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Additional Information

# 1 Plant-wide modelling in wastewater treatment: showcasing 2 experiences using the model BNRM

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11 **Abstract:** Plant-wide modelling can be considered an appropriate approach to represent the current  
12 complexity in water resource recovery facilities, reproducing all known phenomena in the different  
13 process units. Nonetheless, novel processes and new treatment schemes are still being developed and  
14 need to be fully incorporated in these models. This work presents a short chronological overview of  
15 some of the most relevant plant-wide models for wastewater treatment, as well as the authors'  
16 experience in plant-wide modelling using the general model BNRM, illustrating the key role of general  
17 models (also known as supermodels) in the field of wastewater treatment, both for engineering and  
18 research.

19 **Keywords:** Physico-chemical, chemical and biological processes; plant-wide modelling; water  
20 resource recovery; wastewater treatment

## 21 Introduction

### 22 *Wastewater treatment modelling*

23 In the wastewater treatment field, mathematical models are useful tools for research  
24 and development, as well as for design and optimization of the different processes  
25 involved. Mathematical modelling efforts are highly stimulated by different social,  
26 economic and environmental factors, such as the more and more stringent legislation,  
27 the urgent need of water recycling and carbon footprint reduction and the importance  
28 of general cost savings and public profile issues, among others. These factors force to  
29 move towards a more sustainable wastewater treatment design, where wastewater  
30 must turn into a source of resources such as reclaimed water, bioenergy and  
31 bioproducts (i.e. nutrients, biosolids). This paradigm shift requires the integration of  
32 sustainable processes in future water resource recovery facilities (WRRFs) (Batstone  
33 et al., 2015; Robles et al., 2018). In this respect, mathematical modelling plays a key  
34 role in the incorporation of the circular economy principles in the wastewater  
35 treatment sector.

36 This work presents a short overview of some of the most relevant plant-wide models  
37 for wastewater treatment, as well as the authors' experience in plant-wide modelling  
38 using the general model BNRM. The paper aims to illustrate the key role of plant-  
39 wide models in the field of wastewater treatment, both for engineering and research.

40 Initially, wastewater treatment modelling focused on the biochemical processes taking  
41 place either on the water line or the sludge line. The ASM models (Henze et al., 2000)  
42 and the ADM1 model (Batstone et al., 2002) introduced the use of the Gujer or  
43 Petersen table (stoichiometric matrix) and are still today the most widely used tools  
44 for modelling activated sludge processes and anaerobic digestion (AD) processes,  
45 respectively. More recently, modelling efforts were focused on plant-wide modelling

1 and aimed at simulating the whole plant, taking into account the effect of side-streams  
2 on mainstream. In this respect, a higher descriptive capacity of the whole wastewater  
3 treatment system can only be achieved if also physico-chemical and chemical  
4 processes are taken into account. For instance, a proper pH calculation has proven to  
5 be necessary since it affects the stoichiometry and kinetics of biological  
6 (nitrification/denitrification) and chemical processes (phosphorus precipitation, gas  
7 solubility, etc.). Gas transfer processes also determine the effectivity of aeration,  
8 which involves a significant energy consumption and affects the carbon footprint  
9 estimation of WRRFs.

#### 10 ***Plant-wide models***

11 Plant-wide models have been developed following two different approaches: the  
12 interfaces approach and the general approach (also known as supermodel approach).  
13 The *interfaces approach* consists in connecting existing standard models by means of  
14 an interface between units and their models. Copp et al. (2003) and Nopens et al.  
15 (2009) defined ASM1-ADM1 interfaces, whereas Vanrolleghem et al. (2005)  
16 developed the Continuity-Based Model Interface Methodology (CBIM) proposing a  
17 procedure to connect any standard model. Dedicated tools have also been developed  
18 and widely adapted, such as the COST/IWA Benchmark Simulation Model No.1  
19 (BSM1) (Copp 2002, Jeppsson and Pons 2004), the BSM1\_LT (Rosen et al. 2004),  
20 the BSM2 (Jeppsson et al. 2006, Nopens et al. (2010)) and the BSM-MBR (Maere et  
21 al., 2011). They consist of a standardized simulation procedure for control strategies  
22 design in WWTP and their evaluation in terms of effluent quality and operational  
23 cost. The main advantage of using an interface-based approach with respect to other  
24 integrated methodologies such as general models is that the original model structure  
25 can be used, and there is thus no need for state variable representation in all process  
26 units with the resulting increased use of computational power, model complexity and  
27 adverse model stability characteristics (Grau et al., 2009).

28 On the other hand, the *general approach* makes use of a single model to describe  
29 key processes taking place in a WWTP. A single set of state variables is used, which  
30 includes the components of all processes involved. Therefore, different groups of  
31 microorganisms (e.g. aerobic, anaerobic and facultative) are considered in all  
32 treatment units and their growth will be determined by the environmental conditions.  
33 In this case, the user does not need to decide which model should be applied for each  
34 system. In general models there is a common characterization of the state of the  
35 process and the explicit calculation of pH is required as well. Although with higher  
36 computational costs, general models have become more and more feasible due to  
37 advances in computer technology. There are significant and successful plant-wide  
38 models following the general approach in literature. For instance, the general  
39 Activated Sludge-Digestion models (ASDM) implemented in BioWin (EnviroSim  
40 Associates LTD) (Jones and Takács 2004), the Biological Nutrient Removal Model  
41 (BNRM) (Seco et al. 2004, Barat et al. 2013, Durán et al. 2017), the plant-wide  
42 modelling methodology proposed by Grau et al (2007), the plant-wide mass balance  
43 based steady-state WWTP model proposed by Ekama (2009) or the Mantis model  
44 incorporated in GPS-X software.

45 It has to be stressed that under both approaches (interfaces approach and general  
46 approach) continuity equations need to be fulfilled in every process so that mass and  
47 charges balances are met.

#### 48 ***Current research on plant-wide models***

1 As WRRFs increased in complexity, more complete and reliable plant-wide models  
2 are needed, able to reproduce the behaviour of the whole system. Novel processes are  
3 still being developed for water resource recovery (membrane-based processes,  
4 microalgae cultivation, etc.), but also mature and established technologies are being  
5 integrated in novel treatment schemes in order to achieve energy-positive WRRFs  
6 (Solon et al., 2019a). On the other hand, greater understanding in the hydrodynamics  
7 or the microbiological and biochemical fields have led to the development of the so-  
8 called computational fluid dynamics (CFD) models (Rehman et al., 2017) and  
9 metabolic models (Lopez-Vazquez 2009) or, respectively.

