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Additional Information

- 1 Evaluation of hydrothermal carbonization in urban mining for the
- 2 recovery of phosphorus from the organic fraction of municipal
- 3 solid waste
- 4
- 5 Borja Oliver-Tomas,^{a,c} Martin Hitzl,^a Mikołaj Owsianiak,^b Michael Renz^{*c}
- ^a Ingelia, S.L., C/Jaime Roig 19, 46010 Valencia, Spain
- 7 ^b Division for Quantitative Sustainability Assessment, Department of Management Engineering,
- 8 Technical University of Denmark, Bygningstorvet, Building 116B, DK-2800 Kgs. Lyngby,
- 9 Denmark
- ^c Instituto de Tecnología Química (UPV-CSIC), Universitat Politècnica de València Consejo
- 11 Superior de Investigaciones Científicas, Avenida de los Naranjos s/n, 46022 Valencia, Spain; E-
- 12 mail: mrenz@itq.upv.es
- 13

14 Abstract

- 15 The organic fraction of municipal solid waste was identified as an alternative phosphorus
- 16 resource: hydrothermal carbonization provided phosphorus-rich hydrochar. Two alternative
- valorization pathways can be considered for the latter: the use as a fertilizer or as solid fuel
- 18 after phosphorus extraction. By means of life cycle assessment (LCA) the environmental impact
- 19 of extracting phosphorus and using the hydrochar as solid fuel was evaluated. Therefore, in a
- 20 first step, phosphorus extraction with nitric acid, hydrochloric acid and sulfuric acid was
- 21 experimentally investigated on laboratory scale. Nitric acid proved to be the most suitable
- 22 because it offered high extraction efficiency and improved solid fuel properties such as lower
- ash content and lower levels of chlorine and sulfur. In contrast, hydrochloric acid increased the
- 24 chlorine content and sulfuric acid only replaced phosphate by sulfate, but did not reduce the
- ash content of hydrochar. Then phosphorus can be precipitated and used as fertilizer.
- 26 Although technically feasible, LCA points out that the separate use of hydrochar and
- 27 phosphorus represents an overall environmental burden for wide range of impact categories,
- 28 including climate change and resource depletion. Therefore, other applications for
- 29 phosphorus-rich hydrochars, like agriculture and horticulture, should be considered.
- 30

31 Keywords

- 32 Advanced hydrochar, low-ash solid fuel, phosphorus extraction, phosphorus fertilizer,
- 33

34 Graphical Abstract

- 35
- 36



39 Introduction

- 40 Phosphorus is an essential element for all life on earth, and the European Commission (EC) has
- 41 identified phosphate rock as a critical raw material in 2017 with a nonexistent recycling
- 42 rate.(European Commission, 2017) Approximately 95% of the world's phosphate production is

43 used in the fertilizer industry. (Desmidt et al., 2015) This figure makes it clear that phosphate

44 consumption is strongly related to nutrition and population growth.

45 Phosphorus is a chemical element whose use does not make it disappear, but spreads it out on 46 the planet. Therefore, the recovery of phosphorus from alternative sources is urgently needed.

- 47 A promising approach is to perform a fixation step for the phosphorus, a type of
- 48 homogenization and concentration. For example, sewage sludge is a plant nutrient rich
- 49 resource(Zhang et al., 2018, 2017) and when sewage sludge is incinerated, phosphorus is
- 50 concentrated in the ash and the liquid phase is then absent. (Cieślik and Konieczka, 2017; Melia
- et al., 2017) The ashes can directly be used as fertilizers but phosphorus extraction with
- 52 mineral acid and precipitation increases its bioavailability.(Cieślik and Konieczka, 2017)
- 53 The present study was initiated when elevated phosphorus concentrations were determined
- 54 for hydrochar originating from the organic fraction of municipal solid waste (OFMSW). It
- 55 became evident that hydrothermal carbonization (HTC) can be part of a novel process for
- 56 phosphorus concentration from this undervalorized resource. The HTC process is an emerging
- 57 technology and produces hydrochar, a solid carbonaceous material, as main product from wet
- 58 lignocellulosic biomass such as the OFMSW.(Funke and Ziegler, 2010; Hu et al., 2010; Titirici et
- al., 2012; Maria M Titirici et al., 2007) The wet conditions during the process make the
- 60 previous drying superfluous, which is a significant advantage over alternative thermochemical
- 61 processes (torrefaction, pyrolysis, and gasification), considering the economics for wet
- 62 feedstocks.(Cha et al., 2016; Kambo and Dutta, 2015)
- 63 In terms of valorization and commercialization of phosphorous-containing hydrochar, two
- 64 main pathways can compete with each other: application of phosphorus-containing hydrochar
- to soil(Lang et al., 2019) or phosphorus extraction for its use in agriculture and the use of the
- 66 depleted hydrochar as solid fuel.
- For the use of hydrochar in agriculture, a post-treatment is required as pristine hydrochar may involve phytotoxicity. Therefore, several options are known(Busch et al., 2013, 2012; Dalias et al., 2018; Fornes and Belda, 2017) and a by-product-free treatment is to desorb the undesired compounds and to use them directly for heat generation for the HTC process.(Hitzl et al., 2018) In the latter case, the product is referred to as advanced hydrochar, which is suitable for agriculture, to distinguish it clearly from pristine hydrochar. The use of pristine hydrochar in
- agriculture, e.g. in Spain, involves climate change benefits, (Owsianiak et al., 2018) and a rough
- estimation confirmed that this also applies to advanced hydrochars.(Hitzl et al., 2018)
- 75 When hydrochar is considered a solid fuel and phosphorus should be destined to agriculture,
- then phosphorus should be extracted at the production site and, thereby, further
- concentrated. The reason for this is that burning of hydrochar as solid fuel is foreseen in
- smaller units such as domestic or district heating systems. This leads again to a territorial
- spread of the phosphorus-rich ash, which would cause again logistic costs for its collection.
- 80 An additional economic benefit of this approach is the improvement in the fuel quality of
- 81 hydrochar. (Wang et al., 2018) Phosphorus forms part of the ashes of hydrochar, and its
- 82 removal reduces the ash content. This is relevant because carbonaceous materials are
- 83 classified as solid fuels in terms of their ash content.(International Organization for

- 84 Standardization, n.d.) Improving the product quality of hydrochar as a solid fuel increases its
- 85 value and contributes positively to the economic balance of the production process.
- 86 Here we show that the phosphorus extraction from hydrochar is generally possible with
- 87 mineral acid. By simply neutralizing the extract, phosphorus is recovered and can then be
- 88 marketed as a solid fertilizer from secondary phosphate sources. Based on these data, we
- 89 performed the Life Cycle Assessment (LCA) of the developed process, considering the
- 90 extracted hydrochar as solid fuel and the consequences of its combustion.

