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TOPOGRAPHIC ENERGY MANAGEMENT IN WATER DISTRIBUTION SYSTEMS

--Manuscript Draft--

Manuscript Number:	WARM-D-19-00269R1
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Abstract:	<p>A significant amount of energy is required to operate pressurised water distribution systems, and therefore, improving their efficiency is crucial. Traditionally, more emphasis has been placed on operational losses (pumping inefficiencies, excess leakage or friction in pipes) than on structural (or topographic) losses, which arise because of the irregular (unchangeable) terrain on which the system is located and the network's layout. Hence, modifying the network to adopt an ecologically friendly layout is the only way to reduce structural losses. With the aim of improving the management of water distribution systems and optimising their energy use, this work audits and classifies water networks' structural losses (derived from topographic energy), which constitutes the main novelty of this paper. Energy can be recovered with PATs (pumps as turbines) or removed through PRVs (pressure reducing valves). The proposed hydraulic analysis clarifies how that energy is used and identifies the most suitable strategy for improving efficiency as locating the most suitable place to install PRVs or PATs. Two examples are discussed to illustrate the relevance of this analysis.</p>

EDITOR	
Comment	Action
The paper is indeed interesting, but it needs further scientific and language editing. The authors are advised to consult a native English speaker before submitting the revised manuscript to the journal. We at the journal think that loose writing or texts with grammar and syntax mistakes cannot be processed further for publication. If the authors do not have access to any editing service, they can always ask for assistance the Editing service of Springer for editing at a low cost.	The text has been revised by an English editorial service (Springer Nature Author Services) in order to correct English grammar errors and expressions. Please find attached the Editing Certificate.
ASSOCIATE EDITOR	
The paper has the potential to be published in WARM after careful revision. In the revised version of the paper, the authors are asked to clearly state which is the novelty of the paper for which the paper deserves publication.	The paper has been carefully reviewed and the new version considers all the points and concerns of the reviewers. We recognize that they have done an excellent job and, because of that, we have included our gratitude at the end of the paper. The novelties of the paper have been underlined in the most relevant sections of the paper (abstract and conclusions).
The authors are also advised to follow strictly the Guide for Authors and the section «Statements e.t.c. ». It should be clear that papers of a size which exceeds 8000 words (including text, references, figs and tables) cannot be processed further for publication. Each fig/table is counted for 300 words. Please check that no more than 10 – 15 % of the references are from the same journal and no more than three references are from the same author	We have done an important effort for reducing significantly the extension of the paper: the entire section 4 has been deleted, together with 3 tables and 3 figures. In addition, the main text has been revised in order to reduce as much unnecessary text as possible. As far as the self-citations concerns, they have been cut in half (from six to three). The references from a same journal (Water Resources Planning and Management) have been reduced from 11 to 4. Citations from this journal have been also reduced to 4. It is complicated to further reduce these numbers because most of our previous work has been published in these Journals. As a consequence, the references have been reduced by a third (from 31 to 19).
Finally, avoid parts of text, which have been published previously in other papers of yours. Our i-thenticate system can check for this overlapping percentage. Generally, for papers submitted to the journal, this percentage cannot exceed the 20% limit.	The contents of this paper are new. As usual, new developments are based in previous works. They have been briefly commented in the text as some concepts are needed to understand the paper. Nevertheless, these descriptions have been dramatically reduced in this new version.
REVIEWER 1	
1 INTRODUCTION	
The paper shows the energy analysis in water distribution networks. This is an interesting research and the paper is well organized.	Thank you very much.
The case studies presented are very simple and for more complex networks, especially with multiple sources, the methodology is not clear.	We agree with the reviewer; the examples are very simple in order to clearly illustrate this new methodology, as the purpose of this work is to present its fundamentals. A more complex system can be performed following the explanation of section 2, including the new equation 4, that clarifies the calculation with multiple sources.
The conclusion does not highlight the advantages of this energy analysis when compared with other approaches for design and management of networks	Traditional approaches look for minimize pressures and leaks with mathematical tools (optimization problems). The focus of this new approach is on the structural losses. The nodal topography energy audit is calculated from the networks' behavior (hydraulic approach). These differences have been underlined in the paper and specifically added in the last paragraph of the conclusions section.
The authors should explain in the introduction section what is the novelty of the paper, since the methodology presented in this paper is defined and applied in Cabrera et al (2014) and Cabrera et al (2019).	The novelty of this paper is the nodal topographic energy audit and management. What has been defined in previous papers are two basic concepts: topographic energy (Cabrera et al., 2014) and the structural energy losses (Cabrera et al., 2019). The objective of this work is how to perform a nodal topographic energy audit, how to manage this energy and therefore, how it can be minimized. This point has been clarified in the paper, in particular in the abstract, introduction and conclusions.
Page 3, line 27 – the major problem in intermittent systems is the occurrence of water hammer. This is a different high pressure problem, and this phrase confuses it with the high pressure observed in steady-state conditions	The sentence “It is a proven fact that breakages are three times higher in intermittent supply water systems over a one-year period (Charalambous 2011)” has been removed in order to avoid confusions.
Page 4, line 7 – the terms “pressure” and “energy” are confusingly used	The sentence “Since the layout of the system conditions energy efficiency, pressure management should begin at the design stage” is now “Since the energy efficiency of a water network is conditioned by its layout, pressure management should begin at the design stage”
Page 4, line 24 – the difference between EMA, PMZ and DMA should be highlighted at some point. If the authors consider the same, only one abbreviation should be used	Concerning this comment, the sentence “The differences among EMAs, PMZs and DMAs have been previously discussed (Cabrera et al., 2019)” has been added to the text.
Page 4, line 61 – the term “structural losses” is used for the first time in the introduction. It has to be defined before.	As this term is also mentioned in the abstract, the sentence “structural losses (derived from topographic energy)” has been added. Later, the first time that it is mentioned in the introduction, the reference in which the concept is explained has been included (Cabrera et al. 2019).
2 PRESSURIZED WATER TRANSPORT SYSTEMS. BASIC ENERGY CONCEPTS	
Page 7, line 4 – the term “leaving node” can be understood as a flow through a diverging pipe, so it should be replaced	The term “water leaving node” is now “total volume at node j”. The sentence has been modified as follows: “Where $v_{g,j}$ is the total volume at node j, equal to the water demand at node $v_{c,j}$ plus the leaked volume $v_{l,j}$ ”
Page 7, line 1 – in Eq. (3), how Hhi is defined for a network with multiple sources?	From a source tracing analysis, the percentage of water arriving to each node coming from each source can be known. The new equation 4 clarifies this point.
Page 7, line 49 – in Eq. (5), it is considered that all nodes have the same pressure surplus of the critical node? If so, how this equation is used in networks with multiple sources?	The pressure surplus is the same for all nodes regardless the number of sources of the system. It is a concept strictly linked to the pressure of the critical node and the pressure required (standard value).
Page 9, line 16 – I think the legend and the figure are incorrect. Please verify this or explain this figure better.	The legend of the figure has been corrected and the figure improved. Height and pressure are now indicated with arrows, while the energetic concepts are represented through shaded areas. Figures 1, 2 and 4 have been updated accordingly to maintain uniformity in the work.
3 TOPOGRAPHIC ENERGY BREAKDOWN	
Page 10, line 56 – how these paths are obtained? As the demand changes through the day these paths can change too	Paths are obtained following the water flow. In looped networks, when the demand changes, the water flow may change, and consequently, paths. However, this is not a problem as paths are determined for each instant of time. The text has been modified in order to clarify this concept.

Page 13, line 25 – using the node with maximum energy available to install a PRV and repeat this process after the valve is installed guarantee the best pressure control in the network? Or instead, using intermediary nodes could lead to better results?	The ideal point at which to install a PRV or PAT is the location where the highest amount of manageable topographic energy is accumulated. This node is able to dissipate (or recover) the maximum amount of topographic energy.
Page 13, line 48 – if the tanks are higher, Etr will increase, but also E _{sr} will increase. So, how the indicator rises to 1?	When tanks are located higher than needed, topographic energy will represent a high percentage of the total supplied height. Thus, the indicator is near to 1. The objective of this indicator is to quantify the relevancy of topographic energy in each particular system with the aim of identifying how relevant is topography (irregular terrain). In real networks, a value of 1 is unachievable, as the numerator E _{tr} can never be equal to the denominator E _{sr} =E _{uo} +E _{tr} . This is because E _{uo} cannot be zero while there are nodes demanding flow and pressure. However, the indicator approaches to 1 when the weight of E _{tr} increases compared to E _{uo} . This happens in irregular networks with high elevation differences. The text has been updated after equation 13 for a better comprehension.
4 FACTORS CONDITIONING TOPOGRAPHIC ENERGY TYPES	
Page 15, line 18 – the legend of Fig. 5 must be corrected	This section has been eliminated and the factors conditioning topographic energy have been summarized at the end of section 3.
Page 17, line 38 – the items of the legend can more detailed	This section has been eliminated and the factors conditioning topographic energy have been summarized at the end of section 3.
5 BREAKDOWN OF STRUCTURAL LOSSES LINKED TO LEAKS	
Page 18, line 35 – what operational losses PATs create? From the hydraulic point of view, its operation is equal to a PRV	The objective of a PRV is to remove energy. That is, this device must be, energetically speaking, inefficient, while the objective of a PAT (a hydraulic machine) is to recover energy. The inefficiency of a PAT is an energy that cannot be finally recovered, and therefore, an operational loss. A comment has been added to the text to clarify this point: “If a PAT is installed, on the one hand, operational losses (those of the hydraulic machine) will be included in E _{fr} ; on the other hand, the energy the turbine produces must be subtracted from E _{sr} ”
6 METHODOLOGY APPLICATION AND GENERALISATION	
It would be interesting to see the results in the following cases: a more complex looped network, a network with multiple sources, the use of PATs instead PRVs	We agree that it will be interesting to present more complex systems. However, as previously stated in the second comment of reviewer 1, this paper aims to present the fundamentals of the methodology. Besides, as the associate editor comments, there is not enough space for explaining a complex model in this work. Once the theoretical concepts have been explained with clear examples, complex cases can be faced. In any case, after the reviewers’ comments, it seems evident that this topic has been of great interest to all them, a fact that encourage the authors to face the complete casuistic suggested.
7 CONCLUSIONS	
This section simply summarizes the paper. It should highlight what is the advantages of this energy analysis for design and management of water networks compared to the procedures adopted nowadays	A final paragraph has been added to present the benefits of this methodology.
REVIEWER 2	
Comment	Action
The manuscript, entitled "Topographic energy management in water distribution systems", reviews many energy concepts including operational losses and structural losses in Water Distribution Networks (WDNs). The main subject of this paper is about structural losses to improve the efficiency of WDNs by means of Pressure Reducing Valves (PRVs)/Pumps As Turbines (PATs). Two simple networks (branched and looped WDNs) have been selected to examine the efficiency of the proposed approach. I think this manuscript with substantial revisions can be accepted for publication in the journal of Water Resources Management	Thanks for considering this paper as suitable if improvements are made. In the reviewed version we have considered all the reviewer’s comments.
C1) In this paper, to calculate $Z_{h,j \rightarrow k}$ and $p_{min,j \rightarrow k}$, the possible paths between nodes j and k should be identified. In simple WDNs, it is easy to specify these paths. I think because of the existence of several loops in many real WDNs, it is too difficult to identify these paths without any proper algorithm or software. In this paper, two very simple WDNs have been studied. The authors should illustrate the efficiency of the proposed approach in a more complex WDN by means of a suitable algorithm.	We have added some paragraphs in which we consider this reviewer’s main objection. Basically, we state: <ul style="list-style-type: none"> ▪ We fully agree that is crucial to evidence that the approach is general and, therefore, it can be easily extended to real networks. However, the main aim of this paper is to introduce a new methodology and concepts. For the sake of clarity, the readers must focus on what is new, and novelties can be explained better with simplified examples. In addition, a complex network is not easily to illustrate and needs to be carefully explained. In this case, we are very limited by the paper’s size. ▪ The authors had already developed an algorithm in order to identify water paths in complex WDN. It works with both branched and looped networks. Nevertheless, it has not been presented in this work as the identification of paths is complimentary, not being part of the focus of this study. The limit of space and the complexity of the algorithm made unfeasible its presentation in this work. With the objective of clarifying how paths are determined automatically, the main points linked to the algorithm are outlined in the section 3.
C2) The results of this paper is highly correlated to the obtained nodal pressures and nodal discharges. The authors of this paper take advantage of EPANET software to simulate the hydraulic behavior of the system. Since this software doesn’t consider the relationship between nodal pressures and nodal discharges, why the authors didn’t use other algorithms and software such as WaterGEMS?	In the section 5.1 of the paper this fact is mentioned: “Hydraulic calculations are carried out using EPANET; therefore, the results are obtained assuming a demand-driven approach for user consumption, while leaks (loaded as emitters) are considered pressure-driven demand. Nevertheless, this nodal structural loss audit could be improved with a global pressure-driven formulation (Ciaponi and Creaco, 2018). The proposed structural losses audit could be performed from any of these two perspectives. Nevertheless, regardless of the approach followed, both the concepts explained and the methodology followed would not change.”
C3) In page 22, line 30, the authors stated that "adjusting the pump speed is more efficient than installing a PRV" in case study 1. On what basis did the authors change the speed of the pump? What is its new speed? Did the authors make scenarios to achieve the best results? These explanations should be added to the manuscript to let the readers follow the results.	The following paragraph has been added in the paper: “By reducing the relative speed of the pump to 0.976, the pressure at the critical node equals to the required pressure. This is more efficient than installing PRV since, with this action, the E _{er} is eliminated, being the E _{sr} lower. ($\Delta E_{sr} = 2.29$ kWh/h).” If a PRV is installed, the energy is also reduced. However, it is dissipated as friction energy. Therefore, ΔE_{sr} would be lower.