10 Currently, plant-wide modelling efforts are focused on integrating different model  
11 extensions to better reproduce the phenomena occurring in wastewater treatment and  
12 incorporate the new concepts and technologies that are emerging under the umbrella  
13 of circular economy. For instance, the last extensions of BSM2 are focused on  
14 modelling phosphorus plant-wide, a common goal within the scientific community  
15 mainly due to the issue of phosphate rock depletion. Flores-Alsina et al. (2015)  
16 proposed a plant-wide aqueous phase chemistry module describing pH variations and  
17 ion speciation/pairing in wastewater treatment process models whereas Kazadi  
18 Mbamba et al. (2016) developed a physico-chemistry framework. Afterward, Solon et  
19 al. (2017) integrated both extensions and also developed a new set of biological and  
20 physico-chemical process models to describe the required tri-phasic compound  
21 transformations and the close interlinks between phosphorus, sulphate and iron cycles.  
22 These extensions have been validated and then applied to optimize the chemical  
23 phosphorus removal in wastewater treatment systems (Kazadi Mbamba et al., 2019).  
24 On the other hand, the last extension of the general model proposed by Grau et al.  
25 (2007) incorporated a physico-chemical plant wide framework (Lizarralde et al.,  
26 2015) which has been applied to optimize the phosphorus management strategies in  
27 Sur WWTP (Madrid, Spain) (Lizarralde et al., 2019) and to assess quantitatively the  
28 energy demand and resource recovery of different WRRF configurations (Fernández-  
29 Arévalo et al., 2017).

30 On the other hand, a plant-wide modelling approach which takes into account  
31 greenhouse gases (GHG) has become a common goal among researchers in the quest  
32 to reduce the carbon footprint of WRRFs (Mannina et al., 2016). Flores-Alsina et al  
33 (2011) proposed a model called BSM2G which includes the estimation of the  
34 potential on-site and off-site sources of GHG emissions. This extension was then  
35 applied, for instance, to show the importance of adding GHG emissions as key  
36 performance evaluation criteria in WRRFs (Flores-Alsina et al. 2014). On the other  
37 hand, Mannina et al (2019) proposed a plant-wide model for carbon and energy  
38 footprint which quantifies direct and indirect GHG emission related to biological and  
39 physical processes.

40 In summary, literature in the field shows an increasing and successful progress in  
41 plant-wide modelling, which can -and should- support the transition of WWTPs into  
42 WRRFs (Pretel et al., 2016b; Solon et al., 2019b), in order to facilitate water and  
43 nutrient recycling and carbon footprint reduction, but also general cost savings and  
44 compliance to new legislation. Table 1 shows a summary of the above presented  
45 plant-wide models, developed and applied during the last two decades. Due to the  
46 complexity of the models, their application is usually carried out by means of  
47 different software tools. Table 2 shows a summary of the simulation platforms  
48 commercially available (sometimes free of charge). These tools present a library of

- 1 different models the user chooses from or implement their own models. At times, they
- 2 include sewer networks or river quality models.

**Table 1:** Overview of some plant-wide models for wastewater treatment

<b>Plant-wide model</b>	<b>Reference</b>	<b>Type</b>
BSM2	Jeppsson et al. 2006, Nopens et al. 2010	Interfaces
BSM-MBR	Maere et al., 2011	
BSM2G	Flores-Alsina et al., 2011	
Extended BSM2 a plant-wide aqueous phase chemistry module describing pH variations and ion speciation/pairing	Flores-Alsina et al., 2015	
Extended BSM2 a modular physicochemistry framework (PCF)	Kazadi Mbamba et al., 2015	
Extended BSM2 from Flores-Alsina 2015 and Kazadi Mbamba 2015 and new set of biological and physico-chemical process models (P, Fe and S cycles )	Solon et al., 2017	
Mantis2 and its extension Mantis3	Proprietary model from Hydromantis, Environmental Software Solutions Inc	General
Sumo© models	In-house developed at Dynamita	
The general Activated Sludge-Digestion Model ASDM	Proprietary model from EnviroSim	
Biological Nutrient Removal Model (No.1, No.2, No.2S)	Seco et al. 2004, Barat et al. 2013, Durán et al. 2017	
Plant-wide mass balance based steady-state WWTP model	Ekama 2009	
The plant-wide modelling methodology (PWM)	Grau et al., 2007	
Physico-chemical Plant Wide Modelling (PC-PWM) methodology for incorporating physico-chemical transformations into multiphase wastewater treatment process models	Lizarralde et al. 2015	
A plant-wide wastewater treatment plant model for carbon and energy footprint	Mannina et al. 2019	

**Table 2:** Overview of some computer platforms that implement models for wastewater treatment

<b>Available software</b>	<b>Reference</b>
DESASS	<a href="http://calagua.webs.upv.es/">http://calagua.webs.upv.es/</a>
BioWin ©	<a href="http://envirosim.com/products/biowin">http://envirosim.com/products/biowin</a>
AquaSim	<a href="http://www.eawag.ch/de/abteilung/siam/software/">http://www.eawag.ch/de/abteilung/siam/software/</a>
West	<a href="https://www.mikepoweredbydhi.com/products/west">https://www.mikepoweredbydhi.com/products/west</a>
GPS-X™	<a href="http://www.hydromantis.com/">http://www.hydromantis.com/</a>
SIMBA # water	<a href="http://www.inctrl.ca/software/simba/">http://www.inctrl.ca/software/simba/</a>
SUMO19	<a href="http://www.dynamita.com">http://www.dynamita.com</a>
EnviroPro Designer ®	<a href="https://www.intelligen.com/enviropro_overview.html">https://www.intelligen.com/enviropro_overview.html</a>
STOAT	<a href="http://www.wrcplc.co.uk/ps-stoat">http://www.wrcplc.co.uk/ps-stoat</a>

## 1 **Plant-wide modelling using BNRM**

### *Model description*

2 The Biological Nutrient Removal Model No.1 (BNRM1) for dynamic simulation of  
3 WWTPs was described by Seco et al. (2004). The physical, chemical and biological  
4 processes included were, respectively: settling and clarification processes (flocculated  
5 settling, hindered settling and thickening), volatile fatty acids elutriation and gas-  
6 liquid transfer; acid-base processes (equilibrium conditions are assumed); organic  
7 matter, nitrogen and phosphorus removal, acidogenesis, acetogenesis and  
8 methanogenesis. One of the most important advantages of this model was that no  
9 additional analysis with respect to ASM2d was required for wastewater  
10 characterization. Thus, the usual physiochemical parameters determined in a WWTP  
11 were enough to determine the model components.