91 Materials and Methods

92 Hydrothermal carbonization

- 93 All residual biomass was processed at Ingelia's pilot plant. (Hitzl et al., 2015) The production of
- 94 hydrochar from the OFMSW has been described elsewhere.(Hitzl et al., 2018) The organic
- 95 fraction was obtained from households in Guipúzcoa (The Basque Country, Spain) by separate
- 96 collection which was operated by Gipuzkoako Hondakinen Kudeaketa SAU. It contained
- 97 biodegradable plastic bags, glass and plastic bottles as "impurities" in low amount and a water
- 98 content of 75%. For the trial, 9.24 t of this resource were transformed into 1.05 t of dry
- 99 hydrochar with an ash content of 12.9% (determined by calcination at 815 °C).(Hitzl et al.,
- 100 2018) Details on the processing of green waste can be found in literature, (Hitzl et al., 2015) as
- 101 well as for the transformation of orange peel waste (OPW).(Burguete et al., 2016) Hydrochar
- 102 from sewage sludge was also produced at Ingelia's pilot plant during the FP7 NEWAPP
- 103 project.("New technological applications for wet biomass waste stream products," n.d.)

104 Phosphorus extraction

- 105 In a round-bottom flask, 4.00 g of hydrochar was placed together with 15 mL of acid solution. 106 The latter was prepared from HTC process water obtained from Ingelia, adding the amount of 107 the corresponding concentrated acid to reach the desired concentration. The final 108 concentration of sulfuric acid was 5 wt%, of nitric acid 6 wt%, and of hydrochloric acid 4 – 5 109 wt%. During the acidification of the process water, a solid precipitated which was removed by 110 filtration and discarded. The hydrochar suspension was stirred for four hours at different 111 temperatures, namely at 40, 60, 80, and 100 °C (see also, Figure S2, ESI). The solid was 112 collected by filtration, washed with 100 mL of deionized water and dried overnight at 100 °C. 113 Phosphorus content in hydrochar was calculated from ash content and ash composition, the 114 latter determined by inductively coupled plasma optical emission spectrometry (ICP-OES) on a 115 Varian 715 ES spectrometer. Phosphorus recovered was calculated from the amounts and 116 concentrations of the acid extract and the washing water. Their phosphorus content was 117 determined directly by ICP-OES. Higher heating values (HHV) were determined following the
- 118 analytical standard UNE-EN 14918:2011.

119 Phosphorus precipitation

For phosphorus precipitation the pH of the acid extract was adjusted to 8 to 9.5 adding calcium
hydroxide. The solid was collected by filtration and dried in an oven at 100 °C overnight.

122 LCA modelling part

- 123 Environmental performance of phosphorus removal was assessed using environmental LCA. It
- 124 was conducted in accordance with the requirements of the ISO 14044 standard and the
- 125 guidelines of the EU Commission's International Reference Life Cycle Data System (ILCD)
- 126 Handbook. (ILCD Handbook: General guide for Life Cycle Assessment Detailed guidance, 2010,

- 127 ISO 14044:2006 Environmental management -- Life cycle assessment -- Requirements and
- 128 guidelines, 2006)
- 129 Goal and scope

Because hydrothermal carbonization is a waste treatment option, the functional unit was
defined as "hydrothermal carbonization of 1 kg of wet biowaste with 100% content of biogenic
carbon of total carbon". This definition allows for a fair comparison between biowaste with
varying phosphorus content and with hydrothermal carbonization of biowaste without
phosphorus recovery. The most likely use of hydrochar is as solid fuel for domestic heating.
Nitrogen and sulfur content of the hydrochar were taken into account for emissions, whereas
a complete elimination of the mineral acids during the washing process was assumed.

- 137 The current study is considered a micro-level decision support (type A) situation according to
- 138 ILCD guidelines, and the assessment applies an attributional approach where average Spanish
- data are used. System boundaries specifying the processes included have been described
- 140 before.(Owsianiak et al., 2016) We included replaced the conventional waste management
- system (composting), replaced combustion of fossil coal, construction of a HTC plant,
- 142 production of the hydrochar and HTC process water and transportation of the hydrochar. In
- addition, production of acids and base for recovery of P was included. System expansion was
- 144 performed for processes with recovery of commodities; recycled steel substitutes the
- production of virgin steel and the HTC process water (concentrated at the HTC plant using reverse osmosis) substitutes the production of inorganic fertilizers. Likewise, impact offsets
- reverse osmosis) substitutes the production of inorganic fertilizers. Likewise, impact offsets(also known as credits) are given to recovered phosphorus (replacing phosphorus from
- 148 phosphate rock), to avoided conventional treatment of biowaste, and to energy recovery when
- hydrochar is used for domestic heating (replacing combustion of fossil coal), in accordance
- 150 with the recommendations of the ILCD guidelines for this decision support type.
- Product systems were modelled in SimaPro, version 8.3.0.0 (PRe Consultants bv, the
 Netherlands). Parameters and data underlying the modeling of HTC plant are documented in
 literature.(Owsianiak et al., 2016) Data for foreground processes in the HTC system, are based
 on primary data measured at a HTC plant at Ingelia S.L. (Valencia, Spain). Data for generic
 processes, such as electricity production and waste management processes, are based on
- 156 those available in the ecoinvent database, version 3.2.(Weidema et al., 2013)
- 157 ILCD's method was used in the life cycle impact assessment phase (ILCD 2011 Midpoint+,
- version 1.05),(Hauschild et al., 2013) as implemented in the LCA modeling software SimaPro,
- 159 version 8.3.0.0 (PRe Consultants bv, the Netherlands). All ILCD impact categories were used,
- 160 except ionizing radiation impacts on ecosystems which is considered not sufficiently
- 161 representative for this type of impact.