<p>C4) Table 2, for node N1, 78.54 mWc is the head of the node not its pressure. The pressure is 28.54 (mWc). $p_j (28.54 \text{ mWc}) + \text{elevation} (50 \text{ mWc}) = \text{head} (78.54 \text{ mWc})$</p>	<p>Thank you for pointing us this error. The mistake is in the height of the node N1 that should be 0m instead of 50m. This node is just after the pump's outlet and has its same height (0m). This has been changed in the current table 1.</p>
<p>C5) In case study 2, the total head of N1 is 200 m but it seems Efr (in Table 7) is calculated considering the total head of 250 m. please check the results of this table and provide me with the calculation.</p>	<p>This difference is due to the reference level, which is 50m, corresponding to the node N2 the lowest node in the system. The calculus is correct. Equation 3 has been modified as there was one concept missing, z_l, the height of the lowest node. Now, it has been included.</p> <p>The energy lost due to friction losses in each node is obtained as follows:</p> $E_{fr} = \gamma \sum v_{g,j} \left[H_{hi} - \left((z_j - z_l) + \frac{p_j}{\gamma} \right) \right]$ <p>As the height of lowest node is 50m (node N2), this will be the reference level of the calculus (z_l). Therefore, as shown in this equation, to the height of each node (z_j), it will be subtracted z_l.</p> <p>H_{hi} will be 200m at any moment, as it corresponds to the height of node N1. Next, it is shown the calculation for 2 nodes of the system, N2 and N3:</p> <p>For N2 we have that $z_j=50\text{m}$. This node is also the lowest one, and, therefore, the reference node. Consequently, z_j final will be equal to $z_j-z_l=0\text{m}$. $v_{(r,j)}$ is 25.84 l/s = 93,02 m³/h, and the pressure is 142.8 mWc. Thus,:</p> $E_{fr} = \gamma \sum v_{g,j} \left[H_{hi} - \left((z_j - z_l) + \frac{p_j}{\gamma} \right) \right] = [9,81 \cdot 93,02 \cdot (200 - ((50 - 50) + 142.8))] / 3600 = 14.5 \text{ kWh/h}$ <p>For N3 we have that $z_j=150\text{m}$. Consequently, z_j final will be equal to $z_j-z_l=100\text{m}$. $v_{(r,j)}$ is 30.43 l/s = 109.55 m³/h, and the pressure is 37.92 mWc. Thus,:</p> $E_{fr} = \gamma \sum v_{g,j} \left[H_{hi} - \left((z_j - z_l) + \frac{p_j}{\gamma} \right) \right] = [9,81 \cdot 109,55 \cdot (200 - ((150 - 50) + 37.92))] / 3600 = 18.53 \text{ kWh/h}$
<p>C6) The suitable figure must be provided in section 2 to explain the parameters of the equations</p>	<p>This figure has been improved in order to clarify these concepts. Height and pressure are now indicated with arrows, while the energetic concepts are represented through shaded areas. Figures 1, 2 and 4 have been updated accordingly to maintain uniformity in the work.</p>
<p>C7) The paper is well written in English, but there are some incomprehensible and long sentences in the manuscript. For instance: - Page 2, line 5: "This is recognized in the manuals tackling the challenge of reducing unaccounted water from a general perspective" - Page 4, line 1: "By installing PRVs or PATs the initial balance is altered with a new energy term, equal to the flow through them times the decrease in pressure they produce." - Page 4, line 30: "The result (Q,p) is related to the power required by users, which, extended over a specific period of time, is the energy delivered to users." - Page 6, line 18: "Removing in equation 3 the consumed volume at the corresponding node, that nodal formulation allows a direct calculation of the total contribution of leaks to friction losses." - Page 7, line 15: "Topographic energy is not in itself a loss of energy as is the case of energy lost through operational losses." And also, "However, it is still an inefficiency, and should be corrected as far as is reasonably possible, since it means that more energy that strictly necessary is supplied." - Page 10, line 50: "Reducing it would mean that the required supply pressure would not be reached at nodes located downstream." - Page 11, line 56: "In short, the total volume of all nodes downstream from start node j must be taken into account, and the fact that they are on one of the possible paths leading to node k." - Page 14, line 58: "With a variable source, all of it is excess energy, i.e. losses that can be avoided by regulating the pumping station." - Page 20, line 23: "Table 3 shows the different overall energy balance terms by node" - Page 22, line 7: "For the annual calculation, it (?) must be multiplied by the hours per year the system is operated" - Page 22, line 55: "Once the PRV has been installed, the indicators referring to topographic energy improve." - Page 26, line 8: "Hence, its name, structural losses, to distinguish it to operational losses, is linked to the operation of the system."</p>	<p>The text has been revised in order to fix long sentences. Besides, it has been revised by an English editorial service (Springer Nature Author Services) in order to correct English grammar errors and expressions. Please find attached the Editing Certificate.</p>
<p>This manuscript should be revised by an English native speaker. Using proper position of commas and shortening the sentences could improve the readability of the manuscript. The following mistakes are also should be corrected: - Page 1, line 33: "loses" should be "losses". - Page 3, line 44: "This is mature technology" should be "This is a mature technology" - Page 3, line 53: please change the word "excessive" to "excessive"</p>	<p>The text has been revised by an English editorial service (Springer Nature Author Services) in order to correct English grammar errors and expressions. Please find attached the Editing Certificate.</p>
<p>C1) A term in the format of abbreviations with capitalized letters should be introduced with the full spelling at its first appearance, followed by its abbreviation immediately. In some cases, this manuscript does not follow this rule. For instance: In page 1, line 43 and 44 (abstract) and in page 3, line 1.</p>	<p>Abbreviations have been revised to ensure that on their first appearance the full spelling is available.</p>
<p>C2) If the authors considered the specific weight of water (γ) to be constant, γ can be taken out from the sigma operator in Equations (6), (9), (14).</p>	<p>This change has been included in the paper.</p>
<p>C3) The symbols of variables should be kept constant in the manuscript. In this respect, please apply the following changes if they are applicable:</p>	<p>These changes have been included in the paper.</p>

In Table 2: v_j should be $v_{c,j}$ In Table 4: $E_{m,t,j}$ should be $E_{mtr,j}$ as in Equation (9) In Table 6: $v_{r,j}$ should be $v_{g,j}$ In Table 6: v_j should be $v_{c,j}$ In Table 7: E_{lr} should be E_{olr} as in Equation (14) In Table 8: $E_{at,r,j}$ should be $E_{ftr,j}$ In Table 8: $E_{m,t,j}$ should be $E_{mtr,j}$ In Table 9: E_{lr} should be E_{olr} as in Equation (14) In Table 9: $E_{m,t,j}$ should be $E_{mtr,j}$	
C4) For figures that contain multiple parts, the title of each part should be on the bottom of each one. Please revise the title of each part in each figure.	In figures with multiple parts it has been included the title in each part of the figures.
C5) In the caption of Figure 1, the description of part (a) should be replaced with the description of part (b). "Graphic energy balance for (a) variable and (b) rigid energy sources"	This change has been included in the paper.
C6) Parts (a) and (b) are not specified in Figure 4 and also they are not explained in the main text of the manuscript	Parts (a) and (b) of this figure have been specified in the figure: (a) managing topographic energy without a PRVs and (b) managing topographic energy with a PRVs. This figure was already explained in the Main text after equation 10.
C7) Is Figure 5 really necessary for this paper? If it should be in this manuscript, the explanation of this figure should be provided in section 4.1. Also, this figure must have the description of parts (a) and (b) in the figure caption.	This section has been removed and the factors conditioning topographic energy have been summarized at the end of section 3.
C8) In Figure 6, there are titles 6b and 6c in the left bottom of each part. These two titles should be deleted.	This section has been removed and the factors conditioning topographic energy have been summarized at the end of section 3.
C9) The caption of Figure 7 should be revised to "Energy in networks with different profiles: a) increasing (without consumption); b) increasing (with uniform consumption); c) decreasing; d) irregular"	This section has been removed and the factors conditioning topographic energy have been summarized at the end of section 3.
C10) In Figure 8 and Figure 9, for the better presentation of WDNs, all the "mm" and the "m" units can be omitted. Instead of "m" and "mm" for each number, two descriptions can be added to these two Figures: "Diameters in mm" and "Lengths in m".	
C11) In page 23 at line 13, the authors mentioned that "Table 6 (similar to Table 2) shows the node and pipe specifications (roughness 0.1 mm) of this network". This table is only about the nodes. Therefore, this sentence should be revised.	This sentence has been revised as suggested and modified as follows: "Table 4 (similar to Table 1) shows the node specifications (roughness 0.1 mm) of this network."
C12) In Table 3 and Table 7, because of the correlations between variables, the results should be rounded at the end of the calculations not at the beginning.	Calculations have been performed with a spreadsheet. Thus, no rounded has been done during this process. Nevertheless, as we have a problem of space in the table that shows the results, we need round to the second figure. In any case, the reviewer is right, because the final result does not match with the partial calculations. Now results have been amended and calculations match.
C13) The names of the nodes in Figure 9 are N1 to N7. The authors should replace the nodes 2 to 7 in Table 7 and Table 8 with N2 to N7	The names of the nodes in Table 7 and Table 8 have been modified as suggested.
C14) It would be better to write descriptions for the first row and the first column of Table (5) and Table (9).	We are aware that it would be easier for the reader. However, they do not fit in the cells due to space limitations. In order to clarify it, in the first column, the name of each of the scenarios has been detailed as: "Initial scenario" and "Final scenario" in Table 3, and "without PRV" and "with PRV" in Table 6.
C15) For the better illustration of the results, put the results of E_{er} in Table 9	The excess energy (E_{er}) is zero as the system is rigid, as displayed in table 5. In table 6 it has been included a column where indicates that $E_{er}=0$, and columns have been reordered in order to coincide with those of table 3 from the case 1. Therefore, with this action authors believe results will be easier to understand.
C16) In Equation (12), E_{sr} is used without any explanation. I think this term of energy should be explained just before section 3.	The term E_{sr} was already used and explained after equation 1: " <i>The total energy supplied to the system, E_{sr}.</i> " and after equation 12 (13 in the revised paper): " <i>The first one, represents the percentage of topographic energy E_{tr} in the total energy supplied to the system E_{sr}.</i> " In equation 15, E_{sr} is further explained with more details when the energy balance is exposed.
C17) In page 5, line 37: Explain about E_{er} together with the other energy concepts.	The excess of energy has to be subtracted in order to avoid it to account as topographic energy. In order to clarify this, the following sentence has been added after equation 7: "The excess energy existing in each node ($E_{er,j}$) must be subtracted to avoid quantifying it as topographic energy".
C18) In page 5, line 42: Please mention that E_{pr} is not considered in this paper.	It has been mentioned: "The first source of losses, E_{pr} , is the one that usually requires closer attention. These losses are obtained directly from different pump characteristic curves. In this work E_{pr} is not considered."
C19) In page 16, line 8: "and each relative maximum (what?) will require individual study."	This section has been removed and the factors conditioning topographic energy have been summarised at the end of section 3. Although it has been removed from the paper, the explanation is the following: In the case of systems as the one displayed in old figure 7b, topographic energy is unavoidable. In the case of systems as the one shown in old figure 7c, topographic energy is manageable. However, in systems in irregular terrains (old figure 7d), it has to be studied for each node the kind of existent energy, and it will depend on the relative highest points of the system.
C20) Provide the references for "top-down approach" in page 2 line 60 and "stablishing EMA" in page 3 line 1.	The reference is Cabrera et al, 2019, and it has already been cited in the requested location.
C21) What does the authors mean by "they" in page 5 and line 11?	The sentence has been modified in order to clarify its meaning.
C22) Page 4, line 48 and 49: "the lowest node" should be "the height of the lowest node" and also, in page 10, line 3: "the highest node" should be "the height of the highest node"	These sentences have been modified: "The height of the lowest node in the system, z_1 , is the reference of the system heights."