12 However, this model did not consider nitrite and failed to accurately simulate the  
13 AD because precipitation processes were not considered. Therefore, an extension was  
14 proposed and named Biological Nutrient Removal Model No. 2 (BNRM2) (Barat et  
15 al. 2013). This extension comprised the components and processes required to  
16 simulate nitrogen removal via nitrite and the formation of the solids most likely to  
17 precipitate in anaerobic digesters (struvite, amorphous calcium phosphate,  
18 hydroxyapatite, newberite, vivianite, strengite, variscite, and calcium carbonate).  
19 Apart from nitrite oxidizing organisms (NOO), two groups of ammonium oxidizing  
20 organisms (AOO) were considered since different sets of kinetic parameters had been  
21 reported for the AOO present in activated sludge systems and SHARON (Single  
22 reactor system for High activity Ammonium Removal Over Nitrite) reactors.

23 The latest extension to the BNRM2, called BNRM2S, includes the activity of the  
24 sulphate reducing organisms (SRO) and was validated with a pilot-scale Anaerobic  
25 Membrane Bioreactor under steady-state and dynamic conditions (Durán et al. 2017).

26 The collection model BNRM is implemented in the simulation software DESASS  
27 (Ferrer et al., 2008) for steady-state and dynamic modelling. DESASS is linked with  
28 the geochemical model MINTQA2 for equilibrium speciation calculations (Alison et  
29 al. 1991, EPA 2006). The solution procedure implemented in the software consists in  
30 a sequential iteration among the differential equations for the kinetic governed  
31 processes and the algebraic equations for the equilibrium governed processes. The  
32 section below “Full scale model applications” shows a compilation of experiences  
33 where the modelling results were obtained with this software, illustrating the potential  
34 of plant-wide modelling in research and development as well as in design of new  
35 plants or optimization of existing ones.

### 36 *Wastewater characterization*

37 Although the BNRM considers key physical, chemical and biological processes  
38 taking place in WWTPs, the required wastewater characterization is similar to the one  
39 for Activated Sludge Model No. 2d (Henze et al., 2000). Thus, the needed analyses  
40 are the following: COD (total and soluble fraction),  $BOD_{lim}$  (total and soluble  
41 fraction), nitrogen (total and soluble fraction), ammonium, nitrite, nitrate, phosphorus  
42 (total and soluble fraction), orthophosphate, volatile fatty acids, pH, alkalinity and  
43 different ions such as sulphate, calcium, potassium and magnesium.



## 1 ***Model calibration***

2 Accurate model predictions require a proper calibration of the model parameters.  
3 Model calibration can be carried out by fitting model predictions to dynamic  
4 experimental data (on-line calibration) or with laboratory experiments (off-line  
5 calibration). The IWA STR on Guidelines for using ASMs presents a procedure for  
6 on-line calibration (Rieger et al, 2012). The drawback of this kind of calibration for  
7 the BNRM is that, due to the high number of parameters included and given a set of  
8 experimental data, different sets of parameter values will be able to reproduce the  
9 dynamic system performance, although not all of them will necessarily be able to  
10 predict plant performance when operating conditions are changed. For this reason, we  
11 recommend to identify the high influence model parameters (a small variation in these  
12 parameters leads to significant variations in model predictions) and to calibrate them  
13 with off-line laboratory experiments isolating the activity of each microorganism  
14 group. Values obtained with this method are more reliable since they are obtained  
15 with experiments carried out under different conditions (substrate, inhibitors or  
16 oxygen concentration). With this philosophy, Penya-Roja et al. (2002) developed an  
17 off-line calibration methodology for heterotrophic, autotrophic and polyphosphate  
18 accumulating organisms. The developed methodology consists in isolating specific  
19 processes for these bacterial groups and it is mainly based on Oxygen Uptake Rate  
20 (OUR) measurements. The methodology was upgraded by Jimenez et al. (2011, 2012)  
21 to estimate the model parameters related to the two bacterial groups involved in the  
22 nitrification process (AOO and NOO).

23 These kind of respirometric experiments provide information about the maximum  
24 bacterial activity under certain conditions, including biomass concentration of the  
25 different bacterial groups. In order to determine the maximum growth rate for each of  
26 these groups (in  $\text{time}^{-1}$  units) it is important to determine their concentration. Borrás  
27 (2008) developed a methodology to estimate the concentrations of PAO, GAO, AOO,  
28 NOO, methanogens and SRO in an activated sludge sample. This methodology is  
29 based on determining the percentage of viable bacteria (obtained by means of the  
30 LIVE/DEAD® BacLight™ Bacterial Viability Kit) and the percentage of each  
31 specific group over the whole bacteria in terms of area using Fluorescent In-situ  
32 hybridization (FISH), a molecular cytogenetic technique. Knowing the suspended  
33 COD concentration of the sample, the concentration (in COD units) of each specific  
34 bacterial group can be estimated from the results obtained with the FISH.

35 Other specific calibration methodologies can be found in literature, such as that  
36 proposed by Claros et al. (2011) for AOO r-strategists, since it is known that the  
37 growth rate of AOO in a SHARON reactor (r-strategists species) depends on free  
38 ammonia (FA) concentration whereas the growth rate of AOO in activated sludge  
39 systems (k-strategists species) depends on total ammonium nitrogen (TAN)  
40 concentration. It should be noted that in the case of off-line calibration it is still a  
41 challenge to reach consensus regarding the methodologies to be used.

42 Literature on off-line calibration procedures for anaerobic digestion processes is  
43 scarce. Durán (2013) developed an off-line procedure to calibrate the high influence  
44 parameters of other anaerobic microorganisms such as sulphate reducing bacteria.  
45 One of the reasons for the predominance of on-line procedures for model calibration  
46 could be that no equivalent parameter to the OUR measurement (reliable and easily  
47 obtained with cheap and robust sensors) can be used for off-line experiments. Another

1 reason might be the difficulty in isolating the activity of different bacterial groups,  
2 which is a current challenge regarding model calibration.