162 Scenarios

- 163 In total, <u>twelve</u> scenarios were considered (Table 1). Overall, <u>three</u> different acids (HNO₃,
- 164 H₂SO₄ and HCl) in <u>two</u> concentrations applied to phosphorus extraction from hydrochar made
- 165 from the OFMSW and a hypothetical one with the double phosphorus amount. In detail, for
- the first three scenarios (1–3) the same acid (and base) concentrations and amounts were
- used as in the experiments. Initial phosphorus content in the hydrochar for these scenarios
- 168 was the content measured for hydrochar made from the OFMSW (1.25%; 22.1% of the ashes),
- 169 which is in a higher range than values measured for hydrochars made from other biowaste
- 170 types. Assuming that amounts of acids and bases can be optimized during up-scaling, further
- 171 three scenarios were considered with amounts of acids and base reduced 10 times (scenarios

- 4-6). In addition, as starting material for the extractions, in six scenarios hydrochar was
 considered to involve the double amount of phosphorus, compared to the current one.
 Hereby, extraction efficiency was maintained. In the first group, acid amount was maintained
 as in the experimental procedures (scenarios 7–9) and in the second group (scenarios 10–12)
 reduced acid amounts and concentrations were used. Sensitivity of the results to the
 parameters presented in Table 1 was done by simply comparing impact scores between
 scenarios.

Scenario	Sensitivity parameter	Acid type	Acid concentration	P content
1	Baseline	HNO ₃	High (6%)	High (1.25%)
2, 3	Acid type	HCI; H ₂ SO ₄	High (4%; 6%)	High (1.25%)
4–6	Acid concentration	HNO3; HCl; H2SO4	Optimized (1/10 of initial)	High (1.25%)
7–9	P content	HNO3; HCl; H2SO4	High (4%; 6%)	Very high (2.50%)
10–12	All potential improvements	HNO ₃ ; HCl; H ₂ SO ₄	Optimized (1/10 of initial)	Very high (2.50%)

Table 1. Overview of scenarios used in the scenario analysis.

183 Results and discussion

184 Phosphorus extraction

185 As previously reported, the organic fraction of municipal solid waste has been converted into 186 hydrochar on a ton scale. (Hitzl et al., 2018) In a nutshell, 9.24 t of wet starting material gave 187 1.05 t of dry hydrochar, which corresponded to a mass yield of 45% (based on dry matter). The 188 total ash content of the hydrochar was 13% and the concentrations of its major components 189 are shown in Figure S1. From these data a total phosphorus content in the hydrochar of 1.25% 190 (as P) was calculated. This high concentration was relatively surprising as the phosphorus 191 content was generally neglected in the analysis of hydrochar. When it was reported, it was 192 significantly lower at 0.42% for food waste.(Idowu et al., 2017)

193 The second HTC product, the process water, generally contains a low phosphorus 194 concentration of less than 5 ppm. Therefore, it can be concluded that phosphorus is fixed in 195 hydrochar, i.e. all phosphorus present in the wet raw material is recovered in dry hydrochar 196 and, its concentration is increased by a factor of nearly nine in the present case.

197 Nevertheless, the phosphorus concentration in the solid hydrochar was still an order of 198 magnitude lower than in the phosphate rock, in which its concentration is typically between 13 199 and 17%. However, hydrochar offers advantages over the rock for the extraction process. In 200 particular, hydrochar already has a small particle size (<5 cm) and a high grindability index (the 201 particle size is further reduced easily by milling).(Kempegowda et al., 2017; Smith et al., 2018) 202 In addition, the chemical transformation during the HTC, that is, the dehydration, breaks up 203 the plant structure(Hitzl et al., 2015) and the hard biomass is "perforated by a spongy, 204 continuous system of nanopores".(M M Titirici et al., 2007) Therefore, it should be easy for an 205 extracting liquid to completely penetrate the carbonaceous material and access all phosphorus 206 domains.

207 With the aim to proof this hypothesis, the hydrochar derived from OFMSW was extracted with 208 three different mineral acids, sulfuric acid, hydrochloric acid and nitric acid. In fact, Figure S2 209 shows that all three acids were able to remove the phosphorus almost quantitatively with an 210 efficiency of more than 96%. In addition, the extraction was independent of the reaction 211 temperature: In the temperature range from 40 ° C to 100 ° C, no significant change in the 212 efficiency was observed. This is relevant because in the industrial HTC process a hot hydrochar 213 water slurry exits the reactor and cools down during the downstream processing. The results 214 of the extraction indicated that the temperature of the carbonaceous material could be 215 neglected and the extraction could be carried out after excess process water had been 216 removed in the filter press (to a humidity of approximately 50%).

217 Phosphorus extraction worked well with all three mineral acids, but the effect on ash content 218 was not the same in the three cases. For sulfuric acid, the ash content did not vary 219 significantly, whereas for nitric acid and hydrochloric acid, the ash content after extraction 220 decreased from 13% to approximately 4% (Figure 1a). Analysis of the ash components showed 221 that calcium and phosphorus are significantly reduced in the latter cases, whereas with sulfuric 222 acid the calcium concentration was still similar to the initial one (Figure 1b). In view of the low 223 solubility of calcium sulfate, this was a predictable observation: calcium phosphate in the 224 hydrochar is replaced by calcium sulfate. The sulfate content is included in the "other" fraction 225 in Figure 1b. From these results it was concluded that sulfuric acid was suitable for phosphorus 226 extraction. However, with high calcium hydrochars, the ash content did not decrease when

phosphorus was removed. If a lower ash content was desired, sulfuric acid was not a valuablechoice and hydrochloric acid or nitric acid should be used.

229 When mineral acids were used for phosphorus extraction from sewage sludge ashes, sulfuric

acid was the reagent of choice for economic reasons, although it increased the amounts of

inorganic residues.(Ottosen et al., 2013) In contrast, in the present case the organic nature of

the by-product was a game changer: sulfate deposits deteriorated the product properties.