	<p>“Among all the nodes on the paths flowing between j and k, the height of the highest node of all will be $z_{(h, j \rightarrow k)}$.</p> <p>A similar sentence has also been modified in Case 2. The new sentence is: “The height of the lowest node (N2) is taken as the reference”</p>
C23) Page 5, line 55: "vi the volume through pipe i" should be "vi the flow rate in pipe i"	vi is volume as it is calculated as flow rate per time interval. In the first part of the expression it is used the flow rate (qi). When multiplied by the time interval, in the second part of expression 2, it becomes volume.
C24) Page 17, line 12: "energy and excess (?)", in page 17, line 10, "energy and excess (?)", and also in page 6, line 53, "the excess (?)"	<p>This excess is referred to the excess energy (Eer), while the excess of line 53 is referred to excess of pressure. It has been clarified in the main text as follows:</p> <p>Consequently, the operational loss linked to leaks is E_{lr}^p, whereas the complementary summand E_{lr}^e is included in the topographic energy and excess energy. This approach means that we are able to calculate the amount of energy embedded in leaks caused by topographic energy and excess energy.</p> <p>“If the energy source is a rigid one, the excess pressure at the critical node is a structural loss, which is explained as follows.”</p>
REVIEWER 3	
1. Originality: The paper discusses some possible energy efficiency improvements in pressurized water systems. To this aim "topographic" energy is managed, using PRVs and PATs or by network layout improvement at design time. Authors already published several papers on this topic. However, original elements can be found in the applicative examples and in the insights given for the topographic energy break down.	Thank you.
2. Scientific Quality: Concepts, assumptions and methods are well stated and the applicative examples are clear. Nevertheless, some imperfections and inaccuracies are present in the paper and should be revisited.	The paper has been carefully revisited, considering all the reviewer's suggestions.
3. Relevance to the Field(s) of this Journal: The paper is in agreement with the selected journal targets.	Thank you.
4. Abstract: The problem position, the research carried out and main findings are properly synthesized in the abstract.	Thank you.
5. Introduction: Background information and research problem are well posed. Research objective(s) are correctly delineated.	Thank you.
6. Literature Review: Literature review is appropriate and accurate and reports recent papers concerning the same topic. Several of these papers are from the same Authors.	This point (high number of self-citations) has been underlined previously by the Associate Reviewer in his third comment. As can be seen in our answer to that comment, the number of self-citations have been reduced from 6 to 3.
7. Methodology: The research carried out is well described as well as the conceptual framework. The text is clear and all formulas are explained or suitable references are provided. Examples are clear and instructive for the reader.	Thank you.
8. Results and Conclusions: The paper states that structural losses should be reduced at design stage. But only a limited part can be managed when system is in operation. However, some synthetic metrics are need to understand how much of such energy can recovered or removed. The proposed nodal energy analysis is firstly applied to a branched and then to a looped network. It seems applicable to both the two kind of networks and examples results encourage for further research effort on this topic, mainly for more complex network layouts.	All reviewers have a similar comment. Due to the interest this topic has raised, authors have been encouraged to present more complex networks in further works.
9. References / Bibliography: It is advisable to reduce the number of self-citations.	As previously commented, they have been reduced from 6 to 3.
10. Figures: Some figures have some inaccuracies mainly in the units of the variables represented in the pictures. For instance, in figure 1 Hhi, which is measured in meters above the reference (energy for weight unit), is compared directly with energy components (Esr, Efr, Eer,...), which, accordingly to the text and formulas, are measured in kJ.	Figures have been corrected and improved in order to clarify the concepts. Height and pressure are now indicated with arrows, while the energetic concepts are represented through shaded areas. Figures 1, 2 and 4 have been updated accordingly to maintain uniformity in the work.
11. Reviewer's Decision Comment: the paper is suitable for publication but some minor corrections should be done	We think that the corrected paper considers all points mentioned by editors and reviewers.
MINOR CORRECTIONS:	
1. Formulas 1 to 10, 14, 15: To get better readability it is advisable make explicit the summation index;	It has been explained in Equation 1. The summation makes reference to all nodes from $j=1$ to $j=n$, being n the number of nodes. The index has been included in all these equations.
2. pag 6, row 11 and 18: review "header height". Hydraulic head is a more appropriate term;	These sentences have been modified: "hydraulic head"
3. pag 6, row 32: "higher or lower degrees of" this specification seems unnecessary;	The sentence has been modified by deleting these specifications: "These losses are located in pumping stations, Epr, in pipes as a result of friction, Efr, and through leaks, Elr."
4. pag 9: review caption of Fig.1. Descriptions of the pictorial schemes should be reversed;	This change has been included in the paper.
5. pag 9: review figure (Fig. 1) in order to compare variables expressed in the same measurement units;	Figure 1 has been improved in order to clarify the concepts. Height and pressure are now indicated with arrows, while the energetic concepts are represented through shaded areas. Figures 1, 2 and 4 have been updated accordingly to maintain uniformity in the work.
6. pag 9, row 43: reference should be revisited. Giugni et al... instead of Guigni et al...;	This reference change has been included in the paper.
7. pag 10: review Fig. 2. See note 5;	Figure 2 has been improved in order to clarify the concepts. Height and pressure are now indicated with arrows, while the energetic concepts are represented through shaded areas. Figures 1, 2 and 4 have been updated accordingly to maintain uniformity in the work.
8. pag 10, rows 29 to 34: review punctuation;	The paper has been carefully revisited, included punctuation.

	The text has been revised by an English editorial service (Springer Nature Author Services) in order to correct English grammar errors and expressions. Please find attached the Editing Certificate.
9. pag 10, rows 41: elevation seems more appropriate than height;	This part of the paper has been deleted due to length restrictions.
10. pag 12, formula 10: explicit summation index seems necessary for a more clear readability.	This change has been included in the paper. The summation is from $j=j$ to $j=k$, being j the studied node and k the node at the end of the path that carries water from j .
11. pag 13: review Fig. 4. See note 5;	Figure 4 has been improved in order to clarify the concepts. Height and pressure are now indicated with arrows, while the energetic concepts are represented through shaded areas. Figures 1, 2 and 4 have been updated accordingly to maintain uniformity in the work.
12. pag 16: review Fig. 6. See note 5;	This figure and this section have been removed. The factors conditioning topographic energy have been summarised at the end of section 3.
13. pag 16, row 47; statement "where there is uniformly distributed consumption along the pipe" is not in agreement with figure 7b. Energy losses has a non linear behavior in case of uniformly distributed consumption along the pipe.	Figure 7 has been deleted. Nevertheless, the reviewer is right, the energy losses would not be lineal.

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1 TOPOGRAPHIC ENERGY MANAGEMENT IN WATER DISTRIBUTION SYSTEMS

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11

12 ABSTRACT

13 A significant amount of energy is required to operate pressurised water distribution systems,
14 and therefore, improving their efficiency is crucial. Traditionally, more emphasis has been
15 placed on operational losses (pumping inefficiencies, excess leakage or friction in pipes) than
16 on structural (or topographic) losses, which arise because of the irregular (unchangeable) terrain
17 on which the system is located and the network's layout. Hence, modifying the network to adopt
18 an ecologically friendly layout is the only way to reduce structural losses. With the aim of
19 improving the management of water distribution systems and optimising their energy use, this
20 work audits and classifies water networks' structural losses (derived from topographic energy),
21 which constitutes the main novelty of this paper. Energy can be recovered with PATs (pumps
22 as turbines) or removed through PRVs (pressure reducing valves). The proposed hydraulic
23 analysis clarifies how that energy is used and identifies the most suitable strategy for improving
24 efficiency as locating the most suitable place to install PRVs or PATs. Two examples are
25 discussed to illustrate the relevance of this analysis.

26 **Keywords:** topographic energy, water distribution systems, energy efficiency, pressure
27 management, energy balance

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28 1 INTRODUCTION

29 Pressure management is unanimously qualified as an essential strategy for improving the
30 efficiency of water networks, as is recognised in the manuals tackling the challenge of reducing
31 water losses from a general perspective (EU 2015). Managing pressure in water networks has
32 been the objective of many papers ranging from general reviews to more specific work dealing
33 with the practicalities of how this ambition can be fulfilled (Walski et al. 2006). Any surplus
34 pressure over the level established in supply standards (urban networks) or over the level
35 required by sprinklers or drip feed systems (irrigation networks) only leads to problems,
36 namely, increased leakage and pipe breakage (Lambert et al. 2013), particularly if the pressure
37 is fluctuating (Agathokleous and Christodoulou 2016). In short, any surplus pressure
38 contributes to water and energy inefficiencies and shortens the average lifespan of pipes
39 (Lambert and Thornton 2012). Moreover, it is worth remembering that managing water pressure
40 has other consequences. On the one hand, citizens who are used to a high pressure associate a
41 low water pressure with a relatively poor service quality. On the other hand, water supply
42 companies report lower earnings in conjunction with lower consumption, which is dependent
43 on the water pressure. In any case, these apparent drawbacks are easily manageable with
44 environmental education.

45 Since the energy efficiency of a water network is conditioned by its layout, pressure
46 management should begin at the design stage. Dealing with the problem during the design stage
47 (i.e., a top-down approach) and establishing EMAs (energy management areas) (Cabrera et al.
48 2019), are more effective strategies than modifying an operating system. When a system is
49 already operating, pressure management is implemented as follows:

50 a) Installing pressure reducing valves (PRVs) to dissipate surplus energy. In addition, by
51 reducing pressure, leaks are minimised, as is the embedded energy, while friction, which is
52 linked to circulating flows, is also reduced (Cabrera et al. 2010). Installing PRVs is the most

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common method and has been studied in depth concerning its cost, effectiveness and ease of implementation. Different studies have analysed how many PRVs should be installed (Creaco and Franchini 2013), where they should be placed (Saldarriaga and Salcedo 2015) and how to size them (Covelli et al. 2016).

b) Sub-dividing the network into pressure management zones (PMZs) in an attempt to operate them as district metered areas (DMAs) (Lambert et al. 2013). Creating PMZs is highly dependent on the initial network layout (Castro Gama et al. 2014). The differences among EMAs, PMZs and DMAs have been previously discussed (Cabrera et al. 2019).

c) Installing pumps as turbines (PATs). This option maintains the benefits of PRVs (Patelis et al. 2017) and recovers energy, an advantage that compensates for the complexity involved in regulating a hydraulic machine (in which the flow rates are highly variable over time). However, integrating the generated energy into the electricity loop is not a simple matter, and therefore, this approach is usually used for self-consumption. Installing PATs in optimum places obeys criteria similar to those of PRVs (De Paola et al. 2017). This is a mature technology (Fecarotta et al. 2014), although few systems operating at a real scale utilise this option (Muhammetoglu et al. 2017).

In short, we can “reduce”, “recover” or “remove” surplus energy linked to excess pressure (Cabrera et al. 2019). The differences among these strategies are significant. Reducing focuses on pressure (an intensive variable), whereas recovering and removing refer to energy (an extensive variable). Therefore, by modifying the layout, both pressure and structural losses (Cabrera et al. 2019) are reduced at the source. By installing PRVs or PATs, the initial balance is altered with a new energy term, equal to the flow through them times the decrease in pressure they produce.

This paper reviews energy concepts that have already been introduced concerning water distribution systems, particularly the differences between operational and structural losses. This review also updates the terminology related to the energy balance employed in previous papers.

79 Structural losses, the subject of this paper, are then broken down to assess and manage
 80 topographic energy with the aim of improving water transport efficiency. The focus of this
 81 proposed comprehensive approach is illustrated in two networks (branched and looped).
 82 Finally, the differences between the traditional approaches and the method suggested in this
 83 paper are highlighted. Most of the current methodologies consist of optimisation algorithms
 84 (that is, mathematical tools) that seek to minimise pressures and leaks (Creaco and Pezzinga
 85 2018). Our focus straightforwardly aims to minimise structural energy losses. Although
 86 structural energy losses are strongly related to pressure and leaks, they are different concepts.
 87 Therefore, the proposed method is mainly a physics approach, which can be easily followed in
 88 the simple proposed examples. In any case, guidelines to generalise the procedure to complex
 89 real systems are duly outlined.

91 **2 PRESSURIZED WATER TRANSPORT SYSTEMS: BASIC ENERGY CONCEPTS**

92 The aim of a pressurised water distribution system is to efficiently deliver the water flow users
 93 require (Q) at the established pressure (p). The result ($Q \cdot p$) is related to the power required by
 94 users, which, extended over a specific period of time, is the energy delivered to users. If water
 95 is supplied at the pressure established in the standards, the sum of the energy delivered to each
 96 user (j) is the minimum energy required by the system E_{uo} :

$$E_{uo} = \gamma \sum_{j=1}^n v_{c,j} \left[(z_j - z_l) + \frac{p_{0,j}}{\gamma} \right] \quad (1)$$

97 where γ is the specific weight of water; n is the number of users; j is the index for users, ranging
 98 from 1 to n ; $v_{c,j}$ is the volume of water consumed at node j during the considered period; z_j the
 99 height of node j ; and $\frac{p_{0,j}}{\gamma}$ is the minimum supply pressure at node j . The height of the lowest
 100 node in the system, z_l , is the reference system height.

101 The total energy supplied to the system, E_{sr} , is calculated by adding E_{uo} to the energy losses in
102 the system (operational and structural losses). These concepts, in addition to those that will be
103 discussed in this work, have been established in previous works (Cabrera et al. 2010; Cabrera
104 et al. 2015; Cabrera et al. 2019).

105 **2.1 Energy supply sources**

106 Water supply sources inject water into the system, adding a specific amount of energy per unit
107 volume (kWh/m^3), thereby conditioning the energy efficiency of the network. If the established
108 pressure is exceeded at the least favourable node, this leads to system inefficiency.

109 Depending on whether supply sources are able to regulate the hydraulic head, those sources can
110 be either rigid or variable (Cabrera et al. 2019). Tanks and reservoirs supply gravitational
111 energy to water, and since the height of the supply, H_{hi} , is almost constant (with only small
112 level variations inside the tanks), the hydraulic head cannot be regulated. Tanks and reservoirs
113 are therefore rigid sources. On the other hand, pumps installed with variable-frequency drivers
114 are variable energy sources because the unitary injected energy, H_{hi} , can be adjusted by
115 modifying their operating point.