### 3 ***Model validation***

4 Model validation consists on verifying the ability of a calibrated model to reproduce  
5 the observed system under different operating conditions. Once the model has been  
6 validated, it can be used reliably for predicting plant performance. It is important that  
7 the model is successful under changing conditions with small variations in parameter  
8 values, that is, without the need to recalibrate too often when applied under changed  
9 conditions. If a parameter needs to be tuned and the new value is too different from  
10 the originally calibrated one, this is an indication of the existence of different  
11 considerations not included in the model (inhibition, interaction with other  
12 microorganisms, not enough specialization in the specification of the organisms'  
13 groups, etc.). A compromise needs to be met between the accuracy of the model (in  
14 the sense of detailed description of organisms and processes) and stability of the  
15 parameters. In this sense, metabolic processes have a considerable amount of constant  
16 parameters, since all stoichiometry is calculated based on the metabolism of the  
17 organisms and kinetic parameters are practically constant. In this kind of models, the  
18 need for calibration is drastically reduced. Their difficulty comes from the complexity  
19 in defining the equations for processes that are at times complicated to describe,  
20 which remains a current challenge in model development. In metabolic models the  
21 trade-off is between parameter calibration and complexity of the model. The benefit is  
22 a very robust model that, once validated, renders very trustworthy simulations.

23 Regarding the model under study, different examples of BNRM validation can be  
24 found in literature. Serralta et al., (2004) demonstrated the model capability to predict  
25 the pH variations taking place in an A/O SBR system; Barat et al., (2011) showed the  
26 model capability to predict the variations in potassium, magnesium and calcium  
27 concentrations in an A/O SBR jointly with precipitation and redissolution processes;  
28 Durán et al., (2017) showed that the model was able to reproduce the performance of  
29 an AnMBR pilot plant (effluent composition, biomass wasted and biogas production)  
30 in different steady- and non-steady-state periods.

### 31 ***Full scale model applications***

32 WWTP design, upgrade and optimization are among the most important applications  
33 of mathematical models in wastewater treatment. Mathematical models allow  
34 comparing the results obtained for different treatment schemes, different operating  
35 conditions, variable influent wastewater composition, etc. and therefore selecting the  
36 best alternative. The application of the BNRM to different full scale WWTPs is  
37 presented below. Examples are given of simulation results in quantitative (flows,  
38 concentrations, etc.) but also qualitative terms (development of strategies, schemes  
39 and decision support).

#### 40 *Design of a conventional WWTP*

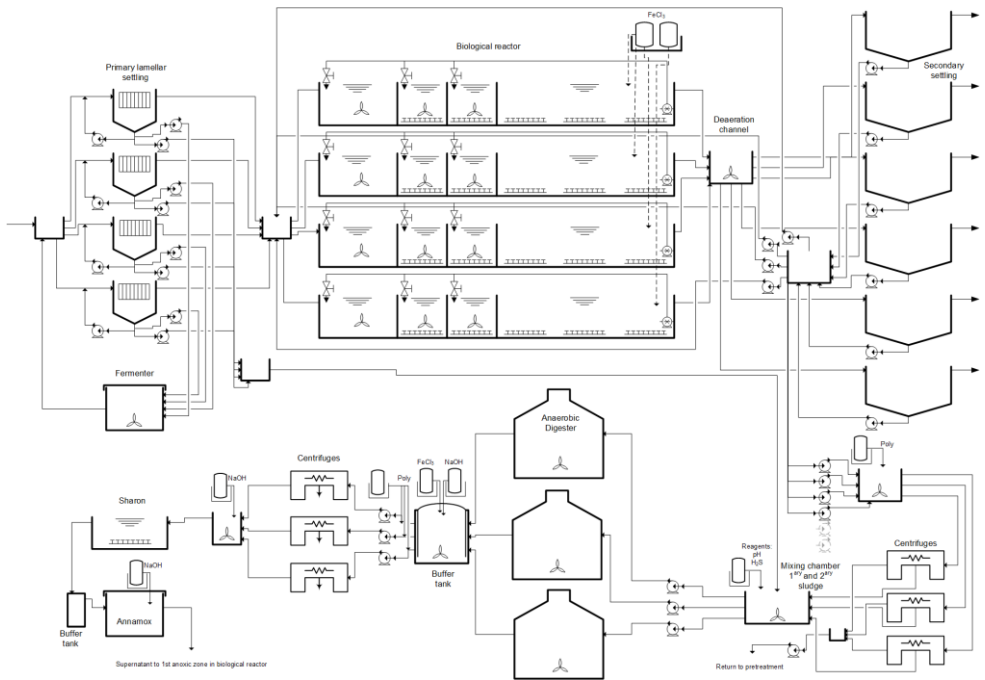
41 The WWTP in Sevilla (Spain) went out to public tender, in which some criteria for  
42 the characteristics of the plant were included. The treatment flow of this plant is  
43 100,000 m<sup>3</sup>/d. The BNRM was applied to design all the elements of the plant.  
44 Simulations rendered information on dimensions of the different treatment units,

1 effluent quality, aeration needs, sludge production, FeCl<sub>3</sub> needs, biogas production,  
2 NaOH and MgCl<sub>2</sub> addition for struvite recovery, as well as operational parameters for  
3 the activated sludge reactor and anaerobic digestion. An alternative solution to the  
4 proposed design criteria was also developed (Figure 1). This alternative solution was  
5 based on reducing sludge retention time (SRT), enhancing biological phosphorus  
6 removal, rearranging the sludge line to reduce uncontrolled precipitation problems  
7 and recovering phosphorus as struvite. A struvite crystallization unit was designed in  
8 order to recover the phosphorus from the reject water in the form of a slow-released  
9 fertilizer. Simulations results show that around 50% of the influent phosphorus would  
10 be recovered and 4.8 t/d of struvite would be produced.