233 When using nitric acid and hydrochloric acid ash contents were clearly reduced (Figure 1a),

and in particular calcium and phosphorus concentration in the acids (Figure 1b). Therefore, it

can be concluded that these two mineral acids were very efficient in dissolving of calcium

- 236 phosphate from hydrochar.
- 237



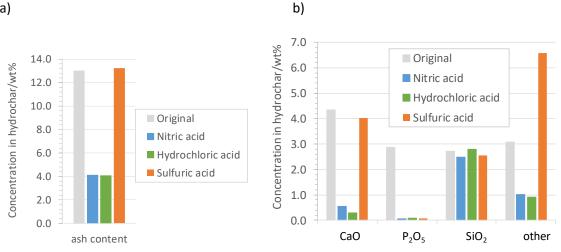


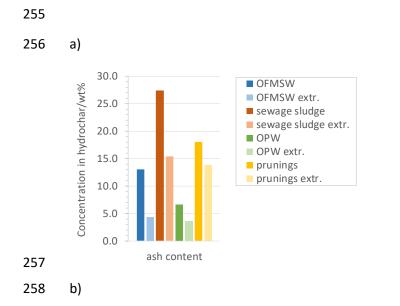
Figure 1. Ash content (a) and concentration of different metal oxides (b) in hydrochar, in the original sample and
 after extractions with different mineral acids.

242

239

243 Phosphorus extraction of different hydrochar samples

244 Phosphorus extraction and ash reduction were successful with hydrochar from the OFMSW. 245 With the aim to evaluate the scope of the process, it was applied to several hydrochar samples 246 from the FP-7 NEWAPP project. In the latter, organic residual feedstocks were processed by 247 HTC on industrial scale, namely sewage sludge, orange peel waste (OPW) and green and 248 garden prunings.("Industrial Scale Hydrothermal Carbonization: new applications for wet 249 biomass waste," 2016) The hydrochar samples had a different ash content, from high ash (27% 250 sewage sludge and 18% garden-pruning) to lower ash (OPW 7%, Figure 2a). In addition, ash 251 compositions were significantly different since hydrochar originating from sewage sludge 252 contained the expected increased phosphorus content, while for the other two samples the 253 concentration of this element was rather low (Figure 2b).



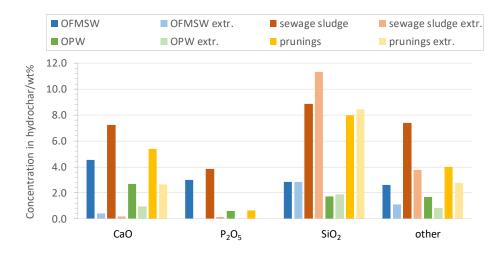


Figure 2. Ash content (a) and concentration of different metal oxides (b) in hydrochar, measured for the original
 sample and after extractions with hydrochloric acid (4%, 60 °C, 4 h). Hydrochars were prepared from the organic
 fraction of municipal solid waste (OFMSW), sewage sludge, orange peel waste (OPW), and garden prunings.

263

264 The hydrochloric acid extraction process (4%, 60 $^{\circ}$ C, 4 h) was able to reduce the phosphorus 265 content to almost zero in all cases (Figure 2b). When the phosphorus content was high (for 266 example, in the sample obtained from sewage sludge), the ash content was also significantly 267 reduced from 27% to 15%. At low phosphorus content (OPW, garden prunings), the gain in ash 268 reduction was rather low (Figure 2a). It was remarkable that calcium was almost completely removed in the sewage sludge-derived hydrochar (initially 7%), as well as in the OFMSW 269 270 derived hydrochar, but not in the garden-pruning derived one (originally 5%). In the latter 271 case, the calcium content was reduced by only half. Therefore, these data showed that calcium 272 reduction was more efficient when phosphate was present at the same time. Therefore, it was 273 concluded that the present extraction method generally worked well for the phosphorus 274 extraction and was effective for reducing the ashes when both elements, calcium and 275 phosphorus, were present in significant amounts.

276 Performance of the extracted hydrochar as solid fuel

- 277 It has already been shown that phosphorus extraction can be carried out with the aim to
- 278 achieve two goals simultaneously: the recovery of phosphorus and the ash reduction. The
- 279 latter purpose is interesting when hydrochar is commercialized as solid fuel. Therefore,
- 280 relevant properties of the material after extraction were analyzed and evaluated. Only samples
- 281 produced with nitric acid and hydrochloric acid were considered since sulfuric acid was
- 282 previously discarded for improving fuel quality.
- 283The first and most important feature of a solid fuel is its energy content, quantified as higher284heating value (HHV). Table 2 shows that the HHV for various hydrochar samples increased by
- 285 up to ten percent through the extraction process. This was due to two different facts: First, the
- 286 HHV calculated on dry and ash-free base was not significantly affected by the extraction
- 287 process, and, secondly, the ash content decreased. Since the latter did not contribute to total
- 288 HHV (on a dry basis), the intrinsic energy was less diluted in the solid when it was removed.
- The removal of the ash also affects the mass yield, which was reduced (Table 2). This was the penalty for the higher energy concentration in the extracted hydrochar. Therefore, the energy concentration compensates the mass loss and the balance for the amount of energy involved in a given amount of material was the same before and after the extraction process.
- 293 For environmental purposes, chlorine and sulfur are worrying elements in fuels. For the
- present treatments, extraction with hydrochloric acid increased the chlorine content by more
 than a factor of two (from 0.085% to 0.261%). This indicated that washing the hydrochar
 sample (approx. 4 g) with 100 mL of water, after treatment with 15 mL of dilute acid was not
 sufficient. However, higher water consumption was discarded as this would further worsen the
 economic and environmental balance. Clearly, the better option was to change the extracting
 agent to nitric acid. In this case, the chlorine content was halved (from 0.085% to 0.043%).
- A positive effect was also detected for the sulfur content. The latter decreased for both cases, hydrochloric acid and nitric acid treatment, by 20 and 65%, respectively. However, the initial value was relatively high at 0.494%, which was reduced to 0.169%. After treatment, hydrochar complied with the requirements of international standard ISO 17225-8: 2016.(International Organization for Standardization, n.d.) For the TA2 specification, the sulfur content must be less than 0.2%. With respect to the chlorine content, both the original and the nitric acidtreated sample satisfied the requirement of 0.1% or less.
- In summary, it can be stated that the phosphorus extraction with nitric acid improved the
 hydrochar characteristics as a solid fuel. Not only was the ash content reduced below 5%, but
 also element concentrations critical for combustion such as chlorine and sulfur were reduced.
 b. this the apprint of USO 17225 0 and 6 USU at the second sulfur were reduced.
- By this, the requirements of ISO 17225-8 are fulfilled.