116 **2.2 Operational losses**

117 Operational losses are those that depend on the operation of the network. These losses are
118 located in pumping stations, E_{pr} , in pipes as a result of friction, E_{fr} , and through leaks, E_{lr} . There
119 are other losses, such as breakages in tanks, in the network itself or in household tanks, all of
120 which are collectively denoted as E_{or} .

121 The first source of losses, E_{pr} , is the one that usually requires closer attention. These losses are
122 obtained directly from different pump characteristic curves. In this work, E_{pr} is not considered.

123 The second source of losses in the network, that is, friction losses, E_{fr} , is expressed in equation
124 2 for a given time interval, Δt (Cabrera et al. 2010):

$$E_{fr} = \gamma \sum_{i=1}^m q_i \Delta h_i \Delta t = \gamma \sum_{i=1}^m v_i \Delta h_i \quad (2)$$

125 where q_i is the flow in pipe i ; m is the number of pipes; Δh_i is the head loss in pipe i ; and v_i is
 126 the volume through pipe i in the given time interval. Nevertheless, as the energy balance is
 127 nodal, it is worth expressing friction losses in terms of nodes, leading to the following:

$$E_{fr} = \gamma \sum_{j=1}^n v_{g,j} \left[H_{hi} - \left((z_j - z_l) + \frac{p_j}{\gamma} \right) \right] \quad (3)$$

128 where $v_{g,j}$ is the total volume at node j , equal to the water demand at node $v_{c,j}$ plus the leaked
 129 volume $v_{l,j}$ through half of the pipes converging at node ($v_{g,j} = v_{c,j} + v_{l,j}$), while $\frac{p_j}{\gamma}$ is the
 130 pressure at node j . Equation 3 therefore provides the friction losses occurring between the
 131 source and each node for the total volume of water in each of the nodes. Analytically, equations
 132 2 and 3 give the same result. Removing the consumed volume at the corresponding node from
 133 equation 3, the nodal formulation allows a direct calculation of the total contribution of leaks
 134 to friction losses.

135 In systems with multiple sources, the percentage of water that arrives at each node from any of
 136 the sources must be known. In this case, the nodal friction E_{fr} should be calculated by
 137 weighting, according to each source, the friction corresponding to the water volume at each
 138 node, as stated in equation 4:

$$E_{fr} = \gamma \sum_{j=1}^n \sum_{s=1}^k \alpha_{s,j} v_{g,j} \left[H_{hi,s} - \left((z_j - z_l) + \frac{p_j}{\gamma} \right) \right] \quad (4)$$

139 where $\alpha_{s,j}$ is the percentage of water arriving at node j coming from source s ; $H_{hi,s}$ is the
 140 piezometric head of the corresponding source s ; and k is the number of sources. In what follows,
 141 we assume systems with only one source.

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142 On the other hand, the embedded energy in leaks (E_{lr}) is equal to the leaked volume by the
143 piezometric height at the node where the leak is located. This leads to the following nodal
144 equation:

$$E_{lr} = \gamma \sum_{j=1}^n v_{l,j} \left[(z_j - z_l) + \frac{p_j}{\gamma} \right] \quad (5)$$

145 Finally, if the supply is coming from a variable source and there is an excess pressure at the
146 critical node, this is attributed to a deficient pumping regulation, as the energy requirements
147 have not been adjusted to the critical node needs. This energy surplus, E_{er} , is therefore an
148 operational loss, as shown in Fig. 1a. The value for this loss is obtained as follows:

$$E_{er} = \gamma \sum_{j=1}^n v_{g,j} \left(\frac{p_{min}}{\gamma} - \frac{p_{0,j}}{\gamma} \right) \quad (6)$$

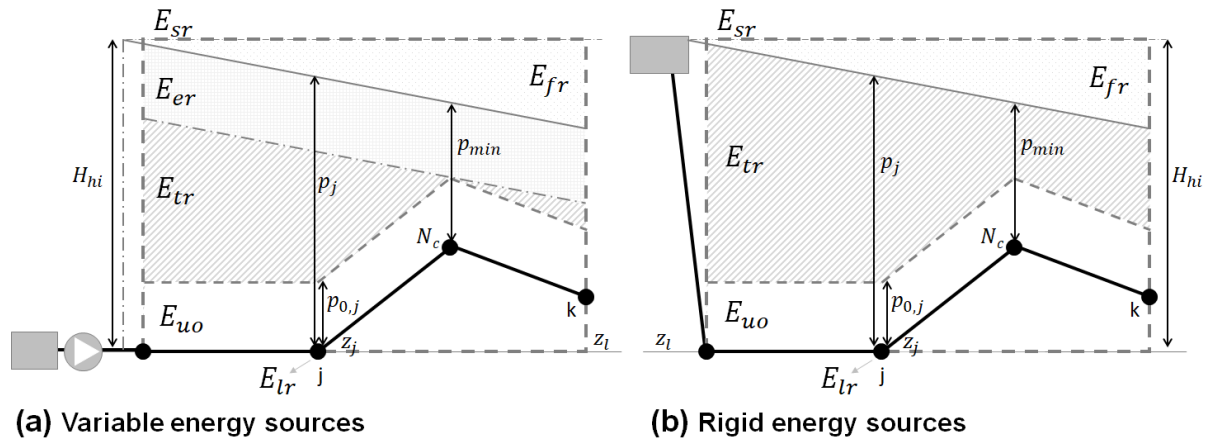
149 where $\frac{p_{min}}{\gamma}$ is the minimum pressure in the system. If the energy source is a rigid source, the
150 excess pressure at the critical node is a structural loss, which is explained as follows.

151 **2.3 Structural losses: topographic energy**

152 While operational losses depend on how the system is managed, structural losses are inherent
153 to the topography and layout (network, tank heights, etc.). Since users are located at different
154 heights, to supply the right pressure to the critical node, the remaining nodes are supplied at a
155 pressure over the required minimum. Consequently, more energy will be delivered than is
156 required. Topographic energy (E_{tr}) is basically excess energy linked to the topography and
157 network structure, as its name suggests (Cabrera et al. 2015). Topographic energy is not in itself
158 a loss of energy, as is the case of energy lost through operational losses. However, topographic
159 energy is still an inefficiency and should be corrected as far as is reasonably possible since it
160 means that more energy is supplied than is strictly necessary. The value of topographic energy
161 is obtained as follows:

$$E_{tr} = \gamma \sum_{j=1}^n v_{g,j} \left(\frac{p_j}{\gamma} - \frac{p_{0,j}}{\gamma} \right) - E_{er,j} \quad (7)$$

162 The excess energy existing in each node, $E_{er,j}$, must be subtracted to avoid quantifying it as
 163 topographic energy. Tanks (as with any rigid energy source) lead to inefficiencies since they
 164 are unable to adapt to the exact energy requirements at the critical node over time. In the best-
 165 case scenario, with the height being designed to avoid excesses at the least favourable node
 166 during peak hours, as demand falls, there will be an energy excess (inefficiency) at the critical
 167 point. While pumps can be regulated, tanks cannot (they have small level variations that are not
 168 used to regulate the pressure within the system). Consequently, energy surpluses are considered
 169 inevitable. Fig. 1 illustrates the difference (Fig. 1a shows the situation for a variable source,
 170 while Fig. 1b shows that for a rigid source).

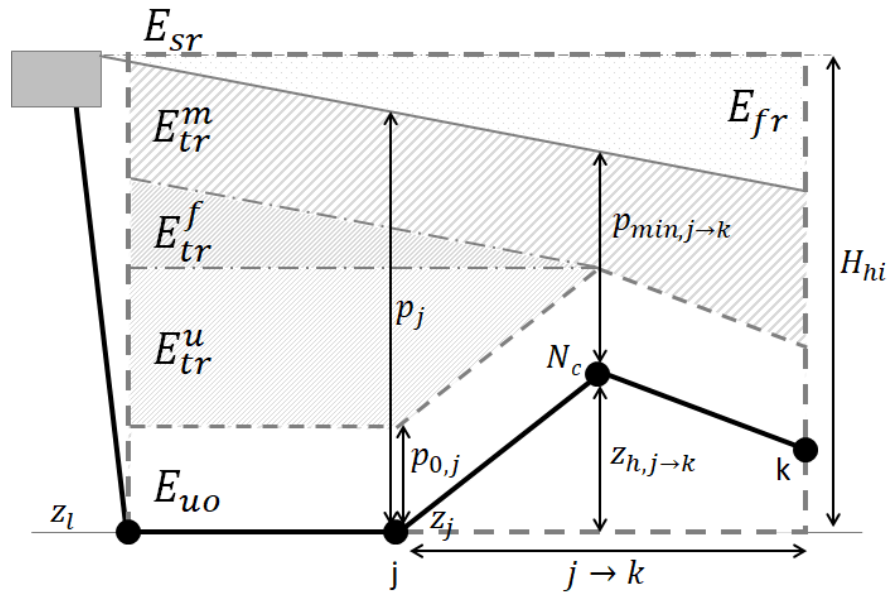


171 **Fig. 1** Graphic illustrations of the energy balance for (a) variable and (b) rigid energy sources

173 Finally, it must be stated that operational and structural losses are coupled. The former depend
 174 on the hydraulic gradient (variable over time), which in turn conditions the latter. Therefore,
 175 overall optimisation requires a comprehensive analysis.

176 3 TOPOGRAPHIC ENERGY BREAKDOWN

177 To reduce topographic energy as far as possible without compromising the supply pressure at
 178 nodes, topographic energy should be broken down into three categories: unavoidable (E_{tr}^u),
 179 linked to flow (E_{tr}^f) and manageable (E_{tr}^m), as displayed in Fig. 2. To calculate these
 180 components, the downstream path (or paths) of the flow from the analysis node (start point)
 181 must be known. This is necessary to guarantee the required supply pressure at all nodes. Hence,
 182 a comprehensive analysis of the system is carried out, thus avoiding correction factors (Giugni
 183 et al. 2014). The process is described in the following.



184

185 **Fig. 2** Topographic energy breakdown with a rigid supply source

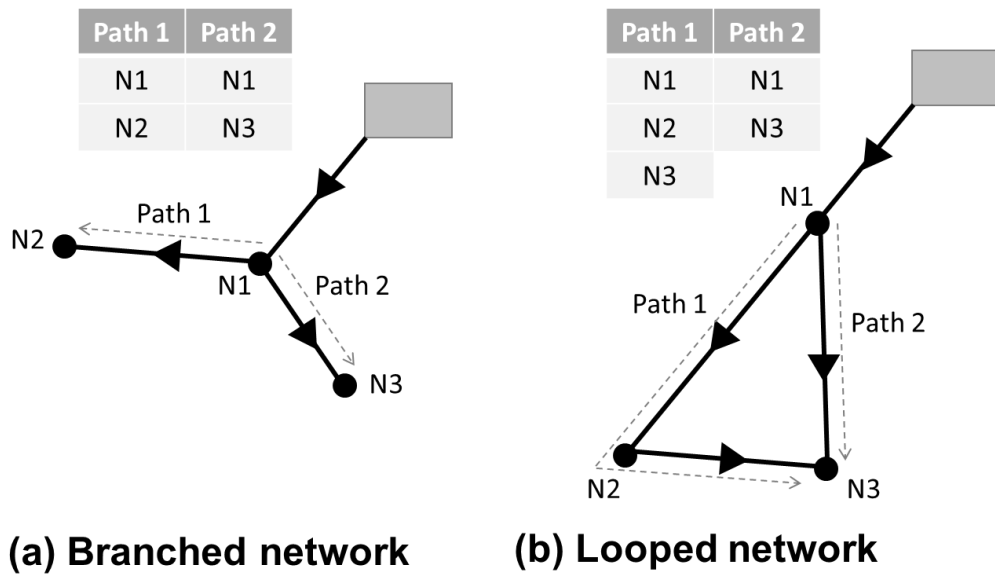
186 3.1 Unavoidable topographic energy

187 Unavoidable topographic energy is linked to the energy needed to supply a high-elevation point
 188 in a network in an ideal situation (no friction losses). Such energy cannot be avoided except by
 189 modifying the layout and can be defined as follows:

$$E_{tr}^u = \gamma \sum_{j=j}^k v_{g,j} (z_{h,j \rightarrow k} - z_j) \quad (8)$$

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190 where $z_{h,j \rightarrow k}$ is the height of the highest node along the possible paths between the study node
 191 j and nodes k . The k nodes are the final points of consumption along the paths carrying water
 192 downstream from j . In branched networks, the k nodes are always terminal nodes, and there
 193 will be as many paths as there are end nodes. Fig. 3a shows that to analyse node $N1$ (study node
 194 j), there are two paths of water downstream from $N1$ that end at nodes $N2$ and $N3$ (the k nodes).
 195 In looped networks, the situation is similar, but we need to bear in mind that water can flow
 196 down different paths from j to the same k node, and consequently, all of them must be analysed.
 197 To analyse node $N1$ in Fig. 3b, there are two different paths leading to the same k node, i.e.,
 198 node $N3$. Among all the nodes along the paths flowing between j and k , the height of the highest
 199 node of all will be $z_{h,j \rightarrow k}$.