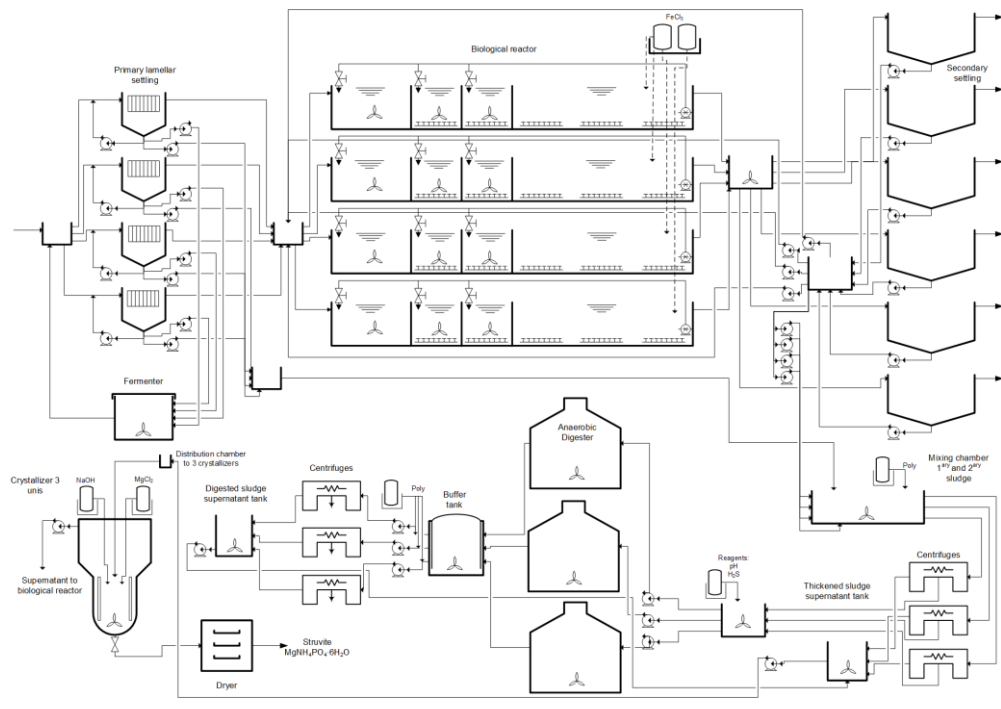
#### 11 *Design of an AnMBR-based WWTP*

12 The WWTP in Santa Rosa (Spain) was upgraded in 2016 with an AnMBR in order to  
13 demonstrate this technology as a sustainable alternative for sewage treatment. The  
14 plant was designed for treating 18 m<sup>3</sup>/d at ambient temperature: 15°C in winter and 25  
15 °C in the summer season and with ground buried reactors. Modelling results under  
16 different operating and environmental conditions lead to the recommendation of  
17 operating at an SRT of 60 days, for which a biogas production depending on  
18 temperature was estimated: 1.34 or 1.70 m<sup>3</sup>/d (with a methane content around 74%)  
19 was expected when operating at 15 or 25 °C, respectively. Methane yield resulted in  
20 ca. 160 and 200 STP L<sub>CH<sub>4</sub></sub>/kg COD removed at 15°C and 25°C, respectively. It is  
21 important to point out that sulphur concentration in the influent oscillated around 65  
22 mg S/L, affecting therefore methanization of organic matter due to the competition  
23 between SRO and Methanogens, which could be reproduced by the model. The  
24 effluent quality parameters were also evaluated by simulation. The simulations  
25 revealed that the permeate could be used for fertigation purposes due to its ammonium  
26 and phosphate concentrations, while COD, BOD and SS were far below the  
27 discharge limits. Moreover, low amounts of waste sludge were achieved, being this  
28 sludge already stabilised. Specifically, 0.127 and 0.115 kg VSS per m<sup>3</sup> of treated  
29 water were produced with a biodegradable volatile suspended solids (BVSS) content  
30 of 32.3 and 21.5% when operating at 15°C or 25°C, respectively. The application of  
31 the plant-wide model also allowed to predict the behaviour of the new plant in the  
32 events of polluting load increase or wastewater flow increase.

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**Figure 1:** flow diagram of the base solution (above) and alternative solution (below)

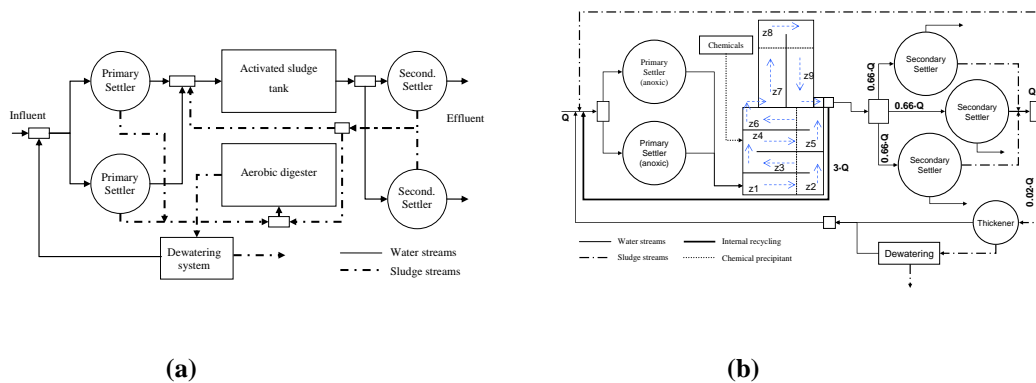
5 *Revamp of a WWTP by including an AnMBR*

6 Currently, the urban WWTP in Torrent (Spain) cannot treat all the incoming  
 7 wastewater flow and therefore a new installation needs to be built to increase the  
 8 treatment capacity from 6000 to 18,000 m<sup>3</sup>/d. Since agricultural activity in the area  
 9 has a demand of 6,000 m<sup>3</sup>/d of water for irrigation an AnMBR system of this capacity  
 10 was deemed appropriate and therefore designed. The modelling results revealed the  
 11 production of a high quality effluent, which complies with solids and organic matter  
 12 content discharge limits and presents nutrients concentrations for fertigation that

1 allow for savings in the use of inorganic fertilizers. It will be possible to treat the  
 2 effluent in the conventional activated sludge system in periods without agricultural  
 3 need. The interconnection of the streams with a plant-wide model made it possible to  
 4 simulate the whole new system proposed.