311 Recovery of phosphorus as a solid

- 312 In general, phosphorus precipitation is undergraduate chemistry and does not require much
- 313 attention. In the present case, however, HTC process water was employed for the preparation
- of the extraction liquids. Since dissolved material can affect both the precipitation process and
- the solids properties, these issues were also studied in detail, and related information is
- 316 included in the Electronic Supplementary Information (ESI).
- 317 X-ray powder diffraction (Figure S3) and FTIR spectroscopy (Figure S5) showed the formation
- 318 of calcium hydrogen phosphate (brushite) by neutralization of the extraction liquid. From this
- solid, phosphorus is available to plants as it is dissolved by neutral water (Figure S8), while

- 320 calcination (or combustion) converted it into less soluble species. In summary, the results
- 321 confirmed that the OFMSW can provide a solid phosphorus fertilizer together with a high322 quality solid fuel.

Environmental performance – Impact scores for laboratory-scale phosphorus extraction The LCA was carried out considering twelve scenarios, starting from experimental conditions and real phosphorus content and adding potential optimization events to the study (for more details, see the Materials and Methods section).

327 Table 3 shows the environmental impact scores modelled for the baseline scenario (scenario 1 328 in Table 3; nitric acid) and two other scenarios with different acids (scenarios 2 and 3 in Table 329 3; hydrochloric acid and sulfuric acid) expressed in category-specific units. Overall, three major 330 observations are seen. First, impact scores are positive in most impact categories, suggesting 331 that the treatment of biowaste with phosphorus recovery through hydrothermal carbonization 332 is expected to result in environmental burden. This environmental burden is mainly due to the 333 need to produce relatively large amounts of acids and bases for P recovery. Secondly, negative 334 impact scores are seen for three impact categories, namely particulate matter formation, 335 acidification (in scenarios 2 and 3) and water resource depletion. This suggests that 336 environmental benefits are expected for these impact categories when biowaste is treated 337 hydrothermally with recovery of phosphorus. Our analysis shows that these benefits are 338 mainly due to the avoidance of fossil coal heat generation when using hydrochar as a solid fuel 339 (in terms of particulate matter and acidification impacts). Negative scores for water resource 340 depletion, however, are due to the avoided use of water in the upstream processes for the 341 production of base (potassium hydroxide as example of a base from the ecoinvent database). 342 The third finding is that phosphorus extraction with H₂SO₄ is environmentally friendly in twelve 343 out of 15 impact categories (excluding particulate matter, water resource depletion and, in 344 some cases, acidification) compared to extraction with HNO₃ or HCl. This is due to lower 345 amounts of sulfuric acid (on a mass basis) needed for extraction per treated waste unit.

	starting	initial HHV ^a	final HHV^{\flat}	HHV increase ^c	HHV change ^d	final ash content ^e	mass yield ^f	energy balance ^g
entry	material	[MJ/kg]	[MJ/kg]	[%DRY]	[%DAF]	[%]	[%]	[%]
1	OFMSW	27.9	30.6	9.6	-0.3	34	86	94.2
2	OPW	24.1	25.4	5.5	2.2	55	92	97.1
3	prunings	20.4	22.3	9.5	4.2	77	90	98.6

^a HHV of hydrochar before extraction on dry base. ^b HHV of hydrochar after extraction on dry base. ^c Change in HHV caused

by the extraction procedure on dry base.^d Change in HHV caused by the extraction procedure on dry and ash-free (DAF)

base. ^e Final ash content compared to the initial one. ^f Mass yield for hydrochar after the extraction procedure. ^g Energy

balance considering hydrochar as an energy carrier and comparing the final energy amount (mass x HHV) with the initial

one (Formula: energy balance = (final HHV x mass yield)/initial HHV).

Table 2. Solid fuel properties of different hydrochars before and after applying an extraction procedure with HCl (4%, 60 °C, 4 h).

			Impact score	
Impact category	Unit	HNO ₃	HCI	H ₂ SO ₄
Climate change (GWP)	kg CO2 eq	5.19E-01	2.91E-01	1.50E-01
Ozone depletion	kg CFC-11 eq	4.82E-08	8.85E-08	3.94E-08
Human toxicity, cancer effects	CTUh	1.88E-08	2.44E-08	1.61E-08
Human toxicity, non-cancer effects	CTUh	7.70E-08	1.30E-07	6.68E-08
Particulate matter	kg PM2.5 eq	-9.03E-05	-1.45E-04	-1.09E-04
Ionizing radiation HH	kBq U235 eq	9.33E-02	1.27E-01	8.67E-02
Photochemical ozone formation	kg NMVOC eq	1.70E-03	1.35E-03	1.09E-03
Acidification	molc H+ eq	8.00E-04	-6.49E-05	-4.37E-04
Terrestrial eutrophication	molc N eq	6.97E-03	2.08E-03	1.14E-03
Freshwater eutrophication	kg P eq	8.56E-05	1.50E-04	5.88E-05
Marine eutrophication	kg N eq	7.61E-04	6.17E-04	5.14E-04
Freshwater ecotoxicity	CTUe	4.41E+00	5.48E+00	3.92E+00
Land use	kg C deficit	6.69E-01	6.46E-01	5.31E-01
Water resource depletion	m3 water eq	-7.1E-04	-5.8E-04	-7.0E-04
Mineral, fossil and renewable resource depletion	kg Sb eq	3.01E-05	4.17E-05	4.47E-05

360

Table 3. Impact scores in category-specific units for acid-based P extraction using HNO₃, HCl or H₂SO₄ (scenarios 1, 2 and 3 in Table 1, respectively).

363

364 Can phosphorus extraction bring environmental benefits?

365 Using the experimental conditions from the laboratory scale extractions, only limited

environmental benefits were noted. It should be further examined if benefits were reinforcedin optimized extraction conditions (lower acid level) or for more appropriate cases (higher

368 phosphorus content in hydrochar).