200

201 **Fig. 3** Possible paths between the study node j and nodes k for (a) a branched network and (b)
 202 a looped network

203 Water paths are obtained following the direction of circulation of the water flow. In branched
 204 networks, water always flows in the same direction, and its determination can be simply
 205 performed: the flow has only to be followed from the source through the system, and the
 206 different paths that appear at bifurcations need to be determined. In looped networks, any

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207 change, such as the demand pattern during the day, can impact the water flow direction. This is
 208 not difficult with calculus, as paths are determined at each instant of time. For this purpose, the
 209 water flow is again followed from the source until it reaches a node where there is a junction of
 210 pipes. Any of the pipes in the node creates a new path. Each path ends when it arrives at a node
 211 that is already part of the path or when it arrives at a node without any outgoing flow (see node
 212 N3 in Fig. 3b). This process of determining paths can be automated once the sense of the water
 213 flow is known in each pipe. It requires a hydraulic simulation software package that provides
 214 the sense of the water flow.

215 The unavoidable topographic energy (E_{tr}^u) is therefore conditioned by the highest points in the
 216 network. At all nodes upstream from the highest point that are located at required heights lower
 217 than or equal to this highest point, a part of the topographic energy is unavoidable. Fig. 2 shows
 218 how node j has a lower required height than node Nc; therefore, this part of the topographic
 219 energy is unavoidable since the flow has to overcome this difference. Unavoidable topographic
 220 energy therefore depends on the height differences within the network and the network design.

221 3.2 Unavoidable flow-dependent topographic energy

222 This component of the topographic energy is necessary to meet the minimum pressure required
 223 at the nodes. Reducing it would mean that the required supply pressure would not be reached
 224 at nodes located downstream. This depends on the hydraulic gradient of the system, and
 225 consequently, flow-dependent topographic energy, E_{tr}^f , is considered:

$$E_{tr}^f = \gamma \sum_{j=j}^k v_{g,j} \left(z_j + \frac{p_j}{\gamma} - \frac{p_{min, j \rightarrow k}}{\gamma} - z_{h, j \rightarrow k} \right) \quad (9)$$

226

227 where $p_{min, j \rightarrow k}$ is the least favourable node pressure from among the possible paths of flow
 228 between study node j and all end nodes k. To assess the minimum pressure between j and k, the
 229 midway nodes without demand are not relevant.

230 3.3 Manageable topographic energy and accumulated topographic energy

231 The dispensable part of topographic energy is defined as manageable and is equal to:

$$E_{tr}^m = \gamma \sum_{j=j}^k v_{g,j} \left(\frac{p_{min, j \rightarrow k}}{\gamma} - \frac{p_{0,j}}{\gamma} \right) - E_{er,j} \quad (10)$$

232

233 Manageable topographic energy can be recovered (using PATs) or dissipated (using PRVs).

234 Fig. 4b shows that a PRV introduces a height reduction equal to the dissipated manageable
 235 topographic energy to the line of piezometric heights. This manageable topographic energy
 236 becomes dissipated energy through friction in the PRV.

237 Finally, to identify the ideal point at which to install a PRV, the concept of accumulated
 238 topographic energy is defined as the total manageable topographic energy pertaining to the path
 239 that begins at node j and ends at node k, leading to:

$$\Delta E_{tr,j}^m = \gamma \left(\sum_{j=j}^k v_{g,j} \right) \left(\frac{p_{min, j \rightarrow k}}{\gamma} - \frac{p_{0,j}}{\gamma} \right) - \sum_{j=j}^k E_{er,j} \quad (11)$$

240 The sum includes the total volume $v_{g,j}$ of the nodes along the flow path between study node j
 241 and end node k, taking into account that a node can be on more than one path (Fig. 3). In short,
 242 the total volume of all nodes downstream from start node j must be considered, as must the fact
 243 that all nodes are on one of the possible paths leading to node k. Similarly, we need to consider
 244 the sum of the surplus energy between nodes j and k, where applicable.

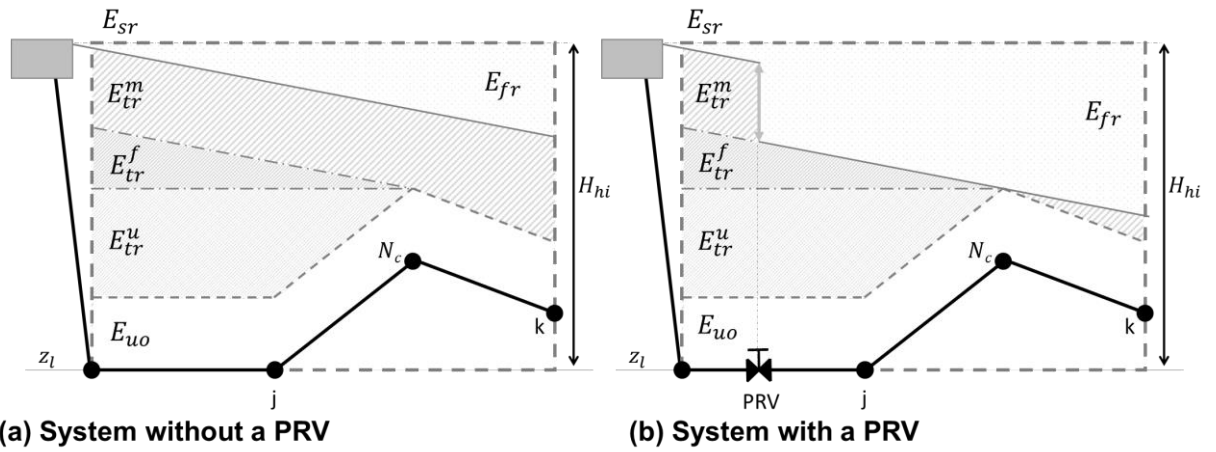


Fig. 4 Managing topographic energy without a PRV (a) and with a PRV (b)

The ideal point at which to install a PRV or PAT is the location where the highest amount of manageable topographic energy is accumulated. This node is able to dissipate (or recover) the maximum amount of topographic energy. After the first device (PAT or PRV) has been installed, a new study is required to identify where the next device should be installed.

The topographic energy, E_{tr} , can be expressed as:

$$E_{tr} = E_{tr}^u + E_{tr}^f + E_{tr}^m \quad (12)$$

To specify the magnitude and type of topographic energy in the system, two indicators are defined, namely, θ_t and θ_{tm} . The first indicator, θ_t , represents the percentage of topographic energy E_{tr} within the total energy supplied to the system E_{sr} :

$$\theta_t = \frac{E_{tr}}{E_{sr}} \quad (13)$$

If the terrain is very irregular or if tanks are located higher than necessary, this value will be high (θ_t will nearly equal 1), as topographic energy will represent a high percentage of the total energy supplied. In flat networks with energy efficient layouts, θ_t will be closer to 0. Nevertheless, this information is incomplete since it says nothing about whether the topographic energy is manageable. This information is provided by another indicator, θ_{tm} :

$$\theta_{tm} = \frac{E_{tr}^m}{E_{tr}} \quad (14)$$

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4 260 This indicator represents the percentage of manageable topographic energy over the total
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7 261 topographic energy. These two indicators provide relevant (and complementary) information
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9 262 about the system.

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12 263 It is worth analysing the relationship between topographic energy (and its components) and the
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14 264 features of the system:

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18 265 a) Influence of the network layout: In systems with supply points located at different
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20 266 heights, topographic energy can be important. Changes in the layout can reduce
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22 267 topographic energy (Cabrera et al. 2019).

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25 268 b) Influence of the energy source: With a rigid supply source, part of the topographic
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27 269 energy can be managed. With a variable source of energy, if it exists excess energy, it
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29 270 can be avoided by regulating the pumping station.

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32 271 c) Influence of the system profile: Depending on the profile of the network, topographic
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34 272 energy will be either manageable or unavoidable.

35 36 37 38 273 **4 BREAKDOWN OF STRUCTURAL LOSSES LINKED TO LEAKS**

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41 274 After having characterised structural losses, we need to discuss some relative aspects of the
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43 275 energy balance. Losses embedded in leaks, E_{lr} (equation 5), are operational losses that are
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45 276 dependent primarily on the water pressure. This term is broken down into two summands. The
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47 277 first includes leaks at standard pressure (E_{lr}^o), whereas the second addresses leaks when there is
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49 278 an excess pressure (E_{lr}^{te}), leading to:
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$$E_{lr} = \gamma \sum_{j=1}^n v_{l,j} \left[(z_j - z_l) + \frac{p_j}{\gamma} \right] = \quad (15)$$

$$= \gamma \sum_{j=1}^n v_{l,j} \left[(z_j - z_l) + \frac{p_{o,j}}{\gamma} \right] + \gamma \sum_{j=1}^n v_{l,j} \left(\frac{p_j}{\gamma} - \frac{p_{o,j}}{\gamma} \right) = E_{lr}^o + E_{lr}^{te}$$

279 Consequently, the operational loss linked to leaks is E_{lr}^o , whereas the complementary summand
 280 E_{lr}^{te} is included in the topographic energy and excess energy. This approach means we are able
 281 to calculate the amount of energy embedded in leaks caused by topographic energy and excess
 282 energy. This leads to the following energy balance:

$$E_{sr} = \gamma \left(\sum_{j=1}^n v_{g,j} \right) H_{hi} = E_{uo} + E_{pr} + E_{fr} + E_{lr}^o + E_{er} + E_{tr} \quad (16)$$

283 Operational losses through pumping E_{pr} and excess energy E_{er} are zero in the case of systems
 284 supplied through rigid sources. This balance does not include other types of losses (E_{or}), such
 285 as load breakages in tanks.

286 Installing PRVs modifies the values of these terms. The energy dissipated by PRVs is integrated
 287 into E_{fr} , whereas E_{tr} will decrease by the same amount. If a PAT is installed, on the one hand,
 288 operational losses (those of the hydraulic machine) will be included in E_{fr} ; on the other hand,
 289 the energy the turbine produces must be subtracted from E_{sr} , whereas E_{tr} will diminish (energy
 290 withdrawn by the PAT).

291 5 METHODOLOGY APPLICATION AND GENERALISATION

292 The preceding analyses require the flow directions to be known. The minimum pressure
 293 required at a node without compromising nodes further downstream can only be determined if
 294 the flow direction is known. Therefore, knowing the water path is fundamental. In branched
 295 networks, the flow path is immediately formed and does not vary. In looped networks, the paths

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296 may depend on the load status of the network. Nevertheless, PRVs and PATs can only be
297 installed in pipes with only one flow direction; therefore, this flow direction must be properly
298 defined. To focus on the discussed concepts, the two example networks are static. In dynamic
299 networks, an analysis is performed for each network status, after which the set of energies is
300 superimposed, and all the results are integrated for the final analysis.

301 The authors have developed an algorithm to determinate the water paths in both branched and
302 looped networks that allows complex structural energy audits to be performed. As the focus of
303 this paper is on the new concepts and the proposed procedure, the cases presented are simple to
304 allow the methodology to be better understood.

305 **5.1 Case study 1: branched network**

306 A variable supply source injects water into the branched network of Fig. 5. This figure also
307 includes the pipes' diameters and lengths (with a roughness of 0.1 mm) and different flow paths
308 in the network. There are 6 possible paths through which water can flow, as in branched
309 networks, the number of paths is equal to the number of end nodes. The pump is located at the
310 lowest height ($z_l=0$ m) and supplies the flow at a pressure of 78.54 mWc ($H_{hi} = 78.54$ m). No
311 losses at the pumping station are deemed to exist. The reference pressure is 15 m at all
312 consumption nodes ($\frac{p_0}{\gamma} = 15$ mWc). Hydraulic calculations are carried out using EPANET;
313 therefore, the results are obtained assuming a demand-driven approach for user consumption,
314 while leaks (loaded as emitters) are considered pressure-driven demand. Nevertheless, this
315 nodal structural loss audit could be improved with a global pressure-driven formulation
316 (Ciaponi and Creaco 2018). The proposed structural losses audit could be performed from any
317 of these two perspectives. Nevertheless, regardless of the approach followed, both the concepts
318 explained and the methodology followed would not change.