5 *Upgrade of a conventional WWTP*

6 The plant-wide model was used to simulate different options for upgrading the Denia  
 7 WWTP (Spain). This WWTP treats around 18,000 m<sup>3</sup>/d and was initially designed for  
 8 organic matter removal and nitrification. The biological treatment consisted in a  
 9 conventional activated sludge process whereas primary and excess sludge were  
 10 aerobically digested. The decision to upgrade the WWTP was made in order to meet  
 11 the European Commission requirements for total nitrogen and phosphorus in sensitive  
 12 areas and solve the existing odour problems caused by the insufficient stabilization of  
 13 the excess sludge. Different scenarios were simulated and the results are to be used to  
 14 support the decisions related to the WWTP upgrade. The modifications carried out in  
 15 the treatment scheme consisted of: operation under extended aeration conditions,  
 16 converting the biological reactors and the aerobic digesters in one plug-flow  
 17 biological reactor, converting the old primary settlers into anoxic reactors and  
 18 removing phosphorus by chemical precipitation. Moreover, simulations of significant  
 19 ammonium and COD peak loads showed that increasing the anoxic zone would  
 20 reduce sludge flotation problems. Therefore, an impeller was installed in the first part  
 21 of the biological reactor to avoid suspended solids sedimentation when the air control  
 22 valve was closed in order to increase the anoxic volume. The plant modifications  
 23 proposed were successfully implemented (Seco et al. 2005).

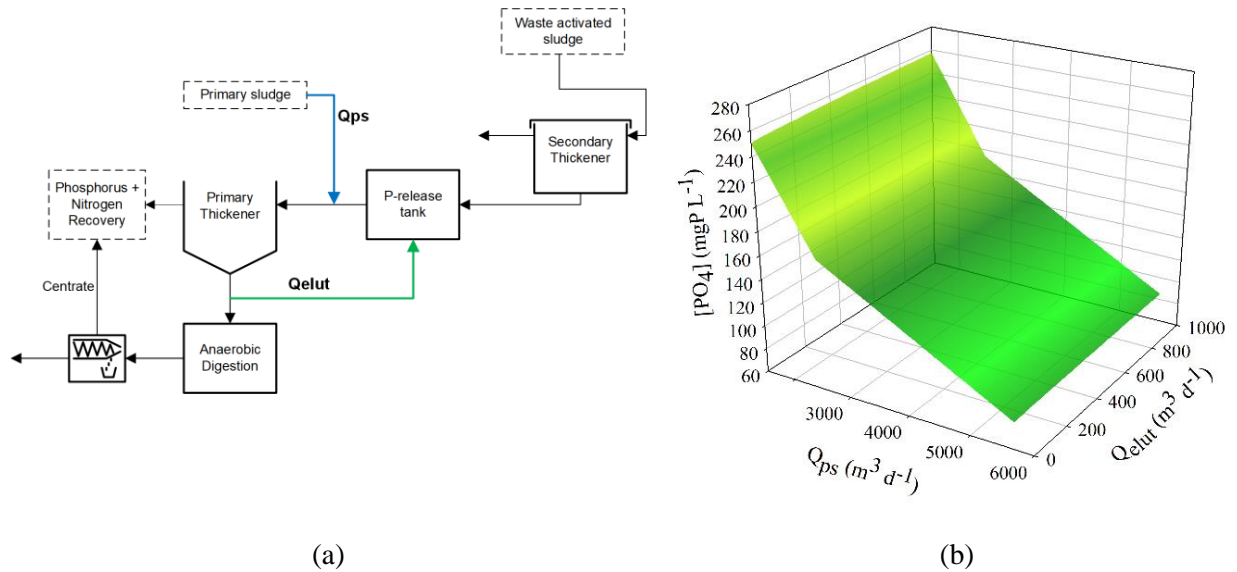


**Figure 2:** Treatment scheme of Denia WWTP a) Original b) Upgraded

28 *Upgrade of a conventional WWTP for P recovery*

29 In WWTP with biological P removal it becomes very interesting to enhance P  
 30 recovery and minimize uncontrolled P precipitation. For this, a modification in the  
 31 sludge line was proposed after a simulation study and tested in different full scale  
 32 applications (Tarragona, Calahorra and Murcia-Este WWTPs). The simulations  
 33 evaluated the potential P recovery by mixing the thickened sludges in a mixing  
 34 chamber before the anaerobic digestion and pumping the mix towards the primary  
 35 thickener, therefore obtaining an overflow stream highly enriched in orthophosphate  
 36 available for its recovery. Figure 3a shows the schematic description of the simulated  
 37 sludge line configuration and Figure 3b shows concentration of orthophosphate in the  
 38 overflow stream, estimated at different operational conditions in Murcia-Este WWTP.  
 39 The details of the simulation and optimization work in the Tarragona WWTP can be

1 found in Ruano et al. 2012 whereas Martí et al. 2017 describe the case of Calahorra  
 2 WWTP. This configuration allows to recover up to 40% of the incoming phosphorus  
 3 and considerably reduces the uncontrolled phosphorus precipitation in digesters,  
 4 pipes, centrifuges and other equipment.



5 **Figure 3:** (a) Schematic representation of the sludge line configuration simulated (b)  
 6 concentration of phosphorus in the primary thickener overflow at different operational  
 7 conditions: primary sludge flow ( $Q_{ps}$ ) (blue line into primary thickener) and  
 8 elutriation flow ( $Q_{elut}$ ) (green line from primary thickener to P-release tank).

### 9 *Optimization of an industrial WWTP*

10 Plant-wide models can also be applied to simulate treatment processes of industrial  
 11 wastewaters. In these cases, the steps of wastewater characterization and parameter  
 12 calibration take a crucial role. Several complete analytical campaigns are required for  
 13 wastewater characterization and values from literature cannot be adopted. Model  
 14 parameter values should be obtained with off-line calibration methodologies to detect  
 15 bacterial inhibitions. Table 3 shows, as an example, the values obtained for the high  
 16 influence model parameters in the WWTP of a petrochemical company, quite  
 17 different from the typical values for urban WWTPs. This showed that wastewater  
 18 characteristics influence the activity of microorganisms to a large degree.