369 A relatively large contribution of acid and base used for extraction for all impact scores

370 indicates that there is significant potential for environmental optimization (eco-design):

371 reduction of acid and base levels during upscaling. In fact, the results presented in Figure 3

372 show that if the amounts of acids and bases are reduced by a factor of 10, which is not 373 unrealistic when scaling from the bench to the pilot scale, the impact scores are reduced. The 374 largest decrease was observed for the impact category freshwater ecotoxicity (where impact 375 scores decrease by a factor of 100). For other impact categories, a reduction by a factor of up 376 to 10 applies. In some cases impact scores become negative when amount of acid and base is 377 reduced. This suggests that the environmental benefits of avoiding the burning of fossil coal in 378 combination with the environmental benefits of avoiding the compositing of biowaste 379 outweigh the burden of hydrothermal treatment and phosphorus recovery. This is the case for 380 a total of 9 out of 15 impact categories, including climate change. In 11 out of 15 impact 381 categories, impact scores are comparable to the system without phosphorus extraction. They 382 are higher for two impact categories (ionizing radiation and freshwater ecotoxicity) and lower 383 for two impact categories (freshwater eutrophication and water resource depletion) than for 384 systems without phosphorus extraction.

385 A comparison with systems in which hydrochar contained larger amounts of phosphorus

386 (scenarios 7–9 in Table 3) showed that impact scores were comparable to scenarios 1–3,

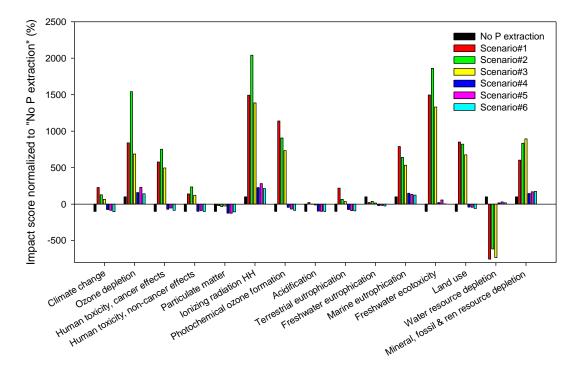
387 suggesting that the benefits of obtaining relatively large quantities of phosphorus were not

sufficient to outweigh the environmental burden (Figure S9 and Figure S10). Even if the

amounts of acids and bases were optimized, the environmental benefits of P extraction were

390 relatively low compared to the system without phosphorus extraction.

391



392

Figure 3. Impact scores for scenarios 1–6 in Table 3; expressed in % and normalized internally to the "No phosphorus
 extraction" scenario, for which impacts are equal either 100% (for positive impact scores) or –100% (for negative
 impact scores).

397 Conclusions

- 398 The OFMSW has been proposed as an alternative phosphorus reservoir for phosphorus
- recovery and solid fuel production. It has been shown that phosphorus can be extracted from
- 400 hydrochar with mineral acid with high efficiency. At high phosphorus content, the ash content
- 401 decreased accordingly when nitric acid or hydrochloric acid was used for the extraction. In
- 402 terms of fuel properties, nitric acid was the preferred mineral acid for extraction as the
- 403 chlorine and sulfur content of the hydrochar decreased. This further improved the fuel
- 404 properties of hydrochar and the latter even met the standard requirements for good quality405 solid fuels.
- When phosphate was precipitated from the extraction solution, a solid phosphor material wasobtained. The latter released phosphorus again in neutral water, so it was predicted that it was
- 408 readily available to the plants and the solid is suitable as a phosphorus fertilizer. It was
- 409 concluded that it was technically feasible to produce a solid fuel and a phosphorus fertilizer
- 410 from phosphorus-rich OFMSW. However, the environmental benefits were limited, as the LCA
- of this concept showed. Phosphorus extraction was a burden in almost all fifteen-impact
- 412 categories, including climate change and resource depletion. It is therefore recommended to
- 413 study the application of phosphorus-containing advanced hydrochar in agriculture and
- 414 horticulture, without intermediate concentration by extraction. It is predicted that carbon
- 415 storage benefits are combined with fertilizing effects.(Hitzl et al., 2018; Owsianiak et al., 2018)
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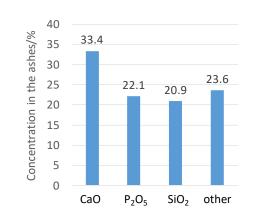
583 584	Electronic supplementary information (ESI)
585	Evaluation of hydrothermal carbonization in urban mining for the
586	recovery of phosphorus from the organic fraction of municipal
587 588	solid waste
589	Borja Oliver-Tomas, ^{a,c} Martin Hitzl, ^a Mikołaj Owsianiak, ^b Michael Renz ^{*c}
590 591 592 593 594	^a Ingelia, S.L., Spain ^b Technical University of Denmark, Denmark ^c Instituto de Tecnología Química (UPV-CSIC), Spain; E-mail: mrenz@itq.upv.es
595 596	Content Experimental details on the recovery of phosphorus as a solid
597 598 599	Figure S1. Ash composition of hydrochar obtained from ICP-OES analysis. Amounts are expressed as weight% of the oxides of the elements. Initial ash content was 13 wt% on dry base.
600 601	Figure S2.Phosphorus extraction efficiency with different mineral acids at different temperatures.
602	Figure S3. XRD pattern of the precipitate (a) and of the precipitate after calcination (b).
603	Figure S4. XRD pattern of the hydrochar before extraction.
604 605	Figure S5. FTIR spectra a) of the precipitate and the precipitate after calcination and b) of the precipitate in comparison with brushite.
606	Figure S6. Thermogravimetric (TG) analysis of the precipitate under nitrogen atmosphere.
607 608	Figure S7. Composition of a) precipitate and b) precipitate after calcination, measured by ICP-OES.
609	Figure S8. Solubility of the two main components of the precipitate.
610 611 612	Figure S9. Impact scores for scenarios 1–3 and 7–9 in Table 3, expressed in %, normalized internally to "No phosphorus extraction" scenario, for which impacts are equal either 100% (for positive impact scores) or –100% (for negative impact scores).
613 614 615	Figure S10. Impact scores for scenarios 1–3 and 10–12 in Table 3, expressed in %, normalized internally to "No phosphorus extraction" scenario, for which impacts are equal either 100% (for positive impact scores) or –100% (for negative impact scores).
616	