319

D = Diameters in mm
L = Lengths in m

Path 1	Path 2	Path 3	Path 4	Path 5	Path 6
N1	N1	N1	N1	N1	N1
N2	N2	N2	N2	N2	N2
N3	N4	N4	N4	N4	N4
	N5	N6	N6	N6	N6
		N7	N8	N8	N8
			N9	N10	N10
				N11	N12

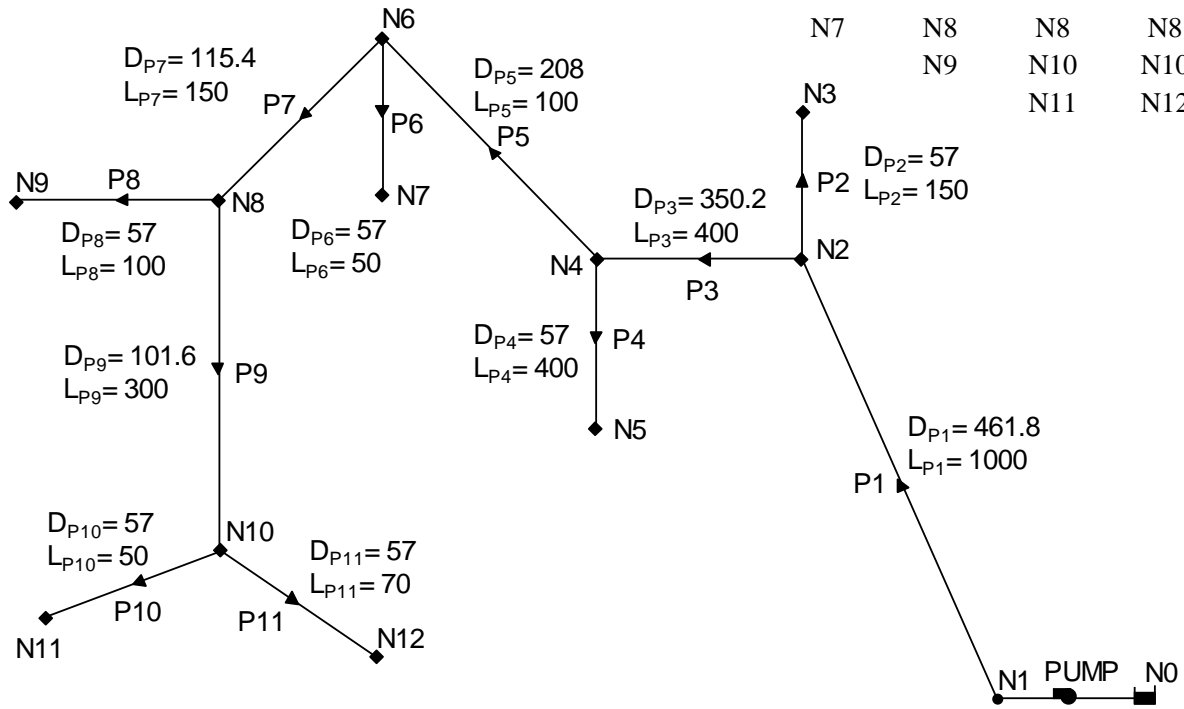


Fig. 5 Branched network with a variable supply source

The node data (height, total demand, consumption and leaks) are shown in the first four columns of Table 1. The final three columns in Table 1 show the following: p_j , the pressure at each node; $z_{h,j \rightarrow k}$, the greatest height of the set of nodes, including study node j , which are downstream from study node j on any of the possible paths; and $p_{min,j \rightarrow k}$, the minimum pressure resulting from applying identical criteria. Having established the paths, the least favourable node in the network is identified as the one with the least pressure. In this case, the least favourable node is N3 (with a minimum pressure of 20.34 mWc), which, as can be seen, is not the highest node.

Table 1: Node features in the branched network

Node ID	z_j	$v_{g,j}$	$v_{c,j}$	$v_{l,j}$	p_j	$z_{h,j \rightarrow k}$	$p_{min,j \rightarrow k}$
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	(m)	(l/s)	(l/s)	(l/s)	(mWc)	(m)	(mWc)
N3	50	3.97	3.83	0.14	20.34	50	20.34
N2	40	2.98	2.78	0.20	38.44	55	20.34
N4	40	0.20	0	0.20	38.33	55	20.82
N5	25	4.20	4.03	0.17	29.26	25	29.26
N6	55	1.65	1.5	0.15	23.06	55	20.82
N7	45	4.56	4.39	0.17	29.54	45	29.54
N8	45	2.95	2.78	0.17	28.37	45	20.82
N9	45	4.73	4.58	0.15	20.82	45	20.82
N10	15	3.01	2.78	0.23	51.56	15	51.56
N11	10	4.23	4	0.23	53.51	10	53.51
N12	5	4.49	4.25	0.24	56.77	5	56.77
N1	0	0.00	0	0.00	78.54	55	20.34
N0	0	-	-	-	-	-	-

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332 Table 2 shows the different overall energy balance terms by node (pumping losses are not
333 considered) and characterises the system's topographic energy. This table includes the term E_{tr}^{te}
334 (already counted in E_{tr}), a fact that must be taken into account when establishing the sum
335 provided by the overall balance E_{sr} .

336 *Table 2: Energy obtained (nodal and overall) in the network (kWh/h)*

Nodes	E_{uo}	E_{fr}	E_{tr}^o	E_{tr}^{te}	E_{er}	E_{tr}	$E_{tr,j}^u$	$E_{tr,j}^f$	$E_{tr,j}^m$	$\Delta E_{tr,j}^m$	E_{sr}
N3	2.44	0.32	0.09	0.01	0.21	0.00	0.00	0.00	0.00	0.00	3.06
N2	1.50	0.00	0.11	0.05	0.15	0.54	0.44	0.09	0.01	0.10	2.29
N4	0.00	0.00	0.11	0.05	0.00	0.05	0.03	0.00	0.01	0.23	0.15
N5	1.58	1.00	0.07	0.02	0.21	0.38	0.00	0.00	0.38	0.38	3.24
N6	1.03	0.01	0.11	0.01	0.08	0.05	0.00	0.04	0.02	0.19	1.27
N7	2.58	0.18	0.10	0.02	0.23	0.42	0.00	0.00	0.42	0.42	3.52
N8	1.64	0.15	0.10	0.02	0.15	0.24	0.00	0.22	0.02	0.15	2.27
N9	2.70	0.59	0.09	0.01	0.24	0.03	0.00	0.00	0.03	0.03	3.64
N10	0.82	0.35	0.07	0.08	0.15	0.93	0.00	0.00	0.93	3.63	2.32

N11	0.98	0.62	0.06	0.09	0.21	1.39	0.00	0.00	1.39	1.39	3.26
N12	0.83	0.74	0.05	0.10	0.22	1.62	0.00	0.00	1.62	1.62	3.46
Total	16.10	3.96	0.96	0.46	1.85	5.65	0.47	0.35	4.83	-	28.5

337

338 The balance includes excess energy because the minimum pressure, 20.34 mWc, exceeds the
 339 required amount, 15 mWc (variable supply source). The difference between these two values
 340 is modest because the excess is not significant.

341 Two actions can be taken to improv the system's efficiency: adjusting the minimum pressure
 342 to the established supply requirements (reducing the speed of the pump) and installing a PRV.
 343 Table 2 shows where the PRV should be installed, namely, at N10, where more manageable
 344 topographic energy is accumulated than at any other node. Table 3 compares the initial and
 345 final scenarios after implementing these two improvements. The values are rather modest
 346 because of the analysed energy period. An annual calculation must be multiplied by the hours
 347 per year the system is operated.

348 *Table 3: Total energy (kWh/h) in the branched network*

	E_{uo}	E_{fr}	E_{lr}^o	E_{lr}^{te}	E_{er}	E_{tr}	E_{tr}^u	E_{tr}^f	E_{tr}^m	E_{sr}	θ_t	θ_{tm}
Initial scenario	16.10	3.96	0.96	0.46	1.85	5.65	0.47	0.35	4.83	28.5	0.20	0.86
Final scenario	16.10	7.36	0.78	0.12	0	1.96	0.46	0.37	1.13	26.2	0.07	0.58

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351 The following conclusions are drawn from this comparison:

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- 352 ▪ By reducing the relative speed of the pump to 0.976, the pressure at the critical node
353 equals the required pressure. This is more efficient than installing a PRV since, with this
354 action, the E_{cr} term is eliminated, reducing the E_{sr} term ($\Delta E_{sr} = 2.3$ kWh/h).
- 355 ▪ The contribution of the PRV to energy efficiency is marginal. The reduction in
356 manageable topographic energy (3.70 kWh/h) is compensated by the increase in friction
357 within the PRV ($\Delta E_{fr} = 3.40$ kWh/h). The difference between these variations (0.30
358 kWh/h) is mainly due to the energy reduction linked to leaks, as a reduction in flow
359 rates impacts on lower friction losses.
- 360 ▪ Table 2, particularly column $\Delta E_{cr,j}^m$, pinpoints the optimum location of the PRV to be
361 installed, in this case, at N10. A second analysis with the PRV installed allows us to
362 identify the optimum point at which to install a second PRV (N7).
- 363 ▪ Once the PRV has been installed, the indicators referring to topographic energy
364 improve.

365 On the basis of the information provided in Table 3, each contribution can be studied
366 individually while passing through intermediate stages (i.e., the pump adjustment without and
367 with a PRV).

368 **5.2 Case study 2: looped network**

369 The second example is the looped network depicted in Fig. 6, supplied from a rigid source (N1).
370 The operating pressure is 30 mWc. Table 4 (similar to Table 1) shows the nodes specifications
371 (with a roughness of 0.1 mm) of this network. The arrows show the path of the flow, which is
372 invariable in this load status. The height of the lowest node (N2) is taken as the reference ($z_l=50$
373 m).

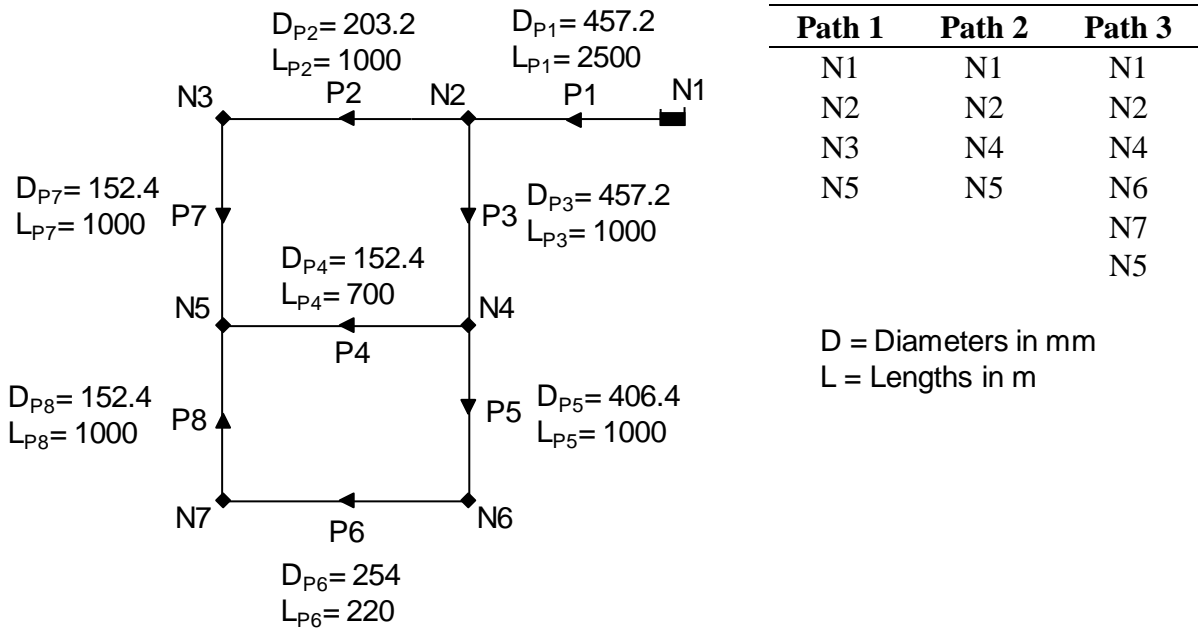
374 *Table 4: Node features in the looped network*

Node ID	z_j	$v_{g,j}$	$v_{c,j}$	$v_{l,j}$	p_j	$z_{h,j \rightarrow k}$	$p_{min,j \rightarrow k}$
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	(m)	(l/s)	(l/s)	(l/s)	(mWc)	(m)	(mWc)
N2	50	25.84	25	0.84	142.8	150	37.9
N3	150	30.43	30	0.43	37.92	150	37.9
N4	120	30.59	30	0.59	71.25	120	71.3
N5	90	23.69	23	0.69	97.75	90	97.8
N6	80	40.73	40	0.73	109.84	90	97.8
N7	80	60.73	60	0.73	108.51	90	97.8
N1	200	0.00	0	0.00	0.0	200	37.9

375

376 N5 is the end of all three possible paths regardless of the path chosen (Fig. 6).



377

378 **Fig. 6** Looped network and flow paths

379 Table 5 shows the nodal and total energy balances (kWh/h), included the topographic energy
 380 breakdown. The maximum accumulated topographic value is at node N6, and thus, the PRV
 381 should be installed just upstream of N6 and set at 55 mWc, thereby guaranteeing 30 mWc at all
 382 nodes (N3 is the critical node).

383

384 *Table 5: Nodal and total energy balances (kWh/h)*

Nodes	E_{uo}	E_{fr}	E_{lr}^o	E_{lr}^{te}	E_{er}	E_{tr}	$E_{tr,j}^u$	$E_{tr,j}^f$	$E_{tr,j}^m$	$\Delta E_{tr,j}^m$	E_{sr}
N2	7.36	14.50	0.25	0.93	0.00	28.59	25.35	1.24	2.01	16.47	50.70
N3	38.26	18.53	0.55	0.03	0.00	2.36	0.00	0.00	2.36	4.20	59.72
N4	29.43	17.63	0.58	0.24	0.00	12.38	0.00	0.00	12.38	63.02	60.02
N5	15.79	14.47	0.47	0.46	0.00	15.77	0.00	0.00	15.75	15.75	46.48
N6	23.54	24.04	0.43	0.57	0.00	31.90	4.00	0.84	27.07	83.18	79.91
N7	35.32	36.63	0.43	0.56	0.00	46.77	5.96	0.45	40.36	56.11	119.15
Total	149.70	125.80	2.71	2.79	0.00	137.77	35.31	2.53	99.93	-	415.98

385

386 Similar to Table 3, Table 6 compares the energy audits without and with a PRV. The main
 387 difference lies in the fact that with the PRV installed, the water flow in line P8 changes its
 388 direction, and the new end of the line becomes N7. After the PRV is installed, $\theta_t = 0.19$. If
 389 further energy reduction is required, a second PRV can be installed. Any additional analysis
 390 should consider the three new paths ending at N7.