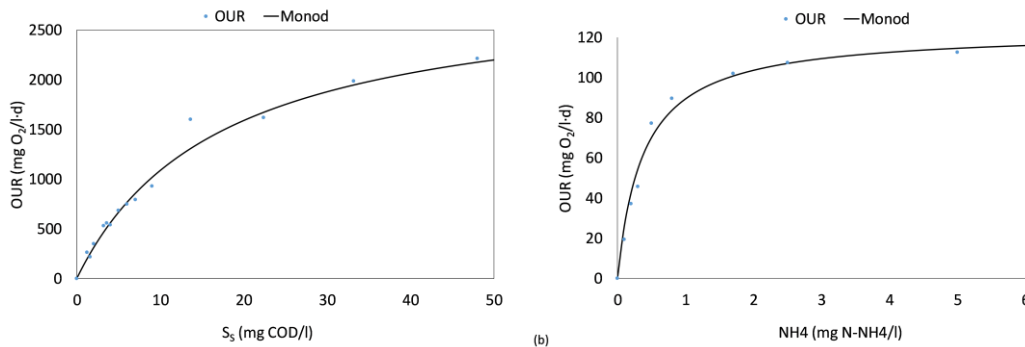
19 **Table 3:** Values of the main model parameters calibrated for the industrial wastewater and the  
 20 reference ones for sewage proposed in BNRM1 (Seco et al. 2004)

	<b>Model parameter</b>	<b>Calibrated</b>	<b>Default</b>
$Y_{OHO}$	Yield for heterotrophic biomass	0.38	0.63
$\mu_{OHO,Max}$ ( $d^{-1}$ )	Maximum heterotrophic growth rate	1.04	6
$b_{OHO}$ ( $d^{-1}$ )	Heterotrophic decay rate	0.18	0.4
$K_{F,OHO}$ ( $mg DQO \cdot l^{-1}$ )	Saturation coefficient for fermentable matter	17.19	4
$\eta_{\mu_{OHO,Ax3}}$	Correction factor for anoxic conditions	0.05	0.43

$\mu_{\text{AOO,Max}}$ ( $\text{d}^{-1}$ )	Maximum autotrophic growth rate	0.2	1
$b_{\text{AOO}}$ ( $\text{d}^{-1}$ )	Autotrophic decay rate	0.05	0.15
$K_{\text{NH,AOO}}$ ( $\text{mg N}\cdot\text{l}^{-1}$ )	Saturation coefficient for ammonium	0.38	1

1

2 Figure 4 shows the oxygen uptake rate values recorded at different substrate  
3 concentrations for heterotrophic and autotrophic bacteria. Very high substrate  
4 concentrations (higher than usual for urban WWTPs) are required for heterotrophic  
5 bacteria to reach their maximum activity. Maximum activity of autotrophic bacteria is  
6 relatively low but reached at low ammonium concentrations.

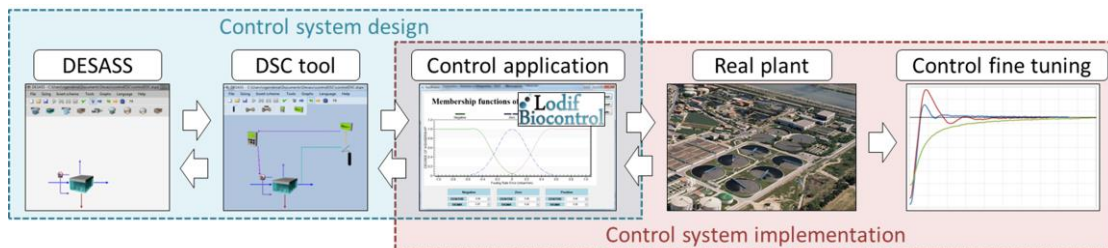


7

8 **Figure 4.** OUR values obtained at different substrate concentrations for a) heterotrophic bacteria b)  
9 autotrophic bacteria

### 10 *Development of control strategies*

11 Control systems design, calibration and validation can be supported by plant-wide  
12 models, since it is possible to reproduce the response of the operational units to the  
13 performed actions. For instance, plant-wide models allow to take into account the  
14 effect of dewatering and supernatant streams recycling to the mainline, affecting  
15 virtual nitrogen loading rate. For this, Ruano et al. (2017) used the simulation  
16 software DESASS (Ferrer et al., 2008), the IWA BSM1 (Alex et al., 2008) as working  
17 scenario and the software LoDif Biocontrol<sup>®</sup> (Ferrer et al., 2011) in order to design,  
18 calibrate and validate control strategies for optimal nitrogen removal (minimized  
19 energy consumption) in activated sludge systems. Figure 5 shows a schematic  
20 representation of the development procedure for these controllers to be implemented  
21 in full-scale WWTPs.



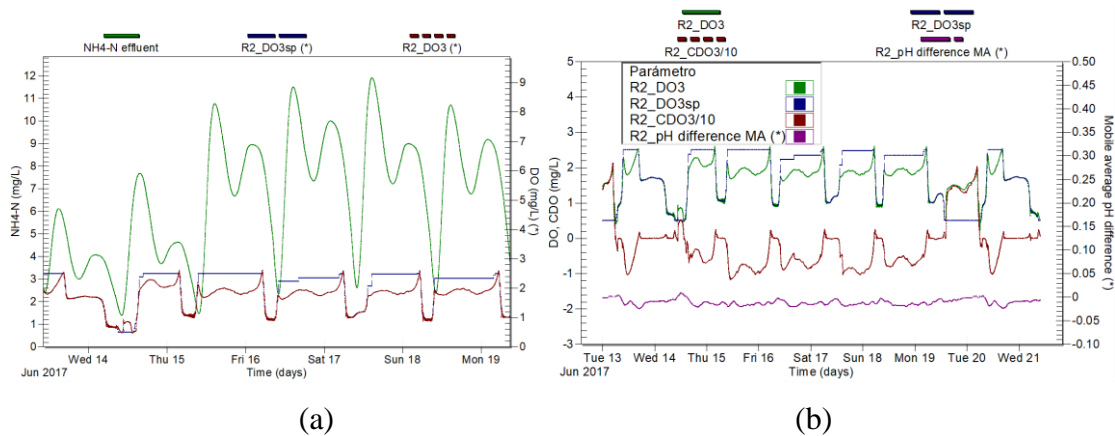
22

23 **Figure 5:** Schematic representation of the development procedure for the controllers to be  
24 implemented in WWTPs

25



1 An example of simulation results from one of the designs carried out in the study is  
 2 shown in figure 6. The dissolved oxygen concentration (DO) through a plug-flow  
 3 reactor was controlled by changing the DO setpoints through time. When the aeration  
 4 capacity was sufficient, the DO concentration oscillated near the established DO set  
 5 points. The pattern of the DO set points showed similarities with the dynamics in  
 6 ammonium concentration, mainly as a result of the information obtained from the pH  
 7 sensors that were used to modify the DO set point. Suitable overall process  
 8 performance was achieved, resulting in enhanced nitrogen removal efficiencies.  
 9 Moreover, compared to the baseline scenario, the controller reduced significantly the  
 10 energy demand. Specifically, power requirements were reduced from approx. 0.13 to  
 11 0.10 kWh per m<sup>3</sup> of treated water.