617 Recovery of phosphorus as a solid

- For transportation means, solid phosphorus is preferred over dissolved and diluted
- 619 phosphorus. Therefore, the precipitation of the phosphorus from the extraction solution was
- 620 studied. By addition of lime (Ca(OH)₂) pH was shifted to slightly alkaline (8–9.5) and up to
- 621 quantitative precipitation (99%) was achieved and the phosphor precipitate deeply analyzed.
- 622 Precipitation process and solid product characteristics might be influenced by components of
- 623 the HTC process water that was employed for the extraction (after adding concentrated
- 624 mineral acid) with the aim to simulate real world conditions.
- 625 By X-ray powder diffraction (XRD) calcium hydrogen phosphate (brushite) was identified in the 626 solid, contaminated by calcium carbonate (Figure S3a). As it was confirmed that calcium
- 627 carbonate was not present in the starting material, i.e. the hydrochar employed in the
- 628 extractions (Figure S4; at least not in crystalline form), it had to be concluded that the
- 629 carbonate was formed by incorporation of ambient carbon dioxide, probably during the
- 630 filtration processes in the laboratory. Hence, this might be avoided by changing the
- 631 experimental setup. The XRD pattern of the hydrochar indicated the presence of sand and
- hydroxyapatite (Figure S4). The latter also reveals a potential origin of the phosphorus: up to
- 70% by weight of (human) bone is a modified form of hydroxyapatite, known as bonemineral.(Straub, 2007)
- The presence of both components in the precipitate, calcium hydrogen phosphate and
- 636 carbonate, was confirmed by IR spectroscopy (Figure S5). Calcium carbonate is evidenced by
- bands at wavenumbers of 1430 cm⁻¹, 890 cm⁻¹ and 710 cm⁻¹ (green dashed lines), being the
- 638 first one the strongest and the most characteristic one.(Landi et al., 2003) Comparison of the
- 639 spectrum with the one of brushite (Figure S5b; blue dashed lines) permits to detect
- 640 characteristic bands such as 1645 cm^{-1} associated with water molecules in the lattice (Ucar et
- al., 2017) and associated with the presence of the HPO_4^{2-} ion at 1135, 1060, 985, 575 and 525
- 642 cm⁻¹.(Ucar et al., 2017) The band observed at 1385 cm⁻¹ (red dashed line) indicated
- 643 contamination with a small amount of nitrate from the extraction solution.(Lafon et al., 2008)
- 644 The presence of carbonate and nitrate species was further corroborated by their
- 645 decomposition by calcination at 815 °C. Hence, after calcination, the XRD pattern indicated the
- 646 presence of calcium hydroxyapatite and calcium phosphate (Figure S3b). In the FTIR spectrum,
- 647 the characteristic bands for carbonate and nitrate at 1430 and 1385 cm⁻¹ were clearly
- 648 diminished (Figure S5a) whereas the characteristic phosphate band at 1030 cm⁻¹ was clearly
- evidenced.(Poinern et al., 2011; Singh and Purohit, 2011) Therefore, it can be stated that both
- techniques confirmed a transformation of brushite and calcite during calcination.
- 651 When the decomposition process was elucidated in detail by thermogravimetric (TG) analysis, 652 it was seen that two major weight losses occurred, namely up to 200 °C and between 600 and 653 900 °C (Figure S6). Both losses were in accordance with literature reports for the involved 654 materials. Differential scanning calorimetry has determined 190 to 200 °C as desorption 655 temperature of the crystal water molecules of dibasic calcium phosphate dihydrate.(Tamaki et 656 al., 2009) In addition, calcium carbonate is transformed into calcium oxide at temperatures of 657 700 °C to 850 °C (Lu et al., 2006; Lysikov et al., 2007) and nitrate breakdown occurred almost in 658 the same interval (680 °C) under dynamic rising temperature conditions.(Ettarh and Galwey, 659 1996) The second weight loss was also in accordance with the behavior of mixed materials. 660 Hence, thermal decomposition of carbonated apatite started at temperatures higher than 600 661 °C in inert atmosphere:(Lafon et al., 2008) by IR measurements it has been confirmed that

- after calcination in air at 600 °C decarbonatation had not occurred, whereas after the same
 procedure at 800 °C only one third of the initial amount remained.
- 664 Chemical composition of the precipitate was further analyzed and quantified, namely
- 665 phosphorus by inductively coupled plasma optical emission spectroscopy (ICP-OES) and
- 666 calcium by X ray fluorescence (XRF) spectroscopy. The combined results indicated a 41 wt%
- 667 content of CaHPO₄·2 H₂O and a 47% content of CaCO₃ in the precipitate (Figure S7a). After
- calcination, 64 wt% of the obtained solid consisted of $Ca_3(PO_4)_2$ and 30 wt% of CaO. There is a
- small incoherency with the DRX pattern as CaO was not detected. However, this might be due
- to an amorphous or nanocrystalline nature of the material.
- 671 For the suitability of a phosphorus-containing material as solid fertilizer, water solubility is
- 672 crucial. Plants absorb the inorganic phosphate either in the form of HPO_4^{2-} or of $H_2PO_4^{-}$ from
- the soil solution. (Yadav et al., 2012) However, brushite has been employed as a dicalcium
- 674 phosphate cement, suitable for bioceramics.(Tamimi et al., 2012) Therefore, it can be expected
- that it possessed a low water solubility. In addition, hydroxyapatite is treated as a stable
- 676 mineral under surface Earth conditions and adopted as a proxy for paleo-environmental
- 677 reconstructions and in choice of remediation strategies. (Boudia et al., 2018) As a consequence,
- it was mandatory to evaluate the solubility of the precipitate, so that it could be demonstrated
- that the extracted and precipitated phosphorus fulfilled the minimum criteria as fertilizer.
- 680 From Figure S8 it can be seen that the phosphorus component dissolved well in neutral water,
- 681 whereas the calcite was only sparely soluble. For the calcined precipitate solubility was the
- 682 contrary (not shown): calcium oxide dissolved readily whereas the phosphorus did not dissolve
- at all. From this is can be concluded that calcination of the precipitate, or combustion of
- 684 hydrochar, is detrimental for phosphorus availability for plant growth. However, phosphorus
- as precipitate should be readily available for the plants.
- 686

Figure S1. Ash composition of hydrochar obtained from ICP-OES analysis. Amounts are expressed as weight% of the
oxides of the elements. Initial ash content was 13 wt% on dry base.



