.15(0.01) ^a	1.96(0.02) ^b	774	MS;KI	14.8*
1-pentanol	0.42(0.01) ^a	0.63(0.03) ^a	0.33(0.04) ^a	704	MS;KI	2.43 ^{ns}
1-octen-3-ol	5.85(0.08) ^c	0.68(0.02) ^a	2.37(0.08) ^b	980	MS;KI	149 ^{***}
3,7dimethyl-1,3 octadien-3-ol	5.50(0.07) ^c	0.93(0.01) ^a	2.35(0.10) ^b	1110	MS;KI	99 ^{***}
2 ethyl-1-hexanol,	0.88(0.02) ^b	0.18(0.01) ^a	0.27(0.02) ^a	1028	MS;KI	46 ^{**}
<i>Aldehydes</i>						
2-ethyl-butanal	2.64(0.12) ^b	0.29(0.02) ^a	0.33(0.05) ^a	662	MS;KI	23.7 ^{**}
3-methyl-butanal	3.01(0.20) ^b	0.11(0.01) ^a	0.78(0.06) ^a	676	MS;KI	10.87*
pentanal	2.25(0.17) ^b	1.26(0.02) ^a	1.22(0.09) ^a	780	MS;KI	2.03*
hexanal	14.23(0.53) ^b	0.86(0.01) ^a	10.02(0.26) ^b	860	MS;KI	27.4 ^{**}
heptanal	0.41(0.02) ^b	0.11(0.01) ^a	0.08(0.01) ^a	896	MS;KI	14.3*
2-hexenal	21.09(0.66) ^b	0.83(0.08) ^a	19.78(0.96) ^b	768	MS;KI	24.9 ^{**}
2-heptenal	2.63(0.02) ^b	0.28(0.01) ^a	0.64(0.07) ^a	932	MS;KI	121 ^{***}
2-4 heptadienal	3.29(0.05) ^c	0.36(0.02) ^a	1.69(0.08) ^b	1015	MS;KI	75 ^{***}
octanal	0.34(0.01) ^a	0.20(0.01) ^a	0.29(0.02) ^a	1004	MS;KI	3.7 ^{ns}
nonanal	2.34(0.07) ^a	1.28(0.08) ^a	1.73(0.13) ^a	1106	MS;KI	3.5 ^{ns}
decanal	0.68(0.02) ^a	0.74(0.01) ^a	1.06(0.08) ^a	1204	MS;KI	1.9 ^{ns}
<i>Hydrocarbons</i>						
benzene	0.44(0.07) ^a	0.19(0.03) ^a	0.12(0.01) ^a	662	MS;KI	1.15 ^{ns}
1-heptene	1.92(0.08) ^b	0.05(0.01) ^a	0.81(0.05) ^a	690	MS;KI	21.08 ^{**}
1-octene	2.37(0.12) ^b	0.11(0.01) ^a	1.06(0.14) ^{ab}	790	MS;KI	11.12*
<i>Ketones</i>						
3-buten-2-one	0.50(0.01) ^a	0.69(0.11) ^a	0.49(0.05) ^a	707	MS;KI	0.2 ^{ns}
4-hydroxy-2-butanone	0.74(0.07) ^a	0.58(0.06) ^a	0.48(0.04) ^a	720	MS;KI	0.4 ^{ns}
6 methyl-5-hepten-2-one	0.29(0.01) ^a	0.22(0.02) ^a	0.29(0.03) ^a	987	MS;KI	0.4 ^{ns}
<i>Terpenes</i>						
α -pinene	19.40(0.70) ^b	3.14(0.10) ^a	5.04(0.52) ^a	912	MS;KI	25.7 ^{**}
limonene	0.72(0.01) ^a	0.54(0.02) ^a	0.45(0.05) ^a	1024	MS;KI	2.2 ^{ns}
caryophyllene	8.24(0.08) ^b	1.68(0.15) ^a	2.36(0.28) ^a	1432	MS;KI	47 ^{**}
<i>Nitriles</i>						
2-hydroxy-2-methyl-propanenitrile	3.41(0.17) ^b	0.56(0.02) ^a	0.53(0.03) ^a	752	MS;KI	19.5 ^{**}
<i>Furanes</i>						
tetrahydro furan	2.61(0.31) ^a	3.25(0.15) ^a	1.34(0.16) ^b	628	MS;KI	1.26*
<i>Sulfur compounds</i>						
dimethyl sulfide	1.02(0.01) ^b	0.18(0.01) ^a	0.39(0.04) ^a	741	MS;KI	41.36 ^{**}

294 * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, ns: non significant

295 KI cal: Kovats retention indices calculated.

296 ID: method of identification, MS (comparison with mass spectrum from NIST library) and KI (comparison of
 297 Kovats index with the literature [20]).

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301 **Figure captions**

302 **Fig. 1** Bi-plot of Principal Components Analysis for the drying treatments (black diamond ♦)

303 and the analysed variables: phenolic, flavonoid and volatile compounds (white diamond ◇).