12  
13  
14

15 **Figure 6:** Evolution of: (a) DO set point (R2\_DO3sp) and ammonium concentration  
 16 in the outlet of the aerobic reactor (NH4-N effluent). R2\_DO3 is the measured DO  
 17 concentration in the reactor lane 2; and (b) inputs to the controller (Moving Average  
 18 of pH difference (R2\_pH difference MA), cumulative DO error in the third aerated  
 19 chamber over ten (R2\_CDO3/10), DO (R2\_DO3) and DO set point (R2\_DO3sp) in  
 20 last aerated chamber).

21 **Other extensions for plant wide modelling**

22 A filtration model was also included in the collection model BNRM in order to allow  
 23 simulation of a wider spectrum of processes. Specifically, a model was proposed for  
 24 immersed MBRs taking into account the effect of biogas sparging and back-flushing  
 25 on cake detachment, as well as the risk of irreversible fouling formation. This specific  
 26 model was validated in an AnMBR system equipped with industrial-scale membranes  
 27 in the short- (Robles et al., 2013a) and the long-term (Robles et al., 2013b) and used  
 28 for control purposes, showing that it is possible to efficiently maintain low fouling  
 29 rates by the application of an upper layer fuzzy-logic controller. In addition, this  
 30 model was applied to optimise the performance of an AnMBR at pilot scale, obtaining  
 31 energy savings of up to 25%. A model-based optimization method was also applied to  
 32 improve the performance of AnMBRs (Robles et al., 2014b; 2018).

33 Regarding integration of energy and environmental aspects on the modelling target,  
 34 Pretel et al. (2016a) extended the collection model BNRM with a plant-wide energy  
 35 model, which was validated in an AnMBR system treating sewage at steady- and  
 36 unsteady-conditions. The results indicated that the model was capable to reproduce  
 37 energy variations even when operating at dynamic conditions (*i.e.* variations in



1 ambient temperature and/or inflow temperature). Pretel et al. (2016b) combined this  
2 model with life cycle assessment (LCA) for comparing different treatment  
3 technologies. In this case, the conclusion could be achieved that an AnMBR  
4 combined with a CAS-based post-treatment results in significant reductions in  
5 different environmental impact categories mainly due to reduced power requirements.

## 6 **Summary and future perspectives in wastewater treatment modelling**

7 After the development and widespread of biochemical models to describe separately  
8 the most relevant processes in wastewater treatment, the field has evolved in the last  
9 decades in the direction of creating plant-wide models that are able to reproduce the  
10 increasing complexity of the plants as a whole. These models take cost into account,  
11 as well as a variety of processes such as chemical equilibria, oxygen transfer,  
12 greenhouse gas generation, etc. and they intend to be widely and easily applicable.  
13 They have a key role in process design, optimization and control. The viability of  
14 applying plant-wide model increases with advances in computer technology and the  
15 development of simulation platforms. The major role of these plant-wide models has  
16 been shown in this work with a series of case studies where WWTP simulation studies  
17 were performed applying the model BNRM in the DESASS platform. .

18 Remaining challenges in the field of plant-wide modelling are, on the one hand,  
19 related to the model itself:

20 i) Further extensions: newly modelled processes remain to be added as  
21 extensions in plant-wide models. In some cases, new models have been developed  
22 according to the standardized notation, which facilitates their inclusion. Some studies  
23 already show examples of the possibility of this combination, with processes such as  
24 enhanced anammox (Dorofeev 2017), granular sludge reactors (Dold et al 2018),  
25 enhance biofilm processes (Ji et al. 2019, Moretti et al. 2018), microalgae and  
26 cyanobacteria activity (Schoener et al. 2019), autotrophic denitrification using sulfur  
27 (Liu et. al 2016), membrane contactors and degassing membranes for components  
28 separation (Nagy et al. 2019), life cycle analysis (Ontiveros and Campanella 2013) or  
29 energy balance (Drewnowski 2017). Some commercial models such as BioWin,  
30 SUMO or GPS-X already include some of the most used extensions.

31 ii) New pollutants: especially in the case that new legal discharge limits are  
32 established, (e.g. emerging pollutants or heavy metals). Including these components in  
33 a plant-wide model will constitute a great challenge, given the high number of  
34 pollutants that could possibly be considered and the often complex routes of  
35 degradation and interaction amongst them and other wastewater components. A  
36 considerable effort will be needed to study the fate of pollutants in each treatment unit  
37 and therefore the formation of intermediate and final compounds, some of which are  
38 pollutants as well.

39 On the other hand, achieving a real widespread of plant-wide models among  
40 operators of water resource recovery facilities is a current challenge for the scientific  
41 community involved in the development of such models. The full potential of plant-  
42 wide models for designing new sustainable WRRF, as well as for optimizing existing  
43 ones, can only be achieved when these models are transferred to real application.

44 Regarding model calibration and validation, a consensus is needed on calibration  
45 protocols in order to minimize the variability among model parameters obtained in  
46 different studies. As commented before, the IWA STR on Guidelines for using ASMs

1 Rieger et al. 2012) presented a protocol for on-line calibration in the water line. There  
2 is still a need for similar standardized calibration procedures for the sludge line, in the  
3 case of off-line calibration and for plant-wide models.

4 Exploring the considerable amount of information currently available on the  
5 performance of full-scale implemented processes should also gain importance as a  
6 modelling tool in the near future since authors consider that big data in WRRFs is  
7 widely underutilized (Newhart et al 2019). Although the quality of this data might be  
8 in cases questionable, the widespread of the use of probes, for instance, can provide  
9 with interesting and useful data about some of the most usual processes in a WWRf.  
10 In this respect, coupling data-driven modelling methods for plant-wide process  
11 monitoring and control with mechanistic plant-wide models will boost plant-wide  
12 optimization (Ge et al., 2017). Other kind of useful data that could be obtained from  
13 WWTP operators are the observed oscillations in water flow and pollutants  
14 concentration, which can be daily, seasonal, or event-depending such as rain or other  
15 one-time events (sporting, cultural, etc). Plant-wide models can make use of this data  
16 to develop operational strategies (rules of action) for special cases, simulating  
17 different scenarios and the plant response to possible corrective measures. In addition,  
18 integrating computational fluid dynamics models (CFD) with plant-wide models for  
19 smarter operation and optimal design still remains a big challenge.

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