391 *Table 6: Total hourly energy (kWh/h) of the looped network with and without a PRV*

	E_{uo}	E_{fr}	E_{lr}^o	E_{lr}^{te}	E_{er}	E_{tr}	E_{tr}^u	E_{tr}^f	E_{tr}^m	E_{sr}	θ_t	θ_{tm}
Without a PRV	149.7	125.8	2.71	2.79	0	137.77	35.31	2.53	99.93	415.98	0.33	0.73
With a PRV	149.7	185.8	2.35	1.72	0	77.08	25.35	13.82	37.90	414.90	0.19	0.49

392

393 As in the preceding example (the branched network), the PRV barely contributes to improving
 394 the energy efficiency of the network since the reduction in manageable topographic energy
 395 (62.03 kWh/h) is counteracted by a friction increase (60 kWh/h). In this case, as there are fewer
 396 leaks in the looped network than in the branched network, the differences are even lower.

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397 **7 CONCLUSIONS**

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3 398 The global energy analysis performed in this study from a strictly hydraulic perspective allows
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5 399 topographic energy to be better managed. This energy, although necessary, is inefficient
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8 400 because of the excess pressure over and above the reference value. These losses, called
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10 401 structural losses, should be reduced beginning at the design stage (through an ecologically
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12 402 friendly layout); when a system is already operating, the possibilities to manage these losses
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15 403 are limited. Recovering or removing part of the existing topographic energy are available
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17 404 options. To better understand and assess the improvement possibilities, it is worth breaking
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20 405 topographic energy down into unavoidable, unavoidable flow-dependent and manageable
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22 406 components. Only the third component can be recovered (using PATs) or removed (using
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25 407 PRVs).

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28 408 From the energy audit of structural losses, the main novelty of this paper, that is, a strategy that
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30 409 should be followed to break down topographic energy based on a nodal energy analysis, is
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32 410 presented. The proposed methodology analyses the energy at each node and performs a
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35 411 downstream comparison through to the end node on the path. The ultimate aim is to calculate
36
37 412 the accumulated topographic energy at each node for each load status. The final sum
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40 413 (superimposing all load statuses) indicates all the energy efficiency benefits of installing a PRV
41
42 414 (or PAT), including the benefits stemming from reducing leaks. This automated process, based
43
44
45 415 on a hydraulic model, is capable of analysing real networks.

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47
48 416 In summary, while the focus of traditional approaches is on minimising leaks and pressures
49
50 417 using mathematical optimisation techniques, this new methodology seeks to maximise the
51
52 418 system's energy efficiency through a hydraulic procedure. Consequently, final decisions can be
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55 419 made with a clearer view of the system's behaviour.

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58 420 **NOTES:**
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3 422 anonymous reviewers which, indeed, improved the understandability and quality of this paper.

4
5 423 **Compliance with Ethical Standards**

6
7 424 **Conflict of Interest:** None

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9 425

10
11 426 **Appendix A: Glossary**

12
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15 427 E_{er} = Energy supplied in excess for the real systems

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18 428 E_{fr} = Energy dissipated through friction in pipes and valves

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21 429 E_{tr} = Energy embedded in leaks;

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24 430 E_{tr}^{te} = Energy embedded in leaks caused by overpressure

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27 431 E_{or} = Other energy operational losses

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30 432 E_{pr} = Energy pumping station losses;

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33 433 E_{sr} = total supplied energy for the real systems

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36 434 E_{tr} = topographic energy required by the real system

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39 435 E_{tr}^f = flow topographic energy

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42 436 E_{tr}^m = Manageable topographic energy

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45 437 $\Delta E_{tr,j}^m$ = Accumulated manageable topographic energy at node j

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48 438 E_{tr}^u = Unavoidable topographic energy

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51 439 E_{uo} = minimum required energy by users

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54 440 H_{hi} = highest piezometric head

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57 441 $H_{hi,s}$ = piezometric head of the corresponding source s
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442 $p_{0,j}/\gamma$ = required pressure (established by standards) at the generic node j

443 p_j/γ = pressure at the generic node j

444 p_{\min}/γ = minimum pressure

445 $p_{\min, j \rightarrow k} / \gamma$ = minimum pressure between nodes j and k

446 $v_{c,j}$ = volume demand at node j

447 $v_{l,j}$ = volume leakage at node j

448 $v_{g,j}$ = total volume at node j = $v_{c,j} + v_{l,j}$.

449 z_j = Elevation of node j

450 $z_{h, j \rightarrow k}$ = highest node elevation between nodes j and k

451 z_l = lowest node elevation

452 $\alpha_{s,j}$ = percentage of water arriving at the node j that comes from source s

453 γ = water specific weight

454 θ_t = percentage of total topographic energy = E_{tr}/E_{sr}

455 θ_{tm} = percentage of manageable topographic energy; real case = $\frac{E_{tr}^m}{E_{tr}}$

456

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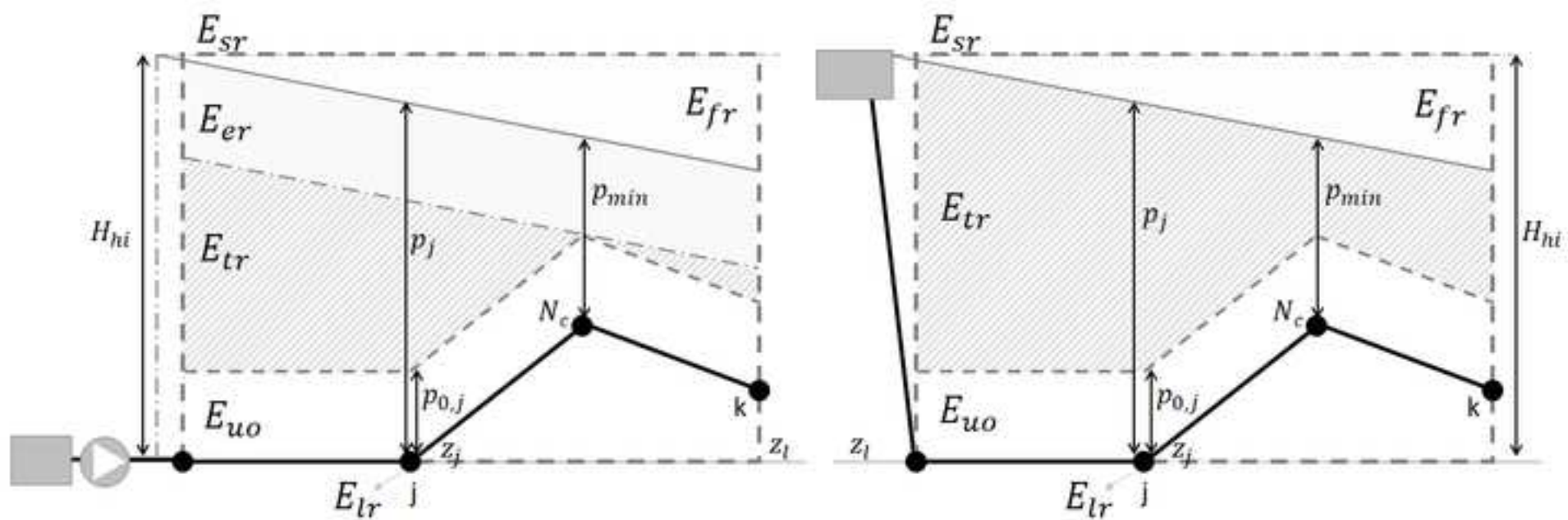
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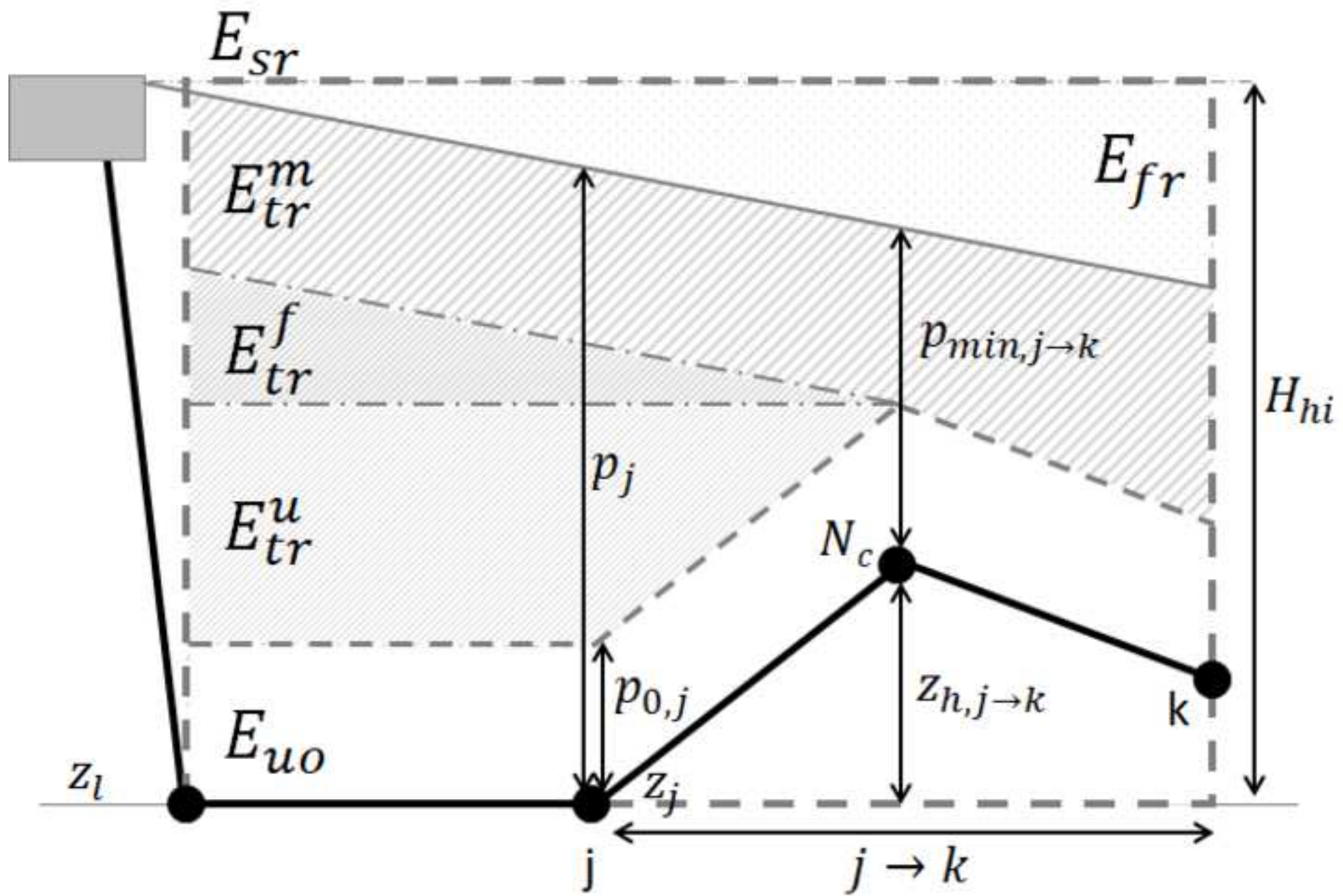
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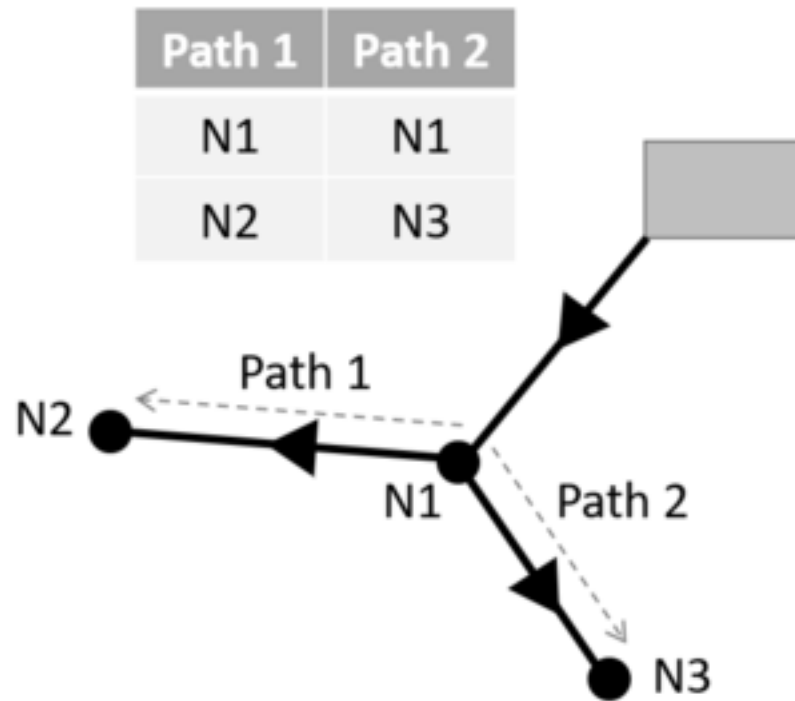
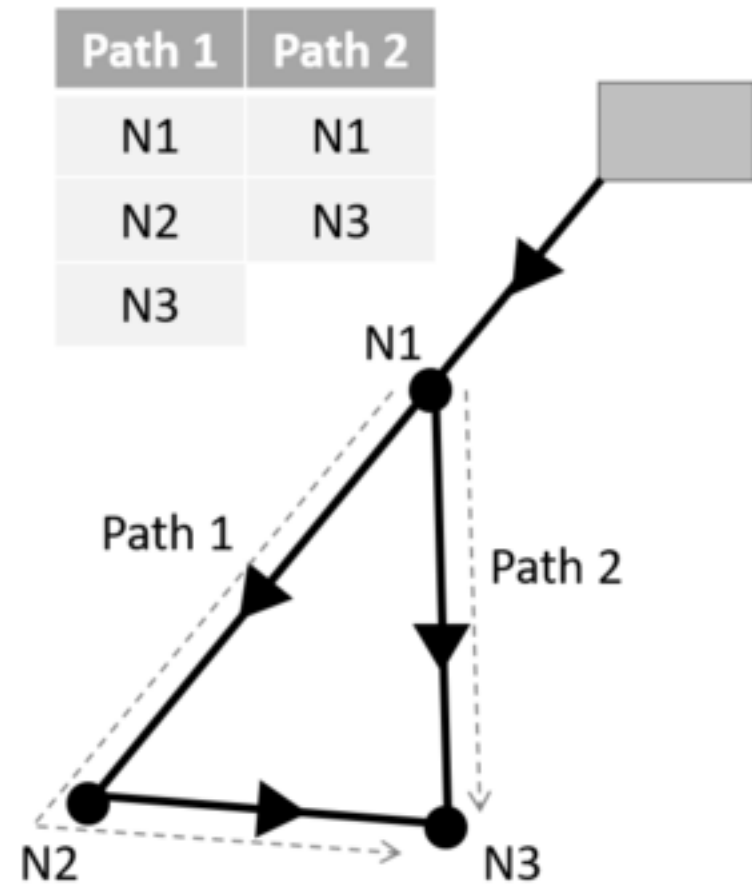
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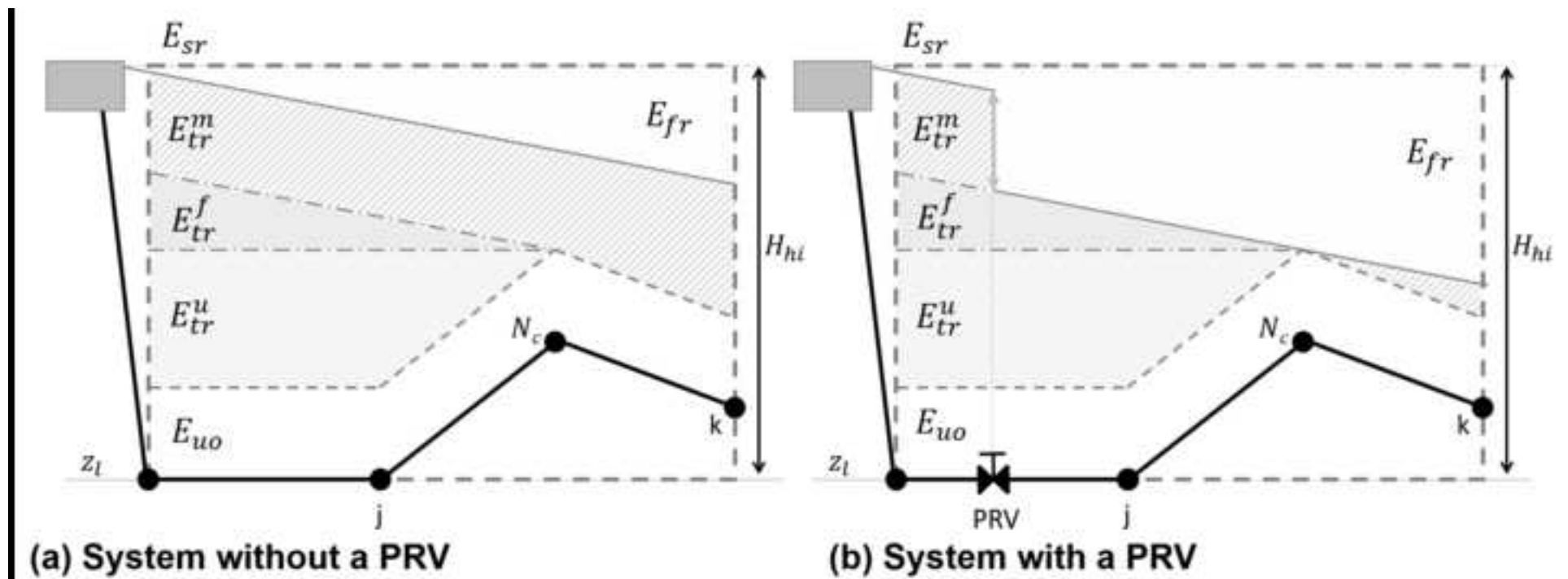