692 Figure S2.Phosphorus extraction efficiency with different mineral acids at different temperatures.

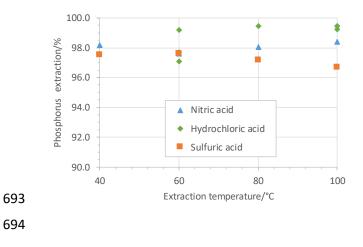
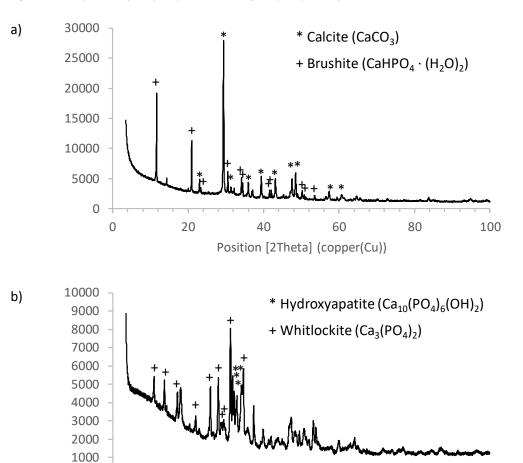


Figure S3. XRD pattern of the precipitate (a) and of the precipitate after calcination (b).



Position [2Theta] (copper(Cu))





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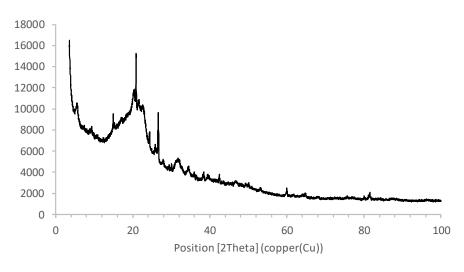
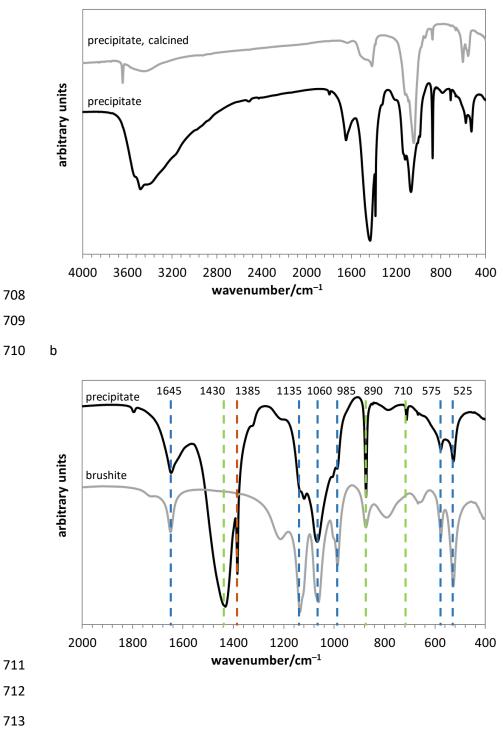


Figure S5. FTIR spectra a) of the precipitate and the precipitate after calcination and b) of the precipitate in
 comparison with brushite.







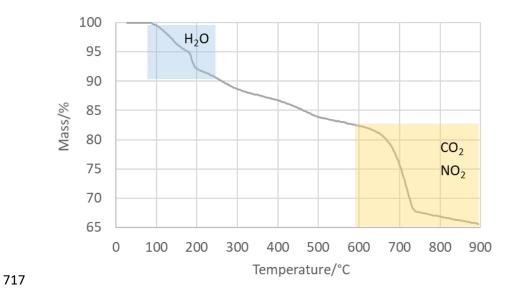






Figure S7. Composition of a) precipitate and b) precipitate after calcination, measured by ICP-OES.

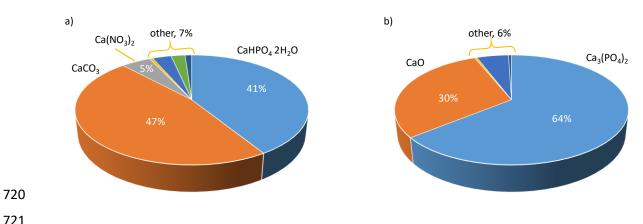
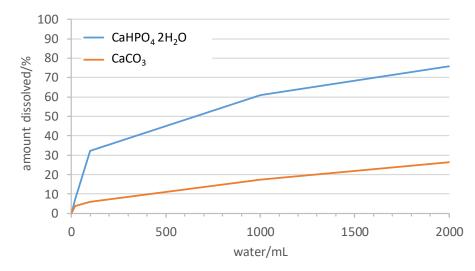


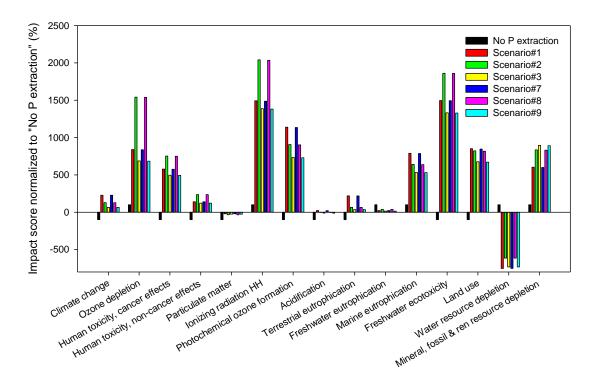


Figure S8. Solubility of the two main components of the precipitate.



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Figure S9. Impact scores for scenarios 1–3 and 7–9 in Table 3, expressed in %, normalized internally to "No
 phosphorus extraction" scenario, for which impacts are equal either 100% (for positive impact scores) or –100% (for
 negative impact scores).





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Figure S10. Impact scores for scenarios 1–3 and 10–12 in Table 3, expressed in %, normalized internally to "No
 phosphorus extraction" scenario, for which impacts are equal either 100% (for positive impact scores) or –100% (for
 negative impact scores).