(a) Variable energy sources

(b) Rigid energy sources

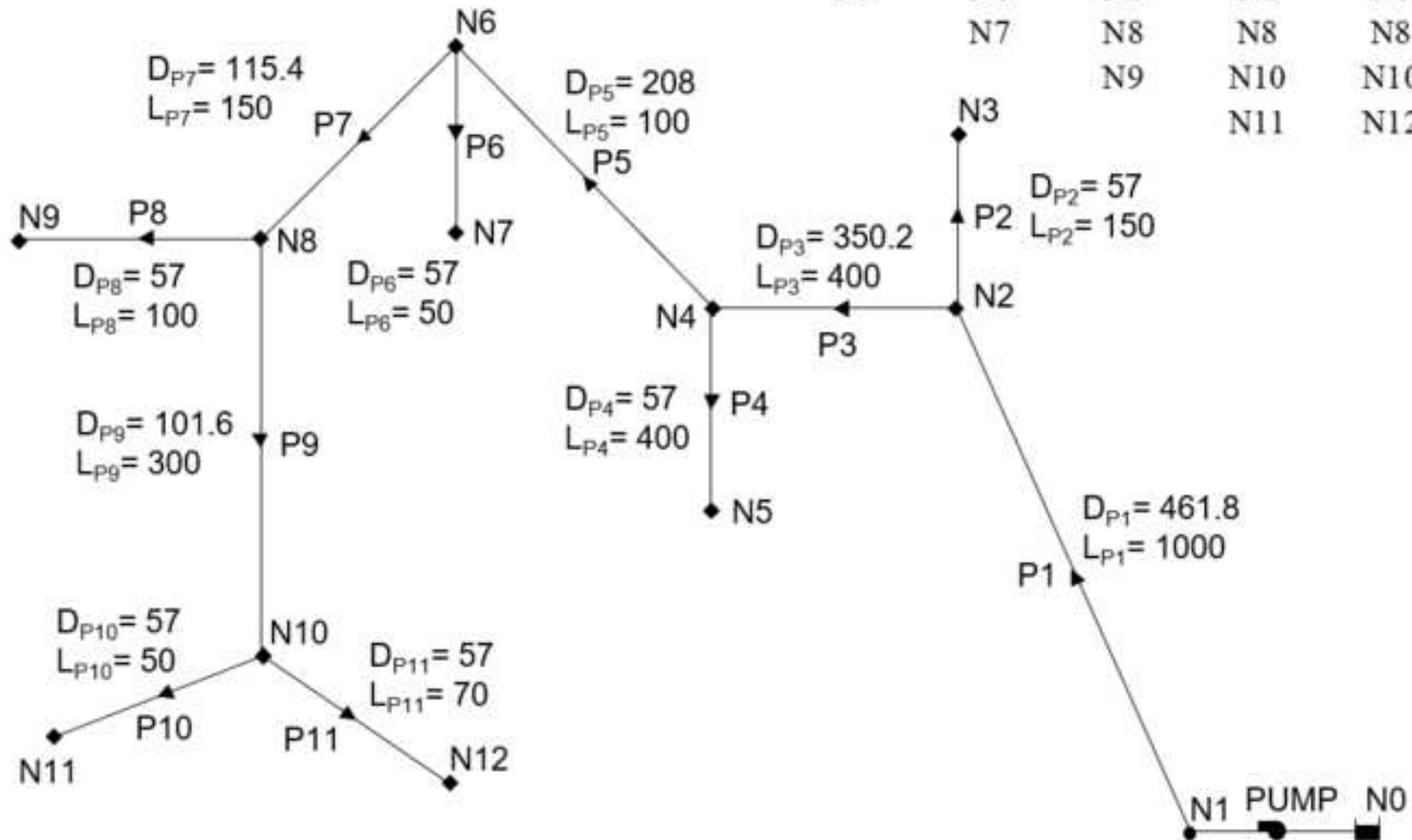


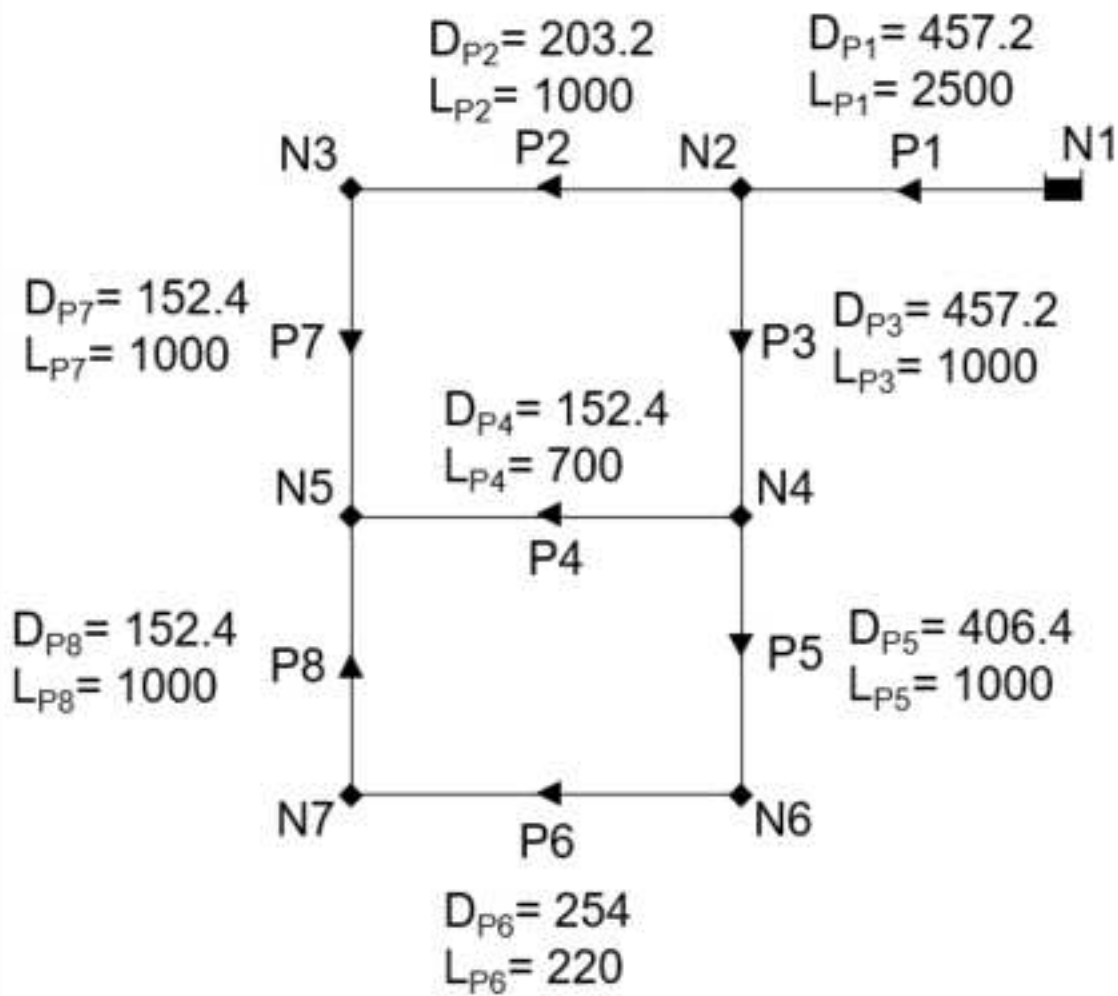
**(a) Branched network****(b) Looped network**



D = Diameters in mm
L = Lengths in m

Path 1	Path 2	Path 3	Path 4	Path 5	Path 6
N1	N1	N1	N1	N1	N1
N2	N2	N2	N2	N2	N2
N3	N4	N4	N4	N4	N4
	N5	N6	N6	N6	N6
		N7	N8	N8	N8
			N9	N10	N10
				N11	N12





Path 1	Path 2	Path 3
N1	N1	N1
N2	N2	N2
N3	N4	N4
N5	N5	N6
		N7
		N5

D = Diameters in mm
L = Lengths